An 'Epidemic Diversity' conceptual model explains how host genetic diversity affects variation in parasite success

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Abstract

According to conventional wisdom, disease transmission rate is usually higher in more genetically homogenous host populations. Previous studies have principally considered how host population genetic diversity impacts the mean of parasite infection performance. However, when considering risks from epidemics and the emergence of novel infectious diseases, variability in parasite success may be just as important as the mean. Here we propose an Epidemic Diversity Model for how host-parasite population genetic diversity influences both the mean and variability in parasite success. We evaluate this conceptual model by re-analysing effect size data from two meta-analyses, including 211 comparisons of high versus low genetic diversity host populations from 48 studies. Our analysis challenges previous understanding by demonstrating that high host population genetic diversity only reduces mean parasite success for specialist parasites with narrow host range, but not for generalist multi-host parasites. We also find that the combination of host range and parasite population genetic diversity determine the effect of host population genetic diversity on the variability in parasite success. These results have important implications for the management of host population genetic diversity for natural populations.

Introduction

Epidemics can be defined as extremely rapid increases in disease prevalence over the endemic (baseline) level of disease [1]. They pose a major threat to food security, biodiversity and human health [2]. This is because epidemics can devastate crop harvests [3], threaten local host populations with disease-induced extinction [4] and increase the risk of zoonotic spillover [5]. Previous research suggests that less genetically diverse host populations tend to experience larger epidemics [6]. Although this phenomenon is well-established in the agricultural literature and is often referred to as a 'monoculture effect' [3,7,8], it is much less well-understood how the level of genetic diversity in animal and bacterial species (i.e. not-plant hosts) determines epidemic size in nature.

Previous studies by Ekroth et al. [9] and Gibson and Nguyen [10] found that higher levels of host genetic diversity reduced the mean for various metrics of parasite success (including disease prevalence, parasite load and virulence) across a range of both animal and bacterial host-parasite systems. This evidence is centred around means, and although disease prevalence is only one measure of parasite success, it appears to support the conventional wisdom that less genetically diverse host populations tend to experience larger epidemics, at least *on average* [6]. However, despite this important discovery [9,10], many host populations in nature experience epidemics that *vary* dramatically in both time and space [11–18]. Therefore, understanding not only the mean, but also the *variability* of parasite success is important.

Previous research suggests that the understanding the variability of parasite success may be important from the perspective that high levels of variation are akin to particularly large, or severe epidemics. For example, a highly cited paper published by Tarpy [19] in *Nature* showed that more genetically diverse honeybee colonies had less variable disease prevalence. As such, they suggested that this could potentially protect more diverse

honeybee colonies against the occurrence of more severe epidemics. Alternatively,

increased understanding of the variability of parasite success could increase our general

understanding of the repeatability of disease experiments, which are characterised by large

amounts of variation [20].

Any relationship between host population genetic diversity and either the mean or variability

in parasite success will likely depend on the corresponding level of parasite population

genetic diversity and infection genetics. For example, assuming that there is some level of

specificity for infection [21], where host and parasite genotypes vary in their susceptibility or

infectivity to one another, individual host genotypes are more likely to be infected by a

diverse parasite population. Therefore, the parasite transmission rate and the overall

disease prevalence should be higher for more diverse parasite populations [22,23].

However, the amount of host-parasite specificity for infection varies between different

models of infection genetics [24]. In these models, infection specificity ranges from matching

allele paradigms where one parasite genotype can only infect a single host genotype, to

gene-for-gene interactions where infectious parasite genotypes are able to infect many or all

host genotypes [24]. In reality, these models represent opposite ends of a continuum and

host-parasites systems vary in where they fall along this continuum [24]. Nevertheless, the

strength of specificity clearly has the potential to modulate how host-parasite population

genetic diversity influences both mean and variation in parasite infection success.

Here, we propose an 'Epidemic Diversity' conceptual model to describe how host population

genetic diversity and parasite population genetic diversity may influence both the mean and

variability of parasite success (Fig 1). We envisage a metapopulation structure composed of

multiple local populations. Local populations vary in their level of genetic diversity (measured

at the inter-, versus intra-, group level; Fig S1). Low genetic diversity results in local

populations that harbour few host or parasite genotypes, but the identity of these genotypes

may differ between local populations. High genetic diversity increases the number of

genotypes present and local populations are less genetically differentiated. Each host

individual encounters many potentially infectious parasites. Initially we consider a case of

high infection specificity, where close matching is required between host and parasite

genotypes for successful infection. We predict the following patterns in mean and variance of

parasite success across the local populations:

A) When host and parasite population genetic diversity are both low (Fig 1A), parasite

success will be highly variable because host populations will be composed either of

mostly susceptible or mostly resistant host genotypes. Mean parasite success will be

intermediate, and determined by the relative frequency of resistant and susceptible

populations.

B) When genetic diversity is high in the host population but low in the parasite

population (Fig 1B) both mean and variability in parasite success will be low because

the parasite's encounter rate with matching host genotypes will be consistently low.

C) When genetic diversity is low in the host population but high in the parasite

population (Fig 1C) mean parasite success will be high and variability in parasite

success low, because many hosts will encounter matching parasite genotypes and

efficient chains of transmission will establish.

D) When genetic diversity is high in both host and parasite populations (Fig 1D) the

mean and variability in parasite success will be intermediate because although the

probability of hosts encountering infectious parasite genotypes is high, onward

transmission is impaired by the diversity of host genotypes present.

Our model predicts that under this scenario of high genetic specificity for successful

infection, an increase in host population genetic diversity will result in a decline in *mean*

parasite infection success regardless of the parasite population's genetic diversity (Fig 1E

and F). However, increased host population genetic variation will cause reduced *variability* in

parasite infection success when parasite genetic diversity is low (Fig 1G) but increased

variability when parasite diversity is high (Fig 1F). All these effects will be sensitive to the

genetic specificity that underpins the infection process. In cases where parasite virulence

genotypes can infect many (or all) host genotypes (sensu gene-for-gene models) these

predictions should break down and the effects of host population genetic diversity on

parasite infection success should disappear.

This Epidemic Diversity conceptual model builds on previous work by Bensch et al. [25], who

considered three out of four of the combinations in Fig 1. We extend this previous work by

considering how high parasite genetic diversity can lead to more consistent parasite success

in genetically depauperate host populations (Fig 1C). Furthermore, in our work we consider

how these relationships between host-parasite population genetic diversity and parasite

success depend on the strength of genetic specificity for infection success.

