1   **Temperature and Pressure Shaped the Evolution of Antifreeze Proteins in Polar and Deep**
2   **Sea Zoarcoid Fishes**
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Abstract

Antifreeze proteins (AFPs) have enabled teleost fishes to repeatedly colonize polar seas.

Four AFP types have convergently evolved in several fish lineages. AFPs inhibit ice crystal growth

and lower cellular freezing point. In lineages with AFPs, species inhabiting colder environments

may possess more AFP copies. Elucidating how differences in AFP copy number evolve is

challenging due to the genes' tandem array structure and consequently poor resolution of these

repetitive regions. Here we explore the evolution of type III AFPs (AFP III) in the globally

distributed suborder Zoarcoidei, leveraging six new long-read genome assemblies. Zoarcoidei has

fewer genomic resources relative to other polar fish clades while it is one of the few groups of

fishes adapted to both the Arctic and Southern Oceans. Combining these new assemblies with

additional long-read genomes available for Zoarcoidei, we conducted a comprehensive

phylogenetic test of AFP III evolution and modeled the effects of thermal habitat and depth on

AFP III gene family evolution. We confirm a single origin of AFP III via neofunctionalization of

the enzyme sialic acid synthase B and show that AFP copies expanded under low temperature, but

also decreased with depth, which reduces freezing point via pressure. Associations between the

environment and AFP III copy number were driven by duplications of paralogs that were

translocated out of the ancestral locus at which Zoarcoidei AFP arose. Our results reveal novel

environmental effects on AFP evolution and demonstrate the value of high-quality genomic

resources for studying how structural genomic variation shapes convergent adaptation.

1. Introduction

The evolution of gene family copy number variation can contribute to environmental adaptation (Schmidt et al. 2010) and be stimulated by environmental change (Hull et al. 2017). Studying the causes and consequences of copy number evolution is difficult when duplications produce large tandem repeats or affect synteny, but the advent of long-read sequencing has helped resolve repetitive variants clouding the origins of copy number evolution (Marx 2023). The evolution of antifreeze proteins (AFPs) and antifreeze glycoproteins (AFGPs) are remarkable examples of convergent environmental adaptation in ectotherms living at low temperatures (DeVries 1971; Baskaran et al. 2021). Increased AFP 'dosage' driven by gene duplication can facilitate adaptation to chronic cold (Desjardins et al. 2012). In theory, temperate species derived from cold-adapted ancestors should undergo relaxed purifying selection on AFP coding regions, relaxed selection on AFP copy number, and experience subsequent AFP copy number contractions (Hew et al. 1988; Hayes et al. 1991). Studying contractions and expansions of AFPs across thermally-distributed clades is critical for understanding thermal adaptation by ectotherms but has been hampered by poor resolution of their copy number due to low quality reference genome assemblies.

Antifreeze proteins were first discovered in Antarctic notothenioid fishes, which possess antifreeze glycoproteins (AFGPs). AFGPs were found to lower both the freezing and boiling point of cellular fluid (DeVries and Wohlschlag 1969; DeVries 1971; Raymond and DeVries 1977). Since this discovery, AFPs that induce thermal hysteresis, an increase in degrees of difference between a fluid's freezing and boiling points (Barrett 2001), have been discovered in microbes (Gilbert et al. 2005), plants (Gupta and Deswal 2014), invertebrates (Duman 2015), and vertebrates (DeVries and Cheng 2005). AFPs generally function by binding to the leading edges of newly-

crystalized nuclei of ice, attenuating their expansion and thereby reducing cellular freezing point

(Jia et al. 1996). In fishes, AFPs have independently evolved at least four times (Cheng and Devries

2002; Davies 2022). These convergently evolved AFPs make up four known categories termed

AFGPs, and AFP types I, II, and III. The evolution of AFPs by ectotherms is a classic example of

environmental adaptation, but we still know little about the environmental processes that shaped

their evolution and the diversity and variation they exhibit across species and their genomes.

It has been repeatedly suggested that, among lineages possessing AFPs, species or

populations inhabiting colder environments should exhibit more AFP copies (Hew et al. 1988;

Hayes et al. 1991; Kelley et al. 2010). Comparative studies of AFP copy number in population-

and species-pairs of fishes from nominally warmer versus colder habitats have confirmed this

expectation (Hayes et al. 1991; Desjardins et al. 2012). Prior research demonstrates that AFP genes

are lost in warmer, non-freezing habitats. Studying AFGPs in Arctic cod and Antarctic

notothenioids, Baalsrud et al. (2018) observed losses of AFGPs in secondarily sub-polar species

of both groups. In addition to evolving neutrally above this threshold, AFPs may be subject to

negative selection suggestive of costs to AFP activity. Indeed, the expression of AFPs and AFGPs

can disrupt organismal function through accumulation of superheated ice crystals (Celik et al.

2010; Cziko et al. 2014) and potentially impose costs of AFP activity in individuals experiencing

fluctuating freezing risk, such as many Arctic fishes (Scholander et al. 1953). Long-read

sequencing of notothenioids also revealed pseudogenization and contraction of AFGP copies in

individual species inhabiting milder latitudes suggestive of relaxed purifying selection on AFP

sequences or negative selection on antifreeze function (Bista et al. 2023; Rivera-Colón et al. 2023).

However, an analysis of AFP copy number across continuous thermal clines has not been reported.

Whether and how AFP copy number and gene dosage scales with freezing risk remains

unknown. One environmental variable that has been proposed to affect freezing risk and AFP

evolution is pressure. Because increased pressure in seawater affects the stability of ice crystals

and decreases phase change temperatures, thus lowering freezing point (Fujino et al. 1974), it has

been proposed that polar fishes inhabiting different depths and exhibiting divergence in AFP copy

number have experienced differential purifying selection or differential directional selection on

AFP copy number and activity (Desjardins et al. 2012). Deep ocean fishes also regulate hydrostatic

pressure by increasing cellular and serum osmolite content (Yancey et al. 2002; Yancey et al.

2014), which may further reduce internal organismal freezing temperatures.

