Anaerobiosis modulates the performance of antimicrobial resistance genes in *Enterobacterales*

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Abstract

Bacteria must face and adapt to a variety of physicochemical conditions in the environment and during infection. A key condition is the partial pressure of oxygen (PO_2), since many colonizable compartments are anaerobic. *Enterobacterales* comprise frequently resistant pathogens with complex and diverse lifestyles, capable of thriving in the (anaerobic) gut, and the environment. Here, we sought to understand if resistance determinants commonly found in *Enterobacterales* can be influenced by oxygen pressure. To do so, we have compared the MIC in aerobic and anaerobic conditions of isogenic *Escherichia coli* strains containing 136 different resistance genes against 9 antibiotic families. Our results show a complex landscape of changes in the performance of resistance genes in anaerobiosis. Certain changes are especially relevant for their intensity and the importance of the antibiotic family, like the large decreases in resistance observed against ertapenem and fosfomycin among *bla*$_{VIM}$ ß-lactamases and *fos* genes respectively. The pattern of resistance change in anaerobiosis was also conserved in *Klebsiella pneumoniae* -although with different intensity. Analyzing other genetic elements of clinical relevance, we observed that pOXA-48 plasmid conferred 4-fold higher ertapenem resistance in anaerobiosis. Last, using a collection of clinical isolates and agar diffusion susceptibility tests, we show that antibiotic susceptibility of multidrug resistant strains differs between aerobic/anaerobic conditions. Our results suggest that anaerobiosis is a relevant aspect that can affect antimicrobial activity in the clinical setting, as well as in the understanding of the local selection and spread of some AMR genes.

Importance:

Antibiotic chemotherapy is often based on the results of antibiograms performed in aerobic

conditions. Yet many body compartments and infection sites are anaerobic. Enterobacteria

are among the deadliest and most antibiotic-resistant pathogens worldwide. They are also

commensal inhabitants of our gut, where they thrive in the absence of oxygen. This work

shows that antimicrobial resistance genes in enterobacteria can confer different resistance

levels in aerobic/anaerobic conditions. Such effect can be important when treating anaerobic

infection sites based on antibiogram results. On one hand, the selected therapy can fail in the

case of genes that confer higher resistance in anaerobiosis, like bla_{OXA-48}; on the other,

successful therapeutic options might be wrongly discarded if the gene confers lower levels

of resistance in anaerobiosis, like bla_{VIM} carbapenemases. Our results indicate that anaerobic

conditions of the site of infection can be an important aspect to consider when treating

antimicrobial-resistant bacteria.

Keywords: Antimicrobial resistance, anaerobiosis, integrons, bla_{VIM}, pOXA-48,

fosfomycin

Antimicrobial resistance (AMR) is one of the major health concerns of the 21st century

globally, according to the World Health Organization (WHO)[1]. Genetic platforms that foster

the horizontal transfer of resistance determinants, such as plasmids and integrons[2] play a

major role in AMR[3,4]. Plasmid-borne Mobile Integrons (MIs) carry ca. 200 antimicrobial

resistance cassettes (ARCs) against various antibiotic families[5], and are extremely prevalent

among clinical isolates of *Escherichia coli* and *Klebsiella pneumoniae*[6,7]. These pathogens

cause more than one million deaths every year[8]. In this work we show that AMR genes may

confer different resistance levels in anaerobic conditions, which has potential clinical and

eco-epidemiological consequences.

Antibiotic resistance is generally measured through diffusion or dilution antibiograms.

Agar diffusion-based antibiograms represent a rapid, robust, and comparable measure of

resistance, but do not provide precise quantitative data (except when using MIC gradient

strips). Dilution-based antibiograms allow for the determination of the minimal inhibitory

concentration (MICs) of an antibiotic[9,10]. Although it can overlook small changes in

susceptibility, this technique remains the gold standard in resistance measurement. Despite

its general utility and the continuous revisions of clinical breakpoints by *ad hoc* committees,

such as CLSI or EUCAST, the antibiogram does not capture the complex physicochemical

conditions encountered by bacteria in different body compartments. A key condition is the

local concentration of oxygen. While the partial pressure of oxygen (PO_2) in the respiratory

tract reaches 110-150 mmHg (the PO_2 of air is 160 mmHg), most infection sites have lower

PO_2 -like blood (40-90 mmHg)- and many are anaerobic, such as the urinary tract (23-45

mmHg), abscesses, or deep tissue infections (5-50 mmHg), or the cell interior (PO_2 1-10

mmHg)[11–15]. In addition to infection sites, most pathogenic species of enterobacteria colonize

the gut, a largely anaerobic compartment (PO_2 of 57-65 mmHg).

