Occupancy of the HbYX hydrophobic pocket is sufficient to induce gate opening in the archaeal 20S proteasomes.

Janelle J.Y. Chuah[1], Madalena R. Daugherty[1], David M. Smith[1,2*]

1: Department of Biochemistry and Molecular Medicine, West Virginia University School of Medicine, 64 Medical Center Dr., Morgantown, WV USA

2: Department of Neuroscience, Rockefeller Neuroscience Institute, West Virginia University, Morgantown, WV USA

*Address correspondence to: David M. Smith, E-mail: dmsmith@hsc.wvu.edu.

ABSTRACT

Enhancing proteasome function has been a long-standing but challenging target of interest for the potential treatment of neurodegenerative diseases, emphasizing the importance of understanding proteasome activation mechanisms. Most proteasome activator complexes use the C-terminal HbYX motif to bind and trigger gate-opening in the 20S proteasome. This study defines a critical molecular interaction in the HbYX mechanism that triggers gate opening. Here, we focus on the Hb site interaction and find it plays a surprisingly central and crucial role in driving the allosteric conformational changes that induce gate opening in the archaeal 20S. We examined the cryo-EM structure of two mutant archaeal proteasomes, αV24Y T20S and αV24F T20S. These two mutants were engineered to place a bulky aromatic residue in the HbYX hydrophobic pocket and both mutants are highly active, though their mechanisms of activation are undefined. Collectively, our findings indicate that the interaction between the Hb group of the HbYX motif and its corresponding hydrophobic pocket is sufficient to induce gate opening in a mechanistically similar way to the HbYX motif. The involved activation mechanism appears to involve expansion of this hydrophobic binding site affecting the state of the IT switch to triggering gate-opening. Furthermore, we show that the canonical αK66 residue, understood to be critical for proteasome activator binding, plays a key role in stabilizing the open gate, irrespective of activator binding. This study differentiates between the residues in the HbYX motif that support binding interactions ("YX") versus those that allosterically contribute to gate opening (Hb). The insights reported here will guide future drug development efforts, particularly in designing small molecule proteasome activators, by targeting the identified hydrophobic pocket.

INTRODUCTION

The proteasome is a molecular machine responsible for degrading misfolded, damaged, and unneeded proteins that are targeted via multiple mechanisms including the conventional pathway of ubiquitination[1–6]. Proteasomal degradation regulates major cellular processes and functions, such as synaptic plasticity and memory formation[7–11]. Impairment of proteasome function has correlated with areas of the brain affected by neurodegenerative diseases, supporting its implication in these diseases[12–17]. Furthermore, increasing proteasome activity has been shown to induce protective or rescue effects in models of neurodegenerative diseases[18–23], strengthening the rationale to develop small molecule proteasome activators. The objective of this study is to provide a clear mechanistic framework of how proteasome activation occurs to inform drug discovery efforts.

The eukaryotic proteasome, also referred to as the 20S or the Core Particle (CP), consists of four stacked heteroheptameric (homoheptameric in archaea) rings arranged as $\alpha - \beta - \beta - \alpha$[24]. Three of the seven β subunits carry protease sites, permitting the proteasome to cleave using caspase-like (β1), trypsin-like (β2), and chymotrypsin-like (β5) proteases. The α subunits have an N-termini that extends toward the central pore, forming a gate that regulates substrate entry. The gate primarily formed by the N-termini of α2, 3, and 4 with α3's N-termini (the longest) acting as the lynchpin[24]. The interactions that stabilize the open conformation have been reported in crystal and cryo-EM structures[24–31]. A YDR motif, found on the α N-termini, interacts with the neighboring α subunit's N-terminal tail, to stabilize the gate in the open state. Most recently, we discovered that the open and closed states of the α N-termini conformation are stabilized by two alternating conformations of the IT switch[31]. Two α subunit residues, I12 and T13, in the archaeal *Thermoplasma acidophilum* proteasome (T20S), switch positions to occupy the pocket adjacent to Helix 0 and stabilize the open and closed gate conformation respectively. This IT switch is functionally conserved in the eukaryotic 20S[31]. Basal kinetics of the archaeal proteasome gate,

quantified using NMR[32], indicates that the gate fluctuates between open and close on a time scale of seconds; however, the gate primarily exists in the closed state of isolated 20S.

The 20S proteasome is known to associate with regulatory complexes, collectively referred to as Proteasome Activators (PAs)[33]. PA700 or 19S, which associates with the 20S to form the 26S, has been identified as a critical component of the Ubiquitin-Proteasome Pathway. PA700 recognizes ubiquitinated substrates and unfolds them to be degraded by the 20S. Like many PAs, PA700 induces 20S gate-opening via the HbYX-dependent mechanism, as does the archaeal homolog of PA700 called PAN. Three of the six C-terminal tails of PA700 carry a HbYX motif (hydrophobic-tyrosine-almost any residue), whereby the "X" residue is the C-terminal residue. These HbYX motifs interact with the intersubunit pockets in the 20S α ring and allosterically induce gate-opening. The precise molecular mechanism of how the motif's binding in intersubunit pockets induces gate opening is not known, though high-resolution structures of the 26S[26–29,34] and the 20S interacting with a di-peptide HbYX-mimetic[31] have indicated possibilities. Structures of the 26S reveal that the C-terminal tails bind in a particular order during activation, though the correlation between the number of C-terminal tails/HbYX-bound to induce gate opening is controversial. A study indicated that partial gate opening occurs when Rpt2, 3, and 5, which have HbYX motifs, dock in their corresponding α intersubunit pockets and full gate opening occurs when Rpt6, which does not have a HbYX motif, additionally binds[26]. Other studies indicated that the binding of Rpt2, 3, and 5 did not open the gate, but the additional binding of Rpt6 causes full gate opening[28]. Interestingly, multiple studies suggest that Rpt1, which carries the penultimate tyrosine, but not the Hb group (partial-HbYX), must also bind in combination with Rpt2, 3, 5, and 6 for gate opening[27,29,34]. Collectively, these structures suggest that each intersubunit pocket contributes to gate opening to varying extents, which correlates with the fact that each α subunit's N-terminal tails differentially participate in the closed state, though the minimum occupancy of intersubunit pockets required for gate opening is unclear.

While some PAs are HbYX-dependent like PA700, a handful such as PA26 and PA28αβ activate the proteasome via a HbYX-independent mechanism. Despite the divergence between mechanisms, they converge on the critical molecular interactions and conformational changes that stabilize the open gate state. For example, both HbYX-dependent and -independent have been shown to interact with αK66 side chain and mutating αK66 to alanine impedes PAs association and activation, validated by velocity sedimentation assay and proteasomal peptidase activity[30,35]. Both mechanisms also involve a displacement of αPro17[30,31] and switching of the IT switch[31]. Therefore, though the cause of the conformational changes mentioned may differ between HbYX dependent and HbYX-independent mechanisms, the interactions that support the open conformational state of the gate are similar.

Our early studies showed that the HbYX motif could function even on short 6-7 residue peptides and recently we showed that HbYX-dependent gate opening could be reduced to a CBZ blocked YA dipeptide called ZYA—a minimal HbYX mimetic. Our ZYA-T20S structure[31,36] indicated the minimum molecular interactions necessary for optimal HbYX-dependent gate-opening by a small molecule. In our 1.9A resolution structure, ZYA was observed to interact with residues in the α subunit (G19, K66, L81, etc.) in the same pose and fashion as the native HbYX motif with various PA complexes. Our cryo-EM data indicated that αV24 (and αA154) hydrophobically interacts with the carboxybenzyl of ZYA and the hydrophobic side chain of the PAs HbYX motif's. Interestingly, our previous study also indicated that a single residue mutation on αV24 (i.e., αV24Y, αV24F) increased proteasome activity, though the mechanism of activation by this mutation was not determined. To assess the significance of these mutations in activating the proteasome via the HbYX-dependent pathway, we determined the cryo-EM structure of αV24F T20S and αV24Y T20S. The result of this study indicates that occupancy of a hydrophobic pocket by a bulky hydrophobic group such as αV24Y within the α intersubunit pocket is sufficient for gate opening via the HbYX-dependent mechanism, demonstrating the surprising importance of the HbYX

motif's Hb group for allosteric activation of the 20S. The findings reported here are expected to translate to the human proteasome as ZYA is a robust activator of the mammalian 20S and the HbYX-dependent mechanism is conserved, thereby, uncovering a highly specific pharmaceutical target for proteasome activation.

