Same trait, different genes: pelvic spine loss in three brook stickleback populations in

Alberta

Jonathan A. Mee [1]

[1] Department of Biology, Mount Royal University, Calgary, Alberta, Canada, jmee@mtroyal.ca

Abstract

The genetic basis of phenotypic or adaptive parallelism can reveal much about constraints on

evolution. This study investigated the genetic basis of a canonically parallel trait: pelvic spine

reduction in sticklebacks. Pelvic reduction has a highly parallel genetic basis in threespine

stickleback in populations around the world, always involving a deletion of the pel1 enhancer of

Pitx1. In three populations of brook stickleback in Alberta, Canada, pelvic reduction did not

involve *Pitx1*. Instead, pelvic reduction in one population involved a mutation in an exon of

Tbx4, and it involved a mutation in an intron of *Lmbr1* in the other two populations. Hence, the

parallel phenotypic evolution of pelvic spine reduction across stickleback genera, and among

brook stickleback populations, has a non-parallel genetic basis. This suggests that there is

redundancy in the genetic basis of this adaptive polymorphism, but it is not clear whether this

indicates a lack of constraint on the evolution of this adaptive trait. Whether the different

pleiotropic effects of different mutations have different fitness consequences, or whether certain

pelvic reduction mutations confer specific benefits in certain environments, remains to be

determined.

Keywords

Genetic parallelism, pelvic spines, balancing selection, polymorphism, *Culaea inconstans*

Lay Summary

In this study, I looked for the genetic basis of a well-studied trait in stickleback fish: the pelvic

spines. This structure (i.e. the pelvic girdle and attached spines) has a shared developmental basis

(and is homologous to) the pelvic bones and hind limbs of all tetrapods (including humans). We

know, from studying mice, fish, humans, and even manatees, that there are several genes that

could affect the development of pelvic spines and hind limbs. In one species of stickleback, the

threespine stickleback, however, a single gene called *Pitx1* is always involved in the loss of

pelvic spines in populations that have adapted to freshwater lakes. This replicated evolution of

the same trait in the same environmental conditions is called parallel evolution. It's remarkable

that *Pitx1* is always the gene underlying this adaptive loss of spines in freshwater threespine

stickleback populations. I was interested in whether this "genetic parallelism" extended to other

species of stickleback that have also evolved the loss of pelvic spines. I looked at three

populations of brook stickleback (which are never found in the ocean), each of which contains

individuals with and without pelvic spines. I found that the *Pitx1* genetic parallelism does not

extend to brook stickleback, and, in fact, the genetic basis of pelvic spine loss differs between

populations. In Muir Lake and Astotoin Lake, pelvic spine loss results from a mutation in the

Lmbr1 gene, and in Shunda Lake, pelvic spine loss results from a mutation in the *Tbx4* gene.

Introduction

Parallel phenotypic evolution is an important observation for inferences about adaptation and the repeatability of evolution. Parallel phenotypic change does not, however, necessarily involve parallel genetic change, and the same phenotype may be caused by different mutations. Given phenotypic parallelism (or adaptive parallelism), genetic parallelism could, conceptually, entail parallel involvement of the same developmental network, the same biological pathway, the same gene (or a paralog), or the same basepair change (James et al. 2023). When (and when not) to expect genetic parallelism is an open question, although there is an intuitive and often-observed tendency for more genetic parallelism when lineages are more closely related (Conte et al. 2012, Blount et al. 2018, Bohutínská & Peichel 2023).

Insights about constraints on evolution can be gained by studying the genetic basis of parallel adaptation. A phenotype that can be produced via a mutation in one of many genes in a biological pathway is less constrained than a phenotype that can only be produced via a mutation in one gene. The genetic basis of a phenotype may also be constrained by evolutionary mechanisms (Yeaman et al. 2018). Mutations in different genes may produce the same phenotype but may have different pleiotropic effects, and different mutations might have different expressivity, penetrance, or dominance relationships. As a result, natural selection may impose constraints based on which of the functionally equivalent genetic changes that produce the same phenotype result in the highest fitness. In small and isolated populations, genetic drift may limit genetic diversity or availability of mutations in alternative genes. So, the mutation currently underlying a phenotype in a particular population may be contingent the past availability of one mutation (of several alternative mutations that give in that same phenotype). Overall, one should expect less genetic parallelism between two phenotypically (or adaptively)

parallel lineages if any combination of the following is true: the lineages are distantly related, the

phenotype has a highly redundant genetic basis, the fitness differences between alternative

genetic pathways are small, and/or genetic drift limits the availability of alternative mutations. It

is not clear how to generalize expectations regarding genetic parallelism across all combinations

of these factors (e.g. the redundancy in biological pathways, the fitness variation among

alternative mutations, and the effects of drift), and it is therefore unclear whether the theoretical

null expectation should be that genetic parallelism is common or rare. Empirical studies of the

genetic basis of parallel phenotypes are therefore central to understanding genetic parallelism

and the nature of constraints on evolution.

