1 Unifying (simple) models of genetic robustness and

2 evolvability

3 Short title: How robustness affects evolvability.

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8 Abstract

Simple models, in which genetic robustness is expressed as the probability that a mutation is

neutral, appear to offer disparate views of the relationship between robustness and evolvability. If

we assume robustness trades off with evolvability, but let environmental and mutational

robustness vary across genotypes, we find that evolvability is maximized at intermediate rates of

environmental change. On the other hand, if we assume that the robustness of a genotype is

fixed, but let the mutational networks connecting such genotypes vary, we find that robustness

and evolvability need not trade off, and in fact, evolvability is maximized at intermediate levels

of robustness. Here, I reconcile and extend these models. First, I show that even without a hard

genotype-level trade-off between robustness and evolvability, selection optimizes phenotypic

robustness depending on the rate of environmental change. Moreover, when adaptive landscapes

are complex, counter-intuitively, certain increases in environmental stability can increase the

frequency of environmentally-robust but mutationally-sensitive genotypes; in other words, some

environments favor evolvable generalists. Next, with minor adjustments and re-interpretations, I

show that simple models connecting mutational robustness to evolvability can be readily applied

to environmental (or developmental) robustness. Then, with further model extensions, I show

that even if the probability of neutral mutation is fixed, populations can evolve along the

spectrum of robustness and evolvability by evolving the genotype-determined neighborhood of

mutationally-accessible phenotypes. Indeed, because it allows for the evolution of increased

evolvability without a concomitant increase in genetic load, selection should favor changes in the

phenotypic neighborhood over changes in mutational sensitivity.

29 **1. Introduction**

Phenotypes tend to be robust against much genetic and environmental variation (1,2). If the

targets of selection are stable, such robustness can be adaptive, as it promotes homeostasis and

decreases genetic load (3–6). On the other hand, robustness can reduce heritable genetic

variation (7). So, if the adaptive landscape varies, selection may promote genotypes that are

more sensitive to mutational or environmental variation, and are thus more evolvable (8–10).

Genetic and environment variation map to phenotypic variation via developmental systems that

can be complex, and fittingly, many studies of the relationship between robustness and

evolvability have used complex models of such mappings (11,12). Examples include

empirically-based models of RNA or protein folding (7,10,13), or more theoretical models of

self-assembling systems (14,15) or gene regulatory interactions (16–19). Although apropos, the

interpretation of such models is fraught due to the fact that robustness is not the only thing

affected by structural variation in a genotype-phenotype map. In particular, such variation can

also affect how a developmental system (1) accumulates cryptic genetic diversity (20), (2)

phenotypically responds to non-neutral mutation (21,22), (3) transitions between ordered and

chaotic dynamics (19), and (4) "learns" from past selective environments (22–24). So, with

complex genotype-phenotype models, when a change in evolvability is observed, it can be

difficult to say that a change in robustness *per se* is the cause.

An alternative approach is to abstract away the details of a developmental system, and model

robustness simply as the probability that a change in the genetic or external environment will

affect a change in phenotype (25,26). Although this has the advantage of conceptual clarity, at

first blush, such models would seem to offer conflicting views of the relationship between

robustness and evolvability. Here, I show how this apparent conflict can be resolved, and how

that resolution can yield new insights into the relationship between robustness and evolvability.

The heart of the matter is that robustness is relative. We can talk about the robustness of a

genotype, or a phenotype. And we can talk about robustness against variation in the genetic, or

external environment. Depending on our frame of reference, the relationship between robustness

and evolvability changes (10). At the genotype level, robustness and evolvability trade off;

robustness decreases phenotypic variance (10). Meyers et al. (26) show that when genotypes

vary in their robustness against environmental and mutational variation, populations evolve to

maximize evolvability at intermediate rates of fluctuating selection. But they consider robustness

strictly at the genotype level, and assume a trade-off between mutational and environmental

robustness, despite evidence to the contrary (27,28). At the phenotype level, robustness and

evolvability need not trade off, since robustness can increase cryptic genetic diversity (29,30).

Draghi et al. (25) show that the rate at which a population adapts to a change in the environment

is maximized at intermediate levels of phenotypic robustness to mutation. But they assume that,

in a population, genotypes are invariant for mutational and environmental robustness. So,

previous authors have made different simplifying assumptions, and consequently our view of the

relationship between robustness and evolvability is only partial.

Here is the question. How does robustness relate to evolvability when it can vary across

genotypes and phenotypes, against variation in both the genetic and external environment? By

merging and extending their models, I show that the key inferences of Meyers et al. (26) and

Draghi et al. (25) are robust. In fact, their application is broader than has been appreciated; both

tell us as much about the evolution of environmental robustness as mutational robustness. I also

make two additional key insights. First, counter-intuitively, in certain genetic and environmental

contexts, reducing the rate of environmental change can lead to selection for more

environmentally robust genotypes, that is, generalists. This is because generalists tend to evolve

lower levels of mutational robustness. Second, although Meyers et al. (26) and Draghi et al. (25)

focus on the probability of non-neutral mutation, their models also include a parameterization of

the mutationally-accessible phenotypic neighborhood of a genotype, and in fact, selection for

increased evolvability works preferentially on this neighborhood. This is because evolability via

phenotypic neighborhood evolution comes without an increase in mutational load.

2. Simple models of robustness

2.a. Genotype robustness

Let us start by considering the model of Meyers et al. (26), which elegantly demonstrates that

given a genotype-level trade-off between robustness and evolvability (10), the optimal level of

genetic robustness is a function of the rate of environmental change. Imagine a pentagonal,

bidirectional, mutational network, where each vertex is a genotype, each edge is a mutation, and

each vertex has an out-degree of two (Fig. 1a.). A population of genotypes that can mutate along

the edges of this network is exposed to fluctuating selection. Each genotype maps to one of three

possible phenotypes: (1) phenotype A is optimal when the environment is in state a, (2)

phenotype B is optimal when the environment is in state b, and (3) phenotype V has intermediate

fitness in both environmental states. Although several such networks are possible, Meyers et al.