From a practical chemotherapeutic perspective, the local oxygen concentration is only

taken into account to prescribe molecules active on anaerobic pathogens, and occasionally

considering the reduced activity of some antibiotics, such as aminoglycosides, due to

impaired uptake of the antibiotic in anaerobic conditions[14]. In the context of the AMR crisis,

we wanted to explore the importance of anaerobiosis in the function of AMR determinants

encoded in mobile genetic elements: are these genes equally effective in the presence or

absence of oxygen?

To characterize the performance of resistance genes, we first need to disentangle it from

the effect of anaerobiosis on the host. Hence, we initially compared the susceptibility of *E.*

coli MG1655 to a set of different antibiotics commonly used in clinical settings under aerobic

and anaerobic conditions ($PO_2 \approx 10$ mmHg) (Fig. 1A). We found marked changes in

resistance levels between conditions depending on the antimicrobial family tested. In

anaerobic conditions, we observed a sharp increase in trimethoprim resistance (64-fold), as

well as the expected rise in aminoglycoside resistance (8-fold). In contrast, fosfomycin

resistance was 8-fold lower in anaerobiosis.

To investigate the impact of anaerobiosis on resistance genes, we used the pMBA

collection[16]. It is composed of 136 isogenic strains of *E. coli MG1655,* each containing a

particular ARC as the first cassette of a class 1 integron borne by the pMBA plasmid vector.

The collection contains resistance genes against 9 antimicrobial families covering a broad

variety of mechanisms. We have determined the MIC levels of every member in the collection

in the presence and absence of oxygen (Figs. 1B and 1C) (Figs. S1 to S4). As a control, a

strain containing the empty pMBA vector was included. The performance of an AMR gene

is hence measured as the fold-increase in resistance normalized to the empty pMBA.

Additionally, this strain reproduced the effect of anaerobiosis in *E. coli* without pMBA,

allowing to rule out confounding effects of the vector (Fig. 1A). Correlations between

antimicrobial effects of each ARC in aerobiosis and anaerobiosis are shown in Fig. S5. Our

data show that several ARCs varied their antimicrobial resistance effect according to oxygen

availability. For example, the aminoglycoside resistance cassette *aacA56* (Figs. 1B and 1C)

increases 8-fold the MIC to amikacin in the absence of oxygen. In addition, some families of

ARCs showed similar trends in resistance in anaerobiosis: different variants of the metallo-

ß-lactamase *bla*$_{VIM}$ were less efficient in anaerobiosis, reducing their performance against

ertapenem between 32 and 64-fold on average (Figs. 1B and 1C). A similar decrease in

resistance was observed for several fosfomycin resistance genes (*fos*) in anaerobiosis -with

the exception of *fosE,* that showed a 4-fold increase (Figs. 1B). Because both *bla*$_{VIM}$ and *fos*

encode metalloenzymes (that use respectively Zn^{2+} and Mn^{2+} as cofactors) it is tempting to

speculate that metal coordination is being affected in anaerobiosis.

At least 8 ARCs showed strong changes in their performance in anaerobiosis (fold

change $\geq$ 8-fold) (Fig. 1C). This can be of clinical relevance, as carbapenemases such as

bla$_{VIMs}$ and *bla*$_{IMP-2}$, confer lower resistance levels in anaerobiosis. Our data suggests that

bacteria carrying these metallo-beta-lactamase genes could become susceptible to drugs such

as ertapenem in anaerobic body compartments. Yet, the conventional antibiogram would

yield an ertapenem resistance phenotype, wrongly preventing clinicians from using a

possibly successful drug. A similar trend occurs with other beta-lactamases, such as those

inactivating aminopenicillins and cephalosporins, with the possible exception of OXA-9-type

beta-lactamases.

