Phthalate exposure influences mating behavior and sperm morphology in an aquatic

ecotoxicology model system

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Abstract

Phthalates are a group of chemicals used to make plastics more durable, found in

applications from cosmetics, lubricating oils, and flooring to soap, shampoo, and

hairspray (CDC, 2021). Phthalates are also now known to be endocrine disruptors with

connections to adverse reproductive outcomes in animals, including humans. Here, we

evaluate the potential effects of a widely used phthalate ester, dimethyl phthalate

(DMP), on male reproduction in a freshwater snail. DMP is found in industrial

applications like solid rocket propellant as well as consumer products such as insect

repellents and plastics. While there is some evidence that DMP negatively affects

reproduction, especially in females, we still know very little about potential DMP effects

on males. We addressed this important knowledge gap by testing the effects of DMP on

Potamopyrgus antipodarum, a prosobranch snail native to New Zealand. These snails

are very sensitive to water conditions and environmental chemicals, including

endocrine-disrupting compounds, and are thus rising in prominence as water-quality

sentinels and ecotoxicology models. We exposed experimental groups of male *P.*

antipodarum to one of three different concentrations of DMP and characterized mating

behavior and sperm morphology as a function of DMP exposure. As DMP exposure

levels increased, we found that mating frequency decreased and that sperm

morphology was increasingly altered relative to control males. Altogether, study

outcomes suggest DMP exposure in male animals could have negative effects on

reproduction, with particular relevance in aquatic and marine environments that are

especially likely to harbor leached endocrine-disrupting chemicals.

Introduction

Plastic waste is a major global issue, harming everything from marine organisms like

turtles and seabirds to terrestrial organisms such as elephants and cattle. While plastics

are capable of deteriorating, this process takes much longer than for organic matter.

This extended process of decomposition leads to the formation of microplastics that can

make their way into our rivers, streams, and waterways, ultimately endangering humans

as well as aquatic and marine ecosystems. For example, we now know that

microplastics can be found in all stages of human life, from prenatal development

(Ragusa et al. 2021) to late adulthood.

In addition to posing physical harm, many microplastics also harbor endocrine-

disrupting chemicals (EDCs) that are added to commercial plastics as plasticizers

(D'Angelo & Meccariello 2021). There is emerging evidence that EDCs can damage

human reproductive health as they accumulate in the body (Cuenca 2020). EDCs leach

when they dissociate from the rest of the polymer as a consequence of environmental

stressors such as temperature and pH changes (D'Angelo & Meccariello 2021).

Consumer plastics are commonly exposed to UV radiation, humidity, and abrasion,

which can exacerbate EDC leaching in marine environments (Chen et al. 2019).

Once leached, EDCs can enter human systems orally, topically, and via

inhalation, and can then affect the endocrine system by, for example, mimicking natural

hormones or blocking hormone access to intended receptors and signaling mechanisms

(Diamanti-Kandarakis et al. 2009). In humans, EDCs have been linked to abnormalities

in sex organs, certain cancers, metabolic issues, immune function, and decreased

sperm quality and overall fertility in both males and females (Pan et al. 2024).

Phthalates, a class of EDCs, are of particular concern as they are not chemically bound

to the plastic polymer, increasing the likelihood of leaching when faced with

environmental stress. Phthalates are also found in a variety of different commercial

products, with the implication that phthalates are more likely to bioaccumulate and at a

greater rate than other common commercially used EDCs (Heudorf et al. 2007). While

we still know relatively little about consequences of phthalates for humans, increased

phthalate exposure has been associated with decreased testosterone levels and

increased levels of estradiol in male humans, which could in turn reduce male fertility

(Zhu et al. 2022).

Dimethyl phthalate (DMP) is a phthalate ester (PAE) found in various consumer

plastics. Most notably, DMP is a main component of plastic #1, which is primarily

polyethylene terephthalate (PET). DMP, despite its similarities to other more toxic

PAEs, has not been found to be toxic during development (Field et al. 1993, NTP 1989).