RESULTS

Cryo-EM map of αV24Y T20S shows HbYX-dependent-like conformational changes and an open gate state.

To elucidate how the mutation, αV24Y, induced gate-opening in the archaeal proteasome (αV24Y T20S), we resolved its structure using cryo-EM (Fig. 1; SFig. 1). The *Thermoplasma acidophilum* 20S (T20S) has D7 symmetry, which was applied during reconstruction, and the final map resolved to 2.38Å (EMD-44914). Comparing the αV24Y T20S electron density map against our wild-type T20S (WT T20S) map (EMD-28878) revealed conformational changes similar to those previously noted in our ZYA-T20S model[31] (PDB:8F7K) (Fig. 1). Alignment of the maps indicate the αV24Y mutation induced minimal conformational changes in the β rings, while the α subunits exhibited rotational changes that closely mimicked HbYX-dependent conformational changes, such as those induced by ZYA binding[31,37]. Comparison of the maps show that there is the expected larger density of the mutant tyrosine side chain in the hydrophobic pocket of the αV24Y T20S map with proximity to the docking location of the carboxybenzyl group (Z) of ZYA (Fig. 1c, d, & e).

Previously, we showed that the αV24Y mutation caused substantial acceleration of peptide degradation suggesting that this mutation might stimulate gate-opening[31]; therefore, we sought to confirm the observation in our structure of V24Y T20S. We observed significant densities corresponding to the YDR motif in the open state, designating an open gate conformation (Fig. 1f,g,h). Additionally, the densities corresponding to the IT switch were in similar confirmation to previously known open gate proteasome cryo-EM structures: ZYA-T20S (Fig. 1i,j,k) and L81Y-

T20S[31]. It is also apparent in the map of V24Y T20S that there is a lack of densities in the central cavity of the α ring that corresponding to the closed state of the α N-termini, definitively confirming that V24Y T20S's gate is open (Fig. 1a,b). Collectively, the comparison of maps demonstrates that V24Y T20S is in an open state with global conformational changes that mirror those of a HbYX-bound proteasome (Fig. 1).

αV24Y T20S shows HbYX dependent conformational changes that are greater in magnitude than those seen in the ZYA-T20S structure.

To gain a more detailed understanding of these conformational changes induced by V24Y we generated an atomic model from the V24Y T20S map. To evaluate the global conformational changes in the α subunits we aligned the αV24Y T20S and the ZYA-T20S to the WT T20S model via their β subunits and overlayed all three models (Fig. 2). The α subunits in V24Y T20S rotate roughly as a rigid body on a pivot around Helix 2 (Fig. 2a,b,c, and 3a), using WT T20S as a reference. This rotation around Helix 2 is also true for the ZYA-T20S structure and was noted previously, but the rotation in αV24Y T20S is greater than in ZYA-T20S compare (see arrows in Fig. 2). The Helical bundle composed of Helices 4, 5, and 6 move the most with some residues in these helices moving 3-4Å in the αV24Y T20S model relative to WT, which is about twice the distance moved in the ZYA-T20S model. In addition, P17 in the αV24Y moves about 1.6Å and helix 0 moves ~1.4Å compared to WT, which is also about twice the distance these residues move in the ZYA-T20S structure. Taken together the conformational changes induced by V24Y are highly similar to those induce by binding of the HbYX mimetic ZYA, but they are of greater magnitude in these structures. The observed greater change is likely attributable to the αV34Y mutation affecting the entire proteasome population within that structure. In contrast, ZYA is a low-affinity ligand, anticipated to occupy only a subset of the binding sites in its structures population. Since Cryo-EM averages all structures, the reduced conformational changes observed in the ZYA structure are expected to be due to this lower occupancy of ZYA.

K66 has long been known to be critical for binding to the C-termini of PA's[30,35,38]. We previously observed that K66 was repositioned by the binding of ZYA. Since no ligand occupies the intersubunit pocket in the V24Y T20S, we expected the Lys66 to have a similar conformation to WT, but we were surprised to find that K66 was similarly repositioned in the αV24Y T20S mutant, as it was in the ZYA-T20S, but to a slightly greater extent suggesting K66 may play mechanistic roles in gate opening in addition to its role in PA binding. Based on the comparison of the WT, ZYA bound and αV24Y T20S structures we conclude that the V24Y mutation by itself induces HbYX dependent conformational changes in the 20S proteasome.

Comparison of V24Y T20S to the WT T20S reveals specific intrasubunit conformational changes induced by αV24Y mutation.

It is remarkable that a single point mutation in the T20S can mimic a 3-residue motif, let alone the activation capacity of a large complex like PAN or PA26. While the α ring is an allosteric system with each neighboring α subunit effecting the next (e.g. a rigid body rotations of one α subunits is expected to affect its neighbor and so on), it's also likely that HbYX binding or the α V24Y mutation could cause conformational changes in a single α subunit as well, which could trigger allosteric transitions to the open state. Thus, we sought to identify the intrasubunit (within a single subunit) conformational changes (Fig. 3b) that could lead to larger intersubunit (between subunits) conformational changes (Fig. 3a) that perpetuate around the entire α-ring and induce gate-opening (Fig. 2b).

The substitution of αV24 for tyrosine presents a much larger and aromatic side chain in the hydrophobic pocket. This tyrosine could impose intrasubunit conformational changes on the surrounding secondary structures. To measure these changes, we aligned a single α subunit from the WT and one from the αV24Y T20S. We found that the distance between αL21 and αA154, residues that surround the hydrophobic pocket, indicate that the pocket is widened by ~1.3A due to the V24Y mutation (Fig. 3c vs. d). Another measurement of this pocket width between T124

and L21 also widens by ~1Å (Fig. 4e vs. f). However, the distance between αV24 or αV24Y to αL81 is consistent (Fig. 3c vs. d), suggesting that the hydrophobic pocket has not enlarged in all directions.

We hypothesize that the widening of the hydrophobic pocket that αV24Y occupies is the causal point of origin triggering the intersubunit conformational changes that we observed in Fig 2. The HbYX hydrophobic pocket occupied by αV24Y side chain is adjacent to another hydrophobic pocket found on the opposite side of Helix 0, that the side chain of αI12 (an IT Switch residue) occupies in the open state (Fig. 3e & f). Above, we showed in αV24Y T20S cryo-EM map that the IT switch is in the open state (Fig. 1), and the model, further confirms that the αI12 side chain is oriented to occupy the IT switch hydrophobic pocket adjacent to Helix 0 (Fig. 3E). Due to the adjacent proximity of both hydrophobic pockets (on either side of and below Helix 0), a conformational change in the HbYX hydrophobic pocket would also affect the IT switch's hydrophobic pocket since these pockets share common space. It is highly plausible that the change in the HbYX hydrophobic pocket, resulting from the larger side chain of tyrosine (compared to valine), could facilitate the switch between αT13 and αI12 in the IT switch hydrophobic pocket (Fig. 3e vs. f). The V24Y mutation increases the hydrophobicity and size of the pocket, likely enhancing the binding affinity for the larger hydrophobic side chain of isoleucine. In conclusion, it appears that occupancy of the HbYX hydrophobic pocket with an aromatic ring of tyrosine allosterically effects the IT switch hydrophobic pocket allowing the IT switch to reconfigure to an open state.

