Positive feedback between demographic and selective fluctuations can greatly amplify the

random fluctuation of population size

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Abstract

Population sizes fluctuate over time probably due to random variability in the external environment. However, the severity of such fluctuations should depend on the characteristics of a species shaped in its evolutionary history. Previous studies have suggested that species are likely to evolve to minimize demographic fluctuations because an allele causing a smaller variance in offspring number is advantageous. However, this study finds that evolution in the opposite direction, favoring a mutation causing larger fitness fluctuation, occurs in a simple eco-evolutionary model under a randomly changing environment. This requires that (1) the mutant allele is under fluctuating selection within a subset, the field, of the population but neutral in another subset, the refuge, and (2) the field-to-refuge ratio of the carrying capacity is positively correlated to the mutant allele's fitness. A general condition for the fixation of such a mutation was derived to depend on the relative strengths of demographic and fitness fluctuations and the mutation's effect on the carrying capacity. Multi-locus simulations revealed that positive feedback between demography and selection accelerates the sequential fixations of fluctuation-amplifying mutations and thus drastically amplifies a population size fluctuation. This study therefore offers an unconventional explanation for large demographic fluctuations.

Introduction

Natural populations experience temporal changes in abiotic and biotic environments. A randomly variable environment is expected to produce fluctuations in various aspects of demography, most importantly the number of reproducing individuals (i.e., population size), as well as fluctuation in the fitness effects of non-neutral or phenotype-changing alleles segregating in the population. If fitness differences under fluctuation are large, evolutionary changes may occur rapidly at the same speed as short-term ecological/demographic fluctuations. Recent developments in evolutionary biology have revealed that such rapid evolutionary changes are widespread (Bell 2010; Thompson 2013; Messer, et al. 2016). One may then ask whether mutual interaction between demographic and selective fluctuations creates qualitatively novel eco-evolutionary dynamics that cannot arise if one of the demographic or population genetic variables is held constant.

Considering that a fluctuation in population size reflects a fluctuation in the reproductive success of individuals and that long-term reproductive success is maximized if its variance in the offspring number over time is minimized (Slatkin 1974; Gillespie 1977; Tuljapurkar 1982), one may predict that a population will evolve to reduce size fluctuation as much as possible (Pfister 1998). For example, a variant may be positively selected if it increases the size rather than the number of eggs when plentiful resources are available so that the offspring can survive better during an unfavorable period. Then, when a large fluctuation in population density, such as mast seeding or insect outbreak, does occur despite such an advantage of a small temporal variance in offspring number, this can be seen as a non-adaptive response of the population to a heavy fluctuation of the biotic and/or abiotic environment (Myers 1988; Dwyer, et al. 2004; White 2008; Bogdziewicz, et al. 2020).

However, recent studies suggested that a population may evolve toward a larger fluctuation in its size. Liu, et al. (2019) found that a reproductive strategy increasing the temporal variance of offspring number can be advantageous in populations reproducing in overlapping generations under various patterns of environmental fluctuations. Kim (2023) showed that a mutation amplifying the cyclic fluctuation of population size can be positively selected if this population is partially protected from fluctuating selection (i.e. fitness fluctuation occurs only in a subset of the population) at a given time. An additional condition for positive selection in the latter study was that the oscillation of fitness is positively correlated with that of population size and the regulation of population density is not strong enough so that the population size can over- or undershoot the carrying capacity. It is argued that the condition for partial protection from fluctuating selection is commonly satisfied in many species. The amplitude of fluctuation in

selective pressure is likely to be heterogeneous over the geographic range of a spatially structured species. In plants and animals that have their life cycles divided into several stages and reproduce in overlapping generations, it is difficult to imagine a selective pressure uniformly acting on all individuals in the population regardless of the stage or age. For example, if a trait under fluctuating selection is expressed in the insect larval stage, alleles affecting this trait currently carried by adults are not visible to the selection. Similarly, alleles affecting traits that appear after germination remain neutral in the seed bank of a plant species. Such a subset in the population protected from fluctuating selection may be called a "refuge." The presence of a refuge of various kinds was found to generate the advantage of rare alleles, a form of balancing selection known as the storage effect (Chesson and Warner 1981; Ellner and Hairston 1994; Reinhold 2000; Gulisija and Kim 2015; Gulisija, et al. 2016; Bertram and Masel 2019; Yamamichi, et al. 2023). However, Kim (2023) showed that if the population size is oscillating the negative frequency-dependent selection may not persist but turn into directional selection so that polymorphism is no longer maintained, unless fitness fluctuation is strong relative to population size fluctuation.

The theoretical results above — under what conditions balancing or directional selection on the alleles of fluctuating fitness will arise — were obtained from a model assuming cyclical/seasonal fluctuation in the environment and no reproduction in the refuge. The latter was for specifically modeling the seed bank (Turelli, et al. 2001; Kim 2023). A model with seasonal fluctuation is suitable for cases in which an environmental (seasonal) cycle spans multiple generations. However, for many species, one generation is longer than a seasonal cycle. Consequently, this study built a simple mathematical model in which the environment fluctuates randomly over time, which is probably relevant to a wider range of species including plants that exhibit mast seeding. In addition, the demographic assumption of the refuge was relaxed so that it may represent a separate subpopulation undergoing reproduction and fluctuation in its carrying capacity. A general condition for balancing versus directional selection was analytically derived for the one-locus model of this random environmental fluctuation. This one-locus model was then extended to a multi-locus model. The simulation of the multi-locus model showed that mutant alleles causing wider fluctuation in offspring number can reach fixation at multiple loci in a wide range of conditions. These fixations were found to occur at faster rates with increasing numbers of loci, as fluctuating population size and fluctuating selection reinforced each other in positive feedback. This result shows that eco-evolutionary dynamics arising under the general condition of heterogeneous fluctuating selection can produce highly variable demography.

