Protein Set Transformer: A protein-based genome language model to power high diversity viromics

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Keywords: viromics, viral metagenomics, genome language model, protein language model, transformer

21 **Abstract**
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Exponential increases in microbial and viral genomic data demand transformational
advances in scalable, generalizable frameworks for their interpretation. Standard
homology-based functional analyses are hindered by the rapid divergence of microbial
and especially viral genomes and proteins that significantly decreases the volume of
usable data. Here, we present Protein Set Transformer (PST), a protein-based genome
language model that models genomes as sets of proteins without considering sparsely
available functional labels. Trained on >100k viruses, PST outperformed other
homology- and language model-based approaches for relating viral genomes based on
shared protein content. Further, PST demonstrated protein structural and functional
awareness by clustering capsid-fold-containing proteins with known capsid proteins and
uniquely clustering late gene proteins within related viruses. Our data establish PST as
a valuable method for diverse viral genomics, ecology, and evolutionary applications.
We posit that the PST framework can be a foundation model for microbial genomics
when trained on suitable data.

Introduction

Viruses are the most abundant biological entity on the planet and inhabit every ecosystem. Understanding how viruses modulate microbiome community dynamics and functional outputs is an active area of research that spans various scales from global biogeochemistry[1] to human health and disease[2]. Despite the sheer abundance and influence of viruses, comprehensive large-scale viral metagenomics (viromics) studies are severely hindered by the enormous genetic diversity of viruses as most genomics tools rely on sequence similarity to existing reference databases. These problems are compounded by the lack of universal genes in viruses, complicating phylogenetic and comparative analyses across diverse groups of viruses. Overall, these challenges have impeded the development of viromics software that is both accurate and scalable to increasingly diverse viral datasets. Thus, there is a clear need to develop data-driven frameworks to study viruses at-scale using generalizable genomic principles instead of simple sequence homology-based methods.

Protein language models (pLMs) are promising deep learning frameworks for generalizable genomics. Trained on corpuses of millions of proteins[3–5], pLMs have been shown to model amino acids patterns in protein sequences akin to reading words in sentences, capturing biochemical, functional, and structural features of proteins using contextual information of the amino acids within a protein[4,5]. Applications of pLMs to viral datasets have demonstrated increased capacity for protein function annotation[6,7] and host prediction[8]. However, these studies only focused on specific tasks without considering that pLMs could be universally beneficial for a variety of viromics tasks[9], thus missing out on the true potential of foundation pLMs. An additional shortcoming of the pLMs themselves is that they do not account for evolution-driven genome organization. Recent work has addressed this issue by contextualizing pLM embeddings across short genomic fragments[10] and even representing the entire genome as an aggregation of the pLM embeddings[8]. However, each of these models only targets one specific kind of representation: the former represents proteins with added genome context, while the latter represents genomes as a weighted sum of protein embeddings subject to a specific classification task. Thus, none of these approaches are truly generalizable to a variety of viromics tasks that require both protein- and genome-level reasoning.

Here, we present our Protein Set Transformer (PST), a protein-based genome language model that uses an encoder-decoder paradigm to simultaneously produce both genome-contextualized protein embeddings and genome-level embeddings within a single end-to-end model. We pretrained a foundation viral PST (vPST) model on >100k high-quality dereplicated viral genomes encoding >6M proteins and evaluated on a distinct test dataset of >150k high-quality viral genomes encoding >7M proteins from IMG/VR v4[11]. We demonstrate that vPST better relates viral genome-genome relationships based on shared protein content. Further, we observe that only vPST can consistently cluster operationally related proteins like late gene proteins, indicating the importance of genome context-aware training. Additionally, vPST protein embeddings are associated with protein structure relationships, as demonstrated by clustering

82 capsid-fold-containing proteins with no annotated function with annotated capsid
83 proteins.
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Notably, neither the genome-contextualized vPST protein embeddings nor the
genome embeddings were learned with respect to any external labels, meaning that
they will be useful for a wide range of applications. Due to this flexibility of the vPST, we
propose that the vPST can be used for transfer learning to model other viral-centric
tasks such as viral gene and genome identification, genome quality control, genome
binning, taxonomy, and host prediction, which are major components of viromics
research[9]. Thus, we expect that the vPST will be foundational to future viromics studies.
Further, we posit that the PST architecture can be a general-purpose model for
microbial genomics when trained on microbial instead of or in addition to viral genomes.
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95 **Results**
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97 *Developing the Protein Set Transformer (PST) as a genome language model*
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Figure 1. The Protein Set Transformer (PST) architecture and training regime. **A**) General overview of the graph-based PST for learning genome representations from contextualized protein embeddings. Each protein is represented by an ESM2 protein embedding. The PST internally represents each genome as a graph, consisting of multiple subgraphs of fully connected, locally adjacent proteins. The size of each subgraph is a tuned hyperparameter. The PST uses multi-head attention both to contextualize protein embeddings within each genome and to learn per-protein weights for a weighted averaged over each genome. See **Extended Data Fig. 1** for a modeling-centric view of the PST. Both protein and genome representations can be used for the appropriate downstream task. **B**) Triplet mining workflow that includes the data augmentation technique **C**) PointSwap sampling. For each training genome, a positive genome is identified from the ESM2 embedding space defined as the minimum Chamfer distance. Then, a negative, less related, genome is chosen from the PST embedding space that is the next farther genome after the positive. We augment our training data by creating hybrid genomes that swap similar protein vectors between each genome and its positive genome. **D**) Pictorial representation of the triplet loss objective function used to train the viral PST (vPST). The operational objective of triplet loss is to

114 embed each genome and its positive genome closer in embedding space than each genome and its
115 negative genome, within a tunable distance margin.
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The PST (**Fig. 1A, Extended Data Fig. 1**) models genomes as sets of proteins
using principles from the natural language processing and set[12] and pointset[13]
transformer[14] fields. We thus refer to the PST as a protein-based genome language
model, since it contextualizes protein information at genome-scale. In PST, all proteins
from each genome are embedded using the well-established ESM2 pLM[3,4]. Unlike the
Set Transformer[12], the PST concatenates small vectors onto the pLM embeddings to
model both the protein genome position and coding strand. This set of updated protein
embeddings from each genome are fed to the PST encoder, which uses multi-head
attention[14] to contextualize the protein representations within each genome (referred to
as simply "PST protein embeddings" from here out). These PST protein embeddings
can be used for protein-level tasks like protein classification and functional annotation.
In the end-to-end PST, the PST protein embeddings are further passed to the PST
decoder, which also uses multi-head attention to weigh the relative importance of each
protein in a genome. These weights are used for a weighted average of the
contextualized proteins to produce a genome representation.
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A common training objective for language models that is used by a similar protein-
based genome language model[10] is masked language modeling[15], which involves
predicting masked tokens (words) in sentences from the rest of the sentence. In the
case of genome sentences composed of protein words represented as dense vectors,
masked language modeling is less intuitive and likely overcomplicates training. We
instead opt to mirror relationship-guided genomics to better understand patterns of
genetic diversity using the triplet loss function[13,16] (**Fig. 1B, 1D**). During self-supervised
pretraining of the vPST foundation model, triplet loss uses the distance in vPST
embedding space as a measure of genome-genome relatedness. In vPST, genome-
genome relationships are implicitly conditioned on protein-protein relatedness. Briefly,
triplet loss involves the formation of genome triplets, consisting of one as an anchor, the
genome most related to the anchor as a positive example, and a genome less similar
than the positive genome as a negative example[13,16] (**Fig. 1B, 1D**). Positive examples
are defined using the Chamfer distance in the input embedding space among genomes
within a training minibatch, while negative examples are sampled in the vPST
embedding space. Chamfer distance is computed as the average minimum of protein-
protein distances for pairs of genomes, meaning that the positive genome has the most
similar proteins to the anchor genome. The objective of triplet loss is to embed the
positive genome closer to the anchor than the negative within a tunable margin (**Fig.
1D**).
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To help the vPST learn more generalizable representations, we used the data
augmentation technique PointSwap[13] (**Fig. 1C**) for each genome and its most related
genome defined by Chamfer distance above (**Fig. 1B**). Each genome pair swaps
protein vectors that are most similar at a defined, tunable rate, analogous to
homologous recombination. We then update the triplet loss objective to include
maximizing the similarity between the anchor genome and its corresponding augmented
hybrid genome produced by PointSwap.

Tuning the vPST using a modified Leave-One-Taxon-Out cross validation strategy

To train the vPST viral foundation model, we collected 103,589 viruses from 12
different publicly available sources[1,17–27] as a training dataset (**Extended Data Fig. 2B**).
151,255 viruses from IMG/VR v4[11] that were distinct at the nucleotide level (<95%
average nucleotide identity over 85% of either genome) from the training viruses were
used as the test dataset. Dereplication at the nucleotide level was sufficient to reduce
train-test genome similarity at the protein level (**Extended Data Fig. 2A**). Most viruses
in either set were predicted to encode between 2–100 proteins (**Extended Data Fig.
2C**) and to be Duplodnaviria, Monodnaviria, or Riboviria (**Extended Data Fig. 2D**).
Additionally, most were from environmental sources not associated with a holobiont
(**Extended Data Fig. 2D**), especially marine systems. Among the viruses with a known
or predictable host, most are bacterial viruses (**Extended Data Fig. 2D**).

We tuned 2 different vPSTs with small (6-layer, 8M param) and large (30-layer, 150M
param) ESM2 protein embeddings, respectively, using a variant of leave-one-group-out
cross validation (CV), where the group is the viral taxonomic realm. In our variation, the
Duplodnaviria group is always included as a CV training fold since this group composes
a significant fraction of our training set (65.4%, **Extended Data Fig. 2D**). This CV setup
notably helps choosing model hyperparameters optimal for all viruses rather than just
the most abundant. The best models were chosen based on the lowest triplet loss
averaged among all folds at the end of tuning (**Extended Data. 3AB**). Using this
strategy, we tuned training-specific (dropout, layer dropout, learning rate, weight decay,
batch size), model-specific (number of attention heads and encoder layers), PST-
specific (chunk size), PointSwap-specific (rate), and triplet loss-specific (distance
margin, scale factor) hyperparameters (**Extended Data Fig. 3CD**). For the small vPST,
fewer attention heads and encoder layers led to optimal performance, while the reverse
is true for the large vPST, likely reflecting the increased information capacity of larger
pLM embeddings. Increasing values of the AdamW optimizer (PyTorch v2.0.0) weight
decay parameter, increasing values of the PointSwap rate, and decreasing values of the
triplet loss distance margin led to decreased loss (better performance) for both vPST
sizes. After hyperparameter tuning, we trained a final model for each ESM2 input using
the best hyperparameters (**Extended Data Fig. 3E**). The remaining results are based
on these 2 models that we refer to as "pst-small" (5M parameters) and "pst-large" (178M
parameters), respectively, when discussing both the learned genome and protein
representations.

The vPST captures biologically relevant information about viral genomes

To evaluate if the vPST learned biologically meaningful representations of viral
genomes, we compared the genome embeddings produced by the vPST against other
protein- and nucleotide-based methods with a quantitative clustering assessment on the
vPST test dataset. For protein-based methods, we performed an ablation study
comparing unweighted averages of the input ESM2 embeddings and of the vPST
protein embeddings over each genome ("ctx-avg" methods). For nucleotide-based

methods, we used 4-mer nucleotide frequency vectors, GenSLM[28] embeddings, and HyenaDNA[29] embeddings. The latter methods were chosen for both their availability relative to the course of this study and their relevance to genome language modeling, as there have been several recently described nucleotide-based models[30,31]. Notably, both GenSLM and HyenaDNA have also been referred to as genome language models, so we explicitly refer to these as nucleotide language models to distinguish them from our protein-based genome language model. GenSLM was trained to focus on codon-level words in a genome sentence. Thus, to produce GenSLM genome embeddings, we embedded each nucleotide open reading frame (ORF) and then averaged these over each genome. Meanwhile, HyenaDNA is a long-context nucleotide language model that can contextualize up to 1Mb fragments, which is well above the size of most viral genomes. Protein-based methods and HyenaDNA appeared to better reflect the evolutionary relationships among viruses in both the vPST training and test datasets in a qualitative analysis of the genome embeddings in which there are visually distinct clusters of the 4 viral taxonomic realms (**Fig. 2A**).

