Multiomics unveils extracellular vesicle-driven mechanisms of endothelial communication in human carotid atherosclerosis

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Short-Title: Endothelial messaging in carotid atherosclerosis

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Abstract

Background: Carotid atherosclerosis is orchestrated by cell-cell communication that drives progression along a clinical continuum (asymptomatic to symptomatic). Extracellular vesicles (EVs) are cell-derived nanoparticles representing a new paradigm in cellular communication. Little is known about their biological cargo, cellular origin/destination, and functional roles in human atherosclerotic plaque.

Methods: EVs were enriched via size exclusion chromatography from human carotid endarterectomy samples dissected into paired plaque and marginal zones (symptomatic n=16, asymptomatic n=13). EV cargos were assessed via whole transcriptome miRNA sequencing and mass spectrometry-based proteomics. EV multi-omics were integrated with bulk and single cell RNA-sequencing (scRNA-seq) datasets to predict EV cellular origin and ligand-receptor interactions, and multi-modal biological network integration of EV-cargo was completed. EV functional impact was assessed with endothelial angiogenesis assays.

Results: Carotid plaques contained more EVs than adjacent marginal zones, with differential enrichment for EV-miRNAs and EV-proteins in key atherogenic pathways. EV cellular origin analysis suggested that tissue EV signatures originated from endothelial cells (EC), smooth muscle cells (SMC), and immune cells. Integrated tissue vesiculomics and scRNA-seq indicated complex EV-vascular cell communication that changed with disease progression and plaque vulnerability (i.e., symptomatic disease). Plaques from symptomatic patients, but not asymptomatic patients, were characterized by increased involvement of endothelial pathways and more complex ligand-receptor interactions, relative to their marginal zones. Plaque-EVs were predicted to mediate communication with ECs. Pathway enrichment analysis delineated an endothelial signature with roles in angiogenesis and neovascularization – well-known indices of plaque instability. This was validated functionally, wherein human carotid symptomatic plaque EVs induced sprouting angiogenesis in comparison to their matched marginal zones.

Conclusion: Our findings indicate that EVs may drive dynamic changes in plaques through EV-vascular cell communication and effector functions that typify vulnerability to rupture, precipitating symptomatic disease. The discovery of endothelial-directed angiogenic processes mediated by EVs creates new therapeutic avenues for atherosclerosis.

Key Words: carotid atherosclerosis, extracellular vesicles, microRNAs, proteomics, endothelial cells

Non-Standard Abbreviations
cryo-EM   cryogenic electron microscopy
EC   endothelial cell
EV   extracellular vesicle
GO   Gene Ontology
KEGG   Kyoto Encyclopedia of Genes and Genomes
NTA   nanoparticle tracking analysis
miRNA microRNA
MISEV Minimal information for studies of extracellular vesicles
MZ   marginal zone
PZ   plaque zone
scRNA-seq   single cell RNA-sequencing
SEC   size exclusion chromotography
SMC   smooth muscle cell
TEM   transmission electron microscopy
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Introduction
Atherosclerosis is a multi-cellular biological process of lipid accumulation, unresolved
inflammation, and plaque development in the arterial wall.[1,2] While some plaques enlarge and
impede blood flow, plaques can also become unstable and rupture, thereby releasing
atheroembolic material that occludes an end-artery leading to a transient ischemic attack (TIA,
i.e. neurologic recovery) or stroke.[1,3] Atherosclerosis exists along a disease continuum wherein
much of the disease process is silent and presents as asymptomatic disease; low-density
lipoprotein accumulation and endothelial dysfunction initiates the disease process, followed by
recruitment of adaptive and innate immune cells and migration of smooth muscle cells (SMCs)
leading to plaque progression and development of the necrotic core, followed by eventual
fibrous cap rupture and thrombosis causing clinical events such as myocardial infarction or
stroke.[2] Altered cellular communication is a critical linchpin of atherogenesis.[4-7] Recent work
has identified the importance of endothelial cells (ECs) in directing differential, polarized
messaging with cells found in circulation and those in the vessel wall.[8] Given that several types
of plaque cells exist in transitory states (ECs, SMCs, macrophages), are highly dynamic, and
can alter their phenotype in the active plaque microenvironment, an exciting possibility is that
cellular states dictate and result from changes in cell-cell communication[9-12]

Much of the early and late stages of atherosclerotic disease is silent or asymptomatic, creating
challenges in patient stratification. Unlike management of symptomatic carotid atherosclerotic
disease, which is well established and includes surgical removal of the plaque (carotid
endarterectomy) or endovascular carotid stenting with the goal of secondary stroke prevention
[13-16], management of patients with asymptomatic carotid disease is more nuanced, as
interventions have an upfront risk of stroke and we have not yet solved how to accurately
predict plaque rupture.[17,18] Current non-operative management with lipid reduction, anti-
hypertensive therapy, and lifestyle modifications (i.e. smoking cessation) reduces stroke risk,
but some patients progress despite meeting these targets.[17,19] Prior studies have employed
whole-tissue proteomics, transcriptomics, and metabolomics to identify major shifts in plaque
biology with disease progression.[20-23] However, despite ongoing research, there are still no
universally reliable means to identify the patient at risk and novel therapeutic targets need to be
unveiled through a better understanding of plaque biology as it evolves along the disease
continuum.

Given the multi-cellular environment of the atherosclerotic plaque, cell-cell communication is a
major factor driving the biology of the disease. Extracellular vesicles (EVs) are lipid bilayered
membrane-enclosed cell-derived nanoparticles that carry bioactive molecules such as
microRNAs (miRNAs) and proteins from a donor to a recipient cell, where they participate in EV-
ligand based interactions or are endocytosed and release contents that can modulate recipient
cell phenotype.[24] Given the clinical potential of this field, the development of Minimal Information
for the Study of Extracellular Vesicles (MISEV) guidelines has been crucial for improving clarity,
consistency in reporting, and interpretation of EV-based studies.[25,26] Previous studies in
atherosclerotic plaques have examined larger EV particles (i.e., microparticles; primarily >200
nm) and provided early insights into their importance in atherosclerotic biology.[27,28] However the
evolution of EV isolation and technical progress in EV cargo analysis mandates a fresh
examination of their biological roles, particularly with respect to small EVs (<200 nm) given their
abundant numbers and potential for therapeutic modulation.[29,30] Recently, a proteomics study of
human carotid plaque-derived small and large EVs identified their role in advanced
atherosclerotic calcification, and found that EV-proteomics can identify additional phenotypes
than those at the whole plaque level, warranting independent investigation.[31] Our understanding
of carotid tissue vulnerability as modulated by EV cellular source, EV-cell communication, and
the impact of EVs on downstream cellular processes is limited. There is a need to understand