It is impossible to make an explicit test of the effect of genetic specificity for infection

success in our analysis because genetic specificity is not characterised in most host-parasite

systems (but see [26]). However, we investigate the impact of a proxy for genetic infection

susceptibility: parasite host-range. This is because it is only specialist parasites (with a

narrow host range) that are likely to have undergone tight coevolution with their host species

of the type that promotes the maintenance of matching-allele-type genetic variants under

negative frequency dependent selection. Whereas for generalist parasites that infect many

host species (and have not tightly coevolved with any single host), virulence mechanisms

are more likely to be general and confer ability to infect many host genotypes.

Fig 1. A hypothetical 'Epidemic Diversity' model for the combined relationship

between host and parasite population genetic diversity and either the mean (μ) or the

variability (σ) in parasite success. There are four hypothetical populations for each

combination of host and parasite population genetic diversity (dashed circles). The level of

population genetic diversity is indicated by the number of unique host and parasite

genotypes (large and small shapes respectively) and is the same in each replicate

population. The colour of hosts indicates their infection status, such that susceptible hosts

are white and infected hosts are black, whereas the parasite is always the same colour (also

black). Parasite transmission can only occur between matching host and parasite genotypes

(shapes) and is indicated by the red arrows. The resulting frequency distributions of parasite

infection success for each set of replicate populations is shown at the bottom of each plot.

Notably, this hypothetical model only applies for host--parasite systems that have a high

level of genetic specificity for infection (i.e. matching-allele versus gene-for-gene infection

genetics [24]). The bottom panel of the facetted grid show the expected result from four

comparisons of high versus low genetic diversity host populations (two x low parasite

diversity, two x high parasite diversity). The design of these plots mirrors the orchard plots

for the meta-analytical models from Fig 5.

To evaluate our proposed Epidemic Diversity conceptual model, we combine the data from

two previous meta-analyses that investigated the effect of host population genetic diversity

on mean metrics of parasite infection success [9,10]. By pooling the data from these original

meta-analyses, we achieve a bigger sample size so that we can investigate patterns in

parasite infection success variance, as well as the impact of additional moderator variables.

Since we focussed on the re-analysis of previous studies by Ekroth et al. [9] and Gibson and

Nguyen [10], which mainly focussed on the effect of differences in host genetic diversity in

animal and bacterial populations, rather than plant populations, we also focussed our study

on animal and bacterial populations. If a researcher was interested in investigating the effect

of differences in host genetic diversity in plant populations (*sensu* [27]), a much more

comprehensive review of the literature would be required.

Materials and Methods

Summary

We combined the data from two previous meta-analyses [9,10] that used the standardised mean difference (SMD) to calculate the significance of the relationship between host genetic diversity and mean metrics of parasite infection success. These data were mainly from studies where the metrics of parasite infection success were measured from replicate host populations that were already classified qualitatively as having either a 'high' or 'low' level of genetic diversity (27 out a total of 48 independent studies), including studies of replicate host populations with a high versus low level of inbreeding (e.g. [28]), different combinations of host genotypes (e.g. [29]) or comparisons between wild host populations exposed to different selection regimes (e.g. a population bottleneck, random genetic drift, e.g. [30]). However, there were some studies that assessed a continuous measure of host population genetic diversity (for the absence of any significant correlation between mean metrics of parasite infection success and host population genetic diversity, involving all of the studies from this subset of data, see [10]), and therefore these data were binned into 'high' and 'low' categories. Following Ekroth et al. [9] and Gibson & Nguyen [10] we used the SMD to assess factors influencing mean parasite infection success; we then extended these previous analyses by using the log coefficient of variation ratio (lnCVR) to assess factors influencing variation in parasite success. In the original studies, this variation was quantified in various ways: between experimental replicates in a laboratory environment; between multiple natural host populations with similar genetic diversity; or sometimes between repeated measures of single populations along a time series.

Data collection

Data collection for each comparison of a group of high versus low genetic diversity host populations involved five steps (Fig 2):

Fig 2. How data was collected for each comparison of a parasite infection success metric between high versus low genetic diversity groups of host populations, which was later used in calculating effect sizes. n = number of studies / experiments / comparisons (the multi-level structure of the data make it appear that some sums are incorrect). Adapted from the preferred reporting items for systematic reviews and meta-analyses (PRIMSA) statement [55].

1) *Combination*: First, we combined the list of studies from [9] and [10], removed any duplicate studies and added the data used to calculate the effect size on mean parasite success (SMD) and its sampling variance in the original studies (mean, standard deviation, sample size), the metric of parasite success and the unique study, experiment and replicate identifiers used to account for the non-independence of separate effect sizes. We did not use the parasite success data from Ekroth et al. [9] because the original data extracted from each study was not included in their online supplementary material and this meant we would have been unable to check the data accuracy during the third step of data collection (validation). However, we extracted any missing data from these studies and recalculated the mean, standard deviation and sample size ourselves during the fourth and fifth steps of data collection (extraction and recalculation).

The data used to calculate Fisher's z (an effect size for the difference between two correlation coefficients) for the observational field studies from Gibson & Nguyen [10], was not available in the correct format to calculate either SMD or lnCVR. Therefore, we did not include this information (from multiple populations with a continuous measure of genetic

diversity) at this stage and instead extracted the data from the original publications ourselves

and recalculated it during steps 4 and 5. Also, we excluded any studies on plants (wild or

agricultural) because a more detailed analysis of the plant literature would require a separate

review.

2) *Screening*: Second, we amended the inclusion criteria used in the original meta-analyses

[9,10] (Table S1) and removed any studies, experiments or comparisons which did not meet

these criteria:

(i) 'Parasite success', which we define as the ability of a parasite to spread among hosts

(transmission rate, infection rate, prevalence), replicate on / within hosts (macro /

microparasite load, disease severity) or kill hosts (virulence i.e. host survival / mortality rate)

was measured among replicate populations across time or space.

(ii) Parasite success data was collected from two or more host populations with a difference

in genetic diversity assessed by metrics such as: individual inbreeding status (inbred versus

outbred), genotypic diversity (high versus low) or heterozygosity (high vs low).

