Inner limiting Membrane Peel Extends *In vivo* Calcium Imaging of Retinal Ganglion Cell Activity Beyond the Fovea in Non-Human Primate

Abbreviated Title: *Ca2+ imaging of retinal neurons beyond the fovea*

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Abstract

Purpose: Adaptive Optics Scanning Light Ophthalmoscopy (AOSLO) paired with intravitreal injection of a viral vector coding for the calcium indicator GCaMP has enabled visualization of neuronal activity in retinal ganglion cells (RGCs) at single cell resolution in the living eye. However, the inner limiting membrane (ILM) restricts viral transduction to the fovea in humans and non-human primates (NHP), hindering both therapeutic intervention and physiological study of the retina. To address this, we explored peeling the ILM before intravitreal injection to expand calcium imaging beyond the fovea in the living primate eye.

Methods: Five eyes from Macaca fascicularis (age 3-10; n=3; 2 males, 1 female) underwent vitrectomy and ILM peel centered on the fovea prior to intravitreal delivery of 7m8:SNCG:GCaMP8s. RGC responses to visual flicker were evaluated using AOSLO calcium imaging 1-6 months post intravitreal injection.

Results: Calcium activity was observed in RGCs throughout the ILM peeled area in all eyes,

representing a mean 8-fold increase in accessible recording area relative to a representative

control eye. RGC responses in the ILM peeled and control eyes were comparable and showed

no significant decrease over the 6 months following the procedure. In addition, we demonstrated

that activity can be recorded directly from the retinal nerve fiber layer.

Conclusions: Peeling the ILM is a viable strategy to expand viral access to the GCL for gene

therapies in NHP. Overall, this approach has potential to advance visual neuroscience, including

pre-clinical evaluation of retinal function, detection of vision loss, and assessment of therapeutic

interventions.

Introduction

The retina is a highly specialized tissue in the back of the eye that plays a critical role in

the capture and processing of visual information. Advances in methods to study retinal function

have enhanced our ability to understand retinal physiology, disease and to evaluate and optimize

therapeutic interventions. In vivo measures of function allow us to gain insight into retinal activity

in an intact tissue with the integrity of both circuitry and bodily systems maintained. This is

particularly important for pre-clinical development where the immune system may be provoked

by the intervention. In vivo recording of retinal function also enables indefinite longitudinal follow

up. The non-human primate (NHP) is the gold standard animal model for both retinal

physiological study and pre-clinical testing of novel therapies, owing to the close similarity

between the visual and immune systems of humans. In vivo measures of function are therefore

particularly appealing in NHP where numbers are few but the potential for translation is high.

The ideal *in vivo* functional testing paradigm would evaluate retinal activity at the cellular

scale throughout the retina. Multi-electrode array and patch clamp electrophysiology in retinal

explants are widely used and allow recordings with high temporal resolution, but rapid

degradation of the explant precludes longitudinal measurements in a single individual,

complicating assessment of pathologies or therapies particularly when the time course is

uncertain [1,2]. Electroretinography (ERG) enables repeated non-invasive measurement of retinal

responses in vivo [3,4], but does not read out activity at the cellular scale. Behavioral testing can

complement optical and electrophysiological [5], but does not provide direct insight into function at

the retinal level or at the cellular scale.

By pairing adaptive optics scanning laser ophthalmoscopy (AOSLO) which enables high

resolution retinal imaging [6] with viral vector mediated expression of the genetically encoded

calcium indicator GCaMP, it is now possible to optically read out RGC activity at the cellular scale

in NHP *in vivo* [7-9]. However, viral transduction of RGCs following intravitreal injection of an AAV

has previously been spatially restricted to a ring of RGCs serving the most central foveal cones

in primates [10] as the inner limiting membrane (ILM), a thin border between the retina and vitreous

fluid formed by astrocytes and end feet of Müller cells, creates a barrier to viral penetration

outside of the foveal pit [11]. Peeling the ILM prior to intravitreal injection has reliably expanded

viral transduction of GFP in retinal neurons [12-14] and this approach has been proposed as a

strategy to dramatically expand the area of RGCs transduced by gene therapies.

In this study we dramatically expand the area of the NHP retina accessible for optical

recording of function in vivo by removing the ILM prior to intravitreal injection of the viral vector

coding for GCaMP. Not only does this expand the potential utility of this optical recording platform

in vivo but it also provides insight into the impact of the ILM procedure on the retina at the cellular

scale in the living eye. We obtain RGC responses at eccentricities that were previously

inaccessible in multiple animals and present the first optical recordings from the retinal nerve

fiber layer (RNFL). Longitudinal calcium imaging AOSLO is performed to together with OCT and

fundus photography to better understand outcomes associated with the ILM peel procedure.

Materials and Methods

Experimental Design

Five experimental eyes and one control eye from 3 Macaca fascicularis (2 male, one female)

were used in this study. All five experimental eyes were vitrectomized and underwent peeling of

the ILM centered on the fovea (Figure 1A-B). Six to eight weeks later, intravitreal injection of a

viral vector was performed to produce GCaMP expression in the ganglion cell layer (Figure 1C).

Three of the five experimental eyes received intravitreal injection of viral vector AAV2.7m8-

SNCG-GCaMP8s while the two eyes of macaque M3 received the GCaMP8m variant. One

control eye did not undergo vitrectomy or ILM peeling (Table 1). We did not perform a vitrectomy

without ILM peel as an additional control because previous studies have established that

vitrectomy alone insufficient to extend viral transduction [12].Scanning laser ophthalmoscopy

(cSLO) confirmed the presence of GCaMP in the area corresponding to region of ILM peeling

surgical peel (Figure 1D). cSLO, OCT, and color fundus photography were performed

longitudinally up to six months post procedure. Functional assessment at the cellular scale *in*

vivo was conducted using fluorescence AOSLO in combination with a red LED flashing stimulus

(Figure 1E-G). Retinal imaging was conducted between the fovea and the ILM peel edge in all

meridians.