Figure 2. The vPST learns biologically meaningful genome representations for diverse sets of viruses. **A)** UMAP dimensionality reduction plots for the genome embeddings produced by each method, color coded

by the viral realm. "Kmer" represents 4-mer nucleotide frequency vectors. "Ctx-avg" methods are
averages of the vPST protein embeddings over each genome. **B–D**) Statistics of genome clusters
detected by the Leiden algorithm on a *k*-nearest neighbor graph of the genome embeddings from the test
dataset (see Methods): **B**) proportion of genomes clustered, **C**) average number of genomes per cluster,
and **D**) total number of clusters. A cluster is only counted if there are at least 2 genomes. **E**) *Top*: Pairwise
amino acid identity (AAI) was computed for all pairs of viruses in a cluster and averaged for the entire
cluster. Then, the AAI for each cluster was averaged for each method, weighting the clusters by their size.
Bottom: The data in the top row were scaled by the proportion of genomes clustered from the test
dataset. All analyses were performed with the vPST test dataset.

To quantitatively evaluate each genome representation, a similarity-weighted *k*-
nearest neighbor (*k*NN) graph was constructed from each of the genome embeddings
from the vPST test dataset and then clustered using the Leiden algorithm[32]. We
considered a range of values for *k*, the number of genome neighbors, and for the
clustering resolution, which sets a threshold for how distant connections can be, to
better understand the clustering trends with each genome representation (**Fig. 2B–D**).
As expected, increasing *k* from 2 to 50 leads to a greater proportion of viruses clustered
with at least 1 other genome (**Fig. 2B**), increases the average cluster size (**Fig. 2C**),
and decreases the total number of clusters (**Fig. 2D**). Likewise, increasing the clustering
resolution in the Leiden algorithm has the opposite effect when *k* is constant, since
more distant connections are pruned in the *k*NN graph (**Fig. 2B–D**, right column).

We then computed average amino acid identity (AAI) between pairs of genomes in
each genome cluster and aggregated the AAI over all genome clusters to assess the
quality of the genome clusters. As expected, protein-based methods lead to genome
clusters that have higher intra-cluster AAI than nucleotide-based methods (**Fig. 2E**),
suggesting that these methods use overall protein similarity to understand viral genome
relationships. Notably, pst-small genome clusters have the highest AAI among all
methods (**Fig. 2E**). However, when penalizing high rates of genome singletons, pst-
large genome clusters have the highest AAI (**Fig. 2E**). Importantly, this implies that pst-
large not only clusters viral genomes based on protein similarity but also can relate the
largest proportion of genomes. Additionally, most methods also outperform the baseline
of clustering viruses specifically using AAI at the genus or family level (**Fig. 2E**, "AAI-"
lines). Further, evaluating the taxonomic purity of both the viruses and their hosts across
the genome clusters does not strongly separate any method (**Extended Data Fig. 4**).
This may suggest that current viral taxonomy is not as informative for understanding
viral-viral relationships across diverse sets of viruses compared to AAI, which is based
on more intrinsic information to the viral genomes. Further, the proportion of viruses with
a predicted host is low (**Extended Data Fig. 2D**), which may also skew this analysis.

The vPST detects important viral protein functions, including identifying new potential
hallmark proteins

The vPST genome representations are produced as a function of the input protein
embeddings that get contextualized by the intermediate PST encoder. Thus, we
expected that the biologically meaningful genome embeddings of the vPST should be
generated from meaningful protein representations. We first analyzed the attention
scores of each protein per genome from pst-large, which are used as importance scores

when pooling the vPST protein embeddings for the final genome representation. We
considered that the general function of each protein was likely associated with high
attention. Indeed, structural proteins (head, packaging, tail) and replication or nucleotide
metabolism proteins were generally most attended to by the model (**Fig. 3A**). This is
intuitive since these proteins are essential to viruses and likely reflects their relatively
greater abundance in the dataset (**Extended Data Fig. 5B**). Further, we found a subtle
association between the attention scores with the number of proteins belonging to the
same sequence identity-based cluster (**Extended Data Fig. 5A**). This reflects the model
assigning a higher weight to proteins seen more frequently.

Figure 3. The vPST leverages genomic context to learn protein function relationships. **A**) Scaled attention from pst-large normalized to compare across genomes with differing numbers of proteins (see Methods) with respect to protein function. Scaled attention is the max scaled attention of all proteins in each of the top 50 sequence identity-based protein clusters (mmseqs2). **B**) UMAP dimensionality reduction plots for 2 genome clusters that were primarily (≥85% of genomes) composed of Monodnaviria (top, 13 genomes, 80 proteins) or Duplodnaviria (bottom, 4 genomes, 682 proteins). Colors indicate protein cluster membership defined by clustering the k-nearest neighbor graph of the indicated protein embedding with the Leiden algorithm. Here, pst-large refers to the vPST protein embeddings. "IGR" refers to the average weighted information gain ratio for all protein clusters within each of the two genome clusters as a measure of protein cluster functional purity (see Methods). Shapes indicate the PHROG functional category. **C**) Summary of functional co-clustering based on PHROG annotations. Each connected component was clustered in a co-occurrence graph using the Leiden algorithm with resolution of 1.0. The edges indicate pairs of functional categories that were more enriched in protein clusters defined by clustering the k-nearest neighbor graph of the corresponding protein/ORF embeddings (columns) relative to the background distribution of annotation profiles. The length of the edges reflects the degree of enrichment since the networks were visualized using a spring force algorithm. Dotted lines indicate connections that were less enriched than or equal to expected, while solid lines were more enriched than expected. **D**) The proportion of protein clusters that correspond to one of the indicated function modules (columns) when using either the VOG (top) or PHROG (bottom) annotation databases. For B and C, genomes were clustered using pst-large genome embeddings (k=15, clustering resolution="high"). Proteins were clustered within each genome cluster with k=15 and clustering resolution="med". All analyses were generated using the vPST test dataset.

To quantitatively assess the ability of the vPST to understand protein relationships, we conducted a similar analysis as with the genome clusters. The embedding-based protein clusters were generated using the Leiden algorithm on a similarity-weighted kNN graph. To reduce potential noise when clustering, we restricted the set of nearest neighbors to only include proteins from genomes in the same genome cluster, specifically using the hyperparameters that maximized intra-genome-cluster AAI (k=15, resolution="high", **Fig. 2E**). We performed a similar purity analysis of the protein clusters with respect to VOG and PHROG functional categories that did not strongly indicate which protein or genome clustering methods produced the most functionally pure genome clusters (**Extended Data Fig. 6**). However, clustering the genomes with the ctx-avg-large embeddings tended to perform best for protein cluster functional purity (**Extended Data Fig. 6B**). This result makes sense because the vPST protein embeddings used for the ctx-avg-large genome embeddings are the last time the vPST directly considers protein information. Additionally, vPST protein embeddings led to the overall highest protein functional purity.

To identify cases where the vPST outperforms the input ESM2, we visualized the protein embeddings from 2 representative genome clusters primarily (≥85% of genomes) composed of Monodnaviria or Duplodnaviria using the large embeddings (**Fig. 3B**). In the Monodnaviria cluster, there are DNA binding proteins that esm-large did not cluster together, reflecting the underlying sequence divergence of these two proteins (35.5% sequence identity over ~71% coverage). However, pst-large clustered these proteins with a replication initiation protein, suggesting a detection of broad functional relationships. Furthermore, the esm-large embeddings clustered various structural proteins together with these DNA-interacting proteins that pst-large notably clustered into distinct clusters. There are additionally numerous proteins unable to be annotated by PHROG (**Fig. 3B**) or VOG (**Extended Data Fig. 5C**) that cluster with

334   annotatable proteins regardless of protein embedding used. Similar visual patterns exist
335   for the Duplodnaviria cluster, which prompted us to consider if these were general
336   phenomena of the vPST protein clustering.
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338   *The vPST co-clusters related protein functions into function modules*
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Given that the vPST leverages genomic context, we suspected that the vPST would
be equipped to identify groups of associated protein functions that reflect the underlying
genome organization. For example, the late genes encoding for structural, packaging,
and lysis proteins are adjacent and transcribed by a single promoter in the Lambda
genome[33]. We, therefore, assessed protein function co-clustering patterns. For each
protein cluster, we calculated the number of times pairs of proteins belonging to different
PHROG functional categories co-clustered against the number of times each pair of
categories would be expected to co-cluster based on the underlying distribution of the
PHROG database categories. The resulting enrichment networks showed that both
vPST models could group proteins based on broader function modules (**Fig. 3C**),
regardless of the genome embedding used for genome clustering (**Extended Data Fig.
7**). For example, tail, head and packaging, connector, and lysis proteins, which are
notably late gene proteins, consistently co-clustered above background in vPST protein
clusters. Additionally, DNA-interacting (nucleotide metabolism, lysogeny, and gene
expression), early gene (host takeover, lysogeny), and lysogeny (lysogeny, lysogenic
conversion) function modules were enriched in vPST protein clusters. Interestingly,
regardless of how the genomes were clustered, using ESM2 protein embeddings to
cluster the proteins did not lead to interpretable functional modules emerging (**Fig. 3C**,
Extended Data Fig. 7). Additionally, while some functional relationships were detected
in the GenSLM ORF clusters, this was not consistent depending on how the genomes
were clustered. These results were also consistent with the proportion of protein
clusters that we considered as belonging to these function modules such as late genes,
DNA-interacting, replication, and packaging (**Fig. 3D**). Notably, protein clusters that
belonged to these function modules made up a greater proportion of vPST protein
clusters than ESM2 or GenSLM clusters when using VOG annotations, regardless of
how the genomes were clustered (**Extended Data Fig. 8A**). The effect is less
pronounced with PHROG annotations (**Extended Data Fig. 8B**), but this difference may
be attributed to the overall decrease in functional annotation with the PHROG database
(**Extended Data Fig. 5B**), which led to excluding a greater number of protein clusters
that belonged to each functional module. These data demonstrate that considering
genome context better enables the vPST to detect broader functional associations
implicitly encoded in viral genome organization.
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373   *The vPST expands our understanding of proteins of unknown function*
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Interestingly, hypothetical proteins unable to be annotated by either the VOG or
PHROG databases were considered the most important by pst-large (**Fig. 3A**). One
explanation is that since proteins of unknown function make up 70-90% of all proteins in
the vPST test dataset (**Extended Data Fig. 5B**, **Supplementary Table 2**), it is likely that
there are true viral hallmark structural and replication proteins that have diverged at the

sequence level among the unannotated proteins. To understand if the vPST uses more
than sequence-level information to relate proteins, we investigated whether
unannotated proteins that cluster with detectable capsid proteins contained conserved
capsid-like structural folds as evidence that these unannotated proteins are indeed
capsid proteins. We filtered the proteins from the test viruses to maintain proteins
belonging to protein clusters that contained only annotated capsid proteins or
hypothetical proteins. We then used foldseek[34] and ProstT5[35] to translate this protein
set into a structural alphabet for searching against Protein Data Bank[36] structures for
structural homology. To validate the structural reasoning of this approach that does not
directly infer a protein structure, we independently aligned the structures of the
reference HK97 major capsid protein[37] with two different AlphaFold 3-predicted[38]
structures using the most structurally similar proteins from our dataset: one that was
detected by a VOG profile with unknown function (**Fig. 4A**, pTM=0.66) and one
undetected entirely (**Fig. 4B**, pTM=0.6). The strong alignments indicate that our
workflow can accurately identify capsid-fold-containing proteins from the protein
sequence alone. Using this approach, the vPST models generally showed the greatest
average proportion of unannotated proteins with structural homology to known capsid
proteins (**Fig. 4C**), regardless of how the proteins or genomes were clustered
(**Extended Data Fig. 9A**). GenSLM ORF embeddings were also better than the ESM2
protein embeddings for this task, likely due to being pretrained on microbial genomes,
which would contain some viral sequences, and finetuned on SARS-CoV-2 genomes.
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most similar to the HK97 capsid protein from each category. **C**) The average proportion of proteins
unannotated by VOG clustering with annotated capsid proteins that have structural homology with known
capsid folds. Structural homology was detected using foldseek searching against the Protein Data Bank
database. Error bars represent the standard deviation over the embedding used for genome clustering.
Values are only comparable within each subpanel. **D**) Sensitivity of annotation transfer from annotated to
nearby unannotated proteins over the choice of k nearest neighbors for genome clustering. Instances of
annotation transfer were detected if the nearest protein (based on cosine distance of the protein/ORF
embeddings) to each unannotated protein had a VOG annotation. All analyses performed with genomes
and proteins from the test dataset.

