High levels of mitotic gene conversion are needed to effectively purge deleterious mutations in asexual organisms

Dominik Kopčak[1,2,3], Matthew Hartfield[1,*]

1) Institute of Ecology and Evolution, The University of Edinburgh, Edinburgh EH9 3FL, UK.
2) Institute of Science and Technology Austria, 3400 Klosterneuburg, Austria.
3) Okinawa Institute of Science and Technology Graduate University, Onna-son, Okinawa, Japan.
* Corresponding author: m.hartfield@ed.ac.uk

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ORCID links:
Dominik Kopčak: https://orcid.org/0009-0005-3758-4390
Matthew Hartfield: https://orcid.org/0000-0001-6941-0647

Abstract

Self-fertilisation and asexual reproduction are both hypothesised to cause long-term extinction due to inefficient selection against deleterious mutations. Self-fertilisation can counter these effects through creating homozygous genotypes and purging deleterious mutations. Although complete asexuality lacks meiotic gene exchange, mitotic gene conversion creates homozygous regions that could limit deleterious mutation accumulation in an analogous manner. We compare mutation accumulation in self-fertilising and facultative sexual populations subject to mitotic gene conversion, and quantify the efficacy of purging in the latter. We first show analytically that purging is most effective with high levels of asexuality and gene conversion, and when deleterious mutations are recessive. We further show using simulations that, when mitotic gene conversion becomes sufficiently high in obligate asexuals, there is a reduction in the mutation count and a jump in homozygosity, reflecting purging. These effects are stronger than predicted from single-locus models, demonstrating how multi-locus purging can further reduce mutation prevalence in asexuals. However, this mechanism is not necessarily as efficient at purging under high self-fertilisation, and elevated rates of mitotic gene conversion seem to be needed for widespread purging compared to empirical estimates.

Significance Statement

Asexuality has been argued to be an evolutionary 'dead end', due to a lack of gene exchange causing inefficient selection acting against deleterious mutations. It has been proposed that asexuals can counter these negative effects through mitotic gene conversion, which exposes mutations to selection within individual lineages. Here, we theoretically investigate how effective this mechanism is. We compare results to those obtained when individuals reproduce by self-fertilisation, which has similar effects on exposing deleterious variants. While mitotic gene conversion can be effective in removing recessive deleterious mutations, high rates are required and it is not always as effective as selfing.

68 Introduction

69 Mutations are the ultimate source of genetic novelty in all organisms and are 70 essential for biological evolution (Loewe and Hill 2010). However, the generation of variation 71 is a double-edged sword; although favourable mutations may emerge that are crucial for 72 long-term survival, it may also result in a burden of deleterious mutations, which are much 73 more common than favourable ones (Eyre-Walker and Keightley 2007).

74 The reproductive system, which determines how offspring are generated in a 75 population, has a profound impact on the prevalence of deleterious variation. Reproduction 76 can either be with or without sex. Sex usually involves two mechanisms (Hartfield and 77 Keightley 2012): recombination (the exchange of genetic information between homologous 78 DNA sequences, most commonly as meiotic crossing-over), and fusion of gametes 79 (specialised cells with halved ploidy and DNA content). In the simplest case when asexuality 80 is equivalent to clonal reproduction, the genotype of an offspring is identical to that of a 81 parent, except for *de novo* mutations. Clonal reproduction encompasses diverse 82 mechanisms, including fission or budding in unicellular eukaryotes, or budding (e.g., hydra, 83 jellyfishes), fragmentation (e.g., corals) and whole-body fission (e.g., flatworms, starfish) in 84 animals, or many forms of vegetative propagation in plants (De Meeûs et al. 2007). 85 Nevertheless, most eukaryotic organisms undergo some variant of sexual reproduction, at 86 least occasionally (Speijer et al. 2015). Facultatively sexual organisms reproduce via a 87 mixture of sexual and asexual reproduction, so that genetic evolution is affected by the 88 frequency of both recombination and clonal reproduction (Hartfield 2016).

89 Gametes from which offspring originate may also be derived from the same 90 individual, leading to self-fertilisation or selfing. The opposite of selfing is panmictic 91 outcrossing, with anyone in the population having an equal chance of being a mate of 92 anyone else, and self-mating being rare or even prohibited (if, e.g., self-incompatibility 93 mechanisms are present; Nettancourt 2001). Cloning and selfing are two types of 94 uniparental reproduction, which forms a basis of their similarity (e.g., the lack of mate

finding) and potential ecological advantages (e.g., reproductive assurance; Baker 1955,

1967; Theologidis et al. 2014; Mráz and Mrázová 2021).

Despite these apparent similarities, cloning and selfing differ in the manner in which

they affect selection acting against deleterious mutations. The operation of 'Muller's Ratchet'

is a proposed feature of asexual populations that leads to stochastic mutation accumulation,

due to their inability to generate offspring that have fewer deleterious alleles than those of

their ancestors (Muller 1964; Haigh 1978). This mechanism is a form of selection

interference, which refers to the effect of strong genetic linkage on the dynamics of variants

under selection (Felsenstein 1974). In completely clonal populations all sites are in absolute

genetic linkage, therefore the presence of multiple deleterious alleles selectively interferes

with one another having a strong impact on mutation accumulation (Hill and Robertson 1966;

Roze and Barton 2006; Hartfield and Keightley 2012). Recombination breaks this linkage

and allows the dynamics of variants to respond to selection independently, i.e., more efficient

removal of deleterious mutations and restoration of the most-fit genotype. Another important

consequence due to the lack of gamete fusion in diploid asexuals is the divergence of

homologous chromosomes found within one individual. The two genome copies are always

transmitted together down the lineage, gradually and independently accumulating mutations

leading to a high level of heterozygosity; a process known as 'allelic sequence divergence'

or the Meselson effect (Mark Welch and Meselson 2000; Butlin 2002; Hartfield 2016).

