Adaptive arousal regulation: Pharmacologically shifting the peak of the Yerkes-Dodson curve by catecholaminergic enhancement of arousal

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L.B., J.F.M., S.A.N. and S.v.G. designed research; S.A.N. collected data; L.B. analyzed the experimental data; J.F.M. performed the computational modeling; J.B.Z. curated data, maintained project administration; J.W.d.G. contributed new reagents/analytic tools; L.B., J.F.M. and S.v.G. wrote the first draft of the paper; S.A.N., J.W.d.G., and J.J.F. edited the manuscript, S.v.G. and J.J.F. supervised the project.

Key words
Decision-making, pharmacology, arousal, brain state, computational modeling

Abstract

Performance typically peaks at moderate arousal levels, consistent with the Yerkes-Dodson law, as confirmed by recent human and mouse pupillometry studies. Arousal states are influenced by neuromodulators like catecholamines (noradrenaline; NA and dopamine; DA) and acetylcholine (ACh). To explore their causal roles in this law, we pharmacologically enhanced arousal while measuring human decision-making and spontaneous arousal fluctuations via pupil size. The catecholaminergic agent atomoxetine (ATX) increased overall arousal and shifted the entire arousal-performance curve, suggesting a relative arousal mechanism where performance adapts to arousal fluctuations within arousal states. In contrast, the cholinergic agent donepezil (DNP) did not affect arousal or the curve. We modeled these findings in a neurobiologically plausible computational framework, showing how catecholaminergic modulation alters a disinhibitory neural circuit that encodes sensory evidence for decision-making. This work suggests that performance adapts flexibly to arousal fluctuations, ensuring optimal performance in each and every arousal state.

Introduction

Behavioral performance typically peaks during mid-level arousal – a relationship described by the classic Yerkes-Dodson law and recently confirmed in human and mouse pupillometry studies[1–6]. Arousal fluctuates continuously across several time scales (e.g., days, hours, minutes, seconds) and these fluctuations are primarily influenced by the catecholaminergic (CA) and cholinergic (ACh) neurotransmitter systems[5,7–9], which regulate the balance between sympathetic (fight or flight mode) and parasympathetic nervous system activity (rest and digest mode). The neuromodulator systems that regulate arousal also modulate pupil dilation[5,7,10–15], making non-luminance-mediated changes in pupil size a robust marker of spontaneous fluctuations of arousal, often referred to as "pupil-linked arousal"[5,16–18].

Given that pupil size reflects the combined influence of various neuromodulatory systems (besides ACh and catecholamines e.g., also serotonin and orexin)[7,10,11,13,14,19], previous work using pupil-linked arousal could not effectively distinguish the individual contributions of each neuromodulator to the Yerkes-Dodson law. Precise manipulation of these systems is crucial for unraveling their distinct roles in arousal-performance dynamics. Clarifying these mechanisms is not just a fundamental question in neuroscience, it also has significant implications for treating conditions like ADHD, anxiety, and sleep disturbances, which all involve arousal dysregulation[20,21]. Additionally, disentangling the influence of neuromodulators on performance could enhance human performance in high-stakes environments (e.g., aviation, emergency response) by informing strategies to optimize arousal levels.

Here we pharmacologically increase neuromodulation using two different drugs that each enhance one main neuromodulatory driver of cortical arousal[5,8,22] to assess their relative roles in the inverted U-shaped arousal-performance relationship. The first drug, Donepezil (DNP), is a selective cholinesterase inhibitor that increases ACh levels by hindering the breakdown of acetylcholine[23]. The second drug, Atomoxetine (ATX), increases noradrenaline (NA) and dopamine (DA) levels by blocking their reuptake into the presynaptic terminal via NA

transporters, serving as a relatively selective noradrenaline reuptake inhibitor (as both NA and
DA are CAs, we refer to ATX as a catecholaminergic drug)[24]. Both neuromodulators have been
shown to affect overall performance in simple decision-making tasks (ACh:[25–27],
catecholamines:[26,28–32]). By comparing the relationship between pupil-linked arousal
fluctuations and performance in these enhanced neuromodulation states to a placebo control
condition (PLC), we aim to clarify the roles of catecholamines and ACh in the pupil-linked
arousal-performance relationship.

In addition, this pharmacological approach allows us to address another key open
question about the Yerkes-Dodson law: whether it is absolute (and fixed) or relative (and
adaptive). The common conception is that there is a fixed relationship between arousal and
performance, with optimal performance during moderate arousal states, and inferior
performance during states of low and high arousal. In this scenario, performance depends on
the absolute arousal level and therefore the Yerkes-Dodson curve spans the entire arousal
spectrum (absolute arousal scenario). Consequently, once arousal surpasses the moderate
("optimal") level, performance will keep declining with increasing arousal, due to the selective
sampling of only the right (descending) half of the curve (**Figure 1A**). Alternatively, when an
organism moves from a relaxed context to a more stressful context, the neural circuitry may
adapt to these new circumstances. In this relative arousal scenario, the relationship between
arousal fluctuations and task performance is inverted U-shaped within each context (**Figure
1B**). In other words, even though the position of the pupil-linked arousal-performance
relationship might change due to overall arousal changes, its shape remains intact. Such
flexible adjustments to environmental conditions, reminiscent of (divisive) normalization[33–35],
have been observed in other domains in neuroscience as well. For example, neuronal
responses in V1 to a stimulus can adapt based on the average contrast in the visual field[34],
and in mouse hippocampal network activity, neurons can dynamically scale their firing range
depending on the size of the surrounding environment of the animal[36]. For the first time in
humans, we combine pharmacologically induced arousal state manipulations with
simultaneous and continuous measurement of pupil-linked arousal fluctuations and
behavioral psychophysics to arbitrate between the absolute and relative arousal scenario. In
addition, we use computational modelling to gain a better understanding of the empirical
results.
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Results
Participants (N=28) took part in three all-day (9am-4pm) experimental sessions in which they
either received PLC, DNP (5mg), or ATX (40mg) in randomized order (**Figure 1C**). The
administration scheme ensured that blood concentration levels for DNP and ATX peaked when
the tasks commenced (see Methods). All participants performed four different visual decision-
making tasks: two Gabor detection tasks (presence/absence judgement) and two Gabor
orientation discrimination tasks (left/right tilt judgement, **Figure 1D**). We recorded on average
6337 trials per participant, resulting in a total of 177460 trials. Performance was matched

    across all tasks and titrated to 75% correct (mean accuracy across tasks was 75.7% for the PLC
121   condition).

