High levels of mitotic gene conversion are needed to effectively purge deleterious mutations in asexual organisms

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Abstract

Self-fertilisation and asexual reproduction are both hypothesised to cause long-term extinction due to inefficient selection against deleterious mutations. Self-fertilisation can counter these effects through creating homozygous genotypes and purging deleterious mutations. Although complete asexuality lacks meiotic gene exchange, mitotic gene conversion creates homozygous regions that could limit deleterious mutation accumulation in an analogous manner. We compare mutation accumulation in self-fertilising and facultative sexual populations subject to mitotic gene conversion, and quantify the efficacy of purging in the latter. We first show analytically that purging is most effective with high levels of asexuality and gene conversion, and when deleterious mutations are recessive. We further show using simulations that, when mitotic gene conversion becomes sufficiently high in obligate asexuals, there is a reduction in the mutation count and a jump in homozygosity, reflecting purging. These effects are stronger than predicted from single-locus models, demonstrating how multi-locus purging can further reduce mutation prevalence in asexuals. However, this mechanism is not necessarily as efficient at purging under high self-fertilisation, and elevated rates of mitotic gene conversion seem to be needed for widespread purging compared to empirical estimates.

Teaser Text

Asexuality has been argued to be an evolutionary 'dead end', due to a lack of gene exchange causing inefficient selection acting against deleterious mutations. It has been proposed that asexuals can counter these negative effects through mitotic gene conversion, which exposes mutations to selection within individual lineages. Here, we theoretically investigate how effective this mechanism is. We compare results to those obtained when individuals reproduce by self-fertilisation, which has similar effects on exposing deleterious variants. While mitotic gene conversion can be effective in removing recessive deleterious mutations, high rates are required and it is not always as effective as selfing.

Teaser Text

Introduction

Mutations are the ultimate source of genetic novelty in all organisms and are essential

for biological evolution (Loewe and Hill 2010). However, the generation of variation is a double-

edged sword; although favourable mutations may emerge that are crucial for long-term

survival, it may also result in a burden of deleterious mutations, which are much more common

than favourable ones (Eyre-Walker and Keightley 2007).

The reproductive system, which determines how offspring are generated in a

population, has a profound impact on the prevalence of deleterious variation. Reproduction

can either be with or without sex. Sex usually involves two mechanisms (Hartfield and

Keightley 2012): recombination (the exchange of genetic information between homologous

DNA sequences, most commonly as meiotic crossing-over), and fusion of gametes

(specialised cells with halved ploidy and DNA content). In the simplest case when asexuality

is equivalent to clonal reproduction, the genotype of an offspring is identical to that of a parent,

except for *de novo* mutations. Clonal reproduction encompasses diverse mechanisms,

including fission or budding in unicellular eukaryotes, or budding (e.g., hydra, jellyfishes),

fragmentation (e.g., corals) and whole-body fission (e.g., flatworms, starfish) in animals, or

many forms of vegetative propagation in plants (De Meeûs et al. 2007). Nevertheless, most

eukaryotic organisms undergo some variant of sexual reproduction, at least occasionally

(Speijer et al. 2015). Facultatively sexual organisms reproduce via a mixture of sexual and

asexual reproduction, so that genetic evolution is affected by the frequency of both

recombination and clonal reproduction (Hartfield 2016).

Gametes from which offspring originate may also be derived from the same individual,

leading to self-fertilisation or selfing. The opposite of selfing is panmictic outcrossing, with

anyone in the population having an equal chance of being a mate of anyone else, and self-

mating being rare or even prohibited (if, e.g., self-incompatibility mechanisms are present;

Nettancourt 2001). Cloning and selfing are two types of uniparental reproduction, which forms

a basis of their similarity (e.g., the lack of mate finding) and potential ecological advantages

(e.g., reproductive assurance; Baker 1955, 1967; Theologidis et al. 2014; Mráz and Mrázová

2021).

Despite these apparent similarities, cloning and selfing differ in the manner in which

they affect selection acting against deleterious mutations. Muller's ratchet operates in asexual

populations leading to stochastic mutation accumulation, due to their inability to generate

offspring that have fewer deleterious alleles than those of their ancestors (Muller 1964; Haigh

1978). This mechanism is a form of selection interference, which refers to the effect of strong

genetic linkage on the dynamics of variants under selection (Felsenstein 1974). In completely

clonal populations all sites are in absolute genetic linkage, therefore the presence of multiple

deleterious alleles selectively interferes with one another having a strong impact on mutation

accumulation (Hill and Robertson 1966; Roze and Barton 2006; Hartfield and Keightley 2012).

Recombination breaks this linkage and allows the dynamics of variants to respond to selection

independently, i.e., more efficient removal of deleterious mutations and restoration of the

most-fit genotype. Another important consequence due to the lack of gamete fusion in diploid

asexuals is the divergence of homologous chromosomes found within one individual. The two

genome copies are always transmitted together across the lineage, gradually and

independently accumulating mutations leading to a high level of heterozygosity; a process

known as 'allelic sequence divergence' or the Meselson effect (Mark Welch and Meselson

2000; Butlin 2002; Hartfield 2016).

In contrast to cloning, the main genetic consequence of selfing is genome

homogenization. Under complete selfing, parents with heterozygous genotypes *Aa* have a

50% probability of losing heterozygosity, independent of the allele frequencies in the rest of

the population. The probability that heterozygosity is retained decreases exponentially every

generation, rapidly leading to overall genome homogenisation. This homogenisation also

occurs, albeit at a lower rate, under partial selfing (Wright 1951). As a consequence, the

effective recombination rate is reduced since recombination creates variability only when

heterozygosity is present (Nordborg 2000). An additional consequence of increased

homozygosity is the exposure of recessive deleterious alleles to selection, giving rise to

inbreeding depression (Charlesworth and Willis 2009). An outcome of selfing broadly shared

with cloning is the removal of neutral variation through extensive linked selection acting

against deleterious mutations, reducing the effective population size (Agrawal and Hartfield

2016).