107 the holistic biological niche of stable and vulnerable carotid plaques in these contexts, with the
108 goal of identifying novel targets for preventing rupture through plaque stabilization.
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The intraplaque environment is dynamic, changes over time, and there is a significant role for
cell-cell communication. EVs contain cargo that serve as important mediators of cell-cell
communication with functional effects that alter cell biology in recipient cells.[32-34] What is not
known however, are the alterations to cell-cell communication that render an atherosclerotic
plaque vulnerable to rupture. Patients with symptomatic carotid disease have demonstrated
clinical consequences of carotid plaque vulnerability where atheroembolic events have caused
transient ischemic events or stroke.[35] Here, we performed a novel analysis to uncover the
transcriptomic and proteomic EV profile in carotid plaque and adjacent marginal zones, in both
asymptomatic and symptomatic disease states. By integrating our data with scRNA-seq
datasets, we identified the predicted EV-cell communication pathways that may be enriched in
asymptomatic and symptomatic (vulnerable) carotid plaques. Furthermore, we found a strong
endothelial signature and demonstrated a functional role for plaque EVs in regulating
angiogenesis and neovascularization – well-known precursors of plaque instability.[36-38]
Importantly, symptomatic plaques were studied within 30 days of the last neurologic event, with
pathological evaluation of a subset that clearly demonstrated intraplaque hemorrhage,
consistent with the EV angiogenesis experiments pursued. Together, our data suggest that EVs
may contribute to dynamic changes seen in plaques through EV-cell communication and
effector functions, identifying a mechanism for the neovascularization and angiogenesis that
contribute to plaque destabilization. The discovery of an endothelial-centric process that could
be mediated by EVs points towards novel therapeutics targeting this highly accessible and
strategic cellular layer.
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Methods
Detailed methods are provided in the Supplemental Material. Human carotid endarterectomy
specimens were obtained from patients undergoing surgery for asymptomatic and symptomatic
disease. Samples were handled under the institutional research ethics board protocols set by
University Health Network, Toronto Canada (18-5282). Patients gave written informed consent
and procedures were followed in accordance with institutional guidelines. Tissues were
separated by plaque and marginal (non-atheromatous) zones and EV enrichment performed
according to MISEV2023 guidelines. Transcriptomics (next-generation miRNA sequencing) and
proteomics (mass spectrometry-based) data are deposited in GEO and ProteomeXChange
Consortium via the PRIDE repository (publicly available at the time of publication), respectively.
Cell-cell communication was evaluated by integrating data with the TISSUES database[39] and a
publicly available scRNA-sequencing dataset of carotid endarterectomy specimens containing
plaque and marginal zones (GSE159677).[20] Multi-omic network analysis was performed using
BIONIC.[40] Functional validation of predicted roles for EVs on angiogenesis was performed in
Human Umbilical Vein Endothelial Cell (HUVEC) spheroid-based sprouting assays.
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Statistical Analysis
The Fisher's exact test tested the prevalence of gene ontology (GO) terms in whole tissue
proteomics. For non-omics data with sample sizes <10, a two-sided Mann-Whitney or Wilcoxon
(for paired samples) test was completed and for n≥10, data distribution was assessed and
differences between two groups were tested using a two-tailed unpaired Student's *t*-test. For
comparisons between three or more groups, a one-way ANOVA was used with Šidák multiple
comparisons test. Differences were significant for values of $p < 0.05$ and are indicated in the
figures. Proteomics (tissue and EV) were quantified using Discover (Version 2.5) and peptides
were filtered based on a 1.0% FDR. A minimum of at least 2 unique peptides for each protein

was required for the protein to be included in the analyses. Differential enrichment analysis was
performed using Qlucore Omics Explorer statistical software (Version 3.9; Qlucore, Sweden)
and differentially enriched proteins were calculated using a two-group comparison with a
Benjamini-Hochberg false discovery rate (FDR)-corrected p-value (i.e., q-value) <0.05.
Transcriptomic data (miRNA) was quantified in Partek Flow (v10.0.23.0326) including trimming
of adaptors, sequence alignment to genome (h38 assembly, Homo Sapiens, BioProject
PRJNA31257, Bowtie), and quantification (miRBase mature miRNAs, Version 22). Differential
expression of miRNA in experimental conditions was determined via DESeq2 with adjustment
for multiple comparisons using Benjamini and Hochberg's FDR step-up (adjusted p-value<0.05).
Predicted messenger RNA (mRNA) targets of differentially expressed EV-miRNA were identified
using MicroRNA Enrichment Turned Network (MIENTURNET version 2019-11-25) using the
miRTarBase database. Pathway analysis of differentially enriched EV-miRNAs and EV-proteins
were completed using Enrichr using the Gene Ontology (Biological Process and Cellular
Component) and Kyoto Encyclopedia of Genes and Genomes (KEGG) databases with multiple
testing correction using the Benjamini-Hochberg procedure (adjusted p<0.05). Protein-protein
interaction analysis was completed in STRING v12.0. The TISSUES and Tabula Sapiens
databases were accessed through STRING v12.0 and Enrich, respectively. EV cellular identity
enrichement analysis was completed using the AddModule score function in Seurat (Version
5.0.1) to assess the enrichment of EV protein signatures among different cell types. The
'CellChat' R package (version 1.6.0) was used to infer cell interaction networks by filtering the
CellChat cell-cell communication ligand database for genes associated with EV protein gene
IDs. Comparison of fold enrichments between cohorts regarding endothelial-related GO
biological processes were performed using ANOVA and Tukey's honest significant difference.
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183 **Results**
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185 **Atherosclerotic tissue proteome and extracellular vesicle (EV) concentration identify a**
186 **potential role for EVs in modulating the disease continuum**
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As recently shown by Alsaigh et al., single cell RNA sequencing (scRNA-seq) of matched
human carotid marginal zones and plaque zones harbour different cellular subpopulations,
revealing distinct microenvironments, and cellular communication strategies that may provide
insights into disease progression.[20] To begin understanding the continuum of disease in carotid
atherosclerosis, we delineated EV-based biology in plaque versus marginal zones from all
patients (asymptomatic and symptomatic). Human carotid endarterectomy specimens for whole
tissue proteomics (n=5) were dissected into plaque zones (PZ) and marginal zones (MZ) based
on gross morphology by a single trained surgeon (Dr. S. Raju), with another 59 paired samples
similarly dissected for EV enrichment and characterization (Figure 1A). Tissue histopathology
with hematoxylin and eosin and Movat pentachrome stains delineated plaque and marginal
zones to be different with distinguishing features of advanced atherosclerotic plaque
morphology (e.g. necrotic core, intraplaque hemorrhage) visualized exclusively in plaque
regions (Figure S1A-B).
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Tissue proteomes (Figure S2A) from the plaque zones and marginal zones clustered separately
by principal component analysis (Figure 1B donor corrected; Figure S2C donor uncorrected),
with 514 differentially enriched proteins identified by zone (Figure S2B). Pathway analysis of
differentially enriched proteins in the marginal and plaque zone (p_{adj}<0.05) using Gene Ontology
(GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) revealed atherogenic
signatures, while GO terms containing 'vesicle' were increased in plaque zones specifically
(Figure 1C, S2D-F, S3A-B). We next pursued EV enrichment in plaque and marginal zones

separately. EVs were enriched using size exclusion chromatography that included an additional
density ultracentrifugation step to remove extracellular matrix co-isolation[31] and was validated in
accordance with the MISEV2023 criteria (Figure 1D-G, S4A-F, S5A, S6). Briefly, EVs were
enriched in fractions 7-10 as evidenced by single vesicle analysis using nanoparticle tracking
analysis (NTA) and as a protein peak using the bicinchoninic acid assay (Figure S4E). The
secondary protein peak (Figure S4E) represents smaller proteins that elute in the later
fractions.[41] The presence of common EV protein markers in per-fraction proteomics, including
CD63, CD81, CD9, FLOT1, and an absence of the cell protein marker calnexin confirmed
successful EV isolation in our fractions of interest (Figure 1D, left and right panels respectively;
Figure S5A). Several vesicle-related pathways were enriched in plaque zones compared to
marginal zones (Figure S5B), which may suggest increased EV processes taking place. We
confirmed EV morphology via direct visualization using cryogenic electron microscopy (cryo-
EM), which revealed bilayered nanoparticles with dense cores, as well as several muti-
compartmented vesicles, recently seen to be released by cells (Figure 1E).[42] We did not
observe any morphological or size differences between EV isolates from plaque and marginal
zones (Figure 1F). Paired plaque regions liberated similar EV size distribution, but a larger
quantity of EVs per milligram of tissue relative to marginal zones (Figure 1G). Notably, we found
minimal lipoprotein (APOA1[43] and APOB100, enzyme-linked immunosorbent assay, Figure S4C-
D) and no whole-cell (flow cytometry, Figure S4F) inclusion in vesicle preparations. Given EVs
in marginal and plaque zones have the potential to contribute to the local milieu, we next
compared the biological cargo of EVs between these two anatomical locations to help delineate
the potential functional effects of the EV-vesiculome in carotid atherosclerotic disease
progression.
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234 **Carotid plaque EV-vesiculome (miRNA transcriptome and proteome) is distinct in plaque**
235 **versus marginal zones and drives pathways known to govern plaque progression**
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Given that EV miRNA and protein cargo can mediate their functional effects on recipient cells in
local environments,[32-34] we performed next-generation miRNA sequencing and proteomic
analysis of EVs enriched from carotid plaque zones and marginal zones (Figures S6, S7).
Exploratory analysis with donor-corrected principal component analysis (PCA) revealed that the
EV-vesiculome clustered by location; specifically plaque zone (PZ, purple) and marginal zones
(MZ, pink; Figure 2A-B; donor uncorrected Figure S7F). Differentially enriched protein pathway
analysis predicted biological interconnectability in plaque-derived EVs, as seen by greater than
expected protein-protein interactions (Figure 2C). Differential expression analysis between EV-
miRNA in plaque versus marginal zones revealed 371 EV-miRNA enriched in plaque zones and
290 EV-miRNA enriched in marginal zones, across 20 paired patient samples (Figure 2D). Top
differentially expressed upregulated miRNA in the plaque zones included miR-146a, miR-155,
let-7a, miR-200b, miR-223, and miR181b, known to have roles in secretion and response to
inflammatory mediators and cell proliferation and differentiation.[44-54] Among differentially
enriched proteins, we found 282 proteins enriched in plaque zones, and 379 enriched in
marginal zones, across 23 paired patient samples (Figure 2E). Like the EV-miRNAs, several
known mediators of plaque progression including metalloproteinases (MMP9), cell adhesion
proteins (ICAM1), lipoprotein metabolism proteins (LPL), complement and coagulation proteins
(F2, F10), and inflammatory mediators (CRP, SAA2-4, BLVRA, BLVRB) were more abundant in
EVs from plaque environments (Figure 2E).
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To explore the potential functions of EV-miRNA and protein cargo, we queried KEGG pathways
using the Enrichr interface comparing plaque zone versus marginal zone EV cargo.[55] Carotid
plaque EV-cargo represented 155 unique KEGG pathways (FDR<0.05), with 60 pathways