Figure 1. Scenarios, tasks, arousal modulation, and pupil analysis.
*(A) Schematic of the absolute and (B) relative arousal scenario. (C) Outline of a session
including drug administration scheme. (D) Schematic stimuli of the detection and
discrimination tasks. (E) Histogram of prestimulus pupil size split by drugs. (F) Mean
prestimulus pupil size modulation for DNP and ATX as compared to PLC. (G) Prestimulus pupil
variance (standard error of the mean: SEM) modulation for DNP and ATX as compared to PLC.
(H) Heart rate (HR) modulation and (I) Blood pressure (BP) modulation for DNP minus PLC and
ATX minus PLC (referred to as ATX and DNP respectively on the x-axis). (J) Example of the
continuous pupil signal of seven trials with baseline windows [-.5s to 0s to stimulus onset]
divided over five bins. Colors indicate pupil size bins, see legend in K. (K) Example data of three
sessions of the discrimination task of a sample participant. Bins are determined for each task
run (block) separately. Each dot represents the baseline pupil size of a trial, and the colors
indicate bin membership (1-5). Black vertical lines indicate mean pupil size of each run.
***p<.001, **p<.01, statistics in text.*

First, we assessed whether the pharmacological agents ATX and DNP had increased
physiological arousal as compared to PLC. To index arousal, we measured prestimulus pupil
size, prestimulus pupil size variation, heart rate (HR) and mean arterial blood pressure (BP).
We observed a clear increase in mean prestimulus pupil size, indicative of a heightened
arousal state, after ingestion of ATX as compared to PLC (t(27)=4.78, p<.001; **Figure 1E-1F**;
more statistical tests in the **Supplements**). In addition, ATX increased the range (i.e., variance)

of arousal fluctuations, evidenced by a larger variation in prestimulus pupil size ($t(27)=3.43$, $p=.002$; **Figure 1G**). Lastly, ATX increased heart rate and blood pressure (HR: $t(27)=4.11$, $p<.001$; BP: $t(27)=4.33$, $p<.001$; **Figure 1H-I**). DNP did not affect any of these measures (more details in the **Supplements**, also see [31]). Previous non-clinical studies that report physiological responses of 5mg DNP[37,38] also did not observe consistent subjective or physiological effects of DNP. However, even in the absence of such autonomic markers, 5mg DNP has been shown to induce changes in task behavior and associated neural activity patterns[25,27,31,37-40]. Therefore, we still performed the intended analyses for DNP.

Next, we confirmed that the arousal-performance relationship was indeed inverted U-shaped in the PLC condition, as we previously showed for both visual as well as auditory sensory input[1]. Identical to our previous study, we used mean pupil size in the 500ms leading up to target onset as a proxy for arousal. We initially assigned each trial to one of twenty equally populated bins based on prestimulus pupil size (**Figure 1J**; visualized for five bins). We performed this binning procedure for each run of all tasks separately, thereby focusing on arousal fluctuations that occur over the course of single experimental runs (i.e., blocks, **Figure 1K**). For each pupil bin we calculated Signal Detection Theoretic sensitivity (d'[41]) and mean reaction times (RT). Note that an increase in performance is equivalent to a decrease in RT, which means that the expected relationship between arousal and RT is U-shaped (not inverted)[1]. We used linear mixed models and probabilistic model comparison to assess whether the pupil-performance relationship was linear or quadratic. A difference in AIC[42] or BIC[43] values of more than 10 (i.e., ΔAIC or ΔBIC>10) is considered evidence that the winning model captures the data significantly better[44]. Both ΔAIC and ΔBIC were strongly in favor of a quadratic pupil-performance relationship for d' and RT (all ΔAIC>20.1, all ΔBIC>15.8; **Figure 2A and D,** green dots; **Supplementary table 2**) in the PLC condition, in line with previous work[1,16-18,45,46].

If ACh or catecholamines play a significant role in the modulation of performance, we expect DNP or ATX to change the shape and/or position of the pupil-linked arousal-performance relationship. In the DNP condition, for which pupil-linked arousal seemed unmodulated (i.e., position on the arousal spectrum was unaltered), the shape of the arousal-performance curves appeared unaffected and probabilistic model comparison favored the quadratic model for d' and RT (all ΔAIC>30.1, all ΔBIC>25.8; **Figure 2A and D,** blue dots; **Supplementary table 2**). For ATX, ΔAIC and ΔBIC were also strongly in favor of the quadratic model for both sensitivity and RT in the ATX condition (all ΔAIC>36.6, all ΔBIC>32.2; **Figure 2A and D,** red dots; see also **Supplementary table 2**). Strikingly, the shape of the arousal-performance relationship seemed unaffected under ATX even though the position of the entire quadratic curve was now shifted to the right due to the overall increase in pupil size as compared to PLC (and other physiological arousal measures; indicative of a heightened arousal state; **Figure 1E-F**).