Animal welfare

The macaques used in this study were housed in pairs in an AAALAC accredited facility in compliance with the University of Rochester University Committee on Animal Resources (UCAR). They had free access to water and primate biscuits, providing a complete and nutritious diet. To supplement this, they were given various treats multiple times daily, such as nuts, dried fruit, vitamin chews, and a large variety of fresh fruits and vegetables. They were also given "high value" treats during training, including Ensure, marshmallow snacks, and fruit gummies. An animal behaviorist and Veterinary Technicians provided novel enrichment items multiple times a week, including items such as grass, oranges with peels still on, treat filled forage boxes, grapevines, water play, and popcorn balls. Daily enrichment included mirrors, puzzle feeders, durable chew toys, daily television time and/or music and regular visits from staff to offer treats and socialization. All macaques were cared for by the Department of Comparative Medicine veterinary staff, including three full-time Veterinarians, five Veterinary Technicians, and an animal care staff who monitored animal health and comfort at least three times daily. The Animal Behaviorist regularly recorded and analyzed primate behavior to monitor any signs of stress. This study was carried out in strict accordance with the Association for Research in Vision and Ophthalmoscopy (ARVO) Statement for the Use of Animals and the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the University Committee on Animal Resources of the University of Rochester (PHS assurance number: D16-00188(A3292-01)).

Immunosuppression

All macaques in this experiment received subcutaneous Cyclosporine injections daily. Blood trough levels were collected to titrate the dose of 150-250 ng/mL and then maintained at that

level. The timing of immune suppression relative to ILM peel surgery and viral vector injections

are detailed in Table 1.

Animal preparation for ILM peel surgery

The surgical subject was fasted overnight with free access to water. The animal was sedated

using ketamine (100mg/mL) and medetomidine (20mg/mL), then intubated and kept at proper

anesthetic depth via 1.5-3% isoflurane throughout the procedure. The animal was positioned in

dorsal recumbency on a padded surgical table. A Bair Hugger warming system was placed over

the animal to maintain body temperature. Vital signs including temperature, heart rate and

rhythm, respirations, end tidal CO_2, blood pressure, SpO_2, and reflexes were monitored

consistently and recorded every fifteen minutes. Pupil dilation was accomplished using 1%

atropine ophthalmic solution. An aseptic preparation was performed on the surgical eye and

surrounding area using 5% betadine solution and flushed with sterile 0.9% saline. The subject's

eye and body were then draped in a sterile fashion.

ILM Peel procedure

The ILM peel procedures performed in NHP closely followed the protocol used in human surgery.

A standard 3-port pars plana vitrectomy was performed through 25-gauge cannulas using the

Constellation vitrectomy system (Alcon, Ft. Worth, TX), OPMI Lumera 700 operating microscope,

and RESIGHT viewing system (Carl Zeiss Meditec AG, Jena, Germany). Triamcinolone was

injected intravitreally to visualize the vitreous. A posterior vitreous detachment was induced using

aspiration from the vitrector or a soft tip cannula. The peripheral vitreous was trimmed. Brilliant

Blue G Ophthalmic Solution (DORC) dye was placed over the macula and the ILM stained for 2

minutes (Supplementary Movie 1). A fluid-fluid exchange was performed to remove the dye. The

stained ILM was then peeled 360 degrees, centered on the fovea, in approximately 5 to 6-disc

diameter area in the macula using ILM peeling forceps (Alcon, Ft. Worth, TX) (Supplementary

Movie 2). The three ports were then removed at the end of the surgery and the sclerotomies

sutured. The animal was given an intravitreal injection of vancomycin (1mg/0.1mL) and

ceftazidime (2mg/0.1mL). Immunosuppressant Cyclosporine (150-250ng/mL), anti-inflammatory

meloxicam (5mg/mL), analgesic buprenorphine SR (3mg/mL), and an anesthetic reversal of

antisedan (5mg/mL) were all administered post-operatively.

Intravitreal Injection of viral vector

Intravitreal injection of AAV2-7m8.SNCG.jGCaMP8s.WPRE.SV40 (100 μL at titer 2.62×10^{13}

GC/mL), or AAV2-7m8.SNCG.jGCaMP8m.WPRE.SV40 (100 μL at titer $\geq 2.46 \times 10^{13}$ GC/mL),

was performed as previously described in Yin et al 2011 [15]. Briefly, the eye was sterilized with 5%

diluted betadine before the vector was injected into the vitreous through the pars plana using a

tuberculin syringe and 30-gauge needle. All viral vectors were synthesized by the University of

Pennsylvania Vector Core. Neutralizing antibodies to AAV2 were 1:25 or lower in all four injected

animals prior to the study. Following the intravitreal injection of the vector, each eye was imaged

weekly with a conventional scanning light ophthalmoscope (Heidelberg Spectralis, Heidelberg

Engineering, Franklin, MA) using the 488 nm autofluorescence modality, to determine the onset

of expression, image quality and to monitor eye health. The animal received 2mg/0.05mL of

triamcinolone intravitreally (Kenalog-40) following the injection to treat for symptoms of uveitis.

Animal preparation for imaging

All monkeys were fasted from 4 to 18 h prior to anesthesia induction. Anesthesia induction began

with 5 to 20 mg/kg Ketamine, 0.25 mg/kg Midazolam, and 0.017 mg/kg Glycopyrrolate

intramuscularly. The pupil was dilated with a combination of tropicamide 1% and phenylephrine

2.5%. In cases of minimal pupil dilation within the standard time, phenylephrine 10% and/or

cyclopentolate 1% drops were administered. Flurbiprofen Ophthalmic drops are given to the

target eye, to prevent any discomfort from prolonged use of an eye lid speculum. Both eyes were

covered with a hydrating ophthalmic gel (GenTeal). The target eye then had the lid speculum

placed to keep the eye open during imaging and a contact lens was placed to ensure corneal

protection. The fellow eye was taped closed with porous tape, to protect the cornea from drying.

The animal was placed in a stereotaxic cart. Prior to intubation, an oxygen mask with 1–2%

isoflurane, was placed over the monkey's face to allow for adequate sedation for intubation. An

intravenous drip of Ringer's lactate with 5% dextrose was maintained at 5 ml/kg/hr for the

duration of imaging. The monkey was intubated and maintained at a surgical plane of anesthesia

with isoflurane 1.0–2.5%. A Bair Hugger warming system was placed over the monkey to

maintain body temperature. Monitoring was conducted as previously described.