Proline 17 (P17) displacement is one of the first identified conformational changes associated with triggering gate opening, specifically by the 11S family members[30,33,39]. Interestingly, when the IT switch switches to an open configuration it generates "slack" between the IT switch and P17[38] (SFig. 6a,b). In αV24Y T20S, this "slack" appears to allow for an increase in the intrasubunit distance between Helix 0 and P17 (SFig. 6b). In addition, we observe an intrasubunit

displacement (not rotation based) of P17 by 1.5Å (Fig. 3g) consistent with additional slack from the switching of the IT switch. In addition, when looking at intrasubunit motions of P17 it is displaced by 1Å away from its neighbors Helix 0 (Fig. 3h); however, this distance between P17 and the neighboring Helix 0 does not change globally (Sfig. 6a,b) due to the rigid body rotation of the α subunits which maintain packing between the P17 loop and the neighboring helix 0. In short, the conformational change of the IT switch to the open state appears to provide flexibility for the Pro17 loop to absorb the rigid body rotation associated with HbYX induced gate-opening. Moreover, our structure suggests the widening of the hydrophobic pocket between αL21 and αA154 may also explain the shift in αP17, as αL21 is connected on the other side of the αP17 loop. Lastly we noticed that the side chain of E25, which is H-bonded to R28 in the WT structure (SFig. 7 a,b) is displaced by the V24Y mutation and now H-bonds with R20 (SFig. 7c,d), which is only 3 residues from the P17. In addition, this increases the distance between E25 and R20 (SFig. 7b vs. d) further providing "slack" for the P17 movement. We previously showed the mutation of E25 to Alanine, activated gate opening and prevented PA's from being able to induce gate opening in the T20S[38]. Therefore, biochemical evidence also supports an important role for this E25 rearrangement that is important for destabilizing the closed state and perhaps stabilizing the open state.

We also considered other mechanisms by which the αV24Y mutation might influence gate opening such as its side chain's polar interactions. We find that αY24 side chain interacted with the side chain of αD152 (SFig. 6c); however, no significant conformational changes were observed along the β-sheet that αD152 is positioned on. It was not apparent how the polar interaction between αY24 and αD152 might be associated with gate opening, other than the fact that the H-bond may help orient this tyrosine rotamer.

Comparison of activated T20S forms: αV24Y T20S and ZYA-T20S

The cryo-EM structure presented here (Fig. 1 & 2) and our prior published biochemical data[31] clearly demonstrate the open state of the V24Y-T20S. The substitution of αV24 for tyrosine was designed to mimic how the "Hb" group of the HbYX motif binds to its hydrophobic pocket, but does it? As previously mentioned, the global conformational changes in the αV34Y 20S were similar (i.e., minimal conformational changes in the β subunits, rigid body rotation of α subunits pivoting on Helix 2) (Fig. 1b and 2), suggesting that the mechanism of gate-opening by αV24Y mutation has mechanistic overlap with the HbYX mechanism (e.g. ZYA-T20S). Therefore, we sought to identify, at the molecular level, how the two 20S models compare and identify any intrasubunit conformational changes that can be specifically attributed to the occupancy of the hydrophobic pocket by the Hb component of the HbYX motif, without influence from the Y and X residues found in the HbYX motif. The αV24Y T20S allows for such analysis of the isolated effects of Hb occupancy in the hydrophobic pocket.

We expected αV24Y T20S to exhibit similar intrasubunit conformational changes to those found in ZYA-T20S, based on the hypothesis that αV24Y T20S is activated by a similar mechanism as ZYA-T20S. Comparison of αV24Y T20S against ZYA-T20S reveals that the intrasubunit conformational changes induced by αV24Y were highly similar as there were few differences between these α subunits when aligned to one another (Fig. 4b,c). Comparison of the hydrophobic pocket between the two open models: ZYA-T20S and αV24Y T20S shows that the Z group of ZYA and the V24Y tyrosine both clearly bind to the HbYX hydrophobic pocket and overlap in space, thought they do bind in different orientations (Fig. 4d). In addition, the measurements of αV24Y's side chain or Z of ZYA to surrounding residues within each individual subunit provide the most relevant visual approximation and overlap comparison of the "Z" and "V24Y" aromatic group positions in the HbYX hydrophobic pocket (SFig. 5a,b). For comparison, the distance between αV24 or αV24Y to αL81 marginally differs by ~0.3A with ZYA-T20S having the wider distance while the distance between αL21 and αL81 is comparable in both models (SFig.

5c,d). Mentioned previously, the measurement of the hydrophobic pocket between αL21 and αT124 is widened in the αV24Y T20S (~1Å; Fig 4e vs. f). Likewise, this pocket measurement also enlarges in the ZYA T20S structure compared to WT, though to a lesser extent (Fig. 4e vs. g), consistent with the observed extent of changes in these models (Fig. 2). However, the ZYA bound structure shows minimal changes in the measurements between L21 an A154 compared to the V24Y mutation, as the V24Y tyrosine is better positioned to influence this specific measurement. (Fig. 4h vs. 3d). In addition, we noted the placement of αI12's side chain in the IT switch hydrophobic pocket between Helix 0 and Helix 2 protrudes ~0.8Å deeper in the pocket in V24Y T20S compared to ZYA-T20S (Fig. 4h), consistent with the larger hydrophobic pocket under Helix 0 in the mutant.

Collectively, evidence would suggest that ZYA's mechanism as an activator is due to its hydrophobic group occupying the space between HbYX hydrophobic pocket, similar to αV24Y, but ZYA's mechanism also relies on others parts of the dipeptide as modifications to tyrosine also affected the small molecule's ability to activate[36]. In combination with the evidence shown here, we conclude that that the hydrophobic group of ZYA is likely the primarily feature allosterically affecting gate-opening but the insertion of this Hb group into the hydrophobic pocket also relies on interactions of the Y and A residues with the intersubunit pocket to provide sufficient binding affinity. As for αV24Y T20S, the hydrophobic component (tyrosine's side chain) is covalently held in place in the hydrophobic pocket and thus does not require the "YA" interactions and therefore, sufficiently supports stable gate opening through a similar mechanism to ZYA.

αK66 supports gate opening through a previously unknown mechanism.
Many studies in the past have highlighted the importance of αK66, found in the back loop of the intersubunit pocket (Fig. 2d and 5a), and our αV24Y T20S structure showed that it's αK66 was reorganized similar to when ZYA binds. These observations inspired us to elucidate how intrasubunit conformational changes in K66 may be affected by αV24Y. This αK66 is well known

to form hydrogen or salt bond with the C-termini of PA's and is required for PA binding to the 20S, but how is K66 affected when there is no bound PAs? The back loop of the intersubunit pocket is naturally a more flexible loop but in the αV24Y T20S this loop does have significant intrasubunit conformational changes (Fig. 5a), with this loop being displaced by ~3A (intersubunit measurements are similar). Additionally, we noticed αK66 moved 1Å towards αT78 and its side chain is oriented to be in closer proximity to hydrogen bond with both αT78 and αE211 (Fig. 5b). Such interactions are not observed in the WT T20S (Fig. 5c) but are seen in the ZYA-T20S (Fig. 5d). αK66A mutants do not exhibit activation by HbYX-dependent or HbYX-independent activators leading prior studies to conclude that αK66 was important for binding of proteasome activators[30,35]. (Figure 5D shows how the C-terminus of ZYA interacts ionically with the K66.) However, the repositioning of αK66 in the αV24Y T20S structure, which does not have a proteasome activator bound, indicates that αK66 may also be involved in stabilizing the open state on its own. To test this hypothesis, we generated a double mutant proteasome, αV24Y-αK66A T20S. We isolated this mutant and measured fluorogenic peptide hydrolysis activity (LFP) and found it was similar to WT and not hyperactive like αV24Y T20S (Fig. 5e). These enzymatic results support the conclusion that αK66 does not only interact with proteasome activators to support gate opening but is also critically involved in stabilizing the open state of the α subunit gate by forming new interactions with αT78 and αE211 in the open state.

αV24Y mutation affected the hydrophilicity of the intersubunit pocket.
Our previous study of ZYA-T20S suggested that the HbYX mechanism of activation involved the rearrangement of water molecules, so we sought to determine if the same applies in αV24Y T20S. The mutation essentially introduced a larger hydrophobic side chain to the intersubunit pocket and therefore, we expected rearrangement of water molecules. Our map and model suggest that the αV24Y mutation either excluded or rearranged water molecules found in the WT T20S intersubunit pocket (Fig. 6a,b). Additionally, none of the water molecules placement in αV24Y T20S coincided with the exact placement and interactions as in ZYA-T20S (Fig. 6c, suggesting

that waters were involved in ZYA's binding to the intersubunit pocket may not be relevant in αV24Y T20S. This is unsurprising given that ZYA is a molecule that occupies a good portion of intersubunit pocket relative to the small change caused by the V24Y mutation. The results collectively suggest that while water interactions in the interunit pockets change substantially in the open versus closed states (at least at these resolutions), we do not observe specific waters shared in these two different open 20S models that would suggest key waters being involved in stabilization of the open gate.