Sticklebacks (Gasterosteidae) are an established system for studying the genetic basis of

phenotypic parallelism. Polymorphism in the presence-absence of pelvic spines and pelvic

girdles has been observed among populations in four stickleback genera: *Apeltes*, *Culaea*,

Gasterosteus, and *Pungitius*. In *Gasterosteus aculeatus* and Northern European populations of

Pungitius pungitius, pelvic spine loss or reduction is caused by a deletion mutation in the pel1

enhancer affecting the expression of *Pitx1* in the pelvic region during development (Shapiro et

al. 2004, Shapiro et al. 2006, Coyle et al. 2007, Chan et al. 2010, Klepaker et al. 2013, Shikano

et al. 2013, Kragesteen et al. 2018, Thompson et al. 2018, Xie et al. 2019). Hence, there is

genetic parallelism among these two stickleback genera that diverged approximately 26 million

years ago (Shapiro et al. 2006, Varadharajan et al. 2019). But, pelvic spine reduction among

populations of *P. pungitius* in North America does not involve *Pitx1* (Shikano et al. 2013),

suggesting that there is redundancy in the genetic basis of this trait. Indeed, among the genes in

the biological pathway that influences the development of pelvic structures in fishes (i.e. pelvic

spines or fins) or hind limbs in tetrapods, a mutation in any number of different genes (e.g. in

Pitx1, *Tbx4*, *Wnt8c*, or *Hox9-Hox11*) could result in reduction or loss (Swank et al. 2021).

Regardless of the genetic basis of stickleback pelvic spine reduction, there is an

abundance of evidence from studies of sticklebacks supporting the assumption that pelvic spine

phenotype is influenced by selection, and alternative pelvic morphs (e.g. spined versus unspined)

may be under directional or balancing selection within a population depending on the context.

Selection on pelvic spine morphs is influenced by predation (for or against spines depending on

the predator) and by the physiological or resource costs of growing bony structures, particularly

in low-calcium freshwater environments (Reist 1980a, Reist 1980b, Giles 1983, Bell et al. 1993,

Reimchen 1994, Rundle et al. 2003, Lescak et al. 2012, Spence et al. 2013, Miller et al. 2017,

Haines et al. 2023).

This study addressed the question, to what extent does pelvic spine reduction or loss

involve a parallel genetic basis among stickleback species and populations? This question was

addressed by investigating the genetic basis of pelvic spine reduction in brook stickleback

(*Culaea inconstans* Kirtland, 1840), and by comparing the findings to the well-studied genetic

basis of pelvic spine reduction in threespine and ninespine stickleback involving *Pitx1*, as

described above. Three populations of brook stickleback in Alberta, Canada, with different

proportions of spined, partially spined (a.k.a. intermediate or vestigial), and unspined individuals

were used for this study. The difference in the pattern of polymorphism in these three lakes may

be due to a difference in the genetic basis of the pelvic reduction. For example, different

mutations (e.g. in different genes) might have different expressivity, penetrance, or dominance

relationships that result in different phenotypes in heterozygous individuals, and, as a result,

different proportions of partially spined individuals. The three populations of brook stickleback

(i.e. from three different lakes) included in this study were selected because the frequencies of

pelvic spine morphs differed between populations, and because the polymorphism has persisted

in these lakes with relatively high and stable frequencies of pelvic spine morphs for least five

decades (Nelson 1977, Klepaker et al. 2013, Lowey et al. 2020). I had a prior expectation that I

would find evidence of the involvement of *Pitx1* in pelvic spine polymorphism in brook

stickleback. If the molecular features that promote fragility (or mutability) of *Pitx1* enhancer

sequences in threespine stickleback (Xie et al. 2019) are conserved across genera, one may even

expect a deletion of the pel1 enhancer to be involved in brook stickleback pelvic spine reduction.

I had no prior expectation regarding the likelihood of parallelism or non-parallelism in the

genetic basis of pelvic spine loss among different brook stickleback populations.

Materials and Methods

Sample collection

Brook Stickleback were collected from Muir Lake and Shunda Lake in 2017 and 2019,

and from Astotin Lake in 2022 (Fig 1). Astotin Lake and Muir Lake are comprised of a roughly

50% mix of spined and pelvic-reduced brook stickleback. In Astotin Lake, about 75% of pelvic-

reduced individuals completely lack any pelvic structure, and the remainder of the pelvic-

reduced individuals have partial pelvic reduction (e.g. missing a spine on the left or right side, or

having a pelvic girdle but no spines). In Muir Lake, these intermediate morphs (with partial

pelvic reduction) occur at frequency of less than 2% (Lowey et al. 2020). The brook stickleback

population in Shunda Lake is comprised mostly of individuals with a complete pelvic girdle and

spines, and a minority (about 20%) of individuals in Shunda Lake have some degree of pelvic

loss, about 25% of which (i.e. ~5% of the total population) completely lack any pelvic structure

(Lowey et al. 2020). Fish were collected using 0.5cm mesh minnow traps set along lake margins

within 5m of shore at a depth of up to 2m. All traps were retrieved within one to twelve hours

after being set. Brook stickleback were anesthetized and euthanized in an overdose mixture of

lake water and eugenol, caudal fins were preserved in 95% ethanol, and all other tissues were

preserved in 70% EtOH. Sampling permits were issued by the Government of Alberta and Parks

Canada, and fish handling protocols were approved by the Animal Care Committee at Mount

Royal University (Animal Care Protocol ID 101029 and 101795).