We do know from animals experiencing experimental oral exposure to DMP that this

exposure can translate into relatively minor decreases in kidney function (U.S. EPA

1987, U.S. DHHS 1993). What remains largely uncharacterized is whether

environmental DMP influences reproduction. The few studies of which we are aware

have produced mixed results. For example, while Gray et al. (2000) found that perinatal

exposure to DMP did not affect testis weight, areola size, or frequency of reproductive

malformations in mice, Mei et al. (2019) found that prolonged exposure to DMP for

female mice decreased the secretion of follicle-stimulating hormones and increased the

levels of the estradiol and luteinizing hormones that are critical regulators of the

menstrual cycle in mammals. There is an especially obvious knowledge gap regarding

whether and how DMP alters male reproductive traits, and especially after the postnatal

period.

Using a freshwater snail ecotoxicology model to test DMP effects on sperm

Potamopyrgus antipodarum is a New Zealand freshwater snail used as an ecotoxicology

model and aquatic sentinel in labs and sites across the USA, Europe, and Australia (e.g., Geiß

et al. 2017, Subba et al. 2021). In particular, *P. antipodarum* has demonstrated

sensitivity to environmentally relevant concentrations of EDCs (Duft et al. 2003, 2007,

Jobling et al. 2003, Stange & Oehlmann 2012, Lecomte et al. 2013). Most of the studies

demonstrating sensitivity to EDCs and other toxicants in *P. antipodarum* emphasize

their effects on female reproductive output or fecundity. By contrast, we know little about

the potential for EDCs (including DMP) to affect reproductive function in male *P.*

antipodarum.

A previous study in mice found evidence that motility and activity in sperm

experiencing relatively short-term exposure to phthalates was reduced, a consequence

of decreased sperm capacity to generate ATP when exposed to phthalates. This short-

term phthalate exposure also resulted in abnormal capacitation, the penultimate step in

maturation of mammalian spermatozoa (Amjad et al. 2021). A study of marine mussels

exposed to dibutyl phthalate (DBP), di(2-ethylhexyl) phthalate (DEHP), and DMP

reported increased expression of glutathione (GSH) and other antioxidant enzymes that

protect cells against reactive oxygen species, relative to unexposed individuals. GSH

acted as the primary antioxidant in the mussels exposed to DMP. This influx of GSH

ultimately disturbed the metabolomic balance in these mussels, providing a mechanistic

basis for a connection between DMP exposure and sperm function (Gu et al. 2021).

These previous studies set the stage to investigate whether and how EDCs affect male

P. antipodarum, which can in turn provide insights into how these common

environmental pollutants affect male function across taxa.

In accordance with Dalsenter et al. (2006), who found increases in intromission

latency and number of intromissions for ejaculation for male mice with exposure of 500

mg/kg per day of another phthalate, we hypothesize that DMP will depress male *P.*

antipodarum mating behavior. Using a rat model, Parks et al. (2000) and Drake et al.

(2009) saw decreased fetal testosterone synthesis and disruption of testosterone

production in male reproductive development when the rats were exposed to DEHP and

DBP, respectively, leading us to hypothesize that phthalate exposure will alter sperm

production in *P. antipodarum* via its consequences for testosterone signaling. We

evaluated these hypotheses by comparing the mating behavior and sperm morphology

of male *P. antipodarum* that we experimentally exposed to one of three different

concentrations of DMP, predicting that mating frequency and duration will decrease and

sperm morphology will become increasingly deviant relative to controls as DMP

concentration increases.

Methods

Snail selection

Potamopyrgus antipodarum males used in this study had been cultured under

laboratory conditions for several years at the University of Iowa following descent from a

diverse set of sexual snails collected from multiple New Zealand lake populations.

These snail cultures are housed in 20-liter tanks filled with carbon-filtered tap water in a

16º C room with a 16:8-hr light:dark cycle and a relative humidity of 64%. Snails were

haphazardly selected from these tanks. Males were then distinguished from females by

visualizing each snail under a NIKONSMZ800 stereo microscope with a magnification

ranging from 10x to 63x and then by turning each individual on its back and waiting for

the snail to right itself, which reveals the penis on the right side of the male body. We

also measured the length of each snail at this time, choosing only snails that were =\>

3.0 from apex to aperture, typically used as the lower size threshold for adulthood in this

species (e.g., Larkin et al. 2016).

