Effects of *Bacillus thuringiensis* subsp. *kurstaki* application on non-target

nocturnal macromoth biodiversity in the eastern boreal forest, Canada.

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Running Title: *Btk* & Nocturnal Macromoth Biodiversity

Abstract:

1. *Bacillus thuringiensis* subs. *kurstaki* (*Btk*) is a widely used bio-lepidoptericide for

controlling pest insect populations. Currently, as part of a large-scale eastern spruce budworm

management strategy, forest managers are treating forest stands with high insect densities to

curtail the damage and spread of this forest pest. While moths serve vital roles as herbivores,

pollinators, and as a food source for many migratory birds and bats, there is a dearth of large-

scale studies on the non-target effects of *Btk* on moth assemblages, particularly in northern

forests where diversity is relatively low.

2. We established a replicated field study to evaluate the effects of *Btk* on non-target

nocturnal macromoth communities in the eastern boreal forest of western Newfoundland,

Canada over two years.

4. We found no significant differences in total abundance or richness between treated and

control sites. In 2022, control sites had significantly higher diversity (i.e. Hill numbers for

Shannon and Simpson diversity metrics) compared to treatment sites. In 2021, differences in

Hill numbers were only observed between north controls and treatments.

5. After multiple years of treatment, there were shifts in the community composition and

relative abundance of certain common species, but without significant changes in species

richness or total abundance between control and treatment groups. The response of species is

idiosyncratic, likely influenced by differences in phenology and voltinism.

Keywords: Lepidoptera, forest pests, forest management, biological control, Spruce

Budworm Early Intervention Strategy

Introduction

The order Lepidoptera (moths and butterflies) is highly diverse and well represented

in boreal forests. Investigating the biodiversity patterns and dynamics of Lepidoptera species

offers crucial insights into the complexity of ecosystem health and resilience. While

butterflies are more well known, moths are 10 times more taxonomically diverse (Wagner *et*

al., 2021). They provide numerous important ecosystem services such as defoliation,

pollination, and prey for other animals (Holmes, Schultz and Nothnagle, 1979; Hammond

and Miller, 1998). As pollinators, nocturnal moths are more efficient than daytime pollinators

(Anderson, Rotheray and Mathews, 2023). Moths also differ substantially in their flight

phenology, meaning that early season flyers are likely to pollinate a different cohort of plants

than those that emerge later. Moths are an important food source for many vertebrates such as

birds and bats (Wagner *et al.*, 2021) Still, relatively little is known about the status of this

largely nocturnal insect group, and to conserve the species and the important ecosystem

services they provide we must have data on the current state of moth biodiversity and the

potential impacts of forest management techniques.

The Tortricidae is a family of micromoths that contains numerous species of

economic and ecological importance in forests. One of them, the spruce budworm

(*Choristoneura fumiferana*, Clem., henceforth SBW), has a particularly large impact on fir

and spruce forests across Canada and the United States (Maclean and Ostaff, 1989; Chang *et*

al., 2012). SBW outbreaks occur cyclically approximately every 30-40 years and often last

for many years (Blais, 1983). During these outbreaks, stands are heavily defoliated which

leads to reduced growth and eventual tree mortality (MacLean, 1984). Within the 20th

century, there were three major outbreaks in Canada, resulting in tens of millions of hectares

of damage (Blais, 1983). These outbreaks have both economic (e.g., timber losses, Chang *et*

al., 2012) and ecological consequences (e.g., changes to wildlife habitat and carbon

sequestering ability; Dymond *et al.*, 2010; Chagnon, Bouchard and Pothier, 2022), therefore

appropriate management strategies are imperative for forest health.