Eco-evolutionary model

Consider a haploid population that reproduces in discrete generations. It is divided into two

subpopulations, termed the field and the refuge. A fluctuating environment causes fluctuations in

the carrying capacities of both the field and refuge, which are given by $K_F^{(t)}$ and $K_R^{(t)}$

respectively for generation t. It is assumed that the carrying capacities of both subpopulations

depend on common environmental factors that fluctuate randomly over time but that

subpopulations may differ in the strengths of the dependence. Under strong density regulation,

the subpopulation sizes, $N_F^{(t)}$ and $N_R^{(t)}$, after the reproduction step in generation t, are expected

to match the corresponding carrying capacities (see below). Before a new generation starts, each

individual in both subpopulations is assumed to migrate to or remain in the field with probability

1 - r and migrate to or remain in the refuge with probability r. As a result, the proportion of the

refuge in the total population is always r at the start of a generation. Next, the size of the field

(refuge) changes from $\dot{N}_F^{(t)}$ ($\dot{N}_R^{(t)}$) at the beginning to $N_F^{(t)}$ ($N_R^{(t)}$) at the end of generation t,

where $\mathrm{E}[\dot{N}_F^{(t)}] = (1-r)(N_F^{(t-1)} + N_R^{(t-1)})$ and $\mathrm{E}[\dot{N}_R^{(t)}] = r(N_F^{(t-1)} + N_R^{(t-1)})$.

It is assumed that the population is initially fixed for the A_1 allele at a locus. Then, a new mutant

allele A_2, subject to fluctuating selection in the field but neutral in the refuge, arises. The fitness of

an individual carrying A_2 relative to A_1 is given by $1 + S_t$ in the field, where $\mathrm{E}[S_t] = 0$ and

$\mathrm{Var}[S_t] > 0$. Notably, while the arithmetic mean of the fitness of A_2 over time is $\mathrm{E}[1 + S_t] = 1$, its

geometric mean is less than 1. Therefore, natural selection is expected to eliminate this mutant

allele from a population in a simple demographic model (containg the field only).

Let $n_{1\mathrm{F}}$ and $n_{2\mathrm{F}}$ be the numbers of individuals in the field carrying A_1 and A_2 alleles before

reproduction for generation t (thus $n_{1\mathrm{F}} + n_{2\mathrm{F}} = \dot{N}_F^{(t)}$). In the reproduction step, two modes of

natural selection (soft vs. hard selection; Wallace (1975)) are considered regarding the effects of

mutations on the population size. First, under soft selection, very strong density regulation is

assumed so that the final size of the field is constrained to $K_F^{(t)}$. Each parent produces a Poisson

number of progenies with mean $W K_F^{(t)} / \ddot{N}_F^{(t)}$, where W is 1 for A_1 and $1 + S_t$ for A_2, and $\ddot{N}_F^{(t)} =$

$n_{1\mathrm{F}} + (1 + S_t)n_{2\mathrm{F}}$. (Reproduction in Poisson numbers was needed to accommodate genetic drift;

see below.) Second, under hard selection, fitness-changing mutations lead to changes in not only

allele frequencies but also in the population size; they may increase or decrease the absolute

reproductive output of the carriers such that the population size can increase over or decrease

below the carrying capacity, which is now interpreted as the expeced population size assuming

that all individuals carry the A_1 allele. To this effect, each parent is now assumed to produce a

Poisson number of progenies with mean $W K_F^{(t)} / \dot{N}_F^{(t)}$. Namely, when $q = n_{2\mathrm{F}}/(n_{1\mathrm{F}} + n_{2\mathrm{F}})$ is the

relative frequency of A_2 at the beginning of a generation, the size of the field is expected to reach

$(1 + qS_t)K_F^{(t)}$. Reproduction in the refuge occurs similarly but without selection: each individual

produces a Poisson offspring number with mean $K_R^{(t)}/\dot{N}_R^{(t)}$.

Finally, how the environment fluctuates over time and how demographic and selective parameters

— $K_F^{(t)}$, $K_R^{(t)}$, and S_t — respond need to be specified. The environmental condition is assumed

to fluctuate randomly in each generation. More specifically, fluctuations occur such that $K_F^{(t)} =$

$K_{F0}e^{U_t}$ and $K_R^{(t)} = K_{R0}e^{V_t}$ where U_t and V_t are drawn each generation from a joint normal

distribution satisfying $\mathrm{E}[U_t] = \mathrm{E}[V_t] = 0$ and $\mathrm{Cov}[U_t, V_t] \geq 0$. The oscillation of carrying

capacities is therefore symmetrical in the log scale, which is generally predicted in demographic

models and observed in nature (Tuljapurkar and Orzack 1980; Myers 1988). S_t fluctuates such

that $\mathrm{E}[S_t] = 0$, $\mathrm{Cov}[S_t, U_t] \geq 0$, and $\mathrm{Cov}[S_t, V_t] \geq 0$. Assuming non-zero correlations among the

three parameters means that the population sizes and fitness respond to the fluctuation of

common environmental variables. For simulation, a specific joint probability density for U_t, V_t,

and S_t should be provided (see below).

Analytic results: the fate of the A_2 allele

This section aims to predict the fate of the rare A_2 allele — whether it will be lost, fixed, or

increased to intermediate frequencies and maintained polymorphic in the population — as a

function of the demographic and fitness parameters. First, soft selection with strong density

regulation, under which $N_F^{(t)} = K_F^{(t)}$ and $N_R^{(t)} = K_R^{(t)}$, and no genetic drift are assumed. Let n_1

and n_2 be the total numbers of individuals (counted after reproduction/selection and before

migration) carrying A_1 and A_2 in generation $t-1$ and n'_1 and n'_2 be their expected numbers in

generation t. Then, with $n_1 \gg n_2$,

152
$$\frac{n'_2}{n_2} = (1-r)\frac{K_F^{(t)}}{\dot{N}_F^{(t)}}(1+S_t) + r\frac{K_R^{(t)}}{\dot{N}_R^{(t)}} \approx (1-r)\frac{K_F^{(t)}}{\dot{N}_F^{(t)}}(1+S_t) + r\frac{K_R^{(t)}}{\dot{N}_R^{(t)}} = \frac{(1+S_t)K_F^{(t)}+K_R^{(t)}}{K_F^{(t-1)}+K_R^{(t-1)}}. \qquad (1)$$

This equation takes it into account that the change in the absolute number of A_2 is determined

first by demography, as the size of field changes from $\dot{N}_F^{(t)}$ to $K_F^{(t)}$ and that of refuge from $\dot{N}_R^{(t)}$

to $K_R^{(t)}$, and also by fitness $1 + S_t$. Whether the copy number of rare allele A_2 is expected to

increase or decrease is determined by the expectation of $\log\left[\frac{n'_2}{n_2}\right]$ over demographic and fitness

fluctuations. It is shown in Appendix A that, the condition for the A_2 allele being positively selected