(26) focus on the one in Fig. 1a because the genotypes differ in mutational robustness and

evolvability. Here, to clarify how this model relates to others, let us define a couple new terms.

Let q_i denote the probability that a mutation of genoptype g_i has no effect on the phenotype. In

Fig. 1a, q_i varies from one for genotype g_1, to zero for g_3 and g_4. Note that for the phenotype of

fitness, these genotypes also vary by environmental robustness; genotype expressing phenotypes

A and B are more sensitive to environmental variation than the genotype expressing phenotype V.

If we let r_i stand for the environmental robustness of genotype g_i, in Fig. 1a, it is one for g_3 and

less than one for the rest. Mutational and environmental robustness are both genotype-specific.

Meyers et al. (26) show that given this mutational network, the expected frequency of each

genotype depends on a parameter λ, the number of generations between a change in the selective

environment (Fig. 2): (1) When the environment changes infrequently ($\lambda > 1000$) the most

mutationally robust genotype, g_1, occurs at the highest frequency. In other words, we observe the

"survival of the flattest" (5). (2) When the environment changes rapidly ($\lambda < 10$), the most

important thing is the environmental robustness of a genotype, r_i; the generalist genotype g_3

occurs at the highest frequency (8). Note that this is just a different type of "survival of the

flattest;" where g_1 is the flattest with respect to mutational variation, g_3 is the flattest with respect

to environmental variation. (3) When the environment changes neither too fast nor too slow (10

$< \lambda < 1000$), the population evolves to maximize evolvability; the highest frequency genotype is

g_0, which is the genotype that maps to phenotype *A* that has the lowest combination of mutational

and environmental robustness. In sum, selection works not just on the observable phenotype {*A*,

B, V} but also the robustness and evolvability of those phenotypes.

Figure 1. *Mutational networks with redundant mappings of genotypes to phenotypes. (**a**) The*

network of Meyers et al. (26). Five genotypes are possible, each of which maps to one of three

phenotypes: A (light blue) is optimal in state a environments; B (gold) is optimal in state b

environments; V (gray) has intermediate fitness across environmental states a and b. Back-

mutation is possible and the graph forms a cycle. Robustness varies across genotypes, but the

*robustness and phenotypic neighborhood of each genotype does not change over time. (**b, c**)*

Example of the mutational network of Draghi et al. (25) at two time points. Different vertex

colors denote different phenotypes, with the phenotype p_0 (light blue) being currently optimal.

Potential phenotype-changing mutations are shows with dashed gray edges; the set of vertices

connected by such edges to a genotype constitute that genotype's phenotypic neighborhood, k_i.

Potential neutral mutations are shown as dotted black edges, and realized neutral mutations are

shows as solid black edges. Back-mutation is forbidden, thus, the graph is divergent. A

mutational path can loop back to a particular phenotype, but in each step along the way, a new

phenotypic neighborhood is selected for a genotype.

Figure 2. *Reproduction of the analysis of the Meyers et al. (26) with R code provided as*

Supplementary File S1. Environmental stability, λ, is given on a log scale on the x-axis. Curves

show the mean frequency of each genotype when the environment is in state a. Interpretation*: In*

volatile environments, generalists predominate. In stable environments mutationally robust

genotypes rule. In environments that change at intermediate frequency, the population evolves to

maximized evolvability; it becomes an evolvability specialist.

2.b. Phenotype robustness

But robustness need not trade off with evolvability (31,32), an elegant demonstration of which is

given by Draghi et al. (25). Like Meyers et al. (26), they consider an evolving population of

genotypes the relations of which can be visualized as a mutational network (Fig 1b,c). But in this

case, instead of specifying a cyclical network *a priori*, they specify a mutational process, and

allow a divergent network to emerge organically. They let each genotype g_i map to a phenotype

p_i, and have a *K*-dimension set $\mathbf{k_i}$ of alternative phenotypes that can be reached by a single

mutation. Thus, $\mathbf{k_i}$ is what has been referred to as the phenotypic 1-neighborhood of a genotype

(10). (Note that in the Meyers et al. (26) model, each genotype also has a phenotypic

neighborhood, but there is no requirement that adjacent genotypes have alternative phenotypes,

and thus *K* varies across genotypes.) Phenotypes for p_i and $\mathbf{k_i}$ are chosen randomly from a set of

possible phenotypes $\mathbf{P}$ of size *P*. Before time t_x, a population of genotypes is exposed to strong

stabilizing selection about an initial phenotype p_0; all alternative phenotypes confer a fitness of

zero. Mutations at rate μ are neutral with probability *q*; thus, as in the model of Meyers et al.

(26), *q* affects mutational robustness, but in this case *q* does not vary across genotypes. At rate

$\mu(1-q)$, mutation causes a change in the phenotype, with the new phenotype, p_i', selected from

the phenotypic neighborhood $\mathbf{k_i}$. Whenever a mutation occurs, regardless of whether or not it is

neutral, a new phenotypic neighborhood, $\mathbf{k_i}$, is randomly sampled from the phenotypic space $\mathbf{P}$.

So, during this initial phase of evolution, while strong stabilizing selection prevents a population

from diversifying phenotypically, the population can grow a network of phenotypically

redundant genotypes, each of which has its own phenotypic neighborhood (Fig. 1b,c).

Figure 3. *The effect of*

mutational robustness, q, on

evolvability, t_a^{-1}, depends on

the difference between the

number of possible

phenotypes, K, and the size

of the phenotypic

neighborhood P. This

reproduces the results of

Draghi et al. (25) but with an individual-based simulation model (Supplementary File S2), with

50 replications for each combination of parameter values, P = 100, K = {5,30,100}, and q =

(0.1, 0.2, …, 1.0). The y-axis is on a log scale. Curves are loess regressions, with a span of 1.0;

shaded areas give 95% confidence intervals. Interpretation*: Unless all phenotypes are*

accessible by one mutation, evolvability is maximized at intermediate levels of mutational

robustness.