specific to EV-miRNAs and 60 pathways specific to EV-proteins (Figure 2F, Venn diagram). Top
unique pathways predicted to be modulated by plaque EV-miRNA included cell cycle, apoptosis,
and inflammatory signaling pathways (TNF, T cell receptor, TGF-β, chemokine, NFκB; Figure
2F, top right panel). Conversely, top unique pathways of differentially expressed plaque EV-
proteins include complement and coagulation, phagosome, cholesterol metabolism, cell
adhesion, and vascular smooth muscle contraction (Figure 2F, bottom right panel). Key
pathways involved in atherosclerotic plaque progression shared between the EV-miRNA and
EV-proteome included cellular senescence, cell adhesion and migration, leukocyte
transendothelial migration, fluid shear stress and atherosclerosis, lipid and atherosclerosis, and
amino acid and pyruvate metabolism (Figure 2F, bottom left panel). These data suggest that in
the plaque the differentially enriched EV-vesiculome (i.e., protein and miRNA) may function
together, as well as independently, to modulate several pathways known to be involved in
driving plaque biology. Given EVs are a vector of cell-cell communication between donor and
recipient cells, we next attempted to determine the cellular origin of human plaque EVs to
delineate the cellular contributors to plaque EV and further, how they might change with disease
progression from marginal to plaque zones.
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278 **Total EV-proteomics suggests diverse EV cell of origin and potential targets modulated in**
279 **recipient cells**
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EVs carry cargo and membrane proteins that are reflective of their cell of origin.[56,57] Cells
secrete EVs with distinct biological cargo to relay specific messages to recipient cells. To date,
little is known about the cellular communication occurring *in vivo* within the plaque niche via
EVs. We used two complementary approaches to infer the EV cell of origin using our EV
proteomics. We started by exploring our total EV proteomics (i.e. plaque and marginal regions
combined) within the TISSUES database[39] which integrates evidence on tissue expression from
proteomic and transcriptomic screens. The predicted cellular EV origin included a range of
expected cells including endothelial (neointima), several immune (B-lymphoblastoid, plasma
cell, bone marrow cell, and foam cell), and smooth muscle cells (Figure 3A; Figure S8A,C). The
analysis was then refined with EV-protein signature enrichment analysis among carotid artery
cells specifically, by integrating our complete list of total detected EV-proteins with a publicly
available scRNA-seq dataset containing paired plaque and marginal region samples (n=6
samples, Figure S9A-G).[20] Data integration corroborated the EV cell of origin, identifying strong
EV signatures from vascular smooth muscle cells, endothelial cells, dendritic cells,
macrophages and lymphocytes (Figure 3B). Likewise, examination of EV-miRNA normalized
counts in our plaque and marginal zones identified several miRNAs that are frequently ascribed
to endothelial,[45,58-62] smooth muscle,[63-65] and lymphocyte cells,[47,66-70] suggesting EV cell of origin
signatures that align with those predicted by the EV-proteome (Figure S10A).
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Beyond the suspected cell of origin, EV surface proteins and protein cargo deliver ligands to
recipient cells within the atherosclerotic plaque environment – the biological consequences of
this interaction will depend on the protein repertoire of the recipient cell. Using CellChat[71], we
predicted EV ligand communication (incoming signaling) with recipient cells that would be found
in atherosclerosis using the same publicly available scRNA-seq dataset as above. Global EV-
cell communication analysis was performed by specifically filtering for known receptor-ligand
interactions where ligands were derived from EV proteomics and receptors from scRNA-seq.
When the total EV proteome was applied to scRNA-seq data annotated as plaque or marginal, it
identified the predicted distribution of cellular EV source by each region (Figure 3C). Inferred
cell communication pathways used total EV-associated ligands with total scRNA-seq (i.e.
present in both plaque and marginal regions) (Figure 3D), as well as total EV-ligands separated

by marginal and plaque regions (Figure S10C). The strongest global EV-cell interactions were
seen among immune cells including macrophages and T-cells, with the enrichment of
inflammatory signaling patterns (CypA, MIF, MHC-I, CXCL, prostaglandin, MHC-II). The
predicted distribution of enriched global EV signaling pathways place ApoE, tenascin,
cholesterol, and visfatin more likely within plaque zones (Figure S10B), while cord diagrams
demonstrate predicted global EV ligand-receptor pairs with endothelial, macrophage, and
vascular SMC populations (Figure S10D left, middle, and right panels, respectively).
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Approaching EV-miRNA communication within tissue is more complex. Using the predicted
messenger RNA (mRNA) targets of EV-miRNA, the genes that could be altered can be
determined and correlated with single cell transcriptomics to understand potential EV-miRNA
communication to recipient cells. We similarly used two approaches to annotate expected
cellular targets of plaque EV-miRNAs - Tabula Sapiens database[72] (vascular tissue) and the
publicly available scRNA-seq carotid dataset as above (Figure 3E-F). All differentially expressed
EV-miRNA had over 1956 (FDR<0.05) experimentally validated (miRTarBase)[73] mRNA targets
expressed in lymphocytes, endothelial cells, SMCs and macrophages (Figure 3E). Plaque EV-
miRNA to mRNA gene-set enrichment analysis with the carotid scRNA-seq dataset corroborated
these findings, wherein targets of plaque EV-miRNAs were enriched in lymphocytes, endothelial
cells, vascular SMCs, and macrophages (Figure 3F).
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332   **Plaque and marginal zone EV-proteomes predict different EV cells of origin, recipient cell**
333   **repertoires, and expected communication with vascular cells**
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As the microenvironments of plaque and marginal zones are likely distinct (also implicated by
initial exploration, Figure 3C), we recapitulated our approach to infer EV cellular origin and
predicted targets in recipient cells by region using differentially enriched EV-proteins and
differentially expressed EV-miRNA between plaque versus marginal zones. When delineated by
region, plaque zones appear to have greater representation of macrophages as sources of EVs
than marginal zones, where vascular SMCs predominated (Figure 4A-B). TISSUES database
supported this finding, with our plaque and marginal zones highlighting diverse cellular EV
sources that ranked differently by region (Figure 4C-D, S8B-C), predominantly reflecting cells
that would be found in atherosclerosis despite the broader tissue sources included in this
dataset.
345
We then pursued EV-cell communication signals within plaque and marginal regions separately,
by filtering EV ligands as either plaque-enriched EV-proteins or marginal-enriched EV-proteins.
Known ligand-receptor interactions were then explored using CellChat as above. In comparison
to marginal zones (Figure 4E), plaque enriched EV-protein ligands were predicted to participate
in more diverse and distinct cellular communication strategies when paired with total scRNA-seq
(i.e. present in both plaque and marginal regions) (Figure 4F). For example, several predicted
inflammatory ligand-receptor interactions emerged in the plaque zone with ApoE, complement,
ICAM, cathepsin (CTSG), and cholesterol signatures. Similar increased communication diversity
was predicted in plaque zones when analysis was restricted to single cells in marginal and
plaque regions separately (Figure S11A-B). Chord communication diagrams were then created
to explore region-specific cell-cell communication. Differentially enriched EV-protein ligands
from our marginal and plaque zones were paired with publicly available single cell transcripts
(potential receptor recipients) from either endothelial cells (Figure 4G), vascular SMCs (Figure
4H), or macrophages (Figure S11C) found in respective marginal regions (left panels) or plaque
regions (right panels). For each cell type examined, plaque zones exhibited increased predicted
ligand-receptor interactions relative to marginal zones. Notably, proposed plaque EV-cell