We next considered that similarity in embedding space could be used to propagate
functional labels from annotated to unannotated proteins. To evaluate the annotation
transfer ability of vPST, we first performed a nearest neighbor sensitivity analysis. For all
unannotated proteins in the test set, we identified the nearest protein within each
genome cluster using cosine distance of each protein embedding. If the nearest protein
was annotated by VOG, we scored that as an improvement in annotation. Protein-based
embeddings outperformed the GenSLM ORF embeddings for transferring annotations,
regardless of how the genomes were clustered (**Extended Data Fig. 9B**). Additionally,
the rate at which this annotation improvement increases as more genome neighbors are
considered showed that the vPST was more sensitive (**Fig. 4D**). Specifically, clustering
genomes with ctx-avg-small or pst-large genome embeddings led to the greatest rate of
improvement as more genome neighbors are allowed. Interestingly, when nucleotide
methods were used for the genome clustering or the protein distance searches, the rate
decreased, suggesting that adding more genome neighbors impedes annotation
transfer. This may be due to the limited range of nucleotide information in capturing
distant relationships. This means that as the nucleotide-based genome clusters
increase in size, the nearest neighbor in ORF embedding space for an unannotated
protein is just another unannotated protein. Further, considering only the single nearest
protein is a conservative baseline. It would be possible to improve these results not only
by considering more protein neighbors but also by finetuning the vPST with a protein
annotation task.

The vPST can be applied toward viral host prediction
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Figure 5. The vPST improves host prediction. **A**) Graph neural network approach for host prediction developed by CHERRY. The node representations are swapped out to the corresponding data type. **B**) Proportion of iPHoP test viruses whose true host species is predicted above the indicated confidence threshold. No test viruses were filtered for similarity to those in the vPST training set. The graph-based models were trained in this study, while "iphop" represents the results of iPHoP on the test set.

Since we expect that the vPST can be used as a general-purpose model for downstream viromics tasks, we used the pst-large genome embeddings for viral host prediction as a proof-of-concept (**Extended Data Fig. 10A**). We adopted and modified a graph framework described previously[39] that models this scenario as a link prediction task in a virus-host interaction network. Briefly, the objective is to predict for any pair of virus and host whether there should be a link, indicating a prediction for infection of that host by the corresponding virus (**Fig. 5A**). This task can be performed by a Graph Neural Network (GNN), which uses a form of convolutions to aggregate local (more related) parts of the graph to improve link prediction.

We implemented a variant of the GNN-based CHERRY algorithm[39] (**Fig. 5A**), swapping out the node (genome) embeddings of both viruses and hosts with either ESM2, vPST, or the tetranucleotide frequency (kmer) vectors that CHERRY uses. Although this design is likely suboptimal for vPST, which has embeddings specialized for viruses but not hosts, it enables a direct comparison of the choice of genome

embedding instead of various virus-host genome embedding combinations. We then
trained these models using the training dataset of the host prediction tool iPHoP[40] to
compare with previously published work (**Extended Data Fig. 10A**). Then, each trained
model and iPHoP were evaluated using the same iPHoP test dataset. We evaluated
whether the true host species for each test virus could be identified with high confidence
(**Fig. 5B**). The model using vPST genome embeddings outperformed all other methods
at the host species level, although the margin between vPST and iPHoP was small
when retaining predictions ≥ 0.9 confidence. Although there are viruses in the iPHoP
test set that are similar to those in the vPST training set (**Extended Data Fig. 10B**),
excluding these viruses does not change the overall results (**Extended Data Fig. 10D**).
Additionally, when evaluated at broader host taxonomic levels, the kmer model
performed the best, with CHERRY and vPST close behind (**Extended Data Fig. 10D**).
The kmer model notably includes implementation-specific changes to CHERRY that
appear to enable greater performance. Further, the lower vPST performance at broader
host taxonomic levels could be explained by the fact that the vPST genome
embeddings were not tuned for hosts. However, the ESM2-based model, which is more
comparable to vPST, does not perform well when evaluated at any confidence threshold
or host taxon rank. This directly demonstrates the importance of training on viral
datasets for viromics tasks.
483
484　**Discussion**
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Here, we presented the PST framework for modeling genomes as sets of proteins,
where each protein is initially represented by information-rich ESM2 protein
embeddings. The PST contextualizes the input protein embeddings and subsequently
yields genome representations as weighted averages of contextualized protein
embeddings, which can be targeted toward either protein-level or genome-level
downstream tasks. When pretrained on a large, diverse dataset of viral genomes, the
vPST demonstrated superior ability in understanding relationships among viral genomes
(**Fig. 2E**). At the protein level, the vPST protein embeddings demonstrated patterns of
broad function grouping, consistently clustering late gene proteins together (**Fig. 3B**).
Additionally, vPST often clustered capsid-fold-containing proteins that could not be
annotated by VOG with annotated capsid proteins (**Fig. 4A**), suggesting that vPST uses
inferred structural information for relating proteins. The vPST further showed high
sensitivity for annotation transfer (**Fig. 4B**). Performance for these protein-level tasks
could be further improved by finetuning the ESM2 pLM with viral sequences and by
training a vPST with a dual objective that more directly considers protein-protein and
genome-genome relationships. Finally, when applied toward a viral host prediction task,
the vPST genome embeddings were able to detect the true host species for the greatest
number of viruses when compared against two previously published host prediction
tools (**Fig. 5B**). We notably refrained from overanalyzing the subtle differences in
performance in the proof-of-concept host prediction task since there are numerous
training techniques beyond the scope of our work that could have resulted in a superior
vPST-based host prediction model. It is, therefore, important to emphasize that the
vPST-based host prediction model performed on par with (and sometimes better than)

existing host prediction tools without the vPST being initially tasked with host prediction
and without significant training time.
511

512
Figure 6. The PST can be a general-purpose microbial and viral genome language model. **A**) Potential
downstream tasks of the pretrained vPST that represent commonly desired steps of a typical
computational viromics pipeline. **B**) Example workflow of a genome language model based on the PST
that could incorporate both microbial and viral input genome datasets.

It is imperative to reiterate that this superior performance in a variety of viromics
tasks emerged despite not training the vPST with these objectives. Taken together, our
results indicate that the vPST is suitable as a foundation model for common viromics
tasks, such as virus identification, taxonomy, host prediction, protein annotation,
genome binning, etc. (**Fig. 6A**). We anticipate that more thorough studies for
downstream viromics problems will benefit from starting from our pretrained vPST.
Additionally, finetuning the vPST can bring even greater performance for these
downstream tasks. For example, finetuning an end-to-end host prediction model with a
virus-host dataset would likely significantly improve predictive power compared to what
we observed. Further, the iPHoP training dataset has limited diversity (**Extended Data**

Fig. 10C), which could suggest that the results here are not representative of true performance. Nonetheless, our work has provided a guideline for a standalone vPST-based host prediction tool.

There has been growing caution around biological foundation models due to potential biosecurity threats such as generating novel pathogenic viruses or guiding gain-of-function viral mutations. For example, the AlphaFold 3 web server does not allow predictions for certain viral proteins[38], Evo excluded viruses with eukaryotic hosts from its pretraining data[41], and ESM3-open filtered viral sequences and select agents from its training sets[42]. While developing vPST, we have assessed the ethical implications of this viral foundation model and are having independent experts consider these impacts before releasing the vPST code and model weights. We, however, perceive the vPST to have a low biosecurity risk. First, only 0.2% of the vPST training viruses infect humans. Of these, only 4 are on the CDC's list of bioterrorism agents (https://emergency.cdc.gov/agent/agentlist-category.asp; Filoviridae viruses: Ebolavirus and Marburgvirus), and 10 more are under surveillance by the National Respiratory and Enteric Virus Surveillance System (https://www.cdc.gov/nrevss/php/dashboard). Further, only 1% of the training viruses infect mammals, which would be the most likely viral reservoirs that could spillover into human populations. Since our model was not trained considering host identity, the low abundance of these viruses in the training dataset likely minimizes their influence on the learned vPST embeddings. Second, the lowest resolution of the vPST is at the protein level, meaning that it would be difficult to reverse engineer a *de novo* viral genome using the vPST. While a nucleotide language model reported the ability to generate *de novo* bacterial virus genomes[31], the similarity of these genomes to the training dataset was not investigated. One pitfall is that the model could have been generating trivially *de novo* genomes that do not differ substantially from the training data. Reverse engineering genomes from our protein-based work is further complicated by the complexities of how human viruses tend to encode and express genes (overlaps, alternative starts, alternative splicing, post-translational processing, etc.). These molecular biology issues likely mean that achieving *in vivo* activity of a generated viral genome would be challenging. We, therefore, perceive that the demonstrated and potential future benefits (**Fig. 6A**) of our work to advance our understanding of viruses outweigh any hypothetical threats that would require significant resources to unleash.

Finally, our PST architecture, while trained on viral proteins and genomes for this study, is agnostic to the source of the proteins and type of genomes. The only requirements of our framework are the ordered protein sequences and genome strand of each ORF. These requirements are more easily satisfied by microbial genomes, where computational ORF calling is both accurate and common. However, our PST could theoretically work with large enough datasets of experimentally determined ORFs from eukaryotes as well. Nonetheless, we propose that our PST implementation is equally appropriate for developing a microbial foundation model to solve challenges in microbial genomics (**Fig. 6B**), which notably also include poor protein annotation rates and high sequence divergence. In fact, our foundation vPST model was still useful for

573   host genome representations in the virus-host prediction task (**Fig. 5**), despite only
574   being trained on viruses.

Data Availability

Sources for publicly available viral genomes are listed in Supplementary Table 1. Supplementary data specific to this manuscript, including protein FASTA files, protein and genome embeddings, trained vPST model weights, and virus-host interaction graphs, were deposited at DRYAD: (doi: 10.5061/dryad.d7wm37q8w). The repository will be made public after the completion of our biosecurity review.

Code Availability

All code for the PST model architecture and analyses specific to this manuscript will be released at: https://github.com/AnantharamanLab/protein_set_transformer. Specifically for manuscript-associated analyses, Jupyter notebooks will be provided for each method section that uses code. We will provide additional repositories for generating the ESM2 protein embeddings, GenSLM ORF and genome embeddings, and HyenaDNA genome embeddings that can be found in the main model repository above. The repositories will be made public after the completion of our biosecurity review.

Author contributions

All authors (CM, AG, KA) conceived the project. CM conducted model and software development, all analyses, results visualization, and content organization. AG and KA provided project feedback. CM and KA wrote the manuscript draft. All authors (CM, AG, KA) reviewed the results and edited and approved the manuscript.

Acknowledgements

This research was supported by the National Institute of General Medical Sciences of the National Institutes of Health under award (R35GM143024) and by a National Science Foundation award (2226451). CM was supported by a National Science Foundation Graduate Research Fellowship and a University of Wisconsin-Madison SciMed GRS Fellowship. AG acknowledges support from Jeanne M. Rowe. We thank members of the Anantharaman lab for project discussion and feedback on the manuscript. Model training and inference was performed using the resources and assistance of the University of Wisconsin-Madison Center for High Throughput Computing[43].

Competing interests

The authors declare no competing interests.

