Changing feeding levels reveal plasticity in elasmobranch life history strategies

Sol Lucas[1,2]*, Per Berggren[1], Ellen Barrowclift[1], Isabel M. Smallegange[1]*

[1]School of Natural and Environmental Sciences, Newcastle University,

Newcastle upon Tyne, NE1 7RU, UK

[2]Sussex Inshore Fisheries and Conservation Authority, 12A Riverside Business Centre, Brighton

Road, Shoreham, West Sussex, BN43 6RE, UK

Author contact: s.a.lucas2@newcastle.ac.uk, s.lucas@sussex-ifca.gov.uk,
per.berggren@newcastle.ac.uk, e.barrowclift-mahon@newcastle.ac.uk,
Isabel.smallegange@newcastle.ac.uk

*Correspondence: s.a.lucas2@newcastle.ac.uk, +448714932807;
Isabel.smallegange@newcastle.ac.uk

ORCID ID's: Smallegange Orcid: 0000-0001-6218-7358. Lucas Orcid: 0000-0003-2497-7089. Berggren
Orcid: 0000-0001-8204-7256. Barrowclift Orcid: 0000-0003-0625-0919.

Statement of Authorship

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Writing- Original draft preparation, Writing- Review & Editing, Visualisation; PB: Writing- Reviewing
and Editing, Supervision; EB: Methodology, Formal analysis, Writing- Reviewing and Editing; IMS:
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Abstract

Life history strategies are shaped by phylogeny, environmental conditions and individual energy

budgets, and have implications for conservation biology. We summarised life history traits of 151

elasmobranch species into life history strategies for two contrasting feeding levels in a principal

components analysis. Two axes, reproductive output and generation turnover, structure

elasmobranch life history strategies, contrasting with results from similar studies. Species' positions

in this life history space were not fixed, but shifted to higher reproductive output when feeding level

increased. We also found that both axes predicted population performance, but that population

growth rate does not necessarily inform on a species' demographic resilience. Finally, neither axis

predicted IUCN conservation status. Our analyses reveal plasticity in species life history strategies

and warn against extrapolating the life history strategy framework from one environment to another

when predicting a species' response to (climate) change, perturbations, and (over)exploitation.

1. Introduction

Life history strategies of many animals and plants reflect trade-offs at the species level. For example, the fast-slow continuum represents the trade-off between reproduction versus survival (Stearns, 1983), often irrespective of body size or phylogenetic relatedness (Williams, 1966; Gadgil et al., 1970; Reznick, 1983; Gaillard et al., 2016). Yet, trade-offs also operate within individuals, such as trading off growth versus reproduction in the energy budget (Gadgil et al., 1970; Reznick, 1983), as different dynamics can exist between populations or species with similar traits (Nilsen et al., 2009; Gamelon et al., 2021). Specifically, the classical association between life history strategies and population responses to environmental change can break down in variable environments when accounting for individual-level trade-offs and energy allocation during the development of individuals (Rademaker et al., 2024). One reason for this could be that individual-level plasticity allows organisms to adjust energy allocation in response to environmental variability, maintaining stability under resource limitation and accelerating growth and reproduction in favourable conditions (Weidner et al. 2020).

Calls exist for more theory-driven studies of how plasticity in development shapes life history variation (Stott et al. 2024). Additionally, we have limited understanding of how ecological and evolutionary factors, like phylogeny or habitat, shape variation in life history strategies across species (Gaillard et al., 2016; Salguero-Gómez et al., 2016; Salguero-Gómez, 2017; Capdevila et al., 2020a). Identifying patterns and plasticity in life history strategies across the tree of life is one way to predicting population growth rates and demographic resilience (combinedly referred here as 'population performance') (Salguero-Gómez et al., 2016). However, to do so requires in-depth understanding of how energy budgets, phylogeny and habitat structure life history strategies across species (Salguero-Gómez, 2017; Capdevila et al., 2020a; Romeijn & Smallegange, 2022; Stott et al. 2024). Elasmobranchs (sharks, skates and rays) encompass a vast amount of life history variation. Longevity, for example, can range from five (e.g. *Carcharhinus sealei* and *Carcharhinus sorrah*; Ebert et al. 2021) to almost 400 years (*Somniosus microcephalus*; Nielsen et al. 2016). Reproduction is highly variable, including oviparity (skates and three families of shark), aplacental viviparity (in various forms; sharks and rays) and placental viviparity (some sharks) (Carrier et al., 2004; Miller et al., 2022). Elasmobranch population performance typically varies with body size, reproductive strategy and habitat (Pardo & Dulvy, 2022; Barrowclift et al., 2023; Gravel et al., 2024), yet little is known about the impact of energy budgets.

