

1 **Neuronal activity regulating the dauer entry decision in** 2 *Caenorhabditis elegans*

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12 **Abstract**

13 The model nematode *Caenorhabditis elegans* can choose between two alternative developmental
14 trajectories. Larvae can either become reproductive adults or, under conditions of crowding or low food
15 availability, enter a long-term, stress-resistant diapause known as the dauer stage. Previous studies showed
16 that chemical signals from a secreted larval pheromone promote the dauer trajectory, and that their influence
17 can be antagonised by increased availability of microbial food. The decision is known to be under neuronal
18 control, involving both sensory and interneurons. To make an accurate decision, larvae must collect and
19 compare complex patterns of environmental input over around 25 hours of development. The full
20 composition of this circuit and the algorithm for decision-making are unknown. Here, we used cell-specific
21 chemical silencing to systematically perturb several sensory and interneurons to further elucidate circuit
22 composition. Our results suggest a role for gas-sensing neurons in regulating dauer entry. In addition, we
23 quantitatively characterized the neuronal responses to food and pheromone inputs by measuring calcium
24 traces from ASI and AIA neurons. We found that calcium in ASI increases linearly in response to food, and
25 similarly decreases in response to pheromone, revealing a cellular site of antagonism between these key
26 chemical inputs. Notably, the ASI response persists well beyond removal of the food stimulus, thus
27 encoding a memory of recent food exposure. In contrast, AIA reports instantaneous food availability, and
28 is unaffected by pheromone. We discuss how these findings may inform our understanding of this long-
29 term decision-making process.

30 **Introduction**

31 Phenotypic plasticity allows many organisms to undergo adaptive developmental and physiological changes
32 in response to changing environmental conditions. The most dramatic forms of phenotypic plasticity are
33 polyphenisms, in which individuals are able to choose between discrete alternative morphologies (Nijhout
34

35 2003). A well-known polyphenism in nematodes is the dauer diapause, an alternative developmental
36 trajectory available to *Caenorhabditis elegans* larvae after the first (L1) larval stage (Fig. 1a) (Cassada and
37 Russell 1975). Larvae enter dauer under adverse environmental conditions, and survive for several months
38 without food (Klass and Hirsh 1976). When conditions improve, larvae can resume normal development at
39 the L4 stage, without any effect on adult lifespan. The primary chemical inputs to the decision are microbial
40 food signals, which promote adult development, and the dauer pheromone - a cocktail of secreted small
41 molecules that promote dauer entry by providing a proportional signal of local crowding (Golden and
42 Riddle 1982, 1984). Laser ablation and genetic studies established that entry into the dauer diapause is
43 regulated by the nervous system (Bargmann and Horvitz 1991; Schackwitz et al. 1996; Zwaal et al. 1997).
44 However, the full composition of the dauer circuit is unknown. To correctly anticipate future conditions and
45 influence development accordingly, the nervous system must collect and compare fluctuating patterns of
46 chemical inputs over the course of the early larval stages, emphasizing long-term trends. The complexity
47 of this task suggests a distributed neural circuit capable of considerable plasticity and memory. Elucidating
48 circuit composition is an important step in understanding the neuronal mechanisms of the decision-making
49 algorithm. Recently, chemical silencing of individual neurons (Pokala et al. 2014) in combination with
50 population assays were used to screen for neurons involved in dauer entry (Chai et al. 2022b). This study
51 was the first to reveal a role for an interneuron (AIA). Gene knockout data also suggested that the activities
52 of neuropeptide receptors expressed in a large number of neuron classes across the nervous system can
53 modulate the decision (Chai et al. 2022a). In addition, microfluidics and calcium imaging experiments
54 revealed that ASK and ADL sensory neurons are depolarized by pheromone (Chai et al. 2022b). Thus, a
55 combined approach employing neuroanatomy, genetics, chemical silencing, behavior assays and calcium
56 imaging can provide insight into neuronal regulation of dauer entry.

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58 Here, we used chemical silencing and calcium imaging to identify additional neurons and study neuronal
59 mechanisms involved in dauer entry. As expected, we found ASI inhibits dauer entry. We also found
60 evidence for the BAG and URX sensory neurons in dauer regulation, while excluding several other sensory
61 neurons and interneurons from the circuit (Fig. 1b). In addition, we compared the responses of ASI and AIA
62 neurons to food and pheromone. We found that the calcium activity of ASI neuron displays a striking
63 memory of food exposure, and is oppositely influenced by food and pheromone, while AIA reports the
64 immediate availability of food, and is not responsive to pheromone. These results suggest specialisation of
65 the sensory neuron ASI as a cellular site of antagonism between the key chemical inputs in the dauer
66 decision. This contrasts with previous studies which describe the comparison of sensory inputs of opposite
67 valence at the interneuron level (Ghosh et al. 2016; Gat et al. 2023).