After a surgical plane of anesthesia had been established, a Rocuronium infusion (800mcg/ml)

was given with a dose range from 200-600mcg/kg/hr, after an initial bolus dose of 100-

400mcg/kg. Rocuronium is administered to effect, determined by eye movement, and the lowest

effective dose per animal is used. Once respirations ceased, the monkey was maintained on a

ventilator until imaging was over and the infusion was turned off. Once a peripheral nerve

response was established, an intravenous dose of glycopyrrolate 0.01 mg/kg was given. Five

minutes after the glycopyrrolate, neostigmine 0.05 mg/kg was given intravenously. The monkey

was monitored for indications of breathing against the ventilator and then removed from the

ventilator once able to breath without assistance. The monkey was removed from the isoflurane

no sooner than fifteen minutes after the neostigmine injection to ensure stability off the ventilator.

The monkey was then allowed to wake up and extubated once all reflexes had returned.

Clinical imaging

Confocal scanning light ophthalmoscopy (cSLO), optical coherence tomography (OCT) and color

fundus photography were performed to pre- and post-procedure using a commercially available

Spectralis HRA (Heidelberg Engineering) and fundus camera (Topcon 50EX). The blue

autofluorescence modality on the spectralis HRA was used to capture GCaMP fluorescence

using the 488nm excitation wavelength and a longpass filter at 500nm. GCaMP fluorescence

was also captured using the fundus camera equipped with filters selective for GCaMP excitation

and emission (ex. 472/30 nm em. 520/28 nm). 30- and 55-degree OCT images centered on the

fovea were collected pre- and post-ILM peel, pre-and post-intravitreal injection, and roughly

every 30 days thereafter. OCT data from the optic nerve head in addition to slit lamp

photographs (Zeiss) were recorded at the same timepoints to document the time course and

severity of any inflammation.

Assessing ocular inflammation

A modified Hackett-McDonald scoring system [16] was used to evaluate ocular inflammation. A

Veterinary technician evaluated the pupil reflex and conjunctival discharge prior to pupil dilation.

A comprehensive set of slit lamp images were recorded, randomized and graded by an

ophthalmologist (VG). The scoring system is available in the supplementary materials.

Calcium Imaging Adaptive Optics Scanning Laser Ophthalmoscopy

AOSLO data were collected using a previously described AOSLO instrument [17,18]. An 847nm

diode laser (QPhotonics, 100-136uW/mm2) was used as a wavefront sensing beacon in

combination with a Shack-Hartman wavefront sensor and a deformable mirror (ALPAO) for

measuring and correcting the optical aberrations of the eye. A 796nm superluminescent diode

(Superlum, 700-850uW/mm^2) was used to image the cone photoreceptor layer using a 2 airy disc

(20um) pinhole to collect the reflected light. Simultaneously, a 488nm laser (Qioptiq, 57uW/mm2)

focused on the RGC layer was used to excite GCaMP and emitted fluorescence was collected

using a 7.5 airy disc (75um) pinhole. Laser powers were calibrated prior to every imaging session

to ensure consistency across sessions. To correct for longitudinal chromatic aberration between

the 796nm source and 488nm sources on the detector, motorized stages were adjusted to

optimize their positions *in vivo* prior to each experiment. The adaptive optics control facilitated

fine focus on the retinal ganglion layer of the 2.54° by 2.54° field of view at each retinal location.

GCaMP fluorescence (emission 535/20 nm) was recorded in response to 7 degree uniform visual

stimulus presented through a Maxwellian view system [19]. A Thorlabs mounted LED with a 661/20

nm emission filter was used as the visual stimulus to avoid the GCaMP excitation spectrum and

consisted of 20 second contrast increments and decrements against a mean light level (3

µW/mm^2). Trials began with a 60 second system background period with no laser emission, this

was followed by a 120 second light adaptation period to the 488nm imaging laser and the

mean luminance of the visual stimulus before 20 second increments and decrements began.

Fluorescence was recorded during the system background, period of constant illumination and

the stimulation period. Each trial was repeated twice at each retinal location. Total exposure to

all laser sources was kept below the Rochester exposure limit [20], designed to be two-fold more

conservative than the ANSI standard [21].

Data Analysis

Adaptive optics calcium imaging was stored as an uncompressed AVI video format. A frame-by-

frame stimulus information was written to a csv file, providing an accurate record for analysis.

The reflectance videos of the photoreceptor mosaic were registered offline using a strip-based

cross correlation algorithm [22], that corrected translational motion between frames and intraframe

warping due retinal motion. Each frame of the GCaMP fluorescence video was co-registered to

the photoreceptor reflectance video. The frames of the fluorescence video were temporally

integrated to form a high SNR z projection image that was used to create an RGC segmentation

mask using FIJI. RGC segmentation masks were then imported into MATLAB and used to

tabulate individual cell traces for each imaging location. These cell traces were then filtered using

a 50-frame moving median filter. The Z-score was calculated as the GCaMP response amplitude

(signal) minus the mean amplitude of the baseline adapted response (noise), all divided by the

standard deviation of the noise. The criterion for a responsive cell was set to 2.5 standard

deviations above the noise. Statistical analyses were performed using MATLAB two-sample T-

test, with group spread reported as mean ± SD. Fluorescence cSLO images were scaled and

manually segmented using FIJI to determine the boundaries of GCaMP expression.

Following RGC imaging, we recorded from the RNFL near the optic disc in the same sessions.

We used the same imaging and stimulation paradigm to collect this data with the expectation that

the 7-degree uniform visual stimulus would excite ganglion cells within the area of GCaMP

expression. We integrate a large area encompassing multiple passing fibers to enable extraction

of population-level responses.

Code and Data Accessibility

All code used for analysis and corresponding filtered RGC recordings will be made available on

our GitHub and the open science framework repository upon publication. Raw video data is

available upon request.