Fig. 1. Anaerobiosis affects ARC function in *E. coli*. A) Heatmap showing the fold-change in resistance of *E.*

coli MG1655 -with and without pMBA- between aerobic and anaerobic conditions against 18 antimicrobials

(KAN: kanamycin, TOB: tobramycin, AMK: amikacin, GEN: gentamicin, STR: streptomycin, APR:

apramycin, AMX: amoxicillin, CEC: cefaclor, CAZ: ceftazidime, ETP: ertapenem, ATM: aztreonam, CHL:

chloramphenicol, TMP: trimethoprim, ERY: erythromycin, AZM: azithromycin, FOF: fosfomycin, CHX:

chlorhexidine, RIF: rifampicin).   Numbers in each square indicate the fold-change in resistance between

anaerobic and aerobic conditions. B) Heatmap the resistance profile of each ARC in anaerobiosis, normalized

by its effect in aerobic conditions. Purple indicates a that an ARC confers a higher resistance increase (compared

to pMBA) in anaerobic conditions than in aerobic, while green color represents a lower resistance increase

(compared to pMBA) in anaerobiosis vs. aerobiosis. Red squares depict cases for which a precise MIC could

not be determined (strains showing growth at the highest concentration of antibiotic tested) in any of both

conditions. Symbols > and < are used to indicate strains that grew at the highest concentration of antibiotic

tested in anaerobic or aerobic conditions respectively. A hierarchical clustering tree showing protein sequence

similarity is shown in the left side of each panel for (from left to right) aminoglycoside resistance cassettes,

beta-lactam resistance cassettes, other ARCs. C) MIC fold change (compared to pMBA) in aerobiosis and

anaerobiosis of the subset of ARCs showing at least an 8-fold differences between conditions. Points represent

the mode of at least three biological replicates.

To better understand the clinical importance of these findings, we extended this

analysis to other relevant resistance genes and plasmids. Such is the case of *mcr-1* which

confers resistance to colistin[17]; the widespread pOXA-48[18] encoding bla_{OXA-48}

carbapenemase; or a variant of the natural R388 plasmid, carrying a class 1 integron with 3

ARCs (*bla*$_{VEB-1}$, *aadB*, and *dfrA5*)[19,20] (Fig. 2A). The resistance profile of hosts with pOXA-

48 and R388 is modified in the absence of oxygen. pOXA-48 confers clinical resistance to

ertapenem in anaerobiosis, whereas the same *E. coli* is considered susceptible to this

antibiotic under aerobic conditions. This exemplifies how conventional susceptibility testing

procedures could result in the election of an inappropriate therapy. An analogous

phenomenon is observed in R388-containing *E. coli* increasing its resistance to several

aminoglycosides and the beta-lactam antibiotic ceftazidime, due to gene *bla*$_{VEB-1}$. The

resistance profile of *mcr-1* did not show relevant changes ($\geq$ 4-fold change) in anaerobic

conditions.

Because resistance genes are often borne on mobile genetic elements, we sought to

explore if our results were true in other enterobacteria. To do so, we selected the ARCs

showing $\geq$ 8-fold change between conditions and characterized them in *Klebsiella*

pneumoniae ATCC23357 (Fig 2B and Fig S6). Our data shows that the phenomenon is

generally conserved: the trends in resistance changes are similar between species, although

there are differences in the magnitude of the change, depending on the antibiotic (Fig 2B).

The only exception was *aacA56* that did not increase resistance to amikacin in anaerobiosis

in *K. pneumoniae*.

Finally, we wanted to address if anaerobiosis could change the resistance profiles of

clinical isolates that contain multiple resistance genes. To test this, we used a well-

characterized collection of multi-resistant *E. coli* and *K. pneumoniae* isolates obtained from

hospitalized patients through the R-GNOSIS project[21–23] (Table S1). We performed diffusion

antibiograms against 21 compounds in aerobic and anaerobic conditions (Fig 2C) and

observed notable differences in several isolates across a range of compounds -including

aminoglycosides, beta-lactams, phenicols, and fosfomycin among others. This supports that

our results with laboratory strains are translatable to the clinical setting. It is worth noting

that some of these clinical isolates exhibit differences in their resistance profiles against last-

resort beta-lactams such as ertapenem or imipenem depending on oxygen availability.