Both reproductive systems have been called 'dead-end' strategies, meaning that

species using them have an increased extinction probability. In asexual and selfing

populations, this phenomenon has often been ascribed to mutational meltdown, i.e., the

accumulation of deleterious mutations leading to extinction (Lynch et al. 1993, 1995; Olofsson

et al. 2023), but note that other mechanisms have been proposed for the phylogenetically

short-lived appearance of asexual species (Engelstädter 2008; Janko et al. 2008; Schwander

and Crespi 2009). While the 'dead-end' hypothesis has also been invoked for selfing species

(Wright et al. 2013), they can increase their fitness over time through purging deleterious

mutations (Glémin 2003; Abu Awad and Billiard 2017); this purging can also stop Muller's

ratchet from operating (Charlesworth et al. 1993). Furthermore, there exist ancient asexuals

that have seemingly existed for long periods of time in the absence of sexual reproduction,

including species of bdelloid rotifers (Flot et al. 2013), oribatid mites (Brandt et al. 2021),

ostracods (Tran Van et al. 2021), and trypanosomes (Weir et al. 2016). The persistence of

these ancient asexuals begs the question of whether there are underexplored mechanisms at

play that prevent these species from undergoing excessive accumulation of deleterious

variants, similar to what occurs in self-fertilising species.

Although genome exchange is an intrinsic part of sexual reproduction, gene conversion

is a mechanism of genome exchange that acts in both sexuals and asexuals in the form of

mitotic recombination (Lee et al. 2009). These gene conversion events can result in the

creation of homozygous tracts, either over localised regions or over large parts of

chromosomes depending on the underlying mechanism (Smukowski Heil 2023). In addition, it

is becoming increasingly known that many asexual species modify their meiosis cycle when

reproducing, which also results in increased runs of homozygosity (through, e.g., fusing

meiotic products; Blanc et al. 2025). This creation of homozygosity is similar to what happens

under selfing, and in asexual species it has been shown to have an important impact on neutral

diversity when sex is rare (Hartfield et al. 2016; Hartfield 2021). Mitotic gene conversion has

been argued to affect deleterious mutation accumulation in asexual species, including the

bdelloid rotifer *Adineta vaga* (Flot et al. 2013; although see Vakhrusheva et al. 2020 who argue

that these species show evidence of inter-individual gene exchange), the crustacean *Daphnia*

pulex (Tucker et al. 2013), and the planarian worm *Schmidtea mediterranea* (Kershenbaum

et al. 2024). Khakhlova and Bock (2006) also show experimental evidence of gene conversion

eliminating deleterious mutations in plastids of tobacco plants, which are uniparentally

inherited and are effectively asexual. Most of these studies argue that this gene conversion is

beneficial for asexual species by removing deleterious mutations (an exception being Tucker

et al. (2013) that argued that mitotic gene conversion reduces fitness by creating homozygous

mutations). However, most of these arguments are verbal, and there exist little theoretical

predictions to determine whether realistic levels of gene conversion can purge deleterious

mutations, and hence maintain high fitnesses in asexual populations in an analogous manner

to self-fertilisation. One exception is a model in Flot et al. (2013), showing how gene

conversion slows down Muller's ratchet in asexual populations.

The overall goal of this study is to investigate the efficacy of mitotic gene conversion

in facultative sexual and asexual species in purging deleterious mutations through creating

homozygous regions, and compare its effects to self-fertilisation to determine to what extent

these mechanisms of genetic homogenisation are similar. We will use two complementary

approaches: first, we develop single-locus analytical models to quantify the frequency of

deleterious mutations under facultative sex and gene conversion, and compare results to

equivalent models under self-fertilisation. We will then use multi-locus simulations to

determine how both reproductive mechanisms influence the genome-wide prevalence of

deleterious mutations. Furthermore, we present simulation outputs in a way so that they can

be compared with genome data, to enable empirical comparisons with the theories presented

here.

Our principal questions are: (i) Under facultative sex, how does the frequency of

deleterious variants (and hence also the population's mean fitness) scale with the prevalence

of sex and gene conversion, both at individual loci and genome-wide? (ii) What values of

sexual reproduction and gene conversion produce a level of mutation purging similar to a given

level of self-fertilisation? (iii) Can realistic levels of mitotic gene conversion hence be as

effective as self-fertilisation at purging deleterious mutations? The findings of this study will

provide important quantification of a mechanism for maintaining the fitness of facultative

sexuals and asexuals that has been often discussed but seldom investigated theoretically. It

also provides insights as to which mode of uniparental reproduction might be favoured by

evolution due to its effects on removing deleterious variation.

Single-Locus Mathematical Model

To gain some insight into how mitotic gene conversion affects the purging of

deleterious mutations and how it compares to self-fertilisation, we investigate a single-locus

model of deleterious allele frequencies in a deterministic diploid Wright-Fisher population

subject to either facultative sex with sexual reproduction occurring at frequency σ (i.e., every

generation, a fraction σ of offspring are generated sexually, and the remaining $1-\sigma$ are

produced asexually), or self-fertilisation at rate S (i.e. a fraction S of reproductions are

produced by selfing). We consider the frequency of a deleterious allele (denoted A) that arose

from mutation from a wild-type allele a with probability μ; heterozygous mutant genotypes have

fitness $1-hs$ and homozygotes $1-s$, for h the dominance coefficient and s the selection

coefficient. Mitotic gene conversion occurs with frequency γ, which converts heterozygotes to

either wild-type or derived homozygote with equal frequency. Note that gene conversion acts

under both sexual and asexual reproduction; for completeness we will derive equations

considering the effects of gene conversion acting in both facultative sexuals and self-fertilising

species, although the greater interest will be the effects under infrequent sex.