Here, we investigate how individual energy budgets, phylogeny and habitat structure elasmobranch life history strategies across environments that differ in food availability, and if the resulting life history framework links to population performance and conservation biology (Salguero-Gómez,

2016; Salguero-Gómez et al., 2017). Using Dynamic Energy Budget Integral Projection Models (DEB-

IPMs) for 157 elasmobranch species (Kooijman & Metz, 1984; Sousa et al., 2010; Ellner et al., 2016;

Smallegange et al., 2017; Smallegange & Lucas, 2024), we model growth and reproduction based on

species-specific energy budgets under different feeding levels (Kooijman, 2001; Kooijman et al.,

2008). While regression analyses test predefined trait relationships (Sol et al., 2012; Gaillard et al.,

2016; Tomasek et al., 2018), principal component analysis (PCA) identifies emergent life history

strategies by summarising trait variation into major axes without prior assumptions (Gaillard et al.,

2016; Salguero-Gómez et al., 2016; Paniw et al., 2018; Healy et al., 2019; Capdevila et al., 2020a).

Therefore, to identify life history strategies, we (objective 1) calculate, using the parameterised DEB-

IPMs, a set of representative life history traits based on schedules of survival, growth and

reproduction for a low and a high feeding level (reflecting low and high food availability,

respectfully). We (objective 2) summarise these traits using a phylogenetically-corrected PCA to

identify major axes of elasmobranch life history strategies. We then (objective 3) assess the

influence of phylogenetic ancestry (clade) and habitat (water temperature) on species' positions

along these major axes, and to what extent the position of species along these axes changes with

food availability (showing plasticity). Finally, (objective 4) we test whether species position along

these axes predicts population growth rate, speed of recovery from perturbations (demographic

resilience) and IUCN conservation status. Our approach allows us to investigate whether feeding

level influences life history strategies and the resulting conservation biology of elasmobranch

species.

2. Methods

2.1 Brief description of the DEB-IPM

A DEB-IPM describes the dynamics of a population comprising cohorts of females of different

lengths that survive, grow and reproduce (Smallegange et al., 2017; Smallegange & Lucas, 2024). A

DEB-IPM has eight parameters (Fig. 1: top-left box) and we parameterised DEB-IPMs for 157

elasmobranch species, from 11 orders and 37 families, using the DEBBIES database (Smallegange &

Lucas, 2024; with the database downloadable at Smallegange, 2020).

In brief, the demographic functions that describe growth and reproduction in a DEB-IPM are derived

from the Kooijman- Metz model (Kooijman & Metz, 1984) (see Appendix, section 1 in Figshare

repository: Lucas et al., 2024), which is a simple version of the standard model of Kooijman's DEB

theory and only includes the tradeoff between growth and reproduction, but still fulfils the criteria

for general explanatory models for the energetics of individuals (Sousa et al., 2010). The Kooijman-

Metz model assumes that organisms are isomorphic, are born at length L_b, mature at length L_p and

ingest food at a rate that is proportional to their experienced feeding level $E(Y)$. This experienced

feeding level reflects food availability according to observed gut fullness for fish, ranging from

completely empty ($0 \leq E(Y) < 0.1$), to very few food particles ($0.1 \leq E(Y) < 0.3$), to 'contents divided in

different patches' ($0.3 \leq E(Y) < 0.7$), to 'just filled ($0.7 \leq E(Y) < 0.9$), to 'completely full ($0.9 \leq E(Y) \leq 1$)

(Piet & Guruge, 1997). For example, in reef manta rays (*Mobula alfredi*) a feeding level of $E(Y) = 0.8$ is

associated with natural, stable populations (Smallegange et al., 2017). At the highest feeding level of

$E(Y) = 1$, individuals grow to a maximum length L_m. As individuals feed and uptake energy, a constant

fraction κ of assimilated energy is allocated to respiration to cover maintenance costs and somatic

growth. The remaining fraction $1 - \kappa$ of assimilated energy is allocated to reproduction in case of

adults and to the development of reproductive organs in case of juveniles. If an individual survives

from year t to year $t + 1$ (juveniles have a different mortality rate μ_j than adults, which mortality rate

equals μ_a) it grows in length following a von Bertalanffy growth curve characterized by $\dot{r}_B$; the von

Bertalanffy growth rate. If a surviving female is an adult, she also produces offspring at a maximum

rate of R_m if she experiences the highest feeding level of $E(Y) = 1$. Note that R_m is proportional to $(1 -$

$\kappa)$, whereas L_m is proportional to κ, which controls energy conservation. However, the role of κ in a

DEB-IPM is mostly implicit, as κ is used as input parameter only in the starvation condition (see

Appendix, section 1 in Figshare repository: Lucas et al. 2024), whereas R_m and L_m are measured

directly from data.

2.2 Life history strategies

Eight representative life history traits were calculated based on schedules of survival, growth and

reproduction (Table 1) (Salguero-Gómez et al., 2016; Salguero-Gómez, 2017; Capdevila et al., 2020a)

in MATLAB Version 9.12 (The MathWorks Inc., 2022) using the code in Smallegange & Lucas 2024

(objective 1). For each species, this was done for a low and high feeding level, $E(Y)= 0.6$ and $E(Y)=$

0.9, reflecting contrasting levels of food availability. $E(Y) = 0.6$ reflects unfavourable feeding

conditions, whereas a feeding level of $E(Y) = 0.9$ represents a full gut and thus favourable food

conditions (Piet & Gurunge, 1997). Feeding levels lower than $E(Y)= 0.6$ were excluded because these

were too low for individual growth and reproduction to occur for many species. Specifically, at the

length where $L > L_m E(Y)$, individuals shrink and might not reach their maturation size (L_p).

