Galanin receptor 1 expressing neurons in hippocampal-prefrontal circuitry modulate goal directed attention and impulse control

Running title: Galanin modulation of attention and impulse control

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Abstract

While amino acid neurotransmitters are the main chemical messengers in the brain, they are co-expressed with neuropeptides which are increasingly recognized as modulators of cognitive pathways. For example, the neuropeptide galanin has been implicated in a wide range of pathological conditions in which frontal and temporal structures are compromised. In a recent study in rats, we discovered that direct pharmacological stimulation of galanin receptor type 1 (GalR1) in the ventral prefrontal cortex (vPFC) and ventral hippocampus (vHC) led to opposing effects on attention and impulse control behavior. In the present study, we investigate how subtypes of neurons expressing GalR1 in these two areas differentially contribute to these behaviors. We first establish that GalR1 is predominantly expressed in glutamatergic neurons in both the vPFC and HC. We develop a novel viral approach to gain genetic access to GalR1-expressing neurons and demonstrate that optogenetic excitation of GalR1 expressing neurons in the vPFC, but not vHC, selectively disrupts attention in a complex behavioral task. Finally, using fiber photometry, we measure the bulk calcium dynamics in GalR1-expressing neurons during the same task to demonstrate opposing activity in vPFC and vHC. These results are consistent with our previous work demonstrating differential behavioral effects induced by GalR1 activating in vPFC and vHC. These results indicate the distinct neuromodulatory and behavioral contributions of galanin mediated by subclasses of neurons in the hippocampal and prefrontal circuitry.

Introduction

Activity in the prefrontal cortex and other neuronal circuitries involved in cognition are fine-tuned by ascending modulatory systems [1-3], and dysfunctions of those systems have detrimental effects on cognitive functions and general brain health [4,5]. Various disorders of mental health or aging, for example, which show significant alterations in cognitive state, are associated with a general dysfunction of neuromodulatory systems [6,7] which can include the loss of catecholamine-containing neurons in specific brain areas [8,9]. The catecholamine noradrenaline (NA), which originates in the locus coeruleus (LC), is a neuromodulator best known for regulating prefrontal-cognitive functions under high arousing conditions [10]. Extracellular NA has pro-cognitive effects by enhancing attentional mechanisms and controlling impulsive urges [11-13]. Recent work has suggested that these behaviors are controlled by specific projections emanating from the LC to the dorsal and ventral divisions of the prefrontal cortex (PFC) [14].

In addition to NA, LC neurons co-express several neuropeptides, particularly galanin which is found in eighty percent of those neurons in the rat [15]. This co-existence and the presence of galanin receptors in regions such as the prefrontal cortex and hippocampus [16, 17] strongly implicate this neuropeptide in the noradrenergic modulation of cognitive control processes. However, the relationship between galanin and noradrenaline has not been systematically explored. At the cellular level, galanin inhibits the activity of LC neurons in vitro [18, 19] and enhances NA-induced inhibition of LC neurons [20]. In the cerebral cortex, galanin decreases the NA-induced cyclic AMP response [21]. Since galanin has no detectable action when applied alone, both NA and galanin must work together for efficient noradrenergic transmission [21]. Moreover, although galanin is released when galanin expressing neurons fire at high frequency [22-24], the behavioral conditions contributing to galanin release have not been identified.

Recently, we discovered that galanin, through local stimulation of galanin receptor type 1 (GalR1), affects cognitive control functions in rats through its direct actions in the ventral prefrontal cortex (vPFC) and the ventral hippocampus (vHC) [25]. The main change to behavior concerned the rate of impulsive premature responding. In the vPFC, this stimulation led to a high rate of impulsive responses, whereas in the vHC it had the opposite effect, making rats more controlled in their responses and therefore more successful. Notably, high impulsivity led to poor control of visual attention suggesting that the actions of GalR1 in the vHC and vPFC facilitate the normal control of behavior.

In the present study, we investigate how GalR1-expressing neurons in the vHC and vPFC may differentially signal cognitive mechanisms of attention and impulse control. We first examined the distribution of GalR1 and its cell-type expression in the vPFC and vHC and found that GalR1 was predominantly expressed in glutamatergic neurons. We then genetically targeted the neurons expressing this receptor in the vPFC and the vHC and confirmed their involvement in mechanisms of attention and impulse control behaviors using optogenetic stimulation. Finally, we captured the rapid dynamic properties of GalR1-expressing neurons in the vPFC and vHC using fiber photometry to reveal region- and behavior-specific activity patterns. We demonstrate that the differences in intrinsic activity between vPFC and vHC GalR1-expressing neurons shape the expression of cognitive-executive behaviors.

Material and Methods

Additional details of material and methods can be found in the supplemental materials.

Animals

Adult male Long-Evans rats (Envigo, Indianapolis, IN, USA) were housed in pairs in a temperature-controlled room (23.3 °C) under a 12 h light/dark cycle. About two weeks after their arrivals, animals were food restricted and maintained at 85% of their free-feeding weight throughout the experiments. All experimental procedures were approved by NIMH Institutional Animal Care and Use Committee, in accordance with the NIH guidelines for the use of animals.

Histology and immunohistochemistry

Galanin fibers were labeled using Rabbit anti-galanin primary antibody (Thermo Fisher Scientific #PA5-6209, 1:500) and Alexa 647 goat anti-rabbit secondary antibody (Thermo Fisher Scientific #A27040, 1:500). Sections were mounted onto slides and coverslipped with the VectaShield HardSet Antifade mounting medium with DAPI (Vector Laboratories H-1500-10). Images were acquired with a Zeiss Axioscan at 10x magnification. For quantification of galanin fibers in the respective regions of interest, we examined 3-4 sections for each animal from each region. All image analysis was performed with ImageJ (NIH, Bethesda, MA, USA, https://imagej.nih.gov/ij/download.html). We first split the composite image of the section into two channels to create a gray-scale image (Fig S1). The green channel (galanin) images were then converted to reduce background and increase visibility of fibers using the FeatureJ: Hessian plugin in image J with the smallest eigen value and a smoothing scale of 1.0. Contrast was enhanced by 0.01%. With the created ROIs, the means were recorded. Data was reported as mean for each section.

RNAscope in situ-hybridization (ISH)

We applied RNAscope ISH to detect the expression of GalR1, Slc17a7 (VGluT1), Slc32a1 (VGAT) and tdTomato mRNA in the vPFC and vHC using the RNAscope Fluorescent Multiplex

Assay (Advanced Cell Diagnostics, Newark, CA, USA). We mounted 16 µm sections from flash-frozen brains directly onto Superfrost Plus slides (Thermo Fisher Scientific, Waltham, MA, USA). Images were acquired using a Leica Stellaris confocal microscope (Leica Microsystems, Wetzlar, Germany) at 40x magnification or on a Zeiss Axioscan (Zeiss, Oberkochen, Germany) at 20x magnification. Images were further processed in Image J, and Cell Profiler software (Broad Institute, Cambridge, MA, USA, https://cellprofiler.org) provided quantification of the expression of the mRNAs of interest.

Viruses

Adeno-associated virus (serotype 1) expressing Cre recombinase under the promoter of the galanin receptor 1 (GalR1) was produced by the Viral Vector Core, National Institute of Neurological Disorders and Stroke (Bethesda, MD, USA). The following viruses were purchased from Addgene (Watertown. MA, USA): AAV1-EF1a-Flex-hChR2(H134)-EYFP-WPRE-HGHpA (Addgene viral prep # 20298-AAV1, gift from Karl Deisseroth), AAV1 CAG-LSL-tdTomato (Addgene viral prep #100048-AAV1, gift from Hongkui Zeng), AAV1-Flex-tdTomato (Addgene viral prep # 28306-AAV1, gift from Edward Boyden), AAV1-CAG-Flex-EGFP-WPRE (Addgene viral prep # 51502-AAV1, gift from Hongkui Zeng), and AAV1-CAG-Flex-jGCaMP7f-WPRE (Addgene viral prep # 104496-AAV1, gift from Douglas Kim & GENIE Project).

Viral injections

For all procedures involving local injections of virus, rats were anaesthetized with isoflurane gas (5% induction, 2% maintenance) and placed in a stereotaxic frame fitted with atraumatic ear

bars (David Kopf Instruments, Tujanga, CA, USA). The scalp was retracted to expose the skull and craniotomies were made directly above the target brain regions.