In contrast to cloning, the main genetic consequence of selfing is genome

homogenization. Under complete selfing, parents with heterozygous genotypes *Aa* have a

50% probability of losing heterozygosity, independent of the allele frequencies in the rest of

the population. The probability that heterozygosity is retained decreases exponentially every

generation, rapidly leading to overall genome homogenisation. This homogenisation also

occurs, albeit at a lower rate, under partial selfing (Wright 1951). As a consequence, the

effective recombination rate is reduced since recombination creates variability only when

heterozygosity is present (Nordborg 2000). An additional consequence of increased

homozygosity is the exposure of recessive deleterious alleles to selection, giving rise to

inbreeding depression (Charlesworth and Willis 2009). An outcome of selfing broadly shared

with cloning is the removal of neutral variation through extensive linked selection acting

against deleterious mutations, reducing the effective population size (Agrawal and Hartfield

2016).

Both reproductive systems have been called 'dead-end' strategies, meaning that

species using them have an increased extinction probability. In asexual and selfing

populations, this phenomenon has often been ascribed to mutational meltdown, i.e., the

accumulation of deleterious mutations leading to extinction (Lynch et al. 1993, 1995;

Olofsson et al. 2023), but note that other mechanisms have been proposed for the

phylogenetically short-lived appearance of asexual species (Engelstädter 2008; Janko et al.

2008; Schwander and Crespi 2009). While the 'dead-end' hypothesis has also been invoked

for selfing species (Wright et al. 2013), they can increase their fitness over time through

purging deleterious mutations (Glémin 2003; Abu Awad and Billiard 2017); this purging can

also stop Muller's ratchet from operating (Charlesworth et al. 1993). Furthermore, there exist

ancient asexuals that have seemingly existed for long periods of time in the absence of

sexual reproduction, including species of bdelloid rotifers (Flot et al. 2013), oribatid mites

(Brandt et al. 2021), ostracods (Tran Van et al. 2021), and trypanosomes (Weir et al. 2016).

The persistence of these ancient asexuals begs the question of whether there are

underexplored mechanisms at play that prevent these species from undergoing excessive

accumulation of deleterious variants, similar to what occurs in self-fertilising species.

Although genome exchange is an intrinsic part of sexual reproduction, gene

conversion is a mechanism of genome exchange that acts in both sexuals and asexuals in

the form of mitotic recombination (Lee et al. 2009). These gene conversion events can result

in the creation of homozygous tracts, either over localised regions or over large parts of

chromosomes depending on the underlying mechanism (Smukowski Heil 2023). In addition,

it is becoming increasingly known that many asexual species modify their meiosis cycle

when reproducing, which also results in increased runs of homozygosity (through, e.g.,

fusing meiotic products; Blanc et al. 2025). This creation of homozygosity is similar to what

happens under selfing, and in asexual species it has been shown to have an important impact on neutral diversity when sex is rare (Hartfield et al. 2016; Hartfield 2021). Mitotic gene conversion has been argued to affect deleterious mutation accumulation in asexual species, including the bdelloid rotifer *Adineta vaga* (Flot et al. 2013; although see Vakhrusheva et al. 2020 who argue that these species show evidence of inter-individual gene exchange), the crustacean *Daphnia pulex* (Tucker et al. 2013), and the planarian worm *Schmidtea mediterranea* (Kershenbaum et al. 2024). Khakhlova and Bock (2006) also show experimental evidence of gene conversion eliminating deleterious mutations in plastids of tobacco plants, which are uniparentally inherited and are effectively asexual. Most of these studies argue that this gene conversion is beneficial for asexual species by removing deleterious mutations (an exception being Tucker et al. (2013) that argued that mitotic gene conversion reduces fitness by creating homozygous mutations). However, most of these arguments are verbal, and there exist little theoretical predictions to determine whether realistic levels of gene conversion can purge deleterious mutations, and hence maintain high fitnesses in asexual populations in an analogous manner to self-fertilisation. One exception is a model in Flot et al. (2013), showing how gene conversion slows down Muller's ratchet in asexual populations.

The overall goal of this study is to investigate the efficacy of mitotic gene conversion in facultative sexual and asexual species in purging deleterious mutations through creating homozygous regions, and compare its effects to self-fertilisation to determine to what extent these mechanisms of genetic homogenisation are similar. We will use two complementary approaches: first, we develop single-locus deterministic analytical models to quantify the frequency of deleterious mutations under facultative sex and gene conversion, and compare results to equivalent models under self-fertilisation. We will then use stochastic multi-locus simulations to determine how both reproductive mechanisms influence the genome-wide prevalence of deleterious mutations. Furthermore, we present simulation outputs in a way so that they can be compared with genome data, to enable empirical comparisons with the models presented here.

Our principal questions are: (i) Under facultative sex, how does the frequency of

deleterious variants (and hence also the population's mean fitness) scale with the

prevalence of sex and gene conversion, both at individual loci and genome-wide? (ii) What

values of sexual reproduction and gene conversion produce a level of mutation purging

similar to a given level of self-fertilisation? (iii) Can realistic levels of mitotic gene conversion

be as effective as self-fertilisation at purging deleterious mutations? The findings of this

study will provide important quantification of a mechanism for maintaining the fitness of

facultative sexuals and asexuals, which has been often discussed but seldom investigated

theoretically. It also provides insights as to which mode of uniparental reproduction might be

favoured by evolution due to its effects on removing deleterious variation.