(i.e. invading the population initially fixed for A_1), $\mathrm{E}\left[\log\left[\frac{n'_2}{n_2}\right]\right] > 0$, is simplified to

$$\Phi = \frac{\text{Cov}[S,U] - \text{Cov}[S,V]}{\text{Var}[S]} = \frac{\text{Cov}[S, \log[K_F/K_R]]}{\text{Var}[S]} > \frac{1}{2\rho} \tag{2}$$

where $\rho = K_{R0}/K_{F0}$ (note that $K_F^{(t)} = K_{F0}e^{U_t}$ and $K_R^{(t)} = K_{R0}e^{V_t}$) represents the relative size of the refuge. Therefore, the rare A_2 allele is positively selected if the covariance between its fitness and the log-ratio of the field to refuge size is large relative to the amplitude of the fitness fluctuation. This requires that the demographic fluctuation of the field be sufficiently large, as already shown in Kim (2023), and that of the refuge is either small or out of phase with the fluctuation of the field. This condition is easier to meet with the increasing size of the refuge.

In a similar manner, the condition for the A_1 allele to invade a population initially fixed for A_2 under soft selection becomes

$$\Phi < 1 + \frac{1}{2\rho} \tag{3}$$

(Appendix A). From inequalities (2) and (3), it is predicted that the A_1 and A_2 alleles coexist in the population if $1/(2\rho) < \Phi < 1 + 1/(2\rho)$ under soft selection. With $\Phi < 1/(2\rho)$, the A_2 allele is eliminated from the population, as the fitness fluctuation that reduces its geometric mean in the field is more important than population size fluctuation. With $\Phi > 1 + 1/(2\rho)$, the A_2 allele is predicted to reach fixation in the population.

Using the same approach, the fate of the A_2 allele under hard selection can be analyzed. Note that the carrying capacity of the field is given by $(1 + qS_t)K_F^{(t)}$ with hard selection where q is the frequency of A_2. The frequency change of A_2 when rare is therefore effectively identical to that in soft selection. Therefore, the condition for A_2 invading the population fixed for A_1 is again $\Phi > 1/(2\rho)$. However, the condition for the fixation of A_2 is drastically different from that under soft selection. It is shown in Appendix A that the condition for $\text{E}\left[\log\left[\frac{n_1'}{n_1}\right]\right] > 0$ for rare A_1 is exactly the condition for $\text{E}\left[\log\left[\frac{n_2'}{n_2}\right]\right] < 0$ for rare A_2. Therefore, the condition for the A_1 allele to invade the population fixed for A_2 is approximately $\Phi < 1/(2\rho)$, which means that the fixation of A_2 is ensured if $\Phi > 1/(2\rho)$: once positively selected after its appearance by mutation, the A_2 allele is expected to increase in frequency all the way to fixation. This leads to a fluctuation amplification in the size of the field, from $K_F^{(t)}$ to $(1 + S_t)K_F^{(t)}$. This result is consistent with the finding in Kim (2023) that, under weak density regulation of the population size, an allele with a wider oscillation in absolute fitness reaches fixation in the presence of refuge.

One-locus simulation

To validate and explore the dynamics of the above eco-evolutionary model, the one-locus stochastic simulation was performed. The demographic and fitness fluctuations were given by $U_t = a_U Z_1 + b_U Z_2$, $V_t = a_V Z_1 + b_V Z_3$, and $S_t = a_S Z_1 + b_S Z_4$, where the Z_is are standard normal

Figure 1. Distribution of A_2 allele frequencies (p) at the 5,000th generation in the stochastic simulations of soft (A, B) and hard (C, D) selection. The initial frequency of A_2 is 0.5 in both field and refuge. Reproduction occurred while $K_F^{(t)}$, $K_F^{(t)}$ and S_t varied stochastically without (A, C) or with (B, D) genetic drift and bidirectional mutation ($\mu = 5 \times 10^{-5}$). Proportions of simulation replicates (out of 1000) with the frequencies in the interval $0 \leq p < 0.1$, $0.1 \leq p < 0.2$, ..., $0.8 \leq p < 0.9$, and $0.9 \leq p \leq 1$ were plotted and connected by lines. Fluctuations of population sizes are given by $K_{R0} = K_{F0} = 1000$ ($\rho = 1$), $a_U = 0.3$, $b_U = 0.1$, $a_V = 0.05$, $b_V = 0.1$. Parameters of fluctuation were chosen to yield $\Phi = 0.3, 0.5, 0.77, 1, 1.2, 1.5$, and 2: $(a_S, b_S) = (0.07, 0.23)$, $(0.1, 0.2)$, $(0.14, 0.16)$, $(0.2, 0.1)$, $(0.2, 0.04)$, $(0.15, 0.05)$, and $(0.1, 0.05)$. In the case of hard selection with $\Phi = 1$ and no genetic drift (panel C), simulation ran only upto 2,500 generations: longer simulations or larger Φ resulted in all replicates with final frequencies > 0.9.

variates that were drawn independently each generation. Therefore, population sizes and fitness were modeled to respond to the fluctuation of a common environmental determinant represented by Z_1. In this setting, $\Phi = (a_S a_U - a_S a_V)/(a_S^2 + b_S^2)$.

Simulations were first performed with soft selection. In the first set of simulations, the numbers of A_1 and A_2 individuals changed deterministically once the demographic and fitness parameters (U, V, and S) for a given generation were sampled from their joint distribution, effectively

assuming that the sizes of the field and refuge were finite and fluctuating but very large so that

genetic drift can be ignored (Fig. 1A). Various values of a_S and b_S were chosen so that Φ ranged

from 0.3 to 2, while ρ was set to 1. Starting from 0.5, the frequency of the A_2 allele at the 5,000th

generation was recorded for 1000 replicates per parameter set. As predicted, with $\Phi \leq 0.5$, the

frequency of A_2 approached 0, thus confirming negative selection, and with $\Phi \geq 1.5$ the frequency

approached 1, thus confirming positive directional selection. The distribution of the allele

frequency was approximately uniform between 0 and 1 when $\Phi = 1$.