At time t_x, a new optimal phenotype, p_x, is chosen from **P**. Draghi et al. (25) examine how, t_a, the

time it takes for the population to evolve p_x depends on q, K, and P. They show that if $K = P$ –

that is, every genotype can with one mutation reach every possible phenotype – increasing

mutational robustness q monotonically increases the expected time for the population to evolve

p_x (Fig. 3). As in Meyers et al. (26), robustness and evolvability are antithetical. But if $K < P$,

mutational robustness can increase the diversity of phenotypic neighborhoods, and the

relationship between q and the expected time for the evolution of p_x is non-monotonic;

evolvability is highest at intermediate values for q (Fig. 3).

177   3. Reconciling simple models of robustness

So, Meyers et al. (26) show that if evolvability trades off with robustness and there is fluctuating

selection, then the optimal kind and level of robustness depends on the rate at which selection

fluctuates. But Draghi et al. (25) show that evolvability and robustness might not trade off, and

indeed, this is something that has been demonstrated repeatedly with analysis of more complex

genotype-phenotype models (10,31). Does that invalidate the inferences of Meyers et al. (26)?

In fact, the two models are entirely compatible; so long as we recognize that they consider

robustness from different frames of reference, as per Wagner (10). Meyers et al. (26) consider the

mutational robustness of specific genotypes in a fixed mutational network; they let each

genotype g_i have its own mutational and environmental robustness q_i, and r_i. At the genotype

level, any increase in robustness causes a proportional decrease in evolvability. In contrast, in the

Draghi et al. (25) model, mutational robustness q is a property of a generic mutational process

that does not vary across genotypes; there is no variation in genotype-level robustness. But

Draghi et al. (25) let the mutational network evolve, which allows the genotype-phenotype map

to become more redundant, and the phenotypic neighborhood of a population to grow to

approach P. They model robustness of phenotypes, and at that level, robustness and evolvability

can vary independently.

Here, I reconcile these two views of robustness by extending each model. First, I extend the

Draghi et al. (25) model so as to allow for variation in mutational and environmental robustness

across genotypes, and to test if the optimality of robustness and evolvability depends in the same

way as in Meyers et al. (26) on the rate of environmental change. Then, for a complementary

view of how the rate of environmental change shapes genotype-phenotype maps, I extend the

Meyers et al. (26) model so as to let the mutational network and genotype-phenotype mappings

be freely evolvable.

3.a Divergent mutational networks of genotypes varying in robustness

3.a.i. Model description

Imagine a population of haploid individuals in an environment with a carrying capacity of

N=500, and for which there is a P-dimensional set **P** of possible phenotypes. Each individual has

three genetically-determined traits: (1) a phenotype p_i, (2) a phenotypic neighborhood $\mathbf{k_i}$ of size

K, and (3) a mutational robustness q_i. The life cycle follows the Wright-Fisher model, with

selection on reproductive success, clonal reproduction with mutation, and non-overlapping

generations. At the start of each simulation, the population is monomorphic, with $q_i = 0.1$, and an

initial phenotype p_0 and phenotypic neighborhood $\mathbf{k_0}$ selected randomly from **P**. Mutation

happens at rate μ=2e-3 per individual per generation. Each mutation is neutral with probability q_i.

Whenever a neutral mutation occurs, a new phenotypic neighborhood $\mathbf{k_i}$ is chosen from **P**. When

a non-neutral mutation occurs, there is an equal chance that it affects either mutational robustness

q_i, or the phenotype p_i. If the former, a new value for q_i is chosen from a random uniform

distribution. If the latter, a new phenotype p_i and phenotypic neighborhood $\mathbf{k_i}$ are randomly

sampled from $\mathbf{P}$.

This population is subjected to fluctuating selection at rate λ^{-1}. When the environment is in state

a, phenotypes in L-dimensional set $\mathbf{O_a}$ are optimal, and when the environment is in state b, a

non-overlapping L-dimensional set $\mathbf{O_b}$ of phenotypes are optimal. Phenotypes in L-dimensional

set $\mathbf{V}$ have intermediate fitness in both environmental states. So,

$w_a(p_i) = \beta_0 + s$ for $p_i \in \mathbf{O_a}$; β_0 for $p_i \in \mathbf{O_b}$; $\beta_0 + sh$ for $p_i \in \mathbf{V}$, and

$w_b(p_i) = \beta_0$ for $p_i \in \mathbf{O_a}$; $\beta_0 + s$ for $p_i \in \mathbf{O_b}$; $\beta_0 + sh$ for $p_i \in \mathbf{V}$

where, similar to Meyers et al. (26), w_j gives the fitness of phenotype p_i in environmental state j,

$\beta_0 = 0.6$ is the base viability, $s = 0.4$ is the fitness advantage of expressing an appropriate

specialist phenotype, and $h = 0.5$ determines the intermediacy of the generalist phenotype.

We simulate evolution by repeating this life cycle for 20K generations, keeping track of the

following model variables: (1) w-bar, the mean population fitness, (2) q_i-bar, the mean

population mutational robustness, (3) D-bar, the mean Simpson's Diversity of the population's

phenotypic neighborhoods, and (4) f_V-bar, the mean frequency of individuals expressing a

generalist phenotype, that is, $p_i \in \mathbf{V}$. Fifty replicated model simulations were run for every

combination of model parameters (Table 1). Analyses were run using the SLiM framework (34);

model codes are provided as Supplementary Document S3.

Table 1. Divergent network model parameters and variables.