Pelvic phenotypes

Spined and unspined individuals were initially identified at the site of capture based on

close visual inspection and articulation of pelvic spines with fine-tipped tweezers. Sex was also

evaluated at the site of capture by examining gonads and by noting the presence of nuptial

colouration. Subsequently, brook stickleback specimens were bleached and dyed using alizarin

red to clearly visualize calcium-containing oocytes (such as those in pelvic bones) following a

protocol adapted from Xie et al. (2019). After bleaching and staining, photographs of each

specimen were taken using a Nikon SMZ-745T Zoom Stereo Photo Microscope. Photos of each

specimen, focusing on the entire pelvic area, the left pelvic girdle, the right pelvic girdle, the left

pelvic spine, and the right pelvic spine, including a scale bar within the focal area for each photo,

were used to verify the pelvic phenotype for each individual, and each individual was scored as

pelvic-present, pelvic-absent, or intermediate (as per Klepaker et al. 2013). In addition,

measurements of the length of both pelvic spines (when present) and the area of the left and right

pelvic girdle (when present) were recorded using Nikon NIS-Elements D software. A standard

measure of asymmetry from Palmer (1994) was used to further characterize pelvic phenotype for

each individual: $(L - R)/[(L + R)/2)]$.

Whole genome sequencing

Genomic DNA was extracted from each individual using a Qiagen DNEasy Blood and

Tissue kit. Purified DNA samples with a DNA concentration > 1 ng / uL (measured with a Qubit

Fluorometer and a Broad Range DNA assay kit) and with a 260/280 nm absorbance ratio > 2

(measured with a Nanodrop Spectrophotometer) were sent to Genome Québec for shotgun DNA

library preparation and paired-end (150bp) sequencing on an Illumina HiSeqX or NovaSeq6000

with an S4 flow cell. In 2017, 40 individuals from Muir Lake and 40 individuals from Shunda

Lake were sequenced on four HiSeqX lanes. An additional 58 individuals from Muir Lake and

57 individuals from Shunda Lake (from samples collected in 2017 and 2019) were sequenced on

one lane of NovaSeq6000 S4. In 2022, 120 individuals from Astotin Lake were sequenced on

one lane of NovaSeq6000 S4. All raw data was deposited on the Short Read Archive (SRA)

database: accession numbers PRJNA895038 (Astotin Lake), PRJNA838068 (Muir Lake), and

PRJNA838194 (Shunda Lake).

SNP calling

To balance SNP quality (i.e. a low false positive rate) and processing time (Jasper et al.

2022), I chose to use the following pipeline employing BCFtools to call SNPs (Danecek et al.

2021). Quality filtering (phred quality >= Q15, unqualified bases <=40%), length filtering (>

15bp), adapter trimming, and, for NovaSeq reads, polyG tail trimming (minimum length = 10)

were conducted using fastp v.0.20.1 (Chen et al. 2018). No reference genome for *C. inconstans*

was available, and the *Pungitius pungitius* fPunPun2.1 (GCF_949316345.1) reference genome

assembly (Varadharajan et al. 2019) was used for alignment using bwa v.0.7.17 (Li 2013).

Samtools v.1.17 (Danecek et al. 2021) was used to generate, sort, and index BAM files, skipping

alignments with map quality < 10 (-q 10). Duplicates were then marked and removed using

MarkDuplicates in picard v.2.26.3 (http://broadinstitute.github.io/picard/). The BAM files were

then reindexed using samtools, and the cleaned and indexed BAM files were realigned by

running RealignerTargetCreator and IndelRealigner from the Genome Analysis Toolkit v.3.8

(DePristo 2011). To identify single nucleotide polymorphisms (SNPs), genotype likelihoods

were generated by running mpileup, specifying a minimum mapping quality of 5 for retention of

an aligned variant, then generated SNP VCF files by running call in BCFtools v.1.11 (Danecek et

al. 2021). Using VCFtools (Danecek et al. 2021), the individual VCF files from each population

were combined into a single population VCF file using the vcf-concat command then sites with

quality value below 30 (--minQ 30), with genotype quality below 20 (--minGQ 20), with read

depth below 5 (--minDP 5), and with more than 2 alleles (--max-alleles 2) were removed. The

full SNP-calling pipeline is available at https://github.com/jon-mee/culaea_wgs_SNPs.