Bacillus thuringiensis subsp. *kurstaki* (*Btk*) has been widely used in Canada to

manage this irruptive pest since the mid-1980s (van Frankenhuyzen, 2000). *Btk* is a naturally

occurring soil bacterium that produces proteinaceous crystals, endowing it with insecticidal

properties(Lambert and Peferoen, 1992). *Bacillus thuringiensis* subsp. *kurstaki* is a bacteria

comprised of spores that produce a crystalline toxin specifically harmful to Lepidoptera

(Schünemann, Knaak and Fiuza, 2014). Once consumed by larval stages, the toxins contained

within the crystals are released in the gut of the caterpillar and bind with specific receptors in

the lining, ultimately resulting in their death by cell lysis (Knowles and Ellar, 1987; Lambert

and Peferoen, 1992). *Btk* is applied aerially onto forest stands intending to protect the current

year's foliage and/or reduce the outbreak spread and magnitude (Fuentealba et al., 2019;

Johns et al., 2019). Previous studies have shown that *Btk* is an effective insecticide for

lepidopterous pests (Bauce *et al.*, 2004; Sanahuja *et al.*, 2011). With *Btk* being Lepidoptera

specific, it can negatively impact non-target moth species.

Previous studies have shown an overall negative effect on abundance and richness of

non-target species (Boulton et al., 2002; Boulton, 2004; Miller, 1990; Sample et al., 1996).

However, other research has shown that the impact on non-target species is not linear and

taxa are not equally affected, with differences in phenology and reproductive traits playing a

role (Boulton et al., 2002; Boulton & Otvos, 2004; Leza et al., 2021; Manderino et al., 2014;

Miller, 1990, 2000; Peacock et al., 1998; Strazanac et al., 2005; Wagner et al., 1996).

Moreover, there is evidence to suggest that *Btk* may have a positive effect on some genera

due to decreased competition in sprayed areas (Sample *et al.*, 1996; Manderino, Crist and

Haynes, 2014). The variation in susceptibility, in combination with the rarity of large-scale

field experiments, makes it difficult to predict the non-target effects of *Btk* application *in vivo*

and highlights the knowledge gaps that still exist.

The objective of this study was to quantify *Btk* effects on non-target macromoth

species in the context of a SBW management program. Forest management practices that

negatively impact lepidopteran communities can have significant trophic implications across

all levels of the food chain, by either decreasing prey availability to higher trophic levels or

modifying the richness of consumers of primary production (Summerville, 2011), all of

which pose ecosystem consequences. As one of the most functionally significant taxa in

forest ecosystems, nocturnal macromoths were selected for this study due to their diversity,

abundance, and ease of capture and identification (Holmes, Schultz and Nothnagle, 1979;

Burford, Lacki and Covell, 1999; Young, 2005; Alison *et al.*, 2022).

Using a replicated 'treatment vs. control' design, we made use of an ongoing "Early

Intervention Strategy" (Johns et al., 2019) established to manage SBW in western

Newfoundland, Canada, to investigate how the aerial application of *Btk* in forests affects the

community structure (i.e., diversity, abundance, and composition) of nocturnal macromoths.

Materials and Methods

Study Location

We conducted this study over two years (2021 and 2022) on the west coast of

Newfoundland, Canada. The study sites were divided into two locations: north and south of

Gros Morne National Park, Newfoundland and Labrador, Canada (Figure 1).

The northern sites were located in the Northern Peninsula Forest ecoregion (Protected

Areas Association of Newfoundland and Labrador, 2008a). These sites experience cool

summers and prolonged winters, characterized by some of the coldest temperatures on the

island. The annual rainfall in these regions ranges between 1300-1500mm. Climate modeling

using the CanESM2 model revealed average temperatures for June, July, and August to be

11.2°C, 16.8°C, and 17°C, respectively. The forested areas in which we sampled are

dominated by balsam fir (*Abies balsamea*, (L.) Mill).

The southern sites were located in the Western Newfoundland Forest ecoregion

(Protected Areas Association of Newfoundland and Labrador, 2008b). These sites experience

warm summers and cold winters with an annual rainfall of 1200mm. Based on CanESM2

climate modelling, the average temperatures for June, July, and August are 12°C, 17.1°C, and

16.9°C, respectively. Consistent with the northern sites, all collections took place in balsam

fir dominated boreal forest stands.