AFPs of bony fishes have evolved by neofunctionalization, and AFGPs by both

neofunctionalization and *de novo* gene birth. These events were driven in part by repetitive

genomic regions that provided substrate for *de novo* gene birth of an AFGP in cods (Baalsrud et

al. 2018; Zhuang et al. 2019) and AFP I flounder (Rives et al. 2024). AFP III evolved in Zoarcoidei

fishes via translocation of ancestral genes that underwent neofunctionalization and expanded into

tandem arrays of AFPs (Deng et al. 2010; Hotaling et al. 2023). AFP I also evolved via

neofunctionalization in sculpins (Graham and Davies 2024). In addition to influencing AFP

origins, structural variation such as translocations, inversions, and repetitive element content have

the potential to influence expansions and contractions of AFPs across environmental gradients

(Lauer and Gresham 2019). For example, cytogenetically-balanced and unbalanced translocations

can both facilitate variation in gene copy number (Watson et al. 2007; Harewood et al. 2010). The

accurate detection of translocations and measurement of gene copy number in repetitive regions

require long-read sequencing approaches, which enable identification of structural variants such

as translocations and better resolve complex genomic regions relative to short read sequencing

(Marx 2023). Long-read sequencing has shown success in assembling repetitive AFGP arrays in

notothenioid fishes (Bista et al. 2023; Rivera-Colón et al. 2023)

The bony fish suborder Zoarcoidei is an ideal system in which to study the joint effects of

environmental and structural genomic variation on AFP evolution. Zoarcoidei likely originated in

north temperate seas, followed by stepwise invasions of (i) the Arctic Ocean, (ii) south temperate

seas and (iii) the Southern Ocean (Anderson 1994; Radchenko 2016; Hobbs et al. 2020; Hotaling

et al. 2021) where minimum temperatures can fall below -2.0 ℃ (Fetterer 2017; Auger et al. 2021).

Multiple deep sea environments exhibit temperatures below 0 ℃ and as low as -2.0 ℃ (Ponte

2013). These extremely low temperatures can cause freezing in tissues and serum (Storey and

Storey 1988) and the formation of sea ice at ocean surfaces and as brinicles within the water

column (Worster and Rees Jones 2015). Fish tissues typically possess higher freezing points than

seawater as they are hypoosmotic to their environment (Hargens 1972), posing freezing risk even

in the absence of sea ice. Sanger sequencing of BAC clones in the zoarcoid *Lycodichthys dearborni*

suggested that type III AFP arose within Zoarcoidei via neofunctionalization of the enzyme sialic

acid synthase B (*sasb*) (Deng et al. 2010). *sasb* likely duplicated before undergoing deletions of

all exons except 1 and 6, which are homologous to AFP III exons 1 and 2 (Deng et al. 2010).

Zoarcoidei repeatedly invaded and adapted to the poles (Hotaling et al. 2021) while Zoarcoidei

AFP III likely evolved once (Deng et al. 2010; Hobbs et al. 2020).

AFP III copies have likely expanded and contracted multiple times in Zoarcoidei (Hotaling

et al. 2023), but the extent of gene family evolution of AFP III in zoarcoids, of AFPs across fishes,

and how genomic and environmental variation interactively affected AFP evolution remain

unclear. Zoarcoidei diversified across depth faster than any group of fishes (Friedman and Muñoz

2023), potentiating a complex landscape of selection for AFP function and dosage across the

suborder's distributions through latitude and depth. Previously identified tandem arrays of

zoarcoid AFP III exhibited conserved synteny with several flanking genes (Hotaling et al. 2023),

enabling identification of translocations out of this region.

Here we report high-quality, long-read genome assemblies from six species of Zoarcoidei

from diverse thermal habitats and depths (Fig. 1; Table 1). We integrated annotations of AFP III

genes with oceanographic measures of thermal minima, pressure, and depth within species'

habitats to test the hypothesis that temperature and pressure are associated with AFP III copy

number evolution. We predict that AFP copies contracted in deep ocean, higher-temperature

species through relaxed purifying selection or negative directional selection on AFP function and

copy number. Using analyses of synteny, we traced AFP III copies to ancestral and translocated

arrays and determined whether translocation drove AFP expansions under high freezing risk.

These new reference genome assemblies provide a valuable resource for studying how abiotic

environments and structural variation jointly influence convergent adaptation.

2. Results and Discussion

2.1. Genome assembly - Reference genomes were assembled with Pacific Biosciences

(PacBio) long-read sequence data for six zoarcoid fishes spanning a range of temperatures and

depths: the ronquil *Bathymaster signatus*, the wolffishes *Anarhichas lupus* and *Anarhichas minor*,

and the eelpouts *Lycenchelys platyrhina*, *Lycodes diapterus*, and *Ophthalmolycus amberensis*.

These species inhabit polar seas with latitudes as northerly as 73.0 °N and southerly as -65.8 °S.

Assembled genomes included temperate species with absolute latitudes as low as 37.8 ° (Fig. 1).

Species' maximum depths spanned 24 - 2,229 meters. These mark the first long-read genome

assemblies for the families Bathymasteridae (ronquils) and Anarhichadidae (wolffishes), and

increase the number of long-read genome assemblies among Zoarcidae (eelpouts) by two and a

half times.

Genome sizes, sequencing coverage, N50 values, BUSCO complete percentages, and

percent repetitive elements are reported in Table 1 for the six new assemblies, five existing

zoarcoid long-read assemblies (Rhie et al. 2021; Programme et al. 2022; Wright et al. 2023; Bista

et al. 2024), and one scorpaeniform outgroup (Nath et al. 2021). Genome sizes of all Zoarcoidei

examined equaled a mean of 647.80 ± 74.3 Mb. Genome assemblies reported here averaged 11.7x

greater coverage compared to publicly available, long-read zoarcoid assemblies. Contig N50

values were an average of 6.5 Mb longer than available assemblies (Table 1). As expected,

repetitive element content linearly and positively correlated with genome size across all species

(Kidwell 2002) as shown in Fig. S1 ($r^2 = 0.93$).

Zoarcoidei families are among the few fish groups inhabiting both the Arctic and Southern

Oceans (Møller et al. 2005), positioning this suborder to be a valuable system in which to study

convergent evolution and adaptation to extreme cold. However, a paucity of genomic resources

for zoarcoid fishes stands as an obstacle to their value in research. The improved reference genome

quality of these new assemblies among other members of Zoarcoidei and the extension of thermal

habitats and depths represented among them significantly strengthen Zoarcoidei as a tractable,

valuable system in which to study convergent adaptation.

2.2. Variation in type III antifreeze protein copy number - The number of AFP III copies

in the 11 zoarcoids studied ranged from 0 to 42 putatively functional copies. Between 3 and 16

pseudogenized AFP III copies were detected across all zoarcoids (Fig. 1A). The copy number of

Table 1 | *Genome assembly metrics.* Genomes include those reported by the authors in this study (rows 1-5) and Hotaling et al. 2023 (row 6). Assemblies reported by the authors are shaded in gray. Publicly available zoarcoid genomes leveraged in this study are not highlighted. A non-zoarcoid outgroup is designated by an asterisk. BUSCO analysis was performed on universal single-copy orthologs of Actinopterygii.