Furthermore, individuals die of starvation at length $L > L_m E(Y)/\kappa$ (Appendix, section 1 in Figshare

repository: Lucas et al. 2024). If $E(Y) = \kappa$, then individuals die of starvation at their maximum length.

For most species, we set $\kappa = 0.8$ (Smallegange, 2020). Thus, for $E(Y)$ values (much) lower than 0.8,

individuals (much) smaller than the maximum length, die of starvation. These factors combined

mean that as feeding level drops, more species experience declining population growth rate and
extinction.

To identify life history strategies along major axes (objective 2), we performed a varimax-rotated,
phylogenetically corrected principal component analysis (pPCA) (Revell, 2012), using the *phy.pca*
function in the R library *phytools* (Revell, 2012; Chamberlain & Szöcs, 2013; Salguero-Gómez et al.,
2016; Paniw et al., 2018; R Core Team, 2023). The phylogenetic correction was conducted at the
species level, where for each of the 63 species that were present in both the low and high feeding
levels, each duplicate was treated as a separate population of the same species using a dichotomous
branch at the species' tip (Paniw et al., 2018; Healy et al., 2019). Traits were log-transformed and
scaled to adhere to PCA assumptions (μ= 0 and SD= 1).

To correct for phylogenetic relatedness among species, a pool of 10,000 possible phylogenetic trees
were obtained from Stein et al. (2018), available at Vertlife.org. These trees represented 500 root
node ages combined with 20 scenarios for infilling taxon with no genetic data. We randomly selected
twenty trees (Barrowclift et al., 2023) and ran the pPCA for each. Each rooted phylogenetic tree had
its branch lengths scaled proportionally based on time of separation of clades and species, using the
rotl package (Michonneau et al., 2016). The pPCA links the phylogeny to the life-history traits via a
modified covariance matrix and estimated Pagel's λ, a scaling parameter for the phylogenetic
correlation between species, expected under Brownian motion (Freckleton et al., 2002). Pagel's λ
was estimated using the *ape* package (Paradis & Schliep, 2019) and varies between 0 (phylogenetic
independence) and 1 (species traits covary proportionally to their shared evolutionary history)
(Revell, 2010). The loadings for each axis were consistent across all trees (see Appendix, File 1 in
Figshare repository: Lucas et al. 2024) and Pagel's λ was consistently above λ>0.25 (see Appendix,
File S2 in Figshare repository: Lucas et al. 2024), which we set as our cut-off value, above which we
assumed the phylogenetic signal indicates meaningful evolutionary relationships. Therefore, we
report results from one single tree, randomly selected from the subsample of twenty trees (see
Appendix, Fig S1 in Figshare repository: Lucas et al. 2024). Six species were excluded from the
analyses as they were either not in the Vertlife.org database (*Squalus hawaiiensis*, *Aetobatus*
narutobiei and *Maculabatis ambigua*), they did not have an associated polygon shapefile (see below)
on the IUCN database (*Etmopterus granulosus* and *Aetomylaeus bovinus*), or were identified as an
extreme outlier after visual inspection of the PCA due to extreme life history trait values compared
to other species in the dataset (*Somniosus microcephalus*).

We checked the influence of phylogeny and body mass on the structuring of life history strategies
(see Jeschke & Kokko 2009 for a detailed discussion) by running PCA analyses with and without a

phylogenetic correction, and with and without a body mass correction. Body mass was corrected for

by computing the residuals for linear models between the $\log_{10}$-transformed life history traits (Table

1) and body mass for each species for both the PCA and the pPCA (Jolicoeur et al., 1984; Gaillard et

al., 1989; Revell, 2009). Body mass values were extracted from Fishbase (Froese & Pauly, 2023) using

the *rfishbase* package (Boettiger et al., 2012). Where available, we used the maximum mass given on

Fishbase. Otherwise, we employed length-weight relationships to infer maximum body mass. If the

length-weight relationship used a length type that was not in the database, length-length

conversions were conducted prior to length-weight conversions. Data were not available for 34

species, so there were 151 species in the main analyses and 117 species in the analyses containing

body mass corrections (Table 1). We set the same cutoff value of 0.25 for Pagel's λ, above which we

used the pPCA, followed by a qualitative check of loadings between the pPCA and the mass-

corrected pPCA. Where the mass-corrected loadings were qualitatively different from loadings of

analyses without the mass-correction (i.e. where traits were loaded onto different axes), we

continued with the mass-corrected analyses. We assessed the significance of PC axes using Kaiser's

criterion, retaining PC axes with eigenvalues greater than unity (Kaiser, 1960).

To compare if and how species position in the life history space changed between the low and high

feeding levels, we conducted Pearson's product-moment correlation tests, between feeding level

(*E(Y)*) and PC scores for each axis.