Genome-wide genotype-phenotype association

To analyze genome-wide genotype-phenotype association, and for other downstream

analyses, PLINK v.1.90b4.6 (Purcell et al. 2007) was used to create a filtered subset with a

minimum allele frequency of 1% (--maf 0.01) and a maximum of 20% missing data per SNP (--

geno 0.2). PLINK was then used to create a linkage disequilibrium pruned (LD-pruned) set of

SNPs by removing SNPs within 50 kb windows (at 5kb steps) that were above a variance

threshold factor of 2. GCTA (Yang et al. 2011) was used to estimate a genetic relatedness matrix

(GRM) from the LD-pruned SNPs. Finally, to analyze genome-wide association between SNPs

and pelvic phenotypes, GCTA was used to perform a mixed linear model association analysis

(MLMA) using the full unpruned filtered SNP set using the GRM to control for population

stratification. To visualize the results of the MLMA, the QQMAN package (Turner 2014) was

used in R (R Core Team 2018). Genes associated with outliers (using a significance threshold of

$p < 10^{-7}$) in the MLMA analysis were retrieved from the *P. pungitius* genome annotation:

https://www.ncbi.nlm.nih.gov/gdv/browser/genome/?id=GCF_949316345.1. The vcfR package

(Knaus and Grünwald 2017) was used to retrieve SNP genotypes within a region +/- 100Kb from

the most significant outlier (or focal) SNPs, and the Pearson correlation (r^2) between each SNP

within the outlier region and the focal SNP to estimate LD using the cor function were calculated

in R (R Core Team 2022).

Genetic diversity and selection metrics

To characterize patterns of population genetic diversity, the snpR package in R

(Hemstrom and Jones, 2022) was used to calculate π, H_E, F_{IS}, and pairwise F_{ST}. Tajima's D was

calculated in 1000bp windows for all three populations using the SNP data filtered for quality

and depth of coverage, but without filtering for minimum allele frequency (so as to not bias

Tajima's D estimates). Finally, to evaluate the genetic structure within and between each

population, and to determine whether any within-population genetic structure is associated with

pelvic spine polymorphism, ancestry models were constructed using ADMIXTURE v.1.3.0

(Alexander et al. 2009) with the number of ancestral populations (K) set to 1 through 6, and a

principal component analysis (PCA) was conducted using PLINK v.1.90b4.6 (Purcell et al.

2007).

Results

The numbers of spined, intermediate, and unspined individuals included in the analyses

for each lake are listed in Table 1. An attempt was made to include a balanced sample of males

and females for each phenotype from each lake (Table 1), but sex was not included as a variable

in the analyses. Before filtering for minimum allele frequency and missing data per SNP, there

were 20,706,142 SNPs discovered in Astotin Lake, 20,531,270 SNPs in Muir Lake, and

20,407,490 SNPs in Shunda Lake. After filtering, 1,250,805 SNPs were retained in Astotin Lake,

1,327,906 SNPs in Muir Lake, and 1,166,516 SNPs in Shunda Lake. The LD-pruned datasets

(for GRM estimation) retained 478,612 SNPs in Astotin Lake, 424,489 SNPs in Muir Lake, and

381,815 SNPs in Shunda Lake.

A GWAS was performed for each lake separately based on the filtered (not LD-pruned)

datasets and using the GRM estimation from the LD-pruned datasets. In Astotin Lake and Muir

Lake, there was a single clear peak of association on chromosome 19 (Figure 2a and 2b). The

SNP with the strongest association on chromosome 19 was the same in both lakes (position

4,425,247), and was located within the fifth intron of the limb development membrane protein 1

(*Lmbr1*) gene, which contains a conserved regulatory element that drives the spatio-temporal

expression of the *sonic hedgehog* (*Shh*) gene (Park et al. 2008). In Shunda Lake, the SNP with

the strongest association was on chromosome 3 (Figure 2c). This SNP (position 18,698,851 on

chromosome 3) was located within an exon of the T-box transcription factor 4 (*Tbx4*) gene.

As expected, there was a high proportion of intermediate pelvic phenotypes in Shunda

Lake, a moderate proportion of intermediate phenotypes in Astotin Lake, and a low proportion of

intermediate pelvic phenotypes in Muir Lake (Table 1). The majority of intermediate phenotypes

were heterozygous in Astotin Lake, whereas the majority of intermediate phenotypes were

homozygous for the pelvic-loss allele in Shunda Lake. To visualize patterns of dominance,

penetrance, and expressivity for the genes underlying pelvic polymorphism, a generalized linear

model with a binomial distribution and a logit link function was conducted in R (R Core Team

2022) to fit a logistic regression between the pelvic phenotype and the focal SNP genotype for

each individual (Figure 3). The *Lmbr1* pelvic reduction allele was more dominant in its

phenotypic effect than the *Tbx4* pelvic reduction allele. By treating pelvic phenotype as a

quantitative trait, and assigning values of 1 and 0 for spined and unspined, respectively, and a

value of 0.5 for intermediate phenotypes, the dominance effect of the pelvic reduction allele in

each population was calculated as $d = (x_{TT} - x_{TC})/(x_{TT} - x_{CC})$, where x_{ii} is the mean phenotype for

each genotype. For *Lmbr1*, $d = 0.9112$ in Astotin Lake and $d = 0.9474$ in Muir Lake. For *Tbx4*, d

$= 0.1089$ in Shunda Lake. Penetrance (i.e. the proportion of homozygous mutants that have

pelvic reduction) was 100% for *Lmbr1* and 97.78% for *Tbx4*. Expressivity was relatively high for