Species	Genome size (Mb)	Sequence coverage	Contig N50 (Mb)	Scaffold N50 (Mb)	% Repetitive elements	BUSCO % complete (*n* orthologs)	NCBI accession/ BioProject
Anarhichas lupus	627.7	80x	23.8	*na*	27.0%	98.5% (3587)	PRJNA980960
Anarhichas minor	643.9	74x	13.2	*na*	28.0%	98.5% (3587)	PRJNA982125
Bathymaster signatus	714.3	42x	3.1	*na*	32.2%	98.3% (3587)	PRJNA982427
Lycodes diapterus	711.9	43x	6.0	*na*	34.1%	98.5% (3585)	PRJNA982746
Lycenchelys platyrhina	718.5	34x	2.8	*na*	31.0%	98.3% (3579)	PRJNA985917
Ophthalmolycus amberensis	712.6	95x	5.8	*na*	30.6%	98.5% (3586)	PRJNA701078
Cebidichthys violaceus	575.7	31x	1.0	16.4	25.4%	93.3% (3396)	GCA_023349555.1
*Gasterosteus aculeatus**	471.9	75x	0.5	20.5	18.6%	97.0% (3534)	GCA_016920845.1
Leptoclinus maculatus	699.9	65x	2.4	23.5	32.8%	98.0% (3569)	GCA_032191485.1
Lycodes pacificus	646.4	68x	4.7	27.7	29.0%	98.1% (3573)	GCA_028022725.1
Melanostigma gelatinosum	662.0	39x	1.9	28.0	29.3%	98.1% (3569)	GCA_949748355.1
Pholis gunnellus	588.7	45x	3.5	25.4	23.3%	98.6% (3591)	GCA_910591455.2

putatively-functional and pseudogenized AFP genes correlated such that pseudogenes increased

in species with more AFP III copies (Fig. S2; $r^2 = 0.31$). This association was explained by

phylogeny and became insignificant after controlling for species relatedness (95% posterior

interval = -1.54 – 0.67). The presence of pseudogenized AFP III copies in all zoarcoids indicates

that the gene's origin predates the most-recent common ancestor of zoarcoids included in this study

(Fig. 1A). From here forward, all results correspond to the study of putatively functional AFP

copies and do not include pseudogenes.

We evaluated whether AFP III may have arisen in a common ancestor predating Zoarcoidei

by screening for the gene in long-read assemblies of 12 species of the Order Scorpaeniformes,

representing 7 families, and found no evidence of complete or partial AFP III paralogs (Table S2).

Past phylogenetic analyses of zoarcoid AFP III also detected a partial, pseudogenized AFP III

sequence in Bathymasteridae, the earliest-branching family of Zoarcoidei (Hobbs et al. 2020).

However, Hobbs et al. (2020) and others have not ruled out an emergence of AFP III prior to the

branching of Zoarcoidei. Our results therefore strengthen evidence that Zoarcoidei AFP III likely

arose in a common ancestor Bathymasteridae and Zoarcoidei as opposed to a common ancestor

within Scorpaeniformes predating Zoarcoidei. Long-read sequencing of Zoarcoidei offers the

potential to trace the origin of AFP III within Zoarcoidei by mapping structural variants

contributing to the gene's evolution. These events include duplication-translocation of *sasb* and

deletions of *sasb* exons 2 – 5.

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Figure 1 | *Species' phylogeny, AFP copy numbers, and distributions.* (A) A species tree of Zoarcoidei
included in this study including outgroup *Gasterosteus aculeatus* is plotted adjacent to AFP copy number
variation colored by pseudogenization or location of homologs in the ancestral syntenic region or
translocation(s). The red diamond signifies the origin of type III AFP. Nodes are labeled with bootstrapped
support values. Species highlighted in blue denote genome assemblies reported by the authors in this study
and Hotaling et al. (2023). The scale bar denotes substitutions per amino acid. *Ophthalmolycus amberensis*
and *Lycodes diapterus* branch tip lengths equal 0.000069 and 0.000074 substitutions per amino acid,
respectively. (B) Species' distributions are plotted as the point of maximum likelihood of occurrence from
AquaMaps standardized distributions. The size of white circles around occurrences are scaled according to
species abundance. Mean sea surface temperature (SST, °C) recorded in August, 2020, is visualized
according to color. Illustrations by SNB.
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2.3. Environmental effects on type III antifreeze protein copy number - Habitat temperature

and pressure jointly influence freezing risk (Fujino et al. 1974) and were additively correlated with

AFP copy number such that AFP copy number expanded in shallow, cold-water species (Fig. 2A).

A phylogenetically corrected Bayesian model revealed that AFP copy number significantly

increased with decreases in (i) thermal minima of species' habitats and (ii) mean pressure of

species' depth ranges (Fig. 2). Thermal minima equaled the lowest recorded temperature at a

species' coordinate of maximum likelihood of occurrence within their depth range. Pressure was

derived from habitat depth and latitude. Thermal minima of species habitats spanned -3.00 to 7.21

°C (Fig. S3). AFP copy number significantly increased in species occupying lower thermal minima

(Fig. 2B). This effect was significant after controlling for phylogeny (scaled effect = -1.55 ± 0.97).

It is possible that a thermal gradient in AFP III copy number evolved in Zoarcoidei via mutation

that preceded and enabled invasion of novel temperatures or changes in copy number that followed

the invasion of new temperatures inducing selective pressure.

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Figure 2 | *Associations between temperature, pressure, and type III antifreeze protein copy number.* (A)
AFP expansions in shallow, cold-water species are visualized as a logistic regression of AFP copy number
fitted to the product of mean habitat pressure and habitat thermal minimum, with the lowest thermal
minimum scaled to 0. Panels on the right show relationships between AFP III copy number and (B) thermal
minima of species habitats and (C) mean pressure of species' habitats are plotted. Fitted lines represent fit
from a phylogenetically controlled model of type III antifreeze protein copy number.
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AFP III gene copy number declined in species inhabiting greater pressure (Fig. 2C).

Pressure had a stronger effect on AFP copy number than temperature and was non-linear,

following a negative exponential curve. Pressure, which varies as a function of depth and latitude,

affected AFP copy number after controlling for habitat depth as well as phylogeny (scaled linear

effect = -4.72 ± 2.58). This suggests that pressure, rather than depth, predicted AFP copy number.

Habitat temperatures correlated with depth and pressure (Fig. S4 – S5). To account for this

autocorrelation, predicted effects of thermal minima and pressure on AFP copy number were

modeled in a statistically independent manner. The finding that AFP III copy number is reduced

in deep sea species experiencing high pressure is pertinent to the biogeography of Zoarcoidei,

which includes the eelpout family Zoarcidae that has exhibited faster rates of evolution across

depth than any group of fishes (Friedman and Muñoz 2023). Associations between depth and AFP

copy number were previously proposed by Desjardins et al. 2012, who observed that the wolffishes

A. lupus and *A. minor* exhibit higher AFP copy number in the shallow water species *A. lupus* rather

than *A. minor* the species occupying a colder thermal environment (Desjardins et al. 2012). Our

results mark the first quantitative evidence that selection on antifreeze proteins across thermal

environments was shaped by pressure.