Online Methods

Viral genome datasets

We acquired viral genomes from 12 different publicly available sources[1,17–27] as a training dataset. For GTDB (r202), we used PhageBoost[44] (v0.1.7) with default settings to identify integrated proviruses, filtering predictions that did not encode at least 20 proteins. We then filtered genomes that were not considered complete or high-quality as defined by CheckV[45] (v1.0.1). We then dereplicated this set of genomes using a custom workflow. We first used skani[46] (v0.1.0 sketch: --fast) to compute pairwise average nucleotide identity (ANI) between all pairs of viruses. We constructed a graph where edges connected viruses with ≥95% ANI and ≥50% genome coverage of the alignment for both genomes. The edge weights were the product of ANI and coverage. We then used the Markov clustering algorithm[47] (mcl v14-137 -I 2.0) to cluster this graph, taking one genome from each cluster at random as a representative genome. For the test dataset, we chose the most complete, least contaminated, and longest genome for each viral operational taxonomic unit in IMG/VR v4[11], ensuring that each representative was considered high-quality by CheckV. We then dereplicated this putative test dataset with the training dataset using a similar approach as above with skani (--slow, ≥95% ANI, ≥85% coverage) and mcl. We kept all viruses that did not cluster with training viruses. For both datasets, we filtered out viruses predicted to encode only 1 protein. The final number of viral genomes was 103,589 for the training dataset and 151,255 for the test dataset.

For all viruses, we predicted protein open reading frames (ORFs) using the Python bindings of prodigal called pyrodigal[48] (v2.3.0) for single-contig viruses and prodigal-gv (v2.11.0) for viral metagenome-assembled genomes (vMAGs). We did not consider the updates made by prodigal-gv[49] (include gene models for giant viruses and viruses using alternative genetic codes) to be substantial enough to apply to the entire dataset given the scale and distribution of the data. This led to 6,391,562 proteins for the training dataset and 7,182,220 for the test dataset.

For the training viruses, viral taxonomy not provided by IMG/VR v3 was assigned using geNomad[49] (v1.5.0) to get labels that were consistent with the current standards. For the test viruses, we used the provided taxonomic labels since they were consistent with current standards, and most were predicted using geNomad also. We did not perform host prediction on these viruses, so host labels were either predicted by the source database or are known due to integrated provirus prediction. The summary of information for the training and test viruses can be found in **Supplementary Table 1**.

ESM2 protein language model embeddings

PyTorch (v2.1.0)[50] and fair-esm[3] (v2.0.0) were used to obtain protein embeddings. We refer to the ESM2 models "esm2_t6_8M_UR50D" (6 layers, 8M parameters, 320-dimensional embedding) and "esm2_t30_150M_UR50D" (30 layers, 150M parameters, 640-dimensional embedding) as "esm-small" and "esm-large", respectively. The amino

656 acid embeddings in each protein were averaged for a single d-dimensional vector. For
657 proteins longer than 20,000 amino acids, the sequence was split in half, and the
658 embeddings for each half were then averaged for the final embedding. This only
659 affected 1 bacterial protein in the host prediction analysis.
660
661 **The Protein Set Transformer model architecture**
662
The Protein Set Transformer (PST) was built using PyTorch (v2.0.0), PyTorch
Geometric[51] (v2.3.1), and PyTorch-Lightning (v2.0.7). The PST draws inspiration from
deep learning of set-structured data like the SetTransformer[12] while using modifications
that are specific to pointsets[13], which are sets whose items are d-dimensional vectors.
The PST, thus, models genomes as a set of proteins G_i where $g_{ij} \in G_i$ is the jth protein
in the ith genome. Each protein is initially represented by its d-dimensional ESM2
protein embedding, with $G_i \in R^{n_i \times d}$ where n_i is the number of proteins encoded in
genome G_i. We did not finetune the ESM2 models, so the ESM2 embeddings were
used as frozen inputs. For each protein g_{ij}, learnable embeddings for both the position
in the genome and for the encoding strand were concatenated to the ESM2
embeddings. The positional embeddings for proteins were relative to the positions of the
proteins in each genome and are used so the model learns relative ordering of proteins.
For fragmented genomes such as viral metagenome-assembled genomes (vMAGs), the
scaffolds were randomly oriented such that all proteins were numbered continuously
from the randomly chosen starting scaffold.
678
To account for the large variation in the number of proteins encoded by each
genome, we used a memory-efficient graph-based implementation that considers each
genome as a graph and each protein as nodes in the genome graph. Notably, each
individual genome matrix G_i is stacked for each minibatch, so there is no padding. Then,
an indexing pointer keeps track of the offsets (number of rows/proteins) for each
genome for efficient access. For memory efficiency and to model real fragmented
genomic data, we break each genome graph into subgraphs whose node sets include
15-50 mutually exclusive, contiguously located proteins. The size of each subgraph was
tuned and is, thus, fixed. These nodes are all fully connected in each subgraph such
that all proteins in each genome subgraph attend to each other in the PST encoder. We
prevent subgraphs with 1 node by adding possible singleton node cases to the previous
subgraph. The subgraph size ("chunk size") hyperparameter is constant for all
genomes. Thus, a minibatch of N genomes is represented by a single graph $\mathcal{G} =$
$(X, E) = \text{Stack}(G_i)$ where X is the total number of proteins encoded by the N genomes.
E is the total number of protein-protein edges and is a function of the subgraph size and
number of proteins per genome.
695
The PST uses the encoder-decoder paradigm previously described with the
SetTransformer[12]. The encoder uses multi-head self-attention to contextualize each
protein by the other proteins within the same genome. Then, the decoder uses multi-
head attention pooling to summarize the genome as a weighted average of
contextualized protein embeddings. To contextualize the proteins in each genome, we

used a graph-based implementation of multi-head scaled-dot product self-attention[14] in
each layer of the PST encoder:

$$\alpha_{ij} = \text{GraphSoftmax}\left(\frac{(W^Q x_i)(W^K x_j)}{\sqrt{d}}\right)$$

$$\text{MultiHeadAttn}(\mathcal{G}) : x_i^{(l+1)} = W^{Q,(l)} x_i^{(l)} + \sum_{j \in \mathcal{N}(i) \cup \{i\}} \alpha_{ij}^{(l)} W^{V,(l)} x_j^{(l)}$$

where $x_i^{(l)}$ is the embedding vector for the ith protein at the lth encoder layer, d is the
protein embedding dimension. Likewise, $W^{\cdot,(l)}$ is the weight matrix for the query, key,
and value at the lth encoder layer. $\mathcal{N}(i)$ is the set of protein neighbors for the ith protein
in the same genome subgraph. A_{ij} is the scaled-dot product attention calculation.
GraphSoftmax is a modified softmax function that only normalizes the attention values
within the set of subgraphs that belong to the same genome. Thus, only proteins in the
same subgraph attend to each other, but the attention values are normalized by all
proteins in the genome. To enable multi-head attention, we split the input protein
embeddings in the same number of chunks as the number of attention heads along the
embedding dimension. After the self-attention calculation, we concatenate the outputs
from each head back together. Further, we followed a pre-normalization strategy in
which we normalized the input protein embeddings before the linear layers. Specifically,
we used GraphNorm (implemented in PyTorch-Geometric) normalization operator that
normalizes the proteins embeddings only within each genome. Additionally, we used the
corresponding skip connections in which untransformed inputs are added to values
post-attention. A full PST encoder layer can thus be mathematically represented as the
following set of equations (1):

$$
\begin{aligned}
X^1 &= \text{GraphNorm}(X^0) \\
X^2 &= \text{MultiHeadAttn}(\mathcal{G}) \\
X^3 &= X^0 + X^2 \\
X^4 &= \text{GraphNorm}(X^3) \\
X^5 &= \text{FF}(X^4) \\
X^6 &= X^3 + X^5
\end{aligned}
\quad \#(1)
$$

721 where X represents the intermediate protein representations and X^0 is the input protein
722 embeddings in the stacked batch. FF represents a 2-layer feedforward network with
723 GELU (Gaussian error linear unit[52]) activation and dropout after each layer. After the full
724 PST encoder, a final GraphNorm operation was applied.
725
The PST decoder uses multi-head attention to compute a per-protein attention score
to be used as the weights for a weighted average of protein embeddings over each
genome. As described previously[12], multi-head attention pooling uses a learnable d-
dimensional seed vector S as the query when computing attention. During the attention
calculation, the contextualized protein embeddings X^C output from the PST encoder are
projected onto S:

$$\text{Attn}(X^C, S) = \text{GraphSoftmax}\left(\frac{(W^Q S)(W^K X^C)}{\sqrt{d}}\right) \times (W^V X^C)$$

732 The attention values from this projection are used to weight X^C. After re-weighting, X^C is
733 averaged over each genome to produce the final genome outputs. The full set of PST
734 decoder equations is similar to the encoder (**Equation 1**):

$$
\begin{aligned}
X^0 &= \text{GELU}(WX^C) \\
X^1 &= \text{GraphNorm}(X^0) \\
X^2 &= \text{Attn}(X^1, S) \\
X^3 &= X^0 + X^2 \\
X^4 &= \text{GraphNorm}(X^3) \\
X^5 &= \text{FF}(X^4) \\
X^6 &= X^3 + X^5 \\
X^7 &= \text{GraphPool}(X^6) \\
X^G &= \text{FF}(X^7)
\end{aligned}
$$

735 where W is the weights of a linear layer. GraphPool is a pooling (mean) operator over
736 each genome graph that averages the contextualized weighted protein embeddings for
737 each genome. Each FF is a different 2-layer feedforward network with GELU activation
738 and dropout after each layer. X^G is the final genome embeddings. See **Extended Data**
739 **Fig. 1** for a pictorial representation the PST architecture.
740
741 **Training the viral Protein Set Transformer foundation model with triplet loss**
742
743   The foundation viral Protein Set Transformer (vPST) model was trained using a self-
744 supervised triplet loss objective $\mathcal{L}(\mathcal{G})$ as described previously[13]:

$$
D(G^a, G^p, G^n) = \left[\|f(G^a) - f(G^p)\|_2^2 - \omega_i\|f(G^a) - f(G^n)\|_2^2 + \alpha\right]_+
$$

$$
\mathcal{L}(\mathcal{G}) = \frac{1}{2N}\sum_{i=1}^{N} C_i\left[D\left(G_i^a, G_i^p, G_i^n\right) + D\left(G_i^a, G_i'^p, G_i'^n\right)\right]
$$

where G_i^a is the ith genome treated as an anchor point, G_i^p is the positive genome for
the ith genome, G_i^n is the negative genome for the ith genome, and G_i' is the
augmented genome for the ith genome created using the PointSwap sampling
method[13]. $f(\cdot)$ is the function modeled by the full PST neural network, and $\|x - y\|_2^2$ is
the L2 (Euclidean) distance between the vectors x and y. C_i is class weight to amplify
the contribution to the loss for classes that are less abundant than others. We used the
viral realm of each virus as the class and compute C_i as an inverse abundance
frequency. Suppose that the ith genome belongs to viral realm k, then the class weight
is computed as:

$$
F_k = \frac{n_k}{N}
$$

$$
C_i = \frac{1}{F_k}
$$

754 where n_k is the number of genomes in the training dataset belonging to viral realm k out
755 of N total genomes.
756
757   To account for the self-supervised choice of the negative genome, the scale factor
758 ω_i reweights the anchor-negative distance according to the following exponential decay
759 equation:

$$\omega_i = \exp\left(-\frac{\mathrm{CD}(G_i^a, G_i^n)}{2(c\sigma)^2}\right) \#(2)$$

where $CD(X,Y)$ is the Chamfer distance between the genomes X and Y, c is a scaling factor, and σ is the standard deviation of all Chamfer distances. $[\cdot]_+ = \max(0,\cdot)$, which means that there is no contribution to the loss function for cases where the positive genome is already closer to the anchor genome than the negative by a margin of α. Thus, α is the farthest distance the negative genome needs to be from the anchor compared to the positive genome. This restraint notably prevents representation collapse that could occur in the naïve case of embedding the anchor and positive genomes in the same position.

For a training minibatch, positive mining occurs in the input ESM2 embedding space using Chamfer distance, before the PST forward pass and before concatenating positional and strand embeddings. The Chamfer distance $CD(X,Y)$ between genomes X and Y always uses the input ESM2 embeddings and is defined as follows:

$$\mathrm{CD}(X,Y) = \frac{1}{|X|}\sum_{x \in X}\min_{y \in Y}\|x - y\|_2^2 + \frac{1}{|Y|}\sum_{y \in Y}\min_{x \in X}\|x - y\|_2^2 \#(3)$$

where $x \in X$ are the proteins from genome X and $y \in Y$ are the proteins from genome Y. Intuitively, this means that the positive genome is defined as the most similar genome based on cumulative distance of ESM2 protein embeddings, which should choose a positive genome that encodes the most similar proteins.