(iii) Genetic diversity was quantified at the level of the host population, rather than for

community-level diversity.

(iv) The study focused on an animal or bacterial host species.

(v) The study did not re-analyse the data from a previously published study.

(vi) The parasite success data was not replicated simply by using an alternate way of

measuring host population diversity.

(vii) Figures required to extract parasite success data were clearly legible.

3) *Validation*: Third, we checked the accuracy of the data from the excel spreadsheets used

to calculate the summary of the parasite data for each group of host populations with either

high or low genetic diversity from the online data supplied by one of the previous meta-

analyses [10] and corrected these in the fourth step of the data collection before including

them in our analysis. Although there 69 errors in total in the data from their study, it is worth

noting that we ran-ran the meta-analytical procedures from their study with the amended

data and found that the major conclusions of their study were unaffected by these errors. In

addition, we were thoroughly impressed by the amount of detail included in their

supplementary files, and we were only able to check their data's accuracy due to their

diligence (cf. Ekroth et al. [9]). The different types of error made by the previous meta-

analysis included (i) 27 comparisons that did not match the published raw data (available in

the main text or online or in the supplementary material of each publication), (ii) 32

comparisons where effect sizes were calculated wrong and (iii) 10 comparisons which had

not been transferred into the final metadata file correctly. There was one study which we

could not check, because the original data was sent by personal communication from [31] to

[10], nevertheless we included it in our analysis.

4) *Extraction*: Fourth, for those studies or comparisons we had excluded (due to missing

data or data errors) we extracted the data from the main text or supplementary files by going

back to the original publications (we used PlotDigitizer (https://plotdigitizer.com/) to extract

the information for any figures). In addition to the comparisons removed in the third step of

data collection, this also included (i) 26 studies that, despite meeting our inclusion criteria,

were removed because they were either missing the replicate-level raw data [9] or they were

observational field studies based on multiple populations with a continuous measure of

genetic diversity [10] and (ii) additional data for 3 comparisons [32-34] that were not made in

the original analysis by Gibson & Nguyen [10].

We also collected information on 10 different moderators (see Table 1) by standardizing or

recoding existing moderator variables used by Gibson & Nguyen [10], including host range,

parasite diversity, metric of parasite success, host species, parasite type, source of host

genetic diversity, scale of host diversity, mode of host reproduction, whether the parasite

induces host mortality and whether the study was performed in a laboratory environment.

Parasite diversity was not quantified as a continuous variable in the original studies, nor was

it examined as part of the original experiments in most cases. Therefore, we binned parasite

diversity into 'high' or 'low' groups depending on the following reasoning: 'high parasite

diversity' if the isolate was collected from a natural population for a lab study, if the data was

from an observational or experimental field study, or if more than one genotype had been

identified (but this only applied to a small number of studies); 'low parasite diversity' if it was

a laboratory strain, or only one genotype had been identified (but again, this only applied to

a small number of studies). Where the information on these moderator variables was not

already available from the supplementary material of Gibson & Nguyen [10] and was not

available in the published article, we performed an online search to determine

characteristics.

5) *Recalculation*: Fifth, we calculated the mean, standard deviation and sample size for each

comparison of high versus low genetic diversity groups of host populations for the data we

extracted in the fourth step of data collection [10]. For certain studies, we calculated a

pooled measure of the mean metric of parasite infection success for each group of high and

low genetic diversity host populations, along with a pooled standard deviation and a pooled

sample size. This included (i) studies with shared comparisons (e.g. multiple high diversity

groups paired to the same low diversity group) [32,35] or (ii) those based on multiple

populations with one or more continuous measures of genetic diversity [31, 34, 36–54]. In

the latter case, the most appropriate measure of population-level genetic diversity was used

(e.g. a measure of population-level genetic diversity based on Hardy-Weiberg equilibrium)

and two separate groups of host and low diversity host populations were made with the

same number of host populations in each group. In addition, host survival was converted into

host mortality in some studies to reflect our definition of parasite infection success (see step

two of data collection). Overall, this fifth step of data collection involved calculating parasite

success data for 130 comparisons.

After finishing all five steps of data collection, there was enough parasite success data to

calculate both the SMD and lnCVR for 211 non-independent comparisons of high versus low

genetic diversity groups of host populations.

Calculation of effect sizes (SMD and lnCVR)

We calculated two effect size measures: the standardized mean difference (SMD) and the

log coefficient of variation ratio (lnCVR). These quantified the effect of host population

genetic diversity on either the mean or variability in parasite success across different studies

[56]. This is because we were interested in comparing both the mean and variability in

various measures of parasite infection success between groups of 'high' versus 'low' genetic

diversity host populations.

We selected these specific ways of measuring between-group differences in either the mean

or variability among metrics of parasite success because they took in to account certain

factors: (i) SMD measures the mean difference between two groups (high versus low genetic

diversity) in terms of standard deviations [56-57], so it can be used to compare metrics

measured on very different scales (prevalence, load and virulence) [58]; it also corrects for

small sample sizes, which is a common feature of ecological studies [59]. Similarly, lnCVR

measures the ratio of variability between two groups adjusted for the size of the group

means [60] and therefore can be used to compare multiple metrics. Also, it accounts for the

possibility that the magnitude of the variability may scale with the mean, which is relevant for

many types of count data that may be described by a Poisson distribution (e.g. parasite

load).

Before calculating our effect sizes, we added a small value (0.001) to the mean and

standard deviation in parasite success for each pair of high and low genetic diversity groups

of host populations to ensure log values were calculated correctly. For consistency, we

calculated SMD and its sampling variance from the formula derived from the supplementary

material of [10], whereas we calculated variability effect sizes (lnCVR) and their sampling

variances using the code from [60]. To account for comparisons based on a shared

reference group of host populations with low genetic diversity (i.e. shared controls), we

calculated the variance-covariance matrix for each effect size, using the make_VCV_matrix

function from the metaAidR package v0.0.0.9000 [61].

All effect size calculations and subsequent calculations were performed in R v4.3.2 [62].

Publication bias

Before analysing the data fully, we calculated the overall effect sizes for SMD and lnCVR

and tested for any potential publication bias using funnel plots and Egger's regression [63].

