Title

Thalamic Roles in Conscious Perception Revealed by Low-Intensity Focused Ultrasound Neuromodulation

Author list

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ABSTRACT

The neural basis of conscious perception remains incompletely understood. While cortical mechanisms of conscious content have been extensively investigated, the role of subcortical structures, including the thalamus, remains less explored. We aim to elucidate the causal contributions of different thalamic regions to conscious perception using transcranial low-intensity focused ultrasound (LIFU) neuromodulation. We hypothesize that modulating different thalamic regions would result in distinct perceptual outcomes. We apply LIFU in human volunteers to investigate region-specific and sonication parameter-dependent effects. We target anterior (transmodal-dominant) and posterior (unimodal-dominant) thalamic regions, further divided into ventral and dorsal regions, while participants perform a near-threshold visual perception task. Task performance is evaluated using Signal Detection Theory metrics. We find that the high duty cycle stimulation of the ventral anterior thalamus enhanced object recognition sensitivity. We also observe a general (i.e., region-independent) effect of LIFU on decision bias (i.e., a tendency toward a particular response) and object categorization accuracy. Specifically, high duty cycle stimulation decreases categorization accuracy, whereas low duty cycle shifts decision bias towards a more conservative stance. In conclusion, our results provide causal insight into the functional organization of the thalamus in shaping human visual experience and highlight the unique role of the transmodal-dominant ventral anterior thalamus.

INTRODUCTION

The neural basis of conscious perception remains an active area of neuroscientific investigation[1–7]. While cortical mechanisms of conscious contents have been extensively studied[8–12], the role of subcortical structures in enabling or modulating such contents remains less explored[13]. With its intricate connections to the cortex, the thalamus is a central structure that may mediate conscious experience[14–17]. Traditionally, thalamic nuclei have been categorized into specific and nonspecific types. Specific nuclei relay modal sensory information to designated cortical areas, whereas nonspecific nuclei project more broadly, regulating cortical arousal and supporting higher-order cognition[16,18,19]. For instance, the lateral geniculate nucleus (specific) relays retinal input to the primary visual cortex[20], while the intralaminar thalamus (nonspecific) are involved in controlling arousal, attention, and state of consciousness[21–23].

Recent research suggests a continuum rather than distinct categories of cortical and thalamic areas[24,25]. In the cortex, unimodal areas process sensorimotor information received from the environment, while transmodal areas further integrate this information, fostering more complex cognition[26–28]. Building on this functional organization of the cortex, our previous work revealed a unimodal-transmodal gradient in thalamocortical connectivity[29]. This thalamic functional gradient is characterized by a predominance of connectivity between the posterior thalamus and unimodal cortices, and a predominance of connectivity between the anterior/medial thalamus and transmodal cortices. This connectivity gradient also corresponds to the underlying cytoarchitecture of the thalamus, where core cells are concentrated in posterior regions and diffusely projecting matrix cells are enriched anteriorly[29,30]. Notably, research has implicated matrix cells as being crucial for conscious sensory processing[1,10,22,31–37], where a disruption of matrix-rich thalamic areas has been linked to loss of consciousness[29]. Therefore, understanding these functional and structural gradients within the thalamus is critical for elucidating the neural mechanisms underlying conscious perception.

To causally probe thalamic roles in conscious perception, precise stimulation of deep brain areas is essential. Traditional methods such as deep-brain stimulation, optogenetics, and transcranial electrical stimulation are either invasive or lack spatial resolution[38,39]. Instead, transcranial low-intensity focused ultrasound (LIFU) provides a non-invasive and precise way to target deep structures[40–46]. Although the exact mechanisms behind LIFU's effects are still being explored—with hypotheses ranging from thermal effects[47] to membrane pore formation[48] and mechanosensitive channel activation[49]—its effectiveness in modulating neural activity and behavior has been well-established[40,48,50–52]. However, its impact on conscious perception remains largely uncharted territory. Notably, the effects of LIFU are known to be influenced by parameters such as duty cycle (i.e., the percentage of time the ultrasound is actively transmitting within each pulse cycle). High duty cycles generally lead to excitation and low duty cycles to inhibition[48,49,53]. Yet, the optimal sonication parameters for modulating perception—particularly in human subcortex—are still to be determined.

In this work, we aim to elucidate the causal roles of thalamic regions in conscious perception, hypothesizing that sonicating different regions or applying different stimulation parameters (i.e., duty cycle) will lead to distinct perceptual outcomes. We specifically apply LIFU in healthy volunteers while they are performing a near-threshold visual task and evaluate changes in their visual perception using metrics derived from Signal Detection Theory (SDT). For this purpose, we sequentially stimulate four thalamic areas (ventral anterior/posterior and dorsal anterior/posterior).

Our findings reveal that the transmodal-dominant ventral anterior thalamus significantly
modulates the sensitivity of conscious visual perception. Additionally, we demonstrate both
common (region-independent) and duty cycle-dependent effects of LIFU on decision bias and
categorization accuracy in object recognition.

RESULTS

Sixty participants (age: 25.9 ± 6.3, mean ± SD; 38 females, 22 males) were randomly assigned
to one of the two duty cycle groups. One group received LIFU with a high duty cycle (70%), while
the other received LIFU with a low duty cycle (5%) with equal spatial-peak temporal-average
intensity of I_{spta} = 0.72 W cm^{-2} (Fig. 1a). The experiment involved several key steps, such as
transducer setup, image contrast titration, and six blocks of the visual task (Fig. 1b-d). There were
two outer LIFU-OFF baseline blocks (Baseline-1 and Baseline-2) and four inner LIFU-ON blocks.
During each LIFU-ON block, LIFU was administered to only one of four regions of the left thalamus,
including the ventral anterior (VA), ventral posterior (VP), dorsal anterior (DA), and dorsal
posterior (DP) thalamus (Fig. 1e). The order of stimulated regions was pseudo-randomized and
counterbalanced among participants, and each participant received a consistent duty cycle
throughout the session. By including multiple targets, we could analyze in within-subject manner
as a function of stimulated region.

