1 **Title:** Seasonal and comparative evidence of adaptive gene expression in mammalian brain size plasticity
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Abstract:
Contrasting almost all other mammalian wintering strategies, Eurasian common shrews, *Sorex araneus*,
endure winter by shrinking their brain, skull, and most organs, only to then regrow to breeding size the
following spring. How such tiny mammals achieve this unique brain size plasticity while maintaining
activity through the winter remains unknown. To discover potential adaptations underlying this trait, we
analyzed seasonal differential expression in the shrew hypothalamus, a brain region that both regulates
metabolic homeostasis and drastically changes size and compared hypothalamus expression across
species. We discovered seasonal variation in suites of genes involved in energy homeostasis and
apoptosis, shrew-specific upregulation of genes involved in the development of the hypothalamic blood
brain barrier and calcium signaling, as well as overlapping seasonal and comparative gene expression
divergence in genes implicated in the development and progression of human neurological and metabolic
disorders, including *CCDC22*, *FAM57B*, and *GPR3*. With high metabolic rates and facing harsh winter
conditions, *Sorex araneus* have evolved both adaptive and plastic mechanisms to sense and regulate its
energy budget. Many of these expression changes mirrored those identified in human neurological and
metabolic disease, highlighting the interactions between metabolic homeostasis, brain size plasticity, and
longevity.

Main Text
Introduction:
Typical mammalian brain development consists of unidirectional postnatal growth until reaching an adulthood maximum (*1*, *2*), but the Eurasian common shrew, *Sorex araneus*, seasonally changes the size of its body, most organs, skull, and —especially— its brain (*3–6*). In the most acute case of Dehnel's phenomenon (DP), or seasonal size plasticity, the common shrew first grows to an initial summer maximum as a juvenile, then reduces size in autumn losing up to 18% body mass, ~20% skull volume, and 26% brain mass, reaching its winter size minimum (*3–6*). Then, in the spring prior to mating, these shrews either partially or fully regrow these organs, reaching a second maximum. DP is hypothesized to be a plastic adaptation to decrease energy demand without slowing metabolism , improving shrew fitness during the low temperatures and limited food supply of winter (*3*, *4*, *6–12*). Corroborating this adaptive plasticity hypothesis, DP anticipates winter conditions and is both modulated by environmental temperature (*3*) and geographically variable (*4*). Although we have begun to reveal the molecular mechanisms of DP (*12*), the comparative context of proposed adaptations, and commonality of processes across brain regions remain unknown. Elucidating both the genetic basis and evolutionary mechanisms underlying DP will illuminate the adaptations involved in this unusual case of mammalian brain plasticity.

As a hypothesized wintering strategy, energy metabolism is key to the evolution of DP in *S. araneus* (*11*). Tiny mammals have limited options to survive winter conditions (*13*), and DP is exceedingly rare as a wintering strategy. While many mammals seasonally migrate away from low-temperature, low-productivity environments, some endure these conditions by reducing their energy requirements through hibernation; a phenotype that has independently evolved many times (*14–16*). The evolution and regulation of hibernation relies upon a suite of metabolic genes (*17*, *18*), including those associated with thermogenesis, circadian rhythms, and feeding behavior. Compared to most species, *S. araneus* has astronomically large energetic demands, with one the highest basal metabolic rates per unit of body mass identified in any mammal (*19*, *20*). To meet these energy requirements, *Sorex araneus* forage and feed constantly. Together with brief lifespans (~1 year) that nonetheless require surviving a single winter (*21*, *22*), these extreme metabolic demands constrain survival options for *S. araneus*. Thus, by decreasing resources devoted to energetically expensive tissue such as the brain (*23*), DP bypasses these constraints and expands the typical niche of a small mammal during winter while allowing this fast-living, predatory shrew to remain active year round.

Critical metabolic shifts have been implicated in the regulation of seasonal size plasticity at a molecular level (*10*, *12*, *24*). Previous stage-by-stage analyses of DP characterized gene expression and network topology in three regions of the body that undergo seasonal size change: both the cortex and hippocampus in the brain, and the metabolically active liver (*12*). While those analyses found decreased cholesterol efflux in the cortex and hippocampus during brain shrinkage, there were also profound metabolic changes across the body. Liver expression revealed reversible regulation of key metabolic transcription factors (*FOXO*s, *PPAR*s, *RXR*s), indicating a shift away from lipid- toward glucose metabolism during autumn shrinkage. Metabolomic data supported this pattern, and a potential internal circadian clock regulating metabolic changes was also identified. Together, those results indicated a brain-liver crosstalk is a pivotal avenue of communication to coordinate metabolic modifications underlying seasonal size change across organs. Although disruption of the brain-liver axis has been implicated in neurodegenerative diseases in other mammals (*25–28*), how a highly functional brain-liver crosstalk which coordinates the shrew's natural seasonal size change operates and evolved remains unknown.

We hypothesize DP, which involves metabolic shifts and inter-organ communication (*12*), has evolved through the combination of both physiological plasticity and genetic adaptation. This idea is twofold; phenotypic plasticity is not only adaptive in itself as the evolved spectrum of a trait, but can also allow populations of a species to persist in varying environments, leading to ancillary evolutionary adaptation

