Tripartite organization of brain state dynamics underlying spoken narrative

comprehension

Lanfang Liu [1,2] , Jiahao Jiang [3] , Hehui Li[4], Guosheng Ding*[3]

[1]Department of Psychology, School of Arts and Sciences, Beijing Normal University

at Zhuhai, Zhuhai, 519087, P.R. China;

[2]Center for Cognition and Neuroergonomics, State Key Laboratory of Cognitive

Neuroscience and Learning, Beijing Normal University at Zhuhai, 519087 Zhuhai,

China;

[3]State Key Laboratory of Cognitive Neuroscience and Learning, Beijing Normal

University & IDG/McGovern Institute for Brain Research, Beijing, 100875, P.R.

China;

[4]Center for Brain Disorders and Cognitive Sciences, Shenzhen University, Shenzhen,

518060, PR China;

Corresponding author: Guosheng Ding, State Key Laboratory of Cognitive

Neuroscience and Learning, Beijing Normal University, No. 19 Xinjiekouwai Street,

Beijing 100875, China, E-mail: dinggsh@bnu.edu.cn,

Abstract

Speech comprehension involves the dynamic interplay of multiple cognitive processes, from basic sound perception, to linguistic encoding, and finally to complex semantic-conceptual interpretations. How the brain handles the diverse streams of information processing remains poorly understood. Applying Hidden Markov Modeling to fMRI data obtained during spoken narrative comprehension, we reveal that the whole brain networks predominantly oscillate within a tripartite latent state space. These states are respectively characterized by high activities in the sensory-motor (State #1), bilateral temporal (State #2), and DMN (State #3) regions, with State #2 acting as a transitional hub. The three states are selectively modulated by the acoustic, word-level semantic and clause-level semantic properties of the narrative. Moreover, the alignment with the best performer in brain state expression can predict participants' narrative comprehension scores. These results are reproducible with different brain network atlas and generalizable to two datasets consisting of young and older adults. Our study suggests that the brain underlies narrative comprehension by switching through a tripartite state space, with each state probably dedicated to a specific component of language faculty, and effective narrative comprehension relies on engaging those states in a timely manner.

Introduction

When listening to a speech, one adaptively samples information from external sound streams, converting them to linguistic expressions stored in the mental lexicon, and integrating those mental expressions with the internalized "mental world" to infer the semantic-pragmatic interpretations and intentions (Berwick, Friederici, Chomsky, & Bolhuis, 2013). Crucially, those cognitive processes do not occur one after another in a fixed sequence, but are interwoven and occur in a fluid, dynamic manner. At one moment, you might detect the auditory cues such as volume and pitch in the speech. At another, you might recall memories or knowledge in relation to certain words just heard. To effectively understand the speech, you must flexibly and adaptively switch among those cognitive processes. The neural mechanism behind it is still elusive.

An emerging view suggests that flexible and adaptive cognitive functions arise from the dynamic brain, which transiently activates and coordinates distributed neural circuits in response to the changes in external environment and internal demands (Honey, Newman, & Schapiro, 2018; Kelso, 2012). To capture the complex neural dynamics occurring across large-scale systems of the brain, researchers have conceptualized the brain's activity as operating on a low-dimensional neural manifold. The dynamics of brain activity can then be modeled as a temporal trajectory within a latent state space, with each latent state characterized by a distinct pattern of brain activities and network connectivities (Langdon, Genkin, & Engel, 2023). Employing statistical techniques for modeling dynamic systems such as Hidden Markov Modeling (HMM), recent studies have begun to explore the brain dynamics involved in narrative comprehension (Baldassano et al., 2017; Song, Park, Park, & Shim, 2021; Tang et al., 2023) or movie viewing (Meer, Breakspear, Chang, Sonkusare, & Cocchi, 2020; Song, Shim, & Rosenberg, 2023). It has been found that the whole brain

systematically switches among a limited number of temporal clusters or latent states

with distinct spatial features. Moreover, the switching of brain states was modulated

by the time-varying stimuli features including event boundary(Baldassano et al., 2017)

and movie annotations(Meer et al., 2020), and subjective experience including

engagement (Meer et al., 2020), attention fluctuations(Song et al., 2023), emotional

changes (Tan, Liu, & Zhang, 2022) and narrative integration (Song et al., 2021).

Those findings demonstrate the functional relevance of brain state dynamics.

Nevertheless, how neural state dynamics contribute to the different streams of

cognitive processing that ebb and flow with the unfolding of speech is still elusive.

In this study, we explored how language comprehension arises from the dynamic

interplay of large-scale brain networks. According to the psycholinguistic theory, the

basic design of language faculty mainly comprises three modules (components): an

external sensory-motor module, an internal conceptual-intentional module, and a

basic linguistic module which represents mental expressions formed by syntactic rules

and connects the other two modules (Berwick et al., 2013). Built upon this theory, we

hypothesize that brain dynamics underlying narrative comprehensions would

predominantly oscillate within a tripartite latent state space, with each latent state

primarily dedicated to a specific component of language faculty. Furthermore, we

hypothesize that effective speech comprehension would rely on engaging these states

in a timely manner.

To test the above tripartite-state-space hypothesis, we collected fMRI data from 64

young adults as they listened to 10-min real-life narratives. The HMM was applied to

model the dynamics of whole-brain network activities. We expect the dynamics of

whole-brain network activities would be optimally characterized by three latent states

with distinct activity patterns. Specifically, one state would mainly activate the

auditory and sensory-motor areas, contributing to the perceptual analyses of external

sound streams. The second state would mainly activate the language network and

frontal-parietal network, contributing to linguistic encoding and information

integration. The third state would mainly activate the default mode networks (DMN),

contributing to internalized semantic-conceptual processing. Moreover, according to

the proposed architecture of language components, we expect both the externally-
oriented and internally-oriented states would be more likely to transit to the second
state than directly transiting between each other. To further validate the functional
nature of the three states, we investigated how the dynamic changes of state
expression probabilities would be modulated by the temporal variation of speech
properties. Three speech properties were analyzed, each reflecting progressively
longer timescales of linguistic information: sound envelope, word-level semantic
coherence, and clause-level semantic coherence. Based on previous findings that the
timescales of information accumulation vary hierarchically from early sensory areas
to higher-order areas (Hasson, Chen, & Honey, 2015; Lerner, Honey, Silbert, &
Hasson, 2011), we expect the occurrence probabilities of three brain states would be
selectively modulated by these speech properties corresponding to distinct timescales.
Finally, to probe the behavioral significance of the timing of brain states, we
examined whether the alignment of a participant with the best performer in the time
courses of brain state expression could predict his/her narrative comprehension score.
To validate the robustness of results, we also conducted all the analyses using a
replication dataset consisting of older adults.

Results

The brain reliably and robustly switches through three latent states

We applied the HMM to infer hidden brain states in 64 participants as they listened to
one of three 10-min narratives. The observed variables were BOLD signal time series
of nine networks obtained employing a state-of-the-art technique for cortical network
communities detection (Ji et al., 2019) (Fig. S1, see supplementary material for
details). Two criteria were comprehensively considered to determine the optimal
number of latent states for the HMM. The first was the effectiveness of a model in
capturing and separating patterns in the data, which was assessed by the clustering
performance of the model. The second was the degree to which it aligns with prior

knowledge about the data, which was evaluated by the model's ability to classify the

three narratives. These dual criteria ensure that the selected model would be both

statistically robust and cognitively sensible (Pohle, Langrock, Van Beest, & Schmidt,

2017).

Across a range of candidate models with K from 2 to 10, the model's clustering

performance tended to decrease with larger K, whereas the accuracy in classifying

narrative contents tended to increase. The model with K=3 achieved the best overall

performance, quantified by summed z scores (Fig.1). When applying a different

whole-brain parcellation scheme (Yeo-7 Networks atlas) to extract brain time series

used for HMM inference, we also found the model with K =3 to be the optimal

(Fig.S2). Moreover, when examining the replication dataset wherein participants' age,

narrative contents as well as scanning duration differed substantially from those of the

main dataset, we again found the model with K =3 to be optimal (Fig. S3). The

robustness of findings suggests the tripartite state space likely captured some

fundamental processes of the brain involved in narrative comprehension.