We employed a well-established near-threshold visual object recognition and categorization
task[8,9]. Stimuli were presented at the intensity close to the threshold of conscious perception.
Using an adaptive staircase paradigm, we titrated the image contrast for each participant[8,54] (Fig.
1f). Four object categories were used: faces, houses, human-made objects, and animals (Fig. 1g).
We also included scrambled images, which comprised 20 out of 100 trials. During each trial,
participants responded to two questions: one regarding the category of the presented image (i.e.,
"What category did the observed image belong to?") and another concerning their subjective
recognition experience (i.e., "Did you have a meaningful visual experience?") (Fig. 1h). Here,
'recognition' was operationally defined as the perception of an object that makes sense in the real
world, as opposed to meaningless noise-like patterns. Therefore, the recognition rates for real
and scrambled images could be interpreted as hits and false alarms, respectively (Fig. 1i).

Based on hit and false alarm rates, we evaluated sensitivity and decision bias for object
recognition within the SDT framework (see Methods: Signal detection theory analysis). Sensitivity
reflects the ability to differentiate between real and scrambled images, while decision bias
indicates a tendency towards a particular response. Herein, a high bias signifies a tendency to
say "NO" (i.e., "Didn't have a meaningful visual experience") to the recognition question
(Question-2), reflecting a conservative stance in object recognition. Categorization accuracy was
also quantified, independently of object recognition.

During Baseline-1, participants recognized 54.7 ± 14.7% (mean ± SD) of the real images
(Supplementary Fig. 1a), which was not significantly different from the intended 50% recognition
rate, confirming the successful implementation of the staircase procedure (see SI text and
Supplementary Fig. 1 for statistics and additional analyses on baseline perceptual outcomes). We
also found no significant differences in perceptual outcomes between the two baselines,
suggesting that LIFU did not produce detectable long-lasting effects within our experimental
timescale of approximately one hour (Supplementary Fig. 2).

Fig. 1: Overview of the block design, experimental setup, and behavioral paradigm. a Randomized study group assignment. Sixty subjects were randomly assigned to one of two groups, each consisting of 30 participants, corresponding to either a 70% or 5% duty cycle (DC). **b** The timeline of experimental sessions, including initial preparation steps and the main task. The main task included two baseline blocks and four LIFU-ON blocks with a pseudo-randomized order of stimulation regions. Each block comprised 100 trials. Each LIFU-ON block included 12 alternating 30-second ON and OFF epochs, with each ON epoch consisting of 300 pulses of varying duty cycles (pulse repetition frequency = 10 Hz). **c** Measurement locations for head size, including width, depth, and side-to-top. **d** Illustration of the transducer fixed with two perpendicular rubber bands on the participant's head. **e** Sagittal view for the four subdivisions of the left thalamus: ventral anterior (VA), ventral posterior (VP), dorsal anterior (DA), and dorsal posterior (DP) thalamus, overlaid on standard MNI template brain images. **f** Example of an animal image displayed at different contrast levels. **g** Examples of real and scrambled images for each category (face, house, human-made object, and animal). **h** Trial structure showing the sequence of events: a blank period, stimulus presentation, delay, and two questions (categorization and recognition). **i** Illustration of the four trial types (hit, miss, false alarm, and correct rejection). Due to copyright limitations, the actual images used in our

experience are not shown. Copyright-free images included in this figure were obtained from https://www.pexels.com.

Ultrasound beam profile and targeting accuracy

We simulated the LIFU beam *in silico* on an MNI template brain to characterize the beam profile (Fig. 2a). The beam exhibited a bullet-like shape with an aspect ratio of approximately 10, measuring 50 mm in length and 5 mm in width, in agreement with a previous study which used the identical transducer[55]. The location of peak intensity was observed at 80 mm, consistent with the device specifications. The temperature increase in the brain tissue was minimal, with a maximum recorded rise of 0.15°C (Supplementary Fig. 3).

As proof of concept, we utilized the MNI template brain image as a pseudo-anatomical reference for the stimulation procedure (see Methods for details) by rescaling the MNI template image to match each participant's head size. We independently validated the accuracy of this method by comparing the actual anatomical images and the rescaled images extracted from five individuals, confirming an average deviation of 1.7 ± 0.6 mm (Supplementary Fig. 4).

Post-hoc analysis confirmed successful targeting of each thalamic region (Fig. 2b-h). Probability density maps showed distinct targeting with minimal overlap (Fig. 2g,h). Mean lateral deviation (i.e., the distance from the target to the actual beam center, viewed from an angle perpendicular to the beam) was consistent across regions (2.3 ± 0.9 mm; Fig. 2i), validating region-level LIFU targeting. The Euclidean distance of the focal center from the target was 8.3 ± 4.1 mm, comparable to previous studies (Fig. 2j) [55]. Under the intensity setting of I_{spta} = 0.72 W cm^{-2}, the simulated intensity at the beam center was estimated to be 0.09 ± 0.07 W cm^{-2} (87.7 ± 9.0% energy loss; Fig. 2k).

Fig. 2: LIFU beam profiles and targeting accuracy. a Geometrical profile of the LIFU beam simulated on the MNI template brain, with the transducer placed on the right temple. **b-e** Estimated beam centers in the left thalamus for each region: **b** VA, **c** VP, **d** DA, and **e** DP thalamus. VA: $n = 51$; VP: $n = 50$; DA: $n = 53$; DP: $n = 54$. Orange spheres indicate the estimated centers of the LIFU beams. The bottom images are zoomed-in views. **f** Sagittal view of the thalamus with the y and z coordinates of the cross-sections in MNI space. **g, h** Cross-sectional views displaying the probability density functions of the sonication centers for each thalamic region in the **g** x-y and **h** x-z planes. **i** Lateral deviation of beam centers from the corresponding target. VA: $n = 51$; VP: $n = 50$; DA: $n = 53$; DP: $n = 54$. **j** Euclidean distance of beam centers from the corresponding target. VA: $n = 50$; VP: $n = 48$; DA: $n = 51$; DP: $n = 51$. **k** Estimated temporal intensity at the beam centers. VA: $n = 47$; VP: $n = 46$; DA: $n = 46$; DP: $n = 47$. Box plots show the median, upper, and lower quartiles. Color coding for the thalamic regions is as follows: VA (red), VP (green), DA (blue), and DP (yellow) thalamus. VA: ventral anterior thalamus; VP: ventral posterior thalamus; DA: dorsal anterior thalamus; DP: dorsal posterior thalamus. Source data are provided as a Source Data file.