communication included endothelial integrins (needed for cell motility), vascular SMC integrins
and AXL (phagocytosis), and macrophage complement and cell adhesion (C3AR1, CD44).
Together, EVs are predicted to communicate with several atherosclerosis-relevant cell types,
with regional (plaque and marginal) differences in communication patterns.
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368 **Carotid plaque EVs are increased in number and contain EV-cargo (miRNA and protein)**
369 **that are differentially enriched based on plaque vulnerability**
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Understanding the biological potential and EV-cell communication that takes place along the
continuum of asymptomatic to symptomatic carotid disease will provide new insights into this
complex clinical problem. We assessed differences in EV-cargo between asymptomatic (n=10
patients) and symptomatic (n=10-13 patients) groups by anatomical zones (marginal and
plaque; Figure 5A). Blinded pathological grading performed by a staff pathologist (Dr. M.
Seidman) of representative plaque samples (n=5) demonstrated fibroatheroma and calcification
in all (AHA grade V-VI),[38,74] with evidence of intraplaque hemorrhage reported in all symptomatic
patients (Figure 5B, S12A). While both asymptomatic and symptomatic plaque zones contained
more EVs per milligram of tissue compared to their matched marginal zones, only symptomatic
patients achieved statistical significance (Figure 5C-D, S12B). Morphologically, carotid EVs
were similar in symptomatic and asymptomatic groups (size and cryo-EM, Figure 5E). Direct
comparisons by symptom status within plaque and marginal zones did not yield significant
differences in EV quantification highlighting the importance of matched marginal zone controls
(Figure S12C-D).
385
386 When analysis of EV-cargos was performed, there were consistently larger numbers of
387 differentially enriched EV-miRNA and EV-proteins in the symptomatic group in both marginal
388 zones (delineated by negative fold change) and plaque zones (delineated by positive fold
389 change) (Figure 5F-I), suggesting increased biological complexity in clinically vulnerable
390 plaques and their corresponding marginal regions for symptomatic patients.
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We next assessed specific differences in EV-cargo between asymptomatic plaques versus
paired marginal zones and separately, EV-cargo differences between symptomatic plaques
versus paired marginal zones (Figure 6). There were 306 unique differentially expressed EV-
miRNAs in symptomatic tissue compared to only 33 unique EV-miRNA in asymptomatic tissue
(Figure 6A). Overlap was seen with 364 differentially expressed EV-miRNAs in the two groups.
Pathway analysis of unique EV-miRNA symptomatic predicted targets revealed more GO and
KEGG pathways (Figure 6B). Unique signatures in asymptomatic tissues included steroid
biosynthesis, ABC transporters, and the AMPK signaling pathway (Figure 6C). Pathways
derived using unique symptomatic EV-miRNAs were numerous and included lipid and
atherosclerosis, inflammatory signalling (HIF-1, TGFβ, TNF) and NOTCH signaling (endothelial
angiogenesis) (Figure 6D). Similarly, 435 unique differentially enriched EV-proteins were seen in
symptomatic tissue, compared to 6 in asymptomatic (Figure 6E) and functioned with a high
degree of biological interconnectibility (Figure S12E). Similar to the EV-miRNA analysis, there
were an increased number of GO and KEGG pathways modulated by the symptomatic plaque
EV-protein contents (Figure 6F). KEGG pathway analysis of the unique EV-proteins from
asymptomatic tissue identified 6 KEGG pathways functioning in amino acid and nutrient
metabolism, antigen presentation, renin secretion, and complement and coagulation with only a
single EV-protein (annotated in figure) contributing to each pathway protein (Figure 6G). The
unique EV-proteins from symptomatic tissue identified several biological pathways with the top
differentially expressed pathways including complement and coagulation, cell motility (regulation
of actin cytoskeleton, focal adhesion, ECM-receptor interaction, leukocyte transendothelial

migration), and cholesterol metabolism (Figure 6H, left panel). Several proteins were enriched in
the symptomatic plaque EVs that demonstrated protein-protein interactions, forming three units
(complement and coagulation cascades, cholesterol metabolism, and regulation of actin
cytoskeleton) predicted to modulate these pathways (Figure 6H, right panel). Together, these
vesiculomic data highlight the potential for EVs to govern several well-known aspects of plaque
biology, with increasing complexity as the disease continuum progresses.
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421   **Predicted EV-based cell communication in the symptomatic plaque reveals the**
422   **emergence of an endothelial cell signature with functional effects on angiogenesis**
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The differences in EV cargo between asymptomatic and symptomatic groups led us to query
differences in predicted cellular communication based on symptom status. EV cellular identity
was inferred by integrating our enriched EV-protein signatures from either marginal or plaque
zones according to symptom status with carotid marginal and plaque scRNA-seq data (Figure
7A-D). Greater endothelial cell (EC) enrichment was predicted in symptomatic plaque
signatures relative to asymptomatic plaque signatures (purple bars highlight each EC
subpopulation, with increasing prevalence noted as a shift to the left; Figure 7B,D), possibly
suggesting a heightened role of endothelial cell-derived EVs in governing EV-cell
communication with atherosclerotic plaque progression. No notable differences in cell type
enrichment was observed for marginal signatures (Figure 7A,C). Potential recipient cell
repertoires available in asymptomatic and symptomatic plaques was also explored through EV-
miRNA-mRNA predicted targets (Figure S13).
436
We then explored differences in asymptomatic and symptomatic plaque EV-cell communication
with CellChat, by similarly examining EV-proteins as the ligands in known ligand-receptor
interactions, where receptors were predicted from scRNA-seq in marginal and plaque zones
(Figure 7E-F). Although asymptomatic plaques shared all EV-cell signaling pathways with the
symptomatic group (Figure 7E-F), symptomatic plaque EV-protein ligands also exhibited unique
signaling involving ApoE, galectin, and visfatin. Specifically, EV/visfatin to endothelial cell-based
communication was unique to symptomatic plaques – notable given angiogenesis can be
modulated through visfatin-based secretion of vascular endothelial growth factor (VEGF) and
fibroblast growth factor 2 (FGF-2),[75,76] monocyte recruitment through monocyte chemoattractant
protein 1 (MCP-1),[77] and extracellular matrix remodelling via matrix metalloproteinase
expression.[78,79] We then explored specific ligand-receptor pairs between asymptomatic and
symptomatic plaque EVs and recipient cell repertoires that might be available in ECs (Figure
8A, S14A), SMCs (Figure S14B-D), and macrophages (Figure S14E-G). Cell-cell
communication strategies predicted in the asymptomatic plaques were mostly recapitulated in
the symptomatic group, with symptomatic plaques exhibiting more complex EV-recipient cell
signaling (Figure 8A, S14D,G). As an approach to externally validate some of our cell
communication predictions, we examined the EV marker CD63 as well as CD63-normalized
visfatin and CD63-normalized VEGFA in a cohort of 18 carotid endarterectomy specimens
(Biobank of Karolinska Endarterectomy, BiKE) where proteomics had been completed on
plaque zones and marginal zones (*n*=9 symptomatic, *n*=9 asymptomatic).[37] While these were
bulk tissue proteomics, more of the common EV marker CD63 protein was detected in
symptomatic plaques and increased visfatin (NAMPT) and VEGFA was detected in symptomatic
carotid tissue when normalized to CD63 protein by zone (Figure S15A-C).
460
Given the distinct EV-based communication strategies predicted in symptomatic versus
asymptomatic tissues, we then assessed the potential functional consequences through
analysis by GO and KEGG pathways for EV-miRNA, EV-proteins, and EV-vesiculome