Next, genetic drift and bidirectional mutation between two alleles were added to the above

simulations (Fig. 1B). This weakened the strengths of all modes of selection. With $\Phi = 1$, more

simulation runs ended with allele frequencies close to 0 and 1. However, the proportion of runs

ending with intermediate allele frequencies was still larger than that observed when the alleles

were set as neutral (dashed curve in Fig. 1B). Therefore, an evolutionary force to maintain

polymorphism beyond the level under the neutrality, namely balancing selection, was confirmed.

Similarly, the fate of the A_2 allele under hard selection was examined. Stochastic simulation

confirmed the approximation above predicting that A_2 is positively selected towards fixation if

$\Phi > \frac{1}{2\rho} = 0.5$, although genetic drift and mutation weakened the trend (Fig 1C,D). Positive

selection on both rare and common A_2 under the same condition means that this allele may not

remain polymorphic for long in the population. Indeed, across all values of Φ there were fewer

simulation runs ending with intermediate frequencies of A_2 compared to the simulation of neutral

alleles subject to genetic drift and bidirectional mutations only (dashed curve in Fig. 1D).

Therefore, balancing selection that was observed with soft selection did not arise with hard

selection.

Multi-locus simulation

To investigate how the eco-evolutionary dynamics shown in the single-locus model above play out

when there are many such loci in the genome, new simulation sets were performed in which a

haploid individual is modeled to have L linked loci, each carrying either the A_1 or A_2 allele

described above. The simulation tracks the numbers of individuals of different haplotypes (see

Appendix B and https://github.com/YuseobKimLab/RandomFluctuationEcoEvo for the details).

S_t is determined by drawing a common Z_1 for all loci and independent Z_4s for different loci.

Epistasis is not considered; the relative fitness of a haplotype is given by the multiplication of

allelic fitness, 1 or $1 + S_t$, across loci. Individuals randomly pair and undergo recombination by

crossing-over such that the probability of recombination between adjacent loci is c per generation.

The simulation starts with a population fixed for A_1 at all loci. Then A_2 arises by mutations with

probability μ/locus/generation.

Simulations can be run under two different parameter domains. First, the demographic and

selective parameters that lead to balancing selection in the one-locus simulation (soft selection

with $1/(2\rho) < \Phi < 1 + 1/(2\rho)$) were chosen. In limited sets of simulation (L = 4, or 10), per-

locus polymorphism above the level observed in equivalent simulations with neutral alleles (S_t =

0) was observed, thus confirming the operation of multi-locus balancing selection. This result is

described in Appendix C. However, this study does not further explore this but focuses on the

second (and wider) parameter domain, namely $\Phi > 1 + 1/(2\rho)$ with soft selection and $\Phi >$

$1/(2\rho)$ with hard selection, in which the fixation of the A_2 allele was observed in the single-locus

simulation. As expected, substitutions occurred sequentially until A_2 reached fixation at all L loci

(Fig. 2 and Fig. S1 for example). Times (in generations) when the frequency of A_2 first exceeded

0.9 at each locus were recorded and sorted into T_1 to T_L. There is a large difference in substitution

dynamics between soft and hard selection. With soft selection, the mean waiting time, $\bar{T} = (T_1 +$

$\cdots + T_L)/L$, increased moderately as L increased from 1 to 8 (Fig. 3A). This may be explained by a

reduction in the efficacy of positive selection on A_2 at a given locus when selection at other loci

results in genome-wide reduction in the effective population size.

Figure 2. Changes of mutant (A_2) allele frequencies and population size ($N_F^{(t)} + N_R^{(t)}$) in a multi-locus simulation of hard selection with L = 8, c = 0.02, $K_{R0} = K_{F0} = 1000$, μ = 2×10⁻⁵, and Φ = 1 (a_S = 0.15, b_S = 0, a_U = 0.15, a_V = 0, b_U =0, b_V = 0.1). Allele frequency trajectories of 8 loci were plotted in different colors.

249 With hard selection, however, $\bar{T}$ decreased as L increased. Furthermore, the coefficient of

250 variation, the standard deviation of T_i's divided by $\bar{T}$, was significantly smaller with hard selection

than with soft selection (Fig. 3B). As expected for hard selection, the amplitude of the population size fluctuation progressively increased as the number of loci fixed for A_2 increased (Fig. 2). These results clearly demonstrate that positive feedback between demographic and selective fluctuations led to a great amplification of population size fluctuation; an initial fluctuation in $K_F^{(t)}$ positively selected the mutant allele A_2 at one locus whose fitness fluctuates in positive correlation with $K_F^{(t)}$, leading to the fixation of A_2 and therefore a larger fluctuation of the field (given by $(1 + S_t)K_F^{(t)}$), which makes it easier for the A_2 allele at another locus to be positively selected. This process could continue to increase the fluctuation of the field until its carrying capacity is given by $(1 + S_t)^L K_F^{(t)}$, where L is the number of loci available in the genome for mutation into A_2. There was also a moderate effect of the recombination rate in accelerating the multi-locus fixation of A_2 with hard selection (Table S1). Fixation times generally increased with decreasing recombination rates for both soft and hard selection, suggesting that tighter linkage increased the effect of clonal interference.

Figure 3. Mean fixation time ($\bar{T}$) with varying number of loci (A; L = 1, 2, 4, and 8) and the coefficient of variation in fixation times with L = 8 in multi-locus simulation. Error bars show two times the standard errors. A total of 100 replicates of simulations were run under soft selection with Φ = 2 (cyan; a_S = 0.1, b_S = 0, a_U = 0.25, b_U = a_V = b_V = 0.05), hard selection with Φ = 1 (brown; a_S = 0.1, b_S = 0, a_U = 0.1, a_V = 0, b_U = b_V = 0.1), or hard selection with Φ = 0.75 (orange; a_S = 0.2, b_S = 0, a_U = 0.25, a_V = 0.1, b_U = b_V = 0.05). Other parameters are identical to those in Figure 3.

Discussion

A key assumption in this study is that a tradeoff commonly occurs for the fitness effects of a mutant allele between different time points in the environmental fluctuation. Namely, a mutant phenotype that increases the reproductive output relative to others when the environment is

generally favorable is likely to decrease it relative to others during an unfavorable period. Such a

mutant allele increases the variance of the offspring number over time while the mean may

remain unchanged. For example, as postulated by Slatkin (1974), a mutation that makes a female

bird lay 10 eggs in one batch instead of spreading them over time in a randomly and rapidly

fluctuating environment will increase the variance of her fitness while the mean remains the same.