when given enough time and strength of selection (*29*). Thus, while temporal analysis of shrew
expression can elucidate putatively adaptive plastic regulation, it will miss adaptive canalization of gene
expression that has contributed to the evolution of DP. Therefore, plastic regulatory mechanisms and
proposed adaptations can be further tested via cross-species comparisons of expression divergence. These
cross-species comparisons treat expression as a trait and have been used to characterize diverse vertebrate
adaptations including thermal tolerance and vision loss in fish (*30–32*), the effects of whole genome
duplication on expression (*33–37*), sex specific alternative splicing in birds (*38*), and patterns of
expression evolution in mammalian organs (*39–42*). By incorporating these comparative approaches with
seasonally varying gene expression, we can further elucidate the role of plasticity and adaption in both the
regulation and evolution of DP.
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The hypothalamus is an intriguing candidate brain region for comparative analysis, as it is both the brain
region with the most intense seasonal size change in shrews, and the center of bodily homeostatic
maintenance across mammals. In shrews, the hypothalamus undergoes drastic seasonal size change, with
a 31.6% volume reduction in autumn through winter, followed by a 47.8% increase in spring (*43*). As in a
previous study (*12*), we analyze hypothalamic gene expression across size change and compare these
molecular processes of brain size change to those identified in the hippocampus and cortex, such as
decreased cholesterol efflux or parallels to neurological disease. However, across mammals, the
hypothalamus plays a pivotal role in the maintenance of an energy budgets, with functions influencing: 1)
energy intake and feeding behavior, 2) energy expenditure and metabolic rate, and 3) energy deficits and
storage. While such functions are important for all mammals, these are critical for wintering mammals to
maintain stable internal body temperatures as external temperatures decrease. For example, the
hypothalamus can activate the sympathetic nervous system as temperatures decrease, stimulating
thermogenesis in winter. Thus, shrews may deploy typical mammalian hypothalamic plasticity through
DP. Alternatively, and considering how rare DP is, shrews may have evolved divergent approaches, akin
to naked mole rat traits, where this species lives under consistent hypoxic conditions resulting in
adaptations to decreased oxygen and blood flow across the brain (*44*). Comparative approaches, then,
may be able identify novel adaptations that contribute to the evolution of DP in the shrew hypothalamus.
115
As the brain region able to quickly respond to change, the hypothalamus has remarkable plastic
capabilities associated with metabolism (*45*), especially as environmental conditions vary between
seasons and can be unpredictable. While the central nervous system (CNS) acts somewhat independently
of bodily metabolism through the protective effects of the blood brain barrier (BBB), the CNS is still
sensitive to bodily metabolic change. We hypothesize hypothalamic plasticity is central to the evolution
and regulation of DP through both environmental sensing mechanisms and signaling responses to these
stimuli. Specifically, we hypothesize adaptations in the shrew: 1) hypothalamic BBB associated with
dynamic metabolic fluctuations, 2) sensing of metabolic state and seasonal size through hormonal signals,
mediated by BBB-crossing of insulin, ghrelin, and leptin, and 3) responses to metabolic fluctuations
involving ion-dependent signaling. With limited energy inertia, shrews must continuously sense their
peripheral metabolism, and a combination of receptors and selective BBB permeability in the
hypothalamus allows certain molecules to relay information from the peripherals to the brain. Among the
best characterized of these are the peripheral hormones insulin, ghrelin, and leptin, the latter known to
excite anorexigenic and inhibit orexigenic neurons in the arcuate nucleus of the hypothalamus. Finally,
according to Ramon y Cajal's neuronal doctrine (*46*), differences in brain functional responses may stem
from altered synaptic firing. Thus, inter- and intracellular ion concentrations play a large role in the
communication between neuronal networks by propagating signals in response to perceived
environmental stimuli.
134
To test these hypotheses, we analyzed both the seasonal and phylogenetic variation in shrew
hypothalamic expression to detect signals of adaptive plasticity. First, we aimed to identify differential
expression of genes across five stages of DP that might promote regulatory responses to seasonal

variation and can be functionally validated with cell line perturbations. Second, this analysis was paired
with a comparative transcriptomics approach using hypothalamic expression data from 15 additional
mammal species. These analyses infer adaptation by testing for branch-specific expression shifts using
Ornstein-Uhlenbeck models. The objective of these evolutionary analyses was to quantify lineage-specific
hypothalamic expression changes in *S. araneus*, with evolutionary expression divergence consistent with
selection. Finally, by comparing individual genes and associated pathways from these two analyses, we
can determine potential adaptive plasticity, indicating mechanisms that both potentially regulate DP and
were selected for higher expression in the evolution of DP. Our expression results implicate several key
processes in DP, including seasonal plasticity in feeding behavior, adaptive modulation of both
hypothalamic blood brain barrier and downstream signaling, and plastic apoptosis responses.
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Results
RNA sequencing, mapping, and quantification
Between and within species, measurements of RNA quality and alignment, quantification, and
normalization procedures were suitable for analyzing differential evolutionary and temporal expression
associated with DP (Supplemental Data). First, a single "hypothalamus" from our autumn data was
removed, as the expression profile resembled that of a cortex sample. Thus, our novel shrew
hypothalamus sequences (n=23) consisted of five summer juveniles, three autumn juveniles, five winter
juveniles, five spring adults, and five summer adults. RNA extracted across these seasons had a mean
RNA Integrity Number (RIN) of 6.6 (range 5-7.8) and a mapping rate mean of 50.8% (range 45.1-57.4%).
Although four samples had lower than initially expected (<6) RIN values, mapping rate (an indicator of
sequencing quality) was only slightly impacted and resembled previously published cortex (mean 53.7%;
range 48.1-61.5%) and hippocampus (mean 54.0%; range 48.1-61.6%) data. For evolutionary analyses,
hypothalamic RNA sequencing data sets were identified for 19 species comprising 5 mammal orders.
Four species were removed from this experiment during filtration. *Oryctolagus cuniculus* and *Pan*
paniscus were prepubescent, *Phodopus sungorus* was removed due to a low-quality genome assembly at
the time of analysis, and *Papio anubis* was removed from this experiment because of its extremely low
mapping percentage (mean 16.75%; range 9.5%-23.7%), which had a large effect on the count
distribution. Remaining species had an average mapping rate of 56.4% (range 33.1-77.9%). Although
RINs were not available for every species in the dataset, roughly similar mapping percentages suggest
adequate RNA quality. Furthermore, normalization by library size should reduce potential biases from
differences in mapping rate. We identified 6,496 single-copy orthologs found in all species with
OrthoFinder and filtered normalized expression (TPMs) by these genes (oTPMs). Mean expression of
orthologs across all species was 31.44 oTPM (range 20.66-44.91), with the shrew lineage having an
average 39.42oTPM. The distributions of oTPM by species was visualized in Supplemental Figure 1,
illustrating similar frequency distributions.
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Temporal Expression
We identified several known neural signaling pathways correlated with patterns of seasonal hypothalamus
expression that may be associated with changes during DP. We began by hierarchically clustering
expression to identify patterns of gene expression through time. Most genes did not exhibit much
variation through time, indicating constitutive gene expression in the hypothalamus, as only 786 of
19,296 genes passed our filters (>0.5-fold change between any two stages and 2 samples > 10 normalized
reads). We identified 12 distinct clusters of gene expression patterns by bootstrapping (n=20) a gap
statistic within cluster distances. Of these 12 clusters, five clusters consisting of 392 genes resembled a
large divergence between summer juveniles and the remaining individuals (Supplemental Figure 2;
Clusters 2, 3, 8, 11, 12). These genes likely represent a large developmental shift between recently
postnatal shrews and the remainder of individuals, rather than a shift associated with seasonality or
Dehnel's phenomenon. We then functionally characterized the remaining 394 genes (Figure 1A), which
exhibited seasonal shifts, with a GO pathway enrichment using the DAVID Gene Functional
Classification Tool. Although no enrichment pathway was significant after a Bonferroni correction, 14