αV24F T20S is not activated similarly to αV24Y T20S.
Similar to αV24Y T20S, αV24F T20S showed increased basal activity compared to WT T20S biochemically. Therefore, we sought to elucidate whether the mechanism of activation would be similar if the substitution were to a phenylalanine as opposed to a tyrosine. Comparison of the αV24F T20S map to αV24Y T20S and WT T20S maps and models reveal a distinct lack of densities in the α subunits when αV24 is mutated to phenylalanine (Fig. 7a). The lack of densities is most apparent from the N-termini and through Helix 0, though the map was also lacking densities in other selected regions of the α subunit. Globally analyzing the αV24F T20S map suggests that the αV24F mutation affected the proper folding of secondary structures surrounding the αV24F mutation (e.g. on Helix 0) but interestingly this lack of Helix 0 folding did not affect the assembly of the proteasome itself (Fig. 7b,c). The difference between maps indicates that the β subunits are minimally affected in the assembly of the proteasome and that the lack of densities affected the α subunit throughout, though they were most apparent around the gating regions (Fig. 7d,e). The lack of densities in αV24F T20S map suggests that substrates could enter the mutant proteasome more easily through the larger opening and therefore, biochemically exhibited kinetics comparable to or even exceeding the activated proteasome[31].

DISCUSSION

Proteasome impairment and reduced proteostasis are common observation in clinical cases and animal models of neurodegenerative diseases such as Alzheimer's and Parkinson's, has inspired the search for small molecule proteasome activators for years. Yet, there is a lack of robust small molecule proteasome activators to date, in part due to a lack of understanding in proteasome activation mechanisms. The α intersubunit pockets has long been known to be the binding site of PAs complexes but the exact molecular interactions between the intersubunit pockets and the PAs that cause gate-opening have been elusive, preventing efficacious design of proteasome-activating small molecules. While no FDA-approved *in vivo* proteasome-activating small molecule exists as a tool for proof of concept, our previous work[18] to express constitutively active 20S proteasomes in *C. elegans* indicated that the mutant proteasomes supported an increased resistance to proteotoxicities and increased lifespan, supporting the rationale for this study. Here, we present a single specific binding site within the intersubunit pocket that when occupied, is sufficient to induce gate opening and therefore, a plausible and well-defined pharmaceutical target for proteasome activators.

To identify the fundamental molecular interaction(s) required for gate-opening, we focused on the archaeal proteasome as it is homoheptameric, which is particularly an advantage when desiring a high resolution cryo-EM structure to map detailed interactions. Moreover, the archaeal proteasome still carries the conserved structures involved in gating, implying that the findings of this study could be applied to the human system with some caveats discussed below. We recently demonstrated that the αV24Y mutant archaeal proteasomes exhibited upregulated activity[31]. Our αV24Y T20S structure here reveals intersubunit conformational changes essentially identical to a HbYX-bound archaeal proteasome (ZYA-T20S)[31], demonstrating that it is in an open state, allowing us to further elucidate how this single residue mutation opens the gate, and by extension, better understand the mechanism of gate opening by the HbYX motif itself.

Collectively, our findings in αV24Y T20S focus on a mechanism of gate-opening originating at the occupancy of a hydrophobic pocket between αL21 and αT124. The αV24Y mutation places an aromatic side chain in between αL21 and αT124 (as well L21 and A154), similar to the position of the carboxybenzyl group (Z) of ZYA in the ZYA-T20S structure. The occupancy of this hydrophobic pocket by αV24Y expands the adjacent pocket where αI12 of the IT Switch is previously found to bind in open gate proteasome structures. αL21, displaced by αY24's larger side chain compared to αV24, is connected to the Pro17 loop, which has been commonly shown to be displaced in open gate proteasome structures. The results here also suggest that this mechanism applies to the ZYA-T20S, though it may not be the only mechanism supporting ZYA's gate-opening as ZYA is a dipeptide as opposed to a single residue mutation (αV24Y) that occupies the hydrophobic pocket. For example, the YA residues bridge the subunit from the K66 to P17 loop, which also likely stabilizes the open state[38]. The conformational changes between the two models are consistent, although αV24Y T20S exhibits larger conformational changes likely due cryo EM averaging effects in the lower populated ligand states (ZYA) compared to the V24Y mutant. ZYA, as a dipeptide, has the ability to and perhaps, even rely on, interacting with other parts of the intersubunit pocket to supports its binding and orient the Z group in the hydrophobic pocket. Results from both αV24Y T20S and ZYA-T20S both suggest that occupying the hydrophobic pocket is a key to gate opening in the archaeal proteasome, and potentially the mammalian proteasome if placed in the right pocket(s).

The two key residues that "sandwiches" αV24Y's side chain and forms the hydrophobic pocket, αL21 and αA154, are conserved in the human 20S α intersubunit pockets (SFig. 7), indicating that a similar mechanism of occupying this hydrophobic pocket is used for gate-opening in the human system. Five out of seven H20S α subunits carry leucine in a similar position to αL21 in the T20S. The remaining two subunits carry an isoleucine (α6: PSMA1) and valine (α7: PSMA3), which are hydrophobic like leucine. Additionally, while αA154 is only conserved as alanine in α1:

PSMA6, the rest of the subunits carry a methionine in place, which is similarly hydrophobic. Previous analysis[31] also indicated that the H20S has analogs of the IT switch in selected α subunits, which suggests that targeting this hydrophobic pocket at selected α subunits with the conserved IT switch would have a greater effect on gate-opening than others. Any application of this mechanism in the human system is still complicated and should also consider the prior prediction that multiple intersubunit pockets likely needs to be occupied by the HbYX motifs for gate-opening[36]. The conservation of residues and conformational changes between archaeal and human proteasomes for gate opening presents potential for the findings in this study to translate to activating the human 20S.

Interestingly, our αV24F T20S structure indicates that the position of this residue in the protein chain plays a role in α subunit folding. Our αV24F T20S cryo EM map reveals that the α subunits are partially assembled, with the majority of regions proximal to the gating residues lacking densities, suggesting disorder. Yet, the β subunits appear functional, both structural and biochemically, which suggests that the proteasome does not require perfectly formed α subunits for complete proteasome assembly. We notice that the hydroxyl group of αV24Y's side chain is involved in polar interactions, however, we do not presume this to be involved in α subunit assembly as valine lacks the side chain to interact similarly. Rather, we speculate that αV24F may introduce too large of a hydrophobic group that, without a hydroxyl to interact with αD152 and stabilize the aromatic ring's orientation, adopts conformations which prevent proper folding interactions.

Collectively, our analysis indicates that the HbYX hydrophobic pocket expands due to αV24Y's more bulky side chain, which likely sets of a chain of events starting with the IT switch, which are schematized in figure 8. The wider and now more hydrophobic IT switch pocket likely promotes IT switch to switch to the open state, providing flexibility for P17 intrasubunit motions. In addition, the widening of the hydrophobic pocket and rearrangement of the E25 H-bonding pattern also

appears to allow more flexibility for P17 loop motions. Combine these intrasubunit conformational changes converge on lengthening the P17 loop, which is expected to increase its flexibility allowing it to that absorb the rigid body rotations of the α subunits (intersubunit motions) that can allosterically perpetuate around the ring. Though this causal chain of events is supported by these structures, the timing and order of these events cannot be elucidated with these static structures, though the structures here do suggest a plausible molecular mechanism of how a single residue mutation in the HbYX hydrophobic pocket can induce 20S gate opening (Fig. 8).