We can track the prevalence of deleterious mutations by creating numerical recursions

of how the frequency is affected by selection, reproduction, mutation and gene conversion in

non-overlapping generations (following the methods of Agrawal and Hartfield 2016, Hartfield

2021; see Supplementary *Mathematica* file for the full recursion equations). Although these

recursions calculate the change in genotype frequencies over time, we can convert these

genotype frequencies to allele frequencies; specifically, if the frequency of the mutant allele is

p_A, then the frequency of the mutant homozygote is $p_A^2 + Fp_A(1 - p_A)$ (with similar equations

for the wild-type homozygote), and the heterozygote has frequency $2\,p_A\,(1 - p_A)\,(1 - F)$.

Here, *F* denotes the reduction in homozygosity compared to Hardy-Weinberg equilibrium

(Wright 1951), and shows to what extent either gene conversion under facultative sex or self-

fertilisation affects genotype composition by reducing heterozygote frequencies. After making

these transformations, we linearise the equations assuming $\mu, p_A \ll 1$ to obtain steady-state

values of p_A and *F* (see Otto 2003 for a similar approach). Appendix 1 in the Supplementary

Text outlines the derivations used to obtain analytical solutions.

After these algebraic calculations, we obtain the general equation for the deleterious

allele frequency:

$\hat{p}_A = \dfrac{\mu}{s(F+h-Fh)}$ (1)

This is a known form for mutation-selection balance under non-random mating (Ohta

and Cockerham 1974; Glémin 2003). The inbreeding coefficient *F* in Equation 1 differs

depending on the form of uniparental reproduction. Under selfing:

$\hat{F}_{self} = \dfrac{((1-h\,s)\,S\,(1-\gamma) + 2\gamma)}{(2 - (1 - (2 - h)\,s)\,S\,(1-\gamma))}$ (2)

While under facultative sex:

$\hat{F}_{asex} = \dfrac{\gamma}{\gamma+(1-\gamma)(s(1-\sigma)+\sigma)}$ (3)

We can use heuristic arguments to compare *F* in each case to understand which

reproductive mode has the greatest effect on homozygosity. In the absence of gene

conversion and selection, so we only focus on the effects of selfing, then $\hat{F}_{self} \approx S/(2-S)$ in

line with classic results on how selfing affects homozygosity (e.g., Nordborg and Donnelly

1997). Under facultative sex (Equation 3), positive $\hat{F}_{asex}$ is only formed when gene conversion

is present; interestingly, dominance does not appear in Equation 3 and instead affects allele

frequencies through the mutation-selection balance term (Equation 1). In the absence of

selection and assuming that $\gamma \ll \sigma$ so the denominator approximates to σ, then $\hat{F}_{asex} \approx \frac{\gamma}{\sigma}$ (as

also found by Hartfield 2021). By comparing these two approximations, we can show that the

inbreeding values are equivalent if S = 2/(1+ϕ) for $\phi = \sigma/\gamma$ (Hartfield et al. 2016; Hartfield

2021). As sex is much more common than gene conversion under these assumptions (so ϕ

$\gg$ 1) then we see that gene conversion acting under frequent sex is only equivalent to a small

selfing rate. Note that this approximation for *S* will break down once gene conversion and sex

act at the same rate. Specifically, gene conversion becomes more effective at making

homozygous genotypes once sex becomes rarer due to the enforced pairing of diploid gene

copies, which are subsequently maintained by asexual reproduction (Hartfield et al. 2016).

Hence, the genetic outcome becomes more similar to that expected under higher levels of

self-fertilisation.

If we assume that alleles act independently, and that selection and mutation

parameters are the same for all sites, then we can use these equations to predict the total

number of deleterious mutations and deleterious homozygotes across a genetic region, which

we can then compare to simulation results. If there are *N* diploid individuals in a sample (so

there are a maximum of 2*N* alleles per site) over a stretch of genome covering *L* sites, then

the total number of deleterious mutations will equal $2NL\,p_A$, and the number of deleterious

homozygotes will equal $2NL\,[p_A^2 + Fp_A\,(1 - p_A)]$.

Figure 1 illustrates these derived equations for a single locus and different values of

the rate of sex and mitotic gene conversion. If mutations are extremely recessive (*h* = 0.01,

Figure 1a) then even small amounts of gene conversion can reduce the prevalence of

deleterious mutations compared to outcrossing expectations, even for intermediate

frequencies of sex. This purging effect is weakened as the dominance coefficient increases,

so that the frequency of additive alleles becomes closer to outcrossing expectations (Figure

1b, c). These results illustrate that mitotic gene conversion has the strongest effect on purging

deleterious mutations if they are highly recessive, with the purging effect magnified as sex

becomes rarer. Under self-fertilisation (Figures 1d–f), the same general results arise, but we

note that only a small amount of selfing is needed to remove highly recessive deleterious

mutations, reflecting its stronger effect on creating homozygosity. Mitotic gene conversion also

only has a minor effect on allele frequencies (note that the contour lines are nearly vertical).

Plots of $\hat{F}$ (Figure 2a, b) show that while mitotic gene conversion under facultative sex

leads to elevated homozygosity, the increase is mostly modest unless sex is rare and

deleterious mutations have a weak selection effect. In contrast, under self-fertilisation then $\hat{F}$

values tend to be much higher (Figure 2c, d).

To test the accuracy of these approximate analytical solutions (Equations 1-3), we

compared them to exact values obtained from numerical recursions over 50,000 generations,

where the deleterious heterozygous had an initial frequency of 0.001 (Supplementary Figures

S1 and S2, with code in Supplementary *Mathematica* file). We see that the two match over a

wide range of parameters. One caveat of these models is that they do not consider drift, and

hence processes like Muller's ratchet (Muller 1964; Haigh 1978) that can arise when sex is

rare.

Multi-Locus Simulation Methods

Simulation Outline

While the single-locus model provides insight into how rare sex and gene conversion

affect deleterious mutation frequencies, it does not show how gene conversion affects longer

genome regions (e.g., through longer gene-conversion events purging mutations at multiple

loci, and its effects on the resulting disequilibrium between them) and hence how effective it

is in purging mutations across larger, linked stretches of the genome. Hence, we next use

simulations to compare how gene conversion leads to mutation removal in asexuals,

compared to selfing species. Note that we do not consider gene conversion in selfers, as the

single-locus results demonstrate that it only has a minor effect under this reproductive mode.