Modeling the fluctuating fitness of a mutant by $1 + S_t$ reflects such a tradeoff. Classical theory

predicts that such a mutant (termed an arithmetic-mean-preserving or AMF allele in Kim (2023))

is eliminated from a population as its geometric mean fitness is less than 1. However, it was

demonstrated in this study that the AMF mutant is positively selected if a correlation between

demographic and fitness fluctuations exist in the presence of refuge. Analysis given in Appendix

A shows that the arithmetic mean fitness of an AMF allele is effectively increased above 1 due to

correlated demographic fluctuation in the field. However, without a refuge, its geometric mean

cannot exceed 1. Namely, as pointed out in Kim (2023), by dampening the fluctuation of the AMF

allele's effective fitness in the total population the refuge turns the heightened arithmetic mean

into the geometric mean greater than 1.

One may also model the fitness of a mutant by $\exp[S_t]$, making the mutant (termed a geometric-

mean-preserving or GMF allele) quasi-neutral as its geometric mean fitness is identical to that of

the wild-type allele even without demographic fluctuation. Analyzing the model of cyclic

environmental oscillation, Kim (2023) showed that the parameter range of positive selection for

a GMF allele is wider than that for an AMF allele. Therefore, the major results obtained in this

study, both balancing and positive directional selection on a fitness-amplifying allele, will be

easier to achieve with GMF mutations. However, a GMF allele may be considered simply a

beneficial allele as the arithmetic mean of offspring number is greater than the wild-type allele.

Such a mutation is probably rare and was not considered in this study.

The refuge in the current model may correspond to various demographic elements. It directly

models a patch in a spatially structured population that is protected from fluctuating selection.

However, given that many studies modeling the storage effects of a certain life cycle stage yield

equations similar to eq. (1), namely in the form $p'/p = (1 - r)f + r$ where p is the frequency of

the rare-type, r is the proportion of the refuge (adult stage when selection acts on the juveniles,

seed bank, or diapausing eggs), and f is the relative fitness of the type outside the refuge (Chesson

and Warner 1981; Ellner and Hairston 1994; Turelli, et al. 2001; Gremer and Venable 2014), this

study should apply to such species if $K_R^{(t)}$ is given an appropriate interpretation. A major result

in this study is that an AMF allele is positively selected if correlation of its fitness fluctuation with

the size of the field is larger than that with the size of the refuge. This requirement is important

for sex-limited gene expression. Previous studies suggested that if a gene is expressed in one sex

the other sex could be a refuge in a dioecious species (Reinhold 2000; Kim 2023). However, as

equal numbers of male and female gametes are used in reproduction, the population sizes of

reproductive males and females are effectively equal while the sex ratio of the adults may fluctuate.

Therefore, Φ is zero as the size of the field relative to the refuge does not fluctuate at all. Hence,

positive selection on the fitness-amplifying mutation shown in this study should not happen for

sex-limited genes, unless GMF mutants are considered.

While the eco-evolutionary model of this study can result in both balancing and directional

selection on size-fluctuation-amplifying alleles, this study focused on the latter. The condition for

the fixation of those alleles appears less restrictive compared to that for balanced polymorphism,

because it requires relatively weaker selection (smaller variance in relative fitness) for a given

demographic fluctuation so that $\Phi > 1 + 1/(2\rho)$ with soft selection and $\Phi > 1/(2\rho)$ with hard

selection. Demographic fluctuation that drives this evolutionary process should apply equally to

all loci in the genome. Therefore, fixations occur at all available loci on which proper mutations,

i.e. the A_2 allele, arise, as observed in the multi-locus simulation. With soft selection, positive

selection at each locus is contributed by the same degree of demographic fluctuation regardless

of the evolutionary changes at other loci. However, with hard selection, fluctuation in the size of

the field affecting a given locus intensifies as more fluctuation-amplifying mutations reach fixation

at other loci. Since the carrying capacity of the field is effectively $(1 + S_t)^l K_F^{(t)}$, where l is the

number of loci with A_2 fixed, and wider fluctuation generates stronger positive selection on the

rare A_2 (eq. 2), fixation at one locus makes the subsequent fixation at other loci easier. This

positive feedback between demographic fluctuation and positive selection drastically increases

the amplitude of fluctuation in the total population size (Fig. 2). How much the amplitude will

increase should depend on the number of loci at which mutations subject to hard selection arise.

There should be multiple independent ways in which changes in mutants' relative fitness can be

translated into changes in the mean absolute fitness of the entire population. For various life

history traits, one may imagine phenotypes (smaller eggs, smaller adult body, earlier onset of first

reproduction, etc.) that increase the absolute number of surviving offspring during a favorable,

resource-rich period but decrease the number in a bad period while not affecting the amount of

resources that the carriers of the alternative, ancestral alleles acquire. Therefore, many loci may

be available for hard selection, the combined effect of which may lead to a very large fluctuation

in population size.

The size of a population and its fluctuation should be governed not only by extrinsic factors (the

environmental variables such as temperature and parasite prevalence) but also by intrinsic

factors (species-specific responses to those extrinsic factors). The intrinsic factors such as various
life-history traits are the product of the species' evolutionary history. For example, phenotypic
plasticity responding to environmental cues such as animal behaviors limiting the reproductive
output when population density increases (Wolff 1997) were proposed as the mechanisms of
population regulation (Caswell 1983; Reed, et al. 2010). Such traits will reduce the amplitude of
the population size fluctuation, which according to the classical theory can be adaptive as it
enhances long-term reproductive success by increasing the geometric mean fitness (Gillespie
1977; Pfister 1998). If life history traits evolved in the direction toward suppressing random or
cyclic fluctuations in the population size, fluctuations observed in nature should be interpreted
as the effect of variable environments that the evolved mechanisms of population regulation were
unable to contain. However, this study suggests that evolution can proceed in the opposite
direction. A large random fluctuation in population size can be the product of adaptive evolution
driven by positive feedback between demographic and selective fluctuations. When the degrees
of population size fluctuation are different across species, the eco-evolutionary dynamics
proposed in this study might be particularly important for those exhibiting large fluctuations.
Interestingly, annual desert plants experiencing wider fitness fluctuations after germination have
greater proportions of their population remaining in the seed bank (Gremer and Venable 2014).
This observation is compatible with this study because a larger seed bank corresponds to a larger
value of ρ, which makes it easier for the fixation of the fluctuation-amplifying alleles (i.e., lowering
the threshold of Φ for positive selection).