A direct explanation of the association between pressure and AFP copy number is that

increased energy imposed by pressure lowers the freezing point of intracellular fluids (Fujino et

al. 1974). An indirect explanation also exists that is not mutually exclusive to this direct hypothesis.

Deep sea fishes generally regulate the hydrostatic pressure of cells through osmoregulation, such

that increased pressure is countered by greater osmolarity in cells and serum, where AFPs are

secreted (Griffith 1981; Gillett et al. 1997; Yancey et al. 2002; Yancey et al. 2014). Because

increased osmolality also reduces the freezing point of fluids (Potter et al. 1978; Bodnar 1993), it

pressure and osmolality may have additively affected freezing point.

It is possible that reductions in AFP gene copy number in species of warmer minimum

habitat temperature can be attributed to relaxed purifying selection on AFP sequence and/or

negative selection on AFP copy number or AFP function. Many polar fishes inhabiting the Arctic

Ocean and almost all polar fishes included in our study, excluding *O. amberensis,* experience

temporal and spatial variation in freezing risk across their range and throughout the year (Reist et

al. 2006; Verde et al. 2006). It is likely that fishes which have expressed AFPs to attenuate ice

growth under freezing conditions incur a cost to AFP function during episodic non-freezing

conditions. Fishes that experience more frequent temperatures above freezing point may

experience stronger negative selection on AFP expression level or function, which would select

for reduced copy number and/or AFP activity. Additionally, some non-polar populations share

connectivity with polar populations experiencing freezing risk (Hickerson and Cunningham 2006)

and populations that do not experience freezing risk may possess allele frequencies that have been

shaped by selection on AFP sequence or function in connected, polar populations.

2.4. Gene family evolution and convergence of antifreeze protein copy number - Restricted

maximum likelihood ancestral state reconstruction of AFP III copy number demonstrated that at

least 8 expansions and 12 contractions of AFP III occurred across the studied phylogeny (Fig. S6).

To evaluate whether AFP contractions and expansions convergently evolved during independent

invasions of polar or deep seas, the phylogenetically-controlled model of environmental

associations with AFP III copy number was expanded as a structural equation model predicting

both habitat thermal minima and mean pressure as a function of phylogenetic relatedness. This

enabled regression of AFP III copy number against phylogenetically-independent invasions of

colder or higher-pressure environments, which is necessary for quantifying convergence.

Independent invasions of lower thermal minima were significantly associated with increased AFP

III copy number (95% posterior interval = -1.15 – -0.03 AFP copies per °C). Phylogenetically-

independent changes in habitat thermal minima and AFP copy number are plotted in Figures S7-

8. Despite having a stronger association with pressure before testing for convergence, independent

invasions of higher pressure habitats were not associated with decreased AFP III copy number.

While the association between AFP III copy number and habitat pressure among extant

branches was stronger than with thermal minimum, there is little evidence that this association

resulted from convergent evolution between independent invasions of the deep ocean. By contrast,

weaker associations between temperature and AFP III are more likely to have evolved as a result

of convergent gains and losses of AFP III during invasions of Zoarcoidei into colder and warmer

environments, respectively. The pole-to-pole distribution of Zoarcoidei is marked by a likely

origin in the Arctic followed by invasion of the deep ocean, trans-polar migration across deep-

ocean regions, and subsequent reinvasion of shallow waters in the Southern Ocean (Hobbs et al.

2020; Hotaling et al. 2023). The ancestral state reconstruction of thermal minima shows repeated

invasions of sub-zero oceans across Zoarcoidei (Fig. S7). As shown in Figure S9, the

reconstruction of habitat pressure shows one primary lineage of deep ocean fishes belonging to the

eelpout family Zoarcidae, which is the deepest dwelling family of zoarcoid fishes. Thus, the strong

effect of pressure on AFP III copies across Zoarcoidei was more likely attributed to a singular

invasion of the deep sea by Zoarcidae rather than multiple convergent events. Decreases in habitat

pressure in Zoarcidae that corresponded with increased AFP III copy number represent instances

of parallel rather than convergent evolution. Phylogenetically-independent changes in habitat

pressure and AFP copy number are plotted in Figures S9-10.

Using maximum likelihood gene tree inference, we confirmed the origin of AFP III

evolution in Zoarcoidei. Our tree of all *sasa*, *sasb*, and AFP III homologs across study species is

consistent with a single origin of AFP III from *sasb* (Deng et al. 2010) as shown in Figure 3C.

However, the topology of the gene tree does not provide evidence of whether the common ancestor

of Zoarcoidei arose at the syntenically-conserved array or translocated locus. For the gene tree to

illuminate the positional origin of Zoarcoidei AFP, a monophyletic cluster of syntenic or

translocated AFPs would have to be the first lineage to diverge from all other AFPs. The gene tree

does not show a monophyletic cluster of translocated AFPs as the first diverging group of

Zoarcoidei AFP. Therefore, maximum likelihood ancestral state reconstructions were used to

predict binary characters of whether species possessed AFP III in the syntenic locus or in one of

the six translocated loci. These reconstructions demonstrated that ancestral Zoarcoidei AFP III

most likely arose in the syntenic region rather than one of the translocated positions (relative

likelihood = 727.89 – 1440.39).

2.5. Role of translocation in AFP III expansions - The ancestral AFP III array falls within

a conserved, syntenic region flanked by genes including synuclein gamma (*sncgb*) and LIM-

binding domain protein (*ldb3b*) as previously shown by Deng et al. (2010). We identified at least

six independent translocations of AFP III out of the ancestral syntenic region (Fig. 3B). All

zoarcoids with AFP III retained this microsynteny, such that at least some AFP III copies were

flanked upstream by *sncgb* and downstream by *ldb3b* (Fig. 3A). Whole-contig alignment revealed

conservation of synteny across larger genomic scales. The ancestral AFP III tandem repeat region,

flanked by *sncgb* and *ldb3b*, fell in the same homologous region of chromosome 21 across

Zoarcoidei (Fig. 3B). In *L. maculatus*, however, the ancestral AFP III array was positioned in a ~3

Mb chromosomal inversion (Fig. 3B). Translocated AFPs clustered into three gene clades (Fig.

3C). In *P. gunnellus*, one AFP copy on chromosome 21 fell outside of the flanking genes *sncgb*

and *ldb3b* (Fig. 3A).