A

B

C

	EC05		EC10		EC14		Kpn04		Kpn13		Kpn21	
	Aero	Anaero	Aero	Anaero	Aero	Anaero	Aero	Anaero	Aero	Anaero	Aero	Anaero
AMX	6^R	6^R	6^R	6^R	6^R	6^R	6^R	6^R	6^R	6^R	6^R	6^R
AMC	28^S	26^S	23^S	21^S	25^S	24^S	23^S	22^S	23^S	27^S	26^S	26^S
ATM	16^R	15^R	22^ATU	18^R	11^R	12^R	10^R	6^R	17^R	13^R	12^R	6^R
CEF	12	11	6	6	6	6	6	6	6	6	6	6
FOX	27^S	26^S	26^R	20^S	26^S	24^S	11^R	17^R	24^S	27^S	19^S	28^S
CTX	23^S	22^S	14^R	11^R	10^R	10^R	6^R	6^R	12^R	12^R	10^R	6^R
FEP	28^S	25^ATU	18^R	14^R	15^R	14^R	14^R	12^R	18^R	18^R	15^R	13^R
ETP	35^S	34^S	30^S	27^S	31^S	28^S	29^S	29^S	30^S	36^S	30^S	35^S
IMP	30^S	33^S	30^S	30^S	30^S	25^S	32^S	38^S	29^S	35^S	32^S	37^S
STR	15	6	11	6	14	6	6	6	6	6	6	6
GEN	17^S	10^R	8^R	6^R	11^R	6^R	20^S	17^S	17^S	16^R	19^S	18^S
AMK	18^S	11^R	19^S	12^R	18^S	11^R	23^S	19^S	21^S	20^S	22^S	20^S
TOB	17^S	10^R	6^R	6^R	16^S	10^R	20^S	17^S	18^S	18^S	19^S	18^S
KAN	17	11	14	10	19	11	22	20	21	20	22	20
FOF	40^S	51^S	37^S	32^S	36^S	32^S	26	30	25	30	27	31
CIP	13^R	9^R	35^S	31^S	19^R	14^R	16^R	11^R	22^ATU	23^ATU	28^S	32^S
CHL	30^S	31^S	31^S	32^S	31^S	28^S	19^S	25^S	30^S	33^S	10^R	10^R
TET	25^S	28^S	29^S	27^S	25^S	25^S	19^S	20^S	28^S	31^S	13^R	9^R
TMP	26^S	26^S	30^S	30^S	25^S	25^S	6^R	6^R	6^R	6^R	6^R	6^R
RL	6	15	6	11	6	6	6	6	6	6	6	6
SXT	32^S	32^S	34^S	37^S	24^S	24^S	6^R	6^R	6^R	6^R	6^R	6^R

Fig. 2. Anaerobiosis modulates antimicrobial susceptibility across different species. A) MIC of *E. coli* MG1655

harboring clinically other relevant resistance genes and plasmids, against several antimicrobials. Bars represent

the MIC for each strain (the mode of three biological replicates (black dots)). Light and dark colors represent

aerobic and anaerobic conditions respectively. S and R letters stand for susceptible and resistant according to

EUCAST clinical breakpoints. A scheme illustrating the relevant genetic background of each gene is displayed

above each graph. B) Graph showing the ratio between the fold-change in MIC (compared to pMBA) in

anaerobiosis over aerobiosis in *E. coli* and *K. pneumoniae*. Oxygen concentration affects ARCs in a similar way

in both species. MIC fold change is calculated as the mode of at least six biological replicates. C) Table showing

the diameter of halos in agar diffusion susceptibility tests for clinical isolates from the R-GNOSIS project. Cells

shaded in purple indicate a transition from susceptible to resistant according to EUCAST clinical breakpoints.

Data in bold indicates notable changes in inhibition halo diameters ($\geq$ 5 mm.), between aerobic and anaerobic

conditions. TOB: tobramycin, GEN: gentamicin, ETP: ertapenem, STR: streptomycin, AMK: amikacin, FOF:

fosfomycin, AMX: amoxicillin, AMC: amoxicillin-clavulanic acid, ATM: aztreonam, FOX: cefoxitin, CTX:

cefotaxime, FEP: cefepime, IMP: imipenem, GEN: gentamicin, KAN: kanamycin, CIP: ciprofloxacin, CHL:

chloramphenicol, TET: tetracycline, TMP trimethoprim, RL: sulphamethoxazole, STX:

trimethoprim/sulfamethoxazole.