To further establish that the above tripartite-state organization was not trivial, we

examined whether the three states would be reconstructed from smaller, more

transient states. To this end, we applied a hierarchical clustering algorithm to the

transition probability matrix derived from HMM models with 4, 10, and 12 states, and

obtained three clusters for each. Those model orders were examined since they have

been reported to be the optimal number in previous studies (Meer et al., 2020; Song et

al., 2021; Song et al., 2023; Vidaurre, Smith, & Woolrich, 2017). In this approach,

states assigned to the same cluster were more likely to switch within themselves than

switching to states belonging to other clusters, and such clusters have been called

metastates in the literature (Vidaurre et al., 2017). We then examined whether there

was significant and exclusive correspondence between the clustered states and the

three target states (from the HMM with K=3). As anticipated, those clusters

overlapped well with the target states in terms of both spatial activity patterns and the

timing of state expression (Fig. S4), suggesting the tripartite-state organization is not

trivial, but may reflect some fundamental processes of brain dynamics.

Three latent brain states have distinct spatial features

For each state, the HMM estimated its activity loadings on the nine networks and a functional connectivity matrix between these networks. We found the three latent states exhibited distinct activity patterns corresponding to the neural substrates for the three language components as suggested by the theory (Berwick et al., 2013). The first state (State #1) was characterized by relatively high activities in the auditory and somatomotor networks, along with low activities in the DMN and the cognitive control network (Fig. 2). This state seems to be associated with the external sensory-motor module of language faculty. The second state (State #2) was characterized by relatively high activities in the language and the frontal-parietal networks whereas low activities in the somatomotor and auditory networks, seemingly being associated with the basic linguistic component. The third state (State #3) was characterized by relatively high activities in the DMN and frontal-parietal networks whereas low activities in the auditory and language networks, seemingly being associated with the internal conceptual-intentional component. We observed similar activity patterns of latent states when using the Yeo-7 network atlas for brain parcellation (Fig.S2), and in the replication dataset consisting of older adults (Fig.S3).

State #2 acts as a "transitional hub" with high functional integration

According to the linguistic theory, the module for linguistic representation is located in the middle of the external and internal modules, having direct interactions with the other two modules (Berwick et al., 2013). If the hypothesis that the three brain states were associated with each module of the language faculty holds, we expect that State #1 and State#3 would be more likely to switch to State #2 than switching directly to each other. Moreover, the brain occupied by State #2 would exhibit the highest degree of information integration.

To test the first prediction, we examined the between-state switching matrix inferred by the HMM, which showed the probabilities of a state at each timepoint transitioning to another or staying in the same state at the next timepoint. Consistent

with our prediction, both State #1 and #3 were more likely to switch to State #2 than

switching directly to each other, i.e., State #2 acted as a transitional hub. To confirm

that this state-switching tendency was driven by meaningful processes rather than

occurring by chance, we made surrogate data by having the nine-network time series

circular shifted independently 1000 times. In each iteration, we carried out an HMM

analysis with K=3, and extracted the difference in transition probability if the inferred

states exhibited a similar switching pattern as those from the experiment data. The

results showed the differences in transition probabilities observed in our experiment,

computed as $P_{(State\#1 \rightarrow State\#2)}$ - $P_{(State\#1 \rightarrow State\#3)}$ and $P_{(State\#3 \rightarrow State\#2)}$ - $P_{(State\#3 \rightarrow State\#1)}$,

were respectively larger than 99.9 % and 94.4% of instances from the surrogate data

(Fig. 2). In agreement with the between-state switching pattern, the brain spent most

of the time on State #2 (mean FO = 46.7%), next on State #1 (mean FO =29%) and

the least on State #3 (mean FO = 24.3 %).   The same pattern was found in the

dwelling time, with a group mean of 15.29s for State #2, 9.99s for State #1, and 9.68s

for State #3.   Both the FO and dwell time of State #2 were higher than 99.88% of

instances in the surrogate data.

To test the second prediction, we applied the graph theoretical analyses to assess

the global efficiency and modularity of the whole-brain networks when occupied by

each of the three states. Consistent with our prediction, when occupied by State#2, the

brain exhibited significantly higher global efficiency than when occupied by the other

two states (t values > 4.67, $ps < 10^{-4}$). An opposite pattern was found in network

modularity (t values < -5.82, $ps < 10^{-6}$). These results indicate that, when occupied by

State #2, the whole-brain networks were well connected to enable efficient

information integration across distinct functional systems. In contrast, when occupied

by State #1 and State #3, the whole-brain networks were well separated which

enabled functional specialization. The findings were consistent whether using state-

specific time series from individual participants to construct the FC matrix or taking

the FC matrix derived from the HMM (Fig. 2).

The between-state switching and topological properties are replicable using the

different brain network parcellation scheme (Fig.S2) and generalizable to the
replication dataset consisting of the older adults (Fig.S3).

Expression of brain states is selectively modulated by narrative properties

To more directly establish the association of the three brain states to the theoretical
language modules, we investigated how the expression of brain states would be
modulated by changes in the stimulus properties as the narrative progressed. Three
distinct stimuli properties presumably reflecting an increasingly deeper level of
information conveyed by the narrative were extracted, including speech envelope,
word-level semantic coherence and clause-level semantic coherence.

Speech envelope captures the smoothed, slow amplitude fluctuations of the speech
signal over time, which is the perceptual property of the stimuli. We observed a
consistent positive correlation between the speech envelope and the expression
probability of State#1 across participants, with a group mean of 0.035. This
correlation was significantly greater than zero ($t_{(63)} = 2.67$, p=0.009, FDR corrected)
and surpassed 99.8% of the 5,000 instances from permutation tests where the state
time courses were randomly circularly shifted, yielding a mean of -0.0005 (Fig. 3). A
similar effect was observed for State #2, with a group mean r $= 0.034$ ($t_{(63)} = 2.61$, $p =$
0.011, FDR corrected).

Word-level semantic coherence was evaluated using cosine similarity between
embedding vectors for each word and the preceding word. Among the three states,
only the expression probability of State #2 showed a consistent correlation with word-
level semantic coherence across participants, with a group mean of 0.030 (one-sample
t-test against zero: $t_{(63)} = 2.48$, p $= 0.015$, FDR corrected). This group mean value was
significantly greater than 99.28% of instances from permutation tests, which produced
a mean r $= 0.001$.

Clause-level semantic coherence was evaluated using cosine similarity between
embedding vectors for each clause and the preceding clause. Among the three states,
only the expression probability of State #3 exhibited a consistent correlation with

clause-level semantic coherence, with a group mean of 0.031 (one-sample t-test

against zero: $t_{(63)}$ = 2.89, p = 0.005, FDR corrected). This group mean value was

significantly greater than 99.8% of instances from the permuted data, which yielded a

mean r = 0.0001.

We noted that the correlation value between individual brain state dynamics and

speech features was relatively low (group averaged r ≈ 0.03), which is also the case in

previous studies (e.g., Fernandino, Tong, Conant, Humphries, & Binder, 2022; Oota et

al., 2022). This low correlation is likely due to the high level of noise inherent in

fMRI data. Brain activity fluctuations are shaped by a variety of factors, including

task-related cognitive processing, internal thoughts, physiological states, as well as

arousal and vigilance. Additionally, the speech features we measured may account for

only a small portion of the cognitive processes underlying the task. As a result, the

variance in speech features may only explain a limited portion of the overall variance

in brain state fluctuations. Nonetheless, the brain-stimuli correlation achieves

statistical significance, and is reproducible across different populations and narratives,

providing robust support for the reliability of the results.

In sum, the selective modulation by the different aspects of narrative properties

provides further evidence supporting the functional relevance of three latent brain

states to different language components. These results are replicated with the different

brain network atlas. On the replication dataset, we also observed selective modulation

effects of speech envelope on State#1 and word-level semantic coherence on State#2;

however, no modulation effect of clause-level semantic coherence was found (Fig.

S3).