Lmbr1: 85.2% of pelvic-reduced individuals (unspined or intermediate) are unspined, and the

remainder are intermediate. Expressivity was, in contrast, low for *Tbx4*: only 25.5% of pelvic-

reduced individuals (unspined or intermediate) are unspined. Patterns of pelvic asymmetry

differed between lakes with different mutations. Heterozygotes for the *Lmbr1* pelvic-reduction

allele (in Astotin Lake and Muir Lake) tended to have right-biased pelvic spines and pelvic

girdles, whereas heterozygotes for the *Tbx4* pelvic-reduction allele (in Shunda Lake) tended to

have slightly left-biased spines but no bias in pelvic girdle reduction (Figure 4).

Shunda Lake had the lowest nucleotide diversity (π) and expected heterozygosity (H_E) of

the three lakes in this study (Table 2). All three lakes had strongly negative F_{IS} values, indicating

an excess of heterozygotes. Values of Tajima's D were, on average, positive (Table 2),

suggesting an overall trend of balancing selection. The values of Tajima's D within the 1000bp

windows immediately framing the loci associated with pelvic loss were, however, negative, and

there was no clear positive or negative trend in the values of Tajima's D in the genomic regions

adjacent to the loci associated with pelvic loss (Figure 5). ADMIXTURE and PCA analyses

revealed no evidence for within-lake structure associated with pelvic spine phenotype, but did

reveal strong between-lake genetic differentiation (supplementary figures S1-S3).

Discussion

Numerous genes could potentially be involved in pelvic reduction, loss, or malformity in

fishes and tetrapods (Swank et al. 2021). Nonetheless, previous studies have frequently, if not

consistently, implicated *Pitx1* as the gene underlying reduction or loss in natural populations

(Shapiro et al. 2006). For example, *Pitx1* has been implicated in pelvic reduction in manatees and

in at least two species of stickleback (Shapiro et al. 2006). In brook stickleback, however, there

was no evidence for the involvement of *Pitx1* in pelvic reduction. Instead, two different genes

were involved in pelvic spine reduction in different populations. In Astotin Lake and Muir Lake,

pelvic reduction involved a mutation in an intron of the *Lmbr1* gene. In Shunda Lake, pelvic

reduction involved a mutation in an exon of the *Tbx4* gene. This result suggests a lack of

parallelism among species of stickleback, and among populations of brook stickleback, in the

genetic basis of pelvic reduction.

Whether or not this lack of genetic parallelism should be interpreted as a lack of

constraint on the genetic basis of pelvic reduction remains an open question. There could be

better and worse ways, in terms of fitness, to achieve pelvic reduction. Assuming that pelvic

phenotype is under selection (as ample evidence would suggest – as per the introductory

paragraph on this topic, above), it is possible that pelvic polymorphism in these populations is

driven by balancing selection on whichever pelvic-reduction mutation happened to arise in each

population. Brook stickleback are an entirely North American freshwater species, and most of

their populations, especially in Canada, are found in lakes that are isolated from one-another, that

were colonized relatively recently following the last glacial maximum roughly 15,000 years ago,

and that frequently undergo winter die-backs under ice when lakes become hypoxic. Hence, the

likelihood that genetic drift has affected the degree of parallelism among brook stickleback

populations is high. It is possible that a universally optimal genetic basis for pelvic spine

reduction confers the highest fitness (e.g. has the fewest negative pleiotropic effects), but that

some brook stickleback populations are "making do" with whatever mutation is available.

Alternatively, perhaps the best (i.e. highest-fitness) mutation for pelvic spine reduction is

dependent on the specific environmental conditions experienced in each population. For

example, in the populations in this study, the *Lmbr1* mutation might be the best way to achieve

pelvic reduction in Astotin Lake and Muir Lake, whereas the *Tbx4* mutation may be the best way

to achieve pelvic reduction in Shunda Lake. These alternatives (i.e. non-parallelism due to drift

or due to local adaptation) could be explored by raising fish with different pelvic reduction

mutations in a common environment and recording pleiotropic phenotypic effects that might be

universally deleterious (e.g. reduced growth rate or fecundity) or that could be adaptive (e.g.

effects on trophic morphology).

Brook stickleback are remarkably variable in their morphological and ecological

characteristics, which has been noted ever since the species was first given its specific epithet:

inconstans (Kirtland 1840). Of particular note, pelvic reduction is correlated with different

morphological and ecological consequences in different lakes (Willerth et al. 2022, Mee et al.

2023). Whether morphological and ecological differences between lakes, including the different

correlates of spine reduction in different lakes, are driven by the different genes underlying spine

reduction is unknown. Alternatively, lake-specific differences could be driven by phenotypic

plasticity. It does seem likely, however, that the pattern of asymmetry that observed in

intermediate individuals (i.e. in individuals with pelvic vestiges), which differs with *Lmbr1* or

Tbx4 mutations (Figure 4), is an effect of the different mutations in different individuals. Left-

bias in individuals with pelvic vestiges has been identified as a hallmark of *Pitx1* mutation (Bell

et al. 2007, Alvarado et al. 2011). These results suggest that other mutations underlying pelvic

reduction can cause a right-bias (*Lmbr1*) or no bias (*Tbx4*) in pelvic vestiges, which may provide

more insight when interpreting patterns of pelvic asymmetry (for example, in fossil data or

preserved samples).

