Title: Rapid and Stimulus-Specific Deviance Detection in the Human

Inferior Colliculus

Abbreviated title: Subcortical Auditory Deviance Detection in Humans

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<u>Conflict of Interest</u>

The authors declare no competing interests.

Abstract

Auditory deviance detection, the neural process by which unexpected stimuli are identified within repetitive acoustic environments, is crucial for survival. While this phenomenon has been extensively studied in the cortex, recent evidence indicates that it also occurs in subcortical regions, including the inferior colliculus (IC). However, compared to animal studies, research on subcortical deviance detection in humans is often constrained by methodological limitations, leaving several important questions unanswered. This study aims to overcome some of these limitations by employing auditory brainstem responses (ABRs) to investigate the earliest neural correlates of deviance detection in humans, with a focus on the IC. We presented healthy participants of either sex with low- and high-frequency chirps in an oddball paradigm and observed significant deviance detection effects in the ABR, specifically when low-frequency chirps were used as deviants within a context of high-frequency standards. These effects manifested as larger and faster ABRs to deviant stimuli, with the strongest responses occurring at higher stimulation rates. Our findings suggest that the human IC exhibits rapid, stimulus-specific deviance detection with differential modulation of response amplitude and latency. The data indicate that the temporal dynamics of novelty detection in humans align well with the data reported in animals, helping to bridge the gap between animal and human research. By uncovering previously unknown characteristics of subcortical deviance detection in humans, this study highlights the value of ABR recordings with excellent temporal resolution in investigating subcortical deviance detection processes.

Significance statement

Auditory deviance detection enables the brain to identify unexpected stimuli in a repetitive environment, but its subcortical mechanisms in humans remain comparatively underexplored. Using auditory brainstem responses (ABRs), our study reveals two key findings about deviance detection in the human inferior colliculus (IC). First, we show subcortical deviance detection at latencies under 10 ms, bridging a longstanding gap between human and animal research. Second, deviance detection in the IC is rapid, emerging within three or fewer standard repetitions, with differential modulation of ABR amplitude and latency. These findings improve our understanding of the temporal dynamics of auditory processing in the human IC and highlight the value of ABR recordings in studying subcortical deviance detection mechanisms.

1. Introduction

The ability of the brain to detect unexpected acoustic stimuli within a repetitive environment, known as auditory deviance detection, has been extensively studied. This phenomenon has been characterised in detail through animal and human research (Näätänen et al., 2007; May and Tiitinen, 2010; Escera and Malmierca, 2014; Carbajal and Malmierca, 2018). Initially thought to be limited to the cortex (Näätänen et al., 1978; Ulanovsky et al., 2003, 2004), studies in rodents revealed that deviance detection is widespread throughout the brain, including several subcortical regions (Kraus et al., 1994a, 1994b; Pérez-González et al., 2005; Malmierca et al., 2009; Antunes et al., 2010; Zhao et al., 2011). Subsequent research demonstrated that correlates of deviance detection are also present in the subcortical auditory system of humans (Chandrasekaran et al., 2009; Skoe and Kraus, 2010; Slabu et al., 2012; Cacciaglia et al., 2015), suggesting a widespread novelty detection mechanism in the mammalian brain (Escera et al., 2014; Parras et al., 2017).

Despite these advances, evidence for subcortical deviance detection in humans is confined to studies using the frequency-following response (FFR) or functional magnetic resonance imaging (fMRI), both of which have poor temporal resolution. This limitation has hindered a detailed understanding of the timescales at which deviance detection operates in the human brainstem. By contrast, animal studies have shown that subcortical stimulus-specific adaptation (SSA), a single-neuron correlate of deviance detection, emerges rapidly within fewer than 10 standard repetitions and that deviant stimuli elicit more frequent and earlier spiking activity compared to standards (Malmierca et al., 2009; Zhao et al., 2011).

In human research, a promising non-invasive technique for overcoming temporal limitations is the recording of auditory brainstem responses (ABRs). ABRs, population-level responses from the brainstem, offer excellent temporal resolution and have been successfully used to study subcortical deviance detection in animals (Duque et al., 2018; Wetekam et al., 2022,

2024). However, attempts to demonstrate deviance detection in human ABRs have so far been

unsuccessful (Slabu et al., 2010; Althen et al., 2011), possibly because previous studies

examined multiple components of the auditory evoked potential simultaneously, preventing

the optimisation of parameters for ABRs.