Our findings suggest that oxygen availability plays a modulatory role in the

performance of AMR genes. This phenomenon might have significant implications both in

clinical practice and ecological studies. While the MIC is widely used as the gold standard

for designing antibiotic treatments, its representativity has been questioned due to the limited

complexity of the technique compared to the variety of environments where infections

occur[24]. Our results suggest that a bacterium may transition from being resistant in aerobic

environments to susceptible in anaerobic conditions, depending on its resistome. This

highlights the possibility that successful therapies might be ruled out based on aerobic MIC

results. The opposite is also true: specific determinants like *aacA8*, or *bla*OXA-48 exhibit higher

resistance levels in the absence of oxygen, so standard treatments might not be effective
against infections developing in anaerobic microenvironments. Hence, the most clinically
relevant result of this work is that anaerobiosis generally reduces antibiotic susceptibility, but
the rate of this change strongly differs for different genes, and even within gene families;
additionally, in some cases antibiotic susceptibility can increase. Our results have other
implications: the elusive problem of diversification of ARGs might have originated from the
selection of enzymes in different compartments, anaerobic (as the intestine or the infection
site), or aerobic (external environment, surface of respiratory tract, water, soil, sewage). Also,
from an ecological perspective, this shift in performance could influence the prevalence and
spread of genes in clinical settings. For instance, while bla_{OXA-48} provides resistance in
anaerobiosis and is one of the most widespread carbapenemases in clinical settings across
Europe, some variants of bla_{VIM} -that only confer resistance in aerobiosis- are not so frequent.
This could reflect that carbapenem exposure in aerobic environments is probably rare in
countries with high sanitation, and almost entirely occurs in the gut environment.

The evolutionary success of an AMR gene relies on a variety of factors, of which the
resistance level and the biological cost in the absence of antibiotics are arguably the most
important ones[25]. Considering the relationship between function and oxygen availability as
an additional parameter could better inform strategies to control the spread of resistance. This
study could provide the basis for future investigations and improvements in the design of
more effective and targeted antibiotic treatments.

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Methods

Strain collection and culture conditions.

E. coli MG1655 and *K. pneumoniae* laboratorial strains were used for this study. These strains are part of the pMBA collection of integron antimicrobial resistance cassettes[16] (see Table S2). Bacterial strains were cultured at 37ºC in Müller Hinton (MH; Oxoid, UK) liquid medium and MH agar (1,5%) solid medium (BD, France). Zeocin (Invivogen, USA) was added at 100 µg/mL to maintain pMBA plasmid collection. Additionally, MG1655 containing clinically relevant plasmids (R388, *mcr-1* containing pZE and pOXA-48) were also used in this study together with *E. coli* and *K. pneumoniae* clinical strains retrieved from colonized patients in a university hospital in Madrid described in the R-GNOSIS project[21] (see Table S1 and S2). Liquid cultures were incubated in an Infors Multitron shaker at 200 rpm (Inforns HT, Swiss) unless otherwise stated.

Antimicrobial susceptibility testing.

Minimal inhibitory concentrations (MICs) of each pMBA derivative against selected antimicrobial molecules (for a list of compounds see Table S3) were confirmed by broth microdilution according to the CLSI guidelines[265] with some modifications for anaerobic MIC testing. Briefly, 10^5 colony-forming units (UFCs) were inoculated in 200 µl of fresh MH with doubling dilutions of each selected antibiotic in 96 well-plates (Nunc) and incubated overnight at 37ºC in static conditions. Standard 96 well-plates were incubated inside hermetic recipients where anaerobe container system sachets (BD, US) were deposited to generate the anaerobic atmosphere (less than 1% of O_2, equivalent to about PO_2 10 mmHg).

The MIC was established as the lowest concentration in which growth could not be observed.

MICs were measured at least in three biological replicates for each antibiotic and strain. MICs

of fosfomycin were confirmed by agar dilution in anaerobic conditions. MICs were

interpreted using the clinical breakpoints for *Enterobacteriales* defined by the European

Committee on Antimicrobial Susceptibility Testing (EUCAST). Additionally, we assessed

the antimicrobial resistance of certain clinical isolates using disc diffusion tests in MH-agar

broth (for a list of antimicrobial discs see Table S4).