(integrated analysis) (Figure S16A,B). We found differences in pathways predicted to be
modulated by EVs in symptomatic compared to asymptomatic plaques. Key EV-miRNA based
pathways enriched in symptomatic plaque included inflammatory signaling (TCR signaling,
NFkB, HIF-1, TNF, TGFβ), apoptosis, cell senescence, and cell migration/motility (adherens
junctions, VEGF) (Figure S16A,B left panels). Enriched pathways in symptomatic plaques
predicted to be modulated by EV-proteins included complement and coagulation (includes
fibrinolysis, iron metabolism), cholesterol metabolism (includes lipid transport, response to low
density lipoprotein particle stimulus), and amino acid metabolism (Figure S16 A,B middle
panels). Network analysis integrating EV-miRNA and EV-protein content identified the
importance of metabolic pathways (amino acid biosynthesis, RNA synthesis and turnover,
steroid biosynthesis, cholesterol), complement and coagulation, and inflammatory pathways
(antigen processing and presentation, macrophage proliferation, neutrophil degranulation) as
shared targets of EV-contents in symptomatic plaques (Figure S16 A-B right panels). Likewise,
overrepresentation analysis of differentially enriched EV-miRNA and EV-protein predicted
differential representation of GO and KEGG pathways that based on symptom status (Figure
S17A-D). Upon closer examination there was a clear endothelial and angiogenic signature in
EV-miRNA-mRNA overrepresentation analysis in symptomatic versus asymptomatic EVs with
overrepresented predicted pathways in angiogenesis, blood vessel development, sprouting
angiogenesis and VEGF signaling (Figure 8B, S18A-B), while EV-protein and EV-vesiculomics
overrepresentation analysis were more varied (Figure S18C-F, S19A-D).

As significantly more endothelial angiogenesis-related pathways were identified in symptomatic
plaque zones versus their respective marginal zones (Figure 8C, p-value = 1.84×10^{-23}), we
tested the functional effects of symptomatic plaque EVs (n=3) using an endothelial spheroid-
based sprouting assay (Figure 8D-F, S19E-J). Endothelial sprouts were significantly increased
in number (110.2±4.34 versus. 92.3±2.59; p=2.7×10^{-2}) (Figure 8E) as well as total sprout length
(244.9±5.06 versus 179.7±4.27 μm; p<1.0×10^{-10}) (Figure 8F) and average sprout length (Figure
S19G) after treatment with symptomatic plaque EVs compared to matched marginal zone EVs.
Controls included eluates (negative control, Figure 8E-F, S19F-G) and VEGF (positive control,
Figure S19F,H-J). Taken together, these results highlight the biologically relevant EV-protein and
EV-miRNA cargos that exist within the plaque and marginal zones of carotid tissue along the
continuum of asymptomatic to symptomatic disease.

Discussion

It is currently unknown what renders a carotid atherosclerotic plaque vulnerable to rupture and
leads to stroke. Cell-cell communication – including through extracellular vesicles (EVs)[31] – may
play a role. Our study evaluates carotid tissue EV-transcriptomics and EV-proteomics to
understand changes in EV-cargo in diseased states (plaque versus marginal zones) along the
clinical continuum (asymptomatic to symptomatic) to predict EV source, potential recipient cell
responses, and a relevant biological functional effect based upon these predictions. Increased
numbers of EVs containing distinct cargos were discovered in carotid plaque versus matched
adjacent marginal zones, implicating their role in governing plaque biology. Given EV-based
cell-cell communication necessitates an EV donor and an EV recipient cell, we integrated
publicly available datasets (TISSUES[39], Tabula Sapiens[72] and carotid plaque scRNA-seq
datasets[20]) with our region-specific carotid EV-vesiculomics to gain insight into the cellular
drivers of EV-based communication within the plaque. As plaque vulnerability increased from
asymptomatic to symptomatic disease states, there was a greater degree of EV communication
suggested in the symptomatic plaques. Our *in silico* analysis suggested an increased
abundance of endothelial cell-derived EVs with plaque progression and predicted a greater

number of unique EV-endothelial cell ligand-receptor pairs in symptomatic plaques known to
function in angiogenesis, with downstream overrepresentation analysis corroborating increased
endothelial-based pathways. Intriguingly, we discovered an EV-derived
endothelial/neovascularization 'signature' in symptomatic carotid plaques and then showed for
the first time, that human symptomatic carotid plaque EVs induce angiogenesis in a model of
endothelial sprouting. Taken together, these exciting data illustrate a complex communication
network, with renewed focus on the role of EVs and the endothelium.
522
EVs are mediators of cardiovascular disease states and have previously been shown to be
increased in patient samples.[31,80-84] Here, we optimized and validated a protocol to enrich tissue-
entrapped atherosclerotic plaque-derived EVs using size exclusion chromatography and
validated our EVs in accordance with the MISEV2023 criteria,[26] in alignment with our previous
EV isolation approaches using similar methodology.[31] We found increased EVs per milligram of
tissue from plaque regions in comparison to their matched adjacent marginal regions. Recent
work has delineated an increase in EV signature based on pathway analysis of differential
protein expression from diseased areas (carotid plaque and aortic valve).[31] EVs mediate their
effects via delivering their cargo such as miRNAs and proteins to recipient cells.[32-34] In carotid
tissue, this has been difficult to test empirically. To date, there is evidence that carotid plaque-
derived microparticles are thrombogenic[27] and that candidate EV-cargo (from multiomics
analysis of carotid tissue) can increase calcification in cultured human carotid SMCs.[31] Given
the challenges working with EVs[31,85], there has been no study to date using human carotid
plaque derived EVs in functional assays to determine the biological consequences of altered
EV-cargo in diseased states. By examining the EV-vesiculome within our carotid plaque versus
marginal zones, plaque EV-contents were predicted to be involved in several pro-atherogenic
pathways such as complement and coagulation, fluid shear stress, cellular senescence, cell
proliferation and differentiation, and immune pathways. As EVs carry proteins that are indicative
of their cell of origin,[56,86] we harnessed an opportunity to examine our unique dataset within
publicly available single cell RNA -sequencing datasets to explore potential EV-based cell-cell
communication in the carotid atherosclerotic plaque milieu.
544
To assess EV-based communication in the plaque microenvironment, we layered our EV-
vesiculomics with the only published carotid plaque scRNA-seq dataset that contained site-
specific data (plaque zone and marginal zone) to reveal potential EV donor cell identities and
communication partners in our two zones of interest.[20,56,86] Site-specific layering is important in
atherosclerotic disease given the cellular plasticity seen in plaque progression.[12,87,88] Leveraging
tissue-specific scRNA-seq data to inform the probable cell of origin of EVs has recently gained
acceptance as a starting point in complex human tissue.[57] The differences in EV cell of origin
identity with vascular SMCs predominating in marginal zones and immune cells in plaques
zones is in keeping with recent reports of different identities and quantities of various cell
populations found in plaques versus the adjacent region.[20] The role of SMCs in regulating
atherosclerotic plaque progression through phenotypic switching in atherogenic
environments[11,87] is well characterized and our data now suggest that SMCs could also be
important sources of EVs in marginal zones, beyond their roles within advanced
plaques.[12,20,88,89] The role of immune cells in governing advanced plaque biology is similarly
acknowledged, and likely explains the increased EV contribution predicted from these cells in
plaque regions.[90,91] Intriguingly, the ligand-receptor communication strategies (using EV-protein
cargo as ligands and recipient cell repertoires from scRNA-seq) that were predicted in the
plaque versus marginal zones suggested increasing complexity of the biological milieu along the
disease continuum.[20,31] Our analysis implicates EVs as integral mediators of atherosclerotic
plaque progression and EV-based communication as a potential target to modulate plaque
biology.