Meta-analytic models were fitted to the data using the rma.mv function from the metafor

package v4.4.0 [64]. We included fixed effects for each type of effect size, the variance-

covariance matrix of sampling errors, standard random effects for study and host genus, and

correlated random effects for comparisons taken from the same experiment. Standard

random effects for study and host genus were used to account for the possibility of non-independence between experiments originating from the same study and potential correlations between effects from closely related host species. Similarly, correlated random effects were used to account for potential non-independence of comparisons taken from the same experiment (multiple timepoints for a single comparison of a high and low genetic diversity group of host populations, or effect sizes from the same group of populations based on different measures of parasite success).

Funnel plots were used to identify whether published effect sizes were evenly distributed around model means by examining how outcomes varied as a function of their precision (standard error). Visual inspection of funnel plots for the effect of host population diversity on mean parasite success (Fig 3A) and its effect on the variability in parasite success (Fig 3B), showed no evidence for publication bias. More stringent evaluation showed that there was no correlation between the size of the effects themselves and their standard error (Egger's test for both SMD and lnCVR: R = 0.06, 95% CI [-0.24, 0.37], p = 0.67 and R = -0.03, 95% CI [-0.38, 0.32], p = 0.86, respectively).

Fig 3. Testing for publication bias: the distribution of published effect sizes for our meta-analysis as a function of their precision (standard error). The x-axis in both plots shows effects of an increase in host population genetic diversity (high vs low) on A) the mean difference in parasite success (SMD) and B) the ratio of variation in parasite success (lnCVR). Model means and their 95% confidence intervals are shown by the dashed black lines.

Meta-analysis of overall data

To test whether there was a significant difference in the mean parasite success (SMD) or variability in parasite success (lnCVR) between host populations with high versus low

genetic diversity, we fitted mixed effects meta-analytic models. All of the models used in this

paper were based on the same structure as those used for testing the presence of

publication bias.

Context dependence

Moderators with an interaction - To test if the overall effect of host population genetic

diversity on the mean and variability in parasite success depended on an interaction

between host range and parasite genetic diversity, we introduced an interaction term for

these two moderators in our original meta-analytic models. Therefore, we could compare:

390   1) High versus low population genetic diversity of host specialist parasites.

391   2) High versus low population genetic diversity of host generalist parasites.

We compared the significance level of each individual predictor within the model, as well as

the contrasts between them using the *glht* function from the *multcomp* package v1.4.25 [65].

Moderators without an interaction – To test our additional hypotheses (Table 1) for the eight

remaining moderator variables, we modelled each moderator separately with its own

individual mixed effects model. Before running the models, we removed redundant

moderator categories with a limited sample size, such as transmission or infection rate

(versus prevalence) and disease severity (versus load) for the metric of parasite success,

and prokaryotic (versus vertebrate or invertebrate) for host species.

We compared the significance level of each individual predictor within the model, as well as

the contrasts between them using ANOVA with a correction for multiple comparisons

(Holm's method).

Table 1. Hypotheses for the influence of additional moderator variables on the nature of the effect of host population genetic diversity on mean and variability in parasite success.

Moderator	Hypothesis
Metric of parasite success	Our study of 'parasite success' combined data of several types (eg prevalence, virulence, infection load). Using this moderator, we tested if the effects of host population genetic diversity differed between these different metrics.
Host type	The effect of host population genetic diversity may be influenced by the specificity of genetic interactions between host and parasite. These genetic interactions are thought to be more specific in invertebrates than in vertebrates [66], therefore we tested for inconsistency of the host population genetic diversity effect in these two groups.
Parasite type	Microparasites and macroparasites tend to have contrasting infection biology: varying in the duration of infections and the immune responses they trigger [67]. These differences might drive variation in the impact of host population genetic diversity. Therefore, we tested for inconsistency of the host population genetic diversity effect in these two groups.
Source of host genetic diversity	Studies typically investigate the impact of host genetic diversity by (i) controlling mating strategies to compare hosts with varying degrees of 'relatedness' (e.g. polyandry versus polygyny) or with different levels of inbreeding, (ii) using a suite of wildtype genotypes for controlled experiments with either low genetic diversity or high genetic diversity, or (iii) sampling organisms from the wild from populations that have been characterised as having different levels

	of genetic diversity. We used this moderator to test if these different sources of genetic diversity affected the influence of host population genetic diversity.
Scale of host diversity	Host populations were predetermined as having either high or low diversity (discrete) or we separated them into such categories as part of our data collection (Fig 2, step 5) because the authors used multiple populations with a continuous measure of diversity. We used this moderator to test if this feature of how studies were designed had an effect on the influence of host population genetic diversity.
Mode of host reproduction	Host species reproduced sexually, asexually or using a combination of the two (i.e. facultatively sexual, such as *Daphnia*). We used this moderator to test if these different modes of host reproduction affected the influence of host population genetic diversity.
Host mortality?	The range of parasites studied can be further categorised by whether or not infection typically kills the host (which may be proxy for virulence). We used this moderator to test if differences in the virulent effects of parasitism affected the influence of host population genetic diversity.
Laboratory?	We used this moderator to test if differences in study setting (laboratory versus field) affected the influence of host population genetic diversity.

Sensitivity analysis of overall effects

To test the robustness of our results for the combined (overall) dataset, we performed a series of 'leave-one-out' sensitivity analyses. This involved the iterative exclusion of either one independent comparison (i.e. groups of high diversity host populations that shared a corresponding group of low diversity host populations were considered grouped together into a single comparison) or study at a time.

Results

Host population genetic diversity has an overall negative effect on mean parasite success

Our dataset contained 211 effect sizes from 48 different studies, including a range of (mainly animal) host and parasite species (Fig S2). These effect sizes represented individual estimates of the effect that change in host population genetic diversity has on parasite success; we assessed this effect on both mean parasite success (SMD) and the variability in parasite success (lnCVR).

Averaging over the whole data set, there was a significant effect of host population genetic diversity on mean parasite success (SMD = -0.29, 95% CI = [-0.57, -0.02], p = 0.04; Fig 4A): higher levels of host population genetic diversity were associated with lower mean parasite success. In contrast, across the whole data set, the variability of parasite success was not significantly affected by host population genetic diversity (lnCVR = 0.02, 95% CI = [-0.30, 0.35], p = 0.89; Fig 4B).