Region-specific effects of LIFU on visual perception

To mitigate individual variability, we calculated the changes of each perceptual outcome relative to the baselines (see also the results without baseline subtraction in). We

performed a linear mixed-effects model ANOVA to assess both main and interaction effects
specific to stimulated region and duty cycle (see Source Data for full statistics). We also conducted
additional statistical tests comparing each condition against its corresponding baseline in a
region-specific manner.

Sensitivity metrics (parametric: d' and non-parametric: A') demonstrated region-specific effects,
particularly for the 70% duty cycle (Fig. 3c,d). The ANOVA revealed significant main effects for
both stimulated region (d': $p = 0.0438$; A': $p = 0.0393$) and duty cycle (d': $p = 0.0463$; A': $p =$
0.0362). A 70% duty cycle sonication applied to the VA thalamus resulted in a significant increase
in sensitivity compared to the baseline (d': $p = 0.0096$; A': $p = 0.0105$). Additionally, we observed
that sensitivity increase was higher when the lateral deviation of LIFU was smaller
(Supplementary Fig. 6), further supporting the region-specificity of this effect.

The hit rate exhibited a significant main effect of duty cycle ($p = 0.0055$; Fig. 3a), where 70% duty
cycle showed higher hit rate than 5% duty cycle. Regarding categorization accuracy, a significant
decrease was observed specifically for real images under the 70% duty cycle when targeting the
DA thalamus ($p = 0.0002$; Fig. 3g). No changes were detected in the false alarm rate, decision
bias, or the accuracy for scrambled images ($p > 0.05$; Fig. 3b,e,f,h).

Fig. 3: Region-specific changes in recognition performance under LIFU. Changes in perceptual outcomes including **a** hit rate, **b** false alarm rate, **c** parametric sensitivity metric *d′*, **d** non-parametric sensitivity metric *A′*, **e** parametric decision bias metric *c*, **f** non-parametric decision bias metric *B″*, **g** categorization accuracy for real images**,** and **h** categorization accuracy for scrambled images. All values are baseline-subtracted. Darker solid boxes denote significant region-specific deviations from zero (FDR-corrected, $p < 0.05$, Wilcoxon signed-rank test, two-sided). ANOVA results are displayed in top-left of each subplot. The *n.s.* indicates non-significance. Box plots show the median, upper, and lower quartiles (VA 70% DC: $n = 26$; VA 5% DC: $n = 25$; VP 70% DC: $n = 26$; VP 5% DC: $n = 25$; DA 70% DC: $n = 27$; DA 5% DC: $n = 26$; DP 70% DC: $n = 27$; DP 5% DC: $n = 27$). FA: false alarm; DC: duty cycle; VA: ventral anterior thalamus; VP: ventral posterior thalamus; DA: dorsal anterior thalamus; DP: dorsal posterior thalamus. Statistics and source data are provided as a Source Data file.

Region-independent effects of LIFU on visual perception

We further investigated potential effects common to all thalamic regions, which could be masked when analyzing individual thalamic regions due to inter-subject or inter-regional variability. We thus aggregated the perceptual outcomes during the four LIFU-ON blocks (see the results without baseline subtraction in Supplementary Fig. 7; see Source Data for full statistics). We found that the hit rate exhibited a significant difference between the two duty cycles ($p = 0.0026$, Mann-Whitney U test, two-sided; Fig. 4a). Specifically, the 5% duty cycle resulted in a significant decrease in the hit rate below baseline ($p = 0.0042$, Wilcoxon signed-rank test, two-sided). Consistent with the decrease in hit rate, we observed an increase in decision bias metrics with 5% duty cycle sonication (c: $p = 0.0109$; $B″$: $p = 0.0510$; Wilcoxon signed-rank test, two-sided), indicating a more conservative approach in object recognition (Fig. 4e,f).

Regarding sensitivity, no significant change was observed relative to the baseline (Fig. 4c,d), although *A′* exhibited a difference between the two duty cycles ($p = 0.0224$, Mann-Whitney U test, two-sided), with a slight increase at 70% and a decrease at 5%. Categorization accuracy for real images significantly decreased under the 70% duty cycle ($p = 0.0002$, Wilcoxon signed-rank test, two-sided; Fig. 4g). No significant changes were detected for false alarm rate and accuracy for scrambled images (Fig. 4b,h).

Fig. 4: Region-independent changes in visual perception under LIFU. Changes in perceptual outcomes including **a** hit rate, **b** false alarm rate, **c** parametric sensitivity metric d', **d** non-parametric sensitivity metric A', **e** parametric decision bias metric c, **f** non-parametric decision bias metric B'', **g** categorization accuracy for real images, **h** categorization accuracy for scrambled images. All values are baseline-subtracted. Results of four stimulated regions are aggregated. Darker solid boxes denote significant deviations from baseline zero (FDR-corrected, $p < 0.05$, Wilcoxon signed-rank test, two-sided). Asterisks indicate significant differences between two duty cycles ($p < 0.05$, Mann-Whitney U test, two-sided). Box plots show the median, upper, and lower quartiles (70% duty cycle: $n = 106$; 5% duty cycle: $n = 103$). FA: false alarm. Statistics and source data are provided as a Source Data file.

Functional connectivity of thalamic regions

To gain deeper insights into the potential large-scale neural correlates of both region-independent and region-dependent effects of LIFU on visual perception, we conducted a separate analysis to investigate the connectivity profiles of the four thalamic regions, utilizing the Human Connectome Project dataset ($n = 1009$)[56].

First, we assessed the connectivity profiles of these thalamic regions at the network level (Fig. 5a,b). In line with our prior research[29], we observed a graded shift in connectivity profiles along a unimodal-transmodal gradient. The VA thalamus displayed transmodal dominance, exhibiting higher connectivity with frontoparietal and default-mode networks compared to the other three regions (red curve in Fig. 5b). This was followed by DA thalamus, with a trend towards unimodal dominance observed in DP and VP thalamus.