Figure 2. Arousal-performance curves. *(A) Perceptual sensitivity (d') for small to large prestimulus pupils for all tasks combined (20 bins), (B) for discrimination tasks (5 bins) and (C) for detection tasks split for Placebo (PLC), Donepezil (DNP), and Atomoxetine (ATX). (D) reaction time (RT) for small to large prestimulus pupils for all tasks combined (20 bins), (E) for discrimination tasks (5 bins) and (F) for detection tasks. Polynomial regression lines for first and second order fits (only significant fits are shown; significance is indicated in the bottom right of each panel, shading reflects SEM). Error bars on the data points represent SEM of the within-subject variation across tasks. Brackets above the curves indicate significant pupil size differences between drug conditions, indicating the rightward shift for ATX vs. the rest. ***p<.001, **p<.01, *p<.05, means and statistics in* **Supplements**.

Our previous work indicated that the inverted U-shaped pupil-performance relationship does not depend on decision type (detection vs. discrimination) under neutral circumstances[1]. Yet, because the pharmacological manipulation may have affected discrimination and detection decisions differently, we split up our data according to decision type. To accommodate to the lowered statistical power after splitting the data, we now used five pupil bins combined with polynomial regression, identical to our previous work[1]. Again, the pupil-performance relationship was overall quadratically shaped for both sensitivity and RT for all drugs and decision-types (**Figure 2B-C and 2E-F**), although there was also evidence for linear relationships in two cases (likely due to lower trial counts and fewer bins used for fits, details in **Supplements**). As can be seen in **Figure 2B**, besides an overall rightward shift of the arousal-performance curve for ATX, ATX also selectively improved overall sensitivity on the discrimination tasks as compared to PLC (t(27)=2.42, p=.02), reflected in an additional upward shift of the ATX arousal-performance curve. ATX did not influence sensitivity on the detection tasks (t(27)=0.06, p=.95), nor did it influence RTs on either of the tasks (both ps>.27,

more statistics in **Supplements**). DNP did not affect mean sensitivity or RTs for either decision type (all ps>.17; see **Supplements**). In sum, ATX administration appeared to affect discrimination decisions differently, but it did not change the general shape of the pupil-performance relationship.

In all conditions, the intact pupil-performance curve under ATX was shifted rightward on the pupil size axis in line with the relative arousal scenario (**Figure 1B**). Given that catecholamines are extensively linked to Yerkes-Dodson-like relationships[15,26,29], that pharmacological manipulation of catecholamines has been shown to affect behavioral decision-making (i.e., **Figure 2B** and [26,28–32]), and that we observed a clear increase of the arousal state of our participants after ingestion of ATX, including increases in heart rate and blood pressure (**Figure 1H-I**), it seems likely that catecholamines are involved in maintaining the inverted U-shaped arousal-performance relationship, but apparently not in the most straightforward manner. To mechanistically explain how ATX could shift the arousal-performance curve on the arousal axis, essentially normalizing performance within each arousal state, we extended a neurobiologically plausible model that we have previously developed[1]. With this model, we have demonstrated that the Yerkes-Dodson curve can be achieved by the influence of arousal fluctuations on two types of interneurons (vasoactive intestinal peptide; VIP, and somatostatin; SST) that together form a disinhibitory pathway for the excitatory neural populations that encode the available sensory evidence (E_A and E_B encoding the evidence for choice A and B; **Figure 3A**; details in Methods). The model delineates a cortical circuit performing a detection and/or discrimination task under the influence of an arousal signal that is highly correlated to pupil size. It furthermore incorporates a non-selective population of parvalbumin (PV) interneurons, whose role is to provide a baseline level of inhibition and to mediate the competition between excitatory populations E_A and E_B. Both excitatory populations receive sensory input, prompting them to increase their own activity and suppress the activity of the other excitatory population –a process mediated by the inhibitory population PV. A decision is made when the firing rate of one of the excitatory populations reaches a certain predefined threshold, giving rise to a winner-take-all decision process[47], for a detection (A: present, B: absent) or a discrimination decision (choice A, choice B).

Figure 3. Computational modelling. *(A) Schematic description of the first model. Arousal is determined by the combination of arousal fluctuations and the ATX signal (no/low/high ATX). Excitatory populations E_A and E_B encode two possible choices in a decision-making task (choice A and B). E_A and E_B are modulated by the arousal signal to VIP and SST cells. PV interneurons mediate the competition between E_A and E_B. Connectivity follows electrophysiological evidence and previous modeling approaches. Lines with arrows/dots indicate excitatory/inhibitory connections, respectively. (B) Arousal-performance curves derived from the first model, expressed in d' and RT (C) as a function of arousal plotted for no/low/high ATX. (D) Schematic description of the second model. ATX increases arousal, but also excites neural population X, which subsequently inhibits the inhibitory chain of VIP and SST cells. (E) Arousal-performance curves of the second model for d'and RT (F).*

We extended the model with a neuromodulation (ATX) signal to gain a better understanding of the mechanism behind the observed shift of the arousal-performance curve after ingestion of ATX. As a first step, we implemented what we considered to be the simplest solution: an ATX signal that selectively increases arousal (**Figure 3A**) in line with the influence of ATX on physiological arousal measures that we observed (**Figure 1E-I**). Note that the model now has two "arousal inputs" that together determine arousal: arousal fluctuations as well as ATX induced arousal (state) enhancement. These two arousal inputs are summed into the arousal node (lilac in **Figure 3A**), whose output is plotted on the x-axis of our modelling results figures, as it directly translates to the arousal state that we measure with pupil size in our experimental studies.