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Conflict of interest statement

363   The author declares no conflict of interest

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Appendix A. Derivation of conditions for balancing and directional selection on A_2

Here only deterministic changes in allele frequencies are tracked as a very large population is

assumed. At the end of reproduction but before migration into subpopulations at generation t - 1,

there are n_1 and n_2 copies (individuals) of A_1 and A_2 in the entire population. After migration, the

numbers of A_1 and A_2 copies are $n_{1F} = (1 - r)n_1$ and $n_{2F} = (1 - r)n_2$ in the field and $n_{1R} =$

rn_1 and $n_{2R} = rn_2$ in the refuge. Therefore, the relative frequencies of alleles are identical

between the field and refuge before reproduction at the beginning of generation t.

Soft selection is first considered. Then, each A_2 allele produces $(1 + S_t)K_F^{(t)}/\ddot{N}_F^{(t)}$ copies of

descendants, where $\ddot{N}_F^{(t)} = n_{1F} + (1 + S_t)n_{2F} = (1 - r)(n_1 + (1 + S_t)n_2)$. Since n_1 » n_2 after A_2

appears by mutation, $n_1 + (1 + S_t)n_2 \approx n_1$ and $\ddot{N}_F^{(t)} \approx \dot{N}_F^{(t)} = (1 - r)(n_1 + n_2) = (1 -$

$r)\left(K_F^{(t-1)} + K_R^{(t-1)}\right)$. Similar approximations apply to the refuge. Let n'_2 be the expected number

of A_2 in generation t. This leads to eq. (1). Then, with $K_F^{(t-1)} = K_{F0}e^U$, $K_R^{(t-1)} = K_{R0}e^V$, $K_F^{(t)} =$

$K_{F0}e^{U'}$, and $K_R^{(t)} = K_{R0}e^{V'}$,

437
$$\Delta_2 \equiv \log\left[\frac{n_2'}{n_2}\right] = \log\left[e^{U'}(1+S) + \rho e^{V'}\right] - \log\left[e^U + \rho e^V\right],$$

438   where $S = S_t$ and $\rho = K_{R0}/K_{F0}$. If $\mathrm{E}[\Delta_2] > 0$, where the expectation is over demographic and

439   fitness fluctuations, the rare allele A_2 is expected to increase its copy number over time. Applying

440   the Taylor expansion up to the second order,

441
$$\Delta_2 \approx \frac{1}{1+\rho}(S + U' - U) - \frac{\rho}{1+\rho}(V' - V) - \frac{1}{2(1+\rho)^2}S^2 + \frac{\rho}{2(1+\rho)^2}\left(U'^2 + V'^2 - U^2 - V^2\right)$$

442
$$+ \frac{\rho}{(1+\rho)^2}(SU' - SV' - U'V' + UV)$$

443   Since all random variables in the above equation have the mean at zero and (U,V) and (U',V')

444   are from the same joint probability distribution,

445
$$\mathrm{E}[\Delta_2] \approx -\frac{1}{2(1+\rho)^2}\mathrm{Var}[S] + \frac{\rho}{(1+\rho)^2}(\mathrm{Cov}[S,U] - \mathrm{Cov}[S,V]) = \frac{\mathrm{Var}[S]}{(1+\rho)^2}\left(\rho\Phi - \frac{1}{2}\right),$$

446   where

447
$$\Phi = \frac{\mathrm{Cov}[S,U] - \mathrm{Cov}[S,V]}{\mathrm{Var}[S]} = \frac{\mathrm{Cov}[S,\mathbf{bg}\ [K_F/K_R]]}{\mathrm{Var}[S]}.$$

448   Then, the condition for the A_2 allele being positively selected (i.e. invading the population initially

449   fixed for A_1), is $\mathrm{E}[\Delta_2] > 0$ or $\Phi > \frac{1}{2\rho}$.

450   A similar result can be obtained for the condition for the A_1 allele to invade a population initially

451   fixed for A_2. From

452
$$\frac{n_1'}{n_1} \approx \frac{K_F^{(t)}}{K_F^{(t-1)} + K_R^{(t-1)}}\frac{1}{1+S_t} + \frac{K_R^{(t)}}{K_F^{(t-1)} + K_R^{(t-1)}} = \frac{e^{U'} + (1+S_t)\rho e^{V'}}{(1+S_t)(e^U + \rho e^V)}$$

453   for $n_1 \ll n_2$, the dynamics of the rare A_1 allele depends on the expectation of

454
$$\Delta_{1,\mathrm{sof}} \equiv \log\left[\frac{n_1'}{n_1}\right] = \log\left[e^{U'} + (1+S)\rho e^{V'}\right] - \log\left[(1+S)(e^U + \rho e^V)\right].$$

455   Then, using quadratic approximation

456
$$\Delta_{1,\mathrm{sof}} \approx \frac{1}{1+\rho}(-S + U' - U) + \frac{\rho}{1+\rho}(V' - V) + \frac{1+2\rho}{2(1+\rho)^2}S^2$$

457
$$+ \frac{\rho}{2(1+\rho)^2}\left(U'^2 + V'^2 - U^2 - V^2\right) + \frac{\rho}{(1+\rho)^2}(-SU' + SV' - U'V' + UV)$$

458   one obtains

$$\mathrm{E}\big[\Delta_{1,\text{soft}}\big] \approx \frac{1+2\rho}{2(1+\rho)^2}\mathrm{Var}[S] - \frac{\rho}{(1+\rho)^2}\big(\mathrm{Cov}[S,U] - \mathrm{Cov}[S,V]\big) = \frac{1+2\rho}{2(1+\rho)^2}\mathrm{Var}[S]\left(1 - \frac{2\rho}{2\rho+1}\Phi\right).$$

460   The condition for the rare A_1 allele to increase in frequency is $\mathrm{E}\big[\Delta_{1,\text{soft}}\big] > 0$ or

$$\Phi < 1 + \frac{1}{2\rho}.$$

462   With hard selection, the frequency change of A_2 when rare is again given by eq. (S1). Therefore,

463   the condition for A_2 invading the population fixed for A_1 ($\mathrm{E}[\Delta_2] > 0$ above) is no different from

464   that for soft selection. However, because the carrying capacity of the field fixed for A_2 at generation

465   t is given by $(1 + S_t)K_F^{(t)}$ under hard selection, the opposite condition for A_1 invading A_2 is given

466   by

$$\frac{n_1'}{n_1} = (1-r)\frac{(1+S_t)K_F^{(t)}}{\dot{N}_F^{(t)}}\frac{1}{1+S_t} + r\frac{K_R^{(t)}}{\dot{N}_R^{(t)}} = \frac{K_F^{(t)} + K_R^{(t)}}{(1+S_{t-1})K_F^{(t-1)} + K_R^{(t-1)}}$$

    (S2).