Author Contributions

JAM conceived and designed the study, collected and analyzed the data, and wrote the

manuscript.

Acknowledgements

Heartfelt thanks go to all who helped me learn how to call SNPs including Brandon Lind, Sara

Smith, Moroni Lopez, Sam Yeaman, Gabriele Nocchi, and Greg Owens. Thanks also to Sam

Yeaman for comments on an earlier version of this manuscript. Mount Royal University

undergraduate students Grace Pigott and Carolyn Ly took all the photos and did the asymmetry

calculations. Lab work and field work was conducted by undergraduate students Grace Pigott,

Marin Flannagan, Emily Franks, Kaitlyn Willerth, Bryce Carson, Carolyn Ly, and Alyce Straub.

Field work support was provided by Melanie Rathburn and Alex Farmer. Lab support was

provided by Lindsay Leahul and Ava Zare. Funding for this project was provided by an NSERC

Discovery Grant and an MRU Faculty of Science and Technology PCYI award.

Funding

343 Funding for this project was provided by an NSERC Discovery Grant (RGPIN/4351-2-19) and

344 an MRU Faculty of Science and Technology PCYI award (award #100948).

342 **Conflict of interest statement**

347 The author declares no conflicts of interest.

Data Accessibility

The raw sequence data, which includes each individual's sex and spine phenotype in the

metadata, was deposited on the Short Read Archive (SRA) database: accession numbers

PRJNA895038 (Astotin Lake), PRJNA838068 (Muir Lake), and PRJNA838194 (Shunda Lake).

The SNP analysis pipeline is archived on GitHub at https://github.com/jon-

mee/culaea_wgs_SNPs. All other data are archived on Dryad (DOI: 10.5061/dryad.v9s4mw74r).

Temporary link for peer review:

https://datadryad.org/stash/share/6ZAYHQ_70kPK8kFmYNTNwaejcguGPALWnoXZbSrG358.

References

Alexander, D.H., Novembre, J., & Lange, K. (2009). Fast model-based estimation of ancestry in

unrelated individuals. Genome Research, 19(9), 1655-1664.

Alvarado, D. M., McCall, K., Aferol, H., Silva, M. J., Garbow, J. R., Spees, W. M., ... & Gurnett,

C. A. (2011). Pitx1 haploinsufficiency causes clubfoot in humans and a clubfoot-like

phenotype in mice. *Human molecular genetics*, 20(20), 3943-3952.

Bell, M. A., Khalef, V., & Travis, M. P. (2007). Directional asymmetry of pelvic vestiges in

threespine stickleback. *Journal of Experimental Zoology Part B: Molecular and*

Developmental Evolution, 308(2): 189-199.

Bitarello, B. D., Brandt, D. Y., Meyer, D., & Andrés, A. M. (2023). Inferring balancing selection

from genome-scale data. Genome biology and evolution, 15(3), evad032.

Blount, Z. D., Lenski, R. E., & Losos, J. B. (2018). Contingency and determinism in evolution:

Replaying life's tape. *Science*, *362*(6415), eaam5979.

Bohutínská, M., & Peichel, C. L. (2023). Divergence time shapes gene reuse during repeated

adaptation. *Trends in Ecology & Evolution*, 39 (4): 396-407.

Bolnick, D. I., Barrett, R., Oke, K., Rennison, D. J., & Stuart, Y. E. (2018). (Non)Parallel

evolution. *Annual Review of Ecology, Evolution, and Systematics*, *49*, 303–330.

Chan, Y. F., Marks, M. E., Jones, F. C., Villarreal, G. Jr, Shapiro, M. D., Brady, S. D.,

Southwick, A. M., Absher, D. M., Grimwood, J., Schmutz, J., Myers, R. M., Petrov, D.,

Jónsson, B., Schluter, D., Bell, M. A., & Kingsley, D. M. (2010). Adaptive evolution of

pelvic reduction in sticklebacks by recurrent deletion of a Pitx1 enhancer. *Science*, *327*,

302–305.

Chen, S., Zhou, Y., Chen, Y., & Gu, J. (2018). fastp: an ultra-fast all-in-one FASTQ

preprocessor. Bioinformatics, 34(17), i884-i890.

Conte, G. L., Arnegard, M. E., Peichel, C. L., & Schluter, D. (2012). The probability of genetic

parallelism and convergence in natural populations. *Proceedings of the Royal Society B:*

Biological Sciences, 279(1749), 5039-5047.

Danecek, P., Bonfield, J. K., Liddle, J., Marshall, J., Ohan, V., Pollard, M. O., ... & Li, H.

(2021). Twelve years of SAMtools and BCFtools. *Gigascience*, *10*(2), giab008.