Inter-subject correlation in brain state dynamics predict task performance

The above results have demonstrated the functional relevance of the tripartite state

space to narrative comprehension. Next, we tested the hypothesis that effective

narrative comprehension would rely on engaging these states in a timely manner. To

tackle this question, we measured the alignment of brain state fluctuation between

each participant (except for the best performers) with that of the best performer(s),

then we used the inter-brain alignment index to predict participants' comprehension

scores. The best performer was the one (or those) who achieved the highest

comprehension score within the subgroup of participants exposed to the same

narrative. The rationale is that, if effective comprehension relies on the brain to turn

into specific patterns at the right times, the best performer would demonstrate the

most "accurate" pattern. Consequently, participants whose brain state fluctuations

deviated more (or less alignment) from the "accurate" pattern were anticipated to

perform less effectively in the task.

As anticipated, alignments with the best performer(s) in both the State#1 and

State#2 were significantly correlated with participants' comprehension scores

(Pearson's $r_{(54)} = 0.31$ and 0.36, respectively). A marginally significant correlation was

also found in the alignment of State#3 ($r_{(54)}=0.22$, $p = 0.10$). As an alternative, we

also took the group-mean time courses of brain states expression as the most

"accurate" pattern and recalculated the inter-brain alignment value. Even stronger

correlations were found between individual-to-group alignments and comprehension

scores in all three states ($r_{(62)}= 0.425$, 0.507, 0.269 for State#1, #2, and #3

respectively) (Fig. 4).

In previous studies, the similarity of brain activities across subjects has usually

been interpreted as reflecting the inter-subject similarity in the fluctuation of task

engagement or attention (Nanni-Zepeda et al., 2024; Ohad & Yeshurun, 2023), which

in turn may be associated with the individual similarity in task performance. We

examined whether the above association of inter-subject alignment in brain states with

behavior was merely an epiphenomenon of overall task engagement. It is well known

that continuous self-reports on task engagement may severely disrupt the ongoing

processing of prolonged naturalistic stimuli. As an alternative, studies have

demonstrated that head movement serves as a reliable time-resolved indicator for task

engagement, with greater task engagement accompanied by decreased movement

(Ballenghein, Megalakaki, & Baccino, 2019; Greipl, Bernecker, & Ninaus, 2021;

Kaakinen, Ballenghein, Tissier, & Baccino, 2018). Leveraging this, we computed

inter-subject correlations (ISC) in the trajectory of head movement (quantified by

framewise displacement) during the fMRI scanning as a proxy for inter-subject

similarity in task engagement. Congruent with our assumption, similarities with the

best performer in terms of head movement trajectory were indeed positively

correlated with participants' comprehension scores ($r_{(54)}$ = 0.33, p = 0.01) (Fig. 4) .

After adjusting the effect of head movement by applying partial correlation, the

positive correlation between the inter-subject alignment in brain states and

comprehension scores remained robust (partial r values > 0.29, ps < 0.04). These

findings suggest that the inter-subject alignments in brain states were unlikely merely

the byproduct of shared levels of task engagement, but instead reflected the

commonality in neural processes that directly influence narrative comprehension.

As a comparison, we investigated whether individual differences in the FO and

dwell time of latent states were associated with individual difference in narrative

comprehension. No significant result was found on any of the three states (r values <

0.15, *ps* > 0.23). Taken together, these findings suggest that timely engagement with

specific brain states, rather than the overall magnitude of engagement in those states,

is crucial for narrative comprehension.

These findings were replicable with a different scheme for brain network

parcellation (Fig.S3). However, in the replication dataset, we did not find significant

positive correlations between inter-subject alignment in brain states and narrative

comprehension. This may be due to substantial heterogeneity among older adults.

Despite engaging in the same task, older adults exhibit considerable inter-subject

variation in cortical morphology, function, and brain metabolism (Yu, 2024). These

factors can diminish the inter-subject correlation of brain state dynamics—indeed,

ISCs among older adults were significantly lower than those among younger adults

(Fig. S5) — and potentially reduce ISC's sensitivity to individual differences in task

performance.

Comparison between conditions

The above results have revealed a tripartite latent space of whole-brain dynamics, with each state probably subserving a different cognitive component underlying narrative comprehension. Is this temporospatial organization a task-free, intrinsic organization of the dynamic brain, or mainly driven by language processing? To address this question, we compared the brain states involved in narrative comprehension task with those of the same participants when they listened to an unintelligible narrative (told in Mongolian, MG) and during rest. Note, the involvement in linguistic computations decreased monotonically across the three conditions.

HMMs with K=3 were conducted separately for the resting and MG conditions. To establish correspondence between states in the resting and MG conditions with those in the task condition, we examined the correlation in network activities for each state identified in the rest (treated as "candidate state") with each of the three states identified in the task (treated as "predefined state"). The predefined state that a candidate state most closely resembled was designated as its matching state.

For the resting condition, two candidate states showed the highest similarity to State #2 in the task condition. The most similar candidate ($r_{(7)} = 0.778$) was labeled as State #2. The other candidate was assigned to the predefined state (State #3) with the second-highest correlation, denoted with a prime symbol (State #3') to indicate this confusion. The last candidate state matched best with State #1 without any confusion. Analysis of the between-state transition matrix revealed that, unlike in the task condition, State #3 acted as the transitional hub in the resting condition (Fig. 5a).

For the MG condition, two candidate states also showed the highest similarity to State #2. The most similar candidate ($r_{(7)} = 0.687$) was labeled as State #2, while the other was labeled as State #1'. The final candidate state best matched with State #3 without any ambiguity. Consistent with the task condition, State #2 served as the transitional hub under the MG condition (Fig. 5b).

Notably, the FOs of State#2 monotonically increased across the three conditions:

resting < MG (incomprehensible speech) < task (narrative comprehension). In
contrast, the FOs of the State#3 monotonically decreased: resting > MG > task (Fig.
5c). A similar pattern was found on the dwelling time. These findings provide
additional evidence supporting that State#2 was associated with linguistic
computations, while State#3 was associated with internalized mental activities.
Together, these results suggest that the tripartite latent space of whole-brain dynamics
is mainly driven by language processing.

Discussion

Speech comprehension is a sophisticated cognitive task that requires the dynamic
interplay of various processes, from basic sound perception to complex semantic-
pragmatic interpretations, all of which are fluidly coordinated in real time as the
speech unfolds. Here, we explored how the brain transiently activates and coordinates
distributed neural networks to support the diverse cognitive streams underlying
spoken narrative comprehension. Applying HMM, we found that the brain reliably
and robustly switches through three latent states, which were characterized
respectively by high activities in the sensory-motor (State #1), bilateral temporal-
frontal (State #2), and DMN (State #3) regions. Among them, State #2 occurred most
frequently, acted as a "transitional hub", and was characterized by the highest level of
functional integration. Furthermore, the three states were selectively modulated by the
perceptual, word-level semantic and clause-level semantic properties of the speech.
Importantly, participants' alignments with the best performers on the time courses of
brain states expression predicted their narrative comprehension scores, indicating
effective speech comprehension relies on engaging the specific brain states in a timely
manner. Finally, by comparing the comprehension task with the resting and the
unintelligible speech conditions, we demonstrated that the tripartite latent state space
was mainly driven by language processing.
A set of results convergently suggest that the tripartite state space is not incidental,

but likely reflects a fundamental principle governing the brain dynamics underlying

narrative comprehension. First, among a range of candidate models with the number

of states ranging from 2 to 10, the model with K=3 performed the best in terms of

separating patterns in the data and decoding narrative contents, being both statistically

robust and cognitively sensible. Second, the tripartite latent state space was replicable

with different network atlas and generalizable to different datasets. Especially, despite

that the two datasets vary substantially in terms of participants (young versus older

adults), the contents of narratives and data length, the two groups still exhibited

highly similar brain temporal organization that was best captured by the three latent

states. Moreover, the spatial and temporal patterns of the tripartite state space can be

hierarchically reconstructed from more nuanced state patterns, being "metastates" of

the brain.

Intriguingly, the characteristics of the three latent states align well with the

theoretical framework concerning the basic design of language faculty (Berwick et al.,

2013). The State#1, which was featured by relatively high activities in the auditory

and somatomotor networks and more likely to occur when speech sounds were louder,

probably corresponds to the external sensory-motor component of language faculty.