Parameter	Description	Values
μ	Mutation rate	2e-3
N	Population size	500
P	Number of possible phenotypes, **P**	{6, 100}
K	Size of phenotypic neighborhood, $\mathbf{k_i}$	{1, 3, 6} | P=6
		{6, 30, 100} | P=100
L	Number of phenotypes in each set $\mathbf{O_a}$, $\mathbf{O_b}$, and **V**	1 | P=6
		$K/3$ | P=100
λ	Number of generations between change in environment	{1e+1… 1e+4}
β_0	Viability of specialist genotype in wrong environment	0.6
s	Viability advantage of specialist in right environment	0.4
h	Viability intermediacy of generalist phenotype	0.5
Variable	**Description**	**Range**
w-*bar*	Population mean fitness	0 < w-*bar* < 1
q_i-*bar*	Population mean mutational robustness	0 < q_i-*bar* < 1
f_V-*bar*	Frequency of generalist phenotypes ($p_i \in$ **V**)	0 < f_V-*bar* < 1
D-*bar*	Simpson's Diversity of phenotypic neighborhoods, $\mathbf{k_i}$	0 < D-*bar* < 1

3.a.ii Model Dynamics

Let us first consider what happens when the set of possible phenotypes is small (P=6) and only

one phenotype is optimal in each environmental state (L=1) (Fig. 4). Regardless of phenotypic

neighborhood size, K, when selection fluctuates rapidly ($\lambda = 10$) mean population fitness is ~ 0.8

(Fig. 4a), which is the viability of the generalist phenotype, and indeed, the generalist phenotype

tends to occur at frequencies near one (Fig. 4c). As the rate of fluctuating selection decreases,

mean population fitness rises, and the frequency of the generalist phenotype falls, so that when λ

=10,000, *w-bar* is near one, and f_V-*bar* is near zero. (But note that there is a slight kink in the

mapping of λ to f_V-*bar*, at ~ λ=100; we will return to this below.) In contrast, the relationships

between λ and the other model statistics, q_i-*bar* and *D-bar*, are non-monotonic. Mutational

robustness, q_i-*bar*, is lowest at intermediate values for λ, with the bend of the curve being more

pronounced with larger phenotypic neighborhoods (Fig. 4b). We see a similar U-shaped mapping

of λ to the mean diversity of phenotypic neighborhoods, *D-bar*, although when K=P, D equals

one by definition, and the extent to which *D-bar* drops at intermediate values of λ increases with

decreasing K. This is contrast to the observation by Draghi et al. (25) that when $K < P$,

decreasing q increases the diversity of a population's phenotypic neighborhoods. This can be

explained by the fact that instead of exposing a population that has evolved in a static

environment to a one-time adaptive challenge, we expose a population to fluctuating selection. If

populations are more mutationally sensitive and hence more evolvable, repeated bouts of

positive selection will tend to sweep away much of the standing diversity in phenotypic

neighborhoods (35). This effect is especially strong when K=1, in which case it takes longer for

D to rebound from a sweep. So, when $K \in \{3, 6\}$ and $P=6$, increased mutational sensitivity ($1-q_i$)

clearly brings about increased evolvability. On the other hand, when $K=1$, this relationship

weakens, as a relatively small dip in mutational robustness, q_i-*bar,* comes with a relatively large

dip in the diversity of phenotypic neighborhoods, *D-bar;* though more mutations are non-neutral,

they access a smaller part of the phenotypic space, *P*.

Figure 4. *Summary of models where K is fixed and q$_i$ is freely evolvable. Panels show how the*

*number of generations between environmental fluctuations, ƛ, affects (**a**) population mean*

*fitness, w-bar, (**b**) mean mutational robustness, q$_i$-bar; (**c**) mean frequency of generalist*

*genotypes f$_V$-bar, (**d**) mean population diversity of phenotypic neighborhoods, D-bar. Each point*

shows the result for one simulation. Lines show loess regressions. Point and line colors denote

different values for phenotypic neighborhood size, K. Interpretation: *As environments become*

increasingly stable, there is a succession of mutationally robust generalists, evolvable specialists,

and mutationally robust specialists.

When the set of possible phenotypes is comparatively large (*P*=100) and more than one

phenotype is optimal in each environmental state (*L=K*/3), what we find is similar to when *P*=6

and *L*=1 (Fig. 5), but when *K*=6, the kink in the mapping of λ to f_V-*bar* is yet more striking.

(Fig. 5c). In fact, polynomial regression analysis recovers support for a cubic functional

relationship between f_V and λ, and there is a region in which increasing environmental stability

(λ) increases the frequency of generalist genotypes, f_V. How do we explain this?

Figure 5. *How, when the phenotype space is large, P=100, the number of generations between*

*environmental fluctuations, λ, affects (**a**) the population mean fitness, w-bar, (**b**) the mean*

genotype robustness against mutation, q_i-bar, (c) the mean frequency of generalist genotypes f_V-

bar, (d) the mean population diversity of phenotypic neighborhoods D-bar, (e) the mean

mutational robustness of genotypes expressing the generalist phenotype V_q-bar and (f) the mean

mutational robustness of specialists, AB_q-bar. Each point shows the result for one simulation.

Lines show loess regressions. Point and line colors denote different values for phenotypic

neighborhood size, K. Interpretation: *When P is large and K is small, it is unlikely that*

populations will evolve well-adapted specialists unless the environment is relatively stable.

Counterintuitively, increasing environment stability can increase the frequency of mutationally

sensitive generalists.

Simply put, there is an interaction between environmental and mutational robustness. Mean

population mutational robustness, q_i, changes little with λ. But this masks an underlying

divergence in q_i between specialist and generalist genotypes (Fig 5e,f.). Specifically, as λ

increases from 10 to 10,000, in generalists q_i-bar drops from 0.45 to 0.13, while in specialists it

increases from 0.34 to 0.60. So, when populations with small phenotypic neighborhoods are

challenged to adapt to a world in which there are many phenotypic possibilities, environmental

stability selects for evolvability in generalists and mutational robustness in specialists. At rates of

environmental change that most favor evolvability ($100 < \lambda < 1000$), generalists tends to be more

evolvable. And so over this range of values for λ, we see an increase in the mean frequency of

generalist phenotypes.

Why are generalists more evolvable in such environments? Could it be that low mutational

robustness – and hence the production of diverse offspring – is part of a complex bet-hedging

strategy against environmental uncertainty (36–38)? Expressing phenotype p_V is an example of

what has been called conservative bet-hedging; p_V reduces the variance in fitness across

generations by conferring the same intermediate fitness in all environments (39). Another bet-

hedging strategy is to diversify (40,41); although this increases the variance in fitness across

individuals, it also reduces the variance in fitness across generations. Previous theoretical work

has shown that mixing conservative and diversifying bet-hedging strategies can out-perform

either strategy on its own (36); in uncertain environments, genotypes can evolve to hedge their

hedges. But here, it seems unlikely. The problem is that evolvable generalists self destruct;

diversification entails genetic divergence, and so we lack the continuity across generations

required of the units of selection (42).