Fig 4. The overall effect of host population genetic diversity on the mean and

variability in parasite success. The x-axis in each plot shows the effect that an increase in

host population genetic diversity had on either A) the mean parasite success (SMD) or B)

the variability in parasite success (lnCVR). The dashed line indicates an effect size of zero

where host population genetic diversity has no influence. Model means are shown with 95%

confidence intervals (black rectangles) and prediction intervals (thin black lines). Circles

show individual effect sizes and are scaled according to the inverse of their standard error. n

= sample size of the data (the number of effect sizes : the number of studies). The asterisk

shows that the model mean is significantly different from zero ($p < 0.05$). Forest plot

alternatives are shown in the online supplementary material (Fig S3).

In these analyses the residual variation (heterogeneity) in the data for both the difference in

the mean and the variability of parasite success was high ($I2 = 84.0\%$ & 82.0% respectively).

Most of this variation was explained by the effect of study (84.0% & 80.7%) and only a small

amount was explained by host genus (0.0% & and 3.3%).

Impacts of host population genetic diversity on parasite

success differ between specialist and generalist parasites

Next, we investigated how the effect of host population genetic diversity on parasite infection

success was influenced by two fundamental characteristics of the parasite: the host-

specificity of the parasite and the likely genetic diversity of the parasite population studied.

The negative effect of host population genetic diversity on mean parasite infection success

that we observed across the whole dataset (see above), was actually only significantly

negative for specialist (single-host) parasites. This was true whether the observations for

specialist parasites were for parasite populations with low or high genetic diversity (SMD = -

0.54, 95% CI = [-1.02, -0.06], p = 0.03 & SMD = -0.76, 95% CI = [-1.16, -0.37], p < 0.001

respectively; Fig 5A). The point estimates for the effect of host population genetic diversity

on mean parasite infection success for generalist (multi-host) parasites were also negative;

however, these estimates were not significant, and also not influenced by parasite isolate

genetic diversity (SMD = -0.42, 95% CI = [-0.91, 0.07], p = 0.09 & SMD = -0.23, 95% CI = [-

0.55, 0.08], p = 0.15 respectively; Fig 5A). This difference between specialist and generalist

parasites in the effect of host population genetic diversity on mean parasite infection success

was highly significant (glht = 0.47, SE = 0.16, p < 0.01; Fig 5A).

Fig 5. The effect of host population genetic diversity on both the mean and variability

in metrics of parasite infection success depends on a combination of host range and

parasite population genetic diversity. The x-axis in each plot shows the effect of an

increase in host population genetic diversity on either A) mean parasite success (SMD) or B)

variability in parasite success (lnCVR). The dashed line indicates an effect size of zero

where there is no influence of host population genetic diversity on parasite success. Model

means are shown with 95% confidence intervals (black rectangles) and prediction intervals

(thin black lines). Individual effect sizes (circles) are scaled according to the inverse of their

standard error. n = sample size of the data (the number of effect sizes : the number of

studies). The significance level of individual model means, as well as any pairwise contrasts,

is indicated by one (p < 0.05) or three (p < 0.001) asterisks.

Similar to the results for mean parasite success, the manner in which *variability* in parasite

infection success was influenced by host population genetic diversity was also influenced by

the characteristics of the parasite population. Although there was no significant relationship

between host population genetic diversity and the variability in parasite success for the

global dataset (see above), the observations for specialist parasites that infect only a single

host species matched the predictions of our Epidemic Diversity conceptual model (Fig 5B).

When the genetic diversity of specialist parasites was low, an increase in host population genetic diversity tended to reduce variability in infection success (lnCVR = -0.54; 95% CI = [-1.14, 0.06], p = 0.08; Fig 5B). Whereas, for these specialist parasites, when parasite genetic diversity was high, an increase in host population genetic diversity resulted in increased variation in infection outcome (lnCVR = 0.61, 95% CI = [-0.23, 1.47], p = 0.16; Fig 5B). Although these associations were not significant in isolation, there was a significant difference between them (glht = -1.15, SE = 0.53 and p = 0.03). Also, mirroring our results for the mean of infection success, variability in parasite infection success was unaffected by host population genetic diversity in multi-host generalist parasites (Fig 5B).

The effect of host population genetic diversity on parasite success is influenced by a number of other contextual factors

In addition to measuring the combined effect of parasite population genetic diversity and parasite host range on the relationship between host population genetic diversity and both the mean and variability in metrics of parasite infection success (see above), we also separately investigated how eight other contextual factors (Table 1) affected this relationship (Fig 6).

Most of the moderator levels were not significantly different from zero and comparisons between different levels within the same moderator showed that they were often similar in terms of both the magnitude and direction of their effects. However, the parasite type (Fig 6C), source of host diversity (Fig 6D), host mode of reproduction (Fig 6F), whether the parasite kills the host or not (Fig 6G) and whether the study was performed in the laboratory (Fig 6H) all seemed to have a significant effect on the relationship between host population genetic diversity and mean parasite success. First, there was a significant reduction in mean

microparasite success in host populations with higher genetic diversity (SMD = -0.47, 95%

CI = [-0.77, -0.18], p < 0.01, Fig 6C) that was also associated with a significant difference

between the effect of host population genetic diversity on microparasite versus

macroparasite success (QM = 13.2, df = 1, p < 0.001, Fig 6C). Second, there was a

significant reduction in mean parasite success for host populations with lower relatedness

(outbred versus inbred) (SMD = -0.51, 95% CI = [-0.98, -0.03], p = 0.04, Fig 6D), similar to

those composed of a higher number of chosen genotypes (close to the 95% significance

threshold; SMD = -0.51, 95% CI = [-1.02, 0.01], p = 0.05, Fig 6D), but in contrast to host

populations that were naturally more genetically diverse (SMD = 0.03, 95% CI = [-0.40,

0.45], p = 0.90, Fig 6D). Third, there was a significant reduction in mean parasite success in

sexually reproducing host populations with higher genetic diversity (SMD = -0.40, 95% CI =

[-073, -0.07], p = 0.02, Fig 6F) which was absent for both asexually and facultatively sexually

reproducing host populations (SMD = -0.36, 95% CI = [-1.62, 0.91], p = 0.58 and SMD =

0.03, 95% CI = [-0.51, 0.57], p = 0.93 respectively, Fig 6F). Fourth, there was a significant

reduction in mean parasite success for host populations with higher genetic diversity where

the parasite usually killed the host (SMD = -0.34, 95% CI = [-0.68, -0.01], p < 0.05, Fig 6G)

which was absent for parasites that did not usually kill the host (SMD = -0.13, 95% CI = -

0.56, 0.29], p = 0.54, Fig 6G). Fifth, there was a significant reduction in mean parasite

success for host populations with higher genetic diversity studied outside of a laboratory

environment (SMD = -0.33, 95% CI = [-0.65, -0.01], p = 0.04, Fig 6H) which was absent from

studies conducted in a laboratory (SMD = -0.24, 95% CI = [-0.60, 0.13], p = 0.20, Fig 6H).