Second, we examined the thalamocortical connectivity at a finer spatial scale, specifically at the voxel level. We retained the cortical voxels exhibiting the top 10% strongest connectivity with each respective thalamic region. The connectivity profiles of the four regions showed considerable overlap, particularly within the early visual cortex (cyan regions in Fig. 5c). However, region-specific connectivity patterns were also observed. The VA thalamus was predominantly

connected with the medial prefrontal cortex (mPFC) and dorsolateral prefrontal cortex (red regions in Fig. 5d). The VP thalamus exhibited unique connectivity with the somatomotor and auditory cortices (green in Fig. 5d, see also Fig. 5a). The DP thalamus showed unique clusters within various regions in the visual cortex (yellow in Fig. 5d). The connectivity profile of the DA thalamus largely overlapped with the VA thalamus (Supplementary Fig. 8), with only a few unique clusters located in regions such as left dorsolateral prefrontal cortex and right temporal-parietal junction (blue in Fig. 5d).

Fig. 5: Functional connectivity profiles of four thalamic regions with the cortex. a, b Network-level analysis. **a** Percentile of functional connectivity strength, averaged within each cortical network obtained from the Human Connectome Project dataset. Each dot represents an individual. Box plots show the median, upper, and lower quartiles ($n = 1009$). **b** Distribution of mean percentiles of functional connectivity strength for unimodal (visual and somatomotor) and transmodal (frontoparietal and default-mode) networks for each thalamic region ($n = 1009$). Curves represent kernel-smoothed histograms across the direction of maximal variance. **c, d** Voxel-based analysis. Cortical regions with the top 10% strongest connectivity with the thalamus, **c** common across all four thalamic regions and **d** unique to each thalamic region. VA: ventral anterior thalamus; VP: ventral posterior thalamus; DA: dorsal anterior thalamus; DP: dorsal posterior thalamus. Source data are provided as a Source Data file.

DISCUSSION

This study aimed to illuminate how different thalamic nuclei modulate conscious perception. Through targeted LIFU neuromodulation, we uncovered a unique role for the transmodal-dominant ventral anterior (VA) thalamus in modulating the sensitivity of conscious perception. Moreover, we observed both common (region-independent) and duty cycle dependent effects of LIFU on decision bias and categorization accuracy in object recognition, as summarized in Fig. 6. Notably, the VA thalamus, which played the most prominent role in conscious perception, was more closely functionally connected with medial and dorsolateral prefrontal cortex. Collectively, our research provides a valuable causal insight into the unimodal-transmodal functional organization of the thalamus.

Fig. 6: Summary of LIFU effects on visual perception. a Sensitivity increases when the VA thalamus is stimulated at the 70% duty cycle. This is associated with increased functional connectivity between the VA thalamus and transmodal areas compared with other thalamic nuclei. **b** Decrease in categorization accuracy is observed nonspecifically across all thalamic regions at the 70% duty cycle, potentially driven by interactions between the thalamus and the visual network (cyan). **c** Decision bias increases (recognition being more conservative) by the 5% duty cycle in region-independent manner. VA: ventral anterior thalamus; VP: ventral posterior thalamus; DA: dorsal anterior thalamus; DP: dorsal posterior thalamus.

Our key finding is the unique role of the transmodal-dominant VA thalamus in conscious perception (Fig. 6a). Being nonspecific and matrix-rich, VA thalamus likely interacts extensively with thick-tufted layer-5 pyramidal neurons in the cortex, promoting large-scale cortical integration essential for conscious sensory processing[1,17,31,33,57]. This also support theoretical frameworks such as thalamic gating mechanisms and dendritic integration theory, suggesting that matrix cells in the higher-order thalamus may modulate the threshold for conscious perception by influencing the bursting activity of thick-tufted layer-5 pyramidal neurons in the cortex[1,17,25,58]. These matrix cells, with their diffuse connectivity, are known to facilitate the entry of sensory content into consciousness, potentially by lowering the activation threshold of layer-5 pyramidal neurons[17,36]. By activating the VA thalamus, our LIFU intervention likely increases dendritic integration in layer-

5 pyramidal neurons, promoting widespread cortical bursting, thereby increasing perceptual
sensitivity (further discussion on duty cycle-dependence follows below).

Furthermore, the VA thalamus encompasses the intralaminar nuclei, with projections innervating
various cortical and subcortical regions[18,23,59]. Electrical stimulation of these nuclei via implanted
electrodes has been shown to awaken non-human primates from anesthesia[22,32,37] and aid in the
recovery of consciousness in patients with neuropathological conditions[60–62]. Similarly,
microinjection of nicotine or potassium channel-blocking antibodies into these nuclei has restored
consciousness in rodents[63,64]. Moreover, previous LIFU study targeting these nuclei demonstrated
improvements in patients with disorders of consciousness[65]. Our findings provide preliminary
evidence that the intralaminar nuclei might influence the qualitative aspect of conscious
perception, in addition to modulating the state of consciousness.

One important question is whether the increased sensitivity of conscious perception was due to
the VA thalamus being excited. In contrast to prior human LIFU studies that employed only one
duty cycle[55,66–68], we incorporated two duty cycles (70% vs. 5%) into our experimental design.
LIFU is thought to selectively activate excitatory vs. inhibitory neurons depending on the duty
cycle[48,69]. Thus, prior studies suggested that LIFU exerts a general excitatory effect at high duty
cycles (e.g., > 50%) and an inhibitory effect at low duty cycles (e.g., < 20%)[49,69–72]. Based on this,
we suggest that 70% duty cycle LIFU on the VA thalamus has induced an excitatory effect. This
interpretation is also supported by previous studies. For example, Wu et al. demonstrated that
higher pre-stimulus activity in the VA thalamus predicts greater sensitivity in conscious
perception[12]. Therefore, exciting the VA thalamus may elevate its overall activity level, leading to
heightened perceptual sensitivity. Furthermore, given the strong bidirectional excitatory
connections[73] and functional connectivity (Fig. 5d) between the VA thalamus and transmodal
areas such as the mPFC, VA thalamus stimulation with a 70% duty cycle may indirectly excite
the mPFC. This is also supported by evidence that single-pulse electrical stimulation of the VA
activates the mPFC[74]. Higher pre-stimulus mPFC activity also predicts greater perceptual
sensitivity—a prediction intriguingly aligned with the previous findings[12]. Collectively, these
observations suggest that LIFU with a 70% duty cycle induced an excitatory effect on the VA
thalamus, which in turn, co-activated the mPFC. This dual activation could underlie the observed
increase in perceptual sensitivity.