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69 **Results & Discussion**

70 **Composition of the dauer circuit**

71 The first studies to investigate the role of individual neurons in dauer entry used laser ablation, limiting
72 their throughput (Bargmann and Horvitz 1991). To overcome this, we used chemical silencing via integrated
73 transgenes in population assays. We found that silencing ASI promotes dauer entry (Fig. 2A), consistent
74 with its known role as a food-sensor, and with patterns of expression of gene products that inhibit dauer
75 formation (Murakami et al. 2001; Li et al. 2003; Cornils et al. 2011). Silencing ADF or ASG did not affect
76 dauer entry (Fig. 2B, 2C) in contrast to previous laser ablation studies in which ASI, ASG and ADF were
77 co-ablated (Bargmann and Horvitz 1991). ASH is receptive to a wide range of nociceptive stimuli, including
78 hyperosmolarity and noxious chemicals (Hilliard et al. 2005), and inhibits ASI (Guo et al. 2015). We
79 reasoned that the dauer decision may be receptive to output from ASH, but found no evidence for this (Fig.
80 2D).

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82 We also tested BAG and URX, two sensory neurons receptive to gas stimuli. BAG mediates carbon dioxide
83 avoidance (Hallem and Sternberg 2008) and is depolarized by pulses of carbon dioxide (Hallem et al. 2011;
84 Bretscher et al. 2011; Carrillo et al. 2013) and downward shifts in oxygen concentration, while URX is
85 depolarized by upward shifts (Zimmer et al. 2009). We found that inhibiting URX activity promotes dauer,
86 while silencing BAG activity inhibits dauer (Fig. 2G, 2H). More experiments will be needed to clarify how
87 these neurons are involved in dauer regulation. We note that these results are consistent with previous
88 findings. A loss-of-function allele for the gene encoding the BAG-expressed neuropeptide FLP-17 showed
89 decreased dauer entry (Lee et al. 2017). The cognate receptor for FLP-17, EGL-6, is expressed in URX,
90 and both ablation of BAG and loss of FLP-17 increase the baseline fluorescence from URX-expressed
91 calcium indicators (Hussey et al. 2018). URX was previously implicated in dauer by genetic evidence, in
92 which loss-of-function alleles for URX-expressed neuropeptide receptors exhibited dauer entry phenotypes
93 in each direction (Chai et al. 2022a). We also tested interneurons, including AVK and AIM (Fig. 2E, 2F),
94 but found no evidence for an effect, despite a reported effect of AVK peptide output on transcription of
95 dauer-inhibiting peptides in ASI (Une et al. 2022).

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97 Overall, these results confirm the dauer-inhibiting role of ASI, and invite further investigation into the role
98 of gas-sensing in dauer entry. At first glance, the absence of any effect from the interneurons tested contrasts
99 with previous work, which suggested a large role for neuropeptide signalling activity across the brain in
100 dauer entry (Chai et al. 2022a). In this work, loss-of-function alleles for 37 GPCRs, expressed widely in
101 the brain, were found to either promote or inhibit dauer entry. However, for the majority of neuron classes,
102 the set of expressed GPCRs included corresponding alleles with both dauer-promoting and dauer-inhibiting

103 effects. Thus, the cell-specific GPCR expression patterns did not imply a consistent directional effect on
104 the decision for most neuron classes, including AVK and AIM. We speculate that some interneurons may
105 play a role in integrating cues from various sensory modalities or peptidergic indicators of internal state,
106 each of which may modulate their effects on dauer entry over the course of the decision-making period.

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108 **ASI and AIA respond differently to food and pheromone**

109 An important question is to understand how the opposite effects of food and pheromone are reflected in
110 antagonistic interactions at the molecular level, and the neurons in which this mechanism takes place. ASI
111 expresses several pheromone receptors (Kim et al. 2009; McGrath et al. 2011; Park et al. 2012), is
112 depolarized by soluble chemicals present in liquid OP50 solution (Chalasani et al. 2007), and synthesizes
113 peptides that inhibit dauer formation in the presence of food, but not in the presence of pheromone (Ren et
114 al. 1996; Murakami et al. 2001; Li et al. 2003). We hypothesized that this neuron may compare food and
115 pheromone cues via changes in its electrical activity, with corresponding downstream effects on cell-
116 autonomous transcription. The synaptic connectivity of interneuron AIA is also suggestive of a role in
117 comparing food and pheromone signals. AIA is post-synaptic to the food sensor AWA (Larsch et al. 2015),
118 as well as pheromone sensing ADL and ASK neurons, suggesting a neural pathway for its previously
119 observed inhibition by pheromone. AIA inhibition promotes dauer entry (Chai et al. 2022b).