AFP III copies outside of the ancestral array mapped to two translocated regions in each of

three species, totalling six translocated arrays across all study species. The wolffishes *A. lupus* and

A. minor each contained two translocations on contigs homologous to *P. gunnellus* chromosome

2. However, the two translocated arrays in *A. lupus* and the two in *A. minor*

Figure 3 | *Synteny and origin of type III antifreeze proteins.* (A) Microsynteny at the ancestral, syntenic Zoarcoidei AFP array is visualized by annotation tracks colored by whether they represent AFPs or genes flanking the array (*ldb3b* and *sncgb*). The vertical dashed line in *O. amberensis* represents a junction between contig fragments. Tracks represent the full lengths of gene exons and introns. (B) Synteny of AFP III tandem arrays. Full lengths of scaffolds and contigs are shown. Colored bars represent regions conserved in at least two species. Regions sharing the same color are homologous. Gray lines connect these homologous regions. Narrow horizontal bars depict regions lacking homology. Positions of ancestral, syntenic AFP arrays are marked by blue circles and translocated arrays by orange circles. Curved lines connect single AFP arrays split between contigs. A species distance tree is inset into the left hand side of the syntenic array panel. (C) A rooted gene tree of *sasa*, *sasb* and AFP III paralogs. Branches are colored by syntenic AFPs, translocated AFPs, *sasa*, or *sasb* genes. The scale bar represents nucleotide substitutions per base pair. Bootstrap support values are included to the right of all nodes that branch into clades of syntenic and translocated AFPs. The taxonomic groups that translocated AFPs belong to are annotated below translocated AFP clades. The node most closely related to *sasb* branches into gene clades of translocated and syntenic AFPs, prohibiting resolution of whether ancestral Zoarcoidei AFP evolved in the syntenically conserved region. Tests of whether Zoaroidei AFP arose in the syntenic region are described under '*Gene family evolution and convergence of antifreeze protein copy number*'.

possessed differing synteny, falling into four distinctly different regions of chromosome 2 (Fig. 3B). Thus, these arrays likely represent four discrete translocation-duplication events. The two translocated arrays in *L. maculatus* were distributed across two contigs mapping to different regions of *P. gunnellus* chromosome 12 (Fig. 3B). Translocated copies contributed significantly to AFP III expansions across Zoarcoidei, making up 13 of 18 (72%) AFP copies in *A. lupus*, 2 of 5 (40%) copies in *A. minor*, and 38 of 42 (90%) copies in *L. maculatus* (Fig. 1A). Considering the short lengths of contigs in which translocated AFP III were located *L. maculatus* (Fig. 3B), there is potential for misassembly to have inflated the total number of translocations, the AFP copy number within translocated arrays, and the total AFP copy number in this species. Conversely, translocated arrays that border the ends of these contigs may also cause underreporting of total AFP copy number at these locations (Fig. 3B).

A multivariate phylogenetic model predicting syntenic and translocated AFP III copy number as a function of thermal minima and pressure revealed that environmental gradients in AFP copy number were driven by expansions of translocated homologs. After controlling for phylogeny, habitat thermal minima was associated with syntenic AFP copies at a scaled effect strength of -0.62 ± 0.72 and translocated AFP copies at -1.36 ± 0.70 (Fig. 4A). Mean habitat pressure yielded an effect on syntenic copies of -0.88 ± 0.54 and an effect on translocated copies of -9.84 ± 3.08 (Fig. 4B).

Figure 4 | *Effects of thermal minimum and pressure on copy number of syntenicically-conserved and translocated type III antifreeze protein genes*. Densities represent the posterior probabilities for fixed effects (β) of (A) thermal minimum and (B) mean pressure on antifreeze protein copy in ancestral, syntenically-conserved versus translocated sites.

Molecular repair mechanisms causing variation in copy number such as break-induced

replication can directly facilitate translocation of gene copies to regions sharing microhomology

with break sites (Hastings, Lupski, et al. 2009; Hastings, Ira, et al. 2009; Kramara et al. 2018). It

is possible that expansions of AFP III via translocation are simply a consequence of selection for

high copy number. AFP III translocations were not more likely among species with a large number

of AFPs, however. Rather, copy number in translocated arrays correlated with habitat temperature

and pressure. This is suggestive of directional selection acting more strongly on AFP copy number

outside of the syntenic, ancestral AFP III locus. Differences in directional selection on copies may

arise as a consequence of subfunctionalization stemming from transcriptional changes that occur

following translocation into new regulatory landscapes (Harewood et al. 2010; Wang et al. 2012).

Alternatively, copy number at the ancestral AFP array may be under stronger stabilizing selection

and evolutionary constraint relative to translocated arrays.

Bayesian Ornstein-Uhlenbeck phylogenetic mixed models were used to evaluate copy

number of syntenic and translocated AFPs across the Zoarcoidei tree. We observed 7.03x greater

stabilizing selection (α) on syntenic copy number relative to translocations. Differences in

selection were significant as evidenced by a Bayes factor test of α posterior probabilities yielding

BF = 41.77 (Fig. 5A). Purifying and diversifying selection on coding sequences were modeled

across the AFP III gene tree using branch-tip estimates of d_N/d_S. Syntenic and translocated AFPs

were both under strong purifying selection, demonstrating that translocated AFPs remain

evolutionarily conserved. Translocated AFPs exhibited lower d_N/d_S ratios than syntenic copies, but

this difference was insignificant. Genes with longer branch lengths had significantly higher d_N/d_S

ratios on average as shown in Figure 5B (scaled posterior interval = 0.17 – 0.89). It is possible that

translocated AFPs exhibited stronger purifying selection in our test, not because they have truly

been under stronger purifying selection, but because of an analytical artifact that stems from having

duplicated more recently.

Figure 5 | *Selection on type III antifreeze protein (AFP) copy number and coding sequence.* (A) Posterior probabilities of stabilizing selection (α) on copy number of syntenic and translocated AFP III homologs across the Zoarcoidei tree derived from an Ornstein-Uhlenbeck phylogenetic mixed model. (B) Branch-tip d_N/d_S ratios specific to syntenic and translocated AFP III homologs modeled in HyPhy RELAX. Each point represents a single gene. Branch length is depicted by point size. d_N/d_S ratios of branch tips that lacked substitutions were undetermined and visualized below 0 as solid black circles.

Studies observing environmental effects on gene copy number are abundant (Kondrashov 2012; Lauer and Gresham 2019; Mérot et al. 2020), but the role of translocation in mediating environmental gradients of copy number is less understood. In populations of salmon, a chromosomal translocation shows strong genotype-environment association with temperature

(Watson et al. 2022) while in soybean, a reciprocal chromosomal translocation may have

facilitated climatic adaptation (Wang et al. 2021). Furthermore, translocation duplications of

AFGP have been observed in notothenioid icefishes (Rivera-Colón et al. 2023), indicating

potential for translocation to affect environmental gradients of antifreeze gene copy number in

other systems. Our results expand on these studies by demonstrating that not only translocation,

but its association with copy number, can contribute to molecular evolution across environments.