Regarding the region-independent decrease in categorization accuracy for real images observed
with 70% duty cycle (Fig. 6b), we speculate that this effect might stem from the common functional
connectivity of the thalamic regions with the visual cortex (Fig. 5c). Assuming that exciting these
thalamic areas may lead to co-activation of the visual cortex, this observation aligns with previous
work demonstrating a negative correlation between the pre-stimulus activity of the visual network
and categorization accuracy[12].

Regarding LIFU at 5% duty cycle, we observed common (region-independent) effects that led to
more conservative decision bias (Fig. 6c). We hypothesize that this duty cycle likely induced an
inhibitory neural effect, aligning with a previously-reported negative correlation between pre-
stimulus thalamic activity and decision bias[12]. Further supporting our interpretation, prior research
showed that applying LIFU at 5% duty cycle to the thalamus produced anti-nociceptive effects,
suggesting a potential inhibitory influence on sensory processing[55].

Methodologically, our work represents a significant advancement in human LIFU studies. While
regional targeting within the subcortex has been previously demonstrated in rodents[49], our work

presents the first known instance of multiple regional-level stimulations within the human thalamus.
We achieved targeting of each thalamic region with sub-centimeter precision, confirmed by post-
hoc analysis showing minimal overlap in the distributions of the beam centers (Fig. 2g,h). Thinking
of future clinical applications, we also eliminated the reliance on brain imaging in individual
subjects and thus expedited the experimental procedure; our strategy used an individually
rescaled template image for LIFU targeting, thereby mitigating the need for anatomical imaging,
e.g., computed tomography (CT) or T1 magnetic resonance imaging (MRI). Thus, our approach
could enable LIFU application in populations where MRI scans are challenging, such as in infants,
individuals with implanted medical devices, or those with severe claustrophobia.

We verified that the template rescaling method that we implemented meets the desired targeting
accuracy. Our strategy achieved small deviations of 1.7 ± 0.6 mm relative to the actual T1
anatomical image (Supplementary Fig. 4). The validity of the template rescaling method is also
supported by effect size increase as the lateral deviation of the beam center from the intended
target (as determined by rescaled template image) decreased (Supplementary Fig. 6). Notably,
the average lateral deviation of the beam from the target (2.3 ± 1.0 mm, Fig. 2i) was comparable
to the beam radius (2.5 mm). This was also comparable to or better than those in previous works
(deviation ranging from 3 to 9 mm), reinforcing the robustness and precision of our targeting
approach[55,75].

While previous research demonstrated the potential for LIFU to induce long-lasting effects ranging
from hours to weeks[76–78], we did not observe persistent changes as evidenced by the comparison
between Baseline-1 and Baseline-2 data (Supplementary Fig. 2). This discrepancy may be
attributed to two factors. First, the estimated intensity of our LIFU stimulation (0.09 ± 0.07 W cm$^-$
^{2}) might have been insufficient to trigger long-term modifications. Second, the visual system, being
highly adaptable and dynamic, might respond differently to LIFU compared to domains like
addiction, anxiety, or depression where longer-term effects have been observed[72,76,77]. To better
understand the implications of LIFU for scientific study and therapeutic application, future
investigations should assess the factors that influence the duration of sonication effects.

Our study has limitations. First, the effect size of the LIFU-induced modulation of conscious
perception was small (e.g., Cohen's d = 0.3 for sensitivity increase during VA thalamus excitation).
This might be due to the low intensity of LIFU, primarily caused by significant energy loss (≈ 90%)
from ultrasound attenuation through the human skull[79]. Further attenuation could have resulted
from hair and air pockets. Advanced approaches like direct attenuation measurement and
compensation may mitigate this problem[79].

Moreover, the current FDA intensity guidelines (e.g., spatial-peak temporal-average intensity I_{spta}
= 0.72 W cm^{-2}) are based on diagnostic and imaging ultrasound devices, and specific guidelines
for LIFU on human brain are lacking[80]. Future studies should advocate for updated FDA guidelines
specific to LIFU in the human brain, as current evidence suggests that higher intensities, tested
safely in animal studies, may produce more robust effects without causing thermal damage[49,81,82].

Second, the region-independent effects might be confounded by the potential influence of auditory
artifacts[83]. However, the observed outcomes cannot be solely explained by auditory effects.
Sonication with 5% duty cycle generated more noticeable sound due to the fixed time-averaged
intensity compared to the 70% duty cycle leading to a higher percentage of participants reporting
awareness of the sound at 5% (93% vs. 52% of participants, see Source Data). If auditory artifacts
were the primary factor influencing the results, then we would expect the 5% duty cycle to have

a greater effect on perceptual outcomes, and in the same direction as the effects at the 70% duty
cycle. However, we observed opposite effects between the two duty cycles on measures such as
hit rate and sensitivity (Fig. 3a,c,d), as well as the effects unique to the 70% duty cycle such as
the lower categorization accuracy for real images (Fig. 4g). These findings support the specificity
of the observed effects. Nonetheless, to better isolate and understand the potential confounding
effects of auditory artifacts, future research may include a sham control with replicated sound
playback, or masking of the transducer sound during LIFU stimulation.

In conclusion, our findings illuminate the causal roles of distinct thalamic regions in conscious
perception, particularly highlighting the unique contribution of the transmodal-dominant ventral
anterior (VA) thalamus in modulating perceptual sensitivity. These results provide causal insight
into the intricate unimodal-transmodal functional organization of the thalamus.