We sampled a total of 13 sites. In 2021, we collected moths from 12 sites in a paired

design of treatment and control sites. In the south, there were three sites that had been

sprayed with *Btk* for one year (2021) and three control sites that had not been sprayed. In the

north, there were three sites that had been sprayed for two consecutive years (2020 and 2021)

and three control sites that were not sprayed. In 2022, we sampled most of these sites again,

with an extra consecutive year of *Btk* treatment: in the south, the treatment sites had been

sprayed for two consecutive years (2021 and 2022) and in the north, the treatment sites had

been sprayed for three consecutive years (2020, 2021, and 2022). The control sites remained

the same. In 2022, one northern treatment site had to be shifted to a nearby stand and

replaced as it was no longer included in the 2022 spray program, and we wanted to maintain

consistent spray parameters across all sites. We chose a new site approximately 4.5

kilometers away from the previous site. As with all northern treatment sites, this one had been

sprayed for three consecutive years (2020, 2021, and 2022).

Spray Program

The Spruce Budworm Early Intervention Strategy was developed by the Government

of Canada . The goal of this program is to identify potential SBW outbreak "hotspot"

locations by employing landscape-level monitoring, then proactively curtailing the growth of

SBW populations with application of *Btk* before insects surpassing outbreak thresholds. The

province of Newfoundland and Labrador began their treatment in 2020, actioned by their

Department of Fisheries, Forestry, and Agriculture (Government of Newfoundland and

Labrador, 2020).

Btk was used in 2020, 2021, and 2022 to protect 35 583, 138 950, and 142 474

hectares of forested land, respectively (Government of Newfoundland and Labrador, 2020;

https://www.gov.nl.ca/ffa/programs-and-funding/forestry-programs-and-

funding/idc/controlprogram/). This largely took place in the Great Northern Peninsula using

one or more applications at a concentration of 30 billion international units per liter (BIU/L)

at a standard rate of 1.5 liters per hectare (L/ha) for a total of 45 BIU/ha. All aerial

applications were applied when weather conditions and larval development were optimal for

Btk treatment. These optimal weather conditions were considered when: wind speeds were

between 2 and 10km/h, air temperatures were below 25°C, relative humidity was above 50%,

and it was not raining or expected to rain within 2 hours of treatment (Government of

Newfoundland and Labrador, 2019). In 2020, spraying took place between June 26th and July

16th. In 2021, all spraying took place between June 7th and July 12th. Finally, in 2022, all

spraying took place between June 13th and July 8th. In 2020, all spraying was limited to areas

north of Gros Morne National Park; consequently, our study sites south of the park received

one year less treatment than the northern sites.

Moth collection

At each site we used one light-flight intercept trap to capture adult nocturnal

macromoths. Each trap was equipped with a 12W black-light fluorescent tube light that was

powered by a deep-cycle lead-acid battery. 5-gallon buckets were attached to hold collected

moths and three insecticide strips as a killing agent (Hercon® Vaportape II™). The traps were

also equipped with photocell light sensors that turned the tube lighting on at dusk and off at

dawn.

We placed the traps approximately two meters off the ground by tying them to nearby

trees. Sampling took place over two summers (2021 and 2022), with three collections (June,

July, and August) per year. Although this does not encompass the breeding times of all

species, this is when the majority are active (Pinksen *et al.*, 2021). We chose sampling dates

based on the lunar cycle, with the new moon being targeted due to a reduced influence of

moonlight (Yela and Holyoak, 1997). Sampling in 2021 took place June 15-17, July 13-15,

and August 10-12, and in 2022 from June 28-30, July 27-29, and August 23-25 for a total of

108 trap-nights per year. There was no trap disturbance during sampling. During each

sampling event, the traps were set up for three days and emptied daily into collection boxes

that allowed for adequate air flow to promote drying and limit mold growth. Once collected,

samples were sorted to retain only moths that belonged to families that are considered

macromoths (i.e., Drepanidae, Geometridae, Saturniidae, Sphingidae, Notodontidae,

Erebidae, and Noctuidae). Moths were collected and identified to the lowest possible taxon

using a variety of resources (Beadle and Leckie, 2012; *Moth Photographers Group*, 2022;

John, 2022) A voucher collection was created and is stored with Natural Resources Canada,

Canadian Forest Service, Corner Brook, Newfoundland and Labrador, Canada. This voucher

collection was confirmed by B. C. S.