566
Assessment and treatment of vulnerable carotid atherosclerotic plaques represents a continuing
clinical challenge with opportunities to improve.[92] With symptomatic plaques representing culprit
lesions with advanced vulnerable atherosclerotic disease where participation from resident and
circulating cells that are phenotypically dynamic contribute to the EV-pool, disease progression
lends itself to multifaceted communication patterns.[93] We defined a predicted role for endothelial
cells at both facets (donor and recipient) of the EV cell-cell communication paradigm in
symptomatic plaques, where endothelial cells featured prominently as both potential sources of
EVs and responders to EVs – specifically with communication signaling known to function in
neovascularization and angiogenesis. Neovascularization in atherosclerotic plaque occurs as a
result of hypoxemia and leads to the growth of immature and leaky vessels causing intra-plaque
hemorrhage, a well known contributor to plaque instability and rupture.[37,94-96] While not unique to
symptomatic plaques, it does predominate in this group of patients.[97] With this insight, we
corroborated our predictions functionally and showed for the first time that symptomatic human
carotid plaque zone EVs induce angiogenesis using an optimized low-input human endothelial
spheroid sprouting assay.
582
The importance of endothelial EV-based communication in driving atherosclerotic plaque
instability represents an opportunity to understand the disease process from the less-studied
endothelial-centric lens. Endothelial cell-EVs are intimately involved in autocrine and paracrine
communication with cells of the atherosclerotic plaque where they orchestrate upregulation of
adhesion molecules, and activation, proliferation, and migration of vascular cells.[7,98-104] In the
atherosclerotic milieu, endothelial cells are exposed to disturbed flow and inflammatory
mediators, and can undergo endothelial-to-mesenchymal transition wherein they lose
endothelial identify and adopt mesenchymal properties.[9,10,105] In the scRNA-seq dataset from
Alsaigh *et al*, there were three endothelial cell populations contributing to plaque-derived EVs as
seen in the original scRNA-sequencing dataset that represented quiescent, activated, and
transdifferentiated endothelial cells.[20] Given the quiescent endothelium has been shown to
participate in atheroprotective EV-messaging[104], it would be beneficial to resolve the differences
in communication between these cell types and understand how to shift the messaging to a
quiescent phenotype. The important role of endothelial cell-based EV communication in carotid
atherosclerotic plaque combined with the accessibility of the endothelial layer, emphasizes the
potential of endothelial-EV targeted therapeutics in atherosclerotic vascular disease.
599
Our study explored EV-based cell-cell communication in human atherosclerotic disease and
how this might dictate plaque vulnerability in part through the functional role of EVs in
endothelial angiogenesis. There are limitations, however. While we completed tissue EV-
transcriptomics and EV-proteomics, it was not possible to generate matched scRNA-sequencing
that would have allowed us to annotate the cells and communication occurring within the same
carotid tissue.[57] The external dataset selected was the only publicly available scRNAseq on
plaque and marginal zones, however it did not contain symptomatic patients. Given the cell
identity changes seen with plaque progression, cell annotations and ligand-receptor interactions
may not have captured the diversity of the symptomatic plaque cell populations specifically. *In*
silico analysis only enables predictions for delineating EV cells of origin and potential recipient
cell responses, which could be a limitation across all samples – regardless of patient symptom
status. Lastly, EVs are one of many extracellular signals in the plaque microenvironment. While
it is encouraging that increased CD63 (common EV marker) was similarly detected in
symptomatic plaque zones in the BiKE dataset, and was significantly increased in the most
severe Cluster 3 carotid plaques described by Mokry et al,[106] the role of lipoproteins, non-EV
inflammatory or angiogenic processes, and metabolites cannot be excluded.[107] Our work does
not preclude the role of other signalling molecules, nor can it account for the dynamic, spatial,

and other physical elements taking place. As technologies progress, it may become possible to
validate our findings at a single EV-level.
619
620
621 **Conclusions**
622
This work was pursued with the challenging goal of establishing an important conversation
around EVs in carotid plaque biology. Crucially, vulnerable symptomatic carotid disease was
characterized by an increased concentration of EVs in plaque zones, with increased contribution
from endothelial cell sources. Furthermore, EVs harbored a signature for specific EV-based
communication with endothelial cells, with predicted impact on angiogenic pathways. These
predictions were corroborated functionally by demonstrating symptomatic carotid plaque zone
EVs increase endothelial sprouting angiogenesis – providing a mechanism for
neovascularization and plaque rupture potential. These provocative results underscore the
importance of the endothelium in governing vulnerable biology and highlight unique
opportunities to consider the role of EVs as a therapeutic target in plaque stabilizing therapies.
633
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Disclosures
Natalie Galant is co-founder and CEO of Paradox Immunotherapeutics, but the content of this manuscript is unrelated to the work of this company.

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Figure Legends

Figure 1. Whole tissue proteomics identifies a potential role for EVs in modulating plaque biology corroborated with increased enrichment of tissue resident EVs from human atherosclerotic carotid plaques. A. Experimental overview. Carotid endarterectomy specimens (*n*=64*)* were collected from the operating room and dissected into plaque and marginal zones for downstream analysis. **B.** Principal component analysis of carotid tissue proteomes between the PZ and MZ (donor matched *n*=5; donor uncorrected in Figure S2C). **C.** Percent incidence of Gene Ontology (GO; Biological Process and Cellular Component) terms (false discovery rate <0.05) containing "vesicle" derived from differentially enriched proteins (q-value<0.05) from plaque and marginal zones (left panel; *n*=5). Right panel lists top 26 (by adjusted p-value) GO Biological Process terms where vesicle-associated terms from plaque regions are highlighted (marginal zone in Figure S2D). **D.** Heatmap representing per-fraction proteomics identifies prototypical EV markers enriched in fractions 7-10 (left panel). Protein abundance was Z-score normalized by protein (Representative sample, *n*=4 in Figure S5A). Western blot analysis depicting EV markers (CD63, CD81, CD9, and Flot1) in EV-enriched fractions (7-10) isolated from human atherosclerotic plaque tissue (right panel, *n*=1). **E.** Cryo-EM of EVs isolated from plaque and adjacent marginal zones. Arrows indicate select EV structures as bilayered nanoparticles with dense cores (Representative Sample, *n*=4). Scale bar = 200nm. **F.** Quantification of EV mean diameter by nanoparticle tracking analysis (NTA; *n*=20). **G.** Nanoparticle concentration (normalized to tissue weight, NTA dilution factor, and total EV-enriched volume; *n*=11) binned by particle size from plaque (PZ, purple) and marginal zones (MZ, pink). Quantification of nanoparticle concentration across all sizes by NTA (*n*=11, right panel). Bar graphs show mean ± SEM. Statistical significance assessed by Fisher's exact test (C) and a two-tailed paired t-test (11-20 pairs; F,G).

Figure 2. Carotid plaque EV-vesiculome is zone-specific (plaque versus marginal) with differentially expressed EV-miRNA and proteins associated with pro-atherogenic functions. A-B. Donor corrected principal component analysis (PCA) showing EV-miRNA **(A)** and EV-protein **(B)** profiles of EVs enriched from carotid plaque zones (PZ, purple) versus marginal zones (MZ, pink) (miRNA *n*=20 pairs; protein *n*=23 pairs). **C.** Edge quantification of protein-protein interaction network with all differentially enriched EV-proteins (n=661) in plaque versus marginal zones. Represents full STRING network wherein edges indicate both functional and physical protein interactions with a medium confidence interaction score and nodes represent proteins. **D-E.** Volcano plot of carotid tissue derived EV-miRNA **(D)** and EV-protein **(E)** contents with positive and negative fold change (FC) representing miRNAs and proteins enriched in plaque versus marginal zones, respectively (FDR step up/q-value<0.05). Highlighted in red are miRNAs and proteins known to function in inflammation. **F.** Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis of all differentially expressed (FDR/q-value <0.05) predicted EV-miRNA targets (mRNA, miRTarBase via MIENTURNET) and EV-proteins. Venn diagram of unique and shared KEGG pathways between predicted EV-miRNA targets and EV-proteins (top left panel). Bubble plots of KEGG pathways that were significantly (FDR<0.05) modulated by all differentially enriched plaque EV-miRNAs (top right panel) and EV-proteins (bottom right panel), with all pathways that were shared by EV-miRNA and EV-proteins shown in bottom left panel. Labeled are pathways of interest (FDR<0.05). Cancer-, and infection-associated pathways were excluded from analysis.