Fig 6. The context-dependence of the effect of host population genetic diversity on

the mean and variability in parasite success. The y-axis in each plot shows the effect of

an increase in host population genetic diversity on either the difference in mean parasite

success (SMD) (panels A-H), or the difference in the variability in parasite success (lnCVR)

(panels I-P). Model means are shown with 95% confidence intervals and are scaled

according to the number of experiments. The dashed line indicates an effect size of zero.

The significance level of individual model means, as well as any pairwise contrasts, is

indicated by one ($p < 0.05$), two ($p < 0.01$) or three ($p < 0.001$) asterisks. The following

abbreviations are used; Prev. (Prevalence), Vir. (Virulence), Vert. (Vertebrate), Invert.

(Invertebrate), Macro. (Macroparasite), Micro. (Microparasite), Source gen. var. (Source of

host genetic diversity), Art. (Artificial), Nat. (Natural), Scale host div. (Scale of host diversity),

Cont. (Continuous), Disc. (Discrete), Host mode repro. (Mode of host reproduction), Sex.

(Sexual), Asex. (Asexual), Mixd (Mixed).

There was only one moderator, the host mode of reproduction (Fig 6N), which had a

significant effect on the relationship between host population genetic diversity and the

variability in parasite success. Specifically, there was a significant reduction in the variability

of parasite success for asexually reproducing host populations with higher genetic diversity

(lnCVR = -0.74, 95% CI = [-1.26, -0.21], $p < 0.01$, Fig 6N) that was also associated with

significant differences to the lack of any effect of host population genetic diversity on the

variability in parasite success for both sexually and facultative-sexually reproducing hosts

(QM = 6.40, df = 1, $p = 0.01$ & QM = 5.53, df = 1, $p = 0.02$ respectively).

Some of our results are more robust than others

To test the robustness our of first analysis, which focussed on the overall effect of host

population genetic diversity on parasite success, we performed a series of 'leave-one-out'

sensitivity analyses. By averaging over multiple iterations, we showed that the overall effect

of host population genetic diversity on both the mean and variability of parasite infection

success was not dependent on the inclusion of any specific study or set of independent

comparisons in our dataset. Specifically, the overall effect of host population genetic

diversity on mean parasite infection success was significant across both sets of models

(Table 2, Fig S4 and S5) and the overall effect of host population genetic diversity on

variability in parasite infection success was still not significant (Table 2, Fig S4 and S5).

Regarding the results of our main analysis, which focussed on how the mean and variability

of parasite success was influenced by a three-way interaction between host population

genetic diversity, parasite population genetic diversity and parasite host range, we were

unable to perform any kind of formal sensitivity analysis due to the complexity of the

underlying mixed-effects meta-analytical models. However, it is important to note that a large

number of the effect sizes corresponding to the subset of data that included specialist

parasites with low parasite population genetic diversity all came from a single study of a

prokaryotic host (49 out of 68, [68]). Therefore, the results of some of these meta-analytical

models may be quite sensitive to the inclusion of this one study.

Table 2. Results of the leave-one-out sensitivity analyses.

Method	ES	Estimate	SE	z-value	p-value	ci.lb	ci.ub
Leave1studyout	SMD	-0.29	0.14	-2.05	0.04	-0.57	-0.01
Leave1studyout	lnCVR	0.02	0.17	0.13	0.87	-0.31	0.35
Leave1setout	SMD	-0.29	0.14	-2.07	0.04	-0.56	-0.02
Leave1setout	lnCVR	0.02	0.17	0.13	0.9	-0.3	0.35

581 Table 2 legend: Model averages were calculated from the iterative exclusion of either one

582 study (Leave1studyout) or set of independent comparisons (Leave1setout). The following

583 abbreviations are used; ES (effect size), SE (mean standard error across all models), ci.lb

584 and ci.ub (mean lower and upper bounds of 95% confidence intervals across all models

585 respectively).

Discussion

By re-analysing the data from two previous studies [9,10] we show that the conventional theory that less genetically diverse host populations experience larger epidemics [6] is true only under certain circumstances. Despite finding a reduction in mean parasite infection success in host populations with higher genetic diversity that was consistent with previous studies [9,10], this result only applied to specialist parasites that infect only a single host species. In addition, we found support for our Epidemic Diversity conceptual model, which predicted that the *variability* in parasite success would depend on a combination of the population genetic diversity and host range of the parasite. For highly specific host-parasite interactions (as indicated by a narrow host range), we found that increased host population genetic diversity reduced the *variability* in parasite infection success when the corresponding parasite genetic diversity was low, but increased the *variability* in parasite infection success when parasite diversity was high. Therefore, the idea that less genetically diverse host populations generally experience larger epidemics may not be best described as 'conventional wisdom' [6].

Our results contrast those from previous meta-analytical studies of the effect of host population genetic diversity on metrics of parasite infection success, which also investigated the host range of the parasite as part of their analysis [9,10]. As already eluded to above, we found that the host range of the parasite was a significant moderator of the effect of host population genetic diversity on mean metrics of parasite infection success. Specifically, we found that a high level of host population genetic diversity tended to limit mean metrics of infection success for specialist, but not generalist, parasites relative to a low level of host population genetic diversity. One possible explanation for this is the increased statistical power of our study due to a larger number of effect sizes from combining the effect size data from these previous analyses [69]. This supports our original suggestion that parasite host range is closely related to the level of genetic specificity for infection (because specialist

parasites are more likely to have evolved highly specific, matching-allele-type interactions

between host resistance and parasite infectivity alleles than generalist parasites that are less

tightly co-evolved to their range of hosts). It also highlights the susceptibility of host

populations with only a small amount of genetic diversity to consistently high levels of

infection success by specialist parasites.