Statistical analyses

We completed all data analysis using R (version 4.2.2; R Core Team, 2023). We

separated the data by stand type (North Control, North Treatment, South Control, South

Treatment). Separate analyses were conducted in 2021 and 2022.

To assess changes in moth abundance, we used a generalized linear model using the

glm.nb function in the R package *MASS* (Ripley *et al.*, 2023), fitted with a negative binomial

distribution. The model assessed the impact of stand type on absolute moth abundance per

site, pooling data across months to reduce the effect of seasonal variation. Singletons were

excluded, and post hoc analyses using pairwise Tukey's tests were conducted for significant

findings between treatment types. The top five most abundant species per year, constituting

$\geq 35\%$ of the collection each year, were similarly analyzed. For each stand, diversity indices,

encompassing species richness, Shannon diversity, and Simpson diversity, were calculated

using Hill numbers ($q = 0$; species richness, $q = 1$: Shannon diversity, $q = 2$; Simpson

diversity) with the *iNext* package (Chao *et al.*, 2014; Hsieh, Ma and Chao, 2016). To visualize

differences in species composition between stand types, nonmetric multidimensional scaling

(NMDS) ordination was calculated with Bray-Curtis dissimilarity scores using the function

MetaMDS in the R package *Vegan* (Oksanen *et al.*, 2019). A species-by-site matrix was

created using transformed counts ($x' = \log(x+1)$). Data was pooled by month and singletons

were removed to eliminate the influence of rare species. The ordination was plotted using the

function *ggplot* in the R package *ggplot2* (Wickham, Navarro and Pedersen, 2016). Two

dimensions were chosen as it was promoted visualization and interpretation. To assess

differences between stand types, we performed a permutational multivariate analysis of

variance (PERMANOVA) using the function *adonis2* in the R package *Vegan* (Oksanen *et*

al., 2019).

Results

We collected and identified 11,802 macromoths, representing 174 species that

belonged to seven families (Drepanidae, Erebidae, Geometridae, Noctuidae, Notodontidae,

Nolidae, and Sphingidae). Of these, 95.7% were identified to species level with the remaining

unidentifiable.

Abundance

In 2021 and 2022, overall moth abundance was significantly influenced by stand type

(p<0.001 and p=0.0208, respectively; Table 1, Figure 2). In 2021, no significant differences

were observed between treatment and control groups within the north or south regions, the

south control sites had higher abundance than the north control or north treatment sites

(p<0.001 and p=0.0121, respectively). Although not statistically significant, the mean

abundance trended higher in the north treatment group than the north control group and in the

south control group than the south treatment group. Like the previous year, we detected no

significant difference between the treatment and control groups within the north and south

regions in 2022. The only significant difference was between the north control sites and south

control sites, with more individuals in the south control sites (p=0.0467). However, the mean

abundance was higher in the north treatment group than in the north control group and higher

in the south control group than in the south treatment group.

We assessed any changes in abundance in the most common species across both

years. In 2021, the five most abundant species made up 39% of the collection, with

Dysstroma citrata (Linnaeus; Geometridae) (n=1183), *Macaria signaria* (Hübner;

Geometridae) (n=613), *Caripeta divisata* (Walker; Geometridae) (n=381), *Syngrapha*

viridisigma (Grote; Noctuidae) (n=178), and *Anaplectoides pressus* (Grote; Noctuidae)

(n=178). In 2022, the five most abundant species accounted for 35% of the collection for that

year. Two species remained the same as 2021 (*Macaria signaria* (n=333) and *Dysstroma*

citrata (n=869)), while three were different (*Xestia badicollis* (Grote; Noctuidae) (n=152),

Syngrapha rectangula (Kirby; Noctuidae) (n=94), and *Phlogophora periculosa* (Guenée;

Noctuidae) (n=133)). We detected significant differences in the abundance of these species

among stand types in both 2021 and 2022.