While our approach evaluated the hypothesis that AFPs expanded in shallow, polar

zoarcoid species at a macroevolutionary scale, it is likely that environmental gradients in AFP

copy number were attributed to intraspecific evolution as well. Population genomic studies of AFP

III structural variation within Zoarcoidei species are necessary for further understanding

environmental gradients in AFP copy number and the potential role of translocation in shaping

these gradients. Intraspecific AFP copy number variation has been observed in populations of

winter flounder inhabiting different depths and extents of sea ice cover (Hayes et al. 1991).

Zoarcoids included in our study inhabit ranges that, in some cases, span both non-freezing and

freezing conditions. Neutral and adaptive variation shaping AFP III copy number across the

Zoarcoidei suborder can be more finely resolved by resequencing of conspecifics and populations

distributed across gradients of temperature, pressure, and freezing risk. Detection and modeling of

adaptive and neutral variation in AFP copy number is necessary for evaluating (i) additional

evidence of whether copy number-environment associations arose via drift and (ii) whether non-

neutral copy number variation is greater among translocated AFP III arrays.

3. Conclusion

Structural variation observable via long-read sequencing appears to have been critical for AFP III gene family evolution during the convergent adaptation of Zoarcoidei to both poles. Our findings highlight the role of multiple environmental stressors (temperature and pressure) in shaping the genetic architecture of adaptations like antifreeze function. Furthermore, structural variants such as translocations may act as gatekeepers of adaptation by constraining or facilitating molecular evolution under the selective pressures of those stressors. Future research should address whether translocation-associated gene family expansions are driven by mechanisms associated with transposable element architecture at translocation endpoints or break-induced replication. The reported, long-read Zoarcoidei reference genomes offer further potential to study the role of structural variation and chromosomal translocation in convergent environmental adaptation, as well as the complex evolution of antifreeze proteins.

4. Materials and Methods

4.1. DNA extraction, sequencing, and genome assembly - Lycenchelys platyrhina was collected from the Norwegian Sea, Ægir vent field, Norway (72.3286 °N, 1.5178 °E) on July 28th, 2021. The specimen for *Lycenchelys platyrhina* (originally described as *Lycodes platyrhinus* Jensen, 1902) received a putative identification during collection which required genomic identification and statistical consideration. *L. platyrhina* is a rarely observed species associated with only 11 occurrences in the Global Biodiversity Information Facility. The sequenced specimen was collected inside the range of *L. platyrhina* observations (67.1 - 73.8 °N, 10.3 °W - 8.2 °E). To this end, mitochondrial scaffolds of the *L. platyrhina* assembly were aligned to the NCBI nucleotide database using blastn (Altschul et al. 1990). No sequence of *Lycenchelys platyrhina*

was available in the database. The top 10 greatest percent similarity blast hits were with species of

the family Lycodinae to which *L. platyrhina* belongs; top 5 = *O. amberensis* (95.88%) *Lycenchelys*

kolthoffi (95.57%), *Lycenchelys raridens* (95.05%), *Lycenchelys muraena* (94.60%), and

Lycenchelys sarsii (94.60%). Skeletal muscle from a single individual was used for DNA isolation

using the Circulomics Nanobind, BIG DNA prep, Tissue protocol. Isolated genomic DNA was

quantified with a Fragment Analyzer (Agilent). Ampure-PB beads were used to purify and remove

short fragments from the sample prior to library prep according to manufacturer protocol. A second

size selection for >10 kb fragments was performed on the sample using a BluePippin system (Sage

Science Inc., Beverly, MA, USA) with a 10kb cutoff. A library was prepared without shearing

using SMRTbell® ExpressTemplate Prep Kit 3.0. Final library was size selected using BluePippin

with an 8 kb cutoff. The library was sequenced on one 8M SMRT cells on Sequel IIe instrument

using Sequel II Binding kit 2.2 and Sequencing Chemistry v2.0. Loading was performed by

adaptive loading, movie time: 30 hours, pre-extension 2 hours.

Sampling of *Anarhichas lupus* was performed in July, 2022, on a captive-reared individual

derived from eggs collected in Conception Bay, Newfoundland, Canada (47.697222 °N, -

52.921944 °W) via diving. Sampling of *Anarhichas minor* was performed in July, 2022, on a

captive-reared individual bred from broodstock collected in St-Lawrence Gulf, Banc Beaugé,

Canada (49.750000 °N, -60.166667 °W). Tissue was ground using dry ice. Cells were gently added

to pre-warmed 0.8% agarose and mixture was placed into the wells of a pre-chilled plug mold.

Following solidification, plugs were dislodged and treated with proteinase K and EDTA at 50°C.

HMW DNA was extracted by dissolving the plugs at 70°C to remove the agarose followed by a

phenol-chloroform extraction. Purification was performed on 15.0 μg of gDNA with AMPure PB

beads and a bead-to-template ratio of 1. The DNA libraries were prepared following the Pacific

Biosciences Preparing HiFi SMRTbell® Libraries using the SMRTbell Express Template Prep Kit

2.0 protocol. 10.0 μg of high molecular weight purified genomic DNA (final volume of 120 μl)

was sheared using the Diagenode Megaruptor 3 instrument (Diagenode Inc., Denville, NJ, USA)

and a two-cycle shear method at speed 33 followed by speed 34. The DNA Damage repair, End

repair and SMRT bell ligation steps were performed as described in the template preparation

protocol with the SMRTbell Template Prep Kit 2.0 reagents (Pacific Biosciences, Menlo

Park, CA, USA). The DNA libraries were size selected on a BluePippin system using a 10 kb

cutoff. The libraries underwent an AMPure PB bead cleanup following the SMRTlink v10

calculator procedure before being sequenced on a PacBio Sequel II instrument using adaptive

loading, Sequel II Sequencing Kit 2.0, SMRT Cell 8M and 30 hours movies with a pre-extension

time of 2h. Sequence data was processed using the SMRT Link Analysis

v. 10.1.0.119588 pipeline.

Bathymaster signatus was collected in Alaska, USA (52.1861 °N, -173.596 °W), by the

Alaska Trawl Survey on July 28th, 2012, via trawl net. DNA was extracted from gill filament via

the Circulomics Nanobind Tissue Kit. HiFi Library prep was done with SMRTbell prep kit 3.0

(version 102-166-600 Apr 2022). As part of the library prep, the genomic DNA was sheared to

25kb on a Megaruptor 2.0. The library was size selected on the Blue Pippin according to PacBio's

recommended settings (10kb-50kb collection). The sample was sequenced on one SMRT cell on

the Sequel II with v5 primer, v2.2 polymerase, 1 hr binding time, a 30 hr movie, 2 hr pre-extension,

and adaptive loading.