284   Results

285   ILM peel enables functional recording from RGCs at previously inaccessible eccentricities

286   *in vivo*

To assess the impact of ILM peel on the spatial extent of GCaMP transduction in the GCL

we directly compared the pattern of expression in the ILM peeled eye with the fellow eye of the

same animal (M1), which received an intravitreal injection but no ILM peel (control). Figs 2A and

2B show a dramatic 5-fold increase in the area of GCaMP expressing RGCs in the ILM peeled

eye (12.9 mm^2) relative to the control (2.5 mm^2). In the ILM peeled eye, RGCs were transduced

with GCaMP out to 9.2 degrees superior to the fovea whereas in the control eye the maximum

eccentricity was just 3.5 degrees. The increased fluorescence relative to the autofluorescent

background observed in Figure 2B extended to the limit of the ILM peel identified by retinal

landmarks in the surgical video. Furthermore, imaging with a fluorescence fundus camera

equipped with filters selective for GCaMP excitation and emission confirmed that the elevated

fluorescence was the extrinsic fluorophore GCaMP.

To assess the responses of RGCs in the ILM peeled and control eye we performed

calcium imaging AOSLO while the retina received periodic visual stimulation at 0.025Hz. Figure

1G shows an example fluorescence trace from a single ON cell in the ILM peeled eye. The

magnitudes of single cell responses recorded in the ILM peeled and control eyes are shown in

Figure 2C, represented as z-scores. The distribution of z-scores from individual RGCs in the ILM

peeled and control eyes were not significantly different at 1° (P=0.07) or 3.5° (P=0.61),

suggesting that the ILM peel did not adversely impact RGC physiology. Importantly only in the

ILM peeled eye was it possible to obtain functional recording from RGCs at eccentricities

beyond 3.5 degrees. Figure 2C shows responses collected from RGCs at 9.2 degrees from the

foveal center. This is the first-time *in vivo* calcium imaging has been performed outside the

parafovea. No RGCs fluoresced beyond four degrees from the fovea in M1 OS. Z-scores from

M1 OD at 9.2 degrees were found to be significantly lower than those at 3.5 degrees in the

same eye (P=0.0004).

As functional recordings were obtained *in vivo,* it was possible to return to the same

region of the GCL to longitudinally monitor RGC responses over a period of months (Figure 3).

For M1 OD, RGC response amplitudes at 9 degrees did not significantly change over time

(Figure 3A-B; paired t test; P=0.86, P=0.42), but the amplitudes at 3 degrees significantly

increased after 5 months (Figure 3C-D; paired t test; P=0.02). Compared to the non-ILM peeled

fellow eye at 3.5 degrees (Figure 3E-F) that was injected first, OD had significantly higher

amplitudes (paired t test; p=0.021). While responses varied week to week, no long-term decline

in the calcium responses of RGCs were observed, suggesting that RGC function was not

significantly compromised by the ILM peel procedure.

To assess the reproducibility of this approach an additional 4 eyes underwent ILM peeling

prior to intravitreal injection of the viral vector and follow up imaging. Across all eyes we observed

an average 8-fold increase in area (12.9mm^2, 24.4 mm^2, 20.1 mm^2,22.7 mm^2, 20.7 mm^2,

respectively) of GCaMP expression across all eyes relative to the control eye (2.5mm^2) as

measured using BAF cSLO (Figure 4). We extracted functional activity from RGCs in all eyes at

eccentricities out to 9.2, 7.4, 7.9, 11, and 6.2 degrees respectively. There was a significant

decrease in response magnitude with eccentricity in M1 OD (p=0.0003) but not in M2 OS

(p=0.598), M2 OD (p=0.147), M3 OS (p=0.055), and M3 OD (p=0.122). We note that M2 had

naturally occurring vessel tortuosity.

Optical readout of RGC activity is possible from the retinal nerve fiber layer

For the first time we show that it is possible to record not only from RGC somas but also

from the RNFL near the optic disc (Figure 5). We evaluated calcium responses from the RNFL in

both the ILM peeled and control eye. The investigated locations were outside of the ILM peeled

area and as a result lacked fluorescing RGC somas but contained GCaMP expressing axonal

fibers (Figures A,C). The averaged signal from the integrated region (Figures 5B,D)

corresponded to an 'OFF' type response in both cases, but in the control eye the magnitude of

the response was reduced by half (3.3 ± 0.02, and 1.4 ± 0.02 Z-score, respectively) (Figure 6E).

In a representative location with both soma and RNFL, the RGC somas were more response

than segmented RNFL bundles (10.9 ± 7.63 and 5.28 ± 3.3 Z-score, respectively) with 400-fold

greater average pixel brightness.

Structural imaging reveals minor morphological changes following ILM peel

To ensure we had a full understanding of any structural changes in the retina resulting

from the ILM peel, we closely monitored all eyes over time with fundus photography and OCT

(Figure 6). Alteration of the foveal contour was visible in the B-scan OCT images of all subjects,

with the extent of this alteration varying between individuals. The foveal pit diameter of M1 OD

(Figure 6A) and M3 OD (Figure 6E) appears slightly reduced compared to pre-ILM peel, while,

the foveal contours of M2 (Figure 6B-C) and M3 OS (Figure 6D) are more dramatically altered.

These changes in foveal contour persist throughout the observation period (6 months post ILM

peel). No macular holes were created, but disruption to the photoreceptor layer was noted in M2

OS and improved over time.

Concentric macular dark spots (CMDS) [23], surrounding the fovea and aligned with the

sweep of retinal nerve fibers toward the optic disc were observed in the color fundus images of 3

of the 5 eyes (Figures 6B,C,D, white arrows). Shallow dimples in the RNFL were observed post

ILM peel in OCT-B scan data from all animals, consistent with previous reports of disassociated

optic nerve fiber layer (DONFL) [24,25].

To further investigate potential damage to the GCL or RNFL, we used high resolution

imaging to investigate retinal locations containing both darkened spots in color fundus (Figure

7B,D,F) and DONFL in the OCT-B scan. Our findings confirmed for the first time that dimples

present in the RNFL correlated to the absence of small (< 100um) pockets of RGCs (Figure

7A,C,E). This RGC loss was variable between animals. Animal M3 OS (Figure 7D, left panel) had

only one hypofluorescent region near the edge of expression (Figure 7D, right panel) while other

eyes had them close to the fovea predominantly in temporal retina. To test the connection

between CMDS and the RNFL dimples in OCT we investigated patches of CMDS with high

resolution imaging, finding that these were not associated with missing RGCs. As one example,

in M3 OS (Figure 7F-G) the DONFL region does not appear on the OCT as a dimple in the RNFL

nor in the AOSLO modality as hypofluorescent.