Simulations were written using SLiM v4.0.1 (Haller and Messer 2023), where we used

one of two types of simulation framework. We first used the Wright-Fisher framework

(hereafter 'WF model') when exploring population fitness and mutation counts for general

levels of uniparental reproduction, in the absence of mitotic gene conversion. By default in

these simulations, the population size is fixed and the probability of choosing parents are

proportional to their fitness. We next ran simulations using the non-Wright-Fisher framework

(hereafter 'non-WF model') when investigating the effects of mitotic gene conversion in fully

asexual populations. By default in non-WF models, the population size can change depending

on which parents reproduce or not. However, as explained below, we modify the non-WF

behaviour in SLiM so that it acts like a comparable WF model.

Simulated populations consist of 5,000 diploid individuals, each with a genome size of

L = 25 Mb that approximates the length of *Arabidopsis thaliana* chromosome 1 (The

Arabidopsis Genome Initiative 2000). Deleterious mutations can arise at all nucleotides, and

individuals do not carry mutations at the start of the simulations. We let populations evolve for

60,000 generations, which is sufficient for the population variance to reach a steady-state

(Supplementary Figure S3). After this time, 50 individuals are sampled and their genotypes

were saved in a VCF file format for downstream analysis. The mutation rate was set to

4×10^{-9}, which is on the same order as that inferred from *Arabidopsis thaliana* (Ossowski et al.

2010). Per-base-position recombination rate was set to 4×10^{-8}, reflecting estimates obtained

from crosses of *Arabidopsis thaliana* (Salome et al. 2012).

Mutations were deleterious and mutation stacking is allowed by default. Two types of

fitness models were investigated. They differ in whether selection and dominance coefficients

were constant or drawn from a distribution (Table 1). In the first type, fixed values of deleterious

mutations and dominance coefficients were chosen. In the second type, the selection and

dominance coefficients were drawn from a distribution based on Spigler et al. (2017) (see

Table 1). Selection coefficient is modelled by a gamma distribution with shape parameter α

and mean $\bar{s}$. The dominance coefficient of a mutation with selection coefficient *s* is then drawn

from a uniform distribution bounded by 0 and e^{-ks}, where *k* is a constant (see Table 1 for exact

values). Free parameters in the model, *k*, $\bar{s}$, and α, were defined so *h* matches that used in

main simulations (0.2) when $\bar{s}$ = 0.01 using a shape parameter α = 0.02 that reflects values

inferred by Chen *et al.* (2017); note that this parameterisation produces $\bar{h} \approx 0.35$. This model

reflects empirical observations that strongly deleterious mutations tend to be more recessive

(Simmons and Crow 1977; Agrawal and Whitlock 2011).

Implementing mitotic gene conversion in asexual reproduction

By default, recombination in SLiM (including gene conversion) only acts exclusively

when meiosis is involved. Therefore, the reproduction cycle was manually modified through a

reproduction callback (i.e., a SLiM function for governing reproduction), so that mitotic gene

conversion in asexuals can be simulated; this can only be done in non-WF model. For

simplicity, the model applies only to a completely asexual population. The development of the

code was facilitated by a post on the SLiM discussion board (Dussert and Haller 2019) and

was extended based on the gene conversion model under SLiM Wright-Fisher simulations

(Haller and Messer 2016).

When an offspring is generated, then the number of gene conversion events that acts

within it is drawn from a Poisson distribution with a mean $L\gamma$ where γ denotes the rate of gene

conversion initiating at a site. For each gene conversion event, the central position is first

drawn uniformly through the chromosome. Then, the tract is extended to the left and right

independently by drawing each length from a geometric distribution with parameter *p:*

$$p = \frac{-\lambda + \sqrt{\lambda}\,\sqrt{8+\lambda}}{2\lambda}$$ (2)

where λ is the total mean tract length we want to use in simulations. This parameterization is

used to account for the fact that gene conversion tracts with length zero are discarded. This

redefined value of *p* means that the mean tract length is λ after accounting for discarding these

tracts (see Supplementary *Mathematica* notebook for further information). Gene conversion

events that overlap with the chromosome ends are truncated so they stop at the chromosome

edges.

After the gene conversion start- and end-points are drawn, they are checked and

potentially modified as follows: tracts of length 0 are removed; gene conversion tracts may

have the same start or end positions, in which case, the start (or end) of one of them is shifted

to the right (left), so that gene conversion tracts overlap; or two gene conversion tracts overlap,

in which case two interior gene conversion positions are removed so that the resulting gene

conversion tract is a union of the two smaller ones. Since multiple gene conversion tracts can

overlap, this refinement process is repeated until all start and end positions are unique and

alternate after sorting.

Gene conversion can occur on either of the homologous chromosomes with equal

probability and results in the selected part of one chromosome being copied onto the same

position at the other chromosome. Note that for each offspring-production event, all gene

conversion tracts are in the same direction, i.e., material is transferred solely from one strand

to another during each reproduction event. Since the 'initiation' strand is randomly chosen for

each reproduction event, then this effect should not lead to a major bias in results. The mean

length of a gene conversion event is set to 4,000 base pairs; this value accounts for the fact

that empirical studies of mitotic gene conversion tends to find that gene conversion tracts are

bimodally distributed, with a combination of short (on the order of 1kb) and long tracts (on the

order of 10kb) (Yim et al. 2014; Chumki et al. 2016; Jia et al. 2021). Using a mean length of

4,000bp will thus enable a wide distribution of tract lengths to be drawn that cover both these

possibilities. We report simulation results in terms of the 'mean gene conversion rate per site',

which is equal to the initiation rate times the mean tract length. This value is approximately

equivalent to the gene conversion parameter γ in the single-locus model (Frisse et al. 2001).

To enable fair comparison between simulations, further changes to the non-WF model

were made so that it behaves as a standard Wright-Fisher model with a fixed population size

over time (which is explained further in the SLiM Manual; Haller and Messer 2016).