In 2021, significant differences were detected in *Syngrapha viridisigma, Macaria*

*signaria, Caripeta divisata, Phlogophora periculosa, Dysstroma citrata,*and *Xestia badicollis*

among stand types (p<0.001 each). *Syngrapha viridisigma* were more abundant in the south

control sites than the south treatment sites (p=0.0273), with no significant difference

observed between treatment and control in the north. *Macaria signaria* was significantly

more abundant in north treatment sites than in north control sites (p = 0.0221), while no

significant differences were found in the south. *Caripeta divisata* was more abundant in north

treatment than north control (p < 0.001), and *Phlogophora periculosa* was more abundant in

south treatment than south control (p < 0.001). *Dysstroma citrata* and *Xestia badicollis* had

an overall significant difference detected but no pairwise difference was detected. In 2022,

Xestia badicollis, *Syngrapha rectangula, Caripeta divisata*, *Dysstroma citrata*, and

Phlogophora periculosa showed significant differences based on stand type (p < 0.001, p =

0.0213, and p<0.001 for each subsequent species, respectively), with controls having higher

abundance. More *Xestia badicollis* individuals were collected in south controls than south

treatments (p = 0.0487). Although not significant, there were more individuals in north

treatment than north control. For *Syngrapha rectangula*, a significant difference was observed

between south control and south treatment sites (p = 0.013), with higher numbers in controls.

Despite not being statistically significant, north control had more individuals compared to

north treatment. The other three species did not have significant pairwise results.

Diversity

In 2021, we observed significant differences in Shannon diversity (q=1) and Simpson

diversity (q=2) between the north control and treatment sites (Figure 3), but not in species

richness (q=0). There were no differences between the south control and treatment sites.

Despite higher species richness in the controls, confidence intervals overlapped between

north control and treatment, as well as between south control and treatment, indicating no

significant differences.

Shannon diversity was similar in the south control and south treatment sites, while

confidence intervals did not overlap between the north control and north treatment sites,

suggesting higher diversity in the north control. Simpson diversity was similar in the south

control, south treatment, and north treatment sites, but higher in the north control sites.

In 2022, Shannon diversity ($q=1$) and Simpson diversity ($q=2$) differed between stand

types, with non-overlapping confidence intervals between the north treatment and controls,

and the south treatment and controls. Although species richness ($q=0$) had overlapping

confidence intervals, it was higher in the north controls than the north treatments and higher

in the south controls than the south treatments.

For Shannon diversity, there was no overlap in confidence intervals between the south

control and south treatment sites, as well as between the north control and north treatment

sites, indicating higher diversity in the controls in both regions. Simpson diversity was higher

in the north control group than the north treatment group and higher in the south control

group than the south treatment group.

Between years, we note differences in Simpson and Shannon diversity, but not in

species richness based on overlapping confidence intervals. Simpson diversity increased in

the north treatment sites and decreased in the control sites from 2021 to 2022, with no

differences found in the southern sites. Shannon diversity increased in the north treatment

sites but decreased in the south treatment and north control sites. No difference between years

was observed in the south control sites.

Species composition

NMDS ordination indicated that species composition varied among stand types

(Figure 4). The visualization of the ordination was recapitulated statistically by the

PERMANOVA results (2021: F=2.1505, p=0.002; 2022: F=2.7568, p=0.001). However,

pairwise post-hoc testing did not identify significant differences between any specific pairs of

groups. The 2021 ordination plot revealed distinct clustering patterns, with the south

treatment group located in the bottom right quadrant, the south control group located in the

top right quadrant. The northern treatment and control groups overlapped in the upper and

lower left quadrants; however, they were distinct from the southern groups. Similarly, the

2022 ordination revealed distinct clustering, with the south control group being in the lower

right quadrant, the south treatment group being in the upper right quadrant, the north control

being in the lower left quadrant, and the north treatment being in the upper left quadrant.