A board-certified ophthalmologist evaluated ocular inflammation for 4 eyes of 2 animals

following intravitreal injection of the viral vector based on a modified Hackett-McDonald scoring

system (Table 2) [29]. In M2, inflammation was characterized principally by conjunctival

congestion, swelling, and decreased corneal transparency with pigment noted on the anterior

capsule of OS up to 12 weeks post injection. M3 primarily experienced decreased corneal

transparency along with minor conjunctival congestion and swelling up to 7 weeks post injection.

Despite temporary inflammation, GcAMP was present in all eyes for the duration of this study.

Discussion

Surgical removal of the ILM prior to intravitreal injection of a viral vector coding for GCaMP

enabled a mean 8-fold expansion of the area of the retina accessible for optical recording from

RGCs across the 5 primates tested in this study. These are the first optical measurements at

eccentricities beyond a small (< 3 degree) foveal ring that have been achieved *in vivo* and the

first demonstration that optical recording from the RNFL is possible. Longitudinal recordings of

RGC calcium responses over weeks and months showed variation but no significant long-term

decline, suggesting that RGC function was not significantly compromised by the ILM peel

procedure. This result is promising for future applications of ILM peeling in gene and cell

therapies. In addition, we used AOSLO to investigate previously reported structural defects

associated with the ILM peel procedure and observed a variable number of small hypo

fluorescent regions of RGC loss, more common in temporal retina and visible as depressions in

the OCT images of the GCL. This minimal loss of discrete patches of RGCs may be an

acceptable tradeoff given the expanded region accessible for calcium imaging *in vivo* or for

therapeutic applications.

The advances presented in this paper have implications for both retinal neuroscience,

pre-clinical assessment of retinal function, and for the delivery of new therapies that could utilize

the ILM peel procedure. The ability to functionally survey large areas of the GCL beyond the

fovea both in vivo and at the cellular scale could be valuable in identifying and interrogating rare

classes of primate RGCs and understanding how these cells change with eccentricity. From a

pre-clinical standpoint, the cellular scale assessment of retinal function in vivo has potential to

advance our ability to characterize disease models and detect and track restored RGC function

that might be spatially and temporally sporadic, for example in the development of cell therapies.

In this use case, peeling the ILM would expand the available surface area for therapeutic

investigation 8-fold. Lastly surgical peel of the ILM may be a viable strategy to expand region of

the retina that can be transduced by gene therapies or receive RGC transplants. The results

presented here suggest that RGC function is not severely impacted by this intervention in the

months after surgery and in the case of e.g. optogenetic gene therapy targeting RGCs, ILM peel

could substantially increase the patients restored visual angle and any potential benefit derived

from this therapy.

Variability in RGC responses between subjects

We observed a substantial variability in Z scores between animals, with subject M1

responding with significantly higher z-scores relative to other experimental eyes. A variety of

factors could be behind this, but we note that M1 was significantly older (10 as opposed to 4

years) and had fewer inactivating antibodies to AAV2 (Table 1). Age can have an impact on

innate immunity, and could have affected the efficacy of viral transduction or the degree of

inflammation impacting anterior segment optics. M1 also had a much shorter time between ILM

peel and the first intravitreal injection, minimizing any potential obstruction of viral access to the

GCL by glia mediated scar formation, as while the ILM does not regrow, Muller glia produce a

basement membrane that covers most of the exposed retina [26].

Functional responses were obtained from the RNFL

We were able to extract functional assessment of responses carried along RGC axons in

addition to those recorded directly from RGC somas. This was possible both in the control eye

and the ILM peeled eye, but with double the Z score in ILM peeled eyes relative to the control

(figure 4). This could be explained by the increased number of GCaMP-expressing RGCs in the

ILM peeled eye and therefore a larger number of GCaMP expressing axons traversing the field of

view. We note that the RNFL trace was dominated by an OFF response that may be explained by

the stimulus design. A high photopic mean light level can drive stronger OFF responses than ON.

An enlarged, pan retinal stimulus could further increase the measured RNFL response by

activating additional RGCs. This finding raises the possibility of RGC responses being

contaminated by the overlying RNFL. The Z score per pixel is X fold higher in cell somas relative

to the RNFL and soma signals therefore dominate in standard recordings but it is important to

note that these effects may more visible in deafferented retina. For this same reason, RGC

somas make it difficult to extract signals from the RNFL unless recordings are made beyond the

edge of the ILM peel. Recording directly from the RNFL may be of interest in pre-clinical models

of glaucoma or assessing axon regeneration of transplanted ganglion cells *in vivo* [27]. Future work

may focus on segmenting responses from individual axon bundles to better understand

organization of functional responses traveling to the optic disc.

The ocular inflammation associated with viral vector injections in immune suppressed,

ILM peeled animals does not prevent functional recording

Inflammation was observed in the weeks following viral vector injection in the eyes scored

in this study (Table 1). The duration and extent of inflammation varied between immune

suppressed animals but we did not observe a substantial increase in inflammation relative to a

previous report from a non-vitrectomized, non-ILM peeled primate eye intravitreally injected with

vector AAV2:CAG:GCaMP6s [28]. Relative to this animal, M2 scored higher in overall inflammatory

response while M3 scored lower with both M2 and M3 recovering faster. The present study used

a ganglion cell specific promoter SNCG [29] instead of the ubiquitous promoter CAG, a different

serotype (7m8 instead of AAV2) and GCaMP generation (GCaMP8 instead of GCaMP6) than the

previous study, making a direct comparison challenging, but the general similarities suggest that

inflammation does not preclude adoption of this technique in a pre-clinical context.

Surgical outcomes associated with peeling the ILM

This study demonstrates the feasibility of using an ILM peel to expand the imaging area for

functional assessment of macaque RGCs, but also provides new insight into outcomes

associated with the surgery itself. Consistent with clinical literature, the most obvious

consequence of ILM peel is an altered foveal contour (Figure 6) consistent with previously

reported increase in central macular thickness [30]. CMDS and DONFL were also observed, the

latter being associated with small pockets of RGC loss and occurring more frequently in temporal

retina where the RNFL is thinner [31]. This result is consistent with immunohistochemical studies of

excised ILM, suggesting that RGCs are removed during the ILM peel process [32] but these had

not previously been visualized at the cellular scale in vivo. Limited pockets of ganglion cell loss

may be tolerable given the increases in the recording area, particularly as their locations are

clear.