An alternative hypothesis would be that generalists do not persist long enough for selection for

mutational robustness to be effective. But closer analysis reveals evidence to the contrary. In fact,

over the λ values at which f_V-bar increases, generalists tend to persist for several thousand

generations (Fig. S1.c). Moreover, they tend to evolve lower mutational robustness than their

specialist ancestors, and this dynamic becomes more pronounced as λ increases. If we divide the

evolutionary history of a population into phases of generalism (f_V-bar > 0.9) and specialism (f_V-

bar <= 0.9), and then calculate the mean change in q_i-bar over the course of a generalist phase,

Δ_{qV}, the mean of this quantity decreases from -0.04 when λ = 10, to -0.18 when λ = 10,000 (Fig.

S1.a). So, the high evolvability of generalists is not because generalists are ephemeral.

A third hypothesis is that selection against mutational sensitivity is less efficient in generalists,

since the expected decrease in fitness from non-neutral mutation is less severe. Generalists tend

to evolve evolvability via genetic drift. Sure enough, whereas increasing environmental stability,

λ, causes the mean of Δ_{qV} to become more negative, the standard deviation of Δ_{qV} remains

consistently positive, regardless of environmental stability (Fig. S1.b). And this helps us better

understand the tendency for Δ_{qV} to be negative: Being a generalist does not increase evolvability.

The evolution of increased evolvability presages the end of generalism.

Figure S1. *The evolution of evolvable generalists. How the number of generations between*

environmental fluctuations, λ, affects (a) the mean change in mutational robustness over the

course of an evolutionary phase of generalism, Δ_{qV}-bar, (b) the standard deviation of that same

quantity, and (c) the mean duration of an evolutionary phase of generalism. Each point shows

the value for one simulation. Lines show loess regressions. Interpretation: *Generalists tend to*

persist for thousands of generations, and to evolve lower mutational robustness than their

specialist ancestors. But the latter effect is likely due to neutral genetic processes.

To sum up, in broad strokes, the inferences of Meyers et al. (26) are robust to relaxations of the

their assumptions about genetic architecture. Even if we let mutational sensitivity and phenotypic

neighborhood be freely-evolving genotype properties, over much of the explored parameter

space, as environments become increasingly stable, we find the same succession of mutationally

robust generalists, evolvable specialists, and mutationally robust specialists. On the other hand,

we find some new patterns when phenotypic neighborhood sizes are a small fraction of the total

number of possible phenotypes. In particular, there can be a negative feedback between

mutational sensitivity (1-q_i) and phenotypic neighborhood diversity (D) such that more

mutationally sensitive genotypes might not be more evolvable. And counter-intuitively, certain

increases in environmental stability can cause an increase in the frequency of generalist

phenotypes, provided that they are more mutationally sensitive than specialists.

341   3.b. Cyclical networks of genotypes varying in robustness

342   3.b.i. Model description

Let us now extend the Meyers et al. (26) model by letting the mutational network be freely

evolvable individual-level property. We mostly follow the original and use the same set of

possible phenotypes, **P** = {A, B, V}, the same fitness functions, and the same regimes of

fluctuating selection ($1 < \lambda < 10,000$). But we change the mutational process to allow for two

kinds of effects. With probability $\rho=0.5$, a mutation transforms the genotype of an individual to

one of the adjacent genotypes in their mutational network. With probability $1-\rho$, a mutation

randomly re-assigns the phenotypic mapping of a randomly selected vertex in the individual's

mutational network. To clarify, in the divergent mutational network model of Draghi et al. (25),

the network is a population-level property; an individual's position in that network is determined

by their ancestry and the elements of their phenotypic 1-neighborhood. By contrast, with this

extension of the Meyers et al. (26) cyclical network model, the network is essentially a higher-

order, phenotypic 4-neighborhood, governing what phenotypes are accessible to a genotype via

any number of mutations.

As for the divergent network model, we analyze the dynamics of this model with individual-

based simulations, with 20K generations for each replicate, and 50 replicates per value of λ.

Model codes are provided in Supplementary Document S4. In each generation, we record the

following model statistics: (1) *w-bar*, the mean population fitness, (2) GP_{max}, the most common

genotype-phenotype map, (3) S^{-1}-*bar*, the population mean of the inverse richness of

mutationally-adjacent phenotypes, which is a measure of mutational robustness, (4) f_V-*bar*, the

mean frequency of individuals expressing a generalist phenotype, and (5) f_{Vk}-*bar*, the mean

frequency of phenotypic 1-neighborhoods containing the generalist phenotype p_V.

3.b.ii. Model dynamics

In broad strokes, when we recast the cyclical mutational network of Meyers et al. (26) as another

kind of evolvable phenotypic neighborhood, we find the same λ-dependent succession of

environmentally-robust generalists, evolvable specialists, and mutationally robust specialists

(Fig. 6). But we also get a couple of new insights, and a surprise. The first new insight is that

when environments turn over rapidly (λ=10), not only does the generalist phenotype p_V prevail,

but mutational networks are enriched for p_V (Fig 7a.). In fact, the most common network,

occurring at an average frequency of ~0.43, is composed exclusively of p_V (Fig 7b.). Populations

evolve to be both environmentally and mutationally robust. And the mutational robustness

observed in this case is far more pronounced than anything we see in mutationally-robust

specialists in stable environments.

A second new insight is that in stable environments (λ=10,000), mutational robustness is

asymmetrical across specialist phenotypes, with higher average robustness for the phenotype that

was optimal in the first environmental epoch, p_A. Historical contingency can have long-lasting

effects on the evolution of the genotype-phenotype map.