Our finding that more diverse host populations tend to have smaller mean metrics of

infection success for specialist parasites shows how there is slightly more complexity

associated with conventional wisdom than previously thought [6]. It also has important

implications for how the level of host population genetic diversity is managed in species of

conservation concern [70]. For example, one approach to species management may be to

prioritise the maintenance or restoration of genetic diversity in host populations threatened

by specialist parasite species, or by finding a safe approach for broadening a specialist

parasite's host range. For example, the introduction of a novel host or parasite species, as

some form of biological control [71], that can either act as a catalyst for host-mediated

parasite evolution of greater generality [72] or cause a parasite host shift through direct

competition for hosts (for a review, see [73]) may broaden the host range of a specialist

parasite away from its target host to include a non-target, pest species. In addition, recent

empirical work has started to test the theory that high host population genetic diversity

(*sensu* 'resource heterogeneity') selects for the evolution, or maintenance, of a broader

parasite host range (*sensu* 'niche width' [74]). Therefore, understanding how host population

genetic diversity is linked to the evolution of parasite host range in a number of different

host-parasite systems should be a priority for future research.

Again, in contrast to the results of previous meta-analytical studies [9,10], we also found that

there was a significant difference between the effect of host population genetic diversity on

the variability in metrics of infection success for specialist parasites with a high level of

parasite population genetic diversity and a low level of parasite population genetic diversity.

Specifically, we showed that a high level of both host and parasite population genetic

diversity increased the variability in metrics of infection success for specialist parasites

relative to host populations with a low level of population genetic diversity, whereas a high

level of host population genetic diversity and a low level of parasite population genetic

diversity decreased the variability in metrics of infections success for specialist parasites

relative to host populations with a low level of population genetic diversity. Although these

previous meta-analytical studies focused on the mean, rather than the variability in metrics of

parasite infection success [9,10], nevertheless the authors of both studies had expected to

find a significant effect of parasite population genetic diversity on the relationship between

host population genetic diversity and mean metrics of parasite infection success and were

surprised that there was no such significant result [9,10]. In addition to their reduced

statistical power (as mentioned above), one possible reason for this could be that only one

out of two of these studies investigated the interaction between different moderators [10]. On

the other hand, the difference we observed in the variability in metrics of infection success

for specialist parasites between host populations with high parasite population genetic

diversity and low parasite population genetic diversity matched the initial predictions we

made in our proposed Epidemic Diversity theoretical model (Fig 1). This confirms previous

theories that the benefits of host population genetic diversity for resistance to disease

depend on the corresponding parasite population genetic diversity [22,23,25].

This idea that the combination of both host and parasite population genetic diversity

influence the variability in metrics of parasite infection success has important implications for

host-parasite systems in general. As already mentioned previously, not only could such

variability in metrics of parasite infection success be important for predicting the occurrence

of potentially severe disease epidemics, which could benefit conservation by informing

genetic diversity management strategies to prioritise at risk host populations [70], but it could

also be central to our ability to protect against future emerging diseases [75] and for

understanding the extent to which disease experiments are repeatable. For example, our

results highlight that host populations with a low level of genetic diversity are particularly

susceptible to consistently large disease epidemics caused by specialist parasites with a

high level of diversity. Conversely, the inconsistent levels of parasite success predicted for

combinations of low host x low parasite and high host x high parasite population genetic

diversity suggest that the repeatability of both laboratory and field experiments may be quite

low, since they are often characterised respectively by such combinations of host-parasite

diversity. Similarly, patterns of future disease occurrence (and emergence) may be more

difficult to predict in such systems compared to those with different combinations of diversity.

In addition to our moderator analysis using models with an interaction term, we also

investigated the effects of eight other contextual factors to evaluate our list of hypotheses

(Table 1). These are the same as the moderators used in previous meta-analyses [9,10], but

in comparison to the total number of significant effects they observed in their analysis (two),

our results show that there were six moderator levels that had significant effects. In

particular, the effect of host population genetic diversity on mean metrics of infection

success for microparasites was much more negative than for macroparasites. In agreement

with our original hypothesis, this suggests that the difference in infection durability between

micro- and macroparasites [67] affects the specificity of their interactions with the host [21].

Therefore, we suggest that macroparasites, due the longer-lasting nature of their infections

[67], are less tightly coevolved with their hosts and thus have a lower genetic specificity for

infection. We also found that there was a significant negative effect of host population

genetic diversity on mean metrics of parasite infection success for comparisons of outbred

versus inbred hosts. Although such an effect was absent for other host population

comparisons, such as between naturally high and low genetic diversity populations of hosts,

it was quite similar to the effect for host populations composed of select genotypes.

Therefore, this could suggest that experimental manipulations of host population genetic

diversity had a stronger effect on mean metrics of parasite infection success than studies

using a purely natural source of hosts. However, it is worth noting that this result is

somewhat inconsistent with the significantly negative effect of host population genetic

diversity on mean metrics of parasite infection success observed for non-laboratory-based

studies, for which the opposite effect was observed in one out of the two previous meta-

analyses [9]. As such, an alternative explanation would be that the effect of host population

genetic diversity on mean metrics of parasite infection success were exacerbated for outbred

versus inbred hosts by the increased susceptibility of inbred hosts to disease [76].

Other notable observations from the individual models include a significant negative effect of

host population genetic diversity on mean metrics of parasite infection success in sexually

reproducing host populations and a significant negative effect of host population genetic

diversity on the variability in metrics of parasite infection success for host populations

reproducing asexually, which was strongly contrasted against the absence of either a

sexually reproducing or facultatively sexually reproducing host. These results suggest that

sexual reproduction might contribute to the strength of how population genetic diversity limits

disease spread due to greater dissimilarity between genotypes from genetic recombination

than achieved by asexual reproduction [77], but also that asexual reproduction can lead to

greater disparity between the consistency of metrics of parasite infection success of host

populations with high versus low genetic diversity than other forms of host reproduction.

There was also a significant negative effect of host population genetic diversity on mean

metrics of infection success for parasites that typically kill the host. Compared to less

harmful parasites, this suggests that virulent parasites could select for higher levels of

resistance and greater variation of resistance in the host population [9].