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121 To investigate this, we delivered food and pheromone to worms immobilised in a microfluidic chip, and
122 imaged the calcium dynamics of ASI and AIA neurons using GCaMP6s. We found that ASI responds
123 strongly to OP50 supernatant, such that the amount of intracellular calcium increases with stimulus duration
124 (Fig. 3A, left panel). It also displayed a striking memory of food exposure, remaining depolarized for at
125 least 15 seconds after the stimulus is removed. Crude pheromone in isolation elicited no response in either
126 neuron (Fig. 3A, middle panel). We found that adding crude pheromone to the food stimulus eliminated the
127 food response in ASI, confirming our hypothesis (Fig. 3A, right panel). In contrast to ASI, AIA responded
128 quickly to OP50 exposure (Fig. 3B, left panel) and showed no response to pheromone in isolation (Fig. 3B,
129 middle panel). Previous work had suggested that pheromone inhibits spontaneous AIA activity (Chai et al.
130 2022b). Therefore we examined the possible inhibition of AIA by pheromone by mixing food and
131 pheromone inputs. We saw no suppression of the AIA food response by pheromone (Fig. 3B, right panel),
132 suggesting that AIA may not be highly sensitive to pheromone input from sensory neurons. Finally, by
133 applying successive food and pheromone stimuli, we found that the depolarized state in ASI induced by
134 food could be antagonised by subsequent exposure to pheromone (Fig. 3C).

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136 The antagonistic effects of each input on ASI narrows the range of molecular mechanisms by which this
137 regulation may take place to events upstream of neuron depolarization. For instance, receptors for food and
138 pheromone components may have opposite effects on a common downstream ion channel, which in turn
139 affects transcription of neuropeptide-encoding genes. In addition, ASI activity may regulate dauer entry via
140 downstream neurons. Our finding that AIA's food response is unaffected by pheromone suggests that its
141 role in inhibiting dauer formation is primarily due to its role as a food-sensor, and suggests specialisation
142 of ASI in the task of comparing food and pheromone signals. We speculate the primary role of AIA in dauer
143 entry may be to transduce volatile signals produced by bacteria, sensed by pre-synaptic neurons such as
144 AWA (Larsch et al. 2015). This also raises the question of how pheromone-sensing neurons ADL and ASK
145 promote dauer entry. It will be informative to examine the effect of their activity on ASI and ASJ, for
146 instance through combined optogenetics and calcium imaging experiments.

147
148 Our finding that ASI exhibits a memory of food exposure is especially exciting. A critical question in the
149 study of the dauer circuit is to identify memory mechanisms that can bridge the short (seconds to minutes)
150 timescales over which the relevant sensory inputs may fluctuate in duration and intensity, and the long
151 timescale (hours) of the decision-making process. We hypothesize that the persistence of an 'ON' state in
152 ASI represents such a bridging mechanism, allowing the production and secretion of peptides (such as
153 DAF-28 and DAF-7) that promote adult development, and may themselves take part in persistent
154 downstream signalling. Further, given that depolarization of ASI is antagonized by pheromone, the ASI
155 response profile may facilitate an integration over time, i.e. the calcium response profile is proportional to
156 the integral of historical differences between food and pheromone exposure. There is also a requirement for
157 mechanisms that can bias the developmental trajectory in one direction or another, to ensure unambiguous
158 commitment to one fate. The maintenance of an 'ON' state in ASI, promoting the continued production and
159 secretion of dauer-inhibiting neuropeptides, could bias a developmental trajectory in the direction of
160 reproduction based on recent history rather than instantaneous input alone, helping to ensure a binary
161 outcome. In addition, the molecular or circuit mechanisms by which a long-lasting depolarized state can be
162 maintained in a single neuron in the absence of continuous input deserves further investigation. One
163 possibility is a positive feedback loop between two neurons. This motif appears elsewhere in dauer entry
164 regulation, where positive feedback in the synthesis of Dafachronic Acid is vital to committing larvae to
165 reproduction (Schaedel et al. 2012). We note that other mechanisms, such as changes in synaptic weight
166 may also be important in bridging the timescales of neuronal activity and the physiological decision. Recent
167 studies from our lab revealed similar calcium dynamics for the food response of ASJ neuron, as well as
168 inhibition of the food response by pheromone (Zhang et al. 2024). The role of ASJ in dauer entry is less
169 clear than for ASI. For instance, laser ablation experiments suggesting a dauer-promoting effect during the