pathways were enriched prior to correction ($p < 0.05$); with the five pathways with the lowest p-values
including fluid shear stress and atherosclerosis, relaxin signaling, neuroactive ligand-receptor interaction,
HIF-1 signaling, and PI3K-Akt signaling (Figure 1B). Many of these pathways have been implicated in
various physiological processes, which suggests that variation identified in the hypothalamus are likely
the result of autonomic processes in homeostatic maintenance.
194
We also discovered hundreds of differentially expressed genes between autumn (Stage 2) and spring
(Stage 4) shrews that may mediate phenotypic divergence in both size (shrinkage vs. regrowth) and
metabolic changes (liver expression shifts) associated with DP. By comparing the RNA expression of
autumn and spring shrews, we found 333 differentially expressed genes, with 194 upregulated and 139
downregulated in spring (Figure 2A). Of these genes, 57 upregulated and 34 downregulated were
differentially expressed to a higher degree (> absolute fold change 3). The hypothalamus had a similar
number of differentially expressed genes during DP compared to previous experiments elucidating
expression differences in the cortex (DEG=540) and hippocampus (DEG=266). We ran a GO pathway
enrichment to functionally characterize the 333 DEGs in the hypothalamus and found only five pathways
were enriched ($p<0.05$; Modified Fischer's Exact Test) with significant genes prior to multiple
comparison correction. These pathways include four upregulated pathways; Fanconi anemia (9.3 fold-
enrichment, $p<0.01$), GABAergic synapse (5.6 fold-enrichment, $p<0.05$), spliceosome (4.3 fold-
enrichment, $p<0.05$), and nicotine addiction (9.42 fold-enrichment, $p<0.05$), with one downregulated
pathway; apoptosis (5.6 fold-enrichment, $p<0.05$) (Figure 2B). The apoptosis pathway consists of
significantly downregulated genes (from DESeq2 Wald test), *BCL2L1* (-0.5 Log-Fold Change [LFC]
$p_{adj}<0.05$), *NGF* (-2.3 LFC, $p_{adj}<0.05$), *NFKB1A* (-2.3 LFC, $p_{adj}<0.05$), *FOS* (-1.7 LFC, $p_{adj}<0.05$), and
CTSK (-1.2 LFC, $p_{adj}<0.05$), while the GABAergic pathways consists of upregulated *GABRE* (5.0 LFC,
$p_{adj}<0.01$), *GABRQ* (4.4 LFC, $p_{adj}<0.05$), *CACNA1D* (0.6 LFC, $p_{adj}<0.05$), and *GNB3* (3.5 LFC $p_{adj}<0.05$)
(Figure 2C). Differential expression for all genes can be found in Supplemental Data. Overall, the
processes identified suggest regulation of cell death and synaptic plasticity in the hypothalamus may be
associated with phenotypic changes during DP.
216
Cell Viability Analysis with BCL2L1 Overexpression
We examined the potential functional effects of apoptosis regulating gene, *BCL2L1*, which was
differentially expressed between seasons, by propagating an *in vitro* model of domesticated ferret brain
cells (MPF-CRL-1656, ATCC). We chose this cell line because there is no established cell line of *Sorex*
araneus. *Mustela putorius furo* also undergoes a Dehnel's-like phenotype (*47*) and is more closely related
to shrews than mice are. MPF cells were transfected with either the anti-apoptotic *BCL2L1* RNA or a
scrambled version of *BCL2L1* (sBCL2L1) RNA. 24 hours after transfection, cells were exposed to heat
stress followed by crystal violet staining. A significant difference in cell viability was observed between
the heat scrambled compared to the control scrambled groups (df=3.56, $t_{3.56}$=8.78, $p<0.01$), however,
there was no significant difference between cells transfected with *BCL2L1* compared to those transfected
with sBCL2L1 when exposed to heat (df=3.37, $t_{3.37}$=-0.99, p=0.39).
228
Evolutionary Divergence in Expression
Analyses quantifying branch-specific shifts in expression identified hundreds of genes that were
upregulated in the shrew hypothalamus compared to other mammals, suggesting adaptation for increased
expression in these genes (Figure 3). EVE models found 222 genes significantly rejected ($p_{adj} < 0.05$) a
single expression optimum for all species in favor of a second expression optimum for the shrew lineage.
While nonsignificant genes had a mean 0.93-fold change, genes experiencing an expression branch-shift
in shrews had a mean 9.66 oTPM fold change compared to the other species. To validate genes with
expression branch-shifts as shrew-specific, we ran a dropout test for the shrew lineage, testing for
phylogeny-wide evidence of selection for each gene. Of the 6,496 genes tested, 81 genes had significantly
($p_{adj} < 0.05$) lower β (within population variance to between species variance), suggesting selection

elsewhere in the phylogeny. None of these genes overlapped with those identified to be differentially
expressed in the shrew, further validating the specificity of expression shifts.
241
We identified several changes associated with processes that may underlie DP. Pathway enrichment
analysis identified two significantly enriched pathways: calcium signaling (2.8 fold-enrichment, p<0.05)
and autophagy (9.1 fold-enrichment, p<0.05) (Figure 3). Upon manual inspection of the list, we also
identified several other potential adaptive processes, including blood-brain-barrier formation and
function, feeding behavior and leptin responses, metabolism, neuroprotection, and GABAergic neuron
development (Figure 3, Table 1).
248
Many of these putative adaptations mediate responses to environmental cues centered around energy
demands. Five of the genes experiencing branch-shift changes in expression were also differentially
expressed between autumn and spring individuals, suggesting not only an adaptive shift in the shrew
lineage associated with the evolution of Dehnel's phenomenon, but also a direct molecular mechanism for
brain changes. The five genes that overlap between both analyses include (Figure 4): *CCDC22*, which
plays an important role in endosomal recycling of membrane proteins (*48*); *FAM57B*, which mediates
synaptic cell membrane architecture and function (*49*), *GPR3*, an orphan G protein-coupled receptor
linked to both Alzheimer's disease (*50*) and obesity (*51*); *LMX1A*, a transcription factor essential for
dopaminergic neuron development (*52*); and *PAQR4*, which appears to regulate growth and apoptosis
through sphingolipid synthesis in human cancers (*53*).
259
Discussion
By characterizing both seasonal and between-species differential expression of the hypothalamus, we
generated and probed a unique data set, discovering expression shifts associated with extreme brain size
change in *Sorex araneus*. While the focus on seasonal hypothalamus expression provides insights into
both metabolic regulation and processes underlying brain size change, evolutionary shifts in expression
can reveal the underpinnings of mammalian brain degeneration and regeneration in a natural system. Our
analyses identified a suite of genes related to energy homeostasis that were both seasonally plastic and
adaptively upregulated in the shrew, reinforcing the role of metabolism in both the evolution and
regulation of DP. We also found shrew-specific upregulation of genes associated with the development of
the hypothalamic blood brain barrier, which we hypothesize improves the metabolic sensing capabilities
of the hypothalamus, further highlighting the importance of brain-liver crosstalk in promoting seasonal
size change (*12*). Other molecular mechanisms, including adaptive calcium signaling and plastic apoptotic
responses, were also implicated in DP. Many of these results, including genes that were both seasonally
plastic and evolutionarily upregulated, resemble expression changes found in human neurological and
metabolic disease, and thus may prove important for therapeutic treatment of those diseases.
275
Plastic Adaptations of Metabolism, Feeding Behavior, and Leptin Responses
Seasonal expression variation in the shrew hypothalamus was largely associated with the maintenance of
homeostasis, especially processes related to energy balance, corroborating the metabolic hypothesis of DP
evolution in the shrew. Although DP has been proposed to reduce shrew energy requirements by limiting
energy devoted to the maintenance of larger tissues (*4*, *7*, *20*), the extent of system-wide metabolic shifts
during shrinkage and regrowth, particularly in the liver, has only recently been revealed (*12*). We found
three pathways enriched for genes with seasonal variation in the hypothalamus which also have metabolic
associations: relaxin signaling, phosphoinositide-3 kinase (PI3K) signaling, and neuron ligand receptor
interactions. Relaxin, a neuropeptide, plays a pivotal role in many physiological functions in model
organisms, including food and water intake (*54–57*); food restriction upregulates relaxin-3 (*RLN3*) *in vivo*
(*58*), while administering RLN3 protein into the rat hypothalamus vastly increases food intake (*59–62*).
Relaxin has also been found to activate the PI3K pathway (*63*, *64*), which mediates feeding behavior and
glucose homeostasis (*65–69*), as well as angiogenesis via vascular endothelial growth factor (VEGF)
production (*64*, *70*, *71*). PI3Ks activity influences feeding behavior and weight gain in response to key