When we simulated performance of this version of the model with two levels of ATX (low/high ATX) and compared this to baseline (no ATX), we observed that the relationship between performance measures and arousal became linear whilst shifting on the arousal axis (**Figure 3B** and **3C**), and this implementation of the model was therefore not able to mimic our

findings. We thus considered a second, more elaborate model architecture in which ATX
enhances arousal, but simultaneously influences other brain areas, which may have additional
modulatory effects on neural circuits involved in the task. More concretely, we introduced a
non-specific catecholamine-selective population that is excited by ATX but downregulates
activity of both VIP and SST neurons (region "X", **Figure 3D**). Note that we assume that the
(negative) modulation strength from the catecholamine-selective area X to VIP and SST is
stronger than the (positive) modulation strength of summed arousal to population VIP and
SST (i.e., thicker purple lines from X to VIP/SST in **Figure 3B**), otherwise the opposing effects
of these connections would cancel each other out or be overcompensated. This new model
nicely echoes our experimental observations. First, when ATX increases above baseline (no
ATX/PLC; **Figure 3E-F**) levels, arousal is increased as observed experimentally in pupil size.
Second, the firing of SST and VIP cells is weakly excited via the increased arousal level, but
strongly inhibited by the catecholamine-selective population X that is activated by ATX. This
means that the working point of the VIP-SST-pyramidal cell disinhibitory pathway is altered,
providing more inhibition to the excitatory populations than under no ATX/PLC. In other
words, the net effect of (low/high) ATX is stronger inhibition of the excitatory populations as
compared to no ATX/PLC. As a result, the shape of the arousal-performance curves will remain
intact but peak performance under ATX will occur at higher levels of arousal as compared to
PLC. With the administration of ATX, the arousal-performance curve thus shifts to the right on
the arousal (i.e. pupil size) axis, and more ATX equals a larger shift (**Figure 3C-D**). Taken
together, we present a new model in which ATX causes a rightward shift of the arousal-
performance relationship via a population of catecholamine-selective neurons that inhibit VIP
and SST populations. In the discussion section we will elaborate on which brain region (or
neural population) may fulfill the role of node X in our model.
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Discussion
Here we demonstrated that the relationship between pupil-linked arousal and task
performance is inverted U-shaped, even when the arousal state is heightened due to the
administration of the catecholaminergic drug atomoxetine (ATX). Strikingly, ATX shifted the
arousal-performance curve rightward on the (pupil-linked) arousal axis without altering its
overall shape. This suggests that task performance is not simply tied to the absolute level of
arousal, but it is rather optimized relative to fluctuations within a given arousal state,
supporting the relative arousal scenario (**Fig. 1B**). The relationship between arousal and
performance may thus be more adaptive than previously understood, potentially operating
through mechanisms similar to well-known normalization processes in neuroscience[33–35].
Arousal typically fluctuates around a midpoint, producing a normal distribution of arousal
fluctuations within each arousal state, even in high arousal states (i.e., red curve in **Figure 1E**
and see[5,6]). By centering the arousal-performance curve around this midpoint, organisms
optimize performance for the most frequently encountered arousal levels within each state,
ensuring overall higher performance compared to a flat or linear relationship. Previous
research has indeed demonstrated that organisms can adapt their overall arousal state to

maximize performance[6,48,49], in line with the adaptive gain theory[15]. For example, mice adjust
their arousal state to an optimal level in high-utility task contexts, partially by suppressing
irrelevant locomotor behavior[6]. Together, these findings underscore the flexibility and
efficiency of neural circuits responsible for shaping the arousal-performance relationship,
both within and across arousal states.

At first glance, our findings may seem at odds with the common interpretation of the
Yerkes-Dodson law, which states that performance should always peak during states of
(global) moderate arousal. There is indeed evidence in animals, humans and computational
modeling that performance is impaired during very low (i.e., drowsiness) or very high (i.e.,
locomotion) arousal states as compared to neutral states[5,6,9,46,50–53]. Yet, this body of work
often reduces low and high arousal states to one or two data points, neglecting the shape of
the arousal-performance relationship *within* these states, or more subtle arousal fluctuations
in general. The Yerkes-Dodson law and the relative arousal scenario can coexist, as (relative)
arousal fluctuations may shape performance *within* states on top of overall performance
differences that occur *between* arousal states.

An important, yet open question is how relative arousal shapes performance over
time. In our study, we manipulated arousal state across sessions, on different days, allowing
participants to establish a new equilibrium for optimal performance in each session. At
present, it is unclear how long an organism must spend in a new arousal state for the neural
circuitry to adapt, and thus for the inverted U-shaped arousal-performance relationship to
shift. Future experiments could compare the arousal-performance relationship during
session-wise arousal state manipulations, as in our experiment, to block-wise manipulations
(e.g., alternating blocks of stationarity and locomotion). This approach would help determine
if the inverted U-shaped arousal-performance relationship requires extended time in a state
to emerge.

Possibly surprisingly, ATX selectively improved mean task performance for the
discrimination tasks compared to PLC, whilst the Yerkes-Dodson law would predict the
opposite. However, with only two arousal states (PLC and ATX) one cannot establish the
relative positions of those states on the Yerkes-Dodson curve. It is notoriously difficult to
establish the (starting) point of individuals on the Yerkes-Dodson-curve and some auxiliary
measures have been proposed as proxies (e.g., working memory capacity)[26,29,54], but so far
not satisfactorily. The observed data pattern suggests that participants were slightly under-
aroused during the PLC condition, which is common during long experiments, and closer to
peak performance in the ATX condition.

In contrast to ATX, DNP did not appear to modulate arousal. It is not uncommon that
DNP does not elicit any changes in physiological arousal, even in the presence of behavioral
or neural effects[25,27,31,32,37–39], which we did not observe either. Our results do not allow us to
dissociate between two possible conclusions about the role of acetylcholine: 1) either the
cholinergic manipulation was ineffective, or 2) DNP was effective, but acetylcholine does not
disrupt the shape of the arousal-performance relationship. Future work with a higher dose of
DNP, other cholinergic agents (e.g., galantamine, rivastigmine), or optogenetics could provide

more insight into the role of acetylcholine in the arousal-performance relationship (for more
discussion see [8,31,32]).