Specifically, a survival callback (i.e., a SLiM function for regulating individual mortality) limits

the age of an individual to a single generation, and a reproduction callback samples a constant

number of parents with probability weighted by their fitness. By comparing measurements of

population fitness (Supplementary Figure S4) and mutation count (Supplementary Figure S5)

between the two types of simulations, we see that this new routine yields similar behaviour

under low gene conversion rates to the original WF model with complete asexuality.

100 replicate simulations were run if s, h were fixed; 20 replicate simulations were run

for WF simulations (i.e., with partial selfing and cloning) where s, h are drawn from

distributions; and 10 replicate simulations were run for non-WF simulations (i.e., with

asexuality and gene conversion) where s, h are drawn from distributions. Fewer simulations

replicates were used in the latter two cases due to their longer runtime.

Mutational Load Measurement

Although we can measure exact genetic load measurements from our simulations, in

practice they are hard to measure from empirical data, as they usually require assumptions of

the underlying parameters (such as selection and dominance coefficients) that have

considerable uncertainty around them (Henn et al. 2016; Robinson et al. 2023; Kyriazis and

Lohmueller 2024). Instead, empirical studies often use proxy measurements for load,

specifically the total number of deleterious mutations, and those present as homozygotes

(Marsden et al. 2015; Henn et al. 2016; Robinson et al. 2016; Laenen et al. 2018; Grossen et

al. 2020; Hoffman et al. 2024). These measurements are simple ways to gauge deleterious

mutation prevalence, and their approximate contributions to fitness reductions in a way that

can be easily measured from genome data and compared across species with different

reproductive modes in nature. For example, Laenen et al. (2018) used these measurements

in an analysis of *Arabis alpina* populations across Europe, to compare deleterious mutation

prevalence in populations with different degrees of inbreeding via self-fertilisation. Hence, we

present deleterious mutation prevalence from simulations in the same way here, to better

enable empirical comparisons with these theoretical results and to understand the relative

contributions of heterozygotes and homozygotes to deleterious mutation prevalence.

We calculated (i) the total number of mutations present in the population, and (ii) the

number of homozygous mutant genotypes. These measurements are proportional to the

population's genetic load, assuming a fixed selection coefficient, and the realised load for

recessive mutations with a dominance coefficient of zero (Bertorelle et al. 2022). We also

calculated the relative homozygosity for each simulation, defined as 2*(number of

homozygous mutations)/(total number of mutations), which can be interpreted as the

proportion of deleterious mutations that are in homozygous state, across all sites and

individuals. Simulation outputs were analysed using R 4.2.1 (R Core Team 2024).

Measuring linkage and identity disequilibrium

Linkage disequilibrium was measured from simulations using similar methods to those

in Hartfield et al. (2018) and Hartfield and Glémin (2024). Briefly, r^2 was measured using

VCFtools (Danecek et al. 2011) after thinning SNPs so measurements were taken between

sites that are 10kb apart and had a minor allele frequency of 5%. LD decay was plotted for

each simulation replicate in bins of 0.5Mb up to 12.5Mb apart, while subsampling the results

per bin so that they all contain the same number as in the lowest bin, but no more than 200

(in case of simulations with fixed fitness effects) or 100 (for simulations with variable fitness

effects). Only replicates with the minimum number of points per bin larger or equal to 5 were

retained.

Identity disequilibrium was measured using the g_2 statistic (David et al. 2007) as

implemented in the 'inbreedR' package (Stoffel et al. 2016). This statistic measures the extent

heterozygosity across a genome is correlated, relative to an expectation under independent

sites. For all simulations, the output VCF file was converted to inbreedR format as described

in the package's manual. The 'g2_snps' function was then used with 100 bootstraps for

generating the mean g_2 estimate along with 95% confidence intervals.

418   Results

419   Comparing self-fertilisation and facultative sex

We first compared the mean fitness and mutation counts under different levels of self-

fertilisation or asexual reproduction, to determine how mutation accumulation differs between

the two mating systems. These simulations were run using the WF model. All results in the

main text assume a fixed s and h; we will present results with varying s, h values at the end of

the results section.

Figure 3 shows how the mean fitness changes over time. Under self-fertilisation

(Figure 3a), while the mean fitness is fairly high overall, it is lowest when there is complete

outcrossing. It generally increases with the selfing rate, reaching a maximum for around 95%

self-fertilisation, but then drops for even higher rates. In contrast, the mean fitness drops

dramatically for highly asexual populations (Figure 3b).

Figure 4 (and Supplementary Figure S6) shows mutation counts under these

reproductive regimes. Selfing populations undergo mutation purging, as evidenced by a

decreasing number of mutations in the population (approximately linearly with the selfing rate)

and an increase in homozygous mutations reflecting higher inbreeding. In contrast, asexual

populations have approximately the same mutation counts (both total and homozygote) as

outcrossing populations, until the population becomes around 95% asexual. At this point, there

is a spike in homozygosity that is then reduced with even higher levels of asexuality, while the

total mutation count rapidly increases. The high mutation count reflects inefficient selection

acting once asexuality becomes prevalent, as reflected in the drop in mean fitness (Figure 3).

The spike in homozygosity likely reflects the fact that for high-but-incomplete asexuality,

mutation accumulation starts acting but there is still sufficient segregation to create

homozygous genotypes. In populations that become completely asexual (i.e., the level of

clonal reproduction approaches 1), there is a lack of genetic segregation so genotypes only

form as heterozygotes (the signature of 'allelic sequence divergence'). The relative

homozygosity (Supplementary Figure S7) also reflects these outcomes; it increases towards

1 with higher selfing, reflecting greater homozygosity due to inbreeding. In contrast, it stays

constant with increased asexuality up to 95% asexual reproduction, but then decreases with

higher rates. No apparent spike at 95% asexuality is observed using this measure.