State#2, which was featured by relatively high activities in the bilateral temporal and

the frontal-parietal networks and more likely to occur when the inputting word was

semantically related to the word immediately before it, probably corresponds to the

basic linguistic component. State#3, which was featured by relatively high activities

in the DMN and frontal-parietal networks and more likely to occur when the inputting

clause was semantically related to the clause immediately before it, probably

corresponds to the higher-level semantic-conceptual component. Moreover, State #2

acted as a transitional hub, with both State #1 and State #3 were more likely to switch

to it than switching directly within them. This directed switching pattern was not

incidental, as the observed discrepancy in switching probability was greater than most

instances from the surrogate data. Additionally, when occupied by State #2, whole

brain networks exhibited a higher level of information integration (quantified by

global efficiency) than when occupied by the other two states. These patterns are also

consistent with the theoretical prediction that the basic linguistic module is located in
the middle of the external and internal modules, having direct interactions with the
other two. Collectively, these findings demonstrate specific relationship between the
tripartite brain latent states and three critical components of language cognition, going
beyond the account of arousal or attentional fluctuation for brain state dynamics
(Meer et al., 2020; Song et al., 2023; Taghia et al., 2018).

The activity patterns of the brain latent states associated with each of the
theoretical components of language faculty are consistent with the findings from
earlier studies that have mainly focused on the averaged brain activities over time
(Ferstl, Neumann, Bogler, & von Cramon, 2008; Price, 2012). Extending prior work,
our study may provide novel insights about how the different streams of cognitive
processing are temporally organized with the unfolding of speech. Specifically, the
time-varying probabilities of latent states indicate that the associated cognitive
processes underlying speech comprehension may not operate in parallel with equal
priority or occur one after another. Instead, while all processes are simultaneously
engaged, one process dominates over others and this dominance changes over time,
taking the form of mode-switching. This is consistent with the emerging view that
internal/external switching processes of neural circuits drive learning (Honey et al.,
2018). Furthermore, we found it was the alignment with the best performer in the
time courses of state expression, rather than the overall occupancy of latent states, that
positively correlated with participants' task performance, suggesting recruiting these
states in a timely manner is the key to effective speech comprehension. These findings
may provide a useful guide to understand the development of language ability as well
as language disorders.

Our study provides a unifying perspective for two prevailing approaches aiming to
understand how the brain produces cognition. The modular approach postulates the
brain areas to act as independent processors for a specific aspect of complex cognitive
functions, contributing to much of our current knowledge of the relationship between
brain and behavior. However, this approach has been criticized for ignoring the
multifunctionality of brain structures (Fuster, 2000). Alternatively, the network

approach, which has been growing rapidly in recent years, posits that cognitive

functions arise from dynamic interactions within and between distributed brain

systems (Bressler & Menon, 2010). While revealing valuable insights into the

operation rules of the brain, the network approach seems to only provide a general

descriptive model. It lacks mechanism accounts for how the interactions of large-scale

brain networks give rise to the different streams of information processing involved in

a cognitive task. Here, by demonstrating the multistability of large-scale brain

networks and establishing the close relationship between specific latent states to

specific language components, our study raises a hypothesis that could reconcile the

modular and the dynamic network approaches to understand the brain function.

Specifically, for a given task, the brain follows modular organization where different

regions specialize in specific functions. However, the importance of these regions

dynamically changes in response to external environment and internal demands.

Accordingly, goal-directed behaviors arise from the precise temporal coordination of

different functional modules (Vyas, Golub, Sussillo, & Shenoy, 2020). To test this

possibility, future studies could combine fMRI and neuroregulation techniques and

assess the change in state dynamics and behavioral performance as a result of

intervention.

Conclusion

In sum, our study reveals that the brain involved in narrative comprehension

predominantly oscillates within a tripartite latent state space. The spatial and

topological characteristics of these states correspond well to the three core

components of language faculty as specified in the theory (Berwick et al., 2013).

Moreover, we demonstrate that effective speech comprehension relies on engaging

these brain states in a timely manner. These results are largely reproducible with

different brain network parcellation schemes, and generalizable to two replication

datasets consisting of young and older adults. The findings establish the link of brain

dynamics with both ongoing cognitive processing and behavioral outcomes, providing

a mechanistic account of how language comprehension arises from the dynamic

interplay of large-scale brain networks.

Materials and methods

Participants and experiment procedure

The main dataset consisted of 64 Chinese college students (33 males, aged 19-27 years) scanned with fMRI while listening to a 10-minute narrative in Chinese. The speech played to each participant was randomly chosen from three real-life stories told by a female college student. After the scanning, participants were asked to recall the narrative as detailed as possible, and then answered several questions regarding narrative contents that were not recalled. Two experimenters then independently rated the degree of narrative comprehension for each participant based on the interview. A more detailed description about the experiment is provided in the supplementary material.

The replication dataset came from 30 healthy older adults (12 males, aged 53-75 years) recruited from the residential community near the college. During fMRI scanning, each participant listened to two real-life stories told by a 62-year-old woman, presented with and without background noise. After omitting those with large head movements, a total of 50 runs of fMRI scans were included for subsequence analyses.

This research was approved by the Reviewer Board of Southwest University in China. Written informed consent was obtained from all participants before the study. The dataset has been used in our previous work addressing different questions (Liu et al., 2022; Liu et al., 2020).

MRI acquisition and preprocessing

We used a 3T Siemens Trio scanner in the MRI Center of the Southwest University of China to collect imaging data. Functional images were acquired employing a gradient echo-planar imaging sequence with the specified parameters: repetition time = 2000 ms, echo time = 30 ms, flip angle = 90°, field of view = 220 mm^2, matrix size = 64 ×

64, 32 interleaved slice, voxel size = 3.44 × 3.44 × 3.99 mm^3. Structural images were

acquired using a MPRAGE sequence with the following parameters: repetition time =

2530 ms, echo time = 3.39 ms, flip angle = 7°, FOV = 256 mm^2, scan order =

interleaved, matrix size = 256 × 256, and voxel size = 1.0 × 1.0 × 1.33 mm^3. The

preprocessing pipeline includes slice-timing correction, spatial realignment, co-

registration to the individual participants' anatomical maps, normalization to the

Montreal Neurological Institute (MNI) space, resampling into a 3 × 3 × 3 mm^3 voxel

size, and smoothing (FWHM = 7mm). The resulting images underwent additional

processing, including detrending, nuisance variable regression and high-pass filtering

(1/128 Hz). Data with head movement exceeding 3 degrees or 3 mm were omitted

from further analyses.

Data analyses

Whole-brain parcellation

The inference for brain dynamic states was conducted at the whole-brain network

level. Currently, most brain functional networks reported in the literature are made

based on resting-state fMRI data. To better capture the brain network organization

during the task, we conducted brain network parcellation applying a state-of-the-art

method proposed by (Ji et al., 2019), using data from the 64 participants engaged in

narrative comprehension. This method employs multiple quality control metrics to

ensure the stability and reliability of the network partition, and most importantly, uses

parameter optimization guided by well-established neurobiological principles (e.g.,

the separation of sensory and motor systems). A detailed description of network

partition is presented in the supplementary material. The network detection approach

identified a total of 11 networks (Fig. S1). Two networks were discarded due to

comprising too few nodes (less than three) and nine networks were included for

further analyses. By reference to the functional decoding results using NeuroSynth

(https://www.neurosynth.org), we tentatively labeled the nine networks as the auditory,

visual, somatomotor, bilateral language, medial temporal, frontal-parietal, ventral

attention, subcortical, and default mode networks. To test the robustness of findings,

we also adopted the seven-network atlas (Yeo et al., 2011) for whole-brain

parcellation and reconducted the main analyses.

Brain state inference using Hidden Markov Model

We applied Hidden Markov model (HMM) to infer latent brain states during narrative

comprehension using the HMM-MAR toolbox (https://github.com/OHBA-

analysis/HMM-MAR). The HMM model assumes that the observed data are

generated through a finite number of latent states, and each state can be characterized

respectively by a multivariate Gaussian distribution with mean and covariance. The

BOLD time series were first standardized within each participant and each network.