Single-Locus Mathematical Model Results

To gain some insight into how mitotic gene conversion affects the purging of

deleterious mutations and how it compares to self-fertilisation, we first investigate a

single-locus model of deleterious allele frequencies in a deterministic diploid Wright-Fisher

population subject to either facultative sex with sexual reproduction occurring at frequency σ

(i.e., every generation, a fraction σ of offspring are generated sexually, and the remaining

1–σ are produced asexually), or self-fertilisation at rate S (i.e. a fraction S of reproductions

are produced by selfing). We consider the frequency of a deleterious allele (denoted *A*) that

arose from mutation from a wild-type allele *a* with probability μ; heterozygous mutant

genotypes have fitness 1–*hs* and homozygotes 1–*s*, for *h* the dominance coefficient and *s*

the selection coefficient. Mitotic gene conversion occurs with frequency γ, which converts

heterozygotes to either wild-type or derived homozygote with equal frequency. Note that

gene conversion acts under both sexual and asexual reproduction; for completeness we will

derive equations considering the effects of gene conversion acting in both facultative sexuals

and self-fertilising species, although the greater interest will be the effects under infrequent

sex.

We can track the prevalence of deleterious mutations by creating numerical

recursions of how the frequency is affected by selection, reproduction, mutation and gene

conversion in non-overlapping generations (following the methods of Agrawal and Hartfield

2016, Hartfield 2021; see Supplementary *Mathematica* file for the full recursion equations).

Although these recursions calculate the change in genotype frequencies over time, we can

convert these genotype frequencies to allele frequencies; specifically, if the frequency of the

mutant allele is p_A, then the frequency of the mutant homozygote is $p_A^2 + Fp_A(1 - p_A)$

(with similar equations for the wild-type homozygote), and the heterozygote has frequency

$2p_A(1 - p_A)(1 - F)$. Here, F denotes the reduction in homozygosity compared to

Hardy-Weinberg equilibrium (Wright 1951), and shows to what extent either gene conversion

under facultative sex or self-fertilisation affects genotype composition by reducing

heterozygote frequencies. After making these transformations, we linearise the equations

assuming μ, $p_A \ll 1$ to obtain steady-state values of p_A and F (see Otto 2003 for a similar

approach). Appendix 1 in the Supplementary Text outlines the derivations used to obtain

analytical solutions.

After these algebraic calculations, we obtain the general equation for the deleterious

allele frequency:

$$\hat{p}_A = \frac{\mu}{s(F+h-Fh)} \tag{1}$$

This is a known form for mutation-selection balance under non-random mating (Ohta

and Cockerham 1974; Glémin 2003). The inbreeding coefficient F in Equation 1 differs

depending on the form of uniparental reproduction. Under selfing:

$$\hat{F}_{self} = \frac{((1-hs)\,S\,(1-\gamma) + 2\gamma)}{(2 - (1 - (2-h)\,s)\,S\,(1-\gamma))} \tag{2}$$

While under facultative sex:

$$\hat{F}_{asex} = \frac{\gamma}{\gamma+(1-\gamma)(s(1-\sigma)+\sigma)} \tag{3}$$

We can use heuristic arguments to compare *F* in each case to understand which

reproductive mode has the greatest effect on homozygosity. In the absence of gene

conversion and selection, so we only focus on the effects of selfing, then $\hat{F}_{self} \approx S/(2–S)$ in

line with classic results on how selfing affects homozygosity (e.g., Nordborg and Donnelly

1997). Under facultative sex (Equation 3), positive $\hat{F}_{asex}$ is only formed when gene

conversion is present; interestingly, dominance does not appear in Equation 3 and instead

affects allele frequencies through the mutation-selection balance term (Equation 1). In the

absence of selection and assuming that $\gamma << \sigma$ so the denominator approximates to σ, then

$\hat{F}_{asex} \approx \frac{\gamma}{\sigma}$ (as also found by Hartfield 2021). By comparing these two approximations, we can

show that the inbreeding values are equivalent if $S = 2/(1+\phi)$ for $\phi = \sigma/\gamma$ (Hartfield et al.

2016; Hartfield 2021). As sex is much more common than gene conversion under these

assumptions (so $\phi >> 1$) then we see that gene conversion acting under frequent sex is only

equivalent to a small selfing rate. Note that this approximation for *S* will break down once

gene conversion and sex act at the same rate. Specifically, gene conversion becomes more

effective at making homozygous genotypes once sex becomes rarer due to the enforced

pairing of diploid gene copies, which are subsequently maintained by asexual reproduction

(Hartfield et al. 2016). Hence, the genetic outcome becomes more similar to that expected

under higher levels of self-fertilisation.

Figure 1 illustrates these derived equations for a single locus and different values of

the rate of sex and mitotic gene conversion. If mutations are extremely recessive (*h* = 0.01,

Figure 1a) then even small amounts of gene conversion can reduce the prevalence of

deleterious mutations compared to outcrossing expectations, even for intermediate

frequencies of sex. This purging effect is weakened as the dominance coefficient increases,

so that the frequency of additive alleles becomes closer to outcrossing expectations (Figure

1b, c). These results illustrate that mitotic gene conversion has the strongest effect on

purging deleterious mutations if they are highly recessive, with the purging effect magnified

as sex becomes rarer. Under self-fertilisation (Figures 1d–f), the same general results arise,

but we note that only a small amount of selfing is needed to remove highly recessive

deleterious mutations, reflecting its stronger effect on creating homozygosity. Mitotic gene

conversion also only has a minor effect on allele frequencies (note that the contour lines are

nearly vertical).