Validation of galanin receptor 1-Cre virus

A cocktail of AAV1-GalR1-Cre with AAV1 Cre-dependent expressing tdTomato was injected directly into brain areas known to express GalR1 namely the vPFC (AP +3.24, ML 0.6, DV -3.7), vHC (AP -5.0, ML 5.4, DV -6.7), and paraventricular nucleus of the thalamus (PVT) (AP -1.20, ML 0.3, DV -5.1). The fourth cerebellum lobule (4Cb) (AP -9.72, ML 1.9, DV -1.8) was also injected as a control area that lacks GalR1. All DV readings were taken from dura. For all injections, a total of 0.1 - 0.3 nl was infused at a rate of 0.1 nl / min.

Anatomical projections of GalR1-expressing neurons

To map the projections of vPFC and vHC GalR1-positive neurons, the same animals were also injected with cocktails of AAV1-GalR1-Cre with Cre-dependent AAV1 expressing GFP in the vPFC or tdTomato in the vHC. For all injections, a total of 0.1 - 0.3 nl was infused at a rate of 0.1 nl / min.

Behavioral procedure: 5-choice task

Two weeks following stereotaxic placement of fiber implants (see below), rats were trained to accurately detect the occurrence of a brief visual target (a white square) in the 5-Choice attentional task using the touchscreen operant platform. Full details of the apparatus and behavioral procedure can be found in Messanvi et al. (2020) [25]. Some adaptations to the apparatus were necessary to enable the optogenetic and fiber photometry settings. In brief, animals were first

habituated to moving around freely in the operant chamber while tethered to the patch cord (Doric Lenses). The patch cord was connected to the fiber-optic rotary joint (bilateral 1x2 for PFC group, and unilateral 1x1 for vHC group, Doric Lenses) thereby allowing the animals to move freely inside the chambers. While tethered the animals were pretrained to: a) successfully enter the food magazine, b) reliably touch the screen with their nose, c) collect food reward (Dustless Precision Pellets, Bio-serv, Flemington NJ, USA), and d) initiate trials.

The patch cord was disconnected when the rats were trained for the main task (~20 days). A daily session consisted of 100 completed trials or was terminated after 35 min, whichever came first. In each session, the visual target was presented an equal number of times in one of five locations in a pseudo-random order. During training, the target duration and response window were set at 10 s. These variables were reduced on subsequent sessions according to the individual animal's performance until the target duration was 1 s and the response time was 5 s. These served as the baseline parameters. When rats displayed greater than 75% accuracy with less than 30% omissions at the baseline parameters, they were ready for optical stimulation.

The apparatus and online data collection for each chamber were controlled by a Dell computer connected to an Animal Behavior Environmental Test (ABET) software (Lafayette Instruments Company, Lafayette, IN, USA) interfaced with the Whisker control system for research [26].

Optogenetic stimulation

We targeted the vPFC and vHC in separate groups of animals. A cocktail of AAV1-GalR1-Cre with Cre-dependent AAV1 expressing ChR2, or tdTomato as a control, was injected bilaterally into the vPFC or the vHC (200 nl). Subsequently, 0.3 mm dorsal to the viral injection, dual fiber-optic cannulas were implanted in the vPFC (200 μm core diameter, 0.37 NA, 6mm length; Doric

Lenses, Quebec, QG, Canada). Bilateral fiber-optic cannulas were implanted in the vHC (200 µm core diameter, 0.39 NA, 8mm length; Thorlabs).

Once rats had acquired the baseline parameters of the 5-choice task, they were re-habituated to the patch cord (~3 days) and remained tethered to the patch cord during the remaining test sessions. Optical stimulation (4 mW intensity at the end of the dual optic fiber tip, 5 ms pulse duration, at 40Hz) was delivered using a laser system (LRS-0473, Laserglow Technologies, North York, ON, Canada) for 5 s for the entire duration of the pre-stimulus interval. Half of the trials were stimulated (ON trials) and the other half were not (OFF trials). Stimulated trials were distributed pseudo-randomly throughout the session, across the five locations.

Fiber photometry

We injected AAV1-GalR1-Cre and AAV1-CAG-Flex-jGCaMP7f-WPRE (200 nl) viruses into the vPFC and vHC. Fiber optic cannulas (400 µm core diameter, 0.66 NA, 5 or 8mm length for vPFC and vHC respectively; Doric Lenses) were placed 0.1 mm dorsal to the viral injection. In all cases, cannulas were affixed with dental cement and stainless sterile screws to secure them in place. Two weeks after surgery, the rats were trained on the 5-Choice task until stable baseline performance (~ 20 days).

Fiber photometry data were acquired with the RZ10X processor integrated with Synapse Software v.96 (Tucker-Davis Technologies, Alachua, FL, USA). Lights emitted from LEDs (465 nm modulated at 330 Hz to excite GCamP7f, and 405 nm modulated at 210 Hz for the isosbestic control) were relayed to the mini cubes (Doric Lenses) via attenuator patch cords. Lights were then conveyed to the fiber-optic cannulas implanted in the rats' brain, via a pigtailed rotary joint (Doric Lenses) and two low-autofluorescence optic fibers (400 µm core diameter, NA 0.48, Doric

Lenses). The signals from the brain were sent back to the mini cubes for filtration, detected by the photosensors, and finally demodulated in the Synapse software. In parallel, time stamps of the behavioral events (initiation, cue, response types, reward collection) from ABET were sent to the fiber photometry system through a TTL breakout adapter (Lafayette Instruments).

Raw fluorescence signals and time stamps for signals and behavioral data were extracted by importing the TDT files into the Fiber photometry Modular Analysis Tool (pMAT) [27]. Extracted data were further processed using a custom-written R code, to separate the signal around specific events. We used again pMAT to calculate the baseline Z-score and area under the curve (AUC) values. The 2 s preceding trial initiation were used as a baseline to generate Z-score values. The AUC values preceding and following specific events were averaged over specific time bins (the durations of the different time bins are specified in the figures legends). Data were calculated for each trial, then averaged over the session, and finally over experimental groups.

Verification of Fiber placement and viral expression

Animals were intracardially perfused with a working solution of PBS (1X) followed by 4% paraformaldehyde in phosphate-buffered saline. The brains were extracted and postfixed in 4% paraformaldehyde. After dehydration by immersion in 25% sucrose, the brains were cryo-sectioned at 40 μm thickness. Every other section was mounted on glass slides and cover-slipped with mounting medium containing DAPI (Vector Laboratories, Newark, CA, USA) for fluorescence microscopic imaging. Pictures were taken using an Axioscan Z1 (Zeiss) at 10x magnification, and animals with misplaced cannulas or viral expression were excluded from the analysis.

Statistical analysis

Statistical analyses were performed using SPSS (29.0.1.0, IBM, Armonk, NY, USA). For the optogenetics experiments, the effects of laser stimulation, brain regions and their interaction were determined using a mixed ANOVA with repeated measures. When interaction of the two factors was found to be significant, post-hoc pairwise comparisons (with Bonferroni correction) were performed. For the photometry experiments, comparison of the signals between brain regions was performed with independent T-tests. Comparison of signals between behavioral outcomes was performed using a one-way ANOVA, and post-hoc pairwise comparisons (with Bonferroni correction) were performed when F ratios were significant. The criterion for significance for all analyses was set at $p < 0.05$. Data are reported as mean ± SEM.

Results

Galanin receptor 1 is expressed in glutamatergic cells in the vPFC and the vHC

To better understand the region-specific mechanisms of GalR1 actions, we started by investigating whether galanergic markers were differentially expressed and distributed in the vPFC and the vHC. We first examined the presence of galanin fibers in both regions of interest. Galanin-immunoreactive fibers and terminals were present along the entire dorsoventral extent of the PFC (Fig. S1a-d). In the HC, the density of galanin fibers was consistent across the dentate gyrus and CA1-CA3 fields (Fig. S1e-h). Next, we characterized the distribution of the GalR1 mRNA. *In situ* hybridization using RNAscope confirmed the presence of GalR1 mRNA in both vPFC and vHC subregions. Within the PFC, the highest density of GalR1 mRNA was in the IL cortex (Fig. 1a-c) located preferentially in layer 5 (Fig. 1c). In the vHC, the distribution was greatest in the pyramidal layers of the vCA1 and ventral subiculum (vSub) (Fig. 1d-f). These observations were largely

consistent with previous reports [16, 17, 28]. We then determined the cell-type distribution of GalR1 mRNA in the IL cortex and vCA1/vSub since both areas showed the highest expression of GalR1 mRNA (Figs. 1g, and l). In both cases, the majority of GalR1 mRNA was expressed in glutamatergic neurons (Figs. 1h-i and m-n; upper panels). A much smaller proportion of the GalR1 was expressed in GABAergic neurons (Figs. 1j-k and o-p; lower panels), reflecting the lower abundance of this class of neurons. Together, these results indicate that vPFC and vHC circuits can be modulated by GalR1 actions upon neurons residing in specific layers and subregions.