The surprise is that – similar to what we saw for f_V with our extensions of Draghi et al. (25)

model – there is a kink in the functional mapping of variation in λ to f_{Vk}', that is, the mean

population frequency of genotypes containing the generalist phenotype p_V in their phenotypic 1-

neighborhood. A dip in f_{Vk}' happens at $\lambda \sim 100$, where the balance between selection for

evolvability and robustness tips most in favor on evolvability; at $\lambda = 100$, in evolved mutational

networks, a mapping to p_V is rare, and there is relatively even mix of mappings to p_A and p_B (Fig

7a,c.). Beyond $\lambda = 100$, selection against p_V mappings weakens, as mutational robustness again

becomes more valuable. In sum, it seems that selection for evolvable specialists more efficiently

reduces the likelihood of generalism-causing mutations than does selection for robust specialists.

Figure 6. *Dynamics of evolving cyclical mutation networks. How the number of generations*

*between environmental fluctuations, λ, affects (**a**) the population mean fitness, w-bar, (**b**) the*

*mean frequency of a generalist genotypes f_V-bar, (**c**) the mean frequency of mutational networks*

*containing the generalist phenotype f_{Vk}-bar, and (**d**) the mean phenotypic robustness against*

mutation, S^{-1}. Each point shows the result for one simulation. Lines show loess regressions. The

mutation rate μ = 1e-2, and there is an equal probability that a mutation causes an individual to

change to an adjacent genotype, or causes a change in the phenotype-assignment of one of the

genotypes in the network.

4. The evolution of environmental robustness

Next, let us briefly consider the transferability of the Draghi et al. (25) and Meyers et al. (26)

models to questions about the relationship between environmental robustness and evolvability.

Imagine that, as in the Draghi et al. (25) model, there is a world with *P* possible phenotypes. But

instead of a population of genotypes that explores this world via mutation, suppose there is a

meta-population of genetically-invariant demes that explores it via migration. Phenotypes

emerge from genotype-by-environment interactions, and environments vary such that each deme

has a K-dimensional phenotypic neighborhood, $\mathbf{k_i}$, accessible via migration. For a depiction of

this model, Figure 1.b. should suffice; one need only reinterpret the nodes as demes, and the

edges as migrations. Let q_m represent the probability that the environment of a new deme induces

no change of phenotype; in other words, q_m determines the migrational robustness of the

genotype to environmental variation. Since we assume the population is genetically

monomorphic and have replaced mutation with migration, we can not assess the relationship

between robustness and evolvability *per se*, at least not if we think about evolution only in terms

of changes in genotype frequencies. But we can assess the relationship between robustness and

plasticity, which is analogous. In the beginning, only phenotype p_0 is adaptive and only demes

that express p_0 survive selection. But migration forms a neutral network of demes, and the

diversity of phenotypic neighborhoods grows. If at some point a new phenotype, p_x, becomes

optimal, as long as $K < P$, we know that the relationship between q_m and rate at which the

population evolves via migration to express p_x will be non-monotonic, with the fastest rates

occurring at intermediate values of q_m. This is because the logic of Draghi et al. (25) model of

mutational robustness has not changed, only our interpretation of some of its variables and

parameters. Neutral mutation can boost evolvability by changing the structure of a mutation

network. Neutral migration can boost plasticity by changing the structure of a population in a

heterogeneous environment.

Fig 7. *Evolved mutational networks. (a) How the number of generations between environmental fluctuations, λ, affects the average frequency of each phenotype in the population of mutational networks, with the frequency of p_A shown in purple, p_B in green, and p_V in blue. Below, (**b-e**), the most common mutational network is shown for a subset of λ values. Here genotypes mapping to phenotype p_A are in light blue, p_B in gold, and p_V in gray.* Interpretation: *When we let cyclical mutational networks evolve in volatile environments, populations evolve to be both mutationally and environmentally robust. Increases in evolvability are realized by evolving networks that are an even mix of specialist phenotypes. And in stable environments, historical contingency can cause long-lasting biases in phenotypic robustness.*

Figure S2. *Flow diagram of model relating environmental robustness to evolvability. Vertices*

represent model variables, and edges show how one variable affects another, or if an edge exits

and enters the same vertex, how a variable affects itself. Extending the scheme of Draghi et al.

(25), we divide the population of individuals into compartments, based on whether they, after t_x,

(C) occupy and have high fitness in environment e_x, or (B) have e_x in their environmental

neighborhood – a component of the decomposed phenotypic neighborhood $\mathbf{k}_i$, which combines

environmental and genetic neighborhoods – or (A) do not have e_x in their environmental

neighborhood, with compartments A and B further divided by genotype. So, each model variable

is the number (or proportion) of a particular genotype in a particular environment type. The

expression on each edge gives the rate at which individuals flow from one compartment to

another. These expressions are based on basic probability theory. Take for an example the edge

flowing from variable B_{g1} to C_{g1}. If an individual is in a B compartment, that means they have

environment e_x in their environmental neighborhood. So the probability that an individual in a B

compartment with the g_1 genotype migrates to environment e_x is equal to the migration rate m,

times the probability that a non-neutral a migration lands them environment e_x, 1/K, minus the

probability that a migration is not neutral (1-q_m).

To go beyond plasticity, and connect environmental robustness to evolvability, we need to allow

for mutation as well as migration (Fig. S2). Above, we let phenotypes vary depending on the

interaction between one genotype and many local environments. Suppose instead that each

individual can have one of two genotypes, either g_0 or g_1. Initially, the population is

monomorphic for g_0 but mutation between genotypes occurs at rate μ per individual per

generation. In e_0 environments, genetic variation is cryptic; both genotypes express the optimal

phenotype p_0. Initially, migration to any non-e_0 environment induces strongly deleterious changes

in phenotype. Then at time t_x, a new phenotype p_x – which is expressed only by g_1 genotypes in e_x

environments – becomes adaptive in e_x environments. Now we can ask the question that ties

environmental robustness to evolvability: How does the time it takes a population to evolve

phenotype p_x depend on environmental robustness, q_m? Analysis of this model recovers a similar

non-monotonic relationship between robustness and and evolvability (Figure S3). Model codes

are provided in Supplementary Document S5. Robustness is robustness; mutational and

environmental robustness have similar effects on evolvability.