metabolic hormones, including leptin and insulin (*67–69*, *72*). Lastly, the neuroactive ligand-receptor
interaction pathway, a subclass of KEGG Environmental Information Processing pathway, is associated
with responses in model organisms to shifts in diet (*73*), stress (*74*), and temperature (*75*, *76*), all of which
the shrew experiences during seasonal changes. Although exploration of gene function in *S. araneus* has
only begun, seasonal expression in the hypothalamus indicates maintenance of metabolic homeostasis,
likely reflecting an extreme physiology that pairs disproportionately high metabolic rates (*20*) with
lifespans shorter than expected by body size (~1 year) (*21*, *22*). Facing scarcity in the winter, yet
maintaining costly activity in their environment, shrews must efficiently regulate feeding behavior, food
intake, and energy homeostasis, and we find signatures of such regulation in their hypothalami.
299
We also found evidence for adaptive expression relating to maintenance of energy balance, specifically in
feeding behavior and leptin responses, further emphasizing the importance of selection to meet high
energetic demands in wintering environments in the evolution of DP. Key genes associated with the
hypothalamic leptin response, such as *NPY*, *AgRP*, or *POMC*, were not universally orthologous and so
could not be analyzed, but there was evolutionary upregulation of many genes involved in downstream
leptin responses (*FOXO4*, *GRB2*, *IRX5*, *AGT*) (Figure 3, Table 1). Unlike their upstream effectors, these
genes may have subtle effects on continuous feeding behavior. In the hypothalamus, FOXO1 negatively
regulates POMC and promotes AgRP expression (*77*), which can contribute to leptin resistance resulting
in a long term appetite suppression (*78–80*). However, much less is known about the hypothalamic
functions of the *FOXO1* paralog, *FOXO4*. In mouse adipocytes, leptin- dependent expression of *FOXO4*
can rapidly clear blood glucose levels (*81*), which can lead to energy storage and decreased satiety. We
hypothesize that, in shrews, upregulation of *FOXO4* could either increase blood glucose clearance or
leptin resistance, reducing long term satiation and promoting continuous feeding. Further supporting this
hypothesis, we also found shrew-specific upregulation of other genes associated with leptin sensitivity,
such as *GRB2*, which in hamsters regulates seasonal leptin sensitivity and body weight (*82*), and *IRX5*,
whose mice knockouts exhibit decreased weight and food intake due to an enhanced hypothalamic leptin
response (*83*, *84*). Functionally, evolutionary upregulation of these genes in the shrew hypothalamus may
result in evolved leptin insensitivity, instead of the development of leptin resistance. Reduced leptin
sensitivity in shrews could reduce overall satiation, promoting foraging even when the short-term energy
budget is balanced thereby improving anticipatory storage before winter.
320
Evolution of BBB Permeability and Energy Sensing
Comparative analyses also indicate shrews may have evolved adaptive environmental sensing. We found
upregulation of several proangiogenic genes associated with the formation and function of the blood brain
barrier, including *VEGFA* and *WNT7A*. In normal neural development, neural progenitor cells and
surrounding astro- and pericytes express these two genes defining an expression gradient that guides
blood vessels to form the blood brain barrier (*85–87*).
327
While this suggests *VEGFA* and *WNT7A* upregulation in the shrew may increase vascularization of the
brain, continued expression of *VEGFA* beyond development increases BBB permeability, leading to a
breakdown of its protective effects (*88*, *89*). BBB permeability is a common symptom of many disease-
like states including neuroinflammation (*90*) and neurodegeneration (*90–92*). The hypothalamus BBB
consists of tanycytes and highly fenestrated capillaries with less tight junctions (*93*), replacing the less
permeable BBB of other brain regions with a specialized barrier that improves nutrient and energy
sensing through dynamic passage of molecules from peripheral circulation (*94–96*). Experiments on mice
indicate reduced blood glucose levels from fasting promote capillary fenestration in the hypothalamic
BBB via upregulation of *VEGFA*, eliciting feeding responses through increased hypothalamic exposure to
glucose levels (*94*). In shrews, adaptive upregulation of *VEGFA* and *WNT7A* may constitutively increase
capillary fenestration, an adaptation of the BBB to improve hypothalamus metabolic sensing and
signaling in response to high energy demands. Notably, adaptive expression of *VEGFA* has also been
identified in the seasonal vascular plasticity of other mammal species, including reproductive sheep (*97*,