The current study addresses this issue by optimising stimulation parameters based on insights

from previous work. The primary goal is to quantify the dynamics of human subcortical

deviance detection, with a particular focus on the timescales at which it operates in the

auditory brainstem. ABRs were recorded using low- and high-frequency chirp stimuli (Fig.

1a), designed to maximise ABR amplitude (as introduced by Fobel and Dau, 2004), while

maintaining distinct frequency differences between deviant and standard stimuli in an oddball

paradigm (Fig. 1b). Animal studies have shown that the effect size of deviance detection

increases with higher stimulus repetition rates (Zhao et al., 2011; Patel et al., 2012; Wetekam

et al., 2022). To incorporate this finding, ABRs in this study were recorded at three repetition

rates, all significantly faster than those used in previous research on ABR deviance detection

in humans. The high temporal resolution of ABRs allowed for the analysis of changes in

response amplitude and peak latency, as well as the investigation of how subcortical deviance

detection modulates sequences of standard responses following a deviant. This analysis

provides insights into the number of stimulus repetitions required for deviance detection to

emerge in the brainstem.

Based on findings from animal research, we hypothesised the following:

1. Optimising stimulation parameters will enable the measurement of deviance detection

in human ABRs.

2. Deviant stimuli will elicit ABRs that are larger and earlier than those to standard

stimuli.

3. The modulatory influence of deviance detection will depend on the stimulus repetition

rate, with stronger effects at faster rates.

4. Deviance detection will emerge rapidly, within just a few standard repetitions.

The results of this study confirmed all four hypotheses, offering new insights into the

mechanisms underlying human subcortical deviance detection. The findings also help bridge

the gap between human and animal research in this domain.

Figure 1: Used stimuli and stimulation protocol. (a) Spectrograms (top) and oscillograms (bottom) of a low-frequency chirp
(orange frame) and a high-frequency chirp (green frame) that were used as stimuli in this study. (b) Schematic
representation of the oddball paradigm; blue: high-probability standard, red: low-probability deviant.

2. Material and Methods

Participants

ABRs of 27 participants (8 males, 19 females), aged between 20 and 40 years (mean age = 25.5 years) and with normal hearing, were recorded for the current study. Informed consent was obtained from each participant prior to recording, and all subjects received monetary compensation for their participation. The study was approved by the Goethe University Frankfurt Ethics Committee (reference number: E 27/23) and was conducted in accordance with the WMA Declaration of Helsinki. Before the recording began, a two-alternative forced choice test was used to determine each participant's individual hearing thresholds for the stimuli used during the ABR recording. Each recording session lasted between 3 and 3.5 hours. Due to limitations of total measurement duration, the data presented in this study was collected in two separate recording sessions. While some participants attended both sessions, others participated in only one. Consequently, the total number of participants exceeds the number of datasets available for analysis.

Stimulation and recording procedure

Two artificially generated chirps, differing in their frequency composition, were used as stimuli (Fig. 1a). These chirps were designed to maximise ABR amplitudes by compensating for the cochlear traveling wave delay, as described by Fobel and Dau (2004), Elberling et al. (2007) and Elberling and Don (2008). The chirp design was based on the latency-frequency function derived from narrowband ABR data by Don et al. (2005). These chirp stimuli effectively evoked a prominent IC-generated wave V (Buchwald and Huang, 1975; Henry, 1979; Caird and Klinke, 1987; Land et al., 2016), thereby maximising the overall ABR amplitude. However, the earlier ABR components (waves I-IV) were typically less pronounced compared to those evoked by click stimuli. The stimuli were created with a logarithmic frequency distribution: the low-frequency chirp ranged from 500 Hz to 2 kHz,

and the high-frequency chirp ranged from 2 kHz to 8 kHz. Importantly, although both chirps

had different durations (low-frequency chirp: 9 ms, high-frequency chirp: 4 ms), their design

ensured a simultaneous activation of the entire basilar membrane, occurring temporally close

to the peak of each stimulus. This characteristic, combined with the fact that ABRs reflect

onset responses and comparisons were made exclusively between responses to physically

identical stimuli, makes it highly unlikely that the difference in stimulus duration has

influenced the results of this study. The signals were digitally generated using custom-written

Matlab (MathWorks) scripts, converted from digital to analogue by a 192 kHz Fireface UC

soundcard (RME Audio), and delivered to the participant's right ear via an ER-3C earphone

(10 Ω, Etymotic Research).