Despite the potentially exciting nature of our results, there are some additional

considerations that should be taken into account. For example, there is a large number of

effect sizes for specialist parasites with low population genetic diversity, but most of these

actually come from a prokaryotic bacterial host study [68], rather than a vertebrate or

invertebrate host, which is the case for most of our data. In addition, the host range of the

parasite may not be a reliable estimate of the genetic specificity for infection. The host range

of the parasite was used as a proxy for the genetic specificity for infection, as such a detailed

level of information was not available. Therefore, we made the prediction that highly specific

interactions between host and parasite genotypes [21] would be more likely for tightly

coevolving pathogens (i.e. following a MAM of infection, [24]), as might be expected for

specialist, but not generalist parasites. Similarly, the results of our moderator analysis rely on

somewhat arbitrary ways of creating data sub-categories. In the case of parasite population

genetic diversity, comparing mainly natural versus laboratory strains of parasites could be a

poor indication of the effect of parasite population genetic diversity because the exact level

of diversity was not actually quantified. In the case of the host range of the parasite, this

measure is subjective and based somewhat on an incomplete literature [78].

One other final consideration is that the majority of our data concentrates on the effect of

host population genetic diversity on both the mean and variability in metrics of parasite

infection success for spatially replicated groups of host populations (but see [30]). Although

we might expect the temporal pattern of the effect of host population genetic diversity on

metrics of parasite infection success to be similar to that observed across space, we also

predict some key differences. For example, recurrent bouts of parasite-mediated directional

selection have the ability to reduce host and parasite population genetic diversity over time

[79,80], which could be accompanied by a higher mean and lower variability in metrics of

parasite infection success. However, the maintenance of host and parasite genetic diversity

over time depends on the precise nature of selection and the underlying host-parasite

infection genetics (i.e. a MAM versus a GFG model for genetic specificity, [81]). Although

there are some studies which measure metrics of parasite infection success for host

populations with different levels of genetic diversity at multiple timepoints (e.g. [29]), more

studies would be required to provide a comprehensive test of the effect of host population

genetic diversity on metrics of parasite infection success over time.

Conclusions

In this study, we measured the difference in the mean and variability in metrics of parasite

infection success between host populations with high versus low genetic diversity. After first

challenging so-called 'conventional wisdom' (*sensu* [6]) we proposed an Epidemic Diversity

model to better understand the context around how host population genetic diversity might

affect not only the mean, but also the variability in metrics of parasite infection success. We

found that host population genetic diversity affected mean metrics of infection success for

specialist but not generalist parasites. We also found that the effect of host population

diversity on the variability in metrics of parasite infection success depends on a combination

of the host range of the parasite and the parasite population diversity, such that there is

some evidence for an Epidemic Diversity theoretical model, at least for the collection of

studies reviewed in this meta-analysis. Additionally, we found that there was a number of

other context dependent effects of host population genetic diversity on both the mean and

variability in metrics of parasite infection success, such as parasite type. Overall, these

findings represent a change of perspective that could help to protect vulnerable host

populations by prioritizing how genetic diversity within these populations is managed. Future

study of the Epidemic Diversity hypothesis across a range of plant host-parasite systems

would help generalize these findings.

Data accessibility

Reviewer sharing

link: http://datadryad.org/share/LINK_NOT_FOR_PUBLICATION/E2NqLZ8KL2oYaPQLSYat

mNNIeUub3aeC4ExfjJvu5Hw.

Data available from the Dryad Digital Repository: doi:10.5061/dryad.2bvq83bzq [82].

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1011

Supporting information

S1 Table. The difference between our amended study inclusion criteria and the original study inclusion criteria. There is no S1 Table legend.

S1 Fig. The difference between intra- and inter-group level variation in host population genetic diversity. Each bucket represents a unique host population (e.g. jar of *Daphnia*). Each shape represents a unique host genotype. There are two sets of three host populations per replicate which correspond to the high and low diversity groups. The first two replicates show a combination of high intra-group variation in host population genetic diversity x low inter-group variation in host population genetic diversity. The second set of replicates show a combination of low intra-group variation in host population genetic diversity x high inter-group variation in host population genetic diversity.

S2 Fig. The taxonomic distribution of unique host and parasite species (genera) within the data. The number of unique combinations of host and parasite species are shown in each cell of the table, along with the number of studies they are sourced from after a backslash. The total number of studies (59) is higher than the total number of studies in our dataset (48), because there were some studies with multiple comparisons of unique host

and parasite combinations. The colour system corresponds to the number of unique

combinations of host and parasite genera, where higher numbers have darker colouration.

S3 Fig. Study effects of host population genetic diversity on the mean and variability

in parasite success. The x-axis in each plot shows the effect of increasing host population

genetic diversity on either A) the difference in mean parasite success (SMD) or B) the

difference in the variability in parasite success (lnCVR). Aggregated effects for each study

are shown with 95% confidence intervals. Where the same host genus was studied more

than once ('Duplicated Genus'), the colour of the points is white, rather than black, and the

specific host genus studied is indicated by its shape (there were only five duplicated host

genera). Each point is scaled by the amount of weighting they received in an aggregated

mixed effects model, whereas the actual analysis was conducted based on the full set of 211

individual data points. The dashed lines indicate an effect size of zero and the overall model

means are shown by the solid grey line with 95% confidence intervals bands in light grey.

S4 Fig. The results of the leave-one-study-out method of sensitivity analysis. The x-

axis in each plot shows the effect of increasing host population genetic diversity on either A)

the difference in mean parasite success (SMD) or B) the difference in the variability in

parasite success (lnCVR). The names of the authors and the publication date for the study

omitted in each model iteration is shown on the left, with the overall effect size and its

confidence interval shown on in the middle. The meta-regression estimate of the original

model using the full set of studies is shown by the vertical line and the specific value for each

individual study is shown on the right (with 95% confidence intervals). The size of each point

is scaled according to its precision.

S5 Fig. The results of the leave-one-independent-comparison-out method of

sensitivity analysis visualized using a modified version of an orchard plot. The x-axis

in each plot shows the effect of increasing host population genetic diversity on either A) the

difference in mean parasite success (SMD) or B) the difference in the variability in parasite

success (lnCVR). Unlike traditional orchard plots, which show the distribution of individual

effect sizes, the mean effect size for each model iteration is shown by the coloured circles.

The size of each point is scaled by its precision (inverse of the standard error). The meta-

regression estimate of the original model using the full set of studies is shown by the dotted

line.