Our findings in this study also highlight and revise an understanding of the αK66's role in proteasomal gate-opening. Previous findings have consistently alluded to its critical role for the associate of HbYX-dependent and HbYX-independent PAs, as αK66 was shown to interact with and orient the C-terminal tails of PAs for gate-opening[30,34,38–40]. To our surprise, the V24Y T20S structure demonstrates that αK66's side chain conformation changes even though it does not interact with a PA or HbYX mimetic such as ZYA. Our double mutant αV24Y&K66A's basal activity supports the necessity of the conformational change observed in the model and indicates the involvement of αK66 in the gate-opening through a previously unreported mechanism. The conformational change in αK66 is supported by changes in polar interactions between the αK66 residue and surrounding residues, which are absent in the closed structure (WT). These findings therefore suggest K66's conformational changes may support other conformational changes such as the conformation of the back loop, which it is connected to and has been shown to be important for gate opening[38]. Essentially, αK66 is deduced to contribute more than interacting with activators, but also appears to be necessary to stabilize the open state of the 20S.

Prior study showed the possible role waters could play in proteasome activation and gating regulation. Our ZYA-T20S structure indicated that the hydroxyl of ZYA's tyrosine side chain interacted with a water molecule that further hydrogen bonded with αL21 and αE25. To elucidate the relevance and importance of this water interaction, we mutated αE25. E25A proteasome

mutants exhibited an upregulated basal activity that was not further activated by PAN or PA26, suggesting it plays an important role in gating. To elucidate whether a similar mechanism occurs in αV24Y T20S open gate conformation, we sought to identify where waters might be found within the intersubunit pocket. Broadly, we observed significantly fewer waters in the intersubunit pockets of αV24Y T20S than we did in the WT T20S and ZYA-T20S. We note that αV24Y T20S's resolution is not as high as ZYA-T20S but is reasonable for predicting water coordinates. Because no common water was found in the two different open states, the role of water in gate-opening is unclear.

Ultimately, the findings described indicate that the occupancy of the hydrophobic pocket within the α intersubunit pocket is sufficient to induce gate-opening in archaeal proteasomes. This finding's application in the mammalian system relies on further identification on the minimum HbYX binding requirements and the critical intersubunit pockets in that system. Our prior studies involving ZYA have indicated a minimum of two HbYX motifs binding for gate-opening in the mammalian system[36]. However, the mammalian 20S has 7 different pockets that can be occupied in different ways by 6 different Rpt1-6 C-termini, so the specific intersubunits pockets that need C-termini/HbYX motifs bound to activate gate opening has not been worked out. Although all cryo EM studies to date agree that occupancy of five Rpt C-termini is sufficient for gate opening. Further progress on the HbYX mechanism based on the results thus far would be to identify the specific intersubunit pockets that need to be occupied by the HbYX motif to induce gate-opening in the mammalian proteasomes.

The work presented here is conducted with the intentions of restoring proteasome activity to combat the impairment consistently observed in neurodegenerative diseases, which is barred by our limited understanding of proteasome regulation. Here, we advance the fields understand of proteasomal gate-opening by extending beyond the vague idea that HbYX motif binding induces gate opening, to pinpointing a sole molecular interaction that significantly and sufficiently opens

the proteasome's gate. Our findings inform proteasome activating drug discovery efforts to focus on small molecules that occupies the HbYX hydrophobic pocket.

METHODS

Proteasome purifications

T. acidophilum wild-type 20S, αV24Y 20S, or αV24F 20S proteasomes were similarly purified as described[41], using the 8XHis tags on the C-terminus of the β subunits. All T20S mutants were generated by overlapping PCR site-directed mutagenesis.

Proteasome activity assays

Fluorogenic substrate peptide, LFP (Mca-AKVYPYPME-Dpa(Dnp)-amide), were synthesized by from EZBiolabs. LFP was dissolved in DMSO and incubated with proteasomes at 3μM final concentration. The final concentration of DMSO in activity assays was 1%. Protein concentrations were determined by Bradford assay (Thermo Scientific). 0.25ug archaeal proteasomes and LFP peptides were added to 25uL Tris-based reaction buffer at 45ºC. Assays were performed for 30mins to an hour and analyzed using BioTek Gen5 Data Analysis software. Activity was measured as relative fluorescence units/minute (rfu/min), generating a curve which was used to calculate the initial velocity, according to the slope of the curves. "Fold Activation" was calculated by dividing the average initial velocity of mutant proteasomes against the average initial velocity of wild type proteasomes.

Cryo-EM Sample Preparation and Data Collection

Copper Quantifoil R 1.2/1.3 300 mesh (EMS) grids were cleaned using a PELCO easiGlow Glow Discharge cleaning system. A volume of 3 uL of 0.75mg/mL αV24Y-T20S or αV24F T20S (suspended in 50mM Tris pH 7.4, 150mM NaCl) sample was placed onto a grid, and then flash frozen in liquid ethane using a manual plunge freeze apparatus. Data collection was done using a Titan Krios transmission electron microscope (Thermo Fisher) operated at 300kW and a magnification of x81,000, which resulted in 0.503Å/px. Images were collected using a Falcon IIIEC

direct electron detector camera equipped with a K3/GIF operating in counting and super resolution modes. Electron dose per pixel of 50 e-/Å2 was saved as 40 frame movies within a target defocus range of -2.5 to -1.25. All the data was collected using cryoSPARC software (Structura Biotechnology Inc)[42].

Cryo-EM Single Particle Analysis

Cryo-EM images of the αV24Y T20S and αV24F T20S proteasome were analyzed using cryoSPARC. Schematic for cryo-EM single-particle data processing available in supplement.

αV24Y T20S: From 3663 movies collected, we picked 396542 particles after two rounds of 2D Classification to generate an Ab-initio model, which was used for homogeneous refinement (using D7 symmetry). Particle processing scheme and validations are shown in SFig 1 and 2.

αV24F T20S: From 4920 movies collected, we picked 542722 after two rounds of 2D Classification to generate an Ab-initio model, which was used for homogenous refinement (using D7 symmetry). Particle processing scheme and validations are shown in SFig 3 and 4.

All representations of the T20S proteasome complex were created using PyMol 2.5.1 and UCSF ChimeraX v1.7.1[43,44].

Atomic model building

The atomic models were built using PDB: 8F7K as a template, rigid body fitting into the electron density map using PHENIX 1.19.2-4158[45,46]. The docked models were subjected to a cycle of morphing and simulated annealing, five real-space refinement macrocycles with atomic displacement parameters, secondary structure restraints, and local grid searched in PHENIX. Consequently, the models were refined by oscillating between manual real-space refinement in WinCoot 0.9.8.1 EL and real-space refinement in PHENIX (five macrocycles, without morphing

and simulated annealing). Waters were added to models using PHENIX Douse. The final model was deposited at the wwPDB: 9BUZ.

Statistical analysis

Data were analyzed in Graph Pad or excel using an unpaired Student's t-test (Prism). For all statistical analyses, a value of $p < 0.05$ was considered significant.

Data availability

Cryo-EM maps are deposited in the Electron Microscopy Data Bank (EMDB) under accession codes, EMD-44914 (αV24F T20S) and Coordinates are available from the RCSB Protein Data Bank under accession codes, 9BUZ (V24Y-T20S), 8F7K (ZYA-T20S), 8F6A (WT T20S), and 8F66 (T20S-αL81Y). The authors declare that data supporting the findings of this study are available within the paper and its supplementary information files and are available from the corresponding author upon request.

ACKNOWLEDGEMENTS

We thank the members of the Smith Lab for the helpful and valuable discussions. Transmission electron micrographs were recorded at the University of Virginia Molecular Electron Microscopy Core facility (RRID:SCR_019031), which is supported in part by the School of Medicine and built with NIH grant G20-RR31199. In addition, the Titan Krios (S10-RR025067), Falcon II/3EC direct detector (S10-OD018149), and K3/GIF (U24-GM116790) were purchased in part or in full using the designated NIH grants. Molecular graphics and analyses performed with UCSF ChimeraX, developed by the Resource for Biocomputing, Visualization, and Informatics at the University of California, San Francisco, with support from National Institutes of Health R01-GM129325 and the Office of Cyber Infrastructure and Computational Biology, National Institute of Allergy, and Infectious Diseases. This work was supported by NIH-R01AG064188 to D.M.S.