468   Then,

$$\Delta_{1,\text{hard}} \equiv \log\left[\frac{n_1'}{n_1}\right] = \log\big[\mathrm{e}^{U'} + \rho\mathrm{e}^{V'}\big] - \log[(1+S)\mathrm{e}^U + \rho\mathrm{e}^V]$$

470   and, again, the quadratic approximation is obtained as

$$\Delta_{1,\text{hard}} \approx \frac{1}{1+\rho}(-S + U' + \rho V' - U - \rho V) + \frac{1}{2(1+\rho)^2}\big(S^2 + \rho U'^2 + \rho V'^2 - \rho U^2 - \rho V^2\big)$$

$$+ \frac{\rho}{(1+\rho)^2}(-SU' + SV' - U'V' + UV)$$

473   Finally,

$$\mathrm{E}\big[\Delta_{1,\text{hard}}\big] \approx \frac{1}{2(1+\rho)^2}\mathrm{Var}[S] - \frac{\rho}{(1+\rho)^2}\big(\mathrm{Cov}[S,U] - \mathrm{Cov}[S,V]\big) = \frac{1}{2(1+\rho)^2}\mathrm{Var}[S](1 - 2\rho\Phi).$$

The condition for the fixation of A_2 (the failure of A_1 to invade) is $\mathrm{E}\big[\Delta_{1,\text{hard}}\big] < 0$ or $\Phi > \frac{1}{2\rho}$.

Now, a heuristic argument can be given for positive selection (both soft and hard) on A_2, which is

expected to be eliminated from a population whose size does not fluctuate. Positive selection

occurs because the mean number of offspring per parent, i.e. absolute fitness, of the mutant in the

field is given by $W_{2f} = \frac{K_F^{(t)}}{\dot{N}_F^{(t)}}(1 + S_t)$ with fluctuation in population size (eq. 1). With positive

correlation between $K_F^{(t)}$ and S_t, the fluctuation of W_{2f} becomes greater than that of $1 + S_t$

and, more importantly, its arithmetic mean can now exceed 1. However, the geometric mean of

W_{2f} is still not greater than 1 due to its fluctuation over time. The presence of a refuge then
reduces the variance of mutant's fitness averaged across the whole population such that the
geometric mean of this average fitness becomes greater than 1. In summary, the refuge turns the
heightened arithmetic mean raised by the demographic fluctuation of the field into an elevation
of the geometric mean in the total population (see also Kim (2023))

Appendix B. Multi-locus simulation

Multi-locus simulation of the eco-evolutionary model was implemented in Mathematica ver. 11.3
(notebook files available at https://github.com/YuseobKimLab/RandomFluctuationEcoEvo). An
individual has L loci that are arranged linearly with probability c for recombination between
adjacent loci per generation. Simulation keeps track of two sets of lists: one containing the sets of
haplotypes carried by non-zero individuals in the field and in the refuge (H_F and H_R) and the other
containing the corresponding numbers of individiuals by haplotypes (N_F and N_R). Generation t
begins after the migration step (re-distribution of individuals produced in the previous
generation into the field and refuge) is completed. Haplotype numbers are first updated by
mutation and recombination. A Poisson variate with mean $NL\mu$, where N is the current size of a
subpopulation at hand, is drawn as the total number of mutation events and each event is assigned
to haplotypes and loci proportional to their current frequencies. Similarly, for $L > 1$, a Poisson
variate with mean $N(L\text{-}1)c$ is drawn as the total number of recombination events in the
subpopulation. For each recombination event, two haplotypes are chosen proportional to their
frequencies and one of the $L\text{-}1$ intervals is chosen to be the position of crossing-over between the
two haplotypes. Newly created or lost haplotypes during mutation and recombination steps are
tracked by updating (H_F, H_R) and (N_F, N_R). Then, the updated lists are further updated in the step
of reproduction according to their fitness. The relative fitness of haplotype i is given by $W_i =$
$\prod_{l=1}^{L}(1 + S_{t,l})$, where $S_{t,l}$ is 0 if the lth locus of the haplotype carries A_1 and $a_S Z_1 + b_S Z_4$ if A_2.
Z_1 is drawn once for all loci while Z_4 is drawn separately for different loci. Under soft selection, the
number of individuals with haplotype i born in the next generation is Poisson distributed with
mean $W_i \dot{N}_{F,i}^{(t)} K_F^{(t)} / \sum_j W_j \dot{N}_{F,j}^{(t)}$ in the field and $\dot{N}_{R,i}^{(t)} K_R^{(t)} / \sum_j \dot{N}_{R,j}^{(t)}$ in the refuge. Under hard
selection, the corresponding mean number in the field is $W_i \dot{N}_{F,i}^{(t)} K_F^{(t)} / \sum_j \dot{N}_{F,j}^{(t)}$. Finally, when there
are N_F and N_R individuals in the field and refuge, Poisson variates with means rN_F and $(1\text{-}r)N_R$ are
drawn to determine the numbers of migrants from field to refuge and from refuge to field,
respectively. Migrants are chosen proportional to the haplotype frequencies and then merged
with the non-migrants of the destination. This completes a single-generation iteration in updating

haplotype lists.

Appendix C. Multi-locus simulation for balanced polymorphism

Considering that (1) the negative frequency-dependent selection on a variant with fitness

fluctuation was caused primarily by correlated fluctuation in the carrying capacity (population

size) of the field and that (2) this demographic fluctuation should be "felt" at all loci in the genome,

one may expect that such balancing selection can occur simultaneously at many loci harboring

such variants. To verify if this is true, simulations were run under soft selection and

demographic/selective parameters that promoted polymorphism in the single-locus model (Φ =

1 for ρ = 1) with L = 1, 4, or 10. Exemplary trajectories of allele frequencies are shown in Fig. S2.