Alternatives to ILM peeling

Alternative methods to circumvent the restricted viral transduction imposed by the ILM in

primates have been suggested including enzymatic digestion of the ILM with a nonspecific

protease [11,33], photodisruption [34,35] or sub-ILM injection [36]. Enzymatic digestion has the advantage

of not requiring surgery, but has not been attempted in NHPs, where the ILM is thicker than in

small animal models. As ILM thickness is eccentricity dependent in primates, it is not

straightforward to titrate the correct dose, risking substantial retinal damage. Photodisruption of

the ILM has been tested successfully in bovine retinal explants [34] using indocyanine green and

recently this work has been extended to an *in vivo* rabbit model [37], but further testing is

necessary before it can be applied to NHP. Lastly an alternative surgical strategy is sub-ILM

delivery of the viral vector which may yield similar results while keeping more of the structure

intact. As the ILM is composed of Muller cell foot plates, its removal has the potential to cause

Muller cell dysfunction [38]. ILM delivery is therefore desirable, but is surgically very challenging

given the thickness of the ILM and there is a risk of delivering the viral vector sub-retinally or sub-

hyloid, neither of which would target the GCL.

Conclusions

This study demonstrates not only that the ILM peel approach allows functional recording

from a larger area of the GCL but also that the recordings remain stable over time and are

comparable to those in eyes with no ILM peel. As such, this technique may be of value for pre-

clinical evaluation of retinal function, both for detecting vision loss and assessing the impact of

therapeutic interventions. For cell-based therapies where single cell resolution is needed, a

larger area to assess retinal function would greatly increase the chance of success given the

potential sparse integration and uncertainty of the locus of cell delivery.

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Figure Legends

Figure 1: Overview of study

A. Following a pars plana vitrectomy, the ILM is stained with brilliant blue dye. **B.** Mechanical

force lifts the ILM in a circular pattern around the fovea using forceps. **C.** Intravitreal injection

delivers viral vector to the retina. **D.** A blue autofluorescence (BAF) confocal scanning laser

ophthalmoscope (cSLO) confirmed the presence of GCaMP in a pattern matching the surgical

peel two months post injection. **E.** Functional assessments were conducted at retinal imaging

locations using a blue 488nm excitation source in combination with a red LED flashing stimulus.

F. Individual RGCs were segmented and **G.** their responses to the LED stimulus tabulated.

Figure 2: ILM Peeling enables AAV-mediated fluorescence beyond the fovea in macaque

A. 30°cSLO BAF images centered on the fovea, taken in each eye of M1 including the A control

eye OS and **B.** the ILM peeled eye OD. GCAMP Fluorescence intensity contour (visualized in

lower panel, line denoted by white dashed line) is normalized to max value and smoothed.

AOSLO Imaging locations are labeled for each eye. **C.** Box plot of individual RGC Z-scores at

each imaging location, grouped by retinal eccentricity. Group responses are 12.7 ± 10.4, n=67;

10.0 ± 6.6, n=62; 11.52 ± 7.5, n = 85; 10.9 ± 7.6; n = 73; 6.4 ± 3.9, n=40, respectively. Taken at

17 weeks post intravitreal injection in OS and 21 weeks OD.

Figure 3: RGC remain responsive for at least 6 months after ILM peel

A. AOSLO GCaMP time-integrated image used to create segmentation masks at the edge of the

ILM peeled area at 9° from the fovea of M1 OD. **B.** Responses at two (6.7 ± 5.7, n=27), three

(6.0 ± 5.2, n=28), and five (6.4 ± 4.0, n=40) months post intravitreal injection, or three, four-,

and six-months post ILM peel. **C.** AOSLO GCaMP image within the ILM peeled area at 3.5° from

the fovea of M1 OD. **D.** Responses at three (8.9 ± 6.2, n=59), four (7.8 ± 6.6, n=68), five (12.5 ±

10.2, n=87), and six (11.5 ± 7.5, n=76) months post intravitreal injection. **E.** AOSLO GCaMP

image of the non-peeled eye at 3.5° from the fovea of M1 OS. **F.** Responses at one (6.7 ± 3.5,

n=97), two (6.3 ± 3.2, n=74), three (8.2 ± 2.9, n=81), and four (10.7 ± 6.0, n=75) months post

intravitreal injection.

Figure 4: RGC response amplitudes measured in all eyes

A. (left) 30°cSLO BAF images centered on the fovea for all experimental eyes, imaging locations

shown with blue squares. (right) Individual RGC Z-scores in box plots for M2 OD (12.7 ±

10.4,n=67, 11.5 ± 7.5,n=85, and 6.4 ± 3.95,n=40) taken five months post IVT injection, **B.** M2

OS (5.4 ± 2.5,n=12, 4.4 ± 2.9,n=17, 5.2 ± 3.3,n=30, and 4.4 ± 3.0,n=24) taken three months

post IVT injection, **C.** M2 OD (7.3 ± 4.6,n=81, 7.1 ± 6.2,n=77, and 6.2 ± 3.7,n=48) taken five

months post IVT injection, **D.** M3 OS (7.1 ± 4.3,n=52, 4.2 ± 1.5,n=31, 4.2 ± 2.1,n=12, and 4.9 ±

3.6,n=20) taken five months post IVT injection, **E.** M3 OD (8.3 ± 6.4,n=92, and 7.1 ± 4.4,n=99)

taken six months post IVT injection.

Figure 5: Optical readout of RGC activity from the retinal nerve fiber layer

A. 30°cSLO BAF images centered on the fovea of M1 OD (ILM-peeled). **B.** AOSLO GCaMP

time-integrated image taken at the Nasal region shown in M1 OD. The green dotted line

represents an area-integration used to construct a temporal signal for these RNFL bundles **C.**

30°cSLO BAF images centered on the fovea of M1 OS (non-peeled). **D.** The orange dotted line

represents an area-integration used to construct a temporal signal for these RNFL bundles **E.**

RNFL area-integrated traces responding to an OFF-onset of the LED stimulus at 60s and 100s

(Z-Score responses 3.36 ± 0.02, and 1.45 ± 0.02, respectively), filtered using moving median

filter as previously described.