METHODS

Participants. The study protocol (ClinicalTrials.gov ID: NCT06083493) was approved by the
Institutional Board Review of the University of Michigan Medical School. A total 60 participants
(age: 25.9 ± 6.3 years, mean ± SD; 38 females, 22 males) participated in the experiment. All
subjects provided written informed consent prior to the experiment and were compensated. All
subjects were right-handed, did not have any hearing loss, and were not colorblind, and had
normal or corrected-to-normal vision. Although hair shaving was not required for this study, seven
participants chose to shave their right temple in exchange for additional compensation.
Participants were assigned to one of two equal-sized groups (duty cycle = 70% and 5%) by a
random number generator, each comprising 30 participants. Since the neuromodulation effect is
dependent on various factors, such as parameters and target regions, determining a prior effect
size is challenging. However, we planned for a subject number of $n = 30$ per group, exceeding
the mean sample size ($n = 19$) reported in the literature[84]. One subject who performed a different
visual task was not included in data analysis. Three subjects with technical issues during targeting
were excluded from data analysis. One subject did not complete the task due to technical issues
in perceptual threshold determination. One subject was excluded because the hit rate during
Baseline-1 was too low (< 15%). Thus, a total sample size was $n = 54$ (70% duty cycle: $n = 27$;
5% duty cycle: $n = 27$; see also Supplementary Fig. 9 for a flow chart). Sex or gender analysis
was not conducted because there were no sex or gender specific hypotheses regarding the
influence of ultrasound neuromodulation on visual perception. Participants were blinded to the
intervention conditions by providing identical procedural setups and device operations across both
groups, ensuring that all participants did not know the specific duty cycle assignment.

LIFU devices and parameters. LIFU was administered using the BrainSonix BXPulsar 1002
LIFU System (BrainSonix Corporations, Sherman Oaks, CA, USA). This system includes a single
transducer with a 61.5 mm diameter and 80.7 mm focal depth. The focal depth was also validated
by Blatek Ultrasonic Transducers (Boalsburg, PA, USA). This transducer is mounted in a plastic
housing and sealed with a thin polyethylene membrane. To identify the optimal placement for the
transducer on the participant's head, we utilized the Brainsight Neuro-Navigation System (Rogue
Research, Montreal, Quebec, Canada).

Sonication parameters are determined as follows: Fundamental frequency: 650 kHz; Pulse
repetition frequency: 10 Hz; Pulse width: 5 ms; Duty cycle: 70% and 5%; Sonication duration: 30
s; Inter-sonication interval: 30 s; Sonication per block: 12; I_{spta}: 0.72 W cm^{-2}. The intensity is

calculated using the US FDA's derating method for diagnostic ultrasound systems, which
assumes a uniform tissue attenuation rate of 0.3 dB cm^{-1} MHz^{-1}.

Transducer setup. Aquasonic Ultrasound Gel was applied to the right temple before positioning
the transducer, ensuring that all hairs in the area were thoroughly coated with gel to enhance
ultrasound transmission. The transducer was then positioned on the right temple and secured
with two adjustable fabric bands: one band ran from the chin over the top of the head, and the
other band passed from the forehead to the back of the head. Additional self-adhering bandages
were used on top of the fabric bands to fine-tune the angle of the transducer for precise alignment.
During the LIFU stimulation, we recorded the coordinates of the target location and the contact
point between the transducer and the skin for post-hoc analysis.

Target locations. Following a previous thalamic parcellation scheme[85], the thalamus was
coarsely divided into four regions: ventral anterior (VA), ventral posterior (VP), dorsal anterior
(DA), dorsal posterior (DP). Given the lack of evidence for functional lateralization in the thalamus,
we opted to target the left hemisphere for convenience. The center coordinates for the four regions
in the MNI space are as follows. Left VA: (-8 mm, -11 mm, 6 mm), Left VP: (-13 mm, -23 mm, 2
mm), Left DA: (-12 mm, -23 mm, 12 mm), Left DP: (-16 mm, -31 mm, 2 mm). Notably, due to the
elongated shape of the beam (Fig. 2a), it is possible that part of the right thalamus may have been
partially affected.

Head size measurement. We used a digital caliper to measure head size in three dimensions:
width, depth, and side-to-top distance (Fig. 1b). Head width was measured as the distance
between the left and right supratragic notches, which are indentations in the ear cartilage right
above the tragus. Head depth was measured from the most posterior (back) point to the most
anterior (front) point of the head. The side-to-top distance was defined as the measurement from
the one supratragic notch to the highest top point of the head.

Template rescaling method. We rescaled the ICBM 2009c Nonlinear Asymmetric template of
the MNI152 linear template to match each participant's head size based on the three measured
dimensions. The head height, defined as the height of a triangle formed by the top of the head,
the supratragic notch, and the center of the head at the plane of the supratragic notch, was
calculated using the formula:

$$Height = \sqrt{(Side-to-Top\ Distance)^2 - (Width)^2} \qquad (1)$$

The default head size measurements of the MNI template were as follows: width: 16 cm, depth:
21 cm, and height: 15 cm. We then calculated the size ratio between the MNI template and the
participant's head dimensions. The NIFTI image of the MNI template was resliced using B-spline
interpolation to adjust to the participant's head size.

We performed a separate analysis with five subjects to validate the template rescaling method.
For these subjects, we obtained actual anatomical T1 images and generated rescaled template
images using the described method. For both the actual T1 and rescaled template images, we
identified the real-space coordinates corresponding to four left thalamic regions based on their
MNI-space coordinates. After coregistering the two images, we calculated the Euclidean
distances between the coordinates derived from the actual T1 images and those from the rescaled
template images for each thalamic region.

Ultrasound intensity and thermal simulation. We employed SimNIBS pipeline (SimNIBS
v4.1.0, https://simnibs.github.io/simnibs/build/html/index.html) to differentiate skin, skull, and
brain within the rescaled template brain images[86]. We then simulated the transcranial ultrasound
intensity and thermal profiles using the BabelBrain software (BabelBrain v0.3.5,
https://proteusmrighifu.github.io/BabelBrain/)[87]. Within the Brainsight software (v2.5.4), we
created post-hoc trajectories starting from the recorded contact points between the transducer
and the skin, pointing toward the thalamus. The spatial resolution of was set to points-per-
wavelength = 6 and the field was simulated 100 mm beyond the target at maximum.