During the ABR recording, stimuli were presented to the participants at 40 dB HL, in two

pseudo-randomly arranged oddball sequences. In the first sequence, the high-frequency chirp

was presented as high-probability standard (90 %, 9000 repetitions), while the low-frequency

chirp was presented as low-probability deviant (10 %, 1000 repetitions). The sequence was

then repeated with the roles of the deviant and standard stimuli swapped (Fig. 1b), resulting in

two distinct responses for each stimulus: one when perceived as the standard and one as the

deviant. The recordings were conducted at three different stimulus presentation rates: 40 Hz,

33 Hz and 20 Hz. Due to constraints on total measurement duration, each recording session

included either the two faster rates (40 Hz and 33 Hz) or the slower rate (20 Hz). In sessions

involving the two faster rates, the order of their presentation was randomised across

participants.

ABRs were recorded using two Ag-AgCl electrodes placed on the participant's skin: one at

the vertex (Cz, active) and the other on the ipsilateral earlobe (reference). A third electrode on

the forehead served as the ground. Impedance was reduced to less than 10 kΩ, and data was

collected at a sampling rate of 10 kHz. The recordings were conducted in an electrically and

sound-insulated booth, where participants sat comfortably in a reclining chair. They were

instructed to relax, keep their eyes closed to prevent eye-blink artefacts, and minimise

movement during the recording.

Data processing and statistical evaluation

All data analysis and statistical evaluation were conducted using Matlab. The recorded data

was bandpass filtered using a 70-1500 Hz Butterworth filter (4th order). This relatively

narrow filter was specifically chosen to effectively remove slow-frequency middle-latency

response (MLR) components from the signal, which could otherwise overlap with the ABR of

the subsequent stimulus. Such overlap would have confounded the analysis, as differences

between deviant and standard ABR amplitudes may then not truly reflect sensitivity to

stimulus probability but could instead arise from differences between the preceding low- and

high-frequency MLR components. For baseline correction, the mean response amplitude of

each trial was calculated within a 5 ms time window immediately before ABR onset. Since

the chirp stimuli used in this study were designed to activate all regions of the basilar

membrane simultaneously, lower frequencies were presented before higher frequencies in

each chirp, compensating for the basilar membrane's travelling wave delay (Fobel and Dau,

2004; Elberling et al., 2007). As a result, the chirp onset does not coincide with the onset of

basilar membrane stimulation, as it would for pure tone stimuli. Therefore, rather than using

the stimulus onset as the end of the baseline correction window, it was aligned with the time

point when the maximum stimulus amplitude reached the participant's ear, corresponding to

the actual onset of basilar membrane stimulation. The mean amplitude value within this

window was then subtracted from the entire trial, resulting in a mean pre-ABR activity of 0

μV.

The first part of analysis in this study aimed to determine whether the recorded ABRs were

generally sensitive to the stimulus' probability of occurrence. To achieve this, the averaging

process included only standard trials immediately followed by a deviant trial, and only

deviant trials immediately preceded by a standard. This approach ensured that the same

number of deviant and standard trials were used to calculate the average response for each

participant (ranging between 851 and 914 trials) in order to maintain equal variance across

conditions. Moreover, by focusing on standard responses at the end of a sequence (just before

a deviant), this analysis maximised the potential to detect differences between deviant and

standard responses. All trials were corrected for the sound travel delay (0.76 ms) caused by

the distance between the speaker and the ear (26 cm). In each time-voltage graph, $t = 0$

represents the time point when the maximal stimulus amplitude reached the ear.

To identify differences between deviant and standard ABRs, a cluster-based permutation

analysis was performed (in accordance to Candia-Rivera and Valenza, 2022). First, a

Wilcoxon rank-sum test was used to compare the deviant and standard ABRs at each time

point. If more than five consecutive time points had p-values below 0.01, these points were

grouped into a cluster. Next, the z-values for each time point within a cluster were summed to

obtain the cluster weight. To determine whether the observed clusters represented true effects

of deviance detection or were simply due to random variations in the data, a permutation

distribution was generated. Deviant and standard trials were randomly shuffled, and the

average ABR waveforms were recalculated, producing two new shuffled responses for each

subject. Clusters and their weights were then recomputed. This permutation procedure was

repeated 1000 times, creating a distribution of cluster weights under the null hypothesis that

no real difference exists between deviant and standard responses. The observed cluster

weights were then compared to this permutation distribution. A cluster was considered

statistically significant if its weight exceeded 95 % of the values in the permutation

distribution, corresponding to a p-value of 0.05. The p-values of all observed clusters were

corrected for multiple comparisons using the Benjamini-Hochberg false discovery rate (FDR)

method (Benjamini and Hochberg, 1995).