Discussion

Our results show that overall macromoth abundance and richness was not higher in

the control sites, but community composition did differ between sites treated with Btk and

those that were not (controls). Patterns of changing relative abundance among species were

reflected in both the Shannon and Simpson diversity indices. Although species richness did

not differ significantly, it was consistently higher in control sites. These complex results point

to the need for a more nuanced and thorough assessment of interspecific variation in *Btk*

impacts on non-target Lepidoptera, with particular emphasis on understanding individual

species responses to *Btk* treatment that could reveal deeper phylogenetic patterns of

susceptibility.

Abundance

We found that the overall abundance of macromoths did not differ between *Btk* treated

and control (untreated) sites. These findings are consistent with Glaus *et al.* (2023), who

employed similar *Btk* application rates. However, while both of our studies found that the

overall abundance of non-target Lepidoptera did not differ between *Btk-treated* areas and

non-treated areas, our present study found, although not always significant, there is evidence

of interspecific variation in treatment effects. For instance, in 2021 we found that six of the

eight most common species across both years (*Dysstroma citrata, Macaria signaria, Caripeta*

divisata, Syngrapha viridisigma, Xestia badicollis and *Syngrapha rectangula*) were more

abundant in the north treatment than the north control. This pattern then carried over to the

2022 season where seven of the eight most common species were more abundant (*Caripeta*

divisata, Dysstroma citrata, Phlogophora periculosa, Syngrapha rectangula Xestia

badicollis). In the south sites, only one of the most abundant species (*Phlogophora*

periculosa) was higher in the treated sites in 2021, but this increased to three species

(*Anaplectoides pressus, Dysstroma citrata,* and *Phlogophora periculosa)* in 2022.

Our findings are in contrast to those of Boulton (2004) who observed a decline in

non-target caterpillar abundance after *Btk* application. In their study, their *Btk* application was

only for one year, but the spray rate was higher than in our current study. Sample et al. (1996)

also found a decrease in abundance of both adult and larvae non-target macromoths following

Btk application. However, their application was significantly less than ours: further

highlighting the complex susceptibility of non-targets. Our results were influenced heavily by

the five most abundant species in each year, which accounted for 39% and 35% of total

macromoths in 2021 and 2022, respectively. These species were the primary drivers of total

macromoth abundance. This is in contrast to the findings of Wagner *et al*. (1996) who found

the abundance of the most common species to be decreased in the treated sites, although his

findings were not statistically significant. Moreover, they treated their plots with one

application of 90 BIU/ha while our sites were treated with 45 BIU/ha. This discrepancy

between the findings of Wagner et al. (1996) and our study highlights the potential

importance of the concentration (and possibly frequency) of *Btk* application. The applications

of lower doses may have allowed for reduced immediate impacts and potential recovery

periods, contributing to differing outcomes in our study. These distinctions underscore the

importance of considering dosage frequency and intensity in understanding nuanced effects

of *Btk* application on non-target moth populations. While our findings reveal no significant

differences in overall abundance, this is attributed to the notable increase in abundance

observed in these specific species, effectively offsetting any potential discernible variations in

the total moth population.