170 early larval stages (Schackwitz et al. 1996), and expression of dauer-promoting neuropeptide *ins-1* (Pierce
171 et al. 2001). However, like ASI, ASJ is depolarized by food, and exhibits pheromone-regulated expression
172 of dauer-inhibiting insulin *daf-28* (Li et al. 2003). The respective roles of ASI and ASJ in integrating food
173 and pheromone cues in dauer entry and exit will require further study.

174
175 Going forward, studies of the dauer circuit will benefit from the use of calcium imaging from either whole
176 brains, or neuronal subsets to describe the flow of sensory information through the nervous system. Along
177 with long-term neuronal silencing experiments, these data can be tied to behavior. These data will help
178 uncover the mechanisms and algorithms that regulate the decision to enter dauer.

179

180 **Methods and Materials**

181 **Animal maintenance and strains**

182 Animals were cultivated at 21°C on standard nematode growth media (NGM) plates seeded with
183 *Escherichia coli* OP50 cultured in lysogeny broth (LB). All cGAL strains were generated by crossing UAS
184 effector and GAL-4 driver strains generated by the Sternberg lab (Wang et al. 2017; Nava et al. 2023). The
185 strain for imaging AIA (Chai et al. 2022b) and the strain used to image ASI (Lin et al. 2023) were previously
186 published. The full list, origin, description and availability of strains used in this study can be found in
187 Supplementary Table 2.

188 **Pheromone Preparation**

189 Crude pheromone was prepared as described previously (Schroeder and Flatt 2014). Briefly, *C. elegans*
190 were cultured in flasks with OP50 *E. coli* over several days until starved, with one additional round of
191 feeding and starvation. Worms were separated from liquid by centrifugation and filtration. Crude
192 pheromone was isolated by heating and ethanol extraction, and resuspended in water.

193

194 **Dauer assays**

195 Dauer assays were performed as described previously (Lee et al. 2017; Chai et al. 2022b). On day 1,
196 histamine chloride inhibition plates were prepared as follows. For each plate, 2ml of peptone-free Nematode
197 Growth Medium (NGM) was mixed with either 6ul or 10ul of crude pheromone extract. For experimental
198 plates, 30-40mg histamine dihydrochloride powder was first dissolved in 15ml NGM solution at 60°C,
199 while control plates lacked histamine. Four plates were prepared per treatment for each experiment. One
200 control plate lacking pheromone, but containing histamine, was also prepared for each experiment from the
201 same histamine (+) NGM dilution. Roughly 100 L4 adults were picked onto new seeded plates, and a single
202 colony of OP50 was used to inoculate LB at 37°C overnight. On day 2, OP50 was concentrated to 8% w/v

203 in S-basal. 2ul of this OP50 was added to each plate to allow 80 adults to lay 70-90 eggs at 25°C. As a
204 control, the line PS8720, expressing the His-Cl effector transgene under the *myo-3* promoter was transferred
205 to the histamine (+) control plate. Successful inhibition results in cessation of locomotion in these animals.
206 Remaining OP50 was heat killed at 95°-100°C for 10 minutes, and 18ul added per plate. Once dried, all
207 plates were parafilm and moved to 25°C for 72 hours. Dauer and non-dauer worms were counted for
208 each plate.

209

210 **Statistical Analysis**

211 All statistical analysis was performed with scipy. Jupyter Notebooks containing relevant code are available
212 upon request. P-value were adjusted for multiple hypothesis testing via the Benjamini-Hochburg test
213 (Benjamini et al. 2001), at a false discovery rate of 5% for are listed in Table 1. Original data are listed in
214 Supplementary Table 1.