Figure 6: Structural imaging reveals minor morphological changes following ILM peel

A (left) 55° Color fundus images of all ILM peeled eyes centered on the fovea and taken two-

months post ILM peel. White arrows indicate areas consistent with concentric macular dark

spots. (Right) B-scan OCT of the foveal pit between 2-24 weeks post ILM peel procedure and 1-

142 days post intravitreal injection.

Figure 7: Circular retinal defects correspond to absence of ganglion cell fluorescence

A. AOSLO calcium image of two exemplary hypofluorescent areas in M3 OS. Dendrites are

visible in this region. **B.** Color fundus (top-left) and cSLO BAF (top-right) of M3 OS showing two

hypofluorescent areas that are investigated with OCT (bottom). **C.** Montaged fluorescence

AOSLO image reveals dimples in OCT correspond to the two areas in AOSLO. **D.** Color fundus

location with no macular dark spots but a hypofluorescent region in the cSLO BAF **E.** OCT, and

AOSLO of the hyperfluorescent region seen in D. **F.** Color fundus location with CMDS streaks but

no visible RNFL dimples in OCT or **G.** hypofluorescent RGC expression in AOSLO.

Figures

Figure 1

670   **Figure 2**

 Figure 3

Figure 4

Figure 5

681 **Figure 6**

683 **Figure 7**

Tables

Table 1: Summary of demographic and experimental parameters for all eyes involved in the study.

The sex and age of the primate, immune suppression status quantity and type of viral vector injected intravitreally, and the time elapsing between the ILM peel and the intravitreal injection in days is listed for each eye.

Macaque (eye)	*sex*	Age at time of ILM peel	Inactivating antibodies to AAV2	Time between immune suppression and ILM peel (days)	Time between ILM peel and viral vector Injection (days)	Time of AAV2 injection after initial injection in fellow eye (days)	GCaMP Variant
M1 (OS)	M	Control	1:4	Control	Control	---	GCaMP8s
M1 (OD)		10y 9m		31	28	29	GCaMP8s
M2 (OS)	M	4y 2m	1:5	27	50	41	GCaMP8s
M2 (OD)		4y 3m		62	55	---	GCaMP8s
M3 (OS)	F	3y 10m	1:10	98	42	35	GCaMP8m
M3 (OD)		3y 11m		126	48	---	GCaMP8m

Table 2: Ocular Inflammation scores

Inflammation following intravitreal injection of the viral vector in all animals was scored longitudinally by a masked board certified ophthalmologist based on a modified Hackett-McDonald scoring system [16]. The assessment included slit lamp examination and observations of pupillary response and dilation. Scores shown are integrated from the 9 numerical measures listed in the attached Modified Hackett scoring sheet.

Animal	Weeks post injection

Animal	-4	-3	-2	-1	0	1	2	3	4	5	6	7	8	9	10	11	12
M2 OS							11		4		3	3	4		2		2
M2 OD	2		4			8	2		3		2					0	
M3 OS		2				0	4	4	2	3		2					
M3 OD	2					3	2	2		2							

Supplementary Materials

723 <u>Modified Hackett-McDonald Scale for Ocular Inflammation in NHPs</u>

<u>CONJUNCTIVA</u>

Congestion

0   Normal. May appear blanched to reddish pink without perilimbal injection (except at 12:00 and 6:00 positions) with vessels of the palpebral and bulbar conjunctiva easily observed.

1+ A flushed reddish color predominantly confined to the bulbar conjunctiva with some perilimbal injection. Primarily confined to the lower and upper parts of the eye from the 4:00 and 7:00 and the 11:00 and 1:00 positions.

2+ Bright red color of the bulbar and palpebral conjunctiva with accompanying perilimbal injection converging at least 75% of the circumference of the perilimbal region.

3+ Dark, beefy red color with congestion of the bulbar and the palpebral conjunctiva along with pronounced perilimbal injection. Petechia may be present on the conjunctiva. The petechial generally predominate along the nictitating membrane and the upper palpebral conjunctiva.

Swelling

0   Normal or no swelling of the conjunctival tissue

1+ Swelling above normal without eversion of the lids (can be easily ascertained by noting that the upper and lower eyelids are positioned as in the normal eye); swelling generally starts in the lower cul-da-sac near the inner canthus, which requires slit lamp examination.

2+ Swelling with misalignment of the normal approximation of the lower and upper eyelids; primarily confined to the upper eyelid so that in the initial stages the misapproximation of the eyelids begins by partial eversion of the upper eyelid. In this stage, swelling is confined generally to the upper eyelid, although it exists in the lower cul-de-sac (observed best with the slit lamp).

3+ Swelling definite with partial eversion of the upper and lower eyelids essentially equivalent. This can be easily ascertained by looking at the animal head-on and noticing the positioning of the eyelids; if the eye margins do not meet, eversion has occurred.

4+ Eversion of the upper eyelid is pronounced with less pronounced eversion of the lower eyelid. It is difficult to retract the lids and observe the perilimbal region.

Discharge

0 No discharge (except as noted above).

1+ Discharge is above normal and present on the surface of the eye or in the medial canthus, but not on the lids of hairs of the eyelids.

2+ Discharge is abundant, easily observed, and has collected on the lids and around the hairs of the eyelids.

3+ Discharge has been flowing over the eyelids so as to wet the hairs substantially on the skin around the eyes.

CORNEA

Opacity

0 Normal cornea. Appears with the slit lamp as having a bright grey line on the epithelial surface and a bright grey line on the endothelial surface with a marble-like grey appearance of the stroma.

1+ Some loss of transparency. Only the epithelium and/or the anterior half of the stroma is involved as observed with an optical section of the slit lamp. With diffuse illumination, the underlying structures are clearly visible, although some cloudiness may be readily apparent.

2+ Some loss of transparency. The cloudiness extends past the anterior half of the stroma. The affected stroma has lost its marble-like appearance and is homogeneously white. With diffuse illumination, underlying structures are visible, although there may be some loss of detail.