Figure 3. *In silico* **analysis of human atherosclerotic tissue derive EV-cell-of-origin and global communication strategies in plaque and marginal zones. A.** Pictorial representation of cell of origin using the TISSUES database with all EV-proteins. Top 11 cells by strength metric calculated as a ratio of observed and background gene count after inclusion of cell types only

(tissue annotations filtered out; further details in Figure S8A). **B.** Box plot of global EV-protein
signature enrichment among cells from atherosclerotic plaques, informed by integrating all
detected EV-proteins (global EV signature) with single cell RNA sequencing (scRNA-seq) of
carotid atherosclerotic plaques. **C.** Stacked bar plot visualizing total number of predicted cell-cell
communication interactions in plaque (purple) and marginal (pink) zones with CellChat. **D.**
Heatmap depicting EV-cell signaling strength employed by all EV-proteins to both plaque and
marginal single cells. Strength of EV-derived signaling molecule and cell type shown on right
and top bar graph, respectively, and represents communication probability. **E.** Cell type
annotations derived using the Tabula Sapiens database (vascular tissue) by inputting all
significant (adjusted p-value <0.05) predicted mRNA targets of all differentially expressed EV-
miRNA in plaque and marginal zones combined (FDR<0.05). **F.** Box plot of predicted EV-
miRNA-mRNA target signature enrichment among cells of the atherosclerotic plaque, informed
by integrating differentially detected EV-miRNA - mRNA targets with scRNA-seq of carotid
atherosclerotic plaques.
1077
Figure 4. Site specific (plaque versus marginal zone) differences in EV cell of origin,
recipient cell communication strategies and directed communication with vascular cells
(ECs, SMCs, macrophages). A-B. Box plot of differential marginal **(A)** and plaque **(B)** EV-
protein signature enrichment among cells of the atherosclerotic plaque, informed by integrating
differentially enriched EV-proteins with scRNA-seq of carotid atherosclerotic plaques. **C-D.**
Pictorial representation of cell of origin using the TISSUES database with differentially
expressed EV-proteins in marginal **(C)** and plaque **(D)** zones. Top 10 cells by strength metric
calculated as a ratio of observed and background gene count after filtering for tissues (further
details in Figure S8B). **E-F.** Heatmap depicting differential marginal and plaque EV to cell
signaling strength employed by differentially enriched EV proteins in marginal **(E)** and plaque **(F)**
zones to all atherosclerotic single cells in plaque and marginal regions. Strength of EV-derived
signaling molecule and cell type shown on right and top bar graph, respectively. **G-H.** Chord
diagrams representing differentially expressed EV ligands to EC **(G)** and SMC **(H)** ligand-
receptor pairs to single cells in marginal zones (left panels) and plaque zones (right panels).
Arrows represent EV-ligand interactions. Individual cell populations annotated in legend.
1093
Figure 5. EV concentration and greater differential EV cargo (miRNA and protein)
discriminates between symptomatic and asymptomatic atherosclerotic plaques. A.
Schematic of experimental design. Carotid endarterectomy specimens from symptomatic and
asymptomatic patients were dissected into plaque and marginal zones, followed by EV-
enrichment, quantification, and miRNA transcriptomics and proteomics. **B.** Hematoxylin and
eosin (H&E) and Movat pentachrome stain of asymptomatic (top panel) and symptomatic
(bottom panel) of carotid plaque specimens (Patient 1 and 2). Large, calcified plaque with
calcified/necrotic center, but with no significant intraplaque hemorrhage (top panel). Large,
calcified plaque with calcified/necrotic center with intraplaque hemorrhage (bottom panel). H&E
staining with intraplaque hemorrhage indicated by green arrowheads (bottom left panel) and
Movat pentachrome staining with intraplaque hemorrhage indicated by cyan arrowheads
(bottom right panel). Scale bar (500 μm) as shown. **C-D.** Nanoparticle tracking analysis (NTA) of
nanoparticle concentration in asymptomatic **(C)** and symptomatic **(D)** atherosclerotic plaque
versus marginal zones. **E.** Assessment of EV size and morphology. NTA of mean diameter of
nanoparticles enriched from asymptomatic (left panel) and symptomatic (middle panel) carotid
plaques. Cryogenic electron microscopy (cryo-EM) of EVs from asymptomatic (right panel, top)
and symptomatic (right panel, bottom) carotid plaques (*n*=1-2 per group). Arrows indicate select
EV structures as bilayered nanoparticles with dense cores. Scale bar = 200nm. **F-G.** Volcano
plot of carotid tissue resident EV-miRNA transcriptome in asymptomatic **(F)** and symptomatic
(G) with positive and negative fold change (FC) representing miRNAs enriched in plaque versus

marginal zones (FDR step up<0.05). **H-I.** Volcano plot of carotid tissue resident EV-proteome in
asymptomatic **(H)** and symptomatic **(I)** with positive and negative fold change (FC) representing
EV-proteins enriched in plaque versus marginal zones (q-value<0.05). Bar graphs show mean ±
SEM. Statistical significance assessed by non-parametric paired Wilcoxon test **(C-E)**.
1118
Figure 6. Differential plaque EV-secretome highlights key pathways involved in plaque
vulnerability. A. Venn diagram depicting shared and unique plaque derived EV-miRNA in
asymptomatic (green) and symptomatic (purple) conditions (FDR step-up<0.05, filtered for
miRNAs<1000). **B.** Total numbers of significant Gene Ontology (GO) and Kyoto Encyclopedia of
Genes and Genomes (KEGG) pathways (adjusted p-value<0.05) modulated by the predicted
mRNA targets (miRTarBase via MIENTURNET, threshold of minimum interactions 1; FDR<0.05)
of differentially expressed plaque EV-miRNAs in asymptomatic (green), symptomatic (purple),
and shared (brown) conditions. **C.** Dot plot of all KEGG pathways derived from unique
asymptomatic plaque-derived predicted EV-miRNA mRNA targets (p-value<0.05). Labelled are
significant (adjusted p-value<0.05) pathways graphed by odds ratio. **D.** Dot plot of KEGG
pathway analysis from unique symptomatic plaque-derived predicted EV-miRNA mRNA targets.
Graphed are top 42 significant (adjusted p-value<0.05) pathways by odds ratio (total 120
pathways with adjusted p-value<0.05). **E.** Venn diagram depicting shared and unique plaque
derived EV-proteins in asymptomatic (green) and symptomatic (purple) conditions (q-
value<0.05). **F.** Total numbers of significant GO and KEGG pathways (adjusted p-value<0.05)
modulated by differentially expressed plaque EV-proteins (q-value<0.05) in asymptomatic
(green), symptomatic (purple), and shared (brown) conditions. **G.** Bar graph of all significant
KEGG pathways (adjusted p-value<0.05) from unique asymptomatic plaque-derived EV-
proteins (adjusted p-value<0.05). **H.** Bar graph of all significant KEGG pathways (adjusted p-
value<0.05) from unique symptomatic plaque-derived EV-proteins (adjusted p-value<0.05).
STRING (db version 12.0) used to generate protein-protein interaction maps (right panel) of
pathways of interest. Cancer-, and infection- associated pathways were excluded from analysis.
1141
Figure 7. Endothelial cells (ECs) are cellular players modulating cell-cell communication
through EVs in vulnerable plaques. A-D. Box plots of symptom and regional EV-protein
signature enrichment among cells of the atherosclerotic plaque, informed by integrating
differentially expressed EV-proteins in asymptomatic and symptomatic, marginal versus plaque
zones with scRNA-seq of carotid atherosclerotic plaques. Asymptomatic marginal **(A)** and
plaque **(B)** zone signature enrichment among cells of the atherosclerotic plaque. Symptomatic
marginal **(C)** and plaque **(D)** zone signature enrichment among cells of the atherosclerotic
plaque. **E-F.** Heatmap depicting differential asymptomatic plaque- **(E)** and symptomatic plaque-
(F) EV-cell signaling strength employed by differentially expressed EV-proteins in asymptomatic
and symptomatic cohorts with plaque cells. Strength of EV-derived signaling molecule and cell
type shown on right and top bar graph, respectively.
1153
Figure 8. Overrepresentation analysis identifies angiogenesis and neovascularization as
functional effects of vulnerable (symptomatic) plaque EVs with support from a functional
EC sprouting assay. A. Chord diagrams representing ligand-receptor pairs between
differentially enriched EV-proteins (ligands) to ECs (receptor) in asymptomatic (top panel) and
symptomatic (bottom panel) plaques. Red arrows represent EV-ligand to receptor interactions.
Individual cell populations annotated in legend. **B.** Filtered inquiry of EC, angiogenesis, and
neovascularization specific pathways from Gene Ontology (GO) overrepresentation analysis of
differentially expressed predicted EV-miRNA-mRNA targets in asymptomatic and symptomatic
groups. Fold enrichment represented in legend. **C.** Pairwise comparison of mean fold
enrichment of EC-specific pathways in symptomatic versus asymptomatic plaques. **D-F.**
Functional effect of symptomatic marginal zone (MZ) and plaque zone (PZ) EVs on endothelial