Lycodes diapterus was collected in Monterey Bay, California, USA (36.8009 °N, -

121.903867 °W), on October, 20th, 2021. High molecular weight DNA was extracted from

dissected muscle tissue using a Qiagen Genomic-tip DNA extraction kit. DNA was then sheared

to ~20 kbp using a Diagenode Megaruptor 3 and size selected for DNA for fragments greater than

10 kb using a BluePippin system. A PacBio HiFi library was prepared with the SMRTbell Express

Template Prep Kit 2.0. The library was then sequenced on a PacBio Sequel II instrument on an

8M SMRT cell in CCS mode with a 30-hour movie time. DNA extraction, library preparation, and

long-read sequencing of *Ophthalmolycus amberensis* are described in detail by Hotaling et al.

(2023).

Haplotype-phased genomes of all species except for *O. amberensis* were assembled from

long-read libraries using hifiasm v0.19.5-r592 (Cheng et al. 2021) set to default parameters.

Assemblies of primary contigs are reported here. Read processing and genome assembly of *O.*

amberensis was achieved using Canu (Koren et al. 2017) v1.8 and is described in detail in

Hotaling, et al. (2023). Assembly completeness was evaluated using BUSCO v5.4.7 (Simão et al.

2015) input with universal, single-copy Actinopterygii orthologs. Collection coordinates for the

specimens sequenced and reported in this study, and the *O. amberensis* specimen reported by

Hotaling et al. (2023), are visualized in Figure S11.

4.2. AFP III and sasa/b annotation - Homologs of AFP III were annotated in each genome

assembly included in the study using Exonerate v2.78.4 input with a query sequence of the

translated *Macrozoarces americanus* AFP III (J03924.1) set to the model parameter

'protein2genome' (Slater and Birney 2005). *sasa*, *sasb*, and genes flanking the 5' and 3' ends of

the ancestral syntenic region of Zoarcoidei AFP III (*sncgb* and *ldb3b*) were annotated using

Exonerate (Slater and Birney 2005) set to the same parameters input with protein sequences of *sas*

paralogs from *Lycodichthys dearborni* and *Gasterosteus aculeatus* protein sequences of *sncgb*

(XP_040031911.1) and *ldb3b* (XP_040031907.1) as shown in Figure 3A. Exonerate BED file

annotations of AFP III were filtered for whether hits contained start and stop codons and complete

sequences of exons 1 and 2. Translocated AFP III copies outside of the ancestral syntenic AFP III

region were manually reviewed for evidence of misassembly by aligning translocated AFP III

regions to (i) known, characterized AFP translocation in *A. lupus* (Desjardins et al. 2012) and (ii)

additional regions of translocation within single species if they possessed multiple translocation

events to identify artificial duplications. This ancestral region is defined as the locus of conserved

synteny at which AFP tandem arrays are flanked by the genes *sncgb* and *lbd3b*. Evidence of

artificial AFP III translocations driven by misassembly was not apparent. Pseudogenized copies

of AFP III were identified as regions mapping to AFP III query sequences that were complete but

lacked a start codon, lacked one of the two AFP III exons, exhibited truncations of the majority of

an exon, or conserved 5'/3' untranslated regions that lacked a proximal AFP coding sequence.

Publicly-available long-read genomes used in this study included *Cebidichthys violaceus*

(GCA_023349555.1), *Gasterosteus aculeatus* (GCA_016920845.1), *Leptoclinus maculatus*

(GCA_032191485.1), *Lycodes pacificus* (GCA_028022725.1), *Melanostigma gelatinosum*

(GCA_949748355.1), *Pholis gunnellus* (GCA_910591455.2).

4.3. Environmental data - Depth-informed temperatures and salinities were mined from the

Copernicus Global Ocean Reanalysis (GLORYS) database (Jean-Michel et al. 2021) within ±1°

latitude/longitude of species' coordinate of maximum likelihood of occurrence and the expected

depth range of each species spanning a 10 year period between June 1st 2011 - June 1st 2021.

Coordinates of maximum likelihood of occurrence were extracted from AquaMaps standardized

range predictions (Kaschner et al. 2013), which are probabilistic predictions of abundance and

occurrence derived from Global Biodiversity Information Facility occurrence data (Anon).

AquaMaps ranges were constrained within coordinates of known species occurrences. Species

minimum and maximum habitat depths were extracted from the FishBase (Froese 1996) depth

range variable (Froese 1996). For *Lycenchelys platyrhina*, which lacked sufficient FishBase data,

minimum and maximum observed depths were extracted from GBIF (Anon). Thermal minima

were calculated as the minimum temperature experienced by each species within their

representative latitude-longitude-depth range. Pressure was calculated as a function of sea water

depth and latitude using conversions defined by the UNESCO Joint Panel on Oceanographic

Tables and Standards (Fofonoff 1983) and expressed as a mean.

4.4. Species tree construction and phylogenetic modeling - A maximum likelihood species

tree was constructed from MUSCLE v5 (Edgar 2004) alignments of concatenated protein

sequences of 3,603 universal Actinopterygii single copy orthologs using IQ-Tree2 v1.6.12

parameterized with a WAG substitution model and fixed rate heterogeneity (Nguyen et al. 2015;

Minh et al. 2020). A Bayesian phylogenetically-corrected model was then fitted to predict AFP III

copy number variation as a function of species' habitat temperature and pressure. The model

assumed negative binomial variance for the AFP III count data and uninformative, uniform priors.

Phylogenetic relatedness was fitted as a random effect of the genetic distance matrix derived from

the species tree. The thermal minima and mean pressure of species' habitats were included as fixed

effects, with pressure fitted as a second order polynomial function. An iteration of this model was

fitted that predicted AFP III copy number as a function of both pressure and depth. Because

pressure and depth correlate, this model iteration was fitted to evaluate whether controlling for

depth removed or reduced the effect of pressure. Pressure exhibited a significant and equivalent

effect after controlling for depth, demonstrating that it is a stronger predictor of AFP copy number

than depth. Model selection performed using Bayesian marginal likelihoods (Berger and Pericchi

1996) revealed that pressure was a better predictor of AFP III copy number than depth.

A multivariate iteration of the phylogenetic model was also fitted to predict two separate

outcome variables for copy numbers of (i) AFP III within its ancestral, syntenic region and (ii)

AFP III genes translocated out of the syntenic region. This multivariate model did not permit

residual correlations. A third iteration of the phylogenetic model fit structural equations predicting

variation in AFP III copy number as a function of phylogenetically independent variation in habitat

thermal minimum and pressure. Phylogenetically independent variance in abiotic environments

were estimated by predicting environmental variables as a function of phylogeny, and AFP III

copy number as a function of environment and phylogeny. Thus, any effect of thermal minimum

or pressure on AFP III copy number is quantified after conditioning on phylogenetic variation in

abiotic environment.