Experimental Design

Each of the 32 males that we used for this experiment was placed individually into a 16

oz. glass Mason jar filled with 300 mL of carbon-filtered tap water. We applied one of

three DMP treatments to each of 24 snails (8 snails per treatment), with the remaining 8

snails serving as controls (no DMP). The DMP-exposed snails were housed in water

containing 10^{-10} M DMP ("low" treatment), 10^{-8} M DMP ("intermediate" treatment), or 10^{-6}

M DMP ("high" treatment). The snails in the control group did not receive any

experimental DMP exposure. The snails were exposed to these DMP concentrations for

a five-week period prior to mating trials and dissection for sperm characterization. We

used this timeline because spermatogenesis generally takes 2 – 5 weeks under optimal

conditions in small gastropods (de Freitas Tallarico et al. 2004, Rodrigues et al. 2021).

Following standard *P. antipodarum* culture conditions (e.g., Stork et al. 2022), each jar

of snails was supplied 260 µl of a solution containing 50 ml carbon-filtered tap water,

0.096 g of freshwater fish fry food, and 0.01 g of chalk 3x/week.

Mating Trials

Following the procedures for mating trials used in a previous study of *P. antipodarum*

mating behavior (Stork et al. 2022), an individual trial consisted of one male and two

female *P. antipodarum* placed into a 100-mm petri dish. Male snails were marked with

nail polish on their shells to readily differentiate them from females. The shallow

structure and relatively small size of the petri dish has been found to be an effective

means of ensuring that snails come in contact during the two hours of the trial along

with facilitating clear video analysis of behavior (Stork et al. 2022). We used a two-hour

time period for the trial following Neiman & Lively (2005), who showed that *P.*

antipodarum copulation is almost always concluded within minutes to an hour. At the

start of the two-hour period, the two females were placed equidistant from the male.

Next, all three snails were allowed to freely move around the dish for two hours. We

filmed the petri dish from above using a 1080p Logitech Webcam and the time-lapse

software VideoVelocity (v3.7.2104; CandyLabs 2022). Following Nelson & Neiman

(2011) and Stork et al. (2022), we defined mating attempts as a physical interaction

involving one snail mounting another for more than 15 seconds (Nelson & Neiman

2011, Stork et al. 2022). We used these recordings to quantify the frequency of mating

attempts per male and the duration of each attempt.

Dissections & Microscopic Visualization

We first dissected each male by using fine-tipped forceps to break the shell at its widest

point, enabling careful extraction of the whole body and leaving the sperm duct intact.

We then identified and removed the sperm duct, wet mounted the sperm duct on a

microscope slide, and sealed the slide with acrylic paint to retain moisture. We

visualized sperm under a Leica DMi8 automated microscope using brightfield

technology. Following a similar study of *P. antipodarum* sperm by Jalinsky et al. (2020),

we took images of ~10 sperm per snail at 1000x and used these images and ImageJ

software (Schneider et al. 2012) to measure head length, width, circumference, and

sperm tail length (Figure 1).

Figure 1. Sperm structure and microscopic imaging and measurement in *P.*

antipodarum. Black bar scaled to 29 μm. Yellow segmented line represents the

measuring tool used for measuring sperm parameters. Top left panel depicts isolated

sperm at 10000x with red circle surrounding sperm selected for measurement. Top

right, bottom left, and bottom right panel depict how sperm head circumference, width,

and length, respectively, were measured in ImageJ.

Statistical Analyses

We used Shapiro-Wilks tests to assess normality for each dataset (mating frequency,

mating duration, sperm head length, sperm head width, sperm head circumference,

sperm head length, sperm tail length), and found that each of these datasets

represented significant departures from normality ($p < 1.01e^{-05}$). Accordingly, we used

nonparametric approaches for all subsequent analyses: Kruskal-Wallis tests for the

comparisons of mating attempt frequency, mating attempt duration, and sperm head

length, width, and circumference, and tail length across the four DMP treatment groups.