To provide a potential neural mechanism that explains our observations, we extended
a computational model that we posited in previous work in two ways[1]: 1) we added a
neuromodulation signal that heightened (summed) arousal, and 2) we included an additional
brain region (called X), which strongly inhibits the activity of SST and VIP cells. There are
several lines of evidence suggesting that prefrontal cortex (PFC) could potentially fulfill the
role of region X in our model. First, acute administration of ATX mainly increases extracellular
levels of noradrenaline and dopamine in PFC, and leaves extracellular catecholamine levels in
other catecholamine-responsive regions (e.g., striatum and nucleus accumbens) unaffected in
rodents[55,56]. Second, PFC, and in particular the orbitofrontal (OFC) and anterior cingulate
cortex (ACC), plays an important role in the evaluation of task utility[15,57]. More specifically,
these regions monitor the costs and benefits of task performance, which is essential for
adapting neuromodulation levels to optimize performance. Moreover, OFC and ACC send
strong convergent projections to the noradrenergic locus coeruleus (LC) in monkeys[11,15,58].
This connectivity allows LC to adapt neuromodulation (and consequently arousal) levels to
task utility information that comes from high-level structures. PFC may direct similar
information about task utility to the disinhibitory chain of VIP/SST interneurons, analogous to
the role of population X in our model. Indeed, top-down projections from frontal/motor
cortices are known to target SST and VIP cells in rodents leading to disinhibitory effects in
sensory areas[59]. Such top-down modulation to SST/VIP is dependent on behavioral
strategies[60], again suggesting the involvement of high association areas like PFC. By
modulating the activity of these interneurons, PFC can effectively influence the arousal-
performance relationship, ensuring that performance remains optimal within different
arousal states.

The computational model presented here considers inhibition and disinhibition as
fundamental ingredients for the effect of arousal on performance. Besides its importance in
the Yerkes-Dodson law[1], inhibition has been highlighted as a key component in arousal-
mediated regulation of visual processing[61,62] and normalization of activity in attention models
in vision[33,34]. Mapping normalization models to concrete biophysical implementations is a
difficult and unresolved problem, although subtractive, divisive and nonlinear transformations
needed for normalization effects to occur, may emerge from a combination of inhibition and
noise[63], both existing ingredients of our model. Exploring our proposed mechanism in more
detailed models including PV, SST and VIP cells[64–66] should shed more light onto the particular
circuits and layers involved in arousal-regulated perception.

Methods

Subjects

30 right-handed Dutch speaking male participants (aged between 18-30) were recruited from the University of Amsterdam for this study. Because this study involved a pharmacological manipulation, all participants underwent extensive medical and psychological screening to rule out any medical or mental illnesses. All participants gave written consent for participation and received monetary compensation. This study was approved both by the local ethics committee of the University of Amsterdam and the Medical Ethical Committee of the Amsterdam Medical Centre. All participants provided written informed consent after explanation of the experimental protocol. Two participants decided to withdraw from the experiment after the first experimental session. The data from these participants was excluded from further analyses, resulting in N=28 for the results presented here.

Screening procedure

After completing the registration process, potential participants were contacted via e-mail to communicate the inclusion criteria, specific procedures, and potential risks associated with the study. Additionally, they were provided with the contact information of an independent physician whom they could reach out to for any inquiries or further clarifications. Following a contemplation period of seven days, candidates were contacted again to invite them for a preliminary phone discussion. This pre-intake conversation aimed to verify that the candidate indeed fulfilled all the necessary inclusion and exclusion criteria (a comprehensive list of criteria can be found in the **Supplements**). If the requirements were met, candidates were then invited to participate in an on-site intake session at the research facility of the University of Amsterdam. During this face-to-face intake session, the experimental protocol was thoroughly explained, including the subsequent physical and mental evaluations, after which candidate participants were asked to provide their written consent. The intake process also encompassed a range of physiological measurements such as body mass index (BMI), heart rate, blood pressure (BP), and an electrocardiogram (ECG). Additionally, a psychiatric questionnaire was administered to assess the candidates' mental well-being. The information gathered during the intake was carefully reviewed by a physician, who subsequently determined the eligibility of each candidate participant. Finally, participants performed the staircasing procedure for the behavioral tasks (for more details, see **Staircasing procedure**).

Drug administration

This study employed a randomized, double-blind crossover design. Atomoxetine (ATX; 40mg), donepezil (DNP; 5mg) and placebo (PLC) were administered on different experimental sessions, all separated by a minimum of seven days. The sequence in which the drugs were given was counterbalances among the participants. The experimental days commenced at 9am and concluded at 4pm. Since ATX (~2 hours) and DNP (~4 hours) reach their peak plasma levels at distinct times, they were administered prior to the initiation of the behavioral tasks

at different intervals (**Figure 1C**). To maintain the integrity of the double-blind setup,
participants were instructed to orally consume a pill four hours before the commencement of
the initial behavioral task. This pill could either contain DNP or PLC. Subsequently, a second
pill was ingested two hours prior to the start of the same task, which could contain either ATX
or PLC. This ensured that participants received either PLC along with an active pharmaceutical
or two PLCs during each experimental session. Additional details regarding ATX and DNP can
be found in the **Supplements**.
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Procedure
Participants performed multiple tasks during a single experimental session. During the first
two hours of each session, participants performed auditory discrimination and detection tasks
that are not part of this study. In the second half of all sessions, participants performed four
versions of visual detection and discrimination tasks. The order of the tasks was randomized
between participants, but constant over sessions for each participant.
Below, we provide a brief description of the behavioral tasks, for additional results
please see[31]. During all tasks, participants were seated 80cm from a computer monitor
(69x39cm, 60Hz, 1920x1080 pixels) in a darkened, sound isolated room. To minimize head
movements, participants rested their heads on a head-mount with chinrest. All tasks were
programmed in Python 2.7 using PsychoPy[67] and in-house scripts.
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Behavioral tasks
Participants performed four visual tasks. Two of these were variations of a discrimination task,
in which participants were asked to discriminate the orientation of a Gabor patch hidden in
dynamic visual noise as being rotated clockwise (CW: 45°, 50% of trials) or counterclockwise
(CCW: -45°, 50% of trials; **Figure 1D**). The other two tasks were visual detection tasks in which
participants had to indicate whether they believed a Gabor patch (CCW or CW) to be present
or absent (50% present trials). The two detection tasks differed in terms of response bias
manipulation (conservative versus liberal, see below). Besides these main task characteristics,
there were some additional instructions and manipulations that are discussed below. During
all tasks, the online measurement of gaze position ensured that participants maintained
fixation. Trials in which gaze deviated by more than 1.5° from fixation on the horizontal axis
were excluded from subsequent analyses.
457
<u>Cued visual discrimination task</u>
The first visual discrimination task was an adaptation of the Posner cueing task[68]. Target
stimuli were presented unilaterally for a duration of 200ms, appearing either on the left or
right side of the screen in equal proportions (50% left, 50% right). 1300ms prior to target
presentation, a spatial cue that was predictive of the target stimulus location was presented
for 300ms (80% cue validity). Participants were instructed to use this spatial cue to covertly
shift their attention towards the cued location. Participants had the opportunity to respond
within 1400 milliseconds after the onset of the target stimulus by pressing one of two buttons