Then HMM was fitted using concatenated data from all participants, such that unified

brain states could be obtained.

The number of latent states (represented by "K") is a crucial aspect of the HMM,

and it needs to be predetermined before fitting the model. Two criteria were

considered to determine the optimal K. The first was a model's clustering performance,

which reflects how well the model can capture and separate different patterns in the

data. The second criterion was how well the model aligned with existing knowledge

about the data. This criterion was evaluated by the ability of a trained HMM model to

decode the narrative content heard by unseen participants. This dual criterion ensures

that the selected number of brain states (K) for the HMM is both statistically robust

and cognitively meaningful (Pohle et al., 2017). The clustering performance and

prediction accuracy were assessed through a leave-one-out cross-validation strategy.

In this approach, we trained the HMM using data from all participants except one. For

each candidate K, we repeated the training process 10 times, and the instance with the

smallest free energy was selected for decoding the latent state sequence of the left-out

participant. Utilizing the decoded latent state sequence, along with the participant's

network time series, we calculated the Calinski-Harabasz score as an indicator of the

model's clustering performance, with a higher score indicating better clustering

performance. Further, to assess the model's decoding capability, we applied a K-nearest neighbor algorithm utilizing the decoded latent state sequences to classify which of the three narratives the left-out participant was listening to. A higher accuracy indicates the model has well captured the task information in the data. Both the Calinski-Harabasz score and narrative classification accuracy were acquired from each participant and then averaged across the group. To combine the two criteria, we first converted the Calinski-Harabasz score and narrative decoding accuracy independently to Z scores and then summed them up to create a single composite score.

We repeated the above cross-validation procedure across a range of K from 2 to 10. The K with the largest composite score was set to be the optimal number of HMM states representing the brain dynamics during the narrative comprehension task. Upon determining the optimal number of states, we reconducted the HMM on the data from all participants and chose the instance with the largest model evidence (lowest free energy) from 10 iterations as the final result.

To demonstrate that those hidden states identified by the above analyses was not trivial but potentially reflected several fundamental processes of the dynamic brain, we explored whether they can be reconstructed from smaller, more nuanced patterns using hierarchically clustering (see supplementary material for details).

Analyses of brain state properties

The HMM model generated, for each state, a group-level activation map and a functional connectivity matrix, as well as a between-state transition probability matrix. With these parameters, the probability of each state being active (or expressed) at each time point and the most likely sequence of states (referred to as the Viterbi path) were estimated for each participant. Based on the Viterbi path, the total time spent on each state over the entire duration (referred to as fractional occupancy, FO) and the duration for which a state continuously persisted before switching to another one (referred to as dwell time) were computed for each participant.

Next, we conducted a graph theoretical analysis to assess the degree of functional

integration and segregation of the whole brain when occupied by a specific state. For

each participant and each state, a weighted and undirected graph was constructed in

which the nine networks were represented as nodes, and FCs estimated using network

time series corresponding to the specific state were represented as edges. Employing

Brain Connectivity toolbox (Whitfield-Gabrieli & Nieto-Castanon, 2012), we

computed network global efficiency as the measurement for functional integration.

Functional segregation was measured by a network modularity score using Louvain

algorithm with a resolution parameter gamma=1. Then t-tests were employed to

examine the differences in these indices across the three states. For validation purpose,

we additionally computed the two graph theoretical indices using the state-specific FC

matrices estimated by the HMM.

Surrogate data generation and permutation test

To ascertain that the trend in between-state transition was not by chance, we generated

surrogate data by having the 9-network time series circular shifted independently. In

this approach, the meaningful covariance between networks was disrupted while the

temporal characteristics of the time series were retained (Song et al., 2023). On each

permutated data, we conducted an HMM analysis with the optimal K (i.e., K=3). If

there were two states where both showed a higher probability of transitioning to a

third state compared to directly transitioning between them, this instance would be

taken as exhibiting a similar switching pattern as to the experiment result. Then the

associated differences in the transition probabilities were extracted and averaged

between two pairs. Otherwise, the difference for this instance was set to zero. This

step ensured that only meaningful differences in transition probabilities were

considered. By repeating this procedure 1000 times, we obtained a null distribution

for the discrepancy in state transition probabilities.

Modulation of brain state activation by time-varying stimuli features

To gain more insights into the functional nature of brain dynamic states, we investigated how narrative properties would modulate the probability of a neural state being expressed in individuals. Specifically, we focused on three different stimuli properties which were assumed to reflect an increasingly "deeper" level of information conveyed by the narrative, including the temporal changes in acoustic property, and semantic coherence at the word level and at the clause level.

To characterize the temporal variation of acoustic property, we derived the temporal envelope of each story using Hilbert transform, which reflects the overall fluctuation of voice amplitude. The speech envelope was then convolved with the canonical hemodynamic response function (HRF) and down-sampled to 0.5 Hz (the same resolution as the fMRI acquisition). To characterize the temporal variation of semantic coherence, we first transcribed the speech to texts and retrieved the semantic representations for each word applying a large language model BERT that was pretrained on a large-scale Chinese corpus (Cui, Che, Liu, Qin, & Yang, 2021; Devlin, Chang, Lee, & Toutanova, 2018). The output from the last layer of the model was used as word embedding. To avoid overfitting, we further decomposed the high-dimensional embedding vectors (N=768) with principal component analysis (PCA) and retained the first 50 PCs (Goldstein et al., 2024; Goldstein et al., 2022). Next, a vector of word-level semantic coherence was generated for each narrative by computing the cosine similarity between the embeddings of every word and the word immediately before it. After aligning the onset time of words using Praat (https://www.fon.hum.uva.nl/praat/), the semantic coherence vector was convolved with HRF and down-sampled to 0.5Hz. Clauses were encoded by two researchers, each including 8-9 characters on average. The semantic representations for clauses were obtained by averaging the embedding vectors of words within a clause. Using the same method, a vector for clause-level semantic coherence was generated for each narrative.

We first computed Pearson's correlation between the vector of narrative

properties and the vector of state expression probability at the individual level. To

infer significance, the group mean of correlation values across participants was

compared to a null distribution generated by 5000 permutations. For each iteration,

the time courses of brain state expression were randomly circular-shuffled, and the

correlation between narrative property vector and the shuffled time course of state

expression was re-calculated and averaged over participants to create a random value.

An empirical p-value was determined by the proportion of values from the 5000

iterations that were larger than the original group-mean value. FDR correction was

used to account for multiple comparisons.

Correlation of latent state dynamics with behavior

To assess the importance of the timing of brain latent states to behavior, we examined

whether the alignment of participant's brain state fluctuations with that of the best

performer could predict their narrative comprehension scores. The best performer was

the one (or those) who scored the highest in the narrative recall task within the

subgroup of participants exposed to the same narrative. For each narrative, if there

were more than one best performer, we first assessed the alignment between a

participant with each of them, and then got the average. For the non-best-performers

(N=56), their brain alignment with the best performer(s) was measured by Pearson's

correlation using the time course of state expression probability. After that, we

computed a Pearson's correlation between inter-brain alignments and participants'

comprehension scores. Considering that the best performer may lack of

representativeness, we also measured the alignment of each participant's brain state

fluctuations with the group mean. The inter-brain alignment was assessed by

iteratively leaving out one participant and calculating the Pearson correlation between

the time course of state expression probabilities for the left-out participant and the

average time course for the remaining participants. All participants were engaged in

the same narrative during this process.

As a comparison, we also investigated whether the overall engagement of a brain

state was associated with task performance. For this purpose, we examined the
correlation between participants' FO and dwell time in each state and their
comprehension scores.