Impact of mitotic gene conversion

Given this increased mutation count under low rates of sex, we next investigated to

what extent mitotic gene conversion can reduce it. Here, we used the non-WF model with the

new gene conversion routine implemented. Figure 5 plots the mean fitness for different per-

base-pair initiation rates of gene conversion. For most values the mean fitness remains low,

similar to results in the absence of gene conversion (Figure 3b). However, once mean gene

conversion per site becomes sufficiently high (in this case, 4×10^{-4} and above) the mean fitness

increases and starts reflecting that of outcrossing populations. These results imply that purging

is indeed occurring.

Purging is further validated when looking at mutation counts (Figure 6). Here, the

mutation count drops while the homozygosity increases (as reflected with heightened relative

homozygosity; Supplementary Figure S8), in line with purging reducing the total mutation

prevalence and increasing the mean fitness. We also compared our simulations to

expectations obtained by extending the single-locus results across multiple loci, assuming

they act independently. We see that this simple expectation overestimates both the total

number of deleterious mutations present, as well as the number of homozygotes. Some of this

discrepancy can be explained by the sample of 50 individuals not capturing all mutations.

However, the tailing-off of homozygous genotypes with high GC rates suggests that multi-

locus purging has reached a maximum level, resulting in lower mutation counts (both total and

homozygotes) than expected from single-locus expectations. We will discuss this discrepancy

further in the Discussion.

Comparing mitotic gene conversion to self-fertilisation

We next compare the effects of mitotic gene conversion in asexuals to self-fertilisation,

to determine to what extent the two effects are comparable. Figure 7 compares gene

conversion in asexuals against a range of selfing rates. When looking at homozygosity, it

seems that asexuality with the highest gene conversion rates (4×10^{-2}) yield the same

homozygous counts as complete selfing, implying that the two are equivalent. However, the

total mutation count is lower for high levels of self-fertilisation (80% and above), compared to

the highest gene conversion rate used. Hence, it seems that while gene conversion in

asexuals can purge mutations, it does not produce the same benefits in terms of reducing

deleterious mutation prevalence as observed under high self-fertilisation.

In Appendix 2 in the Supplementary Text (and Supplementary Figures S9–S12) we

show how facultative sex and self-fertilisation affect linkage and identity disequilibrium; these

results reflect previously-investigated properties of uniparental reproductive modes.

Exploring results under different parameters

Results with different population sizes and rescaled parameters

To test if these simulation results hold more generally, we next explored deleterious

mutation prevalence under different parameters. Under classic population-genetic models,

evolutionary outcomes are not just dependent on parameters such as the mutation rate and

selection coefficients, but on their products with the population size (Hill and Robertson 1966;

Kim and Wiehe 2009; Uricchio and Hernandez 2014; but see Dabi and Schrider 2025 for an

investigation into when such rescaling breaks down). It has been previously shown that the

effects of mitotic gene conversion on increased homozygosity is dependent on $N\gamma$ if the rate

of gene conversion is sufficiently rare (Hartfield et al. 2016, 2018; Hartfield 2021). Hence, if

this scaling influences mutation purging, then we should see lower mutation counts arising for

smaller gene conversion values if other compound parameters are kept constant. We

therefore re-ran simulations with different population sizes (N = 1,000 and 10,000 respectively)

but with the mutation rate and selection coefficients scaled so that $N\mu$, Ns are the same as for

N = 5,000 case.

Results for mutation counts are shown in Supplementary Figures S13, S14. It seems

that the same general levels of gene conversion (i.e. 4×10^{-4} and above) lead to the largest

drops in deleterious mutation count. However, for N = 10,000 greatly increased homozygosity

is observed for 4×10^{-5}, albeit with only a modest reduction in total mutation count. This result

hints that purging does depend on the compound parameter $N\gamma$. Hence, it seems that the

observed results for N = 5,000 still generally hold if other compound parameters ($N\mu$, Ns) are

kept constant, but there are indications that gene conversion can be more effective in much

larger populations due to more gene conversion events in a population (larger $N\gamma$).

Results where *s*, *h* are drawn from distributions

We also investigate simulations where *s*, *h* are drawn from probability distributions,

using the same mutation rate as before. In these cases the fitness variance also reaches a

steady-state within 60,000 generations (Supplementary Figure S15). Mean fitness results are

generally similar to the fixed *s*, *h* case although, if looking just at selfing populations, the

highest fitness is realised for complete self-fertilisation (Supplementary Figure S16). Mutation

accumulation in the absence of gene conversion acts slightly differently; while the highest

mutation count occurs under high levels of sexual reproduction, the number of homozygous

genotypes is reduced under both high levels of selfing and asexual reproduction

(Supplementary Figures S17, S18). The relative homozygosity is higher than that for fixed *s*,

h cases under low rates of sex, but drastically drops once the rate of asexuality reaches 95%

and higher (Supplementary Figure S19). Gene conversion in asexuals restores population

fitness in a similar manner to the fixed *s, h* simulations (Supplementary Figure S20), with

slightly lower levels of gene conversion needed to purge deleterious mutations

(Supplementary Figure S21 – S23). LD and ID measurements are also qualitatively similar to

before (Supplementary Figure S24 – S27). Hence, it seems that the main results do not

strongly depend on the fitness model used.

524 Discussion

525 Summary of results

In this study, we theoretically explored to what extent mitotic gene conversion in

facultative sexual species purge deleterious mutations. We additionally compared this process

to self-fertilisation, as the latter is known to purge deleterious mutations so we can compare

how effective the two are. Using a single-locus model (Figure 1), we first demonstrated that

mitotic gene conversion has the greatest effect in reducing mutation frequency when

asexuality is frequent, but also when mutations are recessive. We also show that, while the

process leads to elevated homozygosity (as measured by the inbreeding coefficient *F,* Figure

2), it will not be substantially elevated and match the effects of selfing unless populations are

fully asexual and the gene conversion rate is high. We subsequently used multi-locus

simulations to explore the effect of mitotic gene conversion acting on a genome-wide level. In

the absence of gene conversion (Figures 3, 4), highly-asexual species accumulate many

mutations as heterozygotes, leading to a drastic drop in fitness. When gene conversion is

present in fully asexual populations (Figures 5, 6), then we do see purging: once the gene

conversion rate exceeds a critical value (generally a mean rate of 4×10^{-4} per site) the total

mutation count drops and homozygosity increases. These levels of gene conversion also

dramatically increase the fitness of asexual populations (Figure 5, Figure S18). Despite these

benefits of gene conversion, the total mutation count is lowest under high levels of self-

fertilisation (Figure 7).