on the keyboard (*S* for CCW Gabor patches, *K* for CW). A variable inter-trial interval (ITI) randomly drawn from a uniform distribution between 250ms and 350ms started directly after a response or the end of the response window if no response was given. Participants performed 560 trials per session of this task, distributed over two runs of 280 trials.

Uncued visual discrimination task

The other discrimination task took the form of a classical visual discrimination task. Target stimuli were presented centrally for a duration of 200 milliseconds without any additional manipulations. In addition to identifying the orientation of the target stimulus, participants were also required to provide their level of confidence in their decision. Prior to engaging in the task, participants received instructions to evenly distribute their confidence reports, a measure taken to ensure a balanced reporting of low and high confidence answers and prevent bias towards low confidence responses in this challenging task. Participants conveyed their orientation judgment and confidence simultaneously by pressing one of four designated buttons on the keyboard (A for high confidence CCW Gabor patches, S for low confidence CCW, K for low confidence CW, L for high confidence CW). Once again, participants had a time window of 1400 milliseconds to make their response, followed by a 250-350ms ITI. Participants completed a total of 600 trials for the uncued visual discrimination task, divided across two sets of 300 trials each.

Liberal visual detection task

In the liberal visual detection task, target stimuli were presented centrally for 200ms as well. On every trial, the noise stimulus (a circle containing dynamic noise) was presented, while the target stimuli (Gabor patches) were only exhibited in 50% of the trials. Participants were instructed to determine whether they perceived a target stimulus or not, and they indicated their response by pressing *S* (for target absent) or *K* (for target present). These target stimuli retained the same CCW and CW orientations as in the discrimination tasks, but the orientation was not task-relevant and could be disregarded. The response window was again 1400ms from stimulus onset, followed by a 250-350ms ITI. Response bias was manipulated towards more liberal answers by means of negative auditory feedback in the form of a buzzer sound after missed target stimuli (i.e., misses in signal detection theory), presented immediately after the response. Participants performed 480 trials of the liberal visual detection task on each session, distributed over two runs of 240 trials.

Conservative visual detection task

The conservative detection task was like the liberal detection task, differing solely in the manipulation of response bias towards more conservative responses. In this case, negative auditory feedback was provided after falsely reporting the presence of a target stimulus (i.e., false alarms in signal detection theory). Again, participants performed 480 trials (two runs of 240 trials) per session of this task.

 <u>Staircasing procedure</u>

We titrated performance on all tasks to 75% correct in a separate intake session that preceded
the three experimental sessions discussed here[31]. To this end, the opacity of the Gabor
patches (i.e., signal strength) was varied according to the weighted transformed up/down
method proposed by Kaernbach[69], whilst the visual noise was kept constant.

Eye-tracking acquisition and preprocessing

Gaze position and pupil size were recorded with an EyeLink 1000 eye tracker (SR Research,
Canada) during the experiment at 500Hz. Nine-point calibration was performed at the start of
each run to ensure high data quality. Additionally, a head-mount with a chinrest was
employed to minimize any potential head movements from participants. Participants were
given explicit instructions to keep head movements to a minimum and to try to avoid blinking
during trials.

The pupillometry data of all tasks was preprocessed in the exact same way. Pupil traces
were lowpass filtered at 10Hz, blinks were linearly interpolated and the effects of blinks and
saccades on pupil diameter were removed via finite impulse-response deconvolution[70]. Note
that EyeLink provides pupil size in arbitrary units, which we divided by 1000 for plotting
purposes.

Data analysis

<u>Analysis for data collapsed across tasks</u>

To assess the overall shape of the relationship between prestimulus pupil-linked arousal and
perceptual decision-making, we first combined the data of all tasks, split for the
pharmacological manipulation. To quantify prestimulus pupil-linked arousal, we took the
minimally preprocessed pupil traces of all tasks, and we calculated the average pupil size in
the baseline window (-500ms – 0ms) before each stimulus onset (**Figure 1J**). We excluded all
trials for which the eyes were closed during the entire baseline window, as well as trials for
which pupil size during the baseline window was smaller or larger than three standard
deviations from a subjects' mean baseline pupil size. Next, for each run of each task
separately, we assigned each trial to one of twenty equally populated bins based on the
average prestimulus pupil size (note that we use five bins for the <u>Analyses performed</u>
<u>separately for decision type</u>). The binning procedure was performed per run because it is not
possible to assess whether pupil size differences between individual runs are the result of
arousal fluctuations or small shifts in the exact head location (even though we used a head-
mount). Therefore, we are looking at arousal fluctuations that occur within experimental runs
(**Figure 1K**, shown for five bins).