Posterior sampling across all models was performed using four MCMC chains and 40,000

iterations including 10,000 warmups. All models were fitted using brms v2.20.4 (Bürkner 2017),

an R interpreter of the Stan Bayesian programming language (Carpenter et al. 2017). The

significance of fixed effects was assessed using a probability of direction test such that effects

described as 'significant' exhibited posterior probabilities with 95% confidence intervals above or

below 0 (Makowski, M.S. Ben-Shachar, et al. 2019). Because of potential misidentification of *L.*

platyrhina, we evaluated the effect of the identification on model predictions. The leverage (the

effect of a single observation on model predictions) that *L. platyrhina* imposed on parameter

estimation in phylogenetic models of AFP gene family evolution across environments was

quantified using a leave-one-out analysis. Removal of *L. platyrhina* from the univariate model

imposed a 6.45% reduction in the effect of thermal minima on AFP III copy number and 4.24%

reduction in the linear effect of pressure.

4.5. Analyses of AFP III gene family evolution and synteny - FASTA sequences of AFP III

exons 1 and 2 and *sasa/b* exons 1 and 6 were extracted from annotation bed files using bed2fasta

from bedtools v2.31.1 (Quinlan and Hall 2010). The two exons were concatenated per gene and

input to a codon-aware alignment using PRANK v.170427 (Löytynoja 2014; Löytynoja 2021). A

consensus gene tree was constructed from the alignment using IQ-TREE2 v1.6.12 set to fixed rate

heterogeneity, 1000 bootstraps and optimization of a codon-to-protein substitution model, which

selected a JTTDCMut+G4 model (Nguyen et al. 2015; Minh et al. 2020). Restricted maximum

likelihood ancestral state reconstructions of AFP III copy number, habitat thermal minimum, and

mean habitat pressure were performed using the 'ace' function of the R package ape v5.7-1

(Paradis et al. 2004; Paradis and Schliep 2019) in order to model contractions and expansions of

AFP III across the studied phylogeny. A density tree visualizing all 1000 bootstrap gene trees and

the consensus tree is plotted in Fig. S12. Ancestral state reconstructions of AFP III positions at the

ancestral Zoarcoidei node were performed using ace(). Individual, discrete reconstructions were

performed on 7 binary characters associated with whether species possessed putatively functional

copies of AFP III at the syntenically-conserved locus or the six translocation loci. The relative

likelihoods of the Zoarcoidei common ancestor possessing AFP III at these regions was measured

as a ratio of maximum likelihoods for AFP III presence at the syntenically-conserved region

divided by maximum likelihood of presence at each of the six translocation loci.

A multiple alignment of scaffolds or contigs containing translocated AFP III copies was

performed using progressiveMAUVE v2.4.0 (Darling et al. 2010), which identified conservation

and synteny of genomic regions conserved in at least two species of Zoarcoidei that exhibited

translocated AFPs. This multiple alignment and the positions of translocated AFP arrays relative

to conserved, syntenic regions are plotted in Fig. 3B in order to visualize conserved synteny of the

ancestral AFP III locus, as well as the locations and number of AFP III translocations.

4.6. Models of selection on AFP III copy number and sequence - Stabilizing selection on

AFP III copy number was modeled using Bayesian Ornstein-Uhlenbeck phylogenetic mixed

models implemented in POUMM v.2.1.7 set to MCMC equals TRUE, 4 MCMC chains, and

80,000 samples (Mitov and Stadler 2018; Mitov and Stadler 2019). Significant differences

between posterior probabilities of stabilizing selection on translocated and syntenic copy number

were evaluated using a Bayes factor test implemented in bayestestR v0.13.2 (Makowski, M. Ben-

Shachar, et al. 2019).

Purifying and diversifying selection were modeled across the AFP III gene tree by

estimating branch-tip specific d_N/d_S ratios in hyphy v2.5.62 RELAX (K. et al. 2005; Wertheim et

al. 2015; Kosakovsky Pond et al. 2020). In this paper, we report branch-tip d_N/d_S ratios as measures

of purifying vs. diversifying selection, but we did not use RELAX to perform a statistical test of

relaxed selection among a group of genes. As input to RELAX, we used a codon-aware alignment

of all *sasa*, *sasb*, and AFP homologs produced using PRANK v.170427 (Löytynoja 2014;

Löytynoja 2021) input with parameters -F and -codon and a guide tree produced using an IQ-Tree2

phylogenetic model fit to the PRANK alignment, parameterized with nucleotide substitution

model optimization which selected a K2P+G4 model, fixed rate heterogeneity, and 1000

bootstraps (Edgar 2004; Löytynoja 2014; Löytynoja 2021). A nucleotide substitution model was

selected because it produced more conservative d_N/d_S estimates (i.e., less extreme absolute

estimates of selection)(Katoh et al. 2002), which was used to produce the Figure 3C gene tree.

Syntenic and translocated AFP homologs were defined as test and reference features with *sas*

genes incorporated as background. Reported d_N/d_S ratios were output by the RELAX general

descriptive model (Wertheim et al. 2015). Variation in AFP d_N/d_S was modeled as a function of

whether copies fell within syntenic or translocated loci and gene branch tip lengths using gamma

distributed Bayesian model specified as described under 'Species tree construction and

phylogenetic modeling'.

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Author Contributions

Designed research (JLK, SNB)

Performed research (FGH, LSFL, IB, JLK, MHE, NRLF, NS, PBF, SH, SNB, TA, TD)

Analyzed data (JLK, NS, SNB)

Wrote the paper (JLK, NS, SNB)

Edited the paper (FGH, LSFL, IB, JLK, MHE, NRLF, NS, PBF, SH, SNB, TA, TD)

Data Availability

Genome assemblies, raw sequencing reads, and associated files are available on NCBI under the

following Bioprojects: PRJNA980960 (*Anarhichas lupus*), PRJNA982125 (*Anarhichas minor*),

PRJNA982427 (*Bathymaster signatus*), PRJNA985917 (*Lycenchelys platyrhina*), PRJNA982746

(*Lycodes diapterus*) and PRJNA701078 (*Ophalmolycus amberensis*). All code used for

bioinformatic processing and statistical analysis are available under the GitHub repository

https://github.com/snbogan/Long_AFP [available to reviewers upon request or acceptance and

publication] in addition to intermediate data files and specimen metadata. An archived copy of this

repository is available on Zenodo under the DOI [to be generated upon acceptance].

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A

Species	AFP III copies

O. amberensis

A. minor

B. signatus

Ophthalmolycus amberensis — 13, 8

Lycodes diapterus — 5

Lycodes pacificus — 15

Lycenchelys platyrhina — 1, 7

Melanostigma gelatinosum — 5

Anarhichas lupus — 13, 5, 10

Anarhichas minor — 2, 3, 9

Pholis gunnellus — 15, 16

Leptoclinus maculatus — 38, 4, 11

Cebidichthys violaceus — 3

Bathymaster signatus — 4

Gasterosteus aculeatus

AFP III origin

0.01

AFP type: Pseudogene, Syntenic, Translocated

B