98), bison (*99*), and squirrels (*100*). Reproduction is an extremely metabolically demanding process, thus,
constitutive shrew specific upregulation of *VEGFA* to meet unusually high metabolic demands may
parallel mechanisms used in seasonal reproduction.
344
Calcium Signaling and Apoptosis
Calcium signaling is a ubiquitous form of neural communication (*101*, *102*) that occurs through the
regulation of intra and extracellular calcium concentrations, transmitting signals that can release
neurotransmitters (*103*, *104*) and promote gene expression (*105–108*). Thus, it has been implicated in
numerous functions including dendrite growth (*109*, *110*), synaptogenesis (*111–113*), and initiation and
maintenance of long-term potentiation (*114*, *115*). In shrews, adaptive calcium signaling through the
upregulation of several calcium-responsive genes (*VEGFA*, *CASQ1*, *HRH2*, *TACR2*, *PPIF*, *SPHK2*,
GRIN1) may improve environmental information relay in the hypothalamus, both internally and
throughout the central nervous system.
354
We found that *HRH2* is upregulated in the hypothalamus of shrews, while maintaining strong sequence
homology with other mammals. Although adaptive calcium signaling could have numerous physiological
effects associated with shrew fitness or DP, many neuroadaptations (*116*, *117*) have pinpointed the effects
of calcium signaling by identifying the local functions of calcium responsive molecules and genes (*118*,
119). One such neuroadaptation involves the histamine receptor HRH2, which depresses neuronal action
potential when bound to histamine by blocking calcium-dependent potassium channels (*120*, *121*). In the
hypothalamus, HRH2 and other histamine receptors regulate circadian rhythms and feeding behavior
(*122*). Alternatively, agonism of HRH1 in rodents, an excitatory receptor in contrast to HRH2 (*123*),
reduces food consumption by ~50% (*124*), while a genetic knockout of *HRH1* both increases feeding and
disrupts circadian feeding behavior (*125*). Data on *HRH2* are sparse in comparison to those on *HRH1*
(*126*), but agonism of HRH2 did not significantly affect rodent feeding behavior (*124*) and mice *HRH2*
knockouts reduce nocturnal mice activity (*126*). We propose the ~12-fold upregulation of *HRH2* receptors
in shrews, despite being evolutionary divergent from rodents, also disrupts light entrainment, with the
potential to increase food intake.
369
Calcium signaling also regulates opposing processes, including both cell survival and programmed cell
death (*118*), and thus evolutionary upregulation of genes involved in these processes may be associated
with seasonal brain shrinkage and regrowth. Autophagy is the degradation of cellular parts to provide
energy and materials to maintain cellular homeostasis under stressful conditions. We found an
evolutionary upregulation of autophagy genes in the shrew hypothalamus, including *MLST8*, an integral
component of the mTOR protein complex 1 (mTORC1), which regulates sugar and lipid
metabolism(*127*), but also has recently been found to modulate autophagy in response to nutrient
deprivation (*128*). However, sensing calcium levels is also vital for the regulation of apoptosis and efflux
of damaged or dying cells. Two evolutionarily upregulated genes *PPIF* and *SPHK2*, can promote
apoptosis associated with mitochondrial calcium influx. PPIF is an important component of the
mitochondrial permeability transition, which responds to cellular calcium overload and oxidative stress by
promoting cell death (*129–132*). Similar to *PPIF*, overexpression of *SPHK2* in stressed cells induces cell
cycle arrest and promotes apoptosis through a series of signaling cascades that increase cytosolic calcium
and promote the release of pro-apoptotic cytochrome C from the mitochondria (*133*, *134*). While both
SPHK2 and *PPIF* are overexpressed and likely contribute to neuronal death in both human patients with
Huntington's or Alzheimer's disease and rodent models of these diseases, knockout or inhibition of these
genes protects against neurodegeneration (*135–138*). In the shrew hypothalamus, canalized upregulation
of these genes likely influences cell survival and death, but precisely how requires further investigation.
388
Validating the critical role of apoptosis regulation in Dehnel's phenomenon, several apoptosis-regulating
genes are differentially expressed between seasons. We found apoptosis pathway genes, including *NGF*,
NFKBIA, and *BCL2L1*, are all upregulated during shrinkage and downregulated in regrowth, despite

previous analyses that identified no changes in brain cell numbers in most brain regions through DP
(*139*). Regulation of apoptosis may represent a convergent mechanism across wintering strategies, as
natural experiments found seasonal reduction of apoptosis, including upregulation of BCL2L1, occurs in
various organs of hibernators (*140*, *141*), including the brain (*142*). Specific to DP, this result indicates
tight regulation of cell survival during brain size changes and periods of metabolic and environmental
stress: a balance between brain shrinkage and maintenance of cell numbers.
398
Preserving cell numbers despite size change requires both pro- and anti-apoptotic regulation. Known
functions of genes cycling during DP bolster this view: NGF is an upstream activator of neuronal cell
death through interactions with the p75 neurotrophin "death" receptor (*143*), but NGF can promote cell
survival by activating the NFKB pathway that blocks apoptosis further downstream (*144–146*). For
example, in primary rat hippocampal neurons, NGF promotes expression of anti-apoptotic *BCL2L1*
through an NFKB-dependent pathway (*147*) whose upregulation reduces apoptosis and promotes cell
survival in rodents (*148*, *149*). To test if autumn upregulation of these genes acts to reduce apoptosis, we
overexpressed *BCL2L1* in a related mammalian neural cell line, but we did not find a rescue against
apoptosis from heat. This result defied our expectations, with several possible explanations.
Methodologically, heat-induced apoptosis may not accurately reflect the challenges to cell survival
present in DP. Alternatively, the anti-apoptotic function of *BCL2L1* may be specific to the unique neural
environment of shrews, with apoptosis being propagated through alternative pathways or species- specific
gene interactions. DP-related apoptosis regulation as an adaptation may be convergent across wintering
mammals, but the regulatory mechanisms of this process through shrew size change require further
investigation.
414
Disease-associated Adaptive Plasticity
A subset of genes, *CCDC22*, *FAM57B*, *GPR3*, *LMX1A*, and *PAQR4*, are both seasonally plastic and
evolutionarily upregulated in the shrew hypothalamus (Figure 4). The combination of seasonal and
evolutionary upregulation suggests these genes play integral roles in DP, likely through adaptive
plasticity. Many of these genes are directly related to the development and progression of neurological
disorders in humans. While some of these genes may modulate DP, others may be a byproduct of brain
size change, or act as neuroprotection against the negative consequences of brain size and structure
changes.
423
CCDC22
CCDC22 regulation in shrews may provide protection against harm incurred during seasonal size
changes. The coiled-coil domain containing 22 protein (CCDC22) plays a key role in endosomal
recycling of proteins and is a novel candidate gene for several neurological disorders. CCDC22 is a
subunit of the CCC (CCDC22, CCDC93, COMMD) complex that aids in trafficking and recycling of
endosomal membrane proteins (*48*, *150*, *151*). Improper recycling and resulting protein aggregation
readily occurs in neurons, as they do not proliferate (*152*, *153*), and has also been implicated in
neurodegenerative diseases, including cytotoxicity in amyotrophic lateral sclerosis (*154*), amyloid-B
aggregation in Alzheimer's disease (*155–157*), and MUL1 degradation in Parkinson's disease (*158*).
Missense mutations and subsequent downregulation of CCDC22, are potential mechanisms underlying X-
linked intellectual disability (*159*, *160*) and Ritscher-Schinzel syndrome (*160–162*) in humans. Both
disorders are characterized by macrocephaly, malformations of the brain, craniofacial abnormalities, and
intellectual disabilities. In pubescent spring shrews, we found CCDC22 has a ~7-fold upregulation
compared to other mammals. This evolutionary upregulation may protect against neurodegeneration, as
brain size and structure are altered during seasonal cycling. We also found a significant upregulation
during spring compared to autumn shrinkage. Neuroprotective functions may be especially important in
spring, as brain mass returns increasing the need for endosomal recycling of proteins and may explain
seasonal plasticity in CCDC22 expression in tandem with adaptive upregulation.
442