Selective stimulation of GalR1-expressing neurons affects behavioral performance

To better understand the causal relationship between the neurons expressing GalR1 in these brain regions and attentional control of behavior, we selectively stimulated the activity of these neurons via temporally targeted optogenetic techniques. A genetic construct expressing the Cre recombinase under the control of GalR1 promoter was packaged into a AAV1 to target the GalR1-expressing neurons (Fig. 2a). We first validated the construct in brain areas known to have high or low GalR1 expression (Fig. 2b, c), and quantified its specificity in the vPFC (Fig. 2d). We then injected the GalR1-Cre virus into the vHC and vPFC, using different fluorophore reporters in the two areas, allowing us to determine the distinct projections of their GalR1 expressing neurons (Fig. 2e). We found that vPFC and vHC GalR1-expressing neurons project widely to brain areas involved in attention and impulse control including the midline thalamus, ventral striatum and septum (Fig. 2g-i)). Interestingly, GalR1-expressing neurons in the vHC selectively targeted the deep layers of the vPFC running amid the cell bodies of GalR1-expressing neurons (Fig. 2f).

To investigate the functional contribution of these neurons, we examined the behavioral effects of selectively exciting GalR1-expressing neurons. We expressed ChR2 in these neural

populations in either the vPFC or vHC and implanted an optic fiber above the viral injection site (Fig. 3a). Following post-operative recovery, rats were trained on the 5-Choice task until they acquired a baseline level of performance (Fig. 3b; see methods). We then optically activated the GalR1-expressing neurons during the pre-stimulus interval. We did this in an interleaved fashion such that only half of the trials in each session were stimulated (Fig. 3b).

Optogenetic activation of GalR1-expressing neurons in the vPFC, affected executive behavior in three ways. First, it reduced the rats' ability to accurately detect the visual target (Fig. 3c). Second, it greatly increased the number of trial omissions (Figs. 3d and S4a, b). Third, it increased their latencies to respond correctly (Fig. 3f). It also led to a trend towards a reduction in impulsive responses (Fig. 3e). All other measures including reward collection latency were not impacted (Figs. S2 and S3).

The specificity of the stimulation site in the vPFC was important. While we successfully targeted the ventral infralimbic/prelimbic region in most animals, we noted that some animals had optic fibers implanted rostral to the target site, namely the medial orbital (MO) division of the vPFC (Fig. S5a). These animals showed a different pattern of behavior during optical stimulation compared with the infralimbic/prelimbic division of the vPFC-ChR2 rats (Fig. S5b). Although they were also less accurate in stimulated trials (Fig. S5c), the stimulation did not alter their rate of omissions (Fig. S5d). In addition, they tended to make more premature responses upon photo-stimulation (Fig. S5e). Thus, in agreement with previous reports, optimal performance in cognitive-executive tasks like the 5-choice task require the interaction of different vPFC subdivisions [29, 30].

In contrast to the strong and repeatable effects of exciting GalR1-expressing cells in the vPFC, exciting the same population in the vHC had little impact on performance (Fig. 3g-J). One

exception was a significant increase in the number of omissions during stimulation (Figs. 3h, S2, and S4), but all other aspects of behavior were generally intact. Thus, while the GalR1-expressing cell populations of the vPFC have a direct impact on the attentional control of impulsive behavior, in the vHC these cells potentially impact motivational elements of task performance.

Activity of GalR1-expressing neurons reflect attention and impulsivity

We next captured the distinct dynamic responses of vPFC and vHC GalR1-expressing neurons during performance of the 5-choice task, using *in vivo* calcium fiber photometry (Fig. 4a). The calcium indicator GCamP7f was expressed in GalR1-expressing neurons of the vPFC and the vHC, and an optic fiber was placed above the viral injection site to record changes in fluorescence with a fiber photometry system (Fig. 4b). The signals from the vPFC and the vHC were first parsed by trial outcomes and then aligned to different task events within each trial category.

The activity of GalR1-expressing neurons in the two areas showed a highly distinct relationship to behavioral events. For example, the vPFC neurons showed an increase in activity just prior to trial initiation (Fig. 4c, e). On trials that were completed correctly, the activity remained elevated including during cue presentation, suggesting a close relationship with the animal's attention. However, the corresponding vHC neurons did not show such elevation but remained low throughout the same period. During incorrect trials, the same pattern in the vPFC and vHC was observed but with lower activity levels compared with correct trials (Fig. 4d). Later, after the response, the vPFC signal increased presumably in anticipation of reward, but only on correct trials (Fig. 4d, f). In contrast, in trials with omissions or in the timeout following premature responses (Fig. 4g-j), the vHC neurons showed elevated activity, suggesting that these GalR1 expressing neurons may signal cognitive errors or negative events. Together, these data suggest

that the GalR1-expressing neurons in the vPFC and vHC have highly distinct activity profile that are linked to unique cognitive signals and behavioral outcomes.

Activity levels in vPFC GalR1-expressing neurons predict behavioral outcome

To confirm the relationship between the activity levels of GalR1-positive neurons and the behavioral response, we compared the level of activity for each trial outcome: 1) before the rat initiated the trial, 2) during the pre-stimulus interval, and 3) when the cue was presented for each brain region over 1 sec time bins (Fig. 5). In the vPFC, the highest level of activity during the pre-stimulus interval was associated with a future correct response, while lower activity predicted inappropriate behavior namely incorrect responses, premature responses, or omissions (Fig. 5a). In the vHC, calcium activity was higher during the pre-stimulus interval when the animals later made an omission, but no statistical differences were observed (Fig. 5b). Thus, the activity of GalR1-expressing neurons while animals perform the 5-choice task are both region- and response-specific. These results are in line with the idea that the level of prefrontal neuronal activity correlates with behavioral outcome [31, 32].

Discussion

In the present study, we used multiple approaches to characterize the functional differences between GalR1-expressing neurons of the vPFC and the vHC and their involvement in mechanisms of attentional control. There were several findings: 1) GalR1 was predominantly expressed in glutamatergic neurons in both regions, 2) optical activation of GalR1-expressing neurons in the vPFC, but not vHC, influenced selective attention and impulse control, 3) the activity of vPFC neurons predicted successful response outcomes, and 4) the activity of vHC

neurons were associated with inappropriate responses. Together, these data provide the first evidence that GalR1 expressing neurons produce region- and response-specific intrinsic activity in the vPFC and vHC GalR1-expressing neurons to influence the expression of cognitive-executive behaviors.

GalR1 distribution in the vPFC and vHC

The presence of GalR1 in the vPFC and vHC has been previously described. We now add to this information that these receptors are mostly expressed in layer 5 of the vPFC and the pyramidal layers of the vHC (particularly the vCA1 and vSub), which are the main output layers in both regions [33, 34]. The receptors' locations indicate the possibility for galanin to influence the actions of these neurons on targets areas. While those layers are comprised of diverse subclasses of neurons, we found that GalR1 was expressed predominantly in putative pyramidal neurons expressing VGLUT1. It bears mentioning that a small proportion of GalR1-expressing neurons in both areas were identified as GABAergic, which may further shape the influence of galanin over PFC or hippocampal circuits.

With *in situ* hybridization, we were able to visualize mRNA but not the active protein and its ultimate subcellular location. We assume, therefore, that the majority of GalR1 receptors are located on the soma, but receptors can also be present on dendritic trees where they could modulate the integration of signal coming from specific inputs. Although the expression of GalR1 has been detected on proximal dendrites with immunostaining [35], the specificity of the antibodies targeting GalR1 has been questioned [36]. In addition, GalR1 may be located on pre-synaptic terminals [37]. The multiple possibilities for GalR1 cell-type and subcellular distribution suggest that galanin modulation of neural circuits is complex and involves diverse mechanisms within each

region. The exact subcellular expression of the galanin receptor remains to be determined before its contribution to the microcircuit's dynamics can be assessed. Our data revealed a similar distribution of GalR1 expression in the vPFC and vHC and suggest that the region-specificity of galanin modulation of executive functions might be due to the intrinsic differences between prefrontal and hippocampal neuronal populations and their respective contributions to behavior.

GalR1-expressing neurons of the vPFC contribute to attentional control

The optical manipulation of GalR1-expressing neurons demonstrated strong differences in the involvement of vPFC and vHC neurons during performance of the 5-Choice task. The data demonstrated that GalR1-expressing neurons in the vPFC are directly involved in the control of attention since activating these neurons specifically decreased the animals' ability to accurately detect the target while increasing omissions and decreasing impulsive premature responses. These data are consistent with lesion and pharmacological studies that have implicated an important role for the vPFC in the normal control of impulsive urges [29, 38-40]. In contrast to lesions or drug infusions, optical perturbation affords temporally precise causal manipulations. In our case, the stimulation was selectively applied during the 5 s of the pre-stimulus interval and in only half of the total trials of a session. Opto-inhibition of vPFC neurons during the entire pre-stimulus interval has previously been shown to increase accuracy in the 5-choice task, whereas distracting the animal by inhibiting the neurons two seconds before the cue presentation, has the opposite effect [41]. Since the GalR1-expressing neurons represent only a portion of the vPFC and vHC neurons it is unlikely that the stimulation captured the full effects observed by manipulations affecting the entire region.