Experimental procedure. The experiment was conducted in a single session lasting
approximately two hours (Fig. 1a). At the beginning of the session, participants' head size was
measured (details above), followed by task instructions. Participants first completed a brief
practice run consisting of 30 trials (a shorter version of staircase procedure; see below). Next, the
ultrasound transducer was positioned, and participants underwent the main image contrast
staircase procedure involving 60 trials. Participants then engaged in the main task, which
consisted of six blocks, each containing 100 trials and lasting approximately 12 minutes, for a
total of 600 trials (Fig. 1a). For staircase and main task, a custom-modified version of the public
code implemented for the near-threshold behavioral paradigm
(https://github.com/BiyuHeLab/NatCommun_Levinson2021/) was used with Psychophysics
Toolbox executed on MATLAB R2024a[8,88].

During the first and last blocks, LIFU was not applied (referred to as Baseline-1 and Baseline-2).
During the inner four blocks, four thalamic areas are stimulated in a pre-assigned, pseudo-
randomized order (determined by a random number generator), counter-balanced across the
participants, with a consistent duty cycle (either 70% or 5%) within one participant.

Visual stimuli. Visual images encompassed four categories: faces, houses, human-made
objects, and animals (Fig. 1g), which were used in a previous study[8]. The images were sourced
from publicly available labeled photographs or the Psychological Image Collection at Stirling
(PICS). Each category included five distinct images, resulting in a total of 20 unique real images.
All images were converted to grayscale and resized to 300 × 300 pixels. The pixel intensities were
normalized by subtracting the mean and dividing by the standard deviation. Subsequently, the
images were processed with a 2D Gaussian smoothing filter ('imgaussfilt' function in MATLAB
R2024a), using a standard deviation of 1.5 pixels and a 7 × 7 pixel kernel. Scrambled images that
maintain low-level features were generated for each category by randomizing the phase of the
2D Fourier transform for one image from each category.

The contrast of an image was defined as:

$$contrast = \frac{I_{max} - I_{min}}{2b} \tag{2}$$

where I_{max} and I_{min} are the intensity values of lightest and darkest pixels in the image, respectively
(in the range of 0 to 255). To ensure a smooth transition to the background (set as gray, intensity
= 127), the edges of all images were gradually blended by applying a Gaussian window with a
standard deviation of 0.2 to the image intensity.

The stimuli were displayed on a 14-inch laptop (HP ProBook 440 G4) with a 60-Hz refresh rate
LCD screen, set to the maximum screen brightness. The viewing distance between the screen
and the participants' eyes was maintained at 65.8 ± 5.7 cm (mean ± SD). During the experiment,
participants were asked to maintain constant eye-monitor distance. We also validated the

distance during rest periods and readjusted the position of the laptop if necessary. We did not
adopt masking because masking is known to influence the visual information processing[89,90].

Image contrast staircase procedure. Before the main task, participants completed the QUEST
adaptive staircase procedure[8,54] to determine the contrast value that would yield a 50%
recognition rate (i.e., the rate of "YES" response to Question-2). The QUEST procedure was
similar to the main task but with shorter timing parameters. The inter-trial interval was 0.75
seconds, and the delay period between the stimulus and the first question was 2 seconds. The
threshold contrast was identified through a QUEST process consisting of 60 trials. Each trial was
randomly assigned to one of three sets, and the staircase procedure was conducted separately
for each set. Task performance was deemed acceptable if the three sets successfully converged
on a specific contrast value. The staircase procedure was repeated until the convergence of the
three curves was confirmed. Rather than adjusting the contrast of each image individually, the
staircasing aimed for 50% recognition across all images.

Main task. Each block of the main task featured four trials per real image (80 real trials in total)
and five trials per scrambled image (20 scrambled trials in total). Participants were unaware of
the fact that scrambled images would appear. Participants were allowed to rest for at least 3
minutes between blocks, during which the transducer was readjusted. The entire main task took
about 1.5 hours to complete.

Each trial began with a fixation cross displayed on a gray background for a randomly determined
duration between 3 and 6 seconds, following an exponential distribution to prevent participants
from predicting stimulus onset (Fig. 1e). The stimulus image was then shown behind the fixation
cross for 8 frames (67 ms), with the image intensity linearly increasing across frames. After the
stimulus disappeared, the fixation cross remained on the screen for an additional 2 seconds. Each
trial concluded with two sequential questions about the stimulus, each displayed for up to 3
seconds.

Question-1 asked participants to categorize the image as a face, house, object, or animal.
Participants were instructed to guess the category even if they did not consciously recognize the
object (four-alternative forced-choice). Question-2 assessed their subjective experience, asking
whether they had a "meaningful visual experience" of the object stimulus ("YES" or "NO"). Before
the practice run, participants were told that a "meaningful" stimulus was defined as something
that makes sense in the real world, as opposed to random noise or meaningless shapes. They
were instructed to respond "YES" even if they recognized only part of an object. Participants
provided their answers by pressing buttons on a 4-key external USB keyboard placed on their lap.
If participants did not respond to Question-1, it was recorded as incorrect, and for Question-2, a
lack of response was recorded as "NO."

Signal detection theory analysis. We employed signal detection theory (SDT) to examine the
perceptual outcomes, focusing on sensitivity and decision bias[91–93]. Sensitivity measures the
ability to distinguish between real images and scrambled images. We calculated both parametric
and non-parametric sensitivity metrics through standard SDT analysis: d' and A', defined as
follows:

$$d' = \Phi^{-1}(H) - \Phi^{-1}(FA) \tag{3}$$

$$A' = 0.5 + \left[\operatorname{sign}(H - FA) \frac{(H - FA)^2 + |H - FA|}{4 \max(H, FA) - 4\, H \cdot FA} \right] \tag{4}$$

where Φ^{-1} is the inverse normal cumulative distribution function, H is the hit rate, and FA is the false alarm rate, respectively. High d' and A' values indicate better discrimination between real and scrambled images.

Decision bias refers to the inclination to certain response, regardless of whether the stimulus is real or scrambled. We assessed decision bias using parametric and non-parametric metrics: c and B'', defined below.

$$c = -\frac{\Phi^{-1}(H) + \Phi^{-1}(FA)}{2} \qquad (5)$$

$$B' = \text{sign}(H - FA)\frac{H(1-H) - FA(1-FA)}{H(1-H) + FA(1-FA)} \qquad (6)$$

High c and B'' values indicate the tendency to say "NO" to Question-2, indicating a more conservative object recognition.