Compare brain states across conditions

Finally, we investigated whether the temporal organization of brain dynamics
observed during narrative comprehension was mainly driven by language processing,
or instead an intrinsic organization of the dynamic brain. For this purpose, we
analyzed the fMRI data from the same group of participants at rest and when listening
to an unintelligible narrative told in a foreign language (Mongolian). The scanning
parameters as well as the scanning length were identical to the main experiment. The
HMM with K=3 was conducted separately for the two conditions, then the resulting
three brain states were mapped to the corresponding states from the narrative
comprehension condition by maximizing the similarity in state activity patterns.
687

Establishing state correspondence between analyses

State correspondence between the two datasets and across different conditions was
established based on spatial overlap. To assess this overlap, we first extracted each
state's activity values in the nine networks derived from the HMM. Then, for each
state identified in other analyses (treated as a candidate state), we calculated its
correlation with the three states from the main analyses (treated as the predefined
states) in terms of network activity patterns. The predefined state that a candidate state
most closely resembled was designated to be its matching state. For instance, if a
candidate state showed the strongest correlation with State #1, it was labeled as State
#1 accordingly. In cases where two candidate states showed the highest similarity to
the same predefined state (e.g., State #1), the candidate state with the strongest
similarity was labeled as State #1, and the other was assigned to the predefined state
with the next highest correlation. A prime symbol (e.g., State #2') was used to indicate

cases where such confusion occurred.

Given that the spatial layout of the 9-network atlas used in the main analysis does
not align well with the Yeo-7 network atlas, we established state correspondence
between the two parcellation schemes using evidence of temporal overlap.
Specifically, we calculated Pearson's correlation between each state derived from the
Yeo-7 network scheme (treated as a "candidate state") and the three predefined states
from the main analysis, based on time courses of state expression probabilities. For
each state, the time courses from all 64 participants were concatenated, resulting in
19,200 (300×64) time points. The predefined state most similar to each candidate
state was designated as its corresponding state.

To assess the statistical significance of the spatial (and temporal) overlap, we
utilized the HMM results from 1,000 permutations, where participants' BOLD time
courses were circularly shifted and HMMs were conducted for each permutation.
Applying the same state-correspondence strategy described above, we generated a
null distribution representing the matches between candidate states and states in the
surrogate data.

Acknowledgments

This work was supported by grants from the National Natural Science Foundation of
China (NSFC: 32471100), Guangdong Basic and Applied Basic Research Foundation
(2024A1515030046). No conflict of interest is declared. We greatly appreciate the
two anonymous reviewers for their insightful comments and constructive suggestions.
722

Data availability

724 The raw and processed fMRI data are available on OpenNeuro:

725 https://openneuro.org/datasets/ds005623. The experimental stimuli and behavioral

726 data are provided on Github.

Reference

Baldassano, C., Chen, J., Zadbood, A., Pillow, J. W., Hasson, U., & Norman, K. A. (2017). Discovering
event structure in continuous narrative perception and memory. *Neuron, 95*(3), 709-721. e705.

Ballenghein, U., Megalakaki, O., & Baccino, T. (2019). Cognitive engagement in emotional text
reading: concurrent recordings of eye movements and head motion. *Cognition and Emotion*.

Barnett, A. J., Reilly, W., Dimsdale-Zucker, H. R., Mizrak, E., Reagh, Z., & Ranganath, C. (2021).
Intrinsic connectivity reveals functionally distinct cortico-hippocampal networks in the human
brain. *PLoS Biology, 19*(6), e3001275. doi:10.1371/journal.pbio.3001275

Berwick, R. C., Friederici, A. D., Chomsky, N., & Bolhuis, J. J. (2013). Evolution, brain, and the nature
of language. *Trends in Cognitive Sciences, 17*(2), 89-98.
doi:https://doi.org/10.1016/j.tics.2012.12.002

Bressler, S. L., & Menon, V. (2010). Large-scale brain networks in cognition: emerging methods and
principles. *Trends in Cognitive Sciences, 14*(6), 277-290.

Cui, Y., Che, W., Liu, T., Qin, B., & Yang, Z. (2021). Pre-training with whole word masking for chinese
bert. *IEEE/ACM Transactions on Audio, Speech, and Language Processing, 29*, 3504-3514.

Devlin, J., Chang, M.-W., Lee, K., & Toutanova, K. (2018). Bert: Pre-training of deep bidirectional
transformers for language understanding. *arXiv preprint arXiv:1810.04805*.

Fan, L., Li, H., Zhuo, J., Zhang, Y., Wang, J., Chen, L., . . . Jiang, T. (2016). The Human Brainnetome
Atlas: A New Brain Atlas Based on Connectional Architecture. *Cerebral Cortex, 26*(8), 3508-
3526. doi:10.1093/cercor/bhw157

Fernandino, L., Tong, J.-Q., Conant, L. L., Humphries, C. J., & Binder, J. R. (2022). Decoding the
information structure underlying the neural representation of concepts. *Proceedings of the
national academy of sciences, 119*(6), e2108091119. doi:doi:10.1073/pnas.2108091119

Ferstl, E. C., Neumann, J., Bogler, C., & von Cramon, D. Y. (2008). The extended language network: A
meta-analysis of neuroimaging studies on text comprehension. *Human Brain Mapping, 29*(5),
581-593. doi:https://doi.org/10.1002/hbm.20422

Fuster, J. M. (2000). The module: crisis of a paradigm. *Neuron, 26*(1), 51-53.

Goldstein, A., Grinstein-Dabush, A., Schain, M., Wang, H., Hong, Z., Aubrey, B., . . . Hasson, U.
(2024). Alignment of brain embeddings and artificial contextual embeddings in natural
language points to common geometric patterns. *Nature Communications, 15*(1), 2768.
doi:10.1038/s41467-024-46631-y

Goldstein, A., Zada, Z., Buchnik, E., Schain, M., Price, A., Aubrey, B., . . . Hasson, U. (2022). Shared
computational principles for language processing in humans and deep language models.
Nature Neuroscience, 25(3), 369-380. doi:10.1038/s41593-022-01026-4

Greipl, S., Bernecker, K., & Ninaus, M. (2021). Facial and bodily expressions of emotional

engagement: How dynamic measures reflect the use of game elements and subjective experience of emotions and effort. *Proceedings of the ACM on Human-Computer Interaction, 5*(CHI PLAY), 1-25.

Hasson, U., Chen, J., & Honey, C. J. (2015). Hierarchical process memory: memory as an integral component of information processing. *Trends in Cognitive Sciences, 19*(6), 304-313.

Honey, C. J., Newman, E. L., & Schapiro, A. C. (2018). Switching between internal and external modes: A multiscale learning principle. *Netw Neurosci, 1*(4), 339-356. doi:10.1162/NETN_a_00024

Ji, J. L., Spronk, M., Kulkarni, K., Repovš, G., Anticevic, A., & Cole, M. W. (2019). Mapping the human brain's cortical-subcortical functional network organization. *NeuroImage, 185*, 35-57. doi:10.1016/j.neuroimage.2018.10.006

Kaakinen, J. K., Ballenghein, U., Tissier, G., & Baccino, T. (2018). Fluctuation in cognitive engagement during reading: Evidence from concurrent recordings of postural and eye movements. *Journal of Experimental Psychology: Learning, Memory, and Cognition, 44*(10), 1671.

Kelso, J. A. S. (2012). Multistability and metastability: understanding dynamic coordination in the brain. *Philosophical Transactions of the Royal Society B: Biological Sciences, 367*(1591), 906-918. doi:doi:10.1098/rstb.2011.0351

Langdon, C., Genkin, M., & Engel, T. A. (2023). A unifying perspective on neural manifolds and circuits for cognition. *Nat Rev Neurosci, 24*(6), 363-377. doi:10.1038/s41583-023-00693-x

Lerner, Y., Honey, C. J., Silbert, L. J., & Hasson, U. (2011). Topographic mapping of a hierarchy of temporal receptive windows using a narrated story. *Journal of Neuroscience, 31*(8), 2906-2915. doi:10.1523/JNEUROSCI.3684-10.2011

Liu, L., Li, H., Ren, Z., Zhou, Q., Zhang, Y., Lu, C., . . . Ding, G. (2022). The "two-brain" approach reveals the active role of task-deactivated default mode network in speech comprehension. *Cerebral Cortex, 32*(21), 4869-4884.