Given that clusters of significant differences between deviant and standard ABRs were only

found for the low-frequency chirp, all subsequent analyses focused solely on responses to the

low-frequency chirp. To determine whether the significant differences between the

waveforms of deviant and standard ABRs were due to variations in ABR peak-to-peak

amplitude and peak V latency, additional statistical tests were conducted. These parameters

were calculated within predefined analysis windows. The peak-to-peak amplitude was

measured within a window comprising all significant clusters, as identified by the cluster-

based permutation analysis (2.7-9.2 ms post-stimulus peak). For peak V latency, a later

window onset was selected (5-9.2 ms post-stimulus peak) to focus exclusively on wave V and

minimise data variability. Both peak-to-peak amplitude and peak V latency were confirmed to

be normally distributed using Lilliefors tests.

To assess the effects of stimulus probability (deviant, standard) and stimulus repetition rate

(40 Hz, 33 Hz, 20 Hz) on ABR peak-to-peak amplitude and peak V latency, a two-way

analysis of variance (ANOVA) was performed, considering potential interactions between the

two factors. In case of significant ANOVA results, post hoc t-tests were carried out, with

adjustments for multiple comparisons using the Benjamini-Hochberg FDR method.

Additionally, Cohen's *d* was calculated for each significant comparison to provide a measure

of effect size (Cohen, 1988).

The second part of this study explored how ABR amplitude and peak latency are modulated

by deviance detection as the repetition number of the standard stimulus increases following a

deviant (Fig. 3a). Sequences containing a deviant and at least three consecutive standard

responses were extracted from the oddball recording, and the deviant as well as the first,

second and third standard response were averaged per participant (with trial numbers ranging

between 683 and 723). The effects of stimulus role (deviant, standard 1, standard 2, standard

3) and repetition rate (40 Hz, 33 Hz, 20 Hz) on ABR peak-to-peak amplitude and peak V

latency were assessed using a two-way ANOVA, accounting for potential interactions. When

significant results were identified in the ANOVA, post-hoc t-test comparisons were

performed with Benjamini-Hochberg FDR correction, and Cohen's *d* was calculated for

significant t-test outcomes to quantify the effect size. The data and code used for this study

are freely available on G-Node (DOI: 10.12751/g-node.bh5dfs).

3. Results

Deviance detection modulates ABR amplitude and latency

To investigate the effects of deviance detection in the brainstem, ABRs were recorded using a low- and a high-frequency chirp stimulus, presented in an oddball paradigm (Fig. 1b). To explore the effect of stimulus repetition rate, three different rates were tested: 40 Hz, which is a commonly used rate in ABR research, and two slower rates of 33 Hz and 20 Hz. A cluster-based permutation analysis was performed to determine which ABR components differed significantly between deviant and standard responses. For the low-frequency chirp, a significant cluster was identified between 8 and 10 ms after basilar membrane activation across all stimulus repetition rates (40 Hz: $p = 0.029$; 33 Hz: $p = 0.020$; 20 Hz: $p = 0.031$), coinciding with the falling slope of ABR wave V (Fig. 2a). Additionally, at the fastest repetition rate of 40 Hz, a second, earlier significant cluster was observed between 2.7 and 4.1 ms ($p < 0.001$) (Fig. 2a, top). In contrast, no significant clusters were detected for any of the high-frequency chirp responses (Fig. 2b).