DePristo, M.A., Banks, E., Poplin, R., Garimella, K.V., Maguire, J.R., Hartl, C., Philippakis,

A.A., Del Angel, G., Rivas, M.A., Hanna, M. and McKenna, A., 2011. A framework for

variation discovery and genotyping using next-generation DNA sequencing data. *Nature*

Genetics, *43*(5): 491-498.

Hemstrom W, Jones M (2022). "snpR: user friendly population genomics for SNP datasets with

categorical metadata." Molecular Ecology Resources. doi:10.1111/1755-0998.13721

Klepaker, T., Østbye, K., & Bell, M. A. (2013). Regressive evolution of the pelvic complex in

stickleback fishes: a study of convergent evolution. Evolutionary Ecology Research, 15:

413–435.

Kirtland, J.P. (1840). Descriptions of four new species of fishes. *Boston Journal of Natural*

History, 3 (nos 1-2, art. 3): 273-277.

Knaus B.J., & Grünwald, N.J. (2017). VCFR: a package to manipulate and visualize variant call

format data in R. *Molecular Ecology Resources*, 17(1), 44-53.

Kragesteen, B. K., Spielmann, M., Paliou, C., Heinrich, V., Schöpflin, R., Esposito, A.,

Annunziatella, C., Bianco, S., Chiariello, A. M., Jerković, I., Harabula, I., Guckelberger,

P., Pechstein, M., Wittler, L., Chan, W.-L., Franke, M., Lupiáñez, D. G., Kraft, K.,

Timmermann, B., ... Andrey, G. (2018). Dynamic 3D chroma- tin architecture contributes

to enhancer specificity and limb morphogenesis. *Nature Genetics*, *50*, 1463–1473.

Li, H. (2013). Aligning sequence reads, clone sequences and assembly contigs with BWA-

MEM. *arXiv preprint arXiv:1303.3997*.

Lowey, J., Q. Cheng, S. M. Rogers, and J. A. Mee. 2020. Persistence of pelvic spine

polymorphism in a panmictic population of brook stickleback (*Culaea inconstans*) in

Alberta, Canada. *Canadian Journal of Zoology*, 98(10):643-649.

Palmer, A.R. (1994). Fluctuating asymmetry analyses: a primer. In Markow, T.A. (Ed.),

Developmental instability: its origins and evolutionary implications (pp. 335 - 364).

Kluwer, Dordrecht.

Park, K., Kang, J., Subedi, K. P., Ha, J. H., & Park, C. (2008). Canine polydactyl mutations with

heterogeneous origin in the conserved intronic sequence of LMBR1. Genetics, 179(4),

2163-2172.

Purcell, S., Neale, B., Todd-Brown, K., Thomas, L., Ferreira, M. A., Bender, D., ... & Sham, P.

C. (2007). PLINK: a tool set for whole-genome association and population-based linkage

analyses. The American journal of human genetics, 81(3), 559-575.

R Core Team (2022). R: A language and environment for statistical computing. R Foundation for

Statistical Computing, Vienna, Austria. URL: https://www.R-project.org/

Robinson, J. T., Thorvaldsdóttir, H., Winckler, W., Guttman, M., Lander, E. S., Getz, G., and

Mesirov, J. P. (2011). Integrative Genomics Viewer. Nature Biotechnology 29, 24–26.

Shapiro, M. D., Bell, M. A., & Kingsley, D. M. (2006). Parallel genetic origins of pelvic

reduction in vertebrates. *Proceedings of the National Academy of Sciences of the United*

States of America, *103*, 13753– 13758.

Shapiro, M. D., Summers, B. R., Balabhadra, S., Aldenhoven, J. T., Miller, A. L., Cunningham,

C. B., Bell, M. A., & Kingsley, D. M. (2009). The genetic architecture of skeletal

convergence and sex determination in ninespine sticklebacks. *Current Biology*, *19*, 1140–

1145.

Shikano, T., Laine, V. N., Herczeg, G., Vilkki, J., & Merilä, J. (2013). Genetic architecture of

parallel pelvic reduction in ninespine stick- lebacks. *G3 Genes|genomes|genetics*, *3*(3),

1833–1842.

Swank, S., Sanger, T. J., & Stuart, Y. E. (2021). (Non)Parallel developmental mechanisms in

vertebrate appendage reduction and loss. *Ecology and Evolution*, 11, 15484–15497.

Thompson, A. C., Capellini, T. D., Guenther, C. A., Chan, Y. F., Infante, C. R., Menke, D. B., &

Kingsley, D. M. (2018). Novel enhancer near the Pitx1 gene influences development and

evolution of pelvic ap- pendages in vertebrates. *eLife*, *7*, e38555.

Turner, S.D. (2014). qqman: an R package for visualizing GWAS results using Q-Q and

manhattan plots. biorXiv DOI: 10.1101/005165 (2014).

Van der Auwera, G. A., Carneiro, M. O., Hartl, C., Poplin, R., Del Angel, G., Levy‐Moonshine,

A., ... & Banks, E. (2013). From FastQ data to high‐confidence variant calls: the genome

analysis toolkit best practices pipeline. Current Protocols in Bioinformatics, 43(1), 11-10.