Negative mining occurs in the PST embedding space and requires the positive genome for a semi-hard sampling scenario. The only candidates for a negative genome are those that are farther than the positive genome in the PST embedding space using Euclidean distance, and we choose the first genome that is farther than the positive as the negative in the semi-hard case. In cases where there are no genomes farther than the positive genome in the PST embedding space, such as at the beginning of training when the model weights have not been well-optimized, we loosen the semi-hard sampling requirement and choose the genome closest to the positive genome as the negative genome. Since negative mining is self-supervised, we use the exponential decay reweighting factor ω_i to down-weight poor choices of a negative genome that are actually very similar to the anchor genome. Notably, the ω_i reweighting factor depends on the Chamfer distance (**Equation 2**) and, subsequently, the input ESM2 embeddings. Thus, we implicitly consider the ESM2 embeddings as a ground truth for protein representation when mining both the positive and negative genomes.

PointSwap sampling

When training the vPST, we used the data augmentation technique PointSwap sampling[13]. During positive mining, we keep track of the most similar protein from the positive genome X^p for each protein in the anchor genome $x \in X$ (**Equation 3**) as the flow $x_i \to x_j^p$. We create the augmented genome X' as follows:

$$X' = \mathrm{PointSwap}(X, X^p) = \{x_0', \ldots, x_{n_i}'\}, \text{where}$$

$$x'_i = \begin{cases} x^p_j & \text{if } u_i < p \\ x_i & \text{otherwise} \end{cases}$$

where u_i is a set of samples from a standard uniform distribution $[0,1]$ and p is a rate of
protein swapping between genomes. This means that the augmented genome X' differs
from the anchor genome by swapping related proteins with the most related positive
genome, which intuitively mimics genetic variation. To form an augmented triplet with
the augmented genome as the positive genome, the negative genome is selected from
the set of augmented genomes in a minibatch using the procedure described above.
805
806 **Modified Leave-One-Group-Out cross validation and hyperparameter tuning**
807
To optimize the model hyperparameters (**Supplementary Table 3**), we used
Optuna[53] (v3.3.0) to iteratively sample hyperparameters in a direction that optimizes the
objective function using a Bayesian Tree-structured Parzen Estimator method. Model
performance was evaluated using a modified version of the Leave-One-Group-Out
(LOGO) cross validation (CV) strategy. Here, we considered the viral taxonomic realm
to be the group with 5 total groups: Duplodnaviria, Monodnaviria, Riboviria, Varidnaviria,
and Unknown / Other. We modified the LOGO strategy to always include Duplodnaviria
in each training fold, since this group of viruses accounted for 65.4% of the training
dataset. This resulted in training 4 separate models validated on the remaining viral
realms. Each of the 4 folds were synchronized during training to enable overall
performance monitoring as the average of each fold. This enabled real-time monitoring
of each tuning trial's performance. Thus, we were able to stop trials early depending on
several criteria using the average validation loss of each fold: (1) if the loss plateaued
(std of change less than 1e-6) after having trained 3 epochs, (2) if the loss did not
decrease by 0.05 within 5 epochs, (3) if the current performance was worse than the
median performance of previous trials at the same training epoch, (4) if the model was
trained for 20 epochs, (5) if 24 hours passed, (6) of if the loss was not finite. For number
3, this was maintained by the Optuna framework, and we required at least 1 complete
trial before this was enabled. In the case of early stopping due to reasons 1, 2, 3, and 6,
these trials were marked as pruned and not used by Optuna's median performance
calculation.
829
In total, we trained 16 complete, 16 failed, and 22 pruned trials using "esm-large"
protein embeddings as input and 45 complete, 1 failed, and 29 pruned trials using "esm-
small" protein embeddings as input. The only reason for failing was due to out-of-
memory errors on A100 80GB vRAM GPUs hosted by the University of Madison-
Wisconsin Center for High Throughput Computing[43]. All trials were tuned using 1 GPU
since Optuna has limited support for GPU parallelism.
836
The final performance for each training iteration was the average validation loss from
each of the 4 models. Once the triplet loss of the best model setup decreased below
20.0, we chose the best hyperparameter configuration and trained 2 vPST models
corresponding to esm-small and esm-large protein embeddings that we refer to as pst-
small and pst-large, respectively. Each vPST model was trained on all genomes in the
training dataset without validation for 15 (pst-large, 33.7 hours) or 50 epochs (pst-small,

10.2 hours). Training was stopped once the training loss plateaued and did not
decrease by 0.05 within 5 epochs. During training of the final models, a learning rate
scheduler was used that linearly decreased the learning each epoch, and 50 (pst-large)
or 100 (pst-small) minibatches were accumulated before backward passes. We tested
batch accumulation sizes of 1, 25, 50, 100, and 250, and the above values led to the
best model.
849
For both tuning and training the final models, gradients were clipped to keep all
values below a magnitude of 1.0, and we used mixed precision training, using bfloat-16
data when available. These choices helped stabilize training. Our fold training
synchronization strategy and modified LOGO CV approach were implemented in a
custom package called "lightning-cv" available from the main model repository. This
package heavily relies upon and extends functionality in the lightning-fabric sub-library
of PyTorch-Lightning (v2.0.7).
857
858 **GenSLM open reading frame (ORF) and genome embeddings**
859
We used the 25M parameter GenSLM[28] foundation model ("genslm_25M_patric",
downloaded September 2023) for our analyses since the output embedding dimension
(512) was on par with other protein and genome embeddings used. The GenSLM
foundation model is pretrained only on bacterial and archaeal nucleotide genes where
the gene sequences were broken into codons as input. The authors then finetuned the
foundation models on a dataset of SARS-CoV-2 genomes. However, it is not clear if
only the open reading frames (ORFs) from SARS-CoV-2 were included or if entire viral
genomes were used as input during finetuning. This is further complicated by the fact
that the protein-coding density of the SARS-CoV-2 genome is 71.2% (based on the
NCBI RefSeq reference sequence NC_045512.2). We chose to mimic the pretraining
setup and input the protein-coding ORFs for each virus in our datasets. Notably, we
used GenSLM as a nucleotide analog of ESM2, producing ORF embeddings akin to the
ESM2 protein embeddings. We used these ORF embeddings for protein/ORF analyses
and the average of these over each genome as genome embeddings for genome
analyses.
875
876 **HyenaDNA genome embeddings**
877
We used the HyenaDNA[29] model with the longest context size (1M nucleotides,
"large-1m", downloaded from HuggingFace in November 2023) that has 6.5M
parameters. We converted all non-ACGTN nucleotides to Ns. Genomes larger than 1M
nucleotides were split into non-overlapping fragments of 1M nucleotides at most. Then
each fragment was tokenized and fed to the "large-1m" HyenaDNA model. The
embedding of each genomic fragment was averaged to produce the final genome
embedding. We also used this same averaging approach for fragmented genomes (ie
vMAGs), where the final genome embedding was the average of each fragment.
886
887 **Tetranucleotide frequency vectors as simple genome embeddings**
888

For each genome, we computed tetranucleotide frequency vectors
($[AAAA \cdots TTTT]$) using the bionumpy[54] package (v1.0.8). We filtered all nucleotides
not in the canonical ACGT alphabet before calculation. For RNA viruses, U nucleotides
were represented by T for simplicity. For multi-scaffold viruses, these frequency vectors
were computed for each scaffold and then averaged over each scaffold. Throughout the
paper, these are referred to as "kmer".
895
896   **Clustering genome and protein embeddings**
897
We constructed a similarity-weighted *k*-nearest neighbors (*k*NN) graph. The set of
*k*NN was computed using the faiss[55] (v1.8.0) Python bindings. For genome
embeddings, we used the divide-and-conquer IndexIVFFlat search index that splits the
input embeddings into n_{cells} Voronoi cells for faster retrieval. For the training dataset
(n=103,589 viral genomes), $n_{cells} = 2650$, and for the test dataset (n=151,255 viral
genomes), $n_{cells} = 3875$. Then, the L2 (Euclidean) distance D was used to identify the
closest genome neighbors. The L2 distances were converted to similarity scores S using
a Gaussian kernel:

$$S = \exp\left(-\frac{D^2}{\sqrt{d}}\right) \in [0, 1] \#(4)$$

906   where d is the dimensionality of the genome embedding. These similarity scores were
907   used as edge weights in the *k*NN graph.
908
For protein embeddings, we restricted the *k*NN search to only consider proteins that
belong to genomes within the same genome cluster. The protein embeddings were unit
normalized such that the L2-norm for each protein embedding equaled 1. Then, we
used cosine similarity to select the set of *k*NN, and the cosine similarity scores were
used as the *k*NN graph edge weights. For genome clusters with fewer than 78 proteins,
cosine similarity was brute-force computed for all pairs of proteins. For larger genome
clusters, the IndexIVFFlat partitioning method was used where $n_{cells} = \left\lfloor \frac{n_{proteins}}{39} \right\rfloor$ since
39 is the minimum number of data points per Voronoi cell. Genome or protein clusters
were then detected in the similarity-weighted *k*NN graph using the Leiden[32] algorithm
Python implementation of iGraph (v0.11.3). The resolution values we used were 0.1
("med") and 1.0 ("high") for genome clustering and 0.1 ("low") and 0.5 ("med") for protein
clustering. We do not include singletons as clusters for downstream analyses.
921
922   **Genome and protein clustering evaluation**
923
To compare clusters formed using different input embeddings, we computed the
cluster-wise average amino acid identity (AAI) between genomes, viral and host
taxonomic purity, and protein function purity for each cluster. Each of these cluster-level
metrics was weighted by the size of each cluster, specifically including unlabeled
genomes or proteins in the size calculation, and then summarized with a weighted
average:

$$C_{\text{summary}} = \sum_{i=1}^{n_{\text{clusters}}} C_i w_i$$

930 where

$$w_i = \frac{n_i}{\sum_{i=1}^{n_{\text{clusters}}} n_i} \#(5)$$

931 and C_i is the cluster-level metric.

932 Finally, the summary score C_{summary} was weighted by the proportion of non-singletons
933 for the given dataset P to penalize clustering iterations that did not include all genomes
934 or proteins:

$$C'_{\text{summary}} = C_{\text{summary}} \times P$$

Protein functional purity was computed using curated functional categories from
VOG or PHROG. To compute purity of clustering (viral or host taxonomy, protein
function), we used the information gain ratio I as a proxy for purity. For taxonomic purity,
we considered the case of clustering all genomes into a single cluster as the
background. For functional purity, we used the distribution of functional categories from
the annotation databases as the background. In either case, unlabeled proteins and
genomes were excluded during the entropy computation but included for the cluster size
weighting. Then we computed I as follows:

$$I = \frac{H_{background} - \sum_{i=1}^{n_{\text{clusters}}} H_i w_i}{H_{background}} \in [-h, 1]$$

where h is the information gain of the background compared to a uniform distribution
and H is the information entropy of each cluster with respect to a set of labels related to
viral taxonomy, host taxonomy, or protein function. The cluster size weight w_i is
computed as previously described (**Equation 5**). Values of I close to 0 indicate
clustering patterns with no improvement above background, while values of I close to 1
suggest maximal purity since there are few clusters with multiple labels. It is possible to
interpret I as a purity score since the backgrounds are not pure with respect to the
labels. Thus, a maximum I means that there is only a single label for a given cluster. I is
further weighted by the proportion of non-singletons:

$$I' = I \times P$$

Average amino acid identity (AAI)

We used mmseqs2[56] (v13.45111) and polars (v0.20.6) to compute the AAI between
pairs of viruses at scale. Given the large number of viruses in this study (>250k), we did
not exhaustively compute the AAI between all pairs of viruses (~32.5B). Instead, we
used heuristics implemented by mmseqs2 to only consider the AAI between viruses that
had any protein similarity detectable when using the mmseqs2 search settings: -s 7.5 -c
0.3 -e 1e-3. For each pair of viral genomes, we only retained the best hits for each
protein from each genome. Then, AAI was computed as the mean of protein-protein
sequence similarities computed by mmseqs2.