If single-locus models are used to predict multi-locus results assuming independent

loci, then we see that while they can be used to predict the qualitative outcomes of mutation

purging, they greatly overestimate the mutation load at high rates (Figure 6). One likely reason

for this discrepancy is that loci do not act independently in the simulations, due to linkage

between sites and the fact that gene conversion events affect multiple linked loci at once. Little

is known about the joint effects of inbreeding and linkage on mutation purging, but

Charlesworth et al. (1992) found that linkage has little effect on inbreeding depression caused

by deleterious mutations, except under tight recombination and complete self-fertilisation

where there was a noticeable reduction in inbreeding depression. A similar mechanism could

be acting here, where a combination of asexuality and multi-locus mitotic gene conversion

jointly act to greatly amplify purging of deleterious mutations.

Overall, both the single-locus and multi-locus results indicate that while high rates of

gene conversion can purge deleterious mutations under asexual reproduction, these benefits

do not always counter the costs of inefficient selection under clonality and self-fertilisation can

act a stronger force at purging deleterious mutations. That said, lower levels of gene

conversion (rate of 10^{-5} and above) can remove some deleterious mutations and increase the

fitness of asexual organisms, and hence still be evolutionary favoured.

Comparing mutation accumulation in self-fertilising and asexual populations

One notable feature of the asexual-selfing comparison is the threshold behaviour of

mutation counts. In the absence of gene conversion, neutral genetic diversity in asexual

populations is similar to outcrossers unless the rate of sex is of the order $1/N$ (Bengtsson 2003;

Ceplitis 2003; Hartfield et al. 2016; Hartfield 2021); background selection also incurs

noticeable reductions in diversity in species with infrequent sex (Agrawal and Hartfield 2016).

We see similar results with regards to the mutation count in Figure 4; it stays fairly stable under

facultative sex unless populations become highly asexual. Conversely, under high selfing we

generally see a drop in the mutation count and heightened homozygosity, in line with mutation

purging. With very high levels of selfing, we see an elevation in both the homozygous mutation

count and in the total mutation number. These increases likely arise due to an additional

increase in mutation number due to genetic drift, as N_e can be greatly reduced in selfing

populations due to background selection (Kamran-Disfani and Agrawal 2014; Roze 2016).

Note that this behaviour can change with the fitness model assumptions; under a model where

s and *h* are drawn from distributions, we instead see a large reduction in the mutation count

under high selfing, in line with a model where genetic variation is purged (Charlesworth and

Charlesworth 1995; Lande and Porcher 2015; Abu Awad and Roze 2018).

Effects of mitotic gene conversion, and comparison with empirical estimates

Throughout this study, we compared purging via mitotic gene conversion in asexuals

to that via self-fertilisation. We showed that despite the benefits of mitotic gene conversion,

lower mutation counts were observed under high levels of self-fertilisation (see, e.g., Figure

7). These results indicate that self-fertilisation can be a more efficient means of purging

deleterious mutations, likely because it affects the whole genome and homozygous regions

can be formed more rapidly. In contrast, mitotic gene conversion as employed here only

affects a fraction of the genome every generation, so purging acts in a piecemeal manner.

This comparison seems to hold over different mutation models, selfing and gene conversion

rates; one caveat is that there could be a specific, untested combination of mutation and

recombination rates in selfers that might lead to different results.

Our simulation model shows that a mean mitotic gene conversion rate above 4×10^{-4}

per site can start facilitating the purging of deleterious mutations. This is in line with previous

theoretical work showing that, once gene conversion becomes sufficiently high, then it can

substantially reduce genetic variation in asexual populations (Hartfield et al. 2016; Hartfield

2021). Although empirical estimates are sparse, they are usually much lower than this value,

ranging between 10^{-7} and 10^{-5} depending on the study organism (Mandegar and Otto 2007;

Xu et al. 2011; Flot et al. 2013; Sharp and Agrawal 2016). Potentially higher rates were

observed in rice; Jia et al. (2021) inferred a mitotic recombination rate of 10^{-6} with mean tract

lengths ranging between 30-50kb, leading to a per-locus rate on the order of 10^{-2}. Hence, it

seems that while some larger empirical estimates are sufficiently high to cause extensive

deleterious mutation purging, on the whole mitotic gene conversion is usually too weak a force

to lead to extensive purging in nature. However, the estimates of Jia et al. (2021) consider all

mitotic recombination events, including crossover and non-crossover events. Our simulation

procedure only considers non-crossover events, which converts more localised genomic

regions. Mitotic crossover events, in contrast, can convert much larger fractions of the genome

(Jia et al. 2021). Even though the net conversion rate per site seems the most important

parameter, longer conversion regions could have a stronger effect through affecting multiple

sites at once, in a similar manner to self-fertilisation. Other forms of asexual reproduction that

also create extensive homozygous regions, including automixis (Mirzaghaderi and Hörandl

2016; Engelstädter 2017), could also affect enough of the genome to cause large levels of

mutation purging. Future research can determine whether these different factors have a

stronger effect on asexual fitness.