AUTHOR CONTRIBUTIONS

J.J.Y.C and **M.R.D.** prepared WT T20S, αV24Y T20S, and αV24F T20S for cryo-EM and activity assays. **J.J.Y.C.** and **D.M.S.** analyzed cryo-EM data, reconstructed the αV24Y T20S and αV24F T20S structures. **J.J.Y.C.** and **M.R.D.** performed enzymatic assays of the archaeal proteasomes. **J.J.Y.C.** and **D.M.S.** generated PyMOL figures. Results were analyzed and interpreted by **J.J.Y.C.** and **D.M.S.** Manuscript was prepared by **J.J.Y.C.**, **M.R.D.**, and **D.M.S.** All authors reviewed the results and approved the final version of this manuscript.

COMPETING INTERESTS

The authors declare no competing interests.

REFERENCES

1. Cohen-Kaplan, V., Livneh, I., Avni, N., Cohen-Rosenzweig, C. & Ciechanover, A. The ubiquitin-proteasome system and autophagy: Coordinated and independent activities. *Int. J. Biochem. Cell Biol.* **79**, 403–418 (2016).

2. Bialek, W., Collawn, J. F. & Bartoszewski, R. Ubiquitin-Dependent and Independent Proteasomal Degradation in Host-Pathogen Interactions. *Molecules* **28**, 6740 (2023).

3. Demasi, M. & da Cunha, F. M. The physiological role of the free 20S proteasome in protein degradation: A critical review. *Biochim. Biophys. Acta BBA - Gen. Subj.* **1862**, 2948–2954 (2018).

4. Khaminets, A., Behl, C. & Dikic, I. Ubiquitin-Dependent And Independent Signals In Selective Autophagy. *Trends Cell Biol.* **26**, 6–16 (2016).

5. Makaros, Y. *et al.* Ubiquitin-independent proteasomal degradation driven by C-degron pathways. *Mol. Cell* **83**, 1921-1935.e7 (2023).

6. Tsuchiya, H., Endo, A. & Saeki, Y. Multi-Step Ubiquitin Decoding Mechanism for Proteasomal Degradation. *Pharm. Basel Switz.* **13**, 128 (2020).

7. Hegde, A. N. Proteolysis, synaptic plasticity and memory. *Neurobiol. Learn. Mem.* **138**, 98–110 (2017).

8. Park, H. & Kaang, B.-K. Balanced actions of protein synthesis and degradation in memory formation. *Learn. Mem.* **26**, 299–306 (2019).

9. Patrick, M. B., Omar, N., Werner, C. T., Mitra, S. & Jarome, T. J. The ubiquitin-proteasome system and learning-dependent synaptic plasticity – A 10 year update. *Neurosci. Biobehav. Rev.* **152**, 105280 (2023).

10. Lip, P. Z. Y., Demasi, M. & Bonatto, D. The role of the ubiquitin proteasome system in the memory process. *Neurochem. Int.* **102**, 57–65 (2017).

11. Jarome, T. J. & Devulapalli, R. K. The Ubiquitin-Proteasome System and Memory: Moving Beyond Protein Degradation. *The Neuroscientist* **24**, 639–651 (2018).

12. Keller, J. N., Hanni, K. B. & Markesbery, W. R. Impaired Proteasome Function in Alzheimer's Disease. *J. Neurochem.* **75**, 436–439 (2000).

13. Ciechanover, A. & Brundin, P. The ubiquitin proteasome system in neurodegenerative diseases: sometimes the chicken, sometimes the egg. *Neuron* **40**, 427–446 (2003).

14. McNaught, K. S., Olanow, C. W., Halliwell, B., Isacson, O. & Jenner, P. Failure of the ubiquitin-proteasome system in Parkinson's disease. *Nat. Rev. Neurosci.* **2**, 589–594 (2001).

15. Ortega, Z., Díaz-Hernández, M. & Lucas, J. J. Is the ubiquitin-proteasome system impaired in Huntington's disease? *Cell. Mol. Life Sci. CMLS* **64**, 2245–2257 (2007).

16. McKinnon, C. & Tabrizi, S. J. The ubiquitin-proteasome system in neurodegeneration. *Antioxid. Redox Signal.* **21**, 2302–2321 (2014).

17. McNaught, K. S. P. *et al.* Proteasome inhibition causes nigral degeneration with inclusion bodies in rats. *Neuroreport* **13**, 1437–1441 (2002).

18. Anderson, R. T., Bradley, T. A. & Smith, D. M. Hyperactivation of the proteasome in Caenorhabditis elegans protects against proteotoxic stress and extends lifespan. *J. Biol. Chem.* **298**, 102415 (2022).

19. Njomen, E. & Tepe, J. J. Proteasome Activation as a New Therapeutic Approach To Target Proteotoxic Disorders. *J. Med. Chem.* **62**, 6469–6481 (2019).

20. VerPlank, J. J. S., Lokireddy, S., Zhao, J. & Goldberg, A. L. 26S Proteasomes are rapidly activated by diverse hormones and physiological states that raise cAMP and cause Rpn6 phosphorylation. *Proc. Natl. Acad. Sci.* **116**, 4228–4237 (2019).

21. VerPlank, J. J. S., Tyrkalska, S. D., Fleming, A., Rubinsztein, D. C. & Goldberg, A. L. cGMP via PKG activates 26S proteasomes and enhances degradation of proteins, including ones that cause neurodegenerative diseases. *Proc. Natl. Acad. Sci.* **117**, 14220–14230 (2020).

22. Myeku, N., Wang, H. & Figueiredo-Pereira, M. E. cAMP stimulates the ubiquitin/proteasome pathway in rat spinal cord neurons. *Neurosci. Lett.* **527**, 126–131 (2012).

23. Wang, X., Yen, J., Kaiser, P. & Huang, L. Regulation of the 26S Proteasome Complex During Oxidative Stress. *Sci. Signal.* **3**, ra88–ra88 (2010).

24. Groll, M. *et al.* A gated channel into the proteasome core particle. *Nat. Struct. Biol.* **7**, 1062–1067 (2000).

25. Rabl, J. *et al.* Mechanism of gate opening in the 20S proteasome by the proteasomal ATPases. *Mol. Cell* **30**, 360–368 (2008).

26. Ding, Z. *et al.* Structural Snapshots of 26S Proteasome Reveal Tetraubiquitin-Induced Conformations. *Mol. Cell* **73**, 1150-1161.e6 (2019).

27. Dong, Y. *et al.* Cryo-EM structures and dynamics of substrate-engaged human 26S proteasome. *Nature* **565**, 49–55 (2019).

28. Wehmer, M. *et al.* Structural insights into the functional cycle of the ATPase module of the 26S proteasome. *Proc. Natl. Acad. Sci. U. S. A.* **114**, 1305–1310 (2017).

29. Eisele, M. R. *et al.* Expanded Coverage of the 26S Proteasome Conformational Landscape Reveals Mechanisms of Peptidase Gating. *Cell Rep.* **24**, 1301-1315.e5 (2018).

30. Förster, A., Masters, E. I., Whitby, F. G., Robinson, H. & Hill, C. P. The 1.9 A structure of a proteasome-11S activator complex and implications for proteasome-PAN/PA700 interactions. *Mol. Cell* **18**, 589–599 (2005).

31. Chuah, J. J. Y., Rexroad, M. S. & Smith, D. M. High resolution structures define divergent and convergent mechanisms of archaeal proteasome activation. *Commun. Biol.* **6**, 1–16 (2023).

32. Religa, T. L., Sprangers, R. & Kay, L. E. Dynamic regulation of archaeal proteasome gate opening as studied by TROSY NMR. *Science* **328**, 98–102 (2010).

33. Stadtmueller, B. M. & Hill, C. P. Proteasome activators. *Mol. Cell* **41**, 8–19 (2011).

34. Peña, A. H. de la, Goodall, E. A., Gates, S. N., Lander, G. C. & Martin, A. Substrate-engaged 26S proteasome structures reveal mechanisms for ATP-hydrolysis–driven translocation. *Science* **362**, (2018).

35. Smith, D. M. *et al.* Docking of the Proteasomal ATPases' C-termini in the 20S Proteasomes alpha Ring Opens the Gate for Substrate Entry. *Mol. Cell* **27**, 731–744 (2007).

36. Chuah, J. J., Thibaudeau, T. A. & Smith, D. M. Minimal mechanistic component of HbYX-dependent proteasome activation that reverses impairment by neurodegenerative-associated oligomers. *Commun. Biol.* **in press**, (2023).