Following Macmillan and Kaplan's correction, we substituted the values of false alarm or hit rate to $1 - \frac{1}{2N_{real}}$ and $\frac{1}{2N_{scram}}$ when $FA = 0$ or $H = 1$, where N_{real} and N_{scram} refers to the number of trials showing real and scrambled images, respectively[12,94].

Human Connectome Project Dataset. The dataset was obtained from the S1200 Release of the WU-Minn Human Connectome Project (HCP) database, which has been extensively described in prior studies[56]. The participants were healthy young adults aged 22 to 37 years. Participants who had completed two sessions of resting-state fMRI scans (Rest1 and Rest2) were included in our analysis, resulting in n = 1009. The data were collected using a customized Siemens 3T MR scanner (Skyra system) with multiband EPI. Each scanning session comprised two sequences with opposite phase encoding directions (left-to-right and right-to-left), each lasting 14 minutes and 33 seconds. The sequences were acquired with a repetition time (TR) of 720 ms, an echo time (TE) of 33.1 ms, and a voxel size of 2 mm isotropic. To maximize data quality and minimize bias from phase encoding direction, the sequences from each session were combined, resulting in a total of 29 minutes and 6 seconds. The denoised volumetric data, preprocessed through ICA-FIX, were accessed from the online HCP database. Further details on the resting-state fMRI data collection and preprocessing are available in previous publications[95,96]. We employed the AFNI software suite (linux_ubuntu_16_64; http://afni.nimh.nih.gov/) for further preprocessing, encompassing resampling to a 3 × 3 × 3 mm resolution, band-pass filtering within the 0.01-0.1 Hz frequency range, spatial smoothing with a 6-mm Full Width at Half Maximum isotropic Gaussian kernel, and temporal normalization to attain zero mean and unit variance.

Network-level analysis. We parcellated the cortex into 400 regions of interest (ROIs), each assigned to one of seven canonical networks: visual, somatomotor, dorsal attention, ventral attention, limbic, frontoparietal, and default-mode networks, based on a well-established parcellation scheme[97,98]. Based on the Subcortical Atlas[85], we extracted time courses of BOLD signal within the left VA, VP, DA, and DP thalamus. For each region, we calculated the functional connectivity across all 400 cortical ROIs. We then obtained the rank of the functional connectivity for each resting-state session (Rest1 and Rest2) and averaged these ranks across the seven networks and two sessions to calculate network-level connectivity. Lastly, we computed the

average rank for unimodal (visual and somatomotor) and transmodal (frontoparietal and default-mode) networks and plotted them in a 2D space.

Voxel-based analysis. Mean time courses were extracted from left VA, VP, DA, and DP thalamus, serving as seed regions for functional connectivity analysis. Seed-based maps (Pearson correlation, Fisher-*z* transformed) were generated for each participant and region. Group-level *z*-score maps were generated by standardizing individual connectivity values (subtracting the mean and dividing by the standard deviation across participants). Within the cortex, we retained the top 10% of voxels with the highest connectivity. This analysis was performed independently for Rest1 and Rest2 sessions, and their overlap was used to ensure consistency. Unique and common clusters of high-connectivity voxels were then identified for each thalamic region.

Statistics. To ensure stabilization of task performance, the first ten trials of each block were omitted from the analysis. Due to technical issues, a few participants failed to complete specific LIFU-ON blocks, which were consequently excluded from the analysis (Fig. 3, 4). The affected blocks and the number of participants were as follows: VA 70% DC ($n = 1$), VA 5% DC ($n = 2$), VP 70% DC ($n = 1$), VP 5% DC ($n = 2$), and DA 5% DC ($n = 1$). Additionally, for one subject in the 5% DC group, the lateral deviation value was not recorded for VP (Fig. 2i). Beam center locations were not recorded for a few participants, resulting in their omission from the beam deviation analysis (Fig. 2j). The numbers of participants with missing beam center location data were: VA ($n = 4$); VP ($n = 6$); DA ($n = 3$); DP ($n = 3$). Furthermore, the locations of the transducer on the scalp were not recorded for a few participants, leading to their exclusion from the intensity estimation analysis (Fig. 2k). The numbers of participants with missing transducer location data were: VA ($n = 9$); VP ($n = 10$); DA ($n = 9$); DP ($n = 9$).

In Fig. 3, baseline-subtracted perceptual outcomes were evaluated by two statistical tests. First, we assessed the main and interaction effects of duty cycle and stimulation region using a linear mixed-effects model ANOVA. Additionally, we tested whether the distribution of perceptual outcomes for each duty cycle and stimulation region deviated from zero using the Wilcoxon signed-rank test (two-sided). Eight tests (four regions × two duty cycles) were corrected for multiple comparisons using false discovery rate (FDR) method. In Fig. 4, baseline-subtracted perceptual outcomes were aggregated across stimulation regions and compared between the two duty cycles using the Mann-Whitney U test (two-sided). Similar to the analysis in Fig. 3, we also tested whether the distributions deviated from zero using the Wilcoxon signed-rank test (two-sided). Full statistics of main and supplementary analyses are included in Source Data.

DATA AVAILABILITY

Source data are provided with this paper. The HCP dataset is available from online repository (https://www.humanconnectome.org/).

CODE AVAILABILITY

Code for near-threshold paradigm is available at Github (https://github.com/BiyuHeLab/NatCommun_Levinson2021/)[8]. Custom-built code for template rescaling will become available at Github.

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AUTHOR CONTRIBUTIONS STATEMENT

H.J. and Z.H. designed the study and set up the experimental apparatus. H.J. devised the template rescaling method and developed the code. H.J., P.F., and Z.H. conducted the experiments. H.J. analyzed the data, prepared the figures, and drafted the manuscript. Z.H. co-analyzed the data. Z.H., G.A.M. and A.G.H. interpreted the data and edited the manuscript.

COMPETING INTERESTS STATEMENT

The authors declare no competing interests.