Figure S3. *The effect of migrational*

robustness, q_m, on evolvability, t_a,

depends on the difference between the

number of possible phenotypes, K,

and the size of the phenotypic

neighborhood P. Curves are loess

regressions; shaded areas give 95%

confidence intervals. Interpretation*:*

Unless all phenotypes are accessible by one migrational step, evolvability is maximized at

intermediate levels of migrational robustness.

In a similar fashion, the model of Meyers et al. (26) can be transferred to the evolution of

environmental robustness and evolvability; we need only change our interpretation of the edges

and vertices in Fig. 1a. Instead of five genotypes, we have one phenotypically-plastic genotype,

five spatial locations, and three different environment types, such that the genotype can expresses

three different phenotypes. Instead of genotypes connected by mutation, we have demes

connected by migration. If we apply the same kind of fluctuating selection – with the phenotype

expresses in demes g_0, g_1, and g_3 being optimal in temporal state *a*, that expressed by deme g_4

being optimal in state *b*, and that in g_3 with intermediate fitness in both states – then the

proportion of a meta-population in each deme will be a function of the rate at which selection

fluctuates. When environmental change is slow, individuals will occur at the highest frequency in

deme g_1, since that minimizes migration load (43). When the world turns over rapidly, deme g_3

offers a safe harbor of stability. And at intermediate rates of change, the highest fitness can be

realized by hopping back and forth between demes g_0 and g_4. Of course, when applied to the

evolution of environmental robustness, some of the more liberal model assumptions stand out, in

particular, just how small the model world is, and how strange it seems for there not to be any

kind of negative density dependence on relative fitness. But such is the price of simplicity.

5. Robustness via phenotypic neighborhood evolution

In the previous sections, we saw that the evolution of mutational robustness, q_i, and how it

affects evolvability depends on $\mathbf{k_i}$, the phenotypic neighborhood. In fact, selection on

evolvability could affect q_i or $\mathbf{k_i}$. We can show this with another model variant (Supplementary

Document S6). The model set-up is as described in Section 3.a, for P=6, except for three

changes: (1) We fix the value of $q \in \{0.0, 0.1, 0.5\}$. (2) We let K evolve freely; hence, each

genotype determines a phenotypic neighborhood size K_i. (3) We change the way that $\mathbf{k_i}$ evolves.

Specifically, at the start of each simulation, the population is monomorphic for a three-

dimensional $\mathbf{k_i}$, with elements randomly sampled from $\mathbf{P}$. Then, as the life cycle turns over,

mutation can with equal probability add or subtract one element from $\mathbf{k_i}$, with the restriction that

$0 < K_i \leq P$. We do not prevent redundancy of the elements of $\mathbf{k_i}$. This is to maximize flexibility

for the design by selection of an optimal phenotypic neighborhood. To further resolve the model

dynamics we also keep track of two additional model statistics, to wit, f_B-*bar* is the mean

frequency of phenotypic neighborhoods that contain the optimal phenotype for the out-of-phase

environmental state, and f_{Vk}-*bar* is the mean frequency of phenotypic 1-neighborhoods with the

generalist phenotype.

With simulation analysis, we find that just as when we fix K and let q_i vary across genotypes,

populations appear to evolve maximum evolvability at intermediate values for λ (Fig 8). At

intermediate values for λ, not only is the mean of K_i highest, but so is f_B, the probability that $\mathbf{k_i}$

contains the optimal phenotype of the out-of-phase environmental state, and in fact, the latter

effect is more pronounced. Averaging across values of q, as λ increases from 10 to 100, there is a

13% increase in K_i, and a concomitant 35% increase in f_B; simply put, evolvable populations

stack their phenotypic neighborhoods with good options. With increasing λ we also see a decline

in the frequency of the generalist genotype along with f_{Vk}, that is, the frequency of genotypes that

have the generalist phenotype in their neighborhood. These relations are robust to variation in

mutational robustness q, although when q is closer to zero, we see more pronounced reductions

of K_i and f_B at extreme values of λ.

Figure 8. *Summary of models where q is fixed and K_i is freely evolvable. Panels show how*

*changing the number of generations between environmental fluctuations, λ, affects (**a**)*

*population mean fitness, w-bar, (**b**) mean phenotypic neighborhood size, K_i-bar; (**c**) mean*

*frequency of generalist genotypes f_V-bar, (**d**) mean population diversity of phenotypic*

*neighborhoods, D-bar; (**e**) mean frequency of phenotypic neighborhoods containing the optimal*

*phenotype for the out-of-phase environmental state, f_B-bar; and (**f**) mean frequency of phenotypic*

neighborhoods containing the generalist phenotype, f_{Vk}-bar. Each point shows the result for one

simulation. Lines show loess regressions. Point and line colors denote different values for q.

Interpretation: *At intermediate rates of environmental change, populations evolve to maximize*

evolvability by enriching their phenotypic neighborhoods with phenotypes that are optimal in the

out-of-phase environmental state.

Figure S4. *Summary of models where qi and Kᵢ are both freely evolvable. How changing the*

*number of generations between environmental fluctuations, λ, affects (**a**) mean mutational*

*robustness qᵢ-bar, (**b**) mean phenotypic neighborhood size, Kᵢ-bar; (**c**) mean frequency of*

*generalist genotypes fᵥ-bar, (**d**) mean population diversity of phenotypic neighborhoods, D-bar;*

*(**e**) mean frequency of phenotypic neighborhoods containing the optimal phenotype for the out-*

*of-phase environmental state, fᴮ-bar; and (**f**) mean frequency of phenotypic neighborhoods*

containing the generalist phenotype, fᵥₖ-bar. Each point shows the result for one simulation. Lines

show loess regressions. Point and line colors denote different values for q. Interpretation*:*

Selection for evolvability favors changes in phenotypic neighborhood components over

mutational robustness.