Varadharajan, S., Rastas, P., Löytynoja, A., Matschiner, M., Calboli, F. C., Guo, B., ... & Merilä,

J. (2019). A high-quality assembly of the nine-spined stickleback (*Pungitius pungitius*)

genome. Genome biology and evolution, 11(11), 3291-3308.

Xie, K.T., Guliang Wang, A. C. Thompson, J. I., Wucherpfennig, T. E., Reimchen, A.D.,. C.

MacColl,Dolph Schluter, Bell, M. A., Vasquez, K. M., Kingsley, D. M. (2019). DNA

fragility in the parallel evolution of pelvic reduction in stickleback fish. *Science*. 363:6422

81–84. DOI: 10.1126/science.aan1425

Yang, J., Lee, S. H., Goddard, M. E., and Visscher, P. M. (2011) GCTA: a tool for Genome-wide

Complex Trait Analysis. Am J Hum Genet. 88(1): 76-82. DOI: 10.1016/j.ajhg.2010.11.011

Yeaman, S., Gerstein, A. C., Hodgins, K. A., & Whitlock, M. C. (2018). Quantifying how

constraints limit the diversity of viable routes to adaptation. *PLoS genetics*, *14*(10),

e1007717.

Table 1. Number of brook stickleback sampled for whole-genome sequencing and used in

analyses of genome-wide genotype-phenotype association for this study.

	Pelvic Phenotype		
	Spined	Intermediate	Unspined
Astotin Lake			
female	32	13	30
male	17	1	9
unknown sex	11	1	5
Muir Lake			
female	18	1	19
male	29	1	30
Shunda Lake			
female	21	19	9
male	28	14	6

462   **Table 2.** Population genetic diversity and selection metrics.

	H_O	H_E	π	F_{IS}	Mean D
Astotin Lake	0.283	0.218	0.219	-0.294	0.458
Muir Lake	0.297	0.219	0.220	-0.352	0.574
Shunda Lake	0.295	0.208	0.209	-0.416	0.506

466 **Figure 1.** Locations of the three populations of brook stickleback sampled for this study (Astotin

467 Lake, Muir Lake, and Shunda Lake), in addition to other nearby lakes in Alberta known to

468 contain (or to have contained) polymorphic brook stickleback populations. The grey box in the

469 inset map shows the area displayed in the main map. The map was made with QGIS using

470 Natural Earth Data.

a. Astotin Lake – 1 250 805 SNPs

d.

b. Muir Lake – 1 327 906 SNPs

e.

c. Shunda Lake – 1 166 516 SNPs

f.

Figure 2. GWAS results for a) Astotin Lake, b) Muir Lake, and c) Shunda Lake. Chromosome zero (i.e. the zero at the left of each x-axis) captures all SNPs in unassembled scaffolds. The outlier regions for each lake (panels d, e, and f respectively) show the locations of genes identified from the *Pungitius pungitius* genome annotation shown in blue below the axis. Genes are labeled with the gene symbol from the annotation. The purple line shows a Lowess-smoothed curve of linkage disequilibrium across the outlier region (measured as correlation with the focal SNP; r^2 on the right-hand axis). Shading of points in the right-hand panels corresponds to the magnitude of correlation with the focal SNP. The left-hand axis in panels d, e, and f is the same as (and aligned with) panels a, b, and c, respectively.

Figure 3. Different patterns of dominance, penetrance, and expressivity of pelvic-reduction mutations in different populations. Each grey point shows the genotype and phenotype for one individual (with random jitter to space out the points on the horizontal axis). The lines show the fit of a logistic regression between pelvic phenotype and genotype for each lake.

Figure 4. Asymmetry in pelvic spines in non-mutant individuals (typically with complete pelvic girdles and two spines) and in heterozygotes (typically with intermediate pelvic morphology). It was not possible to measure asymmetry in homozygous mutants with intermediate pelvic morphology (most had no pelvic structure at all). Error bars show one standard error.

Figure 5. Tajima's D plotted in 1000bp windows within the outlier regions depicted in Figure 2. The vertical red line shows the location on the horizontal axis of outlier SNP, and the shading denotes the transcribed sequence of the associated gene: *Lmbr1* in Astotin Lake and Muir Lake, *Tbx4* in Shunda Lake.

Figure S1. PCA plot based on SNP data from brook stickleback populations in Astotin Lake, Muir Lake, and Shunda Lake. Point shapes denote pelvic spine phenotype: square = spined, circle = intermediate, triangle = unspined.

Figure S2. Cross validation error from ADMIXTURE analysis of SNP data from brook stickleback populations in Astotin Lake, Muir Lake, and Shunda Lake, with K set 1 to 6, and 10 replicates of each value of K.

Figure S3. ADMIXTURE bar plot showing inferred ancestry proportions (K = 3) based on SNP data from brook stickleback populations in Astotin Lake, Muir Lake, and Shunda Lake. Each column represents one individual. Within lakes, individuals are ordered left-to-right by pelvic phenotype (spined, intermediate, unspined).