In contrast, stimulation of GalR1-expressing neurons in the vHC resulted in an increase in omissions only. Although this finding was similar to the effects of vPFC stimulation (see Fig. 2f, j), it was in marked contrast to the more global effects of hippocampal disinhibition previously shown to induce attentional deficits [42]. Moreover, rats with HC lesions display exaggerated and persistent responding; have long lasting increases in premature responses [43], are resistant to extinction [44, 45] and display reward induced stereotypy [46]. One parsimonious explanation is that the selective targeting of vHC GalR1 neurons are more subtle in their effects relative to large global vHC lesions. A more speculative hypothesis is that an intact vHC facilitates the evaluation of negative feedback following inappropriate actions to adapt choices accordingly. In humans, hippocampal signals have been shown to differentiate between positive and negative feedback [47, 48]. It is feasible therefore that a lesioned vHC would diminish the monitoring of such feedback so that unfavorable consequences of timeout/no reward could ostensibly lead to repeated errors. Finally, GalR1-expressing neurons represent a portion of the vPFC and vHC neurons and it is likely that their stimulation will not recapitulate the effects observed by manipulations affecting almost the entire region. Nevertheless, while the two regions contribute differentially, the vPFC is a stronger driver of behavior of performance in the 5-choice task.

Activity of vPFC and vHC GalR1-expressing neurons predict behavioral outcomes

The photometry traces of calcium activity shed light on the intrinsic activity of GalR1-expressing neurons during task performance. One major finding was the region-specific activity patterns for each behavioral outcome. In the vPFC, GalR1 expressing neurons that predicted a correct a response sustained a high level of activity during the entire pre-stimulus interval, whereas incorrect responses including premature responses were associated with a lower magnitude of

response [30, 49]. This was in contrast with trials which led to an omission for which the GalR1 vPFC neurons showed no change in activity. Thus, the activity of vPFC GalR1-expressing neurons may be an indicator of the level of task engagement with high levels of sustained activity reflecting full engagement resulting in successful goal directed behavior [50]. Studies in rats, monkeys, and humans [51, 29, 52] consistently identify the PFC as the key site for attentional processing and the driver of coordinated goal directed actions, but the link between intrinsic region-specific PFC activity and behavioral outcome is largely missing. Our data confirm that recruitment of vPFC GalR1-expressing neurons is critical for sustained attentional processing.

The activity patterns for the vHC GalR1-expressing neurons were more nuanced. Overall, the signal in vHC neurons was lower than vPFC neurons during both correct and incorrect trials. Thus, vHC GalR1-expressing neurons may not participate in attentional mechanisms that predict successful outcomes. Instead, the vHC signal was more associated with errors or inappropriate responses during which the activity of vHC neurons became higher than the vPFC. The elevated activity preceding an omission, for example, is consistent with the increased omissions observed following optogenetic stimulation. Intriguingly, the activity of vHC GalR1 neurons elevated substantially during the timeout period immediately following an impulsive premature response. Since timeouts provide negative feedback (i.e., no reward), it is conceivable that the heightened activity in the vHC neurons represents an emotional state of disappointment or frustration. There is much evidence that the vHC is intimately tied to negative emotional states [53, 54]. One possibility is that GalR1-expressing neurons in the vHC may inappropriately enhance attention towards negative events thereby promoting behaviors with strong affective components such as anxiety and depression [55, 56]. This hypothesis needs to be tested directly.

In conclusion, our findings suggest an important role for GalR1-expressing neurons of the vPFC and vHC in attentional processing. Neurons in the vPFC and vHC differentially signal cognitive mechanisms of attention and impulse control, which explains why pharmacological activation of GalR1, which hyperpolarizes neurons [57], leads to opposing effects on the attentional control of behavior [25]. It also suggests that synchronously activating a population of neurons can reveal very different patterns of behavior than manipulating a specific receptor with pharmacology. Although the vHC and vPFC interact both anatomically and functionally, our data highlight the fundamental differences between these structures in executive control behaviors. How GalR1-positive neurons respond differently than GalR1-negative neurons in vPFC and vHC structures requires further investigation.

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Conflict of interest:

The authors report no competing interests

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Figure legends

Figure 1. Expression of GalR1 in the vPFC and the vHC.

(a) Representative image of the distribution of GalR1 in the PFC (scale bar: 200 µm). **(b)** Quantification of GalR1 mRNA fluorescence intensity in three subdivisions of the PFC (N = 3 animals, 1 section per animal. **(c)** Magnified image of the distribution of GalR1 in different cortical layers of the IL cortex. **(d)** Representative image of the distribution of GalR1 in the vHC (scale bar: 500 µm). **(e)** Quantification of GalR1 mRNA fluorescence intensity in the of the vHC (N = 5 animals, 1 section per animal; DG: 8.73, vCA3: 8.29, vCA2: 8.89, vCa1: 13.76, arbitrary unit: au). **(f)** Magnified image of the distribution of GalR1 in the different layers of the vCA1/vSub. **(g-k)** Co-expression of GalR1 mRNA with glutamatergic neuron marker VGluT1 (GalR1+/VGluT1+: 75.2 ± 3.2 %, GalR1+/VGluT1-: 24.6 ± 3.3 %) and the GABAergic neuron marker VGAT (GalR1+/VGAT+: 8.4 ± 1.0 %, GalR1+/VGAT-: 91.6 ± 1.0 %) in the IL (N = 3 animals, 2-3 sections per animal). **(l-p)** Co-expression of GalR1 mRNA with glutamatergic neuron marker

VGluT1 (GalR1+/VGluT1+: 86.0 ± 0.9 %, GalR1+/VGluT1-: 14.0 ± 0.9 %) and the GABAergic neuron marker VGAT (GalR1+/VGAT+: 8.6 ± 1.3 %, GalR1+/VGAT-: 91.4 ± 1.3 %) in the vCA1 (N = 3 animals, 2-3 sections per animal).

Figure 2. Validation of the GalR1-Cre construct.

(a) Schematic of AAV vector construct expressing Cre recombinase under the control of the GalR1 promoter. **(b)** Injection strategy to validate the expression of GalR1-Cre in the PVT (positive control) with corresponding microphotograph showing expression. **(c)** Injection strategy to validate the expression of GalR1-Cre in the cerebellum (negative control) with corresponding microphotograph showing expression. **(c)** Injection strategy and assessment of the selectivity of the construct in the vPFC. **(d)** Quantification of the cells expressing the Cre-dependent fluorophore that were also positive for GalR1 (N = 3 animals, 3-4 sections per animal). **(e)** viral strategy shows GalR1-Cre virus injected together with the reporter protein in the vPFC (green) and vHC (red). **(f)** Dense presence of vPFC GalR1-expressing neurons within the infralimbic cortex, and vHC GalR1 fibers near the vPFC injection site. vHC fibers are concentrated to the deep layers. (scale bars: 500 and 100 microns) **(g)** vPFC and vHC GalR1 neurons project to distinct parts of the thalamus. The Rhomboid (Rh) and Reuniens (Re) nuclei receive dense vPFC projections and but scarce vHC projections (scale bars: 500 and 200 microns). **(h)** vPFC and vHC GalR1 neurons project to distinct parts of the striatum. In the nucleus accumbens, vPFC projections are seen in the core and shell, while vHC fibers are mostly seen in the shell (scale bars: 500 and 200 microns). **(i)** vPFC and vHC GalR1 neurons project to the lateral septum with GalR1 fibers from both regions targeting the dorsal and intermediate divisions (scale bars: 500 and 200 microns). IL, infralimbic; MD, mediodorsal; PV, paraventricular; CM, centromedial; Rh, rhomboid nucleus; Re, nucleus reuniens;

vRe, ventral nucleus reuniens; PHD, posterior hypothalamic area, dorsal part; AcbC, accumbens core; AcbSh, accumbens shell; LS, lateral septum; MS, medial septum.