FAM57B
Combined evolutionary upregulation and seasonal plasticity was also found in the expression of *family*
with sequence similarity 57 member b gene, *FAM57B*, which has been associated with 16p11.2 deletion
syndrome (*163*). This deletion leads to copy number variations and haploinsufficiency of 25 genes,
including *FAM57B* (*164*), resulting in autism spectrum disorders (*165*). Disease models of this deletion
have a variety of symptoms that parallel both shrew ecology and DP. In rodents, heterozygous 16p11.2
deletion leads to hyperactivity, craniofacial defects, reduced brain size, and altered brain morphology and
shape (*163*, *166*, *167*), with duplications showing the opposite effects on weight, activity, and craniofacial
morphology (*166*, *167*). *FAM57B* haploinsufficiency in the more evolutionarily divergent zebrafish
creates similarly substantively modified phenotypes, with heterozygous and homozygous knockouts of
FAM57B resulting in abnormal axon development (*168*) and increased head and body size (*164*, *167*).
Brain and body phenotypes related to *FAM57B* expression may be associated with the gene's role in lipid
metabolism and synaptic composition (*169*, *170*). Across animals, the dosage and compensation effects of
FAM57B expression have conserved impacts on metabolism, behavior, brain size, and body size. In the
shrews, *FAM57B* has a higher baseline expression than in model organisms. We hypothesize upregulation
of *FAM57B* in shrews contributes to seasonal plasticity of the skull, brain and body.
459
GPR3
As with *CCDC22* and *FAM57B*, G-protein receptor 3, GPR3, influences both metabolism and neural
development, but unlike them, its effects are age dependent. In mouse models of apoptosis, *GPR3* has
been associated with neurite outgrowth and maturation by promoting neuronal survival pathways (*171*).
Despite these benefits, overexpression of *GPR3* also been implicated in Alzheimer's disease and
contributes to amyloid beta generation (*50*, *172*). The metabolic effects of *GPR3* also indicate age-
dependent benefits and outcomes. *GPR3* knockout mice exhibit late-onset obesity associated with reduced
adipose thermogenesis (*51*). If the benefits of *GPR3* are age dependent, downregulation of this gene
during brain regrowth in the shrew may be neuroprotective. Although evolutionary upregulation may
increase neuronal cell survival during size change, plastic regulation is also important to reduce its
negative consequences as shrews age. We propose *GPR3* expression likely influences the adaptive and
plastic processes important in DP, including apoptosis/cell survival, calcium signaling, and metabolism.
472
Conclusion
We discovered evidence for adaptive evolution of gene expression involved in the regulation of
metabolism, cell survival, and size plasticity associated with DP. Not only does the hypothalamus shrink
to reduce energetic load during winter, but it must also control metabolic homeostasis during these
changes. Our results reveal numerous conserved and novel gene expression mechanisms that likely
underlie the central functions of the hypothalamus during DP. While previous work had hinted at the
metabolic nature of DP, by pairing seasonal differential expression with comparisons to other species, we
identified adaptations likely involved in the evolution of this unique brain size plasticity. Metabolism,
body size, and longevity are intrinsically linked life history factors. In the shrew, unusually high
metabolic rates force winter activity, leading to intense metabolic sensing and regulation and changes in
cell survival pathways, and –through both the evolution of DP and these sensing and regulatory
adaptations– shortened lifespans. Together, these gene expression adaptations both likely underlie drastic
seasonal size change and mitigate its detrimental effects.
486
Materials and Methods
Shrew Sample Collection
We used tissues from shrews collected for experiments analyzing gene expression and metabolic shifts in
brain regions through DP (*12*). Briefly, S. *araneus* were collected from a single German population
(47.9684N, 8.9761 E) across five different stages of DP from June 2020-June 2021 (n=25, n_{per_stage} = 4-5).
Shrews were trapped with insulated wooden traps that contained mealworms, which were checked every
two hours. This protocol was used to minimize trap-related stress from heat/cold shock or lack of food

and thus reduce stress-related variation on gene expression. Shrews were then euthanized via vascular
perfusion of PAXgene Tissue Fixative. Brain regions were dissected into individual regions in cold
fixative and then incubated in PAXgene Tissue Stabilizer for 2-24 hours before long-term storage.
Samples were preserved in stabilizer at -180°C in liquid nitrogen until RNA extraction.
498
RNA Extraction, Library Preparation, and Sequencing
We extracted the *S. araneus* hypothalamus and olfactory bulb using the same methods described for the
cortex and hippocampus in previous work (*12*). These extractions reduce degradation due to heat and
improve RIN from standard Qiagen Micro RNAeasy protocols by disrupting tissue on dry ice using glass,
instead of plastic, mortar and pestles. A full list of changes to the Qiagen Micro RNAeasy protocol can be
found in our previous manuscript (*12*). RNA was sent to Azenta Life Sciences for quality control
(nanodrop and RNA ScreenTape), library preparation, and sequencing. Hypothalamus libraries were
prepared with poly-A selection and sequenced for approximately 15-25 million reads per sample in 150bp
PE reads.
508
RNA sequences from other species were collected from the NIH National Center for Biotechnology
Information's (NCBI) Sequence Read Archive data sets. First, we searched the Sequencing Read
Archives (SRA) for the keyword hypothalamus, filtered by both RNA and mammal species. As models
described below rely on using the variance both between and within species, species required three or
more biological samples to be included. This removed any species that had only one hypothalamus
sample sequenced. Species were excluded from the study if a genome assembly was not readily available,
as this information was needed for an unbiased alignment of reads. Our final criterion was to only include
species for which post-pubescent individuals were available to reduce noise from age effects and the
onset of puberty. If the onset of puberty was not specifically stated for the dataset, AnAge was used to
determine if samples were pubescent. For the remaining species, if multiple hypothalamus RNA-seq data
sets were available, we used less stringent rules to determine which data to select. First, to reduce
domestication or captivity effects, we chose wild or non-domesticated individuals over laboratory-raised
animals. Second, to reduce the variance from different extraction protocols and sequencing methods, we
used samples from the same experiments or larger data sets, available for multiple species or brain
regions. Using samples from datasets with multiple regions or species also suggests intimate knowledge
of neural anatomy, increasing confidence in dissections. Third, we used a maximum of eight samples per
species and attempted to maintain a 1:1 sex ratio when possible. We did not filter by sequencing method
(e.g., used 50bp SE as well as 150 PE reads) or sequencing depth, as filtering poor reads and normalizing
for library depth and content should account for both these factors.
528
RNA Quantification, Normalization, and Orthology
Adapter sequences were trimmed from the raw reads using the default parameters of fastp (*173*), which is
able to autodetect adapters regardless of different library preparations across species. This program also
corrected and pruned low quality bases and reads using a sliding window approach. Processed reads were
aligned and quantified with Kallisto 0.46.2 (*174*), which probabilistically estimates gene counts through
pseudo-alignment to each species-specific genome assembly. Samples were removed from the data set if
mapping rate was below 30%, indicating either poor sequencing or low assembly quality. Additionally,
novel shrew hypothalamus sequences were compared against previously published shrew region data to
verify tissue type. Gene counts for all species were then normalized for total library size into Transcripts
Per Million (TPM). Orthologous genes between species were inferred with OrthoFinder (*175*) using
default parameters, retaining only single-copy orthologs identified across all species. Visualizations of the
frequency distribution of the orthologous (oTPMs; Supplemental Figure 1) were used to identify outlier
species.
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543
544