Average amino acid identity (AAI) genome clustering

966
967   During calculation of AAI for a pair of viral genomes, we tracked the proportion of
968 shared proteins relative to the total number of proteins from each genome u and v as S_u
969 and S_v, respectively. To cluster viral genomes using AAI, we constructed an edge-
970 weighted graph with edge weights corresponding to:

$$E_{uv} = \min(S_u, S_v) \times AAI \times 100 \in [0,1]$$

971 The edge weights, therefore, penalize cases where only a few proteins relative to the
972 total number of proteins in the genome with fewer proteins are used for the AAI
973 calculation. We then applied the Markov clustering algorithm[47] (mcl v14.137 -I 2.0 for
974 "med" resolution or 4.0 for "high" resolution), which uses edge-weight-guided
975 probabilistic random walks to cluster the AAI graph.
976
977   We only considered two levels of clustering, genus-level and family-level, using
978 thresholds previously described[57]. Genus-level clustering sets the minimum AAI to 0.4
979 and requires either at least 16 shared proteins or $\min(S_u, S_v) \geq 0.2$. Family-level
980 clustering sets the minimum AAI to 0.2 and requires either at least 8 shared proteins or
981 $\min(S_u, S_v) \geq 0.1$.
982
983 **Protein functional annotation**
984
We used VOG (r219) and PHROG[58] (v4) databases for the annotation of viral
proteins. For VOG, which supplies profile Hidden Markov models (HMMs), we used
pyhmmer (v0.9.0) with a bit score cutoff of 40. For PHROG, we used mmseqs2
(v13.45111) with the recommended search settings
(https://phrogs.lmge.uca.fr/READMORE.php). In both cases, we kept the best hit for
each protein with the max bit score. For each database, we curated the functional
categories of each annotation that we describe below.
992
For PHROG, which already provides an extensive set of 10 categories (including
unknown function), we manually readjusted certain categories. Our manual curation of
the PHROG database affected 1,937 out of 38,880 profiles. We renamed the following
categories for better intuition of the functional category: "DNA, RNA and nucleotide
metabolism" to "nucleotide metabolism", "integration and excision" to "lysogeny", and
"transcription regulation" to "gene expression". We then dissolved the "moron, auxiliary
metabolic gene and host takeover" category for being too broad and relatively
unrelated. These 461 profiles were split into the already existing "other"; the newly
created "host takeover", "lysogenic conversion", "metabolic gene"; and the renamed
"gene expression", "lysogeny", and "nucleotide metabolism" categories. Generic
annotations like "membrane associated protein" and "ABC transporter" were put in the
"other" category. We considered proteins involved in host replication and cell division
inhibition, superinfection exclusion, anti-sigma factors, and defense against host
antiviral proteins to be "host takeover". Proteins that encoded toxins or
antitoxins/resistance proteins were categorized as "lysogenic conversion." Proteins
directly involved in specific metabolic transformations were put in "metabolic gene,"
while accessory or generic proteins like "nicotinamide mononucleotide transporter" were
considered as "other". These changes can be found in **Supplementary Table 5**.

1011
VOG provides very broad categories: "Xr" for replication, "Xs" for structural, "Xh" for
host-benefitting, "Xp" for virus-benefitting, and "Xu" for hypothetical proteins. The "Xh"
and "Xp" categories are also ambiguous on what specific function the protein may
perform. We, therefore, used text pattern matching on the specific HMM annotation
descriptions to subdivide all HMMs into 9 categories: anti-host defense, exit, gene
expression, integration, packaging, replication, structural, other, and unknown. Briefly,
we separated terminases, portal proteins, and head packaging proteins from other
structural proteins into a "packaging" category. Lysis, virion export, and budding HMMs
were considered collectively as the "exit" group. "Integration" includes both integrases
and excisionases as well as transposases. We considered all nucleotide metabolism
and genome replication to be part of "replication". To account for overlap in text
matching, we enforced the following hierarchy: structural > packaging > exit >
integration > gene expression > anti-host defense > replication > unknown > "RNA
polymerases" > other. The final category for each HMM was, therefore, the highest in
the hierarchy. We added RNA polymerases that did not indicate if they were replicative
RNA-directed or transcriptive DNA-directed at the bottom to put these specific RNA
polymerases in the "gene expression" category. Additionally, HMMs without matches
were thus considered in the "other" category. The category for each VOG r219 HMM
can be found in **Supplementary Table 6**, and the regex patterns used to categorize
each HMM can be found in **Supplementary Table 7**.
1032
1033 **Protein attention scaling and analysis**
1034
1035   We computed the attention values as follows: Let $A_{ij} \in A_i$ be the mean attention
1036 score across all attention heads for the jth protein from the ith genome:

$$A_{ij} = \frac{1}{n_{\text{heads}}} \sum_{k=1}^{n_{\text{heads}}} A_{ijk}$$

1037 The sum of per-protein attention values for each genome is 1.0:

$$\sum_{A_{ij} \in A_i} A_{ij} = 1.0$$

Given n_i, the number of proteins in the ith genome, and n_k, the number of proteins in
the kth genome, and $n_i \neq n_k$, it follows that A_i and A_k are not directly comparable since
the number of proteins each genome is not the same. More explicitly stated, consider
$n_i = 2$ and $n_k = 4$, and $A_i = [0.5 \quad 0.5]$ and $A_k = [0.5 \quad 0.3 \quad 0.05 \quad 0.15]$. For the
genome i, the model has randomly split attention to both proteins, while for genome k,
the model clearly has attended to the first protein more than the others, despite the
numerical values being equivalent.
1045
Therefore, to compare the vPST attention values per protein for each genome, we
normalized the attention scores. We considered the background case for the attention
distribution A_i to be a uniform distribution, ie $A_i \sim U(0,1; n_i)$ where $U(0,1; n_i)$ is a
standard uniform distribution with probability $\frac{1}{n_i}$ of attending any of the n_i proteins. We

then computed the distance between A_i and $U(0,1;n_i)$ using the normalized Kullbach-
Leibler (KL) divergence:

$$D_i = \frac{H_i^{U(0,1;n_i)} - H_i^{A_i}}{H_i^{U(0,1;n_i)}} \in [0,1]$$

1052 H^X is the entropy of the probability distribution X:

$$H(X) = -\sum_{x \in X} p(x) \log_2 p(x)$$

1053 We then rescale all per-protein attention values in A_i by the KL-divergence D_i to down-
1054 weight misleadingly large attention values that are uniformly (randomly) distributed:

$$A'_{ij} = A_{ij} \times D_i$$

1055 Thus, for cross-genome comparisons, we use the normalized attention scores $A'_{ij} =$
1056 $\{A_{i1} \cdots A_{in_i}\}$. In our above example, $A'_i = [0.0 \quad 0.0]$ and
1057 $A'_k = [0.0881 \quad 0.0528 \quad 0.0088 \quad 0.0264]$.
1058
Then, when analyzing the association of vPST attention with protein function, we
first clustered the proteins using sequence identity (mmseqs2 v13.45111 -e 1e-3 -c 0.5 -
s 7.5). We computed the max scaled attention A'_{ij} for all proteins in the same cluster.
For function association analyses, we additionally retained 50 protein clusters with the
largest A'_{ij} values for each functional category curated in the VOG r219 and PHROG
databases.
1065
1066 **Protein annotation improvement**
1067
We considered all proteins unable to be annotated using the VOG r219 or PHROG
databases as hypothetical proteins, where N_H is the number of hypothetical proteins.
We computed the annotation improvement as a function of a genome clustering
assignment and protein embedding. We used the same protein search settings
described in the Methods section "**Clustering genome and protein embeddings**":
cosine similarity on the unit-normalized protein embeddings, restricted to proteins that
belong to genomes in the same genome cluster. For each protein, we searched for the
closest non-self protein, scoring this as an improvement if this neighbor protein was
annotated:

$$T = \sum_{i=1}^{N_H} \begin{cases} \text{if NearestNeighbor}_i \text{ is annotated} & 1 \\ \text{else} & 0 \end{cases}$$

1077 Then, we computed the overall annotation improvement AP as the proportion of
1078 hypothetical proteins whose nearest neighbor was annotated:

$$AP = \frac{T}{N_H}$$

1079
1080   For a given genome embedding, we also computed the rate of change of AP over
1081 the number of nearest genome neighbors used for genome clustering using the
1082 numpy.polyfit (v1.23.5) function.
1083

Protein function co-clustering

We used curated PHROG functional categories (**Supplementary Table 5**) to compute functional co-clustering, excluding the category for proteins of unknown function. Given a genome clustering and protein clustering configuration, for each protein cluster $P_i \in P$, we count the co-occurrence between pairs of functional categories u and v as the product of the number of proteins belonging to each category in the cluster:

$$C_i^{uv} = n_i^u \times n_i^v$$

where n_i^u is the number of proteins in the ith protein cluster that belongs to category u. The observed co-occurrence C^{uv} between the functional categories u and v is defined as the sum of cluster-level co-occurrences:

$$C^{uv} = \sum_{i=1}^{|P|} C_i^{uv}$$

To account for the distribution of PHROG annotation profiles, we computed an enrichment score against the background of the distribution of the 38,800 PHROG profiles:

$$E^{uv} = \frac{C^{uv}}{C_{background}^{uv}} \in [0, \infty]$$

where $C_{background}^{uv}$ is computed analogously to C^{uv} except using relative abundances of the annotation profiles themselves instead of annotated proteins. To identify functional categories that co-occur frequently, we constructed a fully-connected graph with all PHROG functional categories as nodes and the corresponding edge weights E^{uv} between categories u and v. We then applied the Leiden algorithm with resolution 1.0 to identify sub-communities of co-occurring functions enriched above background.

Protein functional module detection

We defined the following protein functional modules based on curated functional categories (**Supplementary Tables 5 and 6**) and annotation text searches. For replication proteins in the PHROG database, we included proteins that were initially categorized as "nucleotide metabolism" and had matches to the following regex pattern "(?i)DNA pol|single strand DNA binding|Par[AB]|DNA primase|(DNA)?[ ]?helicase|repl|primosom|terminal|ribonucleo[st]ide(.*)?reductase|NDP reductase". For VOG, we considered all hits in the replication category. For PHROG packaging modules, we included hits that belong to the "head and packaging" category and specifically matched the regex pattern "(?i)terminase|portal". For VOG, we only considered those in the "packaging" category. For PHROG DNA-interacting modules, we included all hits that belonged to either "nucleotide metabolism", "lysogeny", or "gene expression" categories. For VOG, all hits belonging to "replication", "integration", "packaging", and "gene expression" were included. For PHROG late genes, annotations in the categories "tail", "head and packaging", "connector", and "lysis" were retained. Likewise, for VOG, the categories "structural", "exit", and "packaging" were included.