Figure 3. Selective stimulation of GalR1-expressing neurons affects behavioral performance

(a) Schematic showing viral infection and fiber optic placement strategy for optical manipulation. Representative images of viral expression in the vPFC (bottom) and the vHC (right). **(b)** Schematic of the 5-choice task and stimulation protocol. **(c-f)** Effects of optical stimulation of vPFC GalR1-expressing cells on accuracy, omissions, premature responses, and correct response latencies (ChR2: n = 8; Ctrl: n= 7). **(g-j)** Effects of optical stimulation of vHC GalR1-expressing cells on accuracy, omissions, premature responses, and correct response latencies (ChR2: n = 12; Ctrl: n= 5). Error bars represent SEM. * $p < 0.05$ (pairwise comparison between groups after significant interaction effect in Mixed ANOVA).

Figure 4. Activity of GalR1-expressing neurons reflect attention and impulsivity

(a) Schematic of in vivo calcium fiber photometry while rat performs 5-choice task. **(b)** Viral injection and optic fiber placement in the vPFC (left) and vHC (right), each showing representative image of GCaMP7f expression in each area. **(c)** Comparison of calcium signal in vPFC and vHC GalR1-expressing neurons during the trials with a correct response. The signal is aligned to trial initiation. Left: traces represent average activities (mean area under the curve: mean AUC) during the pre-stimulus interval and cue presentation. Right: histograms showing higher vPFC activity compared to vHC during the pre-stimulus interval and the cue presentation. **(d)** Comparison of activity of GalR1-expressing neurons in vPFC and vHC activity during trials with a correct response. Left: traces represent the average activity around the response and reward. The signal is

aligned to the response. Right: histograms of vPFC and vHC activity before the response (signal aligned to the response) and before the reward (signal aligned to the reward). **(e)** Comparison of calcium signal in vPFC and vHC GalR1-expressing neurons during trials with an incorrect response. The signal is aligned to trial initiation. Left: traces represent average activities during the pre-stimulus interval and cue presentation. Right: histograms show average activity of vPFC is higher relative to vHC during the pre-stimulus interval and cue presentation. **(f)** Comparison of vPFC and vHC activity during the trials with an incorrect response. The signal is aligned to the response. Left: traces represent average activity before the response and during the time-out period. Right: histograms show vPFC and vHC activity before the response and during the time-out. **(g)** Comparison of calcium signal in vPFC and vHC GalR1-expressing neurons during the trials with an omission. The signal is aligned to trial initiation. Left: traces represent average activity of neurons during the pre-stimulus interval and cue presentation. Right: histograms show average activity in vPFC and vHC during the pre-stimulus interval and cue presentation. **(h)** Comparison of calcium signal in vPFC and vHC GalR1-expressing neurons during the trials with an omission. The signal is aligned to the end of the response window when an omission is detected. Left: traces represent average activity during the time-out period following an omission. Right: histograms showing the average activity of vPFC and vHC during the time-out period. **(i)** Comparison of calcium signal in vPFC and vHC GalR1-expressing neurons during the trials with a premature response. The signal is aligned to trial initiation. Left: traces represent average activities during the first 3 seconds of the pre-stimulus interval. Right: histograms showing vPFC and vHC average activity during the pre-stimulus interval. (**j**) Comparison of calcium signal in vPFC and vHC GalR1-expressing neurons during the trials with a premature response. The signal is aligned to the premature response. Left: traces represent average activity before the response and during the time-

out period. Right: Average activity of vPFC and vHC during the time-out. (vPFC in green, n = 7; vHC in pink, n= 9) Error bars represent SEM. * $p < 0.05$ (Independent T-test)

Figure 5. Activity of vPFC and vHC GalR1-expressing neurons predict behavioral outcomes

(a) Comparison of activity in vPFC GalR1-expressing neurons expressed as area under the curve (AUC) for each response outcome. Time starts 2 seconds before trial onset until the end of the cue presentation divided into 1 second time bins (color code by response type). Bars represent mean AUC and error bars represent SEM. * $p < 0.05$ (One-way ANOVA). **(b)** Comparison of activity in vHC GalR1-expressing neurons expressed as the area under the curve (AUC) of each response outcome. Time starts 2 seconds before trial onset until the end of the cure presentation divided into 1 second time bins (color code by response type). Bars represent mean AUC and error bars represent SEM. (One-way ANOVA).

Supplementary Figure 1. Expression of galanin fibers in the vPFC and the vHC.

(a) Schematic representing the location of the area analyzed in the PFC. **(b)** Microphotograph shows the distribution of galanin fibers and terminals in the PFC subdivisions (scale bar: 200 µm). **(c)** Magnified image of the galanin-containing fibers and terminals in the IL (scale bar: 200 µm). **(d)** Quantification of the mean density of galanin immunofluorescence in the three PFC subdivisions. **(e)** Schematic representing the location of the area analyzed in the HC. **(f)** Microphotograph shows the distribution of galanin fibers and terminals in the vHC subdivisions (scale bar: 200 µm). **(g)** Magnified image of the galanin-containing fibers and terminals in the vCA1 (scale bar: 200 µm). **(h)** Quantification of the mean density of galanin immunofluorescence in the vHC subdivisions.

Supplementary Figure 2. Statistics for the main behavioral outcomes assessed in the 5-choice task for the optogenetic experiment.

Supplementary Figure 3. Statistics for the latency measures in the 5-choicce task for the optogenetic experiment.

Supplementary Figure 4. Proportion of response types between laser conditions.

(a) Proportion of response types in non-stimulated and stimulated trials for vPFC-CHR2 animals (rats n = 8). **(b)** Proportion of response types in non-stimulated and stimulated trials for vPFC-tdTomato animals (rats n = 7). **(c)** Proportion of response types in non-stimulated and stimulated trials for vHC-CHR2 animals (rats n = 12). **(d)** Proportion of response types in non-stimulated and stimulated trials for vHC-tdTomato animals (rats n = 5).

Supplementary Figure 5. Comparison of MO and PrL/IL optical stimulation on behavioral responses.

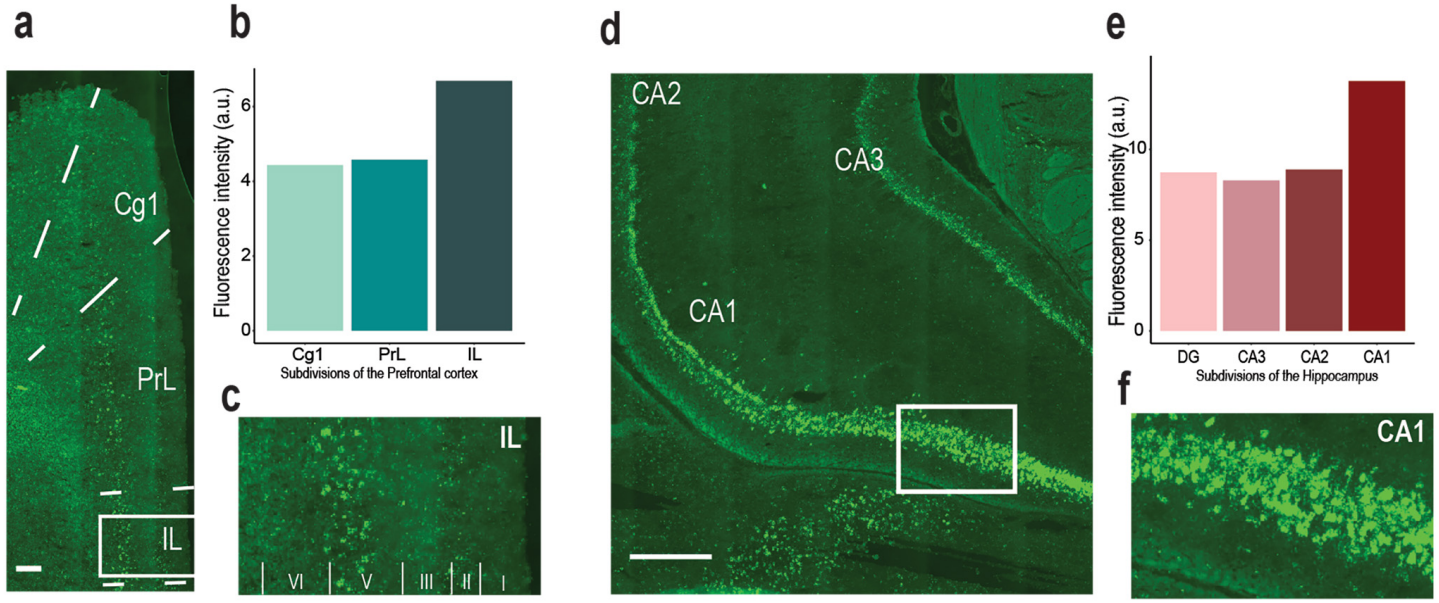
(a) Schematic of brain section showing location of viral expression in the medial orbital region (MO) with corresponding photomicrograph. **(b)** Proportion of response types in non-stimulated and stimulated trials for animals with optic fiber in the MO brain region (rats n = 8). **(c-f)** Behavioral effects of optical stimulation for MO or IL GalR1-expressing cells on accuracy, omissions, premature responses, and correct response latencies (MO: n = 8; IL: n= 8). Error bars represent SEM. * $p < 0.05$ (paired T-test between groups after significant interaction effect in Mixed ANOVA)

Supplementary Figure 6. Location of optical fibers in the vPFC and vHC for the optogenetics experiments.