2.3 Effect of clade and habitat (water temperature) on life history patterns

We assessed if habitat and clade affect elasmobranch life history variation (objective 3). We sourced

habitat information (water depth and temperature) for each species. Continuous variable

'commonly used depth' was sourced for each species from the Fishbase database (Boettiger et al.,

2012; Froese & Pauly, 2023). If commonly used depth was unavailable, median depth was sourced

from the IUCN database (IUCN, 2021). For temperature, we used 'temperature-at-depth' following

the methodology of (Barrowclift et al., 2023). To this end, shape files containing polygons of known

depth ranges for each species were taken from the IUCN database (IUCN, 2021). These were overlaid

onto the International Pacific Research Center's mean annual ocean temperatures across 27 depth

levels (0-2000m), based on a dataset from the Argo Project (available at

http://apdrc.soest.hawaii.edu/projects/Argo/data/statistics/On_standard_levels/Ensemble_mean/1

x1/m00/index.html). Median temperature-at-depth was calculated using values at the nearest depth

level to each species' commonly used depth (or the IUCN median depth where commonly used

depth was unavailable). For regionally endothermic species (family *Lamnidae*, Dickson & Graham,

2004) a correction factor of 3.5°C was applied to their median temperature, consistent with a similar

demographic study by Pardo and Dulvy (2022).

We tested for collinearity between depth, temperature and clade using visual inflation factor

(threshold value VIF>10) in the *faraway* R package (Faraway, 2022). Depth, temperature and clade

were all colinear. Therefore, we separately assessed the effect of temperature at depth (as the

representative variable for habitat) and clade on the PC scores of the first and second axis of the

(p)PCA. We used two general linear mixed-effect models (LMERs) where the predictor variable was

either temperature at depth or clade, with a random effect of species in each model, and the

response variable was either PC1 or PC2 score. For each LMER, the model assumptions of Gaussian

errors and homoscedasticity were confirmed by inspecting the probability plots and error structures

in R (Lüdecke et al., 2021; Faraway, 2022; R Core Team, 2023).

2.4 Life history strategies as predictors of population growth rate, demographic resilience and

226   conservation status

We used linear models (LMs) to test if the PC scores (continuous variables) of the first and second

axis of the best fitting PCA, and their interaction, predict population growth rate (λ) and

demographic resilience across species (objective 4). Even though PCA assumes that the principal

components (PC1 and PC2) are uncorrelated, this assumption pertains to the original data's variance

structure. By testing interactions between PC1 and PC2 we explored whether the combined

influence of these principal components provides additional explanatory power for our response

variable, beyond their individual effects. To calculate λ, we discretised each DEB-IPM (Eqn 1) by

dividing the length domain Ω into 200 very small-width discrete bins, resulting in a matrix **A** of size

$m \times n$, where $m = n = 200$, and which dominant eigenvalue equals λ (Table 1). Demographic resilience

was calculated as the damping ratio ξ, with $\xi = \lambda/||\lambda_2||$, where λ_2 is the highest subdominant

eigenvalue of matrix **A** (Caswell, 2001; Capdevila et al., 2020b). Alternative metrics exist to capture

resilience (simulated time-to-recovery or transient growth rates [Capdevila et al. 2021]) but for ease

of comparison with previous studies we used the damping ratio. To investigate predictive links

between life history strategies and conservation status, global conservation statuses for each species

were sourced from the IUCN database (IUCN, 2021), where LC indicates Least Concern, NT indicates

Near Threatened, VU indicates Vulnerable, EN indicates Endangered, CR indicates Critically

Endangered and DD indicates Data Deficient. We used an ordinal regression to assess if PC scores

predict species IUCN conservation status (excluding Data Deficient species).

All LMs, LMERs, ordinal regression analyses and plots (Wickham, 2016; Attali & Baker, 2023;

Hijmans, 2023; Slowikowski, 2023) were performed in R version 4.3.2 (R Core Team, 2023) in Rstudio

(RStudio Team, 2023), using the *dplyr* and *tibble* packages for data manipulation (Wickham et al.,

2022; Müller & Wickham, 2023). For each LM, the model assumptions of Gaussian errors,

homoscedasticity and collinearity were confirmed by inspecting the probability plots and error

structures in R (Lüdecke et al., 2021; Faraway, 2022; R Core Team, 2023).

3 Results

3.1 Two axes structure elasmobranch life history strategies (objective 1 & 2)

We found that two separate PC axes structure elasmobranch life history traits, cumulatively

explaining 83.2% of the total variance in life histories (Table 1: PC1: 48.3%, PC2: 34.9%). Pagel's λ

was higher than our cut-off value of 0.25 (Pagel's λ= 0.31), indicating the phylogenetic signal was

significant. In addition, correcting for body mass changed the dominant axes (Table 1) and we thus

continued with the mass-corrected pPCA. Degree of iteroparity (S), mean recruitment success (φ)

and net reproductive rate (R_0) were loaded negatively onto PC1, whereas retrogressive growth (ρ)

and generation time (T) were loaded positively (Fig. 2A) (Table 1). These life history traits are all

associated with reproductive output. Thus, as PC1 scores move from positive to negative,

elasmobranchs attain greater lifetime reproductive success while their retrogressive growth and

generation times decrease (Fig. 2A). Generation time (T) and progressive growth (γ) were loaded

negatively onto PC2 (Fig. 2A) (Table 1). As PC2 scores move from positive to negative, individuals

increase their allocation to growth while population turnover decreases (i.e., greater generation

time), so this axis is described as 'generation turnover' (Fig. 2A). Finally, mean age at maturity (L_α)

and mature life expectancy (L_ω) were not loaded onto either PC1 or PC2 (Table 1). The Kaiser

criterion did not meet the threshold (<1) for PC3, so this axis did not explain sufficient variance in life

history strategies to be included in further analyses.