Each run lasted at least 10^5 generations, over which the mean of expected heterozygosity ($H =$

$2p(1 - p)$) and the proportions of time A_2 spent in frequency between 0.1 and 0.5, P_{15}, and

between 0.5 and 0.9, P_{59}, were recorded per locus (Table S2). In all cases with fluctuating fitness

($a_S > 0$), polymorphism per locus was above the level observed in equivalent simulations with

neutral alleles (S_t = 0), thus confirming multi-locus balancing selection. For cases of stronger

selection (larger $\text{Var}[S_t]$), the level of polymorphism per locus declined as L increased from 1 to

10. The combined effects of the number of loci and the rate of recombination were not easy to

interpret as they depended on the parameters of selection (for sets of fitness/demographic

parameters that yield the same Φ = 1, H responded differently with varying L and c). One possible

explanation for generally lower variation with 10 loci instead of 1 or 4 is that the simultaneous

segregation of alleles at many loci increased the variance of the offspring numbers, thus

decreasing the effective population size and weakening the negative frequency-dependent

selection that is needed for protected polymorphism. Further exploration of multi-locus

polymorphism at a larger scale (in both number of loci and population size) may not be feasible

with the current simulation approach based on haplotype frequencies.

Table S1. Mean time to the fixation ($q > 0.9$) of A_2 in the multi-locus simulation

mode	L	c	$\bar{T}$	c. v.*
	1	-	5863	-
soft selection, $\Phi = 2$	5	10^{-4}	9287	0.66 ± 0.21
($a_S = 0.1$, $b_S = 0$, $a_U = 0.25$,	5	10^{-3}	8212	0.67 ± 0.20
$a_V = 0.05$, $b_U = b_V = 0.05$)	5	0.01	8621	0.70 ± 0.26
	5	0.1	8162	0.65 ± 0.26
	1	-	6242	-
hard selection, $\Phi = 0.75$	5	10^{-4}	3776	0.34 ± 0.17
($a_S = 0.2$, $b_S = 0$, $a_U = 0.25$,	5	10^{-3}	3228	0.32 ± 0.16
$a_V = 0.1$, $b_U = b_V = 0.05$)	5	0.01	3030	0.31 ± 0.20
	5	0.1	3459	0.28 ± 0.19
	1	-	5177	-
hard selection, $\Phi = 1$	5	10^{-4}	4648	0.35 ± 0.15
($a_S = 0.15$, $b_S = 0$, $a_U = 0.15$,	5	10^{-3}	4018	0.35 ± 0.17
$b_U = 0.05$, $a_V = 0$, $b_V = 0.1$)	5	0.01	3969	0.32 ± 0.20
	5	0.1	3467	0.37 ± 0.21

544   *Coefficient of variation for 5 consecutive times (T_1, ..., T_5) observed in a single simulation run,
545   averaged over replicates ($\pm$ standard deviation).

546   All results are based on 100 replicates for each parameter set

549   Table S2. The long-term level of polymorphism in the multi-locus simulation

mode	L	c	H	P_{15}	P_{59}
neutral ($a_S = b_S = 0$, $a_U = 0.2$, $a_V = b_U = b_V = 0.1$)	1	-	0.0639	0.069	0.074
soft selection, $\Phi = 1$ ($a_S = 0.1$, $b_S = 0$, $a_U = 0.2$, $a_V = b_U = b_V = 0.1$)	1	-	0.132	0.15	0.16
	4	10^{-4}	0.0960	0.099	0.12
	4	10^{-3}	0.103	0.12	0.12
	4	0.01	0.0977	0.11	0.12
	4	0.1	0.136	0.11	0.14
	10	10^{-4}	0.080	0.087	0.095
	10	10^{-3}	0.102	0.12	0.12
	10	0.01	0.109	0.15	0.10
	10	0.1	0.107	0.13	0.12
neutral ($a_S = b_S = 0$, $a_U = 0.3$, $a_V = 0.05$, $b_U = b_V = 0.1$)	1	-	0.0619	0.056	0.079
soft selection, $\Phi = 1$ ($a_S = 0.2$, $b_S = 0.1$, $a_U = 0.3$, $a_V = 0.05$, $b_U = b_V = 0.1$)	1	-	0.177	0.24	0.18
	4	10^{-4}	0.162	0.19	0.19
	4	10^{-3}	0.130	0.21	0.11
	4	0.01	0.168	0.22	0.18
	4	0.1	0.183	0.25	0.19
	10	10^{-4}	0.120	0.14	0.14
	10	10^{-3}	0.141	0.17	0.17
	10	0.01	0.0934	0.076	0.14
	10	0.1	0.105	0.093	0.15
neutral ($a_S = b_S = 0$, $a_U = 0.05$, $a_V = b_U = b_V = 0$)	1	-	0.0660	0.085	0.063
soft selection, $\Phi = 1$ ($a_S = 0.05$, $b_S = 0$, $a_U = 0.05$, $a_V = b_U = b_V = 0$)	1	-	0.0816	0.11	0.081
	10	10^{-4}	0.0728	0.085	0.079
	10	10^{-3}	0.0982	0.12	0.11
	10	0.01	0.0953	0.12	0.096
	10	0.1	0.0952	0.13	0.092

- Simulations were run for 5×10^5, 2×10^5, and 10^5 generations with L = 1, 4, and 10, respectively.

- Other parameters: $K_{R0} = K_{F0} = 1,000$ ($\rho=1$), $\mu = 2\times10^{-5}$.

Figure S1

Figure S1 (continued)

Figure S1 legend: Examples of simulation runs in which the mutant allele (A_2) reaches fixation

sequentially at all 8 loci used. The change of population size is shown below that of allele

frequencies, plotted in different colors for different loci. Parameter values are shown on the

right side of graphs. Other parameters: $K_{R0} = K_{F0} = 1000$, $\mu = 2 \times 10^{-5}$.

Figure S2 legend: Exemplary trajectories of allele (A_2) frequencies in simulations. Allele

frequencies are plotted in different colors for different loci. The number of loci (L) and

recombination rate (c) are shown on the right side of graphs. Other parameters: $K_{R0} = K_{F0} =$

1000, $\mu = 2 \times 10^{-5}$, $\Phi = 1$ ($a_S = 0.2$ [0 for neutral], $b_S = 0.1$, $a_U = 0.3$, $b_U = 0.1$, $a_V = 0.05$, $b_V = 0.1$).