Plots of $\hat{F}$ (Figure 2a, b) show that while mitotic gene conversion under facultative

sex leads to elevated homozygosity, the increase is mostly modest unless sex is rare and

deleterious mutations have a weak selection effect. In contrast, under self-fertilisation then $\hat{F}$

values tend to be much higher (Figure 2c, d).

To test the accuracy of these approximate analytical solutions (Equations 1-3), we

compared them to exact values obtained from numerical recursions over 50,000

generations, where the deleterious heterozygous had an initial frequency of 0.001

(Supplementary Figures S1 and S2, with code in Supplementary *Mathematica* file). We see

that the two match over a wide range of parameters. One caveat of these models is that they

do not consider drift, and hence processes like Muller's ratchet (Muller 1964; Haigh 1978)

that can arise when sex is rare.

Simulation Results

While the single-locus model provides insight into how rare sex and gene conversion

shape deleterious mutation frequencies, it does not show how gene-conversion events that

affect mutations at multiple loci purge mutations across larger, linked stretches of the

genome. Hence, we next use simulations written in SLiM (Haller and Messer 2023) to

compare (i) mutation accumulation in populations undergoing different levels of either

self-fertilisation, or asexual reproduction; and (ii) how gene conversion leads to mutation

removal in obligate asexuals, compared to selfing species. Note that we do not consider

gene conversion in selfers, as the single-locus results demonstrate that it only has a minor

effect under this reproductive mode. To achieve this goal, we had to write a new SLiM

routine to consider gene conversion under asexual reproduction. More information is

available in the "Multi-Locus Simulation Methods" section.

284 Comparing self-fertilisation and facultative sex

We first compared the mean fitness and mutation counts under different levels of

self-fertilisation or asexual reproduction, to determine how mutation accumulation differs

between the two reproductive modes. All results in the main text assume a fixed s and h; we

will present results with varying s, h values at the end of the results section.

Figure 3 shows the final mean fitness over different rates of uniparental reproduction

(see Supplementary Figure S3 for plots of fitness change over time). Under self-fertilisation,

while the mean fitness is fairly high overall, it is lowest when there is complete outcrossing. It

generally increases with the selfing rate, reaching a maximum for around 95%

self-fertilisation, in line with deleterious mutations being purged. However, fitness then drops

for extremely high rates, likely because of a large drop in the effective population size, so

genetic drift more strongly affects deleterious mutations, allowing them to persist (Roze

2016). In contrast, the mean fitness drops dramatically for highly asexual populations.

Figure 4 (and Supplementary Figure S4) shows mutation counts under these

reproductive regimes. Selfing populations undergo mutation purging, as evidenced by a

decreasing number of mutations in the population (approximately linearly with the selfing

rate) and an increase in homozygous mutations reflecting higher inbreeding. In contrast,

asexual populations have approximately the same mutation counts (both total and

homozygote) as outcrossing populations, until the population becomes around 95% asexual.

At this point, there is a spike in homozygosity that is then reduced with even higher levels of

asexuality, while the total mutation count rapidly increases. The high mutation count reflects

inefficient selection acting once asexuality becomes prevalent, as reflected in the drop in

mean fitness (Figure 3). The spike in homozygosity likely reflects the fact that for

high-but-incomplete asexuality, mutation accumulation starts acting but there is still sufficient segregation to create homozygous genotypes. In populations that become completely asexual (i.e., the level of clonal reproduction approaches 1), there is a lack of genetic segregation so genotypes only form as heterozygotes (the signature of 'allelic sequence divergence'). The relative homozygosity (Supplementary Figure S5) also reflects these outcomes; it increases towards 1 with higher selfing, reflecting greater homozygosity due to inbreeding. In contrast, it stays constant with increased asexuality up to 95% asexual reproduction, but then decreases with higher rates. No apparent spike at 95% asexuality is observed using this measure.

Impact of mitotic gene conversion

Given this increased mutation count under low rates of sex, we next investigated to what extent mitotic gene conversion can reduce it. Here, we used the non-WF model with the new gene conversion routine implemented. Figure 5 plots the final mean fitness for different per-base-pair initiation rates of gene conversion (with results over time shown in Supplementary Figure S6). For most values the mean fitness remains low, similar to results in the absence of gene conversion (Figure 3b). However, once mean gene conversion per site becomes sufficiently high (in this case, 4×10^{-4} and above) the mean fitness increases and starts reflecting that of outcrossing populations. These results imply that purging is indeed occurring. Purging is further validated when looking at mutation counts (Figure 6). Here, the mutation count drops while the homozygosity increases (as reflected with heightened relative homozygosity; Supplementary Figure S7), in line with purging reducing the total mutation prevalence and increasing the mean fitness.

Comparing mitotic gene conversion to self-fertilisation

We next compare the effects of mitotic gene conversion in asexuals to self-fertilisation, to determine to what extent the two effects are comparable. Figure 7

compares gene conversion in asexuals against a range of selfing rates. When looking at homozygosity, it seems that asexuality with the highest gene conversion rates (4×10^{-2}) yield the same homozygous counts as complete selfing, implying that the two are equivalent. However, the total mutation count is lower for high levels of self-fertilisation (80% and above), compared to the highest gene conversion rate used. Hence, it seems that while gene conversion in asexuals can purge mutations, it does not produce the same benefits in terms of reducing deleterious mutation prevalence as observed under high self-fertilisation.