Because species differ in phenology, their respective exposure to Btk can differ

substantially. Among Lepidoptera taxa, sensitivity to *Btk* application often aligns with life

history characteristics (Peacock et al., 1998). Species with an early phenology, are

multivoltine, or univoltine with a long larval period appear to be less sensitive to *Btk*

(Boulton, Otvos and Ring, 2002; Boulton, 2004). Although comprehensive phenological data

are lacking for all prevalent species in this study, some patterns emerge. For instance, the

activity window of the bivoltine *Macaria signaria*, which spans from May to August,

suggests that it would have surpassed its larval stage prior to *Btk* application. *Anaplectoides*

pressus, *Caripeta divisata*, and *Syngrapha rectangula*'s emergence around June aligns with

their pupal stage occurring prior to *Btk* application. In contrast, the flight times of *Dysstroma*

citrata, *Syngrapha viridisigma*, *Phlogophora periculosa,* and *Xestia badicollis* begin in July,

potentially coinciding with the SBW's flight. The overlap in flight times between these and

the SBW could suggest that they have overlapping larval stages, meaning they likely would

be feeding during *Btk* applications. However, we did not find the abundance of these species

to be lower in the treated sites. This opens another avenue of investigation for other possible

explanations of why some species may be more-or-less susceptible to *Btk*.

Certain species may inherently exhibit reduced sensitivity to *Btk* (Peacock *et al.*,

1998), potentially contributing to the absence of significant differences in abundance between

treatment and control sites. This may explain why *Dysstroma citrata*, *Syngrapha viridisigma*,

Phlogophora periculosa, and *Xestia badicollis* were slightly more abundant in the treatment

sites.

Diversity

In the north sites, our findings indicate different outcomes between 2021 and 2022.

Both years showed greater Shannon and Simpson diversity in control groups compared to

treatment groups, with consistently higher richness in controls, though not significantly

different. This suggests a shift in the relative abundance of different species within the

community in treated sites, leading to uneven species distribution and ultimately creating

diversity differences. The analysis of species with low and intermediate abundance revealed

minimal differences between treatment and control groups. However, focusing on dominant

species, including *Anaplectoides pressus*, *Caripeta divisata*, *Dysstroma citrata*, *Macaria*

signaria, *Phlogophora periculosa*, *Syngrapha rectangula*, *Syngrapha viridisigma*, and *Xestia*

badicollis were more abundant in the north treatment than the north control, influencing

evenness and explaining the diversity difference. The control sites exhibited a higher

diversity due to a more equitable distribution of abundance across species.

In the south, few differences in richness and diversity between treatment and control

groups were observed in 2021. However, in 2022, diversity decreased in treatment sites. In

2021, only one dominant species (*Phlogophora periculosa*) was more abundant in treatment

sites, but in 2022, three of eight dominant species (*Dysstroma citrata*, *Anaplectoides pressus*,

and *Phlogophora periculosa*) showed higher abundance in treatment sites. Richness remained

similar among stand types in 2021 but was slightly higher in control sites. In 2022, Shannon

and Simpson diversity were greater in the control group than the treatment group, while

richness was similar. This suggests that shifts in relative abundance, especially among

dominant species in treatment sites, account for variation in diversity.

2021 was the first year of treatment in the southern sites, followed by a second

application in 2022. Lack of diversity difference in 2021, followed by a decrease in 2022,

suggests a lagged effect of adult macromoth responses to *Btk* application. This aligns with

previous studies noting significant impacts occurring 12 to 14 months post-spray (Sample *et*

al., 1996; Boulton *et al.*, 2007).

Species composition

We anticipated differences in species composition between treated and control sites

due to documented changes in relative abundance following exposure to *Btk* (Wagner et al.,

1996). Our ordination analysis revealed distinct clustering patterns, indicating differences in

species composition between treated and untreated stands.

While PERMANOVA analysis revealed significant differences in species composition

among stand types, there were no significant pairwise differences. This suggests that while

overall differences exist in moth community structure in response to *Btk* treatment, these

differences may not manifest uniformly at the level of individual pairwise comparisons

between treated and untreated (control) sites.

The lack of significant pairwise differences underscores the complexity of community

responses to *Btk* treatment and suggests that the impacts may vary across different species or

sites. Despite the non-significance of the pairwise comparisons, the significant overall

differences indicated by PERMANOVA suggest that *Btk* treatment exerts discernible effects

on the composition of moth communities, with potential implications for ecosystem

dynamics.