215 **Microfluidic device fabrication**

216 The Venkatachalam lab designed a 2-layer microfluidic chip capable of delivering sequences of stimuli with
217 a worm trap suitable for housing worms at L4 larval stage. The chip was designed in AutoCAD software,
218 and sent to Artnet Pro Inc. for photomask printing. Photolithography in a clean room was performed on a
219 silicon wafer to make the 2-layer mold from the photomask. For the first layer, which included the worm
220 trap, SU-8 2025 was spin coated on the silicon wafer at 4000 rpm to achieve 25 µm thickness. For the
221 second layer, the same photoresist was spin coated at 1250 rpm for a thickness of
222 70 µm. Polydimethylsiloxane (PDMS) was poured over the mold and cured on a 90°C hotplate to solidify.
223 Each PDMS chip was then punched with a 1 mm biopsy punch and was bonded to a cover slip using a
224 handheld corona treater.

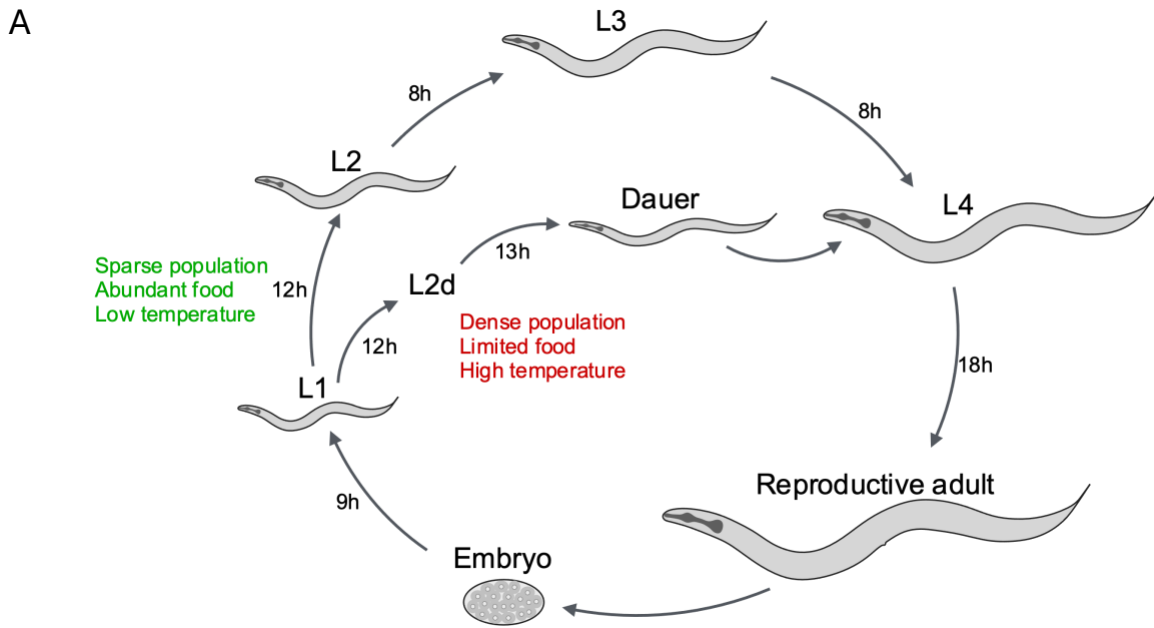
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226 **Calcium imaging**

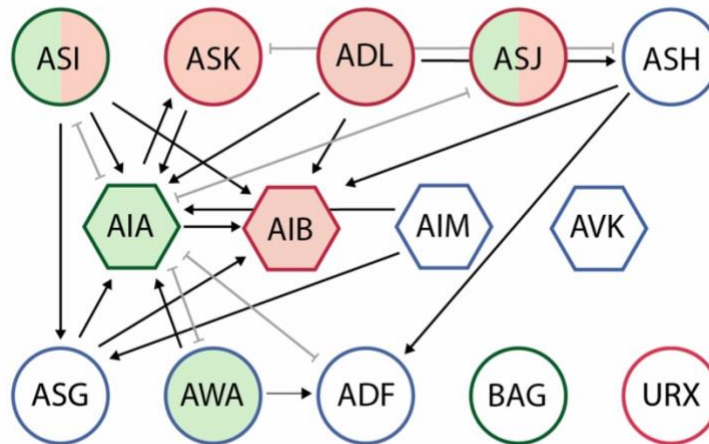
227 L4 stage animals were assayed and placed in the microfluidic device. For imaging of AIA, a strain
228 expressing GCamp6s specifically in AIA was used (PS9111). For imaging of ASI, a strain expressing
229 GCamp6s in all sensory neurons was used (ZM10104). For each experiment, *E. coli* OP50 was cultured
230 overnight in LB, and its supernatant was collected. Crude pheromone extract was diluted to a concentration
231 of 2.5% (v/v) in either buffer (H₂O) or OP50 supernatant. Each stimulus was delivered to the animal's nose
232 for a duration of 15 seconds, followed by either buffer (H₂O) or pheromone. Fluorescence was recorded
233 with a spinning disc confocal microscope (Dragonfly 200, Andor) and a sCMOS camera (Photometrics
234 Kinetix). The fluorescence was captured from GCaMP6s at a rate of 10 ms per 1.0 µm z-slice, with 25 z-
235 slices per volume and 4 volumes per second. To extract calcium activity from the recorded data, we