Exploring results under different parameters

Results with different population sizes and rescaled parameters

To test if these simulation results hold more generally, we next explored deleterious mutation prevalence under different parameters. Under classic population-genetic models, evolutionary outcomes are not just dependent on the mutation rate and selection coefficients, but on their products with the population size (Hill and Robertson 1966; Kim and Wiehe 2009; Uricchio and Hernandez 2014; but see Dabi and Schrider 2025 for an investigation into when such rescaling breaks down). It has been previously shown that the effects of mitotic gene conversion on increased homozygosity is dependent on $N\gamma$ if the rate of gene conversion is sufficiently rare (Hartfield et al. 2016, 2018; Hartfield 2021). Hence, if this scaling influences mutation purging, then we should see lower mutation counts arising for smaller gene conversion values if other compound parameters are kept constant. We therefore re-ran simulations with different population sizes (N = 1,000 and 10,000 respectively) but with the mutation rate and selection coefficients scaled so that $N\mu$, Ns are the same as for N = 5,000 case.

Results for mutation counts are shown in Supplementary Figures S8, S9. It seems that the same general levels of gene conversion (i.e. 4×10^{-4} and above) lead to the largest drops in deleterious mutation count. However, for N = 10,000 greatly increased

homozygosity is observed for 4x10^{-5}, albeit with only a modest reduction in total mutation

count. This result hints that purging does depend on the compound parameter $N\gamma$. Hence, it

seems that the observed results for N = 5,000 still generally hold if other compound

parameters ($N\mu$, Ns) are kept constant, but there are indications that gene conversion can

be more effective in much larger populations due to more gene conversion events in a

population (larger $N\gamma$).

Results where s, h are drawn from distributions

We also investigate simulations where s, h are drawn from probability distributions,

using the same mutation rate as before. Mean fitness results are generally similar to the

fixed s, h case although, if looking just at selfing populations, the highest fitness is realised

for complete self-fertilisation (Supplementary Figure S10). Mutation accumulation in the

absence of gene conversion acts slightly differently; while the highest mutation count occurs

under high levels of sexual reproduction, the number of homozygous genotypes is reduced

under both high levels of selfing and asexual reproduction (Supplementary Figures S11,

S12). The relative homozygosity is higher than that for fixed s, h cases under low rates of

sex, but drastically drops once the rate of asexuality reaches 95% and higher

(Supplementary Figure S13). Gene conversion in asexuals restores population fitness in a

similar manner to the fixed s, h simulations (Supplementary Figure S14), with slightly lower

levels of gene conversion needed to purge deleterious mutations (Supplementary Figure

S15 – S17). Hence, it seems that the main results do not strongly depend on the fitness

model used.

Discussion

Summary of results

In this study, we theoretically explored to what extent mitotic gene conversion in facultative sexual species purge deleterious mutations. We additionally compared this process to self-fertilisation, as the latter is known to purge deleterious mutations so we can compare how effective the two are. Using a single-locus model (Figure 1), we first demonstrated that mitotic gene conversion has the greatest effect in reducing mutation frequency when asexuality is frequent, but also when mutations are recessive. We also show that, while the process leads to elevated homozygosity (as measured by the inbreeding coefficient *F*, Figure 2), it will not be substantially elevated and match the effects of selfing unless populations are fully asexual and the gene conversion rate is high. We subsequently used multi-locus simulations to explore the effect of mitotic gene conversion acting on a genome-wide level. In the absence of gene conversion (Figures 3, 4), highly-asexual species accumulate many mutations as heterozygotes, leading to a drastic drop in fitness. When gene conversion is present in fully asexual populations (Figures 5, 6), then we do see purging: once the gene conversion rate exceeds a critical value (generally a mean rate of 4×10^{-4} per site) the total mutation count drops and homozygosity increases. These levels of gene conversion also dramatically increase the fitness of asexual populations (Figure 5, Supplementary Figure S14). Despite these benefits of gene conversion, the total mutation count is lowest under high levels of self-fertilisation (Figure 7).

Overall, both the single-locus and multi-locus results indicate that while high rates of gene conversion can purge deleterious mutations under asexual reproduction, these benefits do not always counter the costs of inefficient selection under clonality and self-fertilisation can act a stronger force at purging deleterious mutations. That said, lower levels of gene

404 conversion (rate of 10^{-5} and above) can remove some deleterious mutations and increase

405 the fitness of asexual organisms, and hence still be evolutionary favoured.

Comparing mutation accumulation in self-fertilising and asexual populations

One notable feature of the asexual-selfing comparison is the threshold behaviour of

mutation counts. In the absence of gene conversion, neutral genetic diversity in asexual

populations is similar to outcrossers unless the rate of sex is of the order $1/N$ (Bengtsson

2003; Ceplitis 2003; Hartfield et al. 2016; Hartfield 2021); background selection also incurs

noticeable reductions in diversity in species with infrequent sex (Agrawal and Hartfield

2016). We see similar results with regards to the mutation count in Figure 4; it stays fairly

stable under facultative sex unless populations become highly asexual. Conversely, under

high selfing we generally see a drop in the mutation count and heightened homozygosity, in

line with mutation purging. With very high levels of selfing, we see an elevation in both the

homozygous mutation count and in the total mutation number. These increases likely arise

due to an additional increase in mutation number due to genetic drift, as N_e can be greatly

reduced in selfing populations due to background selection (Kamran-Disfani and Agrawal

2014; Roze 2016). Note that this behaviour can change with the fitness model assumptions;

under a model where s and h are drawn from distributions, we instead see a large reduction

in the mutation count under high selfing, in line with a model where genetic variation is

purged (Charlesworth and Charlesworth 1995; Lande and Porcher 2015; Abu Awad and

Roze 2018).

Effects of mitotic gene conversion, and comparison with empirical estimates

Throughout this study, we compared purging via mitotic gene conversion in asexuals to that via self-fertilisation. We showed that despite the benefits of mitotic gene conversion, lower mutation counts were observed under high levels of self-fertilisation (see, e.g., Figure 7). These results indicate that self-fertilisation can be a more efficient means of purging deleterious mutations, likely because it affects the whole genome and homozygous regions can be formed more rapidly. In contrast, mitotic gene conversion as employed here only affects a fraction of the genome every generation, so purging acts in a piecemeal manner. This comparison seems to hold over different mutation models, selfing and gene conversion rates; one caveat is that there could be a specific, untested combination of mutation and recombination rates in selfers that might lead to different results.