Feeding level was negatively correlated with PC1 score (reproductive output), r(166) = -0.639, p =

<0.001. As feeding level increases, PC1 scores decreased, corresponding to an increase in lifetime

reproductive output between the low and high feeding level as species can spend more energy to

reproduce at a higher rate (Fig 2B). There was no correlation between PC2 scores (generation

turnover axis) and feeding level (p = 0.186).

3.2 Habitat type shapes life history strategies differently for different feeding levels and clades (objective 3)

Temperature did not significantly affect PC1 score (reproductive output) (p= 0.423). There was a

significant effect of Clade on PC1 score ($F_{2,165}$= 4.12, Marginal R^2= 0.047, p= 0.008). PC1 scores were

lower for skates than rays and sharks, indicating that skates have a high reproductive output (Fig.

2A). PC2 scores (generation turnover) increased with increasing temperature at depth ($F_{1,116}$= ,

Marginal R^2= 6.94, p= 0.010: Fig. 3B). This suggests that across all elasmobranchs, species with faster

life history strategies occur in warmer waters. Phylogenetic clade did not significantly affect PC2

score (p = 0.762).

3.3 Life history strategies predict population performance, but not IUCN status (objective 4)

Population growth rate was significantly affected by the interaction between PC1 scores

(reproductive output) and PC2 scores (generation turnover) (Table 2). Population growth rate

increased with decreasing PC1 scores, but this increase was steeper for species with high, positive

PC2 scores (higher turnover) than for species with high, negative PC2 scores (lower turnover) (Fig.

4A). Resilience to perturbations (damping ratio) significantly increased with decreasing PC1 scores

(reproductive output) and increasing PC2 scores (generation turnover) (Table 2), showing that

species with higher reproductive outputs and higher generation turnover have higher demographic

resilience (Table 2, Fig. 4B). There was no significant association of PC1 scores, PC2 scores, or their

interaction on the IUCN category of species (Table 2, Fig. 4C).

4   Discussion

Our aim was to investigate how individual energy budgets, phylogeny and habitat type structure

elasmobranch life history variation, and if this structured variation can predict population

performance and conservation biology. Elasmobranch life histories are captured by a reproductive

output and generation turnover axis. Interestingly, we found that as feeding level increased, species

moved towards higher reproductive output along the reproductive output axis but not the

generation turnover axis. Regardless, the two life history axes predicted species' population growth

rate and demographic resilience, but not IUCN conservation status.

4.1 The impact of feeding level on quantifying and applying life history strategies

For many taxa, including elasmobranchs, their life history strategies are influenced by phylogeny and

habitat (Capdevila et al., 2020a) as these impose selection on the life history trajectories of

individuals. In this study, we captured the phenomenon that species move from lower to higher

reproductive outputs as feeding level increases. In the core energy budget of our model, a fraction

of the acquired energy is allocated to growth, while the remainder goes to reproduction (Kooijman

& Metz, 1984). This trade-off is implicitly represented in our model through the values of maximum

length (L_m) and maximum reproduction rate (R_m). Consequently, we cannot determine the actual

value of this trade-off or how it is resolved across species. However, we can hypothesise how

different resolutions of the growth-reproduction trade-off might influence species life histories as

feeding levels increase. If a high proportion of energy is allocated to growth, an increase in feeding

level would result in higher values of life history traits associated with growth (progressive growth γ

and retrogressive growth ρ, respectfully) (Smallegange & Guenther, 2025). Conversely, if a high

proportion of energy is allocated to reproduction, an increase in feeding level would enhance life

history traits associated with reproduction (mean recruitment success φ, degree of iteroparity S, net

reproductive rate R_0 and mature life expectancy L_ω) (Smallegange & Guenther, 2025). These four

reproductive traits align with our reproductive output axis. Therefore, if all elasmobranchs in our

study allocate relatively more energy to reproduction than to growth, this would explain why

changes in feeding levels are reflected solely along the reproductive output axis. This strong

association with reproductive output may explain why our findings differ from previous studies,

which have consistently identified the fast-slow continuum (pace of life axis) as the dominant

predictor of life history variation across a range of taxa (Appendix: Table S2; Capdevila et al. 2020a;

Healy et al. 2019; Salguero-Gómez 2017; Salguero-Gómez et al. 2016), as shifts in feeding level drive

changes along the reproductive output axis in our model.