To identify the response parameters responsible for the significant clusters observed in the low-frequency responses, ABR peak-to-peak amplitude and ABR peak V latency were calculated and compared using two-way ANOVAs, with stimulus probability (deviant, standard) and stimulus repetition rate (40 Hz, 33 Hz, 20 Hz) as factors. The dependent variables were calculated within predefined time windows, indicated by the vertical dashed lines (Fig. 2a,b). The window for peak-to-peak calculation covered all significant clusters (from dark grey line, 2.7 ms, to black line, 9.2 ms) and the latency window was set to only cover peak V (from light grey line, 5.0 ms to black line, 9.2 ms). For the low-frequency chirp, the ANOVA revealed a significant effect of stimulus probability on both ABR peak-to-peak amplitude ($p = 4.9*10^{-4}$) and peak V latency ($p = 2.1*10^{-6}$). Post hoc t-tests comparing deviant and standard responses demonstrated that peak-to-peak amplitude was significantly

273 different at the two faster repetition rates of 40 Hz ($p = 0.010$, Cohen's $d = 0.84$) and 33 Hz (p

274 $= 0.006$, Cohen's $d = 0.94$), with large effect sizes (Fig. 2c). Peak V latency was significantly

275 different across all three tested repetition rates (40 Hz: $p = 0.005$, Cohen's $d = 1.11$; 33 Hz: p

276 $= 0.007$, Cohen's $d = 1.27$; 20 Hz: $p = 0.007$, Cohen's $d = 0.62$), with large effect sizes for the

277 two faster rates and medium effect size for the slower rate (Fig. 2d).

Figure 2: Deviance detection modulates ABR amplitude and latency (n = 17 subjects). (a) Grand average ABRs elicited by a low-frequency chirp, presented as deviant (red) and standard (blue) at three different stimulus presentation rates (40 Hz, top; 33 Hz, middle; 20 Hz, bottom). The black bars below the ABRs indicate significant differences between deviant and standard waveforms, identified through a cluster-based permutation analysis. Vertical dashed lines mark the time windows used for subsequent amplitude and latency analyses: from the dark grey (first) line to the black (third) line for amplitude analysis and

285 *from the light grey (second) line to the black (third) line for peak latency analysis. Shaded areas represent the standard error*
286 *of the mean. (b) As in (a), but the stimulus was a high-frequency chirp. The cluster-based permutation analysis revealed no*
287 *significant differences between deviant and standard responses. (c) Boxplots representing the peak-to-peak values of low-*
288 *frequency chirp ABRs, where red boxes represent deviant responses, and blue boxes represent standard responses. Peak-to-*
289 *peak values are compared within each stimulus repetition rate. (d) As in (c), but boxplots represent ABR peak V latency.*

ABR deviance detection is rapid and differentially affects response parameters

SSA studies in animals have demonstrated that deviance detection emerges rapidly in

subcortical areas (Malmierca et al., 2009; Antunes et al., 2010; Bäuerle et al., 2011; Ayala and

Malmierca, 2012). To investigate whether the same phenomenon can be observed in humans,

we reanalysed the low-frequency chirp data obtained in the oddball paradigm to examine how

the difference between deviant and standard response develops as the index of standard

repetitions increases (Fig. 3a).

Specifically, we compared the deviant response to the first three standard responses following

a deviant. ABR peak-to-peak amplitude and peak V latency were calculated within the same

time windows as in the first section of the study (Fig. 3b-d). A two-way ANOVA with the

factors of stimulus role (deviant, standard 1, standard 2, standard 3) and repetition rate (40 Hz,

33 Hz, 20 Hz) revealed a significant effect of stimulus role on both ABR peak-to-peak

amplitude ($p = 0.036$) and peak V latency ($p = 5.7*10^{-4}$). Subsequent post-hoc t-tests

compared the deviant response with each of the standard responses within the same repetition

rate. For the 40 Hz rate, a significant difference with a large effect size was found between the

deviant and the third standard repetition ($p = 0.026$, Cohen's $d = 0.92$), indicating a significant

repetition suppression effect after no more than three standard repetitions (Fig. 4a, left).

Conversely, while a visual inspection suggests a decline in response amplitude at the 33 Hz

rate (Fig. 3c), the effect was not statistically significant (Fig. 4a, middle). Finally, no

significant effect was observed for the 20 Hz repetition rate (Fig. 3d; Fig. 4a, right).

Post hoc analysis of ABR peak V latency revealed significant differences between the deviant

response and the second (Dev. vs. Std. 2: $p = 0.026$, Cohen's $d = 0.78$; Dev. vs. Std. 3: $p =$

0.020, Cohen's $d = 0.99$) and even the first (Dev. vs. Std. 1: $p = 0.021$, Cohen's $d = 1.23$; Dev.

vs. Std. 2: $p = 0.025$, Cohen's $d = 0.95$; Dev. vs. Std. 3: $p = 0.036$, Cohen's $d = 1.27$) standard

repetition for the 40 Hz and 33 Hz stimulation rates, respectively (Fig. 4b, left and middle).