Liu, L., Zhang, Y., Zhou, Q., Garrett, D. D., Lu, C., Chen, A., . . . Ding, G. (2020). Auditory–Articulatory Neural Alignment between Listener and Speaker during Verbal Communication. *Cerebral Cortex, 30*(3), 942-951. doi:https://doi.org/10.1093/cercor/bhz138

Meer, J. N. V., Breakspear, M., Chang, L. J., Sonkusare, S., & Cocchi, L. (2020). Movie viewing elicits rich and reliable brain state dynamics. *Nat Commun, 11*(1), 5004. doi:10.1038/s41467-020-18717-w

Nanni-Zepeda, M., DeGutis, J., Wu, C., Rothlein, D., Fan, Y., Grimm, S., . . . Zuberer, A. (2024). Neural signatures of shared subjective affective engagement and disengagement during movie viewing. *Human Brain Mapping, 45*(4), e26622. doi:https://doi.org/10.1002/hbm.26622

Ohad, T., & Yeshurun, Y. (2023). Neural synchronization as a function of engagement with the narrative. *Neuroimage, 276*, 120215. doi:https://doi.org/10.1016/j.neuroimage.2023.120215

Oota, S. R., Arora, J., Agarwal, V., Marreddy, M., Gupta, M., & Surampudi, B. R. (2022). Neural language taskonomy: Which NLP tasks are the most predictive of fMRI brain activity? *arXiv preprint arXiv:2205.01404*.

Pohle, J., Langrock, R., Van Beest, F. M., & Schmidt, N. M. (2017). Selecting the number of states in hidden Markov models: pragmatic solutions illustrated using animal movement. *Journal of Agricultural, Biological and Environmental Statistics, 22*, 270-293.

Price, C. J. (2012). A review and synthesis of the first 20 years of PET and fMRI studies of heard

807   speech, spoken language and reading. *Neuroimage, 62*(2), 816-847.

808 Song, H., Park, B. Y., Park, H., & Shim, W. M. (2021). Cognitive and Neural State Dynamics of
809   Narrative Comprehension. *J Neurosci, 41*(43), 8972-8990. doi:10.1523/JNEUROSCI.0037-
810   21.2021

811 Song, H., Shim, W. M., & Rosenberg, M. D. (2023). Large-scale neural dynamics in a shared low-
812   dimensional state space reflect cognitive and attentional dynamics. *Elife, 12*.
813   doi:10.7554/eLife.85487

814 Taghia, J., Cai, W., Ryali, S., Kochalka, J., Nicholas, J., Chen, T., & Menon, V. (2018). Uncovering
815   hidden brain state dynamics that regulate performance and decision-making during cognition.
816   *Nature Communications, 9*(1), 2505. doi:10.1038/s41467-018-04723-6

817 Tan, C., Liu, X., & Zhang, G. (2022). Inferring Brain State Dynamics Underlying Naturalistic Stimuli
818   Evoked Emotion Changes With dHA-HMM. *Neuroinformatics, 20*(3), 737-753.
819   doi:10.1007/s12021-022-09568-5

820 Tang, X., Zhang, J., Liu, L., Yang, M., Li, S., Chen, J., . . . Ding, G. (2023). Distinct brain state
821   dynamics of native and second language processing during narrative listening in late
822   bilinguals. *Neuroimage, 280*, 120359. doi:https://doi.org/10.1016/j.neuroimage.2023.120359

823 Vidaurre, D., Smith, S. M., & Woolrich, M. W. (2017). Brain network dynamics are hierarchically
824   organized in time. *Proc Natl Acad Sci U S A, 114*(48), 12827-12832.
825   doi:10.1073/pnas.1705120114

826 Vyas, S., Golub, M. D., Sussillo, D., & Shenoy, K. V. (2020). Computation Through Neural Population
827   Dynamics. *Annu Rev Neurosci, 43*, 249-275. doi:10.1146/annurev-neuro-092619-094115

828 Whitfield-Gabrieli, S., & Nieto-Castanon, A. (2012). Conn: a functional connectivity toolbox for
829   correlated and anticorrelated brain networks. *Brain Connectivity, 2*(3), 125-141.

830 Yeo, B. T., Krienen, F. M., Sepulcre, J., Sabuncu, M. R., Lashkari, D., Hollinshead, M., . . . Polimeni, J.
831   R. (2011). The organization of the human cerebral cortex estimated by intrinsic functional
832   connectivity. *Journal of neurophysiology*.

833 Yu, J. (2024). Age-related decrease in inter-subject similarity of cortical morphology and task and
834   resting-state functional connectivity. *GeroScience, 46*(1), 697-711.

Figures with captions

Figure 1. Identifying the optimal number of brain latent states based on the
criterion of statistical robustness and cognitive sensibility. (a) For each candidate
K ranging from 2 to 10, we trained an HMM model on n-1 subjects and applied it to
decode the time course of state expression for the test subject. The decoded time
course was then used to compute a Calinski-Harabasz score, with a larger value
indicating better clustering performance, and to decipher which narrative (out of three)
was heard by the subject. The two measurements were first assessed at the individual
level and then averaged across participants. (b) Model performance as a function of K.
With the increase of K, the model's clustering performance tended to decline while
the ability to decipher narrative contents tended to improve. We combined the two
indices by converting them independently to z scores and summed them up. Notably,
at K=3, the summed z-score reached its highest point, therefore it was set as the
optimal number of latent states.

Figure 2. Spatial and temporal features of latent states revealed by HMM. (**a**) The activity loadings of each state on the nine networks. These activity values preserved the scale of the original BOLD time courses which were z-score normalized. (**b**) The ebb and flow of state expression over the time course of narrative comprehension, plotted using data from a representative participant. The curves of the three states are stacked showing the relative strength of activation probability at each time interval. (**c**) Between-state transition probabilities. Both State #1 and State #3 were more likely to switch to State #2 (denoted by the pink lines) than switching directly to each other. The differences in transition probabilities were larger than most of the instances from surrogate data. (**d**). Topological properties of whole-brain networks when occupied by each of the three states. Brain occupied by State #2 demonstrated the highest global efficiency (G) and the lowest modularity (Q). The

877 upper panel shows the results of graph constructed using state-specific time series
878 extracted from individual participants. The lower panel shows results of graph
879 constructed using FC matrix derived from the HMM. *$p < 0.05$, **$p < 0.01$,
880 ***$p < 0.0005$ for t-tests.

881
882
883
884

885
Figure 3. Selective modulation of state expression by different narrative features.
(a) The expression probability of State #1, as well as of State #2, was positively
modulated by the temporal envelope of speech. The blue shaded area represents the
original amplitude of a speech signal clip, while the orange line shows the smoothed,
low-frequency contour of amplitude changes over time (i.e., the sound envelope) **(b)**
Only the expression probability of State #2 was modulated by word-level semantic
coherence. **(c)** Only the expression probability of State #3 was modulated by clause-
level semantic coherence. Semantic coherence was measured by cosine similarity
between the embeddings (obtained by BERT) of each word (or clause) and the word
(clause) immediately before it. Those effects were greater than most instances from
permutation where the time courses of state expression were randomly shuffled 5000
times. *$p < 0.05$, **$p < 0.01$, ***$p < 0.005$ for t-tests.

Figure 4. Correlation of state expression with behavior. Participants' alignment
with both the best performer(s) and the group mean in terms of brain state expression
predicted their narrative comprehension scores. The alignment with the best performer
in head movement trajectory, which probably reflected inter-subject similarity in the
fluctuation of task engagement or attention, also correlated with narrative
comprehension. After adjusting this effect using partial correlation, the significant
correlations between inter-subject alignment in states expression and narrative
comprehension still existed.

Figure 5. Comparisons of brain states across conditions. (a) During rest, the
activity patterns of latent states were similar to those during narrative comprehension,
but State #3 became the transitional hub. **(b)** When listening to the unintelligible
narrative (in Mongolian, MG), the activity patterns of latent states varied substantially
from that during narrative comprehension, but State#2 was still the transitional hub. **(c)**
The fractional occupation of State#2 increased with greater involvement in linguistic
computations, while that of State#3 decreased. A similar pattern was found on the
dwelling time of states.