Supplementary Figure 7. Location of optical fibers in the vPFC and vHC for the photometry experiments.

Figure 1

Highest expression of GalR1 mRNA is in IL and vCA1



GalR1 is expressed in glutamatergic neurons

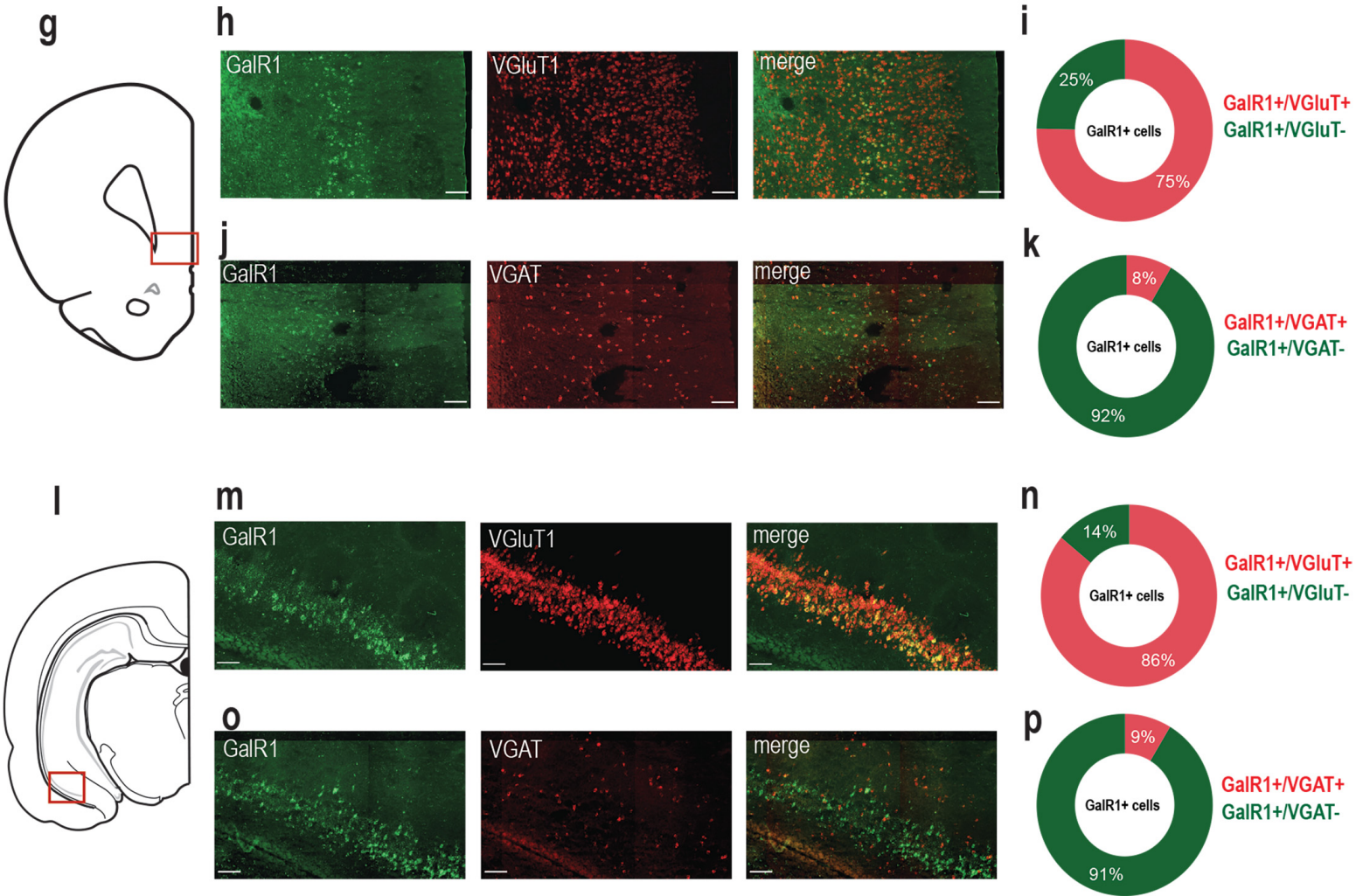


Figure 2

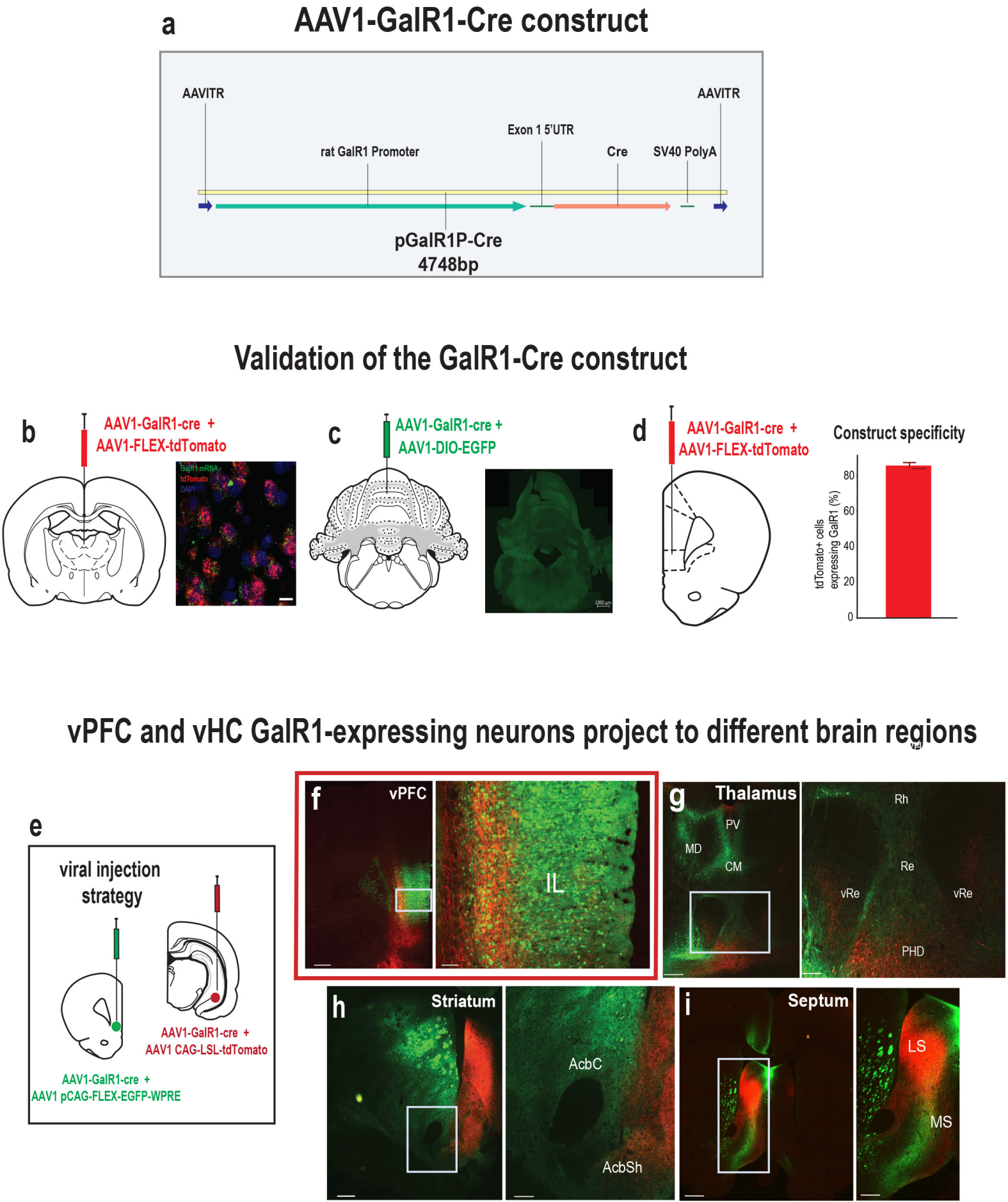
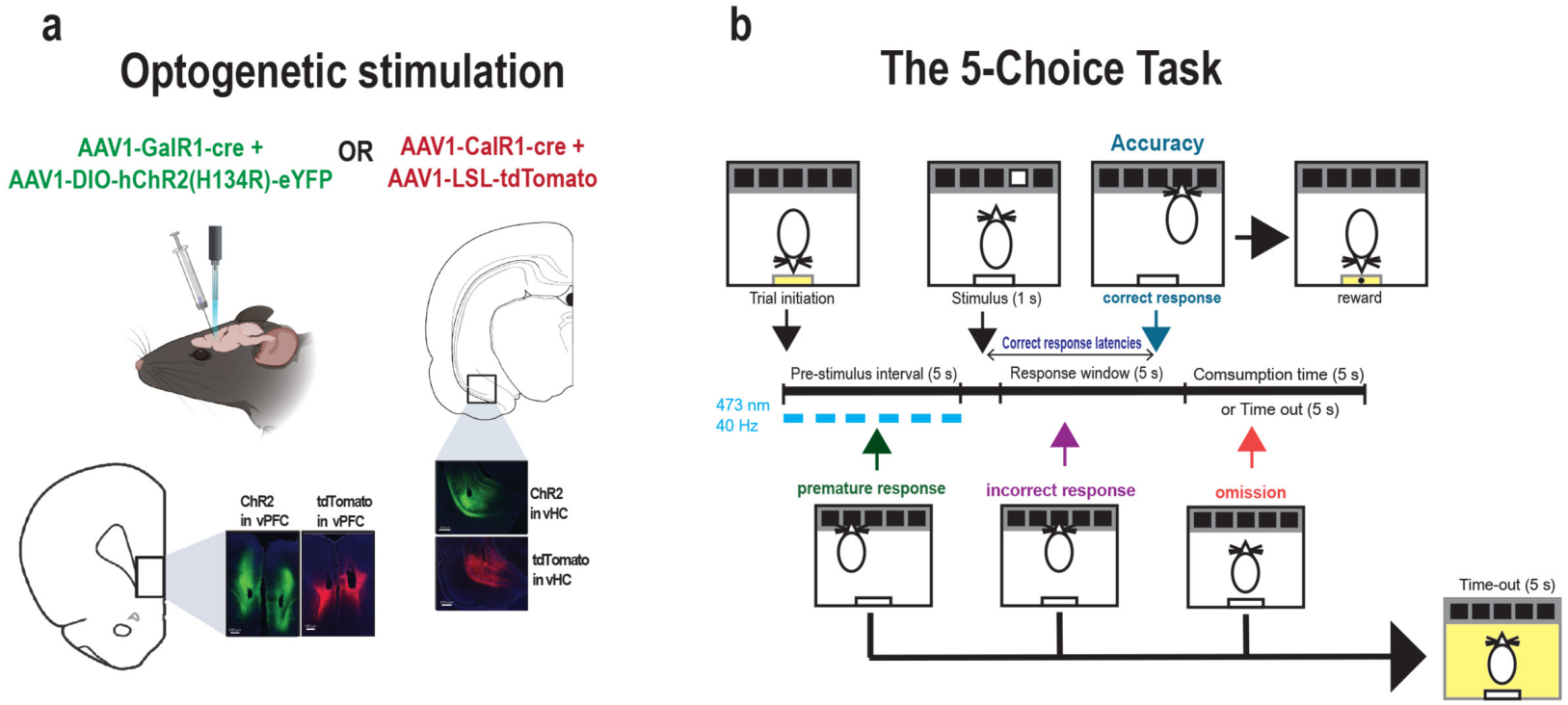
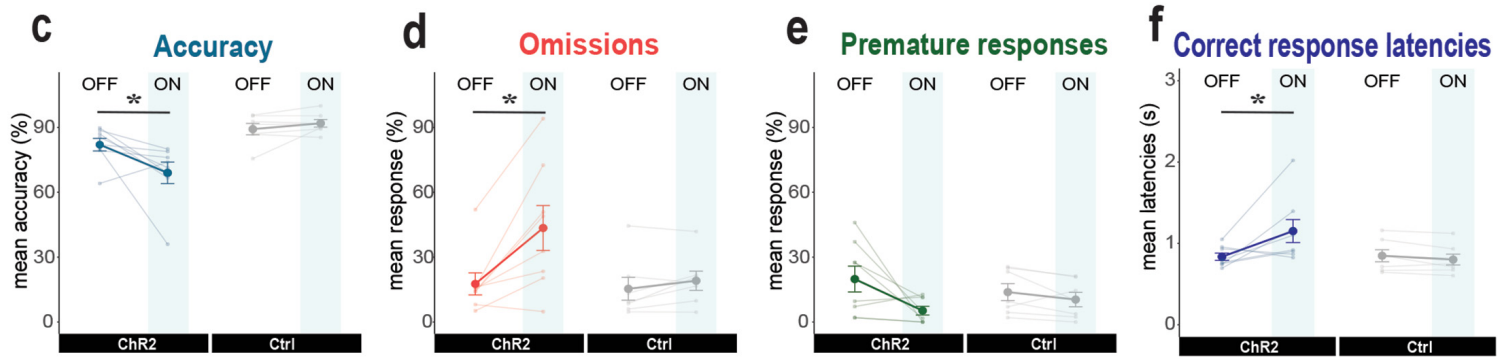

a
AAV1-GalR1-Cre construct
AAVITR
AAVITR
Exon 1 5'UTR
rat GalR1 Promoter
Cre
SV40 PolyA
pGalR1P-Cre
4748bp
Validation of the GalR1-Cre construct
b
AAV1-GalR1-cre +
AAV1-FLEX-tdTomato
GalR1 mRNA
tdTomato
DAPI
c
AAV1-GalR1-cre +
AAV1-DIO-EGFP
d
AAV1-GalR1-cre +
AAV1-FLEX-tdTomato
Construct specificity
tdTomato+ cells expressing GalR1 (%)
0
20
40
60
80
vPFC and vHC GalR1-expressing neurons project to different brain regions
e
viral injection strategy
AAV1-GalR1-cre +
AAV1 CAG-LSL-tdTomato
AAV1-GalR1-cre +
AAV1 pCAG-FLEX-EGFP-WPRE
f
vPFC
IL
g
Thalamus
PV
MD
CM
Rh
Re
vRe
vRe
PHD
h
Striatum
AcbC
AcbSh
i
Septum
LS
MS