We also compared each of the possible pairwise sets from the Kruskal-Wallis test using

the post-hoc Dunn test. All data analyses were performed with R (R Core Team, 2024)

via janitor (v.2.2.0; Firke 2023), dplyr (v1.1.4; Wickham et al. 2023), and FSA (v0.9.5;

Ogle et al. 2023). Data were visualized with ggplot2 (Wickham 2016) and ggstatsplot

(Patil 2021).

Results

The mating behavioral trials revealed that mating frequency of males differed across the

treatment groups ($X^2 = 15.4$, df = 3, $p = 0.001$) with mating frequency in the control

group significantly higher than both the intermediate and high DMP treatment groups

(Figure 2, Supplementary Figure 1, Supplementary Table 1). We did not observe any

significant differences between treatment groups for mating duration (X^2 = 5.62, df = 3,

p = 0.132; Supplementary Table 1).

There were no significant differences across the four DMP treatment groups for

sperm head circumference and head length (Table 1, Figure 3, Supplementary Figure 2,

Supplementary Table 2). The treatment groups did differ in sperm head width and tail

length (Supplementary Table 2). Post-hoc pairwise analysis revealed that this outcome

was driven by the significantly shorter sperm head width in the high DMP group vs.

each of the other 3 DMP treatments. There were no significant differences between the

intermediate and low, intermediate and control, and control and low DMP treatment

groups for sperm head width. Snails from the control treatment had significantly longer

tails than snails from the intermediate and high DMP treatments. Additionally, snails

from the low DMP treatment had longer tails than snails exposed to high DMP. These

results are summarized in Supplementary Table 3.

Table 1. Mean and standard deviation (SD) of sperm metrics from 32 *P. antipodarum*

males across the four treatment groups in micrometers (µm).

DMP Treatment	Head Circumference (SD)	Head Length (SD)	Head Width (SD)	Tail Length (SD)
Control	9.91 (0.79)	4.16 (0.40)	1.11 (0.14)	114.31 (16.45)
Low	9.98 (0.59)	4.26 (0.45)	1.07 (0.09)	113.46 (16.49)
Intermediate	9.88 (0.66)	4.25 (0.32)	1.08 (0.12)	106.75 (14.30)
High	10 (0.83)	4.20 (0.35)	1.06 (0.19)	107.01 (17.19)

Figure 2. Increasing DMP concentration is associated with decreased mating

frequency and duration in male *P. antipodarum*. Comparisons mean (A) frequency

of male mating across the 4 DMP treatment groups and (B) time males spent mating.

Error bars represent standard error. Statistically significant different pairwise

comparisons are denoted by different versus shared letters.

Figure 3. Sperm morphology as a function of DMP concentration. Comparisons of

mean sperm (A) head circumference, (B) head length, (C) head width, and (D) tail

length across varying levels of DMP. Error bars represent standard error. Statistically

significant different pairwise comparisons are denoted by different versus shared letters.

Discussion

We used an increasingly prominent ecotoxicology model system, *Potamopyrgus*

antipodarum, to evaluate potential consequences for male reproduction of exposure to a

common household EDC, dimethyl phthalate (DMP). Our study is novel in comparing

mating behavior and sperm morphology across various levels of DMP concentration in a

freshwater mollusk, which allows us to observe the short-term effects that exposure to

EDCs may impose on male reproduction.

Our experiment revealed that increased exposure to DMP resulted in a

significant decrease in male mating frequency and a trend towards a reduction in mating

duration. Increased DMP concentration was also associated with significantly shorter

sperm tails and head widths. While the relationship between sperm morphology and

fertility is a contentious topic, there is evidence from multiple animal systems that do

demonstrate that sperm morphology is associated with reproductive hormone levels

(Zhao et al. 2020) and the extent of fragmentation of sperm nuclear DNA (Gu et al.