236 performed the following steps: 1. Background intensity was subtracted from each recorded volume. 2. The
237 center of the ROI was annotated at one timepoint, and then the center was tracked throughout the entire
238 recording using the Zephir tracking algorithm (Yu, 2022). 3. For ASI neurons, the ROI was defined as the
239 neuronal nucleolus, and for AIA neurons, the ROI was defined as the processes located in the gap junction.
240 4. Average pixel intensity from each ROI was calculated. $\Delta F/F_0$ was computed, where F_0 was defined as
241 the average intensity during the 5-second window preceding stimulus delivery.
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B



272 **Figure 1 A.** *C. elegans* life cycle. Larvae can enter the dauer stage after L1 under unfavourable conditions, and return
273 to L4 when conditions improve. The progression to dauer involves an uncommitted L2d phase where larvae can escape
274 dauer entry to L3, while committed dauers can only progress to L4, i.e. dauer is an alternative L3. **B.** Neural circuit
275 diagram of all neurons mentioned in this paper. Sensory neurons are circles, and interneurons are hexagons. Outline
276 colours refer to the valence of neuron depolarization on dauer entry (green = promotes adult development; red =
277 promotes dauer entry; blue = no reported effect). Specifically, ASK and ADL promote dauer entry (Chai et al. 2022b),
278 as do AIB (Chai et al. 2021), URX (this study) and ASJ (Schackwitz et al. 1996). Dauer-inhibiting neurons are ASI
279 (Bargmann & Horvitz 1991; this study) and BAG (this study). Fill colours refer to response of the neuron to chemical
280 inputs as evidenced by calcium imaging (green = depolarized by food; red = depolarized by pheromone; clear = no
281 reported effect). Neurons depolarized by food are AWA (Larsch et al. 2015), AIA (this study), ASI (Chalasanani et al.
282 2007; this study) and ASJ (Zhang et al. 2024). In the case of ASI and ASJ, the combined green and red fill indicates
283 that food responses are inhibited by pheromone (this study and Zhang et al. (2024) respectively). Arrows refer to
284 developmentally stable chemical synapses, while grey double-headed edges refer to gap junctions. Only connections
285 including at least 3 chemical synapses and 2 gap junctions are included, for life stages embryo through L2. All circuit
286 connectivity adapted from *nemanode.org* (Witvliet et al. 2021).