In reality, species will vary in both energy acquisition and allocation as environmental conditions

change. Understanding how these factors interact is key to predicting life history variation

(Laskowski et al. 2021). For example, a pace of life axis can emerge if species differ in energy

allocation at constant acquisition (feeding level), or if they differ in acquisition but have the same,

constant allocation (Smallegange & Guenther 2025). However, individuals can also adjust energy

allocation during their lifetime, redistributing it for maintaining functional stability in poor conditions

or accelerating growth and reproduction when resources are abundant (Weidner et al. 2020). As a

result, the same individuals within a population can show plasticity and change from a slow to fast

pace of life, or vice versa, as energy availability varies over time (Del Giudice 2014). While within-

population links between life history and behaviour (pace of life syndrome [Dammhahn et al. 2018])

are increasingly documented, their transferability across populations and species remains uncertain.

For instance, Careau et al. (2009) observed a positive correlation between age at first reproduction

and exploratory behaviour across nineteen muroid species, with subsequent studies confirming

similar within-population patterns in house mice (Prabh et al., 2023) and common voles (Eccard et

al., 2022). These findings suggest that while plasticity in life history and behaviour is evident within

populations, its generalisability across taxa requires further investigation (Smallegange & Guenther

2025).

Plasticity in life history strategies can be adaptive when developing organisms adjust their allocation

decisions in response to their local (feeding) environment, following evolved rules that maximise

expected fitness in different ecological conditions (McNamara & Houston, 1996; Ozgul et al. 2023).

But the consequences of such plasticity and differences in the pace of life and other life history

strategies across populations and species can be far-reaching. Like others (Salguero-Gómez, et al.,

2016; Salguero-Gómez, 2017), we found that two life history strategy axes predict species

population growth and resilience. Perhaps unsurprisingly, both population growth and resilience

increased with increasing feeding level along the reproductive output axis. However, a high

population growth rate did not always equate to a high demographic resilience. For example, species

with high reproductive output and generation turnover show high population growth rates but not

always high resilience. In fact, complex environmental interactions and changes in pace of life can

influence species extinction risk under climate change (Ozgul et al. 2023). However, our analysis did

not find a link between life history strategies and IUCN threat status, likely because the IUCN status

is fixed per species. Thus, plastic shifts in life history strategies would not predict threat status unless

they impact it (as in Ozgul et al. 2023). All taken together, one should therefore be cautious when

using life history strategies to predict how species perform in different environments.

In our analyses, progressive growth was higher for species with lower turnover rates, not higher

ones (e.g. Salguero-Gómez 2016; Salguero-Gómez et al. 2017) (see Appendix, Table S2 in Figshare

repository: Lucas et al. 2024). We surmise that this is due to high variation in the von Bertalanffy

growth rate between species, driving a positive correlation between generation time and

progressive growth (cf. van Noordwijk & de Jong 1986). For example, the values of progressive

growth and generation time change with different values of von Bertalanffy growth rate and feeding

level. The relationship between generation time and progressive growth for the reef manta ray,

Mobula alfredi, can be plotted for a range of feeding levels and values of von Bertalanffy growth rate

(see Appendix, Fig. S2 in Figshare repository: Lucas et al. 2024). For the same von Bertalanffy growth

rate value, generation time increases with decreasing feeding level and progressive growth

decreases. At the same time, generation time decreases with increasing von Bertalanffy growth rate

values. The result is that, at the same feeding level (black solid lines in Appendix, Fig. S2 in Figshare

repository: Lucas et al. 2024), progressive growth increases with decreasing values of von Bertalanffy

growth rate, and simultaneously, generation time increases. Because our dataset covers a wide

range of von Bertalanffy growth rate values, this would explain why we found that the slower a

species' life history speed, the higher its apparent progressive growth. These findings highlight the

need for careful interpretation of correlations between life history traits calculated from

demographic datasets (our objective 1 and e.g. Salguero-Gómez et al., 2016; Salguero-Gómez, 2017;

Paniw et al., 2018; Healy et al., 2019; Capdevila et al., 2020a), and how these are used to predict

population characteristics (van Noordwijk & de Jong, 1986).

4.2 Consequences for elasmobranch conservation

For many oceanic species, demographic data are sparce or lacking (Bradshaw et al., 2007; Pardo et
al., 2016a; Temple et al., 2020). This often means the only measure available to evaluate a species
relative risk to perturbations like fishing or environmental change, is an estimate of its maximum
intrinsic rate of population increase, r_{max} (Myers et al., 1997, 1999; Dulvy et al., 2004; Pardo et al.,
2016b). Typically, species with higher r_{max} values are assumed to have higher resilience to
perturbations (cf. Cortés 2016; Pardo et al. 2016b, a). The intrinsic rate of population increase, r, is
related to population growth rate ($\lambda = e^r$). We found that species with the highest population
growth rates do not necessarily show the highest resilience. This highlights the importance of
exercising caution when using summary metrics such as r_{max} to predict species' resilience (cf.
Smallegange et al. 2020). Our approach presents a more mechanistic methodology that can be
applied to data-sparce elasmobranchs to understand and predict their resilience and conservation
status.