We considered protein clusters to correspond to a specific functional module if they
met the following module-specific criteria: For searches that only considered a single
functional category (replication, packaging), we required at least 2 proteins from that
category with different annotations. Due to the volume of data, we could not ensure that
the 2 different annotations referred to truly different protein functions and not just the
same function worded differently. For multi-category searches (late genes, DNA-
interacting), we required at least 2 categories to be represented. In either case, we
excluded protein clusters that had any annotated proteins outside the indicated
functional categories to focus on protein clusters that most strongly fit our definition of
functional modules.
1133
1134 **Capsid structure searches**
1135
To quantify the frequency at which embedding-based protein clusters co-cluster
VOG-detectable capsid proteins (VOG bit score ≥ 75) with proteins unable to be
assigned function by VOG, we excluded all embedding-based protein clusters that did
not solely consist of annotated capsids and hypothetical proteins. We then filtered this
candidate set of proteins to keep those that fit the previous criteria at least 10 times
among all clustering configurations. We additionally include sequence identity-based
clusters (mmseqs2 v13.45111 cluster -s 7.5 -c 0.5) that also consisted of unannotated
and capsid proteins as positive controls. This led to a total of 100,704 proteins for this
analysis.
1145
We used foldseek[34] (v9.427df8a) to convert our protein sequence database into a
3Di-structure database using the ProstT5[35] model (downloaded July 2024; foldseek
createdb with "—prostt5-model" option), which uses language tokens to represent
structural features. We searched our 3Di-structure database against 295k structures
from the Protein Data Bank[36] (PDB; downloaded using foldseek in July 2024) using
default settings. We excluded all alignments with bit scores less than 100 and manually
annotated the PDB structures as viral capsids using the following query at the PDB web
server (https://www.rcsb.org): "capsid, major capsid, coat, minor capsid, virion". We
validated this approach by aligning AlphaFold 3-modeled[38] (https://alphafoldserver.com)
monomer structures with the HK97 major capsid protein (2FS3) using TM-align[59]
implemented in the PDB web server. We choose 2 proteins with the highest scoring
structural alignment as determined by foldseek, each from either proteins annotated
with a VOG profile of unknown function or proteins undetected by VOG, for this
analysis.
1160
1161   We then scored the proportion of unannotated proteins in each cluster that had a
1162 structural alignment with a PDB capsid protein. To summarize these proportions for
1163 each combination of clustering hyperparameters, genome embedding, and protein
1164 embedding, we computed a weighted average of these proportions, using the cluster
1165 size as the weight.
1166
1167 **Embedding UMAP visualization**
1168

We used the Python implementation of the UMAP algorithm[60] (umap-learn v0.5.3)
for embedding visualization only. For genome embeddings, we used 15 nearest
neighbors defined using Euclidean distance. When computing the reduced embeddings,
we jointly embed the genome embeddings of both the training and test datasets for
each type of genome embedding into the same space. For protein embeddings, we first
unit-normalized each protein embedding to have an L2-norm of 1. Then, we used 8
nearest neighbors defined using cosine distance as this value gave the best visual
separation. In both cases, we did not reduce the dimensionality before visualization, so
the embeddings themselves were directly used as inputs to UMAP algorithm.
1178
1179   **Graph-based host prediction framework**
1180
For the virus host prediction proof-of-concept, we modeled our framework off
CHERRY[39], which applies graph learning on a virus-host interaction network $G = (X, E)$.
Our implementation uses PyTorch (v2.2.2), PyTorch-Geometric (v2.5.2) and PyTorch-
Lightning (v2.2.4). The interaction network is bipartite, meaning that there are 2 types of
nodes: viral nodes $v_i \in V$ and host nodes $h_i \in H$. The total node set X is thus $X = V \cup$
H. The edges E represent known virus-host pairs and may also include confident virus-
host predictions that come from virus-host genome alignments (see **Host prediction**
training and test datasets for more detail). Given G, the objective is a link prediction
task to infer for any virus-host pair (v_i, h_i) the probability of an edge existing in the
interaction network.
1191
1192   For the most comparable analyses, we designed our neural network architecture
1193   based on CHERRY: an encoder consisting of multiple Graph Convolution[61] (GCN)
1194   layers and a decoder that performs the link prediction. The encoder propagates
1195   information in the genome embeddings among local neighborhoods. Specifically, the
1196   GCN encoder layers can mathematically be represented as:

$$e^{(l+1)} = \phi\left(\widetilde{D}^{-\frac{1}{2}}\tilde{A}\widetilde{D}^{\frac{1}{2}}e^{(l)}W^{(l)}\right) \#(6)$$

where l is the layer index, $\tilde{A}$ is the adjacency matrix with self-connections ($\tilde{A} = A + I$, I
is the identity matrix,), and $\widetilde{D}$ is the diagonal matrix where $\widetilde{D}_{ii} = \sum_j \tilde{A}_{ij}$. ϕ is the
activation function, and $W^{(l)}$ is the l-layer model weights. $e^{(0)} \in \mathbb{R}^{|X| \times N}$ is the input
genome embedding where N is the size of the embedding. To compare our work to
CHERRY, which uses tetranucleotide frequency genome embeddings, we substitute the
genome embedding with either the vPST genome embeddings or the simple average of
the ESM2 protein embeddings over each genome for both the viruses and hosts.
1204
1205   The decoder is a 2-layer feedforward neural network that takes the outputs from the
1206   encoder as input. We consider all possible virus-host pairs $(v_i, h_j) \in X$ as a query set Q
1207   where each pair is represented by the difference in encoder embedding:

$$q_{ij} = \text{encoder}(v_i) - \text{encoder}(h_j)$$

1208   The decoder, therefore, is mathematically written as:

$$\begin{cases} q_{ij}^{(l+1)} = \phi\left(q_{ij}^{(l)}\theta^{(l)}\right) \\ \text{decoder}(q_{ij}) = \text{sigmoid}\left(q_{ij}^{L-1}\right) \end{cases}$$

1209 where $q_{ij}^{(l)}$ is the hidden feature in the lth layer out of L total decoder layers, and

1210 $q_{ij}^{(0)} = q_{ij}$. ϕ is the activation function, and $\theta^{(l)}$ represents the weights of the lth fully

1211 connected layer.

1212

1213 **Host prediction training and test datasets**

1214

For the virus host prediction proof-of-concept, we modeled our framework off

CHERRY[39], which applies graph learning on a virus-host interaction network. To

construct the network of known virus-host pairs, we used the train and test datasets

from iPHoP[40]. Specifically, the train dataset included 3628 complete bacterial and

archaeal viruses from NCBI RefSeq prior to 2021. The iPHoP test dataset consisted of

1636 complete bacterial and archaeal viruses from NCBI GenBank, distinct from the

training dataset. Although both datasets indicate the taxonomy of the host, they do not

provide specific genome accessions to link the viruses, which are necessary to

construct the interaction network.

1224

For the training dataset, we used the Virus-Host Database[62] (accessed April 2024) to

determine the full host taxonomy. We then selected either the NCBI RefSeq

representative sequence associated with the host taxonomy, if one existed, or the most

complete (longest and assembly_level == "Complete Genome") genome from NCBI

GenBank (accessed May 2024). We included all hosts in the Virus-Host Database if

there were multiple such as in the case of viruses with a relatively broad host range.

The set of hosts notably includes multiple strains of the same species or species of the

same genus as indicated in the Virus-Host Database. Then, any strain information was

ignored, so the lowest level of evaluation was at the host species.

1234

We performed a similar search for the test dataset using the information provided in

Supplementary Table 2 of iPHoP[40]. We divided the test virus hosts whose species ranks

were unknown (ie "Wolbachia sp.") into 2 different sets. If these hosts were already in

the set of hosts for the training dataset, we did not retrieve any new host genomes.

Instead, we considered all hosts currently in the set of hosts with the same genus as

potential hosts for these viruses. For new hosts, we used the same search criteria as

above to add a single new host for each of these viruses. This resulted in a total of 805

host genomes, corresponding to 594 unique host species.

1243

1244 **Constructing the virus-host interaction network**

1245

To construct the virus-host interaction network, we constructed the heterogeneous

graph G that has 2 node types (virus, host) and 2 edge types (virus-related to-virus,

virus-infects-host). For the virus-host edges, we included all virus-host pairs identified

above, meaning that G includes both training and test viruses. We notably deviated from

the CHERRY implementation by excluding confident host predictions that would have

come from virus-host BLASTn genome alignments (proviruses) or CRISPR spacers.

This deviation is not concerning since we focused on the relative performance of our

vPST genome embeddings compared to other tools and genome embeddings, rather

than absolute predictive ability.

1255

To select virus-virus edges representing pairs of similar viruses, we used a protein
sharing network clustering approach when using tetranucleotide frequency genome
vectors. We first excluded all singleton proteins since these do not inform about
genome-genome relatedness and only serve to account for the proportion of gene
sharing relative to the total number of proteins/protein clusters in each genome. After
protein clustering using mmseqs2 (v13.45111 -s 7.5 -e 1e-3 -c 0.5) and filtering
singleton proteins, we constructed a sparse $(|V| \times n_{pc})$ presence-absence matrix where
n_{pc} is the total number of protein clusters in the dataset. Each row represents what
protein clusters are encoded in the indicated genome. We then computed the dice
similarity S_{ij} for each pair of genomes as:

$$S_{ij} = \frac{2|v_i \cdot v_j|}{|v_i| + |v_j|}$$

where v_i and v_j are the row presence-absence vectors for the ith and jth genomes,
respectively. We then constructed a graph with all viruses where the edges are
$S_{ij} \mid S_{ij} > 0$. To understand which viruses were considered related, we clustered this
graph with the Leiden algorithm with a resolution of 0.1. Edges were created in the
interaction graph between all viruses in the same gene-sharing clusters. For the other
genome embeddings we tested, we considered pairs of viruses to be related if their
genome embeddings were at least 90% similar based on a Gaussian-kernel of
Euclidean distances (**Equation 4**). We then pruned these embedding-based virus-virus
connections to only maintain the top 15 neighboring viruses for each virus.

1275

1276 **Host prediction model training**

1277

We trained new graph-based host predictions models using the iPHoP training
dataset, swapping the genome representations for vPST genome embeddings or the
simple average of the ESM2 protein embeddings over each genome. Our
implementation used PyTorch (v2.1.2) and PyTorch-Geometric (v2.4.0). We used a
binary cross entropy loss objective for the link prediction task to classify the edge E_{ij} as
existing (1) or not (0):

$$\mathcal{L} = -\frac{1}{N} \sum_{k=1}^{N} y_k \log(p(y_k)) + (1 - y_k)\log(1 - p(y_k))$$

1284 where y_k is the discretized final output for the k*th* virus-host pair from the model
1285 decoder, given a probability threshold for whether an edge E_{ij} is predicted to exist.

1286

During training, we randomly split all virus-host edges $E = \{E^{(T)}, E^{(V)}\}$ into disjoint
training $E^{(T)}$ and validation $E^{(V)}$ sets at an 80:20 ratio. We additionally randomly
sampled negative edges $E' = \{E'^{(T)}, E'^{(V)}\}$ that do not exist in the virus-host interaction
network G to provide the model with negative examples (implemented by PyTorch-
Geometric). The negative edge sets $E'^{(T)}$ and $E'^{(V)}$ are also disjoint, and $|E| = |E'|$ so
that there was not label imbalance. During the message-passing stage of the model
encoder, only the real edges E are used. After message passing updates the node

representations, we used $E \cup E'$ for decoding and inference with both real and negative
edges. Therefore, $N = |E^{(\cdot)} \cup E'^{(\cdot)}|$ for either the training or validation edges. Since the
prediction task does not depend on virus-virus edges, these edges were not split or
negatively sampled. This means that the graph structure and message passing consider
all viruses, not just training viruses. Thus, during training, we masked any virus-host
edges that contain test viruses in the loss computation to prevent data leakage.
1300
1301 Although we strived to implement a nearly 1:1 model with the original CHERRY
1302 implementation, our implementation and training deviates in 3 ways. (1) We allowed
1303 separate learnable weights for each type of edge (virus-virus, virus-host, and host-virus)
1304 in the message-passing encoder layers by updating **Equation 6**:

$$W^{(l)} = \begin{cases} W_{vv}^{(l)} & \text{virus-virus edges} \\ W_{vh}^{(l)} & \text{virus-host edges} \\ W_{hv}^{(l)} & \text{host-virus edges} \end{cases}$$

1305 $W_{hv}^{(l)}$ and subsequently host-virus edges are required to ensure reciprocal message
1306 passing between virus and host nodes despite the intuitive way of representing virus-
1307 host edges as directed. The native CHERRY implementation does not allow for edge
1308 type-specific weights, instead sharing weights for all edge types.
1309
(2) Due to modeling G as a heterogeneous graph, the message passing layer is not
a true Graph Convolution (GCN) layer, which is not implemented for heterogeneous
graphs in PyTorch-Geometric. Specifically, we use a generalization of the GCN layer[63]
that allows for heterogeneous graph learning with multiple node and edge types. For
this layer, however, the node update equations for this layer and the GCN layer are
identical, but there may be PyTorch-Geometric implementation-specific differences
beyond changing node representations.
1317
(3) We explored a more sophisticated technique for handling the training and
validation splits for link-level tasks that we refer to as "disjoint training". Specifically, we
divided the real training edges $E^{(T)}$ into 2 disjoint sets $E^{(T)} = \{E^{(MP)}, E^{(D)}\}$ where $E^{(MP)}$
are edges only used for message passing (node updates) and $E^{(D)} \cup E'^{(T)}$ are edges
only used for supervision (decoding and inference). Specifically, $E^{(D)} \cup E'^{(T)}$ are the
edges used for link prediction. We only considered a 70:30 split for $E^{(MP)}$ and $E^{(D)}$ for
this study when this was enabled. This modification is analogous to splitting training
data into separate training and validation sets to prevent data leakage but only for
training edges.
1327
To decouple the effect of these 3 differences from the choice of node embeddings,
we trained a model that is nearly faithful to the CHERRY implementation without these
changes (barring the required change #2), and then we trained a separate model using
tetranucleotide frequency genome embeddings (kmer) that enables our changes. Thus,
the CHERRY and "kmer" model use the same virus-host interaction graph as input, but
the "kmer" models explored the effects of changes #1 and #3.
1334