Figure 3



vPFC GalR1 expressing neurons affect control of attention



vHC GalR1- expressing neurons affect rate of omissions

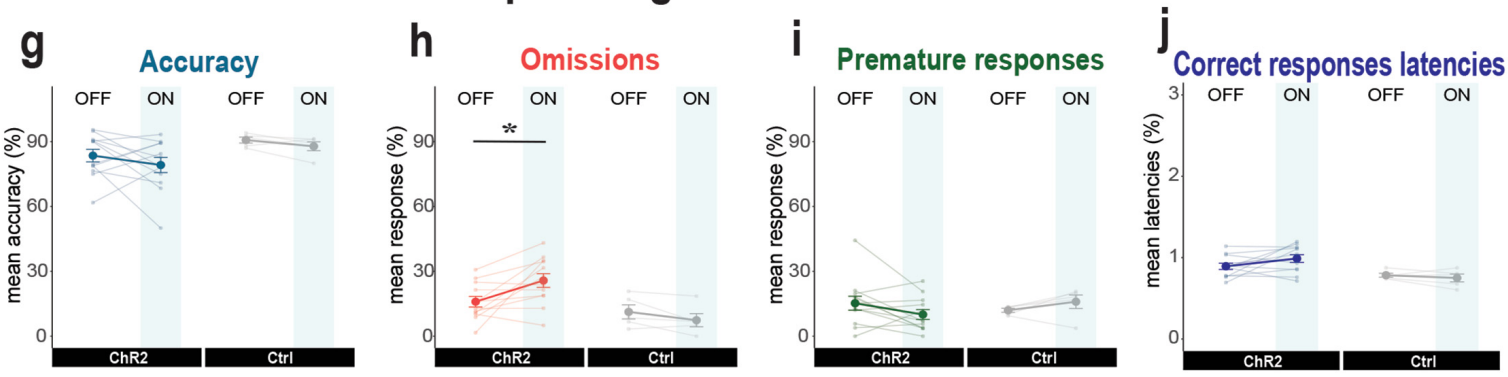


Figure 4

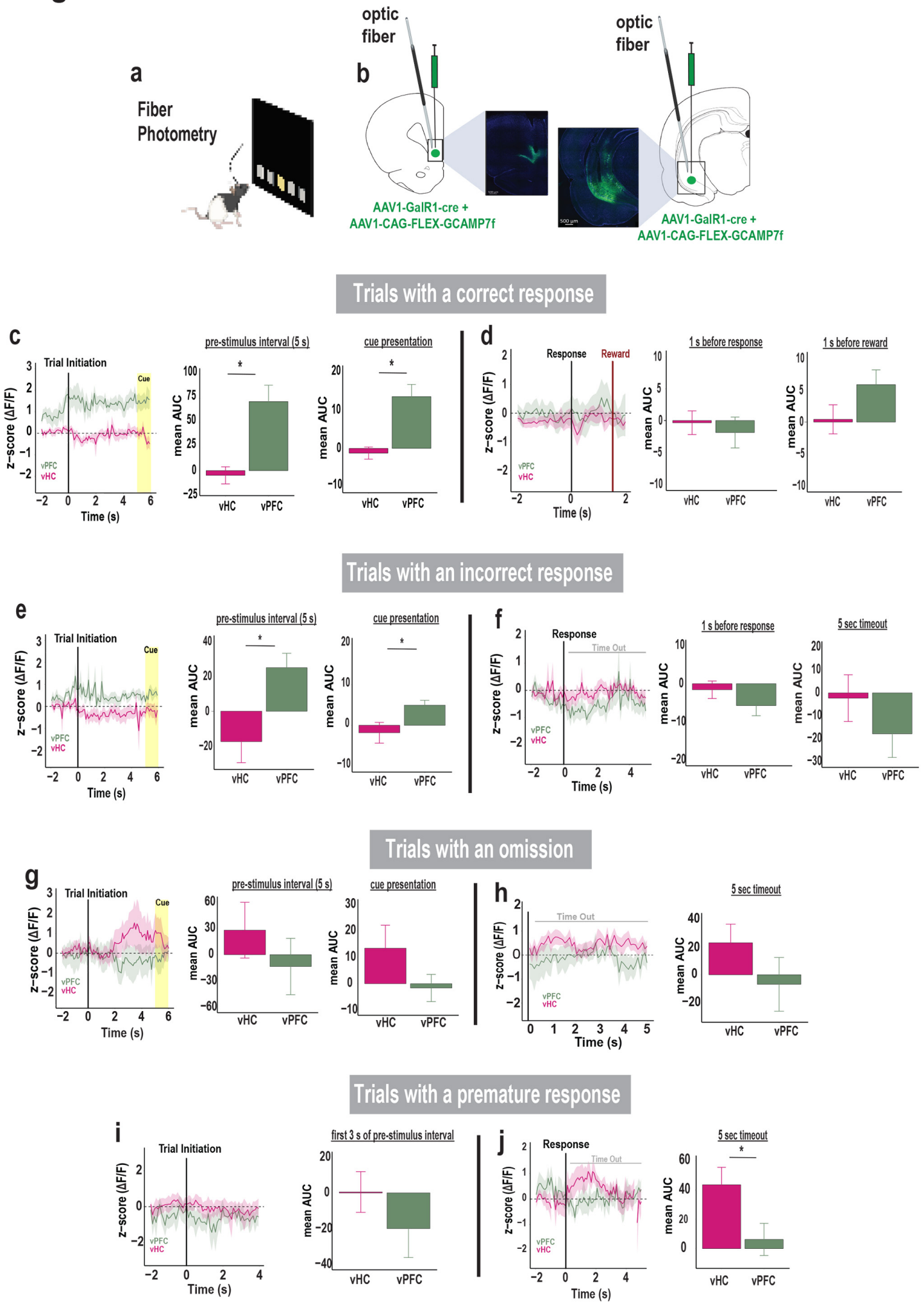
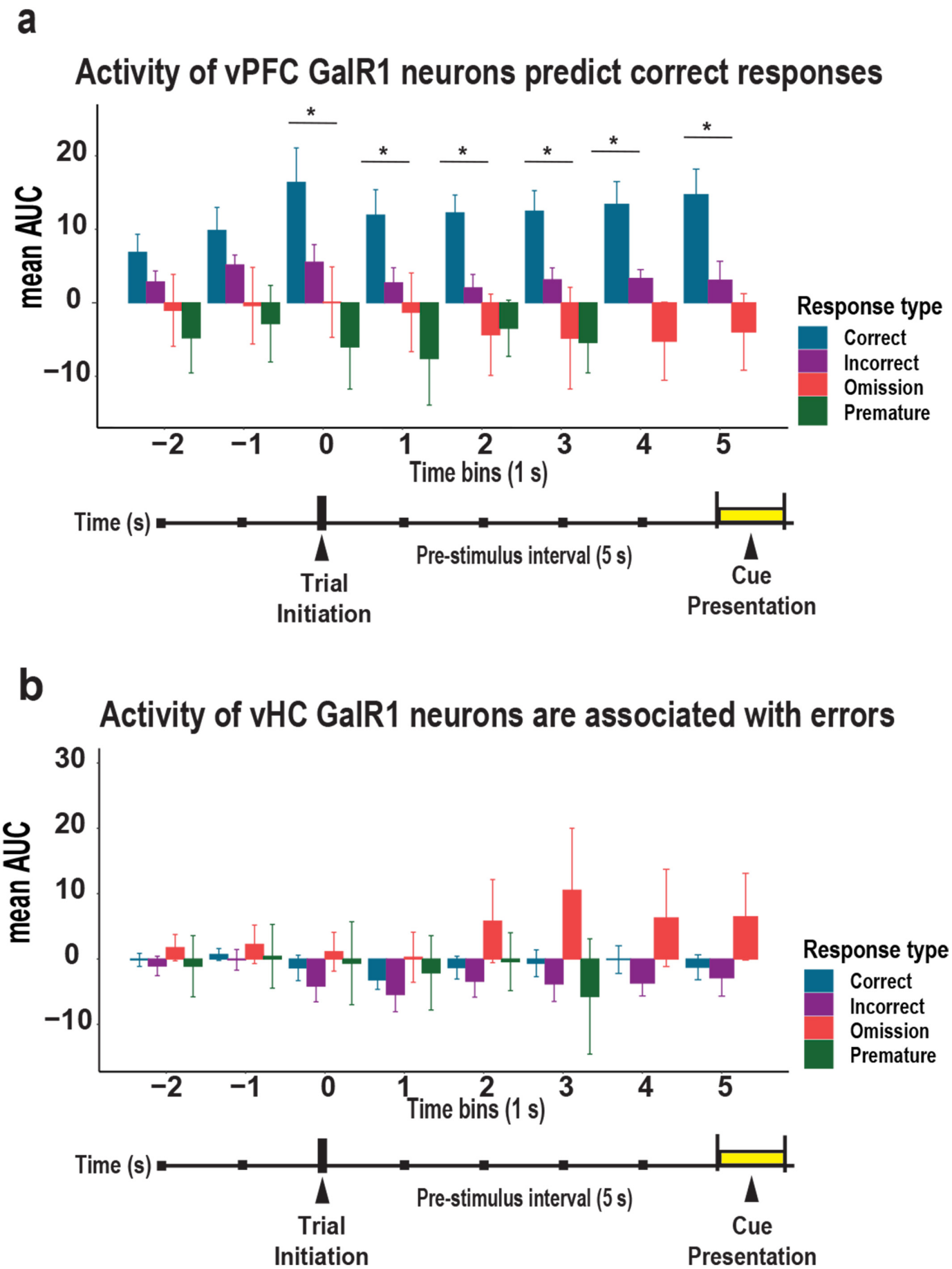

a
Fiber Photometry
b
optic fiber
AAV1-GalR1-cre + AAV1-CAG-FLEX-GCAMP7f
500 µm
optic fiber
AAV1-GalR1-cre + AAV1-CAG-FLEX-GCAMP7f
Trials with a correct response
c
Trial Initiation
Cue
z-score (ΔF/F)
vPFC
vHC
Time (s)
pre-stimulus interval (5 s)
cue presentation
mean AUC
vHC
vPFC
d
Response
Reward
1 s before response
1 s before reward
Trials with an incorrect response
e
f
Time Out
5 sec timeout
Trials with an omission
g
h
Trials with a premature response
i
first 3 s of pre-stimulus interval
j

Figure 5


a
Activity of vPFC GalR1 neurons predict correct responses
mean AUC
20
10
0
−10
*
−2
−1
0
1
2
3
4
5
Time bins (1 s)
Response type
Correct
Incorrect
Omission
Premature
Time (s)
Trial Initiation
Pre-stimulus interval (5 s)
Cue Presentation
b
Activity of vHC GalR1 neurons are associated with errors
mean AUC
30
20
10
0
−10
−2
−1
0
1
2
3
4
5
Time bins (1 s)
Response type
Correct
Incorrect
Omission
Premature
Time (s)
Trial Initiation
Pre-stimulus interval (5 s)
Cue Presentation