There is a broad diversity of diet and feeding strategies in elasmobranchs (Cortés et al., 2008), which
potentially influence species-specific life history strategy responses to changes in feeding levels. In
this study, we found a consistent response between species to increase reproductive output with
increased feeding level. However, when assessing individual species, researchers should consider
realistic values and changes in feeding levels based on available literature or empirical studies to
estimate population responses. Additionally, global (sea water) warming is linked to poorer hunting
performance in sharks (Pistevos et al., 2015). Warming can thus reduce the sharks' experienced
feeding level, population growth rate and ultimately their resilience. Understanding how
elasmobranch life histories, their habitats and feeding translate into differences in resilience is
crucial to informing management decisions and predicting conservation status. We did not find a
relationship between life history axes and IUCN conservation status, possibly because plastic shifts in
life history strategies were not linked to IUCN status (as discussed above), or because exploitation
was not included in our models. Given that the most immediate threat to sharks, skates and rays is
overfishing (Dulvy et al., 2021; Pacoureau et al., 2021; Worm et al., 2024), further study using an
energy budget, demographic approach like ours could examine the effects of different fisheries
mortalities (either by empirical measures or by derived estimates; Smith et al. 1998) on population
performance of threatened or highly fished species.

4.3 Conclusion

Studies have shown that population responses to future environmental change and perturbations
depend on species-specific life-history strategies (e.g., Ozgul et al. 2023). Further research should

explore if variations in environmental conditions fuel plasticity of life history strategies in a range of

taxa, and how these impact population performance and responses to change. Our analyses reveal

that feeding level can cause plasticity in life history strategies, impacting how the dominant axes can

be used to predict population responses to change and perturbations. For elasmobranchs, we

provide strong support for the expansion of the classical use of maximum intrinsic rate of population

increase, r_{max}, to incorporating major axes of life history strategies, and highlight how our approach

can be used to explore different scenarios of (over)fishing to quantify sustainable levels of

exploitation.

Declaration of competing interest

423   The authors declare no competing interests.

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Table 1. Demographic quantities and their loadings onto the Principal Components axes. To calculate life history traits, we discretised each DEB-IPM (Eqn 1) by dividing the length domain Ω into 200 very small-width discrete bins, resulting in a matrix **A** of size $m \times n$, where $m = n = 200$, and which dominant eigenvalue equals λ. Mean lifetime reproductive success R_0 is the dominant eigenvalue of the matrix $\mathbf{F} = \mathbf{V}(\mathbf{I} - \mathbf{GS})^{-1}$, where **I** is the identity matrix and $\mathbf{V} = \mathbf{DR}$, with **D** as the parent-offspring association, **R** the reproduction, **G** the growth and **S** the survival matrix (Caswell, 2001); this gives generation time $T = \log(R_0)/\log(\lambda)$ (Caswell, 2001). The mean life expectancy, η_e, is calculated as $\eta_e = \mathbf{1}^T\mathbf{N}$, where **1** is a vector of ones of length m and **N** is the fundamental matrix $\mathbf{N} = (\mathbf{I} - \mathbf{S})^{-1}$. The longevity of an individual of length L is η_L, which means we can calculate age at sexual maturity $L_\alpha = \eta_{L_b}$ and mature life expectancy $L_\varpi = \eta_{L_p}$ so that $\eta_e = L_\alpha + L_\varpi$ (Caswell, 2019, eqn 4.21). l_x is the probability of surviving to age at least x, and m_x is the average fertility of age class x (cf. Tuljapurkar et al., 2009), $\overline{\mathbf{G}}$ is the mean of G, $\overline{\mathbf{V}}$ is the mean of V, and i and j are the row and column entries of the matrix, respectively. The vital rates included in the studied set of demographic quantities (progressive growth γ, retrogressive growth ρ, and sexual reproduction φ) were averaged across the columns j (the length bins), weighted by the relative contributions of each stage at stationary equilibrium. For example, to calculate mean sexual reproduction φ, we summed the values in the columns j of the **V** matrix and multiplied each φ_{ij} by the corresponding jth element w_j of the stable stage distribution w, calculated as the right eigenvector of **A**. Only loadings for the pPCA and mass-corrected PCA are reported, as Pagel's λ >0.25. Kaiser criterion >1 only for PC1 and PC2, so PC3s were not retained.