After having assigned all trials to twenty bins, we calculated Signal Detection Theoretic
sensitivity (SDTs d'[41]) and average reaction time (RT) as our dependent measures of perceptual
decision-making for each bin. We also calculated the mean pupil size for each bin to replace
(equally spaced) bin numbers with (not necessarily equally spaced) mean prestimulus pupil
size values, to do justice to the true relationship between pupil size and decision behavior.

Next, we subsequently averaged over runs and tasks, leaving us with mean d' and RT for 20
bins for each subject and for each drug. To assess the shape of the relationship between
arousal and perceptual decision-making, we next used mixed linear models (see below Mixed
linear models).
552
Mixed linear models
We used a mixed linear modeling approach implemented in the Python-package
Statsmodels[71] to quantify the dependence of behavioral sensitivity and reaction time on pupil
size[72]. Specifically, we fitted two mixed models to test whether pupil response bin
predominantly exhibited a monotonic effect (first-order), or a non-monotonic effect (second-
order) on the behavioral metric of interest (*y*). The fixed effects were specified as:
559
560 Model 1: $y \sim \beta_0 1 + \beta_1 P^1$ (Eq. 1)
561
562 Model 2: $y \sim \beta_0 1 + \beta_1 P^1 + \beta_2 P^2$ (Eq. 2)
563
564 with β as regression coefficients and P as the average baseline pupil size in each bin. We
565 included the maximal random effects structure justified by the design[73]: intercepts and pupil
566 size coefficients could vary with participant. The mixed models were fitted through restricted
567 maximum likelihood estimation. The two models were then formally compared based on
568 Akaike Information Criterion (AIC)[42] and Bayesian Information Criterion (BIC)[43].
569
Analyses performed separately for decision type
After having assessed that the shape of the overall relationship between pupil-linked arousal
and perceptual decision-making was quadratically shaped for all drugs separately, we
investigated whether this relationship also held for the different decision types in our dataset.
We collapsed the data of all tasks over the relevant features (i.e., detection (2 tasks),
discrimination (2 tasks)), but kept the data split for the pharmacological manipulations. We
next treated the data as before (see Analysis for data collapsed across all tasks), but this time
we assigned the trials to five equally populated bins (instead of twenty) to compensate for the
lowered power after splitting the data (**Figure 1J-K**). Next, we performed polynomial
regression (see below Polynomial regression) to assess the shape of the relationship between
arousal and perceptual decision-making in the different decision types for each drug
separately in our dataset.
582
Polynomial regression
To assess the shape of the relationship between arousal and perceptual decision-making
(quadratic or linear), we performed second order polynomial regression. Because we were
merely interested in the shape of the relationship, and for visualization purposes, we first
normalized our data in the pupil dimension (i.e., essentially centering the data around a
common mean). Next, we modeled the relationship between our observed behavior (d' and

RT) and prestimulus pupil size as a negative quadratic relationship, and as a (unsigned) linear
relationship using ordinary least squares linear regression with freely varying intercepts. We
extracted the relevant beta coefficient from each model (β_1 for the linear model and β_2 for the
quadratic model) for each subject and tested whether the coefficients were significantly
different from zero using one-sample t-tests (α=.05). We first tested the significance of the
linear model (two-sided), followed by the quadratic model. After having established that the
overall relationship between prestimulus pupil and sensitivity/RT was negatively/positively
quadratically shaped, respectively, we performed one-sided tests for the quadratic beta
coefficients.
598
Methods: Computational model
Following and extending our previous efforts, we considered a population-based model of
neural dynamics to describe a general decision-making task[47], which we adapted for our
detection/discrimination tasks[1]. The model simulates, in a first instance, the temporal
evolution of global synaptic conductance variables corresponding to the NMDA and GABA
receptors of two competing excitatory populations and one inhibitory (PV) population. The
model is described by the following equations:
606
607   $$\frac{dS_A}{dt} = -\frac{S_A}{\tau_N} + \gamma \left(1 - S_A\right) r_A \qquad \text{(Eq. 3)}$$
608
609   $$\frac{dS_B}{dt} = -\frac{S_B}{\tau_N} + \gamma \left(1 - S_B\right) r_B \qquad \text{(Eq. 4)}$$
610
611   $$\frac{dS_C}{dt} = -\frac{S_C}{\tau_G} + \gamma_I \, r_C \qquad \text{(Eq. 5)}$$
612
Above, S_A and S_B correspond, respectively, to the NMDA conductances of selective excitatory
populations A and B, and S_C corresponds to the GABAergic conductance of the inhibitory
population. The parameters in these equations take the following values: τ_N=60 ms, τ_G=5 ms,
γ=1.282 and γ_I=2. The variables r_A, r_B and r_C are the mean firing rates of the two excitatory
populations and one inhibitory population, respectively. We obtain their values by solving, at
each time step, the transcendental equation $r_i = \phi_i(I_i)$, with ϕ being a transfer function of
the population (specified below) and I_i being the input to population 'i', given by:
620
621   $$I_A = J_s S_A + J_c S_B + J_{EI} S_C + I_{0A} + I_{SST}^A + x_A(t) + I_{sensory}^A(t) \qquad \text{(Eq. 6)}$$
622
623   $$I_B = J_c S_A + J_s S_B + J_{EI} S_C + I_{0B} + I_{SST}^B + x_B(t) + I_{sensory}^B(t) \qquad \text{(Eq. 7)}$$
624
625   $$I_C = J_{IE} S_A + J_{IE} S_B + J_{II} S_C + I_{0C} + x_C(t) \qquad \text{(Eq. 8)}$$
626
The parameters J_s, J_c are the self- and cross-coupling synaptic terms between excitatory
populations, respectively. J_{EI} is the coupling from the inhibitory populations to any of the

excitatory ones, J_{IE} is the coupling from any of the excitatory populations to the inhibitory one,
and J_{II} is the self-coupling strength of the inhibitory population. The parameters I_{0i} with i=A, B,
C are background inputs to each population. Parameters in these equations take the following
values: J_s=0.49 nA, J_c=0.0107 nA, J_{IE}=0.3597 nA, J_{EI}=-0.31 nA, J_{II}=-0.12 nA, I_{0A}=I_{0B}=0.3294 nA and
I_{0C}=0.26 nA. The term I^i_{SST} denotes the input to each excitatory population from its
corresponding SST population (see details about this term below).