For the 20 Hz stimulus presentation rate, the post hoc analysis did not reveal significant

differences between the deviant and any of the standard responses (Fig 4b, right), indicating

that the latency shift observed at this stimulus presentation rate in the first part of the study

(Fig. 2d) requires more than three standard repetitions to emerge.

Figure 3: ABR waveform changes with increasing standard repetition (n = 17 subjects). (a) Schematic representation of the
responses illustrated in this figure. Focusing on the low-frequency chirp ABRs, the first three standard responses following a
deviant (Std. 1 - Std. 3, blue) are individually compared to the deviant ABRs of the same low-frequency chirp stimulus (Dev.,
red). (b) Grand average ABRs elicited by a low-frequency chirp. Comparison between the deviant ABR (red) and the
responses to standards 1-3 (blue), presented at a stimulus repetition rate of 40 Hz. Vertical dashed lines indicate the time
windows used for subsequent amplitude and latency analyses: from the dark grey (first) line to the black (third) line for
amplitude analysis, and from the light grey (second) line to the black (third) line for peak latency analysis (Fig. 4). Shaded
areas represent the standard error of the mean. (c) As in (b), but with a stimulus repetition rate of 33 Hz. (d) As in (b), but
with a stimulus repetition rate of 20 Hz.

(a)

331 *Figure 4: ABR deviance detection is rapid and differentially affects response parameters (n = 17 subjects). (a) Boxplots*
332 *showing the peak-to-peak amplitude of ABRs in response to the low-frequency chirp. The peak-to-peak values for the deviant*
333 *response (red) are compared to those of the first three standard responses following a deviant (blue). The experiment was*
334 *conducted at three different stimulus repetition rates: 40 Hz (left), 33 Hz (middle), and 20 Hz (right). The data corresponds*
335 *to the responses illustrated in Fig. 3. (b) As in (a), but the boxplots represent ABR peak V latency.*

4. Discussion

This study employed ABRs to examine subcortical auditory deviance detection in humans. Low-frequency chirps presented as deviants evoked significantly larger and faster ABRs compared to when the same stimuli were used as standards. These effects were detectable within less than 10 ms after basilar membrane activation. A comparison of the deviant response with the three standard responses immediately following a deviant revealed that ABR differences emerged within up to three standard repetitions at the fastest stimulus presentation rate. The data further demonstrate that deviance detection differentially influences response amplitude and latency. Additionally, the findings indicate that subcortical deviance detection is stimulus-specific – as no significant differences were observed between deviant and standard responses for high-frequency chirps – and becomes more pronounced at higher stimulus presentation rates.

These findings are consistent with animal research that has investigated SSA at the single-unit level in the IC of rats, where deviant stimuli were shown to elicit not only more frequent but also earlier single-neuron responses compared to standard stimuli (Malmierca et al., 2009; Zhao et al., 2011). Moreover, studies in rodents have demonstrated that subcortical SSA occurs within less than 10 standard repetitions (Malmierca et al., 2009; Antunes et al., 2010; Bäuerle et al., 2011; Ayala and Malmierca, 2012) and is dependent on the stimulus presentation rate (Malmierca et al., 2009; Antunes et al., 2010; Zhao et al., 2011; Patel et al., 2012).

The current study bridges a longstanding gap between animal and human research concerning the latency of early deviance detection. While animal studies have shown SSA in the IC with latencies of less than 15 ms (Pérez-González et al., 2005; Malmierca et al., 2009), the earliest deviance detection in humans was so far measured at latencies around 25 to 30 ms after stimulus onset (Slabu et al., 2010; Althen et al., 2011; Grimm and Escera, 2012; Shiga et al.,

2015). Our ABR data now show that deviance detection with latencies below 10 ms also

occurs in humans, suggesting similar temporal dynamics in subcortical deviance detection

processing across species. Interestingly, our findings also indicate that significant differences

between deviant and standard ABRs appear earlier in the signal when the stimulus

presentation rate is high. Deviant and standard ABRs consistently differed between

approximately 8 ms and 9.2 ms after basilar membrane activation across all tested repetition

rates. However, at the highest rate (40 Hz), an additional cluster of significant waveform

differences was observed between 2.7 and 4.1 ms (Fig. 2). This earlier cluster coincides

temporally with neural activity in the cochlear nucleus and superior olivary complex