37. Yu, Y. *et al.* Interactions of PAN's C-termini with archaeal 20S proteasome and implications for the eukaryotic proteasome–ATPase interactions. *EMBO J.* **29**, 692–702 (2010).

38. Chuah, J. J. Y., Rexroad, M. S. & Smith, D. M. High resolution structures define divergent and convergent mechanisms of archaeal proteasome activation. *Commun. Biol.* **6**, 1–16 (2023).

39. Hill, C. P., Masters, E. I. & Whitby, F. G. The 11S regulators of 20S proteasome activity. *Curr. Top. Microbiol. Immunol.* **268**, 73–89 (2002).

40. Dong, Y. *et al.* Cryo-EM structures and dynamics of substrate-engaged human 26S proteasome. *Nature* **565**, 49–55 (2019).

41. Dorn, I. T., Eschrich, R., Seemüller, E., Guckenberger, R. & Tampé, R. High-resolution AFM-imaging and mechanistic analysis of the 20 S proteasome. *J. Mol. Biol.* **288**, 1027–1036 (1999).

42. Punjani, A., Rubinstein, J. L., Fleet, D. J. & Brubaker, M. A. cryoSPARC: algorithms for rapid unsupervised cryo-EM structure determination. *Nat. Methods* **14**, 290–296 (2017).

43. Goddard, T. D. *et al.* UCSF ChimeraX: Meeting modern challenges in visualization and analysis. *Protein Sci. Publ. Protein Soc.* **27**, 14–25 (2018).

44. Pettersen, E. F. *et al.* UCSF ChimeraX: Structure visualization for researchers, educators, and developers. *Protein Sci. Publ. Protein Soc.* **30**, 70–82 (2021).

45. Liebschner, D. *et al.* Macromolecular structure determination using X-rays, neutrons and electrons: recent developments in Phenix. *Acta Crystallogr. Sect. Struct. Biol.* **75**, 861–877 (2019).

46. Adams, P. D. *et al.* PHENIX: a comprehensive Python-based system for macromolecular structure solution. *Acta Crystallogr. D Biol. Crystallogr.* **66**, 213–221 (2010).

a. **b.**

WT T20S **αV24Y T20S** **ZYA-T20S**

c. **d.** **e.**

f. **g.** **h.**

i. **j.** **k.**

Figure 1

Figure 1: Overlay of cryo EM maps shows V24Y T20S has similar conformational changes as ZYA bound T20S and is in the gate-open state.

a. Overlay of wild-type (WT) T20S (yellow) and αV24Y T20S (red) electron density maps, showing the α and β rings. Whole maps were aligned to one another.

b. Overlay of αV24Y T20S (red) and ZYA-T20S (blue) electron density maps, showing the α and β rings. V24Y T20S shows similar but larger conformational changes than does ZYA-T20S.

c. Close up of the intersubunit pocket in the WT T20S (yellow) electron density map, highlighted in **a** in the larger neon green box outline.

d, e. Same as **c**, but for αV24Y T20S (red) and ZYA-T20S (blue). Arrows point to the density corresponding to αV24Y and Z of ZYA, respectively.

f. Close up of the WT T20S (yellow) electron density map, corresponding to the YDR motif, the missing density for tyrosine is highlighted by the neon green dotted circle, which is expected in the closed state. The relative position of the close up is indicated by the smaller neon blue box outline in **a**.

g, h. Same as **f**, but for αV24Y T20S (red) and ZYA-T20S (blue). Neon green dotted circle highlight the YDR tyrosine side chain density, which is expected to be in this position in the open gate conformation.

i. Close up of the WT T20S (yellow) electron density map, corresponding to the IT Switch, highlighted by the neon green dotted circle.

j, k. Same as **I** but for αV24Y T20S (red) and ZYA-T20S (blue). Changes in the conformation of the IT switch indicates switching from the closed to the open state.

WT T20S   αV24Y T20S   ZYA-T20S

Figure 2: Atomic model of V24A T20S compared to WT and ZYA-T20S shows it has undergone HbYX-dependent conformational changes associated with 20S gate-opening.

a. Atomic model overlay of WT T20S (yellow), αV24Y T20S (red), and ZYA-T20S (blue) aligned by the β rings to show conformational changes in the α subunits. Top half of sideview of 20S is shown. Conformational changes in the α-ring are clearly visible (black arrows).

b. Top view of **a**. Conformational changes noted by (black arrows).

c. Closeup view of one of the intersubunit pockets as in b. Proline 17 is shown with a black arrow.

d. Closeup view of K66 which is labeled.

Figure 3: αV24Y mutation induces intrasubunit conformational changes in the HbYX hydropbobic pocket, IT switch pocket, and P17 loop.

a. Overlay of WT T20S (yellow) and αV24Y T20S (red) α subunit models, aligned by the β rings.

b. Same as **a** except the models were aligned according to individual α subunit.

c. Close up of the intersubunit pocket in αV24Y T20S (red), showing distances between the α-carbon selected residues (labeled and shown in sticks) to demonstrate conformational changes when compared to the WT T20S (yellow) model in **d**. Models are aligned by individual α subunit.

d. Same as **c** except it is the WT T20S (yellow) model.

e. Close up on the residues of the IT Switch (sticks) and αV24Y (sticks), showing the distance between the α-carbon of αV24Y and αA154 (sticks) to demonstrate conformational changes in the open state, when compared to the WT T20S (yellow) model in **f**. Models are aligned by individual α subunit.

f. Same as **e** except it is the WT T20S (yellow) model.

g. Close up of Helix 0 and selected surrounding residues (shown in sticks) in an overlay of WT T20S (yellow) and αV24Y T20S (red) α subunits, aligned by individual α subunit. Distances are measured between α-carbons and colored according to the model it corresponds to.

h. Close up of intrasbunit conformational change in the IT switch and P17 loop providing flexibility to allow for intersubunit rigid body rotation. Distance shown are intrasubunit conformational change in the V24Y T20S and do not represent the global conformational changes, as the P17 packing against the neighboring Helix 0 is similar in open and closed states (SFig. 6a).

Figure 4: αV24Y mutation and ZYA binding both induce similar intrasubunit conformational changes.

a. Same as 3**a** except for αV24Y T20S (red) and ZYA-T20S (blue). Models are aligned by the β ring.

b. Same as **3b** except for αV24Y T20S (red) and ZYA-T20S (blue). Models are aligned by individual α subunit.

c. Same as **b** except showing a closeup of the helix 4, 5, and 6 bundle.

d. WT T20S, V24Y T20S, and ZYA-T20S are aligned by beta subunits and V24Y residue and ZYA are both shown in space-fill model to appreciate the level of spatial overlap between the V34Y tyrosine ring and the Z ring of ZYA, demonstrating they each occupy the same HbYX hydrophobic pocket in the T20S.

e. The HbYX hydrophobic pocket measurement: T124 to L21 distance in WT T20S.

f. The HbYX hydrophobic pocket measurement: T124 to L21 distance in V24Y T20S.

g. The HbYX hydrophobic pocket measurement: T124 to L21 distance in ZYA-T20S.

h. Same as **4b** with a focus on the IT switch hydrophobic pocket. Distances noted are colored according to the models they correspond to representing intrasubunit differences. Models are aligned by individual α subunit.

Figure 5

Figure 5: αLysine66 plays a key role in stabilizing the open state of the T20S, even in the absence of proteasome activator binding.

a. Overlay of WT T20S (yellow) and αV24Y T20S (red), aligned by individual α subunits, showing a close up of the αK66 (shown in sticks) back loop. Distance measured demonstrates the extent of conformational changes in the "back loop" and K66 α carbon.

b. Close up of αV24Y T20S (red) model, showing the polar interactions (yellow dotted lines) between αK66 and surrounding residues (shown in sticks). Distances are measured between the exact atoms involved in the polar interactions.

c. Same as **b** except it is the WT T20S (yellow) model.

d. Same as **b** except it is the ZYA-T20S (blue) model and ZYA (gold).

e. WT T20S and mutants were measured for activity based on LFP degradation rate (rfu/min), normalized to the WT T20S as a control. Data (means) are representative of three or more independent experiments, each performed in triplicate. Error bars represent ± standard deviation.

a.