To lightly optimize hyperparameters, we sampled from sets of intuitive values for the
number of encoder layers, decoder hidden dimensions, learning rate, whether to enable
disjoint training (at a 70:30 split), and whether to allow edge specific-weights in the
encoder or not. We did not dilate the input embedding dimension in the encoder layers.
For the 2-layer feedforward decoder network, we only chose values smaller or equal to
the input embedding dimension for the first layer. The second layer dimensions were
then required to be strictly less than the first layer dimensions. See **Supplementary**
Table 9 for the values sampled for each hyperparameter. We applied the same random
seed when training each iteration and chose the best model based good overall
performance and lowest validation loss at the end of 150 training epochs. We defined
"good" overall performance as a validation loss curve that was monotonically
decreasing over or constant at the end of training time. We selected a total of 4 models
that were the best: CHERRY without the above changes and 3 that allowed the above
implementation changes and used different genome embeddings. All models were
trained with a dropout of 0.25 after the encoder and after each decoder feedforward
layer. We used the ReLU activation function after each layer.
1351
1352   **Host prediction model evaluation**
1353
iPHoP (v1.3.3) and each of the 4 trained models were evaluated using the iPHoP
test dataset (see "**Host prediction training and test datasets**"). For the 4 graph-based
models, we considered all test virus-host pairs for link prediction and retained only those
≥75% confidence, which is the minimum for iPHoP, or ≥90% confidence. All virus-host
pairs were considered to enable resolution at each host taxonomic rank. However, we
only evaluated if the true host taxon was among the predictions above the confidence
threshold, so not all predictions were analyzed. Specifically, we computed the proportion
of the iPHoP test viruses whose true host taxon was confidently predicted.
1362
1363   Since there were notably a nontrivial number of viruses in the iPHoP test dataset
1364   that were similar to those in the vPST training dataset based on AAI (see "**Average**
1365   **amino acid identity (AAI) genome clustering**"), we filtered these viruses out using
1366   several similarity cutoffs to evaluate their effects on our interpretation of the host
1367   prediction results.

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Extended Data Figure 1. A machine-learning-centric view of the Protein Set
Transformer (PST) architecture. Each genome is internally represented as a graph,
composed of subgraph genome chunks whose size is tunable. A minibatch of genomes
is represented in a memory-efficient stacked matrix, where the boundaries for the set of
proteins from each genome are tracked using indices and offset pointers for efficient
random access. At the beginning of training, the ESM2 protein embeddings are
concatenated with learnable positional embeddings based on the relative position in
each genome and encoding strand embeddings. This is then input to the PST encoder,
which uses multi-head attention for pairs of proteins defined by the initial adjacency
matrix. This only allows each protein to attend to its neighbors in the same genome
subgraph. The output from the PST encoder are genome-contextualized protein
embeddings, which are also the inputs to the PST decoder. The PST decoder uses
multi-head attention pooling to project each contextualized protein embedding onto a
learnable seed vector. This learns weights for each protein, which are used to pool each
protein representation into a final genome representation.

Extended Data Figure 2. The training and test datasets for the vPST. **A**) Distributions
of scaled average amino acid identity (AAI) for viruses only within the training (Train),
only within test (Test), and between (Across) datasets. The AAI between pairs of

viruses was scaled by multiplying the minimum proportion of proteins from each
genome used in computing the AAI. Only the most similar connections are maintained
for each virus in each dataset. In the case of across train-test boundaries, the most
similar hit for each test virus is reported. **B**) Proportional source of 103,589 training set
viral genomes (see Supplemental Table 1 for the source publication of each virus). **C**)
Genome size distribution of training and test dataset genomes. **D**) The relative
distributions of viral realm, host domain, and broad ecosystem for both the train and test
datasets. Viral realm, if not provided by the source database, was predicted by
geNomad v1.5.0. Host domains not provided by the source database, excluding
predicted proviruses, were considered unknown.

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Extended Data Figure 3. Hyperparameter tuning for the vPST. **A**) Triplet loss curves
as a function of training step (epoch) for the small vPST (pst-small, top, purple) and the
large vPST (pst-large, bottom, brown). Each line is a different tuning iteration that did
not fail. Dashed lines indicate tuning trials that were pruned due to poor performance in
early epochs, relative to previous trials. Solid lines indicate trials that completed training.
The thicker line highlights the trial that was chosen as the best by cross validation. **B**)
The final triplet loss value for each tuning trial. The larger circle indicates the trial
chosen as the best by cross validation. **CD**) Hyperparameter values tuned with cross
validation for the small vPST (**C**, pst-small, 45 complete trials) and the large vPST (**D**,
pst-large, 16 complete trials). Model complexity refers to the number of attention heads
and the number of encoder layers in the PST. Positional and strand embedding scale is

the size of each of these concatenated learned embeddings relative to the input ESM2
protein language model embedding for each protein. Lr is learning rate, and weight
decay is for the AdamW optimizer. The PST chunk size is the number of proteins per
genome chunk. Point swap rate is the proportion of proteins swapped between the
anchor and positive genome during point swap samping. Point swap scale is the
negative exponential decay scale factor to adjust the weight of the choice of the
augmented negative samples in the triplet loss function. Batch size for pst-small is in
units of genomes and was constant at 8 genomes for pst-large. **E**) Training loss curves
for the final trained models using the optimal hyperparameters selected for each model.
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Extended Data Figure 4. Viral and host taxonomic purity of viral genome clusters. Weighted information gain ratio computed as cluster-size-weighted average over all genome clusters, penalized for the proportion of genome singletons, using **A**) viral taxonomy or **B**) host taxonomy as the genome labels. Viral taxonomy that was either not provided by source databases or outdated was predicted using geNomad v1.5.0. Unlabeled taxa were excluded during calculation of the relative proportion of taxa comprising a cluster. However, the cluster size used for weighted average included all viruses in the cluster. The Leiden algorithm on a similarity-weighted k-nearest neighbor graph was used to cluster genomes. The edges and edge weights (similarity values) were computed using a similarity-transformed Euclidean distance on the indicated genome embeddings (represented by the color of the lines). All analyses were performed on the vPST test dataset.

Extended Data Figure 5. Protein function associations detected by vPST. **A**) Scaled
attention for the top 50 sequence identity-based protein clusters (mmseqs2) compared
to the number of proteins in the cluster in a 2D histogram. Counts greater than 10,000
were clipped for ease of visualization. **B**) Distribution of protein functional annotations
using curated categories from the PHROG or VOG databases. **C**) UMAP dimensionality
reduction plots for 2 genome clusters primarily composed of (≥85%) Monodnaviria (top)

1596 or Duplodnaviria (bottom). Shapes indicate the VOG r219 curated functional category,
1597 and colors represent the protein cluster membership using the Leiden algorithm on the
1598 kNN graph. These are the same genome clusters as in Fig. 3C. All analyses were done
1599 using the proteins from the vPST test dataset.

A

Protein clustering information

B

Protein clustering function purity

1600

Extended Data Figure 6. Protein clustering information and evaluation. **A**) Statistics of
embedding-based protein clusters detected by the Leiden algorithm on the similarity-
weighted *k*-nearest neighbors graph. The edges and edge weights (similarity values)
were computed using cosine similarity after length normalizing the indicated protein
embedding (columns). Protein neighbors were only considered if the source genomes
belonged to the same genome cluster, which were clustered using the embedding
indicated by the color of the line. The genomes were clustered using the parameters
that maximized intra-cluster AAI ("High" Leiden resolution, k=15). **B**) Weighted
information gain ratio computed as cluster-size-weighted average over all protein
clusters, penalized for the proportion of protein singletons, using curated function
categories from VOG and PHROG as protein labels. Unannotated proteins were
excluded during calculation of the relative proportion of each function category
comprising a cluster. However, the cluster size used for weighted average included all
proteins in the cluster. All analyses were done using the proteins from the vPST test
dataset.

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Extended Data Figure 7. Summary of protein function co-clustering. Rows indicate the
genome embedding used for genome clustering (k=15, "High" Leiden clustering
resolution), and the columns indicate the protein embedding used for protein clustering
(k=15, "Med" Leiden clustering resolution). The "pst-large" column refers to the
intermediate contextualized protein embeddings, while "ctx-avg-large" row refers to the
average of the previous protein embeddings over each genome. The functional
categories are curated from the PHROG database. Each connected component was
clustered in a co-occurrence graph using the Ledian algorithm with resolution of 1.0.
Edges indicate functional categories that were more enriched in the protein clusters
compared to the joint occurrence of these categories in the PHROG database. The
length of the edges reflects the degree of enrichment. Dotted lines indicate connections
that were less enriched than expected. This is a blow up of **Fig. 3C**. All analyses were
done using the proteins from the vPST test dataset.

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Extended Data Figure 8. The viral Protein Set Transformer (vPST) protein embeddings detects functional modules more frequently than other protein clustering methods. The proportion of protein clusters that correspond to 1 of 4 functional modules (columns) using either **A**) VOG or **B**) PHROG annotations. Proteins were clustered within each genome cluster with k=15 and clustering resolution="med" using the protein embedding indicated by the row. Genomes were clustered with k=15 and clustering resolution="high" using the genome embedding indicated by the y-axis and color. The data used here were averaged over the genome clustering methods for **Fig. 3D**. All analyses were done using the proteins from the vPST test dataset.

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1641 **Extended Data Figure 9.** Expanding our view of unannotated hypothetical proteins. **A)**
1642 The average proportion of proteins unannotated by VOG clustering with annotated

capsid proteins that have structural homology to known capsid folds. Structural homology was detected using foldseek searching against the Protein Data Bank database. Values are only comparable within each subpanel. **B**) Annotation improvements for proteins unable to be assigned a function by remote homology to the VOG database. Annotation improvement is defined as the fraction of unannotated proteins whose closest neighbor (cosine distance) has an assigned function. For both panels, protein clustering or similarity searching was only allowed for proteins belonging to genomes in the same genome cluster ("High" Leiden resolution, k=15). The color of the bars (**A**) and lines (**B**) indicates the embedding used for genome clustering.

Extended Data Figure 10. Comparing host prediction tools on the iPHoP test dataset.
A) Training curves for graph-based host prediction models. Performance of different
iterations of host prediction models evaluated using binary cross entropy (BCE) loss on
the same validation dataset. Disjoint train ratio refers to the proportion of edges used for
inference only, with the remaining edges used only for message passing. In the case of
0.0, all training edges were used for both message passing and inference. The opacity
of the lines indicate if the model weights were shared between the virus-host and virus-
virus edges during message passing in the same layer. The best iterations for each
input node (genome) embedding type are indicated by the thick lines and were chosen
as the global minimum loss at the final epoch. The additional lines are for different
choices of decoder fully-connected layer hidden dimensions. Each model was trained
using the same training dataset of 3,639 viruses as iPHoP. **B**) The maximum average
amino acid identity (AAI) for each iPHoP test virus when searching against the vPST
training dataset. "Unscaled" is the raw AAI, while "Scaled" weights the AAI by the
minimum proportion of proteins used to compute AAI for each pair of genomes. **C**)
Similar to **B**, except only computing AAI internally among the iPHoP training dataset. **D**)

1669   The proportion of iPHoP test viruses whose true host taxonomic rank is predicted with
1670   confidence ≥ the indicated threshold (rows). Based on **B**, we excluded viruses from this
1671   set if they were more similar based on scaled AAI to the vPST training dataset
1672   (columns).