Supplementary Material

1. Experimental procedure

Stimuli: The young adults in the main dataset listened to one of three real-life stories
told in Mandarin by a female college student. These stories described her experiences
with a graduate admission interview, a hiking trip, and her first time taking a flight.
The older adults serving as the replication dataset listened to one of two real-life
stories told by a 62-year-old woman in a Chinese dialect, describing her experience in
Thailand and riding a warship, respectively. The recordings were collected when the
speakers were scanned with fMRI. To obtain audio recordings with good quality, we
used a noise-canceling microphone (FOMRI-III, Optoacoustics Ltd., Or-Yehuda,
Israel) positioned above the mouth of the speaker and further de-noised the audio

recordings offline using Adobe Audition 3.0 (Adobe Systems Inc., USA).

Behavioral assessment for the level of speech understanding: After the scanning

session, participants were asked to retell the story in detail. Following the free recall,

experimenters asked additional questions from a prepared list about details not

previously mentioned. The list contained 9-10 questions for each story, which

addressed key events from different parts of the narrative (e.g., "Can you describe the

preparations she made for the hike?" or "What happened on her way to the hotel?").

Correct answers needed to cover critical details, including actions, characters,

locations, time, and motivations. Two independent raters listened to the recordings of

participants' free recall and their responses to the experimenters, assigning a score for

each question based on the completeness of the key points provided. The

comprehension score for each participant was calculated as a percentage of the total

possible points. Given the high inter-rater reliability (Spearman's $r(62) = 0.74$), the

average score from both raters was used to quantify each participant' s level of story

comprehension.

The audio files of those stories, along with their corresponding transcriptions and

and sample questions used in the comprehension test, are available at:

https://github.com/liulanfang11/Tripartite-brain-states/upload/main.

2 Functional network detections

Network detection was performed following the method proposed by (Ji et al., 2019),

using fMRI data from the 64 participants engaged in narrative comprehension. First,

the mean time series of 246 regions defined by the Brainnetome atlas (Fan et al., 2016)

was extracted, and a functional connectivity (FC) matrix was computed for each

participant and then averaged across them. This atlas covers both cortical and

subcortical regions and is made based on both anatomical and functional connectivity

(FC) patterns. Next, community detection was performed on the group-averaged FC

matrix applying the Louvain clustering algorithm in the Brain connectivity toolbox

(https://sites.google.com/site/bctnet/). Three criteria were taken into account when

determining the Gamma parameter in the algorithm, including (1) separation of

primary sensory-motor network (visual, auditory and somatomotor) from all other

networks (i.e., neurobiologically sensible); (2) high similarity of network partitions

across nearby parameters (i.e., statistically stable); and (3) high within-network

connectivity relative to between-network connectivity (i.e., high modularity).

A set of gamma values ranging from 1.2 to 2.5, with a step size of 0.01, were tested.

For every tested gamma, we ran the algorithm 1,000 times and measured how

consistent a given partition was to every other partition using a z-rand score. Each z-

rand score averaged across the iterations was then multiplied by its corresponding

modularity score to find a modularity-weighted z-rand score. Finally, the gamma

value of 2.5 was selected, as it corresponded to the peak of the modularity-weighted

z-rand score and satisfied the three criteria, resulting in a plausible number of

networks, including the primary sensory/motor networks. We implemented network

detection using code published by a prior study (Barnett et al., 2021).

A total of 11 networks were obtained by the above method. Two networks with

fewer than three nodes were discarded, resulting in nine networks for subsequent

analyses.

Figure S1. Spatial maps of the 11 networks derived from whole-brain parcellation
using data from 64 participants engaged in narrative comprehension. The two
unlabeled networks consisted of only one or two nodes each and were therefore
excluded from further analyses.
988
989

 3. Replicating main results with the Yeo-7 network atlas.

Fig. S2. Replicating the findings with the 7-network atlas to parcellate brain networks.
(a) Model selection. The model with K=3 achieved the overall best performance in
terms of clustering and accuracy in classifying three narratives. **(b)** Establishing State
correspondence between parcellation scheme. Each of the three states from the 7-
network scheme (treated as candidate states) was best matched to State #1, State #2,

and State #3 from the 9-network scheme (treated as predefined states), respectively. The degree of actual overlap for the three states exceeded 100%, 100% and 99.97% of the permutation instances. **(c)** Activity patterns of latent states. The network activity values preserved the scale of the original BOLD time courses which were z-scored normalized. **(d)** Between-state switching probabilities. State#2 was the transitional hub. **(e)** Topological properties of whole brain networks when occupied by each state. At State#2, the brain exhibited the highest global efficiency and lowest modularity. **(f)** Modulation of state expression probability by narrative properties. **(g)** Alignment with the best performer predicted participants' narrative comprehension performance.

 4. Replicating the major results using a dataset of older adults

Fig. S3. Replicating the major results on a different dataset consisting of older adults. **(a)** Model selection. The model with K=3 achieved the best overall performance in terms of clustering and accuracy in classifying the two narratives. **(b)** Establishing State correspondence between datasets. Each of the three states from the older-adult dataset (treated as candidate states) was best matched to State #1, State #2, and State #3 from the young-adult dataset (treated as predefined states), respectively. The degree of actual overlap for the three states exceeded 95.7%, 93.04% and 90.30% of the permutation instances. **(c)** Activity patterns of latent states. The network activity values preserved the scale of the original BOLD time courses which were z-scored

normalized **(d)** Between-state switching probabilities. State#2 was the transitional hub.
(e) Topological properties of whole brain networks when occupied by each state. At
State#2, the brain exhibited the highest global efficiency and lowest modularity. **(f)**
Modulation of state expression probability by narrative properties.

5. Reconstruction of latent states using Hierarchical clustering

We explored whether the latent states derived from HMM with K=3 can be
reconstructed from smaller, more nuanced patterns. To this end, we applied an
agglomerative hierarchical clustering algorithm to the transition probability matrix
derived from HMM with K = 4, 10, and 12, respectively, and obtained three clusters
from each. Next, we compared the clusters with the target states (i.e., those resulting
from the HMM with K=3) in terms of similarities in activity patterns (spatial overlap)
and the time course of state expression (temporal overlap).

To evaluate the spatial overlap, we first averaged the activation values across
those states belonging to the same cluster, merging them into a single new state. Then
we assessed the similarity in the activity patterns between the merged states and the
target states using Pearson's correlation. To evaluate temporal overlap, we first
substituted states belonging to the same cluster with the newly formed one, then
computed Jaccard Similarity between the sequences of the new states and the
sequences of the targeted states.

There was a clear and exclusive correspondence between clusters reconstructed
from both the 4-state and 10-state models and the predefined target states in terms of
activity patterns ($r_{(6)}$ ranges from 0.72 to 0.98). The timing of state expression was
also well aligned between the reconstructed model and the target model (more than 77%
overlap across a total of 19, 200 time points for 64 participants). For the 12-states
model, we also found two reconstructed clusters resembling State#1 and State#3
(Fig.S2). Probe on the cluster that deviated most from the target states showed that it
consisted of nine states, possibly capturing too many nuanced patterns of neural
dynamics. Indeed, when splitting this "big" cluster into two smaller ones, one of them
demonstrated significant similarity to State#2 ($r_{(7)}$=0.75).
1050

Figure S4. Reconstructing the tripartite-state space from smaller states. Left and middle panels: States inferred by HMM with K= 4,10, and 12 were hierarchically grouped into three clusters based on between-states transition probability matrix. Right panels: The reconstructed states (clusters) from the 4- and 10-state models show high and exclusive similarity to the three states (targets) inferred by HMM with K=3.

6. Between-group comparison in inter-subject correlation (ISC) in brain state dynamics

Figure S5. Comparison in inter-subject correlation (ISC) in brain state dynamics between the young and older age groups. ISC was assessed by iteratively leaving out one participant at a time. For each left-out participant, we calculated the Pearson correlation between their time course of state expression probabilities and the average time course of the remaining participants, all of whom were all engaged in the same narrative. For all three states, the ISCs were significantly higher among the young adults than that among the older adults. For State #1: $t_{(59, 49)} = 3.32$, p = 0.001; for State #2: $t_{(59, 49)} = 3.94$, p < 0.001; and for State #3: $t_{(59, 49)} = 11.96$, p < 10^{-6}.