Demographic quantity	Symbol	Definition	Equation	pPCA 214 (151 species)			Mass-corrected pPCA 168 (117 species)		
PCA type **Number of populations in analysis**				p-PC1	p-PC2	p-PC3	p-PC1	p-PC2	p-PC3
Generation time	T	Number of years required for the individuals of a population to be fully replaced by new ones	$T = \dfrac{\log(R_0)}{\log(\lambda)}$	**0.912**	-0.149	0.295	**0.517**	**-0.774**	0.300
Age at maturity	L_α	Number of years that it takes an average individual in the population to become reproductive	$L_\alpha = \eta_{L_b}$	**0.814**	0.353	0.048	-0.064	-0.203	0.949
Progressive growth	γ	Mean probability of growing to a larger length across the length domain Ω.	$\gamma = \sum_i^m \overline{\mathbf{G}}_{i,j}\|_{i<j}$	**0.877**	0.039	0.029	-0.083	**-0.945**	0.234
Retrogressive growth	ρ	Mean probability of growing to a smaller length across the length domain Ω.	$\rho = \sum_i^m \overline{\mathbf{G}}_{i,j}\|_{i>j}$	-0.128	**-0.890**	0.196	**0.889**	0.173	-0.223
Mean recruitment success	φ	Mean per-capita number of recruits across the length domain Ω.	$\varphi = \sum_i^m \overline{\mathbf{V}}_{i,j}$	**-0.573**	**0.699**	-0.334	**-0.921**	0.283	-0.008
Degree of iteroparity	S	Coefficient of variation in age at reproduction	$S = \overline{m}_x / \sigma(m_x)$	-0.068	0.250	-0.963	**-0.888**	0.062	0.086
Net reproductive rate	R_0	Mean number of recruits produced during the mean life expectancy of an individual in the population.	$R_0 = \sum_{x=0}^{x=\eta_e} l_x m_x$	0.288	**0.936**	-0.096	**-0.797**	-0.054	0.546
Mature life expectancy	L_ω	Number of years from the mean age at maturity (L_α) until the mean life expectancy (η_e) of an individual in the population.	$L_\varpi = \eta_{L_p}$	**0.914**	0.208	-0.135	-0.312	-0.464	0.754
Axis				Turnover	Repro	-	Repro	Turnover	-
Proportion of variance explained				45.5%	34.8%	8.8%	48.3%	34.9%	7.8%
Cumulative proportion of variance explained				45.5%	80.3%	89.1%	48.3%	83.2%	91.0%
Kaiser criterion				13.28	7.76	0.50	14.9	7.79	0.39
Pagel's lambda (for the pPCA)					0.417			0.335	

Table 2. General linear models for population growth rate (λ) and demographic resilience (damping ratio, ξ), as well as ordinal regression models for IUCN conservation status, as a response to PC1 (reproductive output) and PC2 (turnover) scores, for both the low (*E(Y)*=0.6) and high (*E(Y)*=0.9) feeding levels.

Variable	Axes	Estimate	df	Std. Error	p	R²
$\lambda \sim PC1 * PC2$	Intercept	1.173		0.010	**<0.001**	0.619
	PC1	-0.154	1	0.011	**<0.001**	
	PC2	0.062	1	0.010	**<0.001**	
	PC1:PC2	-0.048	1	0.010	**<0.001**	
	Error term	0.131	164			
$\xi \sim PC1 * PC2$	Intercept	1.199		0.014	**<0.001**	0.167
	PC1	-0.037	1	0.015	**0.014**	
	PC2	0.081	1	0.015	**<0.001**	
	PC1:PC2	-0.016	1	0.013	0.223	
	Error term	0.186	164			
as.factor(IUCN) ~ PC1 * PC2	PC1	0.231		0.143	0.107	0.007
	PC2	0.165		0.146	0.257	
	PC1:PC2	-0.019		0.129	0.881	

R² values are adjusted-R² for the linear models and pseudo-R² for the ordinal regression on conservation status. McFadden's pseudo R² was calculated for the ordinal regression models using the equation $R^2 = 1 - \frac{residual\ deviance}{null\ deviance}$.

Figure 1. Workflow of parameterising a DEB-IPM using the DEBBIES database (Smallegange & Lucas, 2024), using the derived life history traits to plot elasmobranch life history strategies in a Principal Components Analysis (PCA). Species biogeography and phylogeny were used to estimate position on PCA axes, and the PC scores were used to predict conservation status, population growth rates and demographic resilience. White arrows indicate parameterisation of models or calculation of traits. Blue arrows represent metrics used to predict life history strategies and green arrows indicate the use of life history strategies to make predictions of conservation status and demography. Adapted from Smallegange & Lucas (2024).

Figure 2. *Mass-corrected (p)PCA plots including 117 species from the high feeding level model (E(Y) = 0.9) and 51 of these species from the low feeding level model (E(Y) = 0.6). The 51 species that were in both high and low feeding level models were treated as independent populations, leading to a total of 168 data points in each plot (A) and (B). (A) Marginal boxplots of the split by clade (Shark, Skate and Ray) are included for each principal component. Labelled arrows correspond to the variables used in the PCA analysis and show the magnitude and direction of the loadings. Magenta arrows align with the reproductive axis, blue arrows align with the generation turnover axis and yellow arrows are not aligned with either PC1 or PC2. The dashed blue/magenta arrow aligns with both the reproductive output and generation turnover axes. (B) The change in PC scores is marked by arrows for 51 species in both low (yellow) and high (blue points) feeding level. 66 species appear only in blue, with no connected arrow. The change in life history strategies between low and high feeding level creates a shift towards higher reproductive output.*

Figure 3. *PC2 score decreased for increasing temperature-at-depth. Warmer temperatures relate to positive PC2 scores (higher generation turnover). The F-statistic, p-value and Marginal R² (variance explained by fixed effects only) are in the plot window. Conditional R² (variance explained by fixed and random effects) was 0.963. The grey band around the fitted models shows the 95% confidence interval.*

Figure 4. Overlays on the PCA figures for: population growth rate (A), damping ratio (B), IUCN conservation status (C).