The term $x_i(t)$ with i=A, B, C is an Ornstein-Uhlenbeck process, which introduces some level of
stochasticity in the system. It is given by:

$$\tau_{noise} \frac{dx_i}{dt} = -x_i + \sqrt{\tau_{noise}} \, \sigma_i \, \xi_i(t) \qquad \text{(Eq. 9)}$$

Here, $\xi_i(t)$ is a Gaussian white noise, the time constant is τ_{noise}=2 ms and the noise strength is
$\sigma_{A,B}$=0.03 nA for excitatory populations and σ_C=0 for the inhibitory one.

The last term in Eqs. 6 and 7 represents the external sensory input arriving to both
populations. Assuming a detection task in which the subject has to detect the sensory stimulus
A, the input is given by:

$$I^A_{sensory}(t) = \mu_0, \qquad I^B_{sensory}(t) = 0. \qquad \text{(Eq. 10)}$$

The average stimulus strength is μ_0=0.0133 unless specified otherwise. The stimulus is present
during the whole duration of the trial. The discrimination task can be simply simulated by
alternating the population which receives the input in the equation above, and therefore
provides equivalent results.

The transfer function $\phi_i(t)$ which transform the input into firing rates takes the following form
for the excitatory populations:

$$\phi_{A,B}(I) = \frac{1}{2} \frac{aI-b}{1-\exp[-d\,(aI-b)]} \qquad \text{(Eq. 11)}$$

The values for the parameters are *a*=135 Hz/nA, *b*=54 Hz and *d*=0.308 s. For the inhibitory
population a similar function can be used, but for convenience we choose a threshold-linear
function:

$$\phi_C(I) = \left[\frac{1}{g_I}\,(c_1 I - c_0) + r_0\right]_{0,20} \qquad \text{(Eq. 12)}$$

The notation $[x]_{0,20}$ denotes a minimum value of zero (rectification) and a maximum value at
20 spikes/s (saturation). The values for the parameters are g_I=4, c_1=615 Hz/nA, c_0=177 Hz and

r_0=5.5 spikes/s. It is sometimes useful for simulations (although not a requirement) to replace
the transcendental equation $r_i = \phi_i(I_i)$ by its analogous differential equation, of the form:

$$\tau_r \frac{dr_i}{dt} = -r_i + \phi_i(I_i)$$ (Eq. 13)

The time constant can take a typical value of τ_r=2 ms.

In addition to the two selective excitatory populations and the PV population, our model also
includes the effects of top-down input mediated by selective VIP and SST populations. To
introduce this, we assume that the firing rate activity of VIP and SST cells is determined,
respectively, by the following equations:

$$r_{VIP}^i = \left[\alpha_{VIP} \left(z\, I_{PS} + I_{bg} + J_{vx} R_x \right) + \beta_{VIP} \right]_{0,20}$$ (Eq. 14)

$$r_{SST}^i = \left[\alpha_{SST} \left(g_{SST}(z\, I_{PS} + I_{bg} + J_{sx} R_x) + J_{VIP}\, r_{VIP}^i \right) + \beta_{SST} \right]_{0,20}$$ (Eq. 15)

The subindex 'i' indicates the associated selective excitatory populations. Parameter values
are α_{VIP}=50, β_{VIP}=0, α_{SST}=20, β_{SST}=32, g_{SST}=2, J_{VIP}=-0.1, z=0.1 and I_{bg}=0.37. The variable R_x is the
firing rate of unspecified, potentially neuromodulatory neurons (neural population X in **Figure
3D**) modulated by atomoxetine (ATX), which we assume to have an inhibitory effect over all
SST and VIP populations (with synaptic couplings of J_{sx}=-0.06 and J_{vs}=-0.06, respectively) and
follows the equation

$$R_x = z_x\, I_{ATX}$$ (Eq. 16)

Here, z_x=20 is a gain factor and I_{ATX} is the modulation of R_x by ATX, which is I_{ATX}=0 for the
control case, I_{ATX}=0.05 nA for low ATX, and I_{ATX}=0.1 nA for high ATX.

The parameter I_{PS} in Eqs. 12 and 13 indicates the variable associated with the pupil size, which
is both influenced by the level of ATX and the underlying levels of arousal of the organism. It
is given by:

$$I_{PS} = I_{internal} + J_{PS} I_{ATX}$$ (Eq. 17)

Here, we assume that the internally generated arousal levels are $I_{internal}$=0.35 unless specified
otherwise, and J_{PS}=2 to capture the experimentally observed positive effect of ATX on pupil
size.

Finally, we link the firing rate of the SST populations in Eq. 15 with the input to excitatory
populations given in Eqs. 6 and 7 by assuming that:

$I_{SST}^{i} = J_{SST}\, r_{SST}^{i}$ (Eq. 18)

The synaptic strength is given by J_{SST}=-0.001.

For each simulated trial, we consider that the circuit makes a detection when the firing rate

of either the excitatory population A (or B, in the case of a discrimination task) reaches a

threshold of 15 spikes/s. The duration of the trial is set to T_{trial} =1.5 sec. To compute sensitivity

(d') and reaction time, we averaged over 3000 trials for each value of the pupil size considered.

In **Figure 3B-C** and **3D-E**, we plot performance for selected arousal ranges for each ATX level.

Data, materials, and software availability

The data of all experiments, analysis scripts, as well as the computational modeling scripts can

be found at https://osf.io/bczku/

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