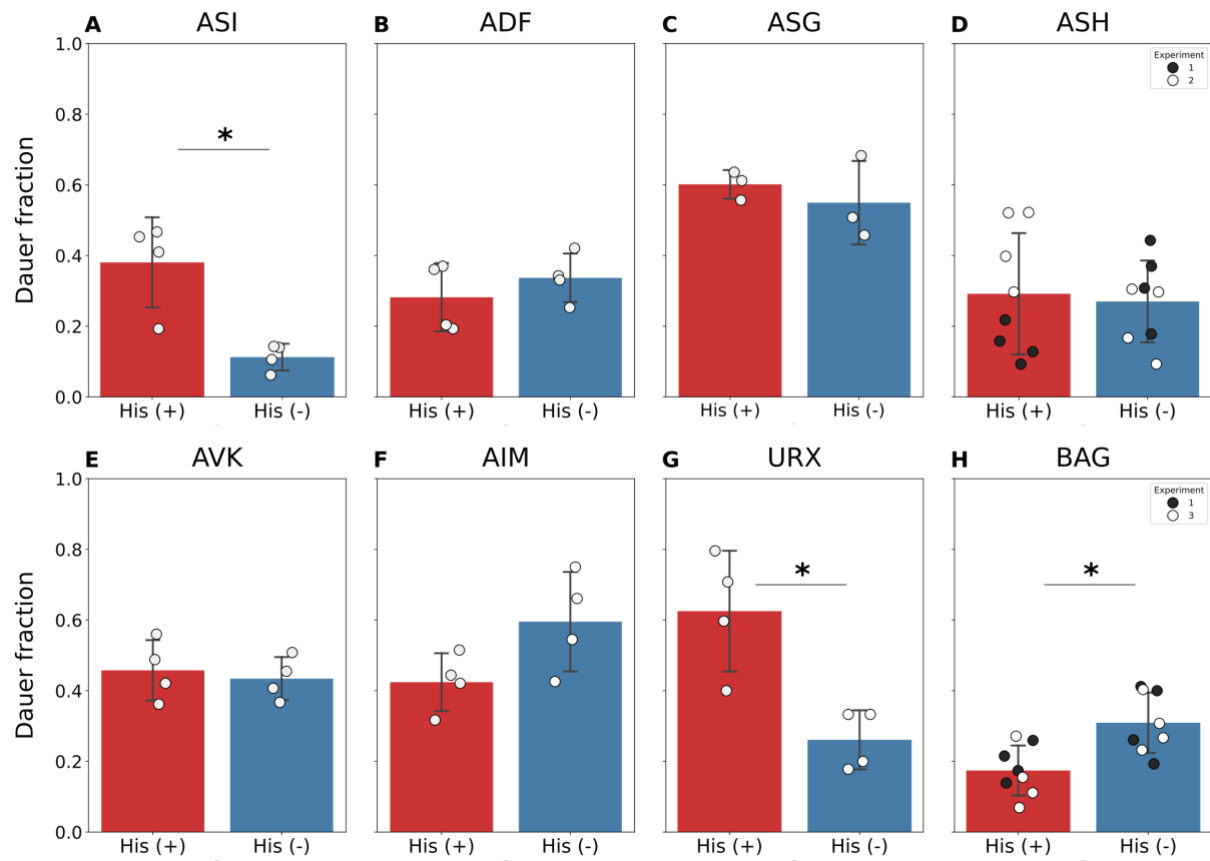
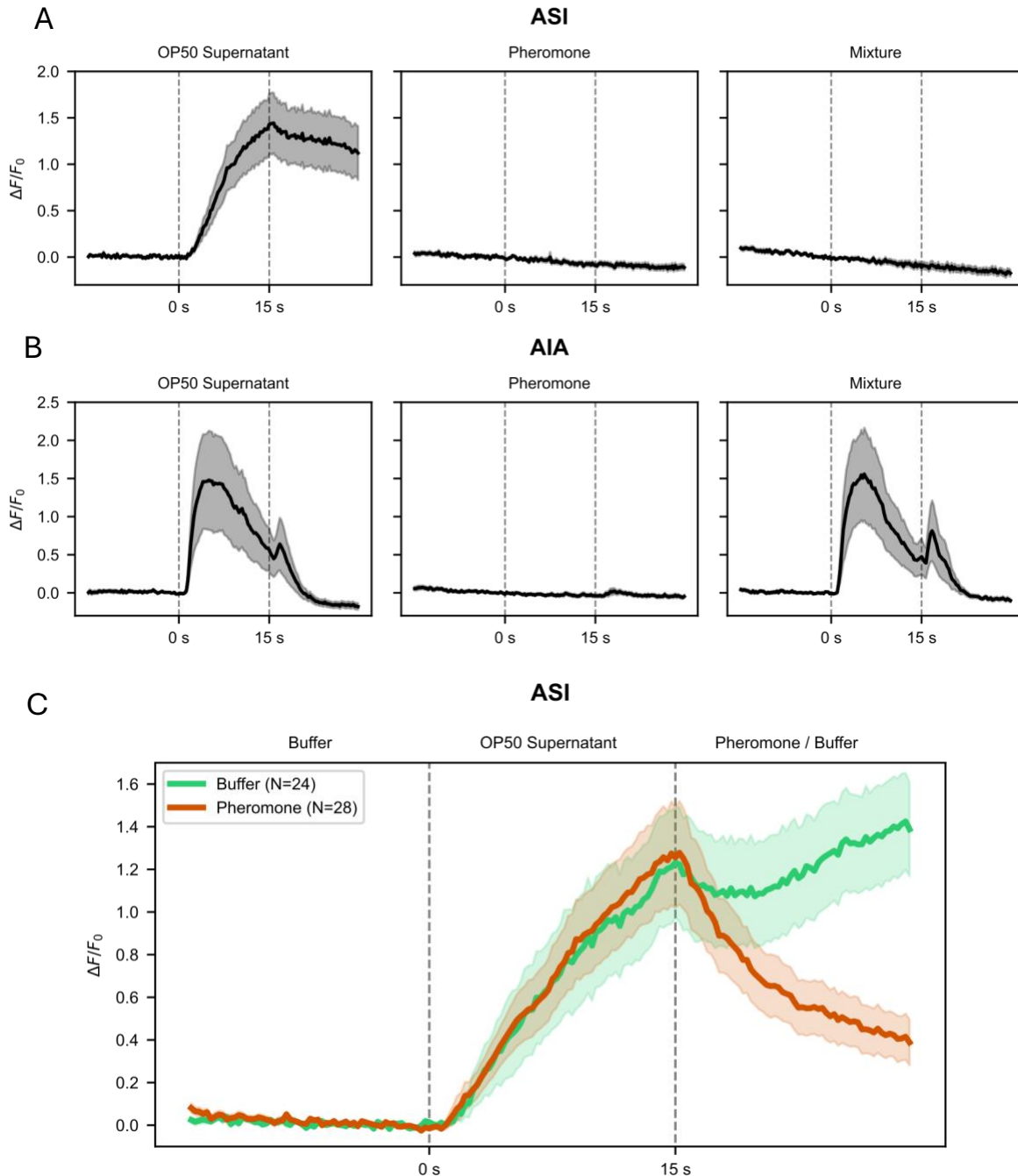