To sum up, there is more than one way to evolve robustness and evolvability. Selection can shape

the probability that a mutation will be neutral. Or it can shape the probabilities of the specific

phenotypic effects of non-neutral mutation, as has been demonstrated previously with analyses of

more complex genotype-phenotype maps (21–23). In fact, the latter would appear to be favored

by selection, since tailoring the phenotypic neighborhood can increase the odds of beneficial

mutations without also increasing the odds of deleterious ones. Sure enough, if we simulate the

evolution of population in which mutational robustness q_i, phenotypic neighborhood size K_i, and

phenotypic neighborhood components $\mathbf{k_i}$ are all free to vary, then environmental stability, λ, has

little effect on the means of q_i (which tend to be ~ 0.4) and large effects on means of K_i and f_B ,

that is, the frequency of phenotypic neighborhood containing the phenotype that is optimal in the

out-of-phase environment (Fig. S4). Something to stress here is that for selection on phenotypic

neighborhood components to be effective, a genotype-phenotype map needs to have a memory of

past selective environments. We made that possible, in an elementary fashion, by implementing a

piece-wise mutational routine for adding and removing components of the phenotypic

neighborhood, $\mathbf{k_i}$. But more complex genotype-phenotype maps can have better memories

(22,24).

6. Discussion

Here we improved our intuition about how robustness affects evolvability by analyzing some
simple models. In each, robustness is expressed as q, the probability that some perturbation does
not affect the phenotype, and if a perturbation does affect the phenotype, such effects are
mediated by **k**, the neighborhood of accessible phenotypes. For ease of discussion, let us call
these qk (pronounced "cuke") models. Our premise was that by abstracting away the details of
genotype-phenotype maps, qk-models could give us a clearer understanding of how robustness
relates to evolvability. But even in the abstract, robustness is multi-faceted and can evolve in
more than one way: Genotypes or phenotypes can be robust against variation in the genetic or
external environment, and how such robustness affects evolvability depends not just on the level
of biological organization (10), but also on the odds of specific phenotypic effects being induced
by a genetic or environmental change (18,22,23). By merging and extending the qk-models of
Meyers et al. (26) and Draghi et al. (25) we were able to demonstrate that their key insights are
robust to relaxations of some of their key assumptions; we saw that when populations are free to
evolve mutational and environmental robustness, as well as their phenotypic neighborhoods, they
evolve to be least robust – and conversely most evolvable – at intermediate rates of fluctuating
selection, and at intermediate levels of mutational sensitivity, unless all possible phenotypes can
be accessed by one mutation.

We also gained some new insights. The first is that when there is selection for increased
evolvability, it will preferentially act on the phenotypic neighborhood, rather than mutational
sensitivity *per se*, since this can increase the odds of adaptive mutation without also increasing

the odds of deleterious mutation (44). That selection can affect the distribution of mutational

effects has been shown before with analysis of more complex genotype-phenotype models

(19,24,45,46). But to my knowledge, this is the clearest demonstration that increasing

evolvability by evolving the phenotypic neighborhood evolution is a better option than evolving

increased mutational sensitivity.

Another new insight is that qk-models of robustness against the genetic environment are readily

applicable to robustness against the external environment. Just as a population's phenotypic

neighborhood can increase via neutral mutation, so too can it increase via neutral migration (or,

for that matter, neutral temporal changes in the environment), provided that we allow phenotypes

to emerge from specific genotype-by-environment interactions in a heterogeneous environment.

In that case, evolvability is maximized at intermediate levels of robustness to variation in the

external environment, unless all possible plastic phenotypic responses can be accessed by one

move through space or time in the environmental space. Although theorists often recognize

robustness as a generic property of genetic and developmental systems (29), in practice, most

work has focused on either mutation robustness (16,23) or phenotypic plasticity in response to

variation in the external environment (47,48), and consequently two distinct and somewhat

disconnected schools of thought have emerged. I suspect there are many other opportunities to

transfer insights from one school to another.

A third new insight is that the interaction between different kinds of robustness can cause

counter-intuitive evolutionary dynamics. Specifically, in complex environments, increasing

environmental stability can cause an increase in the frequency of environmentally-robust

genotypes, that is, generalists. We saw that this corresponds to a divergence in the mutational

sensitivities of generalists and specialists, and we traced this divergence to a neutral evolutionary

process; the evolution of evolvability in generalists is accidental, but predictably so. This is yet

another example of how robustness can increase evolvability by facilitating the accumulation of

cryptic genetic diversity (44,49). Note how much this aligns with Sewell Wright's much-

maligned "shifting balance" theory, where genetic drift helps populations move between peaks in

a rugged fitness landscape (50) The particulars of that theory, especially about demography,

made it contentious (51) but if we forgive some errant details, Wright was right; adaptation often

takes neutral evolution.

There are many things these simple models of the evolution of robustness and evolvability can

not do. To repeat a theme, robustness is just one of several properties of a genotype-phenotype

map that can affect evolvability. Moreover, even the effects of robustness can depend on other

facets of genetic architecture. Therefore, a richer understanding of the evolution of evolvability

requires more complex models genotype-phenotype maps. Other germane properties include a

developmental system's criticality, that is, the robustness of its robustness (19,52), as well as its

capacity for plasticity and cryptically-neutral genetic diversity (18,20,22), and its capacity to

adaptively remember and generalize from past selective environments (23,24,53). More complex

genotype-phenotype map models can also yield insights into the specific design features that

determine map properties such as robustness, evolvability and memory. A burgeoning body of

research has already revealed several such network features (29), such as modularity (45,54),

excitation (17), and scale-free degree distribution (55,56). Researchers have also begun to detect

important network motifs affecting robustness and evolvability, such as freed-back and feed-

forward loops (57). So, the analysis of more complex genotype-phenotype map models promises

to yield a more multi-faceted and mechanistic understanding of the evolution of evolvability.

Nevertheless, by ignoring the genetic architectural details that might make one genotype-

phenotype map more robust than another, *qk*-models throw into sharp relief the effects of

robustness *per se* on evolvability.

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Data accessibility

623 Supplementary Information files are available via a GitHub repository (https://github.com/n8-

624 rd/qkModels.git) and will also be deposited in Zenodo (10.5281/zenodo.12206125.) [NB: The

625 latter will be registered when published.]

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