Our simulation model shows that a mean mitotic gene conversion rate above 4×10^{-4} per site can strongly purge deleterious mutations, while a rate of 4×10^{-5} can prevent their accumulation. This is in line with previous theoretical work showing that, once gene conversion becomes sufficiently high, then it can substantially reduce genetic variation in asexual populations (Hartfield et al. 2016; Hartfield 2021). Although empirical estimates are sparse, they are usually much lower than this value, ranging between 10^{-7} and 10^{-5} depending on the study organism (Mandegar and Otto 2007; Xu et al. 2011; Flot et al. 2013; Sharp and Agrawal 2016). Potentially higher rates were observed in rice; Jia et al. (2021) inferred a mitotic recombination rate of 10^{-6} with mean tract lengths ranging between 30-50kb, leading to a per-locus rate on the order of 10^{-2}. Hence, it seems that while some larger empirical estimates are sufficiently high to cause extensive deleterious mutation purging, on the whole mitotic gene conversion is usually too weak a force to lead to extensive purging in nature. However, the estimates of Jia et al. (2021) consider all mitotic recombination events, including crossover and non-crossover events. Our simulation

procedure only considers non-crossover events, which converts more localised genomic regions. Mitotic crossover events, in contrast, can convert much larger fractions of the genome (Jia et al. 2021). Even though the net conversion rate per site seems the most important parameter, longer conversion regions could have a stronger effect through affecting multiple sites at once, in a similar manner to self-fertilisation. Other forms of asexual reproduction that also create extensive homozygous regions, including automixis (Mirzaghaderi and Hörandl 2016; Engelstädter 2017), could also affect enough of the genome to cause large levels of mutation purging. Future research can determine whether these different factors have a stronger effect on asexual fitness.

Caveats and perspective for future work

Another factor not considered in our study is the effect of small and/or changing population sizes. In small populations, homozygotes can form more frequently due to genetic drift (Malécot 1948), which can also lead to the purging of deleterious mutations. However, this process is only effective at purging highly recessive mutations (Glémin 2003). Gene conversion and drift also interact to affect the evolution of sex (Roze and Michod 2010). Given the large diploid population size of 5,000 used in simulations, then drift should have a minimal influence on purging in the multi-locus simulations, especially since the prevalence of deleterious mutations did not strongly change under different population sizes (Supplementary Figures S8, S9). However, the population size could affect the baseline level of deleterious mutations in the absence of gene conversion. It will be a rewarding avenue of future research to determine how drift would affect these processes. Similarly, we also did not consider demographic feedbacks that are induced during the purging period, where mutation purging also causes a reduction in population size (Abu Awad and Billiard 2017). The presence of these feedbacks could increase the extinction probability of populations during gene-conversion induced purging. Another avenue for further investigation is the role of adaptive evolution and/or epistasis on the relative advantage of different reproduction

strategies, as they could impact the relative fitness of heterozygotes and homozygotes and

hence the outcomes of the reproductives modes studied here (Kondrashov and Kondrashov

2001; Abu Awad and Roze 2020).

An interesting case where gene conversion may be important under clonal

reproduction is tumour evolution. Here, cells reproduce asexually by mitosis and can

potentially accumulate deleterious mutations due to a lack of recombination (Ní Leathlobhair

and Lenski 2022). Hence, the gene conversion mechanisms investigated here may prevent

transmissible cancers from experiencing mutation meltdown (Ní Leathlobhair and Lenski

2022), and also create homozygote loss-of-function tumor-suppressing genes that enable

carcinogenesis (Takahashi and Innan 2022).

Multi-Locus Simulation Methods

Simulation Outline

Simulations were written using SLiM v4.0.1 (Haller and Messer 2023), where we

used one of two types of simulation framework. We first used the Wright-Fisher framework

(hereafter 'WF model') when exploring population fitness and mutation counts for general

levels of uniparental reproduction, in the absence of mitotic gene conversion. By default in

these simulations, the population size is fixed and the probability of choosing parents are

proportional to their fitness. We next ran simulations using the non-Wright-Fisher framework

(hereafter 'non-WF model') when investigating the effects of mitotic gene conversion in fully

asexual populations. By default in non-WF models, the population size can change

depending on which parents reproduce or not. However, as explained below, we modify the

non-WF behaviour in SLiM so that it acts like a comparable WF model.

Simulated populations consist of 5,000 diploid individuals, each with a genome size

of $L = 25$ Mb that approximates the length of *Arabidopsis thaliana* chromosome 1 (The

Arabidopsis Genome Initiative 2000). Deleterious mutations can arise at all nucleotides, and

individuals do not carry mutations at the start of the simulations. In all simulations, we let

populations evolve for 60,000 generations, which is sufficient for the population variance to

reach a steady-state (Supplementary Figures S18, S19). After this time, 50 individuals are

sampled and their genotypes were saved in a VCF file format for downstream analysis. The

mutation rate was set to 4×10^{-9}, which is on the same order as that inferred from *Arabidopsis*

thaliana (Ossowski et al. 2010). Per-base-position recombination rate was set to 4×10^{-8},

reflecting estimates obtained from crosses of *Arabidopsis thaliana* (Salome et al. 2012).