cell angiogenesis. Representative confocal microscopy images of HUVEC-spheroid
angiogenesis after addition of EVs (2-4X10^{10} EVs; 24 h), or assay control **(D)**. Scale bar 100
μm. Representative grayscale images with annotations for sprout number **(E, left panel)** and
quantification of sprout number per spheroid (*n*=7-10 from 3 independent experiments; **E, right**
panel). Representative grayscale images with tracings representing individual sprout lengths **(F,**
left panel) and quantification (*n*=188-331 sprouts from 7–10 spheroids from 3 independent
experiments). Bar graphs shows the mean±SEM. Violin plots show median ± interquartile range.
Statistical significance assessed by 1-way ANOVA with Sidak multiple comparisons test
(adjusted p-values shown; **E,F)**. P-values meeting significance (<0.05) shown in bolded text.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

EV-miRNA

A

Unique Asymptomatic: 33
Shared: 364
Unique Symptomatic: 306

B

Unfiltered pathways (adjusted p-value<0.05)

- Asymptomatic
- Shared
- Symptomatic

GO, KEGG

C

Odds Ratio vs -log₁₀ adjusted p-value

Steroid biosynthesis
ABC transporters
AMPK signaling pathway
Cell cycle
Apelin signaling pathway
Cellular senescence
Fluid shear stress and atherosclerosis
cGMP-PKG signaling pathway
cAMP signaling pathway

D

Odds Ratio vs -log₁₀ adjusted p-value

B cell receptor signaling pathway
IL-17 signaling pathway
C-type lectin receptor signaling pathway
Sphingolipid signaling pathway
Insulin resistance
AGE-RAGE signaling pathway in diabetic complications
p53 signaling pathway
Prolactin signaling pathway
Cell cycle
Type II diabetes mellitus
ErbB signaling pathway
Longevity regulating pathway
Cellular senescence
FoxO signaling pathway
HIF-1 signaling pathway
Mitophagy
Neurotrophin signaling pathway
Thyroid hormone signaling pathway
TNF signaling pathway
Apoptosis
DNA replication
VEGF signaling pathway
TGF-beta signaling pathway
Autophagy
Progesterone-mediated oocyte maturation
mTOR signaling pathway
Lipid and atherosclerosis
Mismatch repair
T cell receptor signaling pathway
AMPK signaling pathway
Adherens junction
Hippo signaling pathway
Focal adhesion
Notch signaling pathway
Non-alcoholic fatty liver disease
Fluid shear stress and atherosclerosis
Ubiquitin mediated proteolysis
Signaling pathways regulating pluripotency of stem cells
Fc epsilon RI signaling pathway
Osteoclast differentiation
Insulin signaling pathway
Relaxin signaling pathway

EV-proteins

E

Unique Asymptomatic: 6
Shared: 84
Unique Symptomatic: 435

F

Unfiltered pathways (adjusted p-value<0.05)

- Asymptomatic
- Shared
- Symptomatic

GO, KEGG

G

KEGG Pathways

Pantothenate and CoA biosynthesis, BCAT1
Valine, leucine and isoleucine degradation, BCAT1
Cysteine and methionine metabolism, BCAT1
Renin secretion, CTSB
Antigen processing and presentation, CTSB
Complement and coagulation cascades, F5

-log₁₀ adjusted p-value

H

KEGG Pathways

Complement and coagulation cascades
Protein processing in endoplasmic reticulum
Regulation of actin cytoskeleton
Thyroid hormone synthesis
Arrhythmogenic right ventricular cardiomyopathy
Cholesterol metabolism
Phagosome
Prion disease
Glutathione metabolism
Hypertrophic cardiomyopathy
Dilated cardiomyopathy
Focal adhesion
cGMP-PKG signaling pathway
Various types of N-glycan biosynthesis
ECM-receptor interaction
Histidine metabolism
N-Glycan biosynthesis
Protein export
Proximal tubule bicarbonate reclamation
Mineral absorption
Pancreatic secretion
Parkinson disease
Adrenergic signaling in cardiomyocytes
Alzheimer disease
Cardiac muscle contraction
Vascular smooth muscle contraction
Arginine and proline metabolism
PI3K-Akt signaling pathway
Serotonergic synapse
Leukocyte transendothelial migration

-log₁₀ adjusted p-value

Complement and coagulation cascades – 22/82
Cholesterol metabolism – 10/48
Regulation of actin cytoskeleton – 21/209

Figure 7

Figure 8

A

EV
EC_1
EC_2
EC_3

B

EV-miRNA-mRNA GO BPs

Asymptomatic | Symptomatic

- vasculogenesis involved in coronary vascular morphogenesis
- vascular endothelial growth factor production
- coronary artery morphogenesis
- blood vessel endothelial cell differentiation
- regulation of endothelial cell development
- regulation of establishment of endothelial barrier
- endothelial cell activation
- kidney vasculature morphogenesis
- renal system vasculature morphogenesis
- coronary vasculature morphogenesis
- vascular endothelial growth factor signaling pathway
- regulation of endothelial cell differentiation
- endothelial tube morphogenesis
- kidney vasculature development
- morphogenesis of an endothelium
- renal system vasculature development
- cellular response to vascular endothelial growth factor stimulus
- regulation of cell migration involved in sprouting angiogenesis
- regulation of blood vessel endothelial cell migration
- glomerulus vasculature development
- regulation of vascular endothelial growth factor production
- regulation of vascular endothelial cell proliferation
- cell migration involved in sprouting angiogenesis
- placenta blood vessel development
- vasculogenesis
- blood vessel endothelial cell migration
- branching involved in blood vessel morphogenesis
- regulation of morphogenesis of a branching structure
- regulation of endothelial cell migration
- regulation of endothelial cell apoptotic process

Fold Enrichment (-6, -4, -2)

C

Mean Fold Enrichment

p=1.84X10⁻²³

Asymptomatic | Symptomatic

D

Media Control — Phalloidin
Marginal Zone EV Treatment — Phalloidin
Plaque Zone EV Treatment — Phalloidin

E

Sprout Number

Marginal Zone
Plaque Zone

Sprouts Number/Spheroid

p=1.2X10⁻⁵
p=6.0X10⁻²
p=1.0X10⁰
p=3.1X10⁻¹
p=2.0X10⁻⁴
p=1.1X10⁻⁵
p=2.7X10⁻²

Untreated | MZ Eluate | PZ Eluate | MZ-EVs | PZ-EVs

F

Sprout Length

Marginal Zone
Plaque Zone

Sprouts Length (µm)

p<1.0X10⁻¹⁰
p=4.8X10⁻⁸
p=3.6X10⁻¹
p<1.0X10⁻¹⁰
p=5.6X10⁻¹
p<1.0X10⁻¹⁰
p<1.0X10⁻¹⁰
p<1.0X10⁻¹⁰

Untreated | MZ Eluate | PZ Eluate | MZ EVS | PZ EVs