Figure 2 Screen for neurons involved in dauer entry. Animals expressing HisCl1 in individual neurons were grown on plates with (His (+)) and without histamine (His (-)). N=3-4 population assays (80-100 worms) per experiment. Stars (*) denote Student's t-test ($p < 0.05$). Data represented as mean with SEM.

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Figure 3. Calcium responses of ASI and AIA neurons to liquid inputs. L4 larvae were loaded into a microfluidic chip allowing exposure of sensory neurons each stimulus for 15 seconds. **A.** ASI exhibits slow dynamics in response to food (OP50 supernatant), with depolarization persisting beyond food removal (left panel), but is not depolarized by pheromone (middle panel). Mixture of food and pheromone eliminates the food response (right panel). **B.** AIA is rapidly depolarized by food (left panel), but not pheromone (middle panel). Mixture of crude pheromone and food results in a normal food response (right panel). **C.** ASI calcium response to bacterial food and pheromone applied in series. L4 larvae were exposed as before to OP50 supernatant for 15 seconds (both green and red lines), followed by exposure to crude pheromone extract for 15 seconds (red line only). Exposure to OP50 results in progressive depolarization which is reversed by addition of pheromone. In the control group, 15 seconds of OP50 exposure is followed by 15 seconds of buffer (green line). The depolarized state persists for at least 15 seconds after the removal of OP50.

291 **Table 1** Statistical analysis of dauer decision-making assays after histamine-chloride inhibition. Stars denote $p < 0.05$.

Neuron	Student's t-test	Benjamini-Hochburg FDR corr. (5%)	Wilcoxon Rank-Sum test	Benjamini-Hochburg FDR corr. (5%)
ASI	0.0202*	0.0538	0.0286*	0.0784
ADF	0.3930	0.6288	0.6857	0.9333
ASG	0.5331	0.7108	0.7000	0.9333
ASH	0.7714	0.7714	1.0000	1.0000
AVK	0.6715	0.7674	0.8857	1.0000
AIM	0.0915	0.1829	0.1143	0.2286
URX	0.0159*	0.0538	0.0294*	0.0784
BAG	0.0041*	0.0330*	0.0070*	0.0559*

292

293 **Declarations**

294 **Data & Materials Availability**

295 All data generated or analyzed during this study are included in this pre-print and its supplementary
296 information files. A complete list of strains and corresponding genotypes is provided in the supplementary
297 materials.

298 **Competing Interests**

299 The authors declare that they have no competing interests.

300 **Author Contributions**

301 S.J.P. and M.S. are co-first authors. P.W.S., V.V., and S.J.P. conceived and designed the study. S.J.P.
302 conducted crude pheromone extraction, and dauer assays. M.S. conducted calcium imaging experiments.
303 S.J.P. performed the data analysis for dauer assays. M.G.Z. and S.M.C. provided crude pheromone reagents
304 for imaging experiments. S.J.P. and S.G. generated new strains. S.J.P., wrote the manuscript with editorial
305 assistance from M.S., V.V. and P.W.S. M.S. and S.J.P. prepared all the figures. All authors approved the
306 manuscript.

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