Branch-shift Changes in Expression
We modeled gene expression as a trait using phylogenetic comparative methods, as these account for
evolutionary divergence among species (*176*). We ran Expression Variance and Evolution models (EVE)
to test for significant changes of expression in the shrew lineage (*39*). EVE models both parameterize and
estimate the ratio (β) of population (within species) to evolutionary (between species) variance, such that
high β ratios indicate expression plasticity, and low β ratios indicate differential expression between
species, necessary for inferring selection. We ran two EVE models to test for divergence in expression in
the shrew lineage. First, we tested for branch-specific expression level shifts by contrasting the likelihood
of two Ornstein-Uhlenbeck models for the data, one with a single expression optimum (null; stabilizing
selection), and another with a second optimum on the shrew branch (selection). A likelihood ratio test
between the null and selective hypotheses for each gene (χ^2_1) was conducted using these likelihoods. A
Bonferroni correction was used to account for multiple hypothesis tests to identify candidate genes under
selection ($p_{adj}<.05$). For the second model, we ran a dropout test to further validate the specificity of the
expression change in the shrew lineage. After removing the shrew expression data, we identified genes
with high expression divergence across the phylogeny (significantly low β ratios), which would indicate
relaxed or diversifying selection. This gene list was compared to that from first model to prune genes not
specifically associated with divergence in the shrew. Both models described above ran on the Bayesian
molecular-clock mammalian phylogeny of (*177*) pruned to match our species sample. Finally, we used the
DAVID functional annotation tool (*178*) to determine enriched KEGG Gene Ontology (GO) pathways
from the candidate gene set.
565
Temporal Clustering
To analyze the temporal variation of shrew expression in the hypothalamus, we temporally clustered our
data using the package TCseq (*179*). We began by further normalizing our data across DP stages using the
median of ratios from DESeq2 (*180*), which normalized for library size and content. Genes with
consistent expression across time with little variation between stages would not be associated with
observed phenotypic changes. These genes were filtered from this data set by only selecting genes with an
absolute fold change of 0.5 between any two stages. We also removed genes with low expression that
would appear to have high fold changes despite lacking enough transcript expression to influence
phenotype, retaining only reads with two samples > 10 normalized reads. After filtering, counts for each
stage were converted to mean z-scores and then clustered into groups of similar gene expression profiles
using fuzzy cmeans clustering. The number of resulting gene clusters was calculated a priori, by
bootstrapping (n=20) a gap statistic that minimizes within-cluster distances. Genes with highest
membership in clusters associated with variation across all stages (seasonal effect; Clusters 1, 4, 5, 6, 7, 9,
10), as compared to those that just show divergent expression in Stage 1 (developmental effect; Clusters
2, 3, 8, 11, 12), were analyzed for KEGG GO enrichment using DAVID functional annotation (*178*).
581
Differential Gene Expression
We tested for differential gene expression between autumn (Stage 2) and spring (Stage 4) individuals, as
these seasons differ in hypothalamic size phenotype (shrinkage vs. regrowth) (*43*), and were previously
identified divergence in liver expression related to metabolism (*12*). To test for significant differential
expression, we fit a negative binomial generalized linear model to the normalized (median of ratios) gene
counts using DESeq2 (*180*). We then tested for significant differences in gene expression between
autumn and spring using a Wald test, followed by multiple testing correction on resulting p-values with
the Benjamini and Hochberg procedure (*181*). Significantly differentially expressed genes were binned by
fold change (>1.58 log-fold change), to quantify differentially expressed genes of high effect. Significant
differentially expressed genes were also used to identify KEGG GO enrichment (*178*), and compared
against the candidate list of genes with branch shift changes in expression to identify genes both plastic
across seasons and consistent with expression adaptation in the shrew.
594

595 *Cell culture*
596 *Mustelo putoris furo* (MPF) brain cells (ATCC, CRL-1656) were cultured in 10mL of Basal Medium
597 Eagle (BME) supplemented with 14% sheep serum. Cells were cultured in 60.8-cm^2 treated tissue culture
598 dishes at 37°C in 5% CO_2 atmosphere and 95% humidity. With induced cell death, cells were seeded into
599 treated flat bottom six-well plates with 2mL/well of complete culture media.
600
Cell death induction
Three experiments were conducted to induce cell death in MPF cells (cold induced, peroxide induced, and
heat induced), with only heat causing significant decrease in cell viability. *Cold induced*: Two 60.8-cm^2
tissue culture dishes of MPF cells were seeded into two six-well plates for 24 hours before reaching 80%
confluency. In one experiment, "short cold" apoptosis was performed by immersing the six-well plate into
a 0°C ice-water bath for 2 hours, followed by 3 hours of rewarming to 37°C in an incubator. Cells were
then treated with crystal violet staining. In a similar experiment, "long cold" apoptosis was performed by
immersing the six-well plate into a 0°C water bath for 4 hours, followed by 24 hours of rewarming to
37°C before crystal violet staining. *Peroxide-induced cell death*: Two 60.8-cm^2 tissue culture dishes of
MPF cells were seeded into two six-well plates for 24 hours before reaching 80% confluency. Hydrogen
peroxide (H_2O_2) induced cell death was performed by treating the plate with 125µM H_2O_2 followed by 3
hours of incubation at 37°C. Cells were then treated with crystal violet staining. *Heat-induced cell death*:
Two 60.8-cm^2 tissue culture dishes of MPF cells were seeded into two six-well plates for 24 hours before
reaching 80% confluency. Heat cell death was attempted by immersing the six-well plate into a 45°C
water bath for 2 hours, followed by re-cooling to 37°C prior to crystal violet staining.
616
In vitro transcription and transfection of cells
The AmpliScribe T7 High Yield Transcription kit (Lucigen) was used for RNA in vitro transcription with
a 2-hour incubation at 37°C using gblock sequences available in supplemental. Sequences were designed
with a T7 promoter inserted at the beginning to facilitate transcription (Integrated DNA Technologies).
RNA transcripts were purified using the Qiagen miRNA kit and concentration determined using a
Nanodrop2000 (Thermo Fisher Scientific). Two 60.8-cm^2 tissue culture dishes of MPF cells were seeded
into two six-well plates for 24 hours before reaching 80% confluency. 300ng of *BCL2L1* RNA or a
scrambled form of *BCL2L1* RNA were transfected with Lipofectamine 3000 into each well for 24 hours
according to manufacturer's instructions (Thermo Fisher Scientific). In short, two tubes were used to
make a master mix for each RNA sample. Tube 1 contained 125µL/well of Opti-mem (Thermo Fisher
Scientific) with 7.5 µL/well of Lipo3000. Tube 2 contained 125µL/well of Opti-mem, 5µL/well of P3000
and 300ng/well of RNA. Tube 2 was added to tube 1 and incubated 15 minutes at room temperature. The
solution was then gently mixed and dispersed evenly to cells.
630
Crystal violet cell viability assays
Crystal violet solution was made using 500mg crystal violet powder in 100mL of 50% methanol. Cells
were cultured to 80% confluency in two six-well plates. Complete media was aspirated in wells and
washed three times in 1mL of 1X DPBS before 500µL of crystal violet solution was added to each well.
Plates were wrapped in foil and placed on a shaker for 30 minutes. After time elapsed, crystal violet was
removed, and cells were washed with tap water until free color was no longer visible. Plates were left at
room temperature for 10-15 minutes until dry. 500µL of 100% methanol was added to each well and
plates were put on a shaker for one hour at room temperature. 100µL of solution was taken from each
well in triplicate and added to a 96-well plate. 100µL of 100% methanol was added in triplicate to a row
for normalization of background absorbance. Wells were read at 570nm using a spectrophotometer
(BioTek). For viability, data are reported as a percentage of control or optical density for absorbance. For
statistical analysis, differences were judged to be statistically significant when $p<0.05$ by a Welch's two
sample t-test.
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Data Availability
Supplementary tables, data, results, and code deposited and found on Github
https://github.com/wrthomas315/Sorex_Hypothalamus_Transcriptomics. Raw sequencing data located in
the NCBI Sequencing Read Archive (BioProject PRJNA941271). Supplemental Figures. S1–S2: DOI:
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 Figure Legends
Figure 1 – (A) Hierarchical clustering of expression identified 12 distinct clusters, of which seven
clusters (1, 4, 5, 6, 7, 9, 10) comprising of 394 genes, showed variation consistent with seasonality or
Dehnel's phenomenon. **(B)** Functional characterization of these genes using KEGG GO pathways found
an enrichment of 14 pathways (p<0.05), many of which have been implicated in hypothalamic control of
homeostatic maintenance, including, relaxin signaling, neuroactive ligand-receptor interaction, HIF-1
signaling, and PI3K-Akt signaling.
686
Figure 2 – (A) Volcano plot of significant (p_{adj}<0.05) differentially expressed genes (colored) between
phenotypic extremes of hypothalami size change (Stage 4 vs Stage 2) plotted by log fold-change. Vertical
thresholds represent a 1.58 log fold-change in expression of (high effect; dark colors). **(B)** Pathway
enrichment analysis identified 5 pathways to be enriched for differentially expressed genes: apoptosis
(downregulated), spliceosome, Fanconi anemia, GABAergic synapse, and nicotine addiction
(upregulated). **(C)** Patterning of gene expression across stages of Dehnel's phenomenon for genes found
in the apoptosis pathway (*BCL2L1*, *CTSK*, *FOS*, *NFKBIA*, *NGF*) and those associated with GABAergic
synapses (*CACNA1D*, *GABRE*, *GABRQ*, *GNB3*). **(D)** Cell viability of *Mustela putorious furo* neural cell
lines exposed to four treatments: scrambled *BCL2L1* overexpression, *BCL2L1* overexpression, heat with
scrambled BCL2L1 overexpression, and heat with *BCL2L1* overexpression. Heat significantly reduced
the cell viability compared to controls but was not rescued by *BCL2L1* overexpression.
698
699 **Figure 3 – (A)** Heatmap and boxplots of genes with shrew-specific upregulation compared to other
700 mammals associated with processes including calcium signaling, neurological functions, **(B)** blood brain
701 barrier plasticity, and **(C)** food intake and leptin response.
702
703 **Figure 4 -** Boxplots of genes (CCDC22, FAM57B, GPR3) showing both evolutionary upregulation (**A**) in
704 the shrew and differential expression between Stage 4 and Stage 2 individuals (**B**), which are implicated
705 in the development and progression of human neurological and metabolic disorders.
706