(Buchwald and Huang, 1975; Henry, 1979; Parkkonen et al., 2009), suggesting that at high

repetition rates, deviance detection may modulate the responsiveness of brainstem nuclei even

below the IC. These findings align with previous studies on deviance detection in bat ABRs

(Wetekam et al., 2022, 2024). However, unlike the ABRs reported in these animal studies, the

human ABRs presented here lack prominent waves I–IV, which are typically associated with

the activity of auditory nuclei below the IC (Buchwald and Huang, 1975; Henry, 1979;

Parkkonen et al., 2009). Instead, the data are dominated by ABR wave V, mainly generated in

the IC (Buchwald and Huang, 1975; Henry, 1979; Caird and Klinke, 1987; Parkkonen et al.,

2009; Land et al., 2016). Consequently, the influence of deviance detection on subcollicular

nuclei should be interpreted cautiously. Future studies employing stimuli that elicit larger

ABR waves I–IV in humans would be valuable to further explore this possibility.

The finding that significant deviance detection emerges after only a few standard repetitions is

consistent with previous research in animals (Malmierca et al., 2009; Antunes et al., 2010;

Bäuerle et al., 2011; Ayala and Malmierca, 2012). However, to our knowledge, this study

presents the first evidence of a differential influence of deviance detection on subcortical

response amplitude and latency. While amplitude differences between deviant and standard

responses only become evident after three or more standard repetitions, latency differences

can be observed as early as the first standard repetition at certain stimulus presentation rates.

One possible explanation for this phenomenon is that the shorter latency observed for deviant

responses, compared to standard responses, may not be solely attributable to an increasing

delay of the standard response with each repetition (repetition delay). Instead, it could involve

an additional reduction in response latency when a deviant is detected. Testing this hypothesis

in future studies would be valuable and could be explored further using control experiments

like the many-standards sequence (Schröger and Wolff, 1996).

Another open question that arises is why significant deviance detection was only elicited by

the low-frequency chirp. Similar findings have been observed in bat ABRs (Wetekam et al.,

2024) and human FFRs (Slabu et al., 2012), but the underlying reasons remain unclear. In our

study, one possible explanation for this discrepancy may stem from research on repetition

suppression. These studies have shown that repetition suppression, a key mechanism in

deviance detection, is more commonly observed for familiar sounds compared to unfamiliar

ones (Henson et al., 2000; Müller et al., 2013; Segaert et al., 2013). The spectrum of our low-

frequency chirp (500-2000 Hz) comprises most of the frequencies typically encountered in

daily life, making it a potentially more familiar stimulus compared to the high-frequency

chirp (2000-8000 Hz). Furthermore, high-pitched sounds are often associated with alarm

signals (Zeskind and Collins, 1987; Haas and Edworthy, 1996; Schwartz and Gouzoules,

2019), which may gain behavioural relevance when perceived repeatedly. Consequently, the

primary goal of processing high-frequency stimuli might not be to maximise efficiency, but

rather to maintain an effective alarm signal that does not diminish with repeated exposure.

These hypotheses should be addressed in future research, together with a more detailed

investigation of the specific stimulus parameters required to elicit mismatch responses in

ABRs, e.g. identifying the frequency threshold below which deviance detection becomes

measurable.

Beyond stimulus frequency, other parameters of stimulation, such as the stimulus repetition

rate, have also been shown to significantly influence subcortical deviance detection in animals

(Malmierca et al., 2009; Antunes et al., 2010; Zhao et al., 2011; Patel et al., 2012). Our data

corroborate this dependency by suggesting that mismatch responses in ABRs decrease with

the stimulus presentation rate. This finding makes it unlikely that significant effects would

have been observed at repetition rates below 10 Hz, potentially explaining why two earlier

studies failed to detect an effect of stimulus probability on ABRs (Slabu et al., 2010; Althen et

al., 2011). In those studies, the authors used lower stimulus repetition rates of approximately

10 Hz (Slabu et al., 2010) and 3 Hz (Althen et al., 2011), along with higher deviant

probabilities of 1/5 (Slabu et al., 2010) and 1/7 (Althen et al., 2011). Lastly, it is crucial to

emphasis the careful consideration of analysis parameters when investigating deviance

detection in ABRs. At the high repetition rates required to elicit significant effects, artefacts

from overlapping responses can confound results. Specifically, when the ABR overlaps with

the MLR elicited by the preceding stimulus, changes in amplitude may not exclusively reflect

stimulus probability but may instead represent differences in MLR components evoked by

earlier stimuli. Therefore, it is essential to apply filter and baseline correction settings that

ensure the observed effects are independent of responses to preceding stimuli and thus solely

represent deviance detection in the brainstem.