3+ Involvement of the entire thickness of the stroma. With optical section, the endothelial surface is still visible. However, with diffuse illumination, the underlying structures are just barely visible (to the extent that the observer is still able to grade flare, iris vessel congestion, observe for pupillary response, and note lenticular changes).

4+ Involvement of the entire thickness of the stroma. With optical section, the endothelium is not clearly visualized. With diffuse illumination, the underlying structures cannot be seen so that the evaluation of aqueous flare, iris vessel congestion, pupillary response, and lenticular changes is not possible.

% Area of Opacity

0   Normal cornea with no area of cloudiness

1   1 to 25% area of stromal cloudiness

2   26 to 50% area of stromal cloudiness

3   51 to 75% area of stromal cloudiness

4   76 to 100% area of stromal cloudiness

Vascularization

0   No corneal vascularization (pannus)

1   Vascularization is present but vessels have not invaded the entire corneal circumference. Where localized vessel invasion has occurred, they have not penetrated beyond 2 mm.

2   Vessel invasion is greater than 2 mm in one of more areas, or involves the entire corneal circumference.

AQUEOUS

Flare

0   No protein is visible in the anterior chamber when viewed by an experienced observer using slit lamp biomicroscopy.

Trace   Trace amount of protein is detectable in the anterior chamber. This protein is only visible with careful scrutiny by an experienced observer using slit lamp biomicroscopy.

1+   Mild amount of protein is detectable in the anterior chamber. The presence of protein in the anterior chamber is immediately apparent to an experienced observer using slit lamp biomicroscopy.

2+   Moderate amount of protein is detectable in the anterior chamber. These grades are similar to 1+ but the opacity would be readily visible to the naked eye of an observer using any source of a focused beam of white light. This is a continuum of moderate opacification with 2+ being less apparent than 3+.

3+   Moderate amount of protein is detectable in the anterior chamber. These grades are similar to 1+ but the opacity would be readily visible to the naked eye of an observer using any source of a focused beam of white light. This is a continuum of moderate opacification with 3+ being more apparent than 2+.

4+ Large (severe) amount of protein is detectable in the anterior chamber. Similar to 3+ but the density of the protein approaches that of the lens. Additionally, frank fibrin deposition is frequently seen in acute circumstances. It needs to be noted that because fibrin may persist of a period of time after partial or complete restoration of the blood-aqueous barrier, it is possible to have resorbing fibrin present with lower numeric assignations for flare (e.g., 1+ flare with fibrin).

Cell

0 No cells are seen in a single field of the focused slit lamp beam. No cells are visualized as the slit lamp beam is swept across the anterior chamber.

Trace Rare (1-5) cells are seen in a single field of the focused slity lamp beam. When the instrument is held stationary, not every optical section contains circulating cells.

1+ 6-25 cells are seen in a single field of the focused slit lamp beam. When the instrument is held stationary, each optical section of the anterior chamber contains circulating cells.

2+ 26-50 cells are seen in a single field of the focused slit lamp beam. When the instrument is held stationary, each optical section of the anterior chamber contains circulating cells.

3+ 51-100 cells are seen in a single field of the focused slit lamp beam. When the instrument is held stationary, each optical section of the anterior chamber contains circulating cells. Keratic precipitates or cellular deposits on the anterior lens capsule may be present.

4+ Greater than 100 cells are seen in a single field of the focused slit lamp beam. When the instrument is held stationary, each optical section of the anterior chamber contains circulating cells. Keratic precipitates or cellular deposits on the anterior lens capsule may be present. As for fibrin deposition, hypopyon or clumps of cells may persist for some period of time after the active exudation of cells into the anterior chamber has diminished or ceased entirely. Thus, it is possible to have resorbing hypopyon present with lower numeric assignations for cell (e.g., 1+ cell with hypopyon).

OTHER

Iris Congestion

0   Normal iris without any hyperemia of the iris vessels. Occasionally around 12:00 to 1:00 position near the pupillary border and the 6:00 and 7:00 position near the pupillary border there is a small area, about 1 to 3 mm in diameter, in which the secondary and tertiary vessels are slightly hyperemic.

1+   Minimal injection of secondary vessels but not tertiary. Generally, it is uniform, but may be of greater intensity at the 12:00 or 6:00 position. If it is confined to the 12:00 or 6:00 position, the tertiary vessels mush be substantially hyperemic.

2+   Minimal injection of the tertiary vessels and minimal to moderate injection of the secondary vessels.

3+   Moderate injection of the secondary and tertiary vessels with a slight swelling of the iris stroma (this gives the iris surface a slightly rugose appearance, which is usually most prominent near the 3:00 and 9:00 positions).

4+   Marked injection of the secondary and tertiary vessels with marked swelling of the iris stroma. The iris appears rugose; may be accompanied by hemorrhage (hyphemia) in the anterior chamber.

Lens Opacity

Incomplete   A diffuse lens opacity visible upon gross inspection of the eye with an indirect ophthalmoscope or other focal light source and retroillumination. The view of the fundus is significantly impaired but a red-reflex can still be obtained. Upon slit lamp biomicroscopy the opacity involves multiple regions of the lens.

Complete   A diffuse lens opacity visible upon gross inspection of the eye with an indirect ophthalmoscope of other focal light source. The fundus cannot be seen and a red-reflex cannot be elicited. Upon slit lamp biomicroscopy, the entire lens is opaque.

Resorbing   A diffuse lens opacity visible upon gross inspection of the eye with an indirect ophthalmoscope of other focal light source. The fundus may or may not be visible and a red-reflex may or may not be elicited. The lens capsule may be wrinkled and the lens itself is dehydrated and flattened or liquid and soft in appearance. Upon slit lamp biomicroscopy the entire lens in involved in the opacity.

Punctate   A focal or multifocal, discrete, dot-like lens opacity that is visible only to a trained observer with a SL-14 slit lamp biomicroscope at high magnification.

Incipient   A focal lens opacity that is visible upon gross inspection of the eye with an indirect ophthalmoscope of other focal light source and retroillumination. The view of the fundus is minimally impaired by the opacity. Upon slit lamp biomicroscopy the opacity can be localized to a specific regions of the lens and other regions of the lens appear normal.