Mutations were deleterious and mutation stacking (a SLiM-specific technical feature

where multiple mutations can occupy the same site within an individual) is allowed by

default. Two types of fitness models were investigated. They differ in whether selection and

dominance coefficients were constant or drawn from a distribution (Table 1). In the first type,

fixed values of deleterious mutations and dominance coefficients were chosen. In the

second type, the selection and dominance coefficients were drawn from a distribution based

on Spigler et al. (2017) (see Table 1). Selection coefficient is modelled by a gamma

distribution with shape parameter α and mean $\bar{s}$. The dominance coefficient of a mutation

with selection coefficient *s* is then drawn from a uniform distribution bounded by 0 and e^{-ks} ,

where *k* is a constant (see Table 1 for exact values). Free parameters in the model, k, $\bar{s}$, and

α, were defined so *h* matches that used in main simulations (0.2) when $\bar{s}$ = 0.01 using a

shape parameter α = 0.02 that reflects values inferred by Chen *et al.* (2017); note that this

parameterisation produces $\bar{h} \approx 0.35$. This model reflects empirical observations that strongly

deleterious mutations tend to be more recessive (Simmons and Crow 1977; Agrawal and

Whitlock 2011).

526 Implementing mitotic gene conversion in asexual reproduction

By default, recombination in SLiM (including gene conversion) only acts exclusively

when meiosis is involved. Therefore, the reproduction cycle was manually modified through

a reproduction callback (i.e., a SLiM function for governing reproduction), so that mitotic

gene conversion in asexuals can be simulated; this can only be done in the non-WF model.

For simplicity, the model applies only to a completely asexual population. The development

of the code was facilitated by a post on the SLiM discussion board (Dussert and Haller 2019)

and was extended based on the gene conversion model under SLiM Wright-Fisher

simulations (Haller and Messer 2016).

When an offspring is generated, then the number of gene conversion events that acts

within it is drawn from a Poisson distribution with a mean $L\gamma$ where γ denotes the rate of

gene conversion initiating at a site. For each gene conversion event, the central position is

first drawn uniformly through the chromosome. Then, the tract is extended to the left and

right independently by drawing each length from a geometric distribution with parameter *p:*

$$p \ = \ \frac{-\lambda + \sqrt{\lambda}\,\sqrt{8+\lambda}}{2\lambda}$$ (2)

where λ is the total mean tract length we want to use in simulations. This parameterization is

used to account for the fact that gene conversion tracts with length zero are discarded. This

redefined value of *p* means that the mean tract length is λ after accounting for discarding

these tracts (see Supplementary *Mathematica* notebook for further information). Gene

conversion events that overlap with the chromosome ends are truncated so they stop at the

chromosome edges.

After the gene conversion start- and end-points are drawn, they are checked and

potentially modified as follows: tracts of length 0 are removed; gene conversion tracts may

have the same start or end positions, in which case, the start (or end) of one of them is

shifted to the right (left), so that gene conversion tracts overlap; or two gene conversion

tracts overlap, in which case two interior gene conversion positions are removed so that the

resulting gene conversion tract is a union of the two smaller ones. Since multiple gene

conversion tracts can overlap, this refinement process is repeated until all start and end

positions are unique and alternate after sorting.

Gene conversion can occur on either of the homologous chromosomes with equal

probability and results in the selected part of one chromosome being copied onto the same

position at the other chromosome. Note that for each offspring-production event, all gene

conversion tracts are in the same direction, i.e., material is transferred solely from one strand

to another during each reproduction event. Since the 'initiation' strand is randomly chosen

for each reproduction event, then this effect should not lead to a major bias in results. The

mean length of a gene conversion event is set to 4,000 base pairs; this value accounts for

the fact that empirical studies of mitotic gene conversion tends to find that gene conversion

tracts are bimodally distributed, with a combination of short (on the order of 1kb) and long

tracts (on the order of 10kb) (Yim et al. 2014; Chumki et al. 2016; Jia et al. 2021). Using a

mean length of 4,000bp will thus enable a wide distribution of tract lengths to be drawn that

cover both these possibilities. We report simulation results in terms of the 'mean gene

conversion rate per site', which is equal to the initiation rate times the mean tract length. This

value is approximately equivalent to the gene conversion parameter γ in the single-locus

model (Frisse et al. 2001).

To enable fair comparison between simulations, further changes to the non-WF

model were made so that it behaves as a standard Wright-Fisher model with a fixed

population size over time (which is explained further in the SLiM Manual; Haller and Messer

2016). Specifically, a survival callback (i.e., a SLiM function for regulating individual

mortality) limits the age of an individual to a single generation, and a reproduction callback

samples a constant number of parents with probability weighted by their fitness. By

comparing measurements of population fitness (Supplementary Figure S20) and mutation

count (Supplementary Figure S21) between the two types of simulations, we see that this

new routine yields similar behaviour under low gene conversion rates to the original WF

model with complete asexuality.

100 replicate simulations were run if *s*, *h* were fixed; 20 replicate simulations were

run for WF simulations (i.e., with partial selfing and cloning) where *s*, *h* are drawn from

distributions; and 10 replicate simulations were run for non-WF simulations (i.e., with

asexuality and gene conversion) where *s*, *h* are drawn from distributions. Fewer simulations

replicates were used in the latter two cases due to their longer runtime.