Caveats and perspective for future work

Another factor not considered in our study is the effect of small and/or changing

population sizes. In small populations, homozygotes can form more frequently due to genetic

drift (Malécot 1948), which can also lead to the purging of deleterious mutations. However,

this process is only effective at purging highly recessive mutations (Glémin 2003). Gene

conversion and drift also interact to affect the evolution of sex (Roze and Michod 2010). Given

the large diploid population size of 5,000 used in simulations, then drift should have a minimal

influence on purging in the multi-locus simulations, especially since the prevalence of

deleterious mutations did not strongly change under different population sizes (Supplementary

Figures S13, S14). However, the population size could affect the baseline level of deleterious

mutations in the absence of gene conversion. It will be a rewarding avenue of future research

to determine how drift would affect these processes. Similarly, we also did not consider

demographic feedbacks that are induced during the purging period, where mutation purging

also causes a reduction in population size (Abu Awad and Billiard 2017). The presence of

these feedbacks could increase the extinction probability of populations during gene-

conversion induced purging. Another avenue for further investigation is the role of adaptive

evolution and/or epistasis on the relative advantage of different reproduction strategies, as

they could impact the relative fitness of heterozygotes and homozygotes and hence the

outcomes of the reproductives modes studied here (Kondrashov and Kondrashov 2001; Abu

Awad and Roze 2020).

An interesting case where gene conversion may be important under clonal

reproduction is tumour evolution. Here, cells reproduce asexually by mitosis and can

potentially accumulate deleterious mutations due to a lack of recombination (Ní Leathlobhair

and Lenski 2022). Hence, the gene conversion mechanisms investigated here may prevent

transmissible cancers from experiencing mutation meltdown (Ní Leathlobhair and Lenski

2022), and also create homozygote loss-of-function tumor-suppressing genes that enable

carcinogenesis (Takahashi and Innan 2022).

Table 1. Summary of model parameters and values used for simulations in the main

text. Note that in the Supplementary Material, we show results with different population sizes

(*N* equalling 1,000 and 10,000) but with the mutation rate and selection coefficients scaled

so *Nμ, Ns* are the same as for *N* = 5,000 simulations.

Parameter	Values for simulations with f. e.*	
	constant	non-constant
Genome size	Diploid, L = 25 Mbp	
Per-base mutation rate μ	4×10^{-9}	
Per-position recombination rate r	4×10^{-8}	
Population size N	5 000	
Sample size	50	
Selection	s = 0.01	s ~ Gamma($\bar{s}, \alpha$) mean $\bar{s}$ = 0.01 shape α = 0.2
Dominance	h = 0.2	h ~ U(0, e^{-ks}) k = 91.63
Mean gene conversion tract length	λ = 4 000 bp	

646 * f. e. - fitness effects; selection and dominance coefficients

Frequency of deleterious mutation $\hat{p}_A$, scaled by μ/hs

Figure 1: Plots of single-locus analytical results for the steady-state frequency of a deleterious mutation. *Results show Equation 1 for different dominance values, scaled to the expected result under outcrossing (μ/hs). Note that μ is not present in this scaled equation. Panels (a) – (c) are for different frequencies of sex (with $\hat{F}$ given by Equation 3), while (d) – (f) are for different frequencies of self-fertilisation (with $\hat{F}$ given by Equation 2).*

Alt text: *Contour plots showing deleterious mutation frequency with subfigures labelled a to f, illustrating effects of reproductive modes and mitotic gene conversion.*

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Figure 2: Plots of single-locus analytical results for the reduction in heterozygosity, $\widehat{F}$.

Results show either Equation 2 under facultative sex (panels a, b), or Equation 3 under self-

fertilisation (panels c, d).

Alt text: *Contour plots showing reduction in heterozygosity with subfigures labelled a to d,*

illustrating effects of reproductive modes and mitotic gene conversion.

Figure 3: Mean fitness for different modes of uniparental reproduction. *Results are shown as a function of time, for (a) different rates of self-fertilisation or (b) asexual reproduction. Thick lines are mean values, while thinner shading around lines show 95% confidence intervals. Notice the change of scale on the y-axis between (a) and (b).*

Alt text: *Graphs showing the mean fitness over time for either self-fertilisation or partial asexual reproduction, with different colour lines showing different rates.*

Figure 4: Deleterious mutation counts under different uniparental reproductive modes.

As a function of the rate of uniparental reproduction (either selfing or asexual reproduction),

these plots show (top) the total mutation count present in the sample of 50 individuals, and

(bottom) the number of homozygous genotypes present in the sample. Error bars represent

95% confidence intervals; if they are not shown then they lie within a point. See Supplementary

Figure S6 for the total count on a linear scale.

Alt text: *Graphs showing total mutation count and number of homozygote genotypes, with*

different points indicating different rates of either self-fertilisation or partial asexual

reproduction.

Figure 5: Mean fitness for obligately asexual populations when mitotic gene conversion

is present at different rates. *Results are shown as a function of time. Thick lines are mean*

values, while thinner shading around lines show 95% confidence intervals.

***Alt text:** Graph showing the mean fitness over time for complete asexual reproduction, with*

different colour lines showing different rates of mitotic gene conversion.

Figure 6: Deleterious mutation counts for obligate asexual populations with mitotic gene conversion present. *Results are shown for both simulations and analytic expectations assuming no interactions between loci, as a function of the rate of gene conversion initiation at a single site. Plots show (top) the total mutation count present in the sample of 50 individuals, and (bottom) the number of homozygous genotypes present in the sample. Error bars for simulation results represent 95% confidence intervals; if they are not shown then they lie within a point.*

Alt text: *Graph showing total mutation count and number of homozygote genotypes from both simulations and analytical expectations, with different points indicating different rates of gene conversion under complete asexual reproduction.*

Figure 7: Comparing mutation counts under different levels of self-fertilisation to asexual populations subject to mitotic gene conversion*. Plots show (top) the total mutation count present in the sample of 50 individuals, and (bottom) the number of homozygous genotypes present in the sample. Note that only* gene conversion *rates of $4x10^{-2}$ and $4x10^{-3}$ are shown in the top panel. The points show results under different levels of self-fertilisation; error bars represent 95% confidence intervals, and if they are not shown then they lie within a point. Coloured lines are results for asexuality with different levels of mitotic* gene conversion, *as indicated by the legend; shaded bands around lines indicate 95% confidence intervals.*

Alt text: *Graph comparing total mutation count and number of homozygote genotypes, with points indicating different selfing rates, and horizontal lines are results for complete asexuality with different gene conversion rates.*

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