Conclusions

It is a rare and unique opportunity to study the impacts of insect management at

regional spatial scales in forests. Here, we have shown that the application of *Btk* to control

the current SBW outbreak in western Newfoundland significantly affected moth species

community structure in the first few years of SBW management. While the overall

differences in moth community composition were significant, the impacts of treatment may

not be consistent across all regions. Moreover, macromoth responses to *Btk* are somewhat

idiosyncratic in that interspecific variation in developmental phenology and treatment

sensitivity is likely to influence overall impacts; however, understanding such mechanisms

will require further research.

Although significant, impacts observed in our study were much less than those

reported for treatments of longer durations and higher application rates (Wagner *et al.*, 1996;

Boulton, 2004). Minimizing such effects will require a balance between SBW outbreak

control while minimizing *Btk* application, which has been successfully achieved elsewhere

(MacLean *et al.*, 2019). The current treatment program was initiated in the context of the

Spruce Budworm Early Intervention Strategy framework (Johns *et al.*, 2019), and non-target

impacts will ultimately depend on successful execution of this proactive program. With

Lepidoptera providing important ecosystem services such as herbivory, pollination, and a

food source for other species (Hammond and Miller, 1998), it is imperative to understand the

non-target impacts of forest pest control programs. Such information will allow landscape

managers to develop strategies that effectively balance pest control measures with the

preservation of biodiversity in boreal forest ecosystems.

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Table 1. Total raw moth abundance for the five most abundant species in 2021 and 2022. Collection days amalgamated by year and stand type.

Control/treatment pairs that appear in a box are significantly different. NC = North control, NT = North treatment, SC = South control, ST =

South treatment

Species	Top 5 year	2021						2022					
		N	NC	NT	SC	ST	Overall sig.	N	NC	NT	SC	ST	Overall sig.
Anaplectoides pressus	2021	178	37	26	67	48	NS	118	41	23	25	29	NS
Caripeta divisata	2021	381	36	173	115	57	*	90	17	51	11	11	*
Dysstroma citrata	2021/22	1183	70	123	585	405	*	967	98	255	260	354	*
Macaria signaria	2021/22	613	61	271	154	127	*	356	47	169	78	62	NS
Phlogophora periculosa	2022	135	21	20	24	70	*	158	8	48	23	79	*
Syngrapha rectangula	2022	94	9	14	62	9	NS	204	43	59	80	22	*
Syngrapha viridisigma	2021	178	18	26	109	25	*	74	24	25	19	6	NS
Xestia badicollis	2022	152	1	5	135	11	*	312	4	20	207	81	*

Figure 1. a) Newfoundland, Canada. b) Region of study in western Newfoundland. c) Black-

light fluorescent trap set up for moth collection at one of the boreal forest sites.

Figure 2. Box and whisker plots of moth abundance from four stand types, across two years, a) 2021 and b) 2022. 2021 treatment sites had been sprayed with *Btk* for one (South Treatment) and two (North Treatment) consecutive year(s). 2022 treatment sites had been sprayed with *Btk* for two (South Treatment) and three (North Treatment) consecutive years. Comparisons tested using a generalized linear model (GLM), fitted with a negative binomial distribution. Letters a, b, and c indicate significant differences between stand types based on post-hoc testing (95% confidence).

599   Figure 3. Diversity indices, characterized by effective number of species ($\pm$ 95% confidence

600   interval), for each treatment group for a) 2021 and b) 2022. Values calculated from Hill

601   number order $q = 0$ (species richness), $q = 1$ (Shannon diversity), and $q = 2$ (Simpson

602   diversity), for each stand type.

Figure 4. Nonmetric multidimensional scaling of species composition across stand types in a)

2021(Stress=0.119) and b) 2022 (Stress=0.093). Species abundance pooled across all dates

606   and log transformed ($x' = \log(x+1)$). Bray-Curtis dissimilarity scores were used. Each

607   individual treatment plot represents a collection month for north control, north treatment,

608   south control, and south treatment.