2021), which are in turn linked to fertility (Benchaib et al. 2003). To give a specific

example relevant to our own work, Oppliger et al. (2003) and Radwan (1996) showed

that sperm tail length is a critical predictor of motility and ability to successfully fertilize.

Alterations to the sperm head shape or size may be indicative of DNA damage, as

revealed by studies indicating that expression of GSH, an antioxidant that protects cells

against damage from reactive oxygen species, is increased when exposed to phthalates

(Gu et al. 2021).

Our results are consistent with a scenario where DMP exposure could indeed

influence male fertility in freshwater organisms like *P. antipodarum* and perhaps in

other, more distantly related animals like humans. Fruitful next steps could include

assessing the expression and release of antioxidants in *P. antipodarum* when exposed

to phthalates or other EDCs, which may reveal DNA damage and provide insight

regarding the ability of damaged sperm ability to fertilize. Direct observations of motility

as a function of changes in *P. antipodarum* sperm tail length would provide further

insight into potential links between phthalate exposure and fertility.

These effects of DMP exposure were evident when DMP treatments were

confined to *adult* snails is notable in light of the fact that phthalate exposure early in

development can cause testicular dysgenesis syndrome (TDS) in humans (Hlisníková et

al. 2020). With this point in mind, it would be valuable to experimentally expose juvenile

P. antipodarum males to phthalates to determine whether gonadal structure and

function are affected and whether and how these consequences of exposure affect

sperm morphology and function.

It is possible that at least some of the mating and sperm-related consequences of

DMP exposure for adult snails might be a function of stress. Indeed, chronic stress is

known to lower testosterone levels and damage reproductive organs in humans (Xiong

et al. 2022). In humans, lifestyle factors such as obesity, prolonged exposure to mobile

phone radiation, and occupational stress have been shown to significantly damage

sperm DNA and result in immature sperm (Radwan et al. 2016). Mental and physical

stress are also known to enhance the presence of free radicals, ultimately leading to

oxidative stress that can in turn result in reduced sperm count and sperm motility in

humans (Nowicka-Bauer & Nixon 2020). Thermal stress also decreases mating

frequency and inhibits flight in *Drosophila mojavensis,* but not courtship behaviors such

as wing waving (Patton & Krebs 2011). At least some of these phenomena linked to

thermal stress could be linked to the activation of heat shock protein (HSP; and

specifically HSP70). Indeed, high levels of HSP70 have been reported in infertile men

relative to fertile men (Erata et al. 2008, Kumar & Singh 2022), with Erata et al. (2008)

concluding that HSP70 expression increased in these infertile men as a defense

mechanism against sperm apoptosis.

Together, our study suggests that DMP exposure for adult male animals can

manifest in altered mating behavior and sperm morphology. These results are

significant in being documented in an ecotoxicology and aquatic sentinel model system,

suggesting that the endocrine-disrupting chemicals that leach from plastics into aquatic

and marine systems may be disrupting male fertility in the organisms that inhabit these

environments.

Implications for Conservation

We provide new insight into the potential threat that plastic pollution poses for the

reproductive health of aquatic organisms. We revealed that exposure to a common

EDC, generally assumed to be relatively harmless for humans, alters mating behavior

and sperm morphology in freshwater snails. Because *P. antipodarum* serves as an

aquatic sentinel and ecotoxicology model system, our results suggest that plastic

pollution, through the leaching of EDCs into water bodies, could disrupt reproduction of

various aquatic organisms. Over extended periods of time, the leaching of these

chemicals into our water bodies may lead to population decline for organisms living in

exposed habitats, with potential cascading effects on biodiversity and ecosystem

services.

These findings highlight the need for conservation efforts to prioritize plastic

pollution control and monitoring for EDCs to protect the reproductive health of aquatic

organisms and to ensure long term sustainability of the ecosystems they inhabit.

Ethics and Integrity Statements

Data Availability Statement: We will make all datasets publicly available upon

publication in Dryad.

Ethics and permit approval statement: We have the appropriate permit from the Iowa

Department of Natural Resources to house and culture *P. antipodarum* in the Neiman

lab.

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