707   **Tables**
708   **Table 1 –** Significant shrew-specific upregulation of genes associated with calcium signaling pathways,
709   blood brain barrier plasticity, food intake and leptin response, and other related functions.

Gene	Function	FC	P-value	KEGG (p<0.05)
WNT7A	BBB/Neurogenesis/Angiogenesis	8.60	0.000	NA
MFSD2A	Transports DHA across blood brain barrier/Fasting induced	5.22	0.000	NA
SLC22A23	BBB plasticity	4.69	0.000	NA
VEGFA	BBB plasticity/Calcium Signaling	4.04	0.024	Calcium Signaling
CASQ1	Calcium Signaling/myopathy	7.22	0.000	Calcium Signaling
HRH2	Calcium Signaling/Sleep related/Histaminergic neurons	11.74	0.000	Calcium Signaling
TACR2	Calcium Signaling	14.21	0.000	Calcium Signaling
PPIF	Calcium Signaling	4.92	0.000	Calcium Signaling
SPHK2	Calcium Signaling/Food intake	3.18	0.025	Calcium Signaling
GRIN1	Calcium Signaling/Food intake	3.60	0.016	Calcium Signaling
FOXA2	Food intake	19.37	0.000	NA
SDC1	Food intake	10.74	0.000	NA
AGT	Leptin Response/Food Intake/FOXO1	4.23	0.029	NA
IRX5	Leptin response/Food intake/Neurogenesis	3.83	0.006	NA
GRB2	Leptin Response	3.36	0.003	NA
FOXO4	Leptin Response	5.99	0.000	NA
GPR3	Thermogenesis/Obesity	3.77	0.002	NA
KCNJ10	Metabolic homeostasis/Tanycyte formation	4.87	0.002	NA
ELOVL2	Lipid Metabolism/Elongation of VLFA	6.29	0.001	NA
MRPS6	Mitochondria protein synthesis/Parkinson's	4.00	0.000	NA
ATG101	Autophagy	3.95	0.000	Autophagy
ATG4D	Autophagy	3.84	0.000	Autophagy
MLST8	Autophagy/mTor pathway	8.03	0.000	Autophagy
LAMTOR3	Modulates mTor pathway	5.37	0.000	NA
SIRT7	Neuroprotective during neurogenesis	4.64	0.004	NA
HTRA2	Aging/Cell and organ size/Neuroprotection	3.86	0.000	NA
CCDC22	NF-KB Regulation/Ritscher-Schinzel	6.95	0.000	NA
RGS14	Suppressed synaptic plasticity (LTP)	6.21	0.002	NA
DLGAP3	OCD	5.72	0.001	NA
EMC8	Protein homeostasis of GABAnergic neurons/ER membrane complex	3.42	0.021	NA
RGS4	GABAnergic/Photoperiod/Environmental processing	6.81	0.002	NA
RBFOX3	Promotes sleep/Associated with epilepsy	7.10	0.000	NA
B4GALNT1	Ganglioside synthesis/Promotes BACE1	4.30	0.008	NA

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1236

A

Background
Foreground

Z-score

Calcium signaling
Autophagy

WNT7A MFSD2A SLC22A23 VEGFA CASQ1 HRH2 TACR2 PPIF SPHK2 GRIN1 FOXA2 SDC1 AGT IRX5 GRB2 FOXO4 GPR3 KCNJ10 ELOVL2 MRPS6 ATG101 ATG4D MLST8 LAMTOR3 SIRT7 HTRA2 CCDC22 RGS14 DLGAP3 EMC8 RGS4 RBFOX3 B4GALNT1

B
Blood Brain Barrier Development and Function

7.9   67.8   51.4   207.6   386   1635

WNT7A   VEGFA   AGT

C
Leptin Response and Feeding Behavior

20.7   124.2   2.2   8.6   121   407

FOXO4   IRX5   GRB2

Normalized Counts (oTPM)

Adaptive Plasticity

CCDC22 · FAM57B · GPR3

Normalized Counts (oTPM)

Normalized Counts (MoR)

Stg1 Stg2 Stg3 Stg4 Stg5