587 Mutational Load Measurement

Although we can measure the genetic load exactly from our simulations, in practice

they are hard to measure from empirical data, as they usually require assumptions of the

underlying parameters (such as selection and dominance coefficients) that have

considerable uncertainty around them (Henn et al. 2016; Robinson et al. 2023; Kyriazis and

Lohmueller 2024). Instead, empirical studies often use proxy measurements for load,

specifically the total number of deleterious mutations, and those present as homozygotes

(Marsden et al. 2015; Henn et al. 2016; Robinson et al. 2016; Laenen et al. 2018; Grossen

et al. 2020; Hoffman et al. 2024). These measurements are simple ways to gauge

deleterious mutation prevalence, and their approximate contributions to fitness reductions in

a way that can be easily measured from genome data and compared across species with

different reproductive modes in nature. For example, Laenen et al. (2018) used these

measurements in an analysis of *Arabis alpina* populations across Europe, to compare

deleterious mutation prevalence in populations with different degrees of inbreeding via

self-fertilisation. Hence, we present deleterious mutation prevalence from simulations in the

same way here, to better enable empirical comparisons with these theoretical results and to

understand the relative contributions of heterozygotes and homozygotes to deleterious

mutation prevalence.

From the sampled populations, we calculated (i) the total number of mutations

present in the population, and (ii) the number of homozygous mutant genotypes. These

measurements are proportional to the population's genetic load, assuming a fixed selection

coefficient, and the realised load for recessive mutations with a dominance coefficient of zero

(Bertorelle et al. 2022). We also calculated the relative homozygosity for each simulation,

defined as 2*(number of homozygous mutations)/(total number of mutations), which can be

interpreted as the proportion of deleterious mutations that are in homozygous state, across

all sites and individuals. Simulation outputs were analysed using R 4.2.1 (R Core Team

2024).

Table 1. Summary of model parameters and values used for simulations in the main

text. Note that in the Supplementary Material, we show results with different population sizes

(*N* equalling 1,000 and 10,000) but with the mutation rate and selection coefficients scaled

so $N\mu$, *Ns* are the same as for *N* = 5,000 simulations.

Parameter	Values for simulations with f. e.*	
	constant	**non-constant**
Genome size	Diploid, L = 25 Mbp	
Per-base mutation rate μ	4×10^{-9}	
Per-position recombination rate *r*	4×10^{-8}	
Population size *N*	5 000	
Sample size	50	
Selection	s = 0.01	s ~ Gamma($\bar{s}$, α) mean $\bar{s}$ = 0.01 shape α = 0.2
Dominance	h = 0.2	h ~ U(0, e^{-ks}) k = 91.63
Mean gene conversion tract length	λ = 4 000 bp	

620 * f. e. - fitness effects; selection and dominance coefficients

Figure 1: Plots of single-locus analytical results for the steady-state frequency of a deleterious mutation. *Results show Equation 1 for different dominance values, scaled to the expected result under outcrossing (μ/hs). Note that μ is not present in this scaled equation. Panels (a) – (c) are for different frequencies of sex (with $\hat{F}$ given by Equation 3), while (d) – (f) are for different frequencies of self-fertilisation (with $\hat{F}$ given by Equation 2).*

Alt text: *Contour plots showing deleterious mutation frequency with subfigures labelled a to f, illustrating effects of reproductive modes and mitotic gene conversion.*

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Figure 2: Plots of single-locus analytical results for the reduction in heterozygosity, $\hat{F}$.
Results show either Equation 2 under facultative sex (panels a, b), or Equation 3 under
self-fertilisation (panels c, d).
Alt text: *Contour plots showing reduction in heterozygosity with subfigures labelled a to d,
illustrating effects of reproductive modes and mitotic gene conversion.*

Figure 3: Mean fitness at the end of simulations for different modes of uniparental reproduction. *Error bars represent 95% confidence intervals; if they are not shown then they lie within a point.*

Alt text: *Graphs showing mean fitness, with different points indicating different rates of either self-fertilisation or partial asexual reproduction.*

Figure 4: Deleterious mutation counts under different uniparental reproductive modes. *As a function of the rate of uniparental reproduction (either selfing or asexual reproduction), these plots show (top) the total mutation count present in the sample of 50 individuals, and (bottom) the number of homozygous genotypes present in the sample. Error bars represent 95% confidence intervals; if they are not shown then they lie within a point. See Supplementary Figure S7 for the total count on a linear scale.*

Alt text: *Graphs showing total mutation count and number of homozygote genotypes, with different points indicating different rates of either self-fertilisation or partial asexual reproduction.*

Figure 5: Mean fitness at the end of simulations for obligately asexual populations when mitotic gene conversion is present at different rates. *Error bars for simulation results represent 95% confidence intervals; if they are not shown then they lie within a point.*

Alt text: *Graphs showing mean fitness under complete asexuality, with different points indicating different rates of mitotic gene conversion.*

Figure 6: Deleterious mutation counts for obligate asexual populations with mitotic gene conversion present. Results are shown for simulations, as a function of the rate of gene conversion initiation at a single site. Plots show (top) the total mutation count present in the sample of 50 individuals, and (bottom) the number of homozygous genotypes present in the sample. Error bars for simulation results represent 95% confidence intervals; if they are not shown then they lie within a point.

Alt text: Graph showing total mutation count and number of homozygote genotypes from simulations, with different points indicating different rates of gene conversion under complete asexual reproduction.

Figure 7: Comparing mutation counts under different levels of self-fertilisation to asexual populations subject to mitotic gene conversion*. Plots show (top) the total mutation count present in the sample of 50 individuals, and (bottom) the number of homozygous genotypes present in the sample. Note that only gene conversion rates of $4x10^{-2}$ and $4x10^{-3}$ are shown in the top panel. The points show results under different levels of self-fertilisation; error bars represent 95% confidence intervals, and if they are not shown then they lie within a point. Coloured lines are results for asexuality with different levels of mitotic gene conversion, as indicated by the legend; shaded bands around lines indicate 95% confidence intervals.*

Alt text: *Graph comparing total mutation count and number of homozygote genotypes, with points indicating different selfing rates, and horizontal lines are results for complete asexuality with different gene conversion rates.*

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