Conclusion

This study revealed that subcortical auditory deviance detection in humans is both rapid and

stimulus-specific, with significant modulation of ABR amplitude and latency occurring within

just a few stimulus repetitions. The identification of mismatch responses at latencies below 10

ms helps to bridge the gap between human and animal research, indicating similar temporal

dynamics across species. Moreover, the study highlights the value of ABR recordings for

investigating subcortical auditory deviance detection, due to its excellent temporal resolution

in comparison to other non-invasive methods.

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Abbreviations

ABR: Auditory brainstem response

ANOVA: Analysis of variance

FDR: False discovery rate

FFR: Frequency-following response

fMRI: Functional magnetic resonance imaging

IC: Inferior colliculus

MLR: Middle-latency response

SSA: Stimulus-specific adaptation

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Figure legends

Figure 1: Used stimuli and stimulation protocol. (a) Spectrograms (top) and oscillograms (bottom) of a low-frequency chirp (orange frame) and a high-frequency chirp (green frame) that were used as stimuli in this study. (b) Schematic representation of the oddball paradigm; blue: high-probability standard, red: low-probability deviant.

Figure 2: Deviance detection modulates ABR amplitude and latency (n = 17 subjects). (a) Grand average ABRs elicited by a low-frequency chirp, presented as deviant (red) and standard (blue) at three different stimulus presentation rates (40 Hz, top; 33 Hz, middle; 20 Hz, bottom). The black bars below the ABRs indicate significant differences between deviant and standard waveforms, identified through a cluster-based permutation analysis. Vertical dashed lines mark the time windows used for subsequent amplitude and latency analyses: from the dark grey (first) line to the black (third) line for amplitude analysis and from the light grey (second) line to the black (third) line for peak latency analysis. Shaded areas represent the standard error of the mean. (b) As in (a), but the stimulus was a high-frequency chirp. The cluster-based permutation analysis revealed no significant differences between deviant and standard responses. (c) Boxplots representing the peak-to-peak values of low-frequency chirp ABRs, where red boxes represent deviant responses, and blue boxes represent standard responses. Peak-to-peak values are compared within each stimulus repetition rate. (d) As in (c), but boxplots represent ABR peak V latency.

Figure 3: ABR waveform changes with increasing standard repetition (n = 17 subjects). (a) Schematic representation of the responses illustrated in this figure. Focusing on the low-frequency chirp ABRs, the first three standard responses following a deviant (Std. 1 - Std. 3, blue) are individually compared to the deviant ABRs of the same low-frequency chirp stimulus (Dev., red). (b) Grand average ABRs elicited by a low-frequency chirp. Comparison between the deviant ABR (red) and the responses to standards 1-3 (blue), presented at a

stimulus repetition rate of 40 Hz. Vertical dashed lines indicate the time windows used for

subsequent amplitude and latency analyses: from the dark grey (first) line to the black (third)

line for amplitude analysis, and from the light grey (second) line to the black (third) line for

peak latency analysis (Fig. 4). Shaded areas represent the standard error of the mean. (c) As in

(b), but with a stimulus repetition rate of 33 Hz. (d) As in (b), but with a stimulus repetition

rate of 20 Hz.

Figure 4: ABR deviance detection is rapid and differentially affects response parameters (n =

17 subjects). (a) Boxplots showing the peak-to-peak amplitude of ABRs in response to the

low-frequency chirp. The peak-to-peak values for the deviant response (red) are compared to

those of the first three standard responses following a deviant (blue). The experiment was

conducted at three different stimulus repetition rates: 40 Hz (left), 33 Hz (middle), and 20 Hz

(right). The data corresponds to the responses illustrated in Fig. 3. (b) As in (a), but the

boxplots represent ABR peak V latency.