Water	Residue
(light blue)	α₁G166
(dark green)	α₁T124
(dark green)	α₁A154
(teal)	α₁I157
(teal)	α₁N158
(magenta)	α₁T156
(brown)	α₂A29
(purple)	α₂A83
(dark magenta)	α₂G80
(dark magenta)	α₂V82

b.

Water	Residue
(green)	α₁D150
(green)	α₁T156
(green)	α₁N158
(brown)	α₂K33
(pink)	α₂G80

c.

Water	Residue
(red)	ZYA-Tyr
(red)	α₁L21
(red/light blue)	α₁E25
(light blue)	α₁E29
(dark blue)	α₁D150
(dark blue)	α₁T156
(orange)	ZYA-backbone
(yellow)	α₂E29
(yellow)	α₂K33
(orange)	α₂V82
(orange)	α₂A83
(green)	α₂D84

Figure 6

Figure 6: The interunit pocket of the αV24Y T20S is less populated with waters, relative to ZYA T20S and WT T20S.

a. Intersubunit pocket of WT T20S model (yellow) showing waters (spheres) and their interactions (yellow dotted lines) with the residues (shown in sticks, listed in table) in the intersubunit pocket. Spheres are colored individually and match the colors on the table (right) to identify residues it interacts with.

b, c. Same as **a** except αV24Y T20S model (red) and ZYA-T20S model (blue).

a.

b

αV24F T20S

b.

α ring

β ring

c.

d.

αV24Y T20S
subtract
αV24F T20S Map

e.

WT T20S (EMDB-28878)
subtract
αV24F T20S Map

Figure 7

Figure 7: A large portion of the N-terminal domain of the αV24F T20S mutant is unstructured.

a. Angled view of αV24F T20S 2.2Å electron density map (green), showing the α and β rings.

b. Overlay of αV24F T20S map with αV24Y T20S map (red; transparent surface; left) and WT T20S map (yellow; transparent surface; right).

c. Same as **b** except αV24Y T20S model (red, cartoon, left) and WT T20S model (yellow; cartoon; right).

e. Difference map generated by subtracting αV24F T20S map from αV24Y T20S map, using ChimeraX.

f. Same as e except WT T20S map instead of αV24Y T20S map.

Figure 8. Model for induction of gate opening by binding of the Hb group binding to the HbYX hydrophobic site in the 20S intersubunit pockets.
Binding of the Hb group of the HbYX motif, or tyrosine of V24Y, is expected to initiate an allosteric chain of events that leads to 20S gate opening. Hb group binding causes:
1) Increase the size of the Hb pocket
2) 1 Affects IT switch Hb pocket promoting IT switching to open state
3) IT switching lengthens P17 loop (increases loop slack)
4) E25 H-bond rearrangement further lengthens P17 loop
5) Combine effects on P17 loop slack promotes rigid body rotations against the neighboring Helix 0.
6) Rigid body rotations effect back loop (not shown), which repositions K66 to further stabilize open state.

a.

3663 micrographs
965963 particles

$\downarrow$

2 rounds of 2D
Classification

$\downarrow$

396542 particles

$\downarrow$

Homogenous
refinement
D7

b.

c.

2.38Å

Supplementary Figure 1: alphaV24Y T20S Processing Scheme
a. Cryo-EM workflow for alphaV24Y T20S. All steps were performed in Cryosparc.
b. Final 2D class averages after 2 rounds of 2D Classification shown with a mixture of top and size views.
c. Homogenous refinement of class of particles that showed the open gate conformation.

V24Y Cryo-EM Validation

Supplementary Figure 2: alphaV24Y T20S Validation
a. Micrograph representative showing alphaV24Y T20S. Scale bar represents 5nm.
b. Standard FSC-0.143 graph showing corrected 2.38Å resolution.
c. View of particle angle distribution showing a mixture of side and top view particles.
d. Particles from selected ab-initio model were refined with homogenous refinement and D7 symmetry applied. D7 symmetry was confirmed as appropriate by homogenous refinement with C1 symmetry; the resulting C1 map was copied then flipped 180º around the 2-fold axis then rotated 180º about the 7-fold axis. The flipped and rotated map was essentially identical to the original, confirming D7 symmetry of the complex. Final 2.38Å D7 map was colored in Chimera to show range of resolution of 3D reconstructed map.

4920 micrographs
1031789 particles

2 rounds of 2D
Classification

542722 particles

Homogenous
refinement
D7

2.20Å

Supplementary Figure 3: alphaV24F T20S Processing Scheme
a. Cryo-EM workflow for alphaV24F T20S. All steps were performed in Cryosparc.
b. Final 2D class averages after 2 rounds of 2D Classification shown with a mixture of top and size views.
c. Homogenous refinement of class of particles that showed the open gate conformation.

V24F Cryo-EM Validation

Supplementary Figure 4: alphaV24Y T20S Validation

a. Micrograph representative showing alphaV24F T20S. Scale bar represents 5nm.

b. Standard FSC-0.143 graph showing corrected 2.20Å resolution.

c. View of particle angle distribution showing a mixture of side and top view particles.

d. Particles from selected ab-initio model were refined with homogenous refinement and D7 symmetry applied (D7 symmetry was appropriately confirmed as discussed in SFig. 2d). Final 2.20Å D7 map was colored in Chimera to show range of resolution of 3D reconstructed map.

Supplementary Figure 5: Comparison of HbYX hydrophobic pocket in V24Y T20S and ZYA-T20S structures

a. Close up of the αV24Y T20S (red) intersubunit pocket showing measurements between the V24Y tyrosine and labeled α carbons (shown in sticks). Comparison against **b** indirectly demonstrates the position of αV24Y's side chain relative to the carboxybenzyl group of ZYA.

b. Measurements of distances between ZYA's carboxybenzyl group (gold) and the α-carbon of surrounding residues (shown in sticks) in the ZYA-T20S model (blue).

c. Distances between the α-carbon of selected residues (shown in sticks) to be compared against **d** to demonstrate the similarities and minimal level of intrasbuunit conformational changes between these structures.

d. Same as **c** except the ZYA-T20S model (blue) was used.

Supplementary Figure 6: Additional measurements in the intersubunit pocket in V24Y T20S.
Complementary to Figure 3.

a. Measurement between P17 and neighboring Helix 0 for beta ring aligned WT and V24Y T20S structures representing absence of intersubunit conformational differences in this distance.

b. Measurement between P17 and its own helix 0 (Glu25) show intrasubunit changes to this distance.

c. Close up of alphaV24Y (shown in sticks) in the alphaV24Y T20S model, demonstrating its side chain's polar interaction (yellow dotted line) with alphaD152 (shown in sticks).

WT T20S   **αV24Y T20S**   **ZYA-T20S**

Supplementary Figure 7: V24Y mutation and ZYA binding both alter E25's H- bonding partner.
E25 hydrogen bonding is affected by hydrophobic group occupancy in the the HbYX hydrophobic pocket as it is positioned at the top of this pocket.
a.   E25 is H-bonded to R28 in the WT T20S.
b.   Distance between E25 and R20 in the WT T20S.
c.   E25 H-bonds with R20 in the V24Y T20S.
d.   Distance between E25 and R20 in the V24Y T20S
e.   E25 H-bonds with R20 in ZYA-T20S structure.
f.   Distance between E25 and R20 in the ZYA-T20S.

20S Sequence Alignments

a.

b.

Supplementary Figure 8: alphaV24Y T20S Validation

a. Alignment showing sequences in the first 30 positions of the α subunits of T20S and H20S (listed according to gene names). Alignment was performed using ClustalΩ. Conservation and figure was generated using Jalview 2.11.2.5. Alignments are colored using the Clustal color scheme and color intensity indicates conservation (darkest: highly conserved). The bar graph in yellow indicates the conservation score, accounting for size, charge, hydrophobicity, and polarity. Red arrow points to αL21 and the corresponding residues in the alignment.

b. Same as **a** except the alignment shows sequences in the positions 145 to 175 of the α subunits of T20S and H20S (listed according to gene names). The red arrow points to αA154 and the corresponding residues in the alignment.