Experiments and modelling of pulmonary surfactant disruption by

aerosolised compounds

Authors

Hugh Barlow[1]*, Hugh.Barlow@unilever.com, Sreyoshee Sengupta[2] srs@nfa.dk, Maria Teresa

Baltazar[1] Maria.Baltazar@unilever.com, Jorid B. Sørli[2], jbs@nfa.dk

Affiliation

[1]Unilever Colworth Research and Development, Bedford, United Kingdom

[2]The National Research Centre for the Working Environment, Copenhagen, Denmark

* corresponding author

Abstract

Within the deep lung, pulmonary surfactant coats the air-liquid interface at the surface of the alveoli.

This complex mixture of amphiphilic molecules and proteins modifies the surface tension and

mechanical properties of this interface to assist with breathing. In this study, we examine the effects

on pulmonary surfactant function by two industrially used compounds composing surfactants and

polymers. Using an experimental method previously developed to imitate the in vivo exposure in the

alveoli[1], we quantify the change in the dilational rheology of the pulmonary surfactant due to the

introduction of two widely used chemicals; Benzalkonium Chloride (BAC) and Polyhexamethylene

Biguanide (PHMB). We observe that these chemicals alter the dilational rheology of the surfactant

monolayer. Using a mechanistic theory, we are able to semi-quantitatively model the changes

induced by the introduction of these compounds to the pulmonary surfactant.

Introduction

Human pulmonary surfactant is a complex mixture of phospholipids and proteins that lines the air-

liquid interface within our alveoli. Its composition and functionality is a topic of great interest from

the perspective of both fundamental colloidal science [2, 3] and human health [4]. It is

predominantly (>90%) composed of phospholipids, with much of the remainder made up of

specialised surfactant proteins. During expiration, it allows the surface tension to reach near zero and

despite the extremely high surface pressure does not undergo collapse. This is due to the interplay

between the various surfactant proteins which regulate the migration of the surfactant from the

surface to multilayer subsurface structures. Upon inhalation, and the corresponding expansion of the

alveoli, pulmonary surfactant respreads much more quickly than would be observed in pure

dipalmitoyl phosphatidylcholine (DPPC) monolayers[2]. This rapid respreading overall increases the

elasticity of the lung which is critical to maintaining respiratory function. It is noted in an early study

"that it is high surface elasticity rather than very low surface tension which is decisive for normal

lung function"[5].

Many studies of the pulmonary surfactant have studied these rheological properties and their

importance to healthy breathing [3, 6-9]. Researchers have analysed how pulmonary surfactant

monolayers can be altered by the interaction with non-biological materials (xenobiotics) such as

nanomaterials [10-17], e-cigarette components [18, 19] and polymers [1, 20-22]. The delicate

interplay between the rheology of the lung and human respiratory health suggests that the induced

change of the monolayer properties could induce an adverse response in persons exposed to these

aerosolised compounds. This was notably seen in the case of water-proofing polymer-based

sprays[23, 24]. Zasadzinski and co-authors have suggested a link between a change in the dilational

modulus and Acute Respiratory Distress Syndrome [7, 25, 26]. They have suggested that such a

decrease may lead to a "Laplace instability", whereby the alveoli fail to inflate and deflate in

accordance with normal breathing due to a decrease in the surface elasticity compared to the

average surface tension, potentially causing fatal respiratory failure.

It is therefore of tremendous importance to public health that the potential impact of chemicals on

lung surfactant function is assessed. Increasingly, regulatory and consumer pressures have lead to

the adoption of non-animal methods to assess the risk from novel chemicals and products[27]. We

study here the alteration in the interfacial properties of lung surfactant using in vitro experiments

and theoretical modelling derived from well established surfactant physics. We use two chemicals: a

cationic surfactant benzalkonium chloride (BAC) and a hydrophilic polymer polyhexamethylene

biguanide (PHMB) as model compounds. BAC is safely used in various consumer (e.g. cleaning

products) and pharmaceutical products (e.g. nasal sprays and ophthalmic drops) due to its broad-

spectrum antimicrobial activity [28]. However, in the asthmatic population, nebulisers containing

BAC have resulted in cases of bronchospasm and bronchoconstriction [29]. These nebulizers

generate small particles (2- 5 μm) are designed to deliver pharmaceutical drugs mainly to the distal

bronchial region but can also reach the alveolar area[30]. This contrasts with the particle size

distribution produced by trigger sprays used in disinfectants, which generate median particles from

about 70 μm up to well over 100 μm[31]. These are unlikely to reach the tracheobronchial area [32].

PHMB is used as a preservative in cosmetic products at a concentration up to 0.1% as evaluated by

the Scientific Committee on Consumer Safety (SCCS). Based on the severity and irreversibility of the

lung effects observed in the acute and subacute inhalation toxicity animal studies at low

concentrations (No observed adverse effect level of 0.02 mg/m³), and in the absence of sub-chronic

inhalation studies, use in sprayable formulations is not advised[33]. PHMB is closely related to other

anti-microbial polyhexamethylene based polymers which have a known pulmonary health impact

when used in aerosols[34].

Experimental Method

Figure 1: Schematic of the experimental setup with CDS, mechanised pump, camera and
computer reading of surface tension.

The experimental setup used in this study is diagrammed in Figure 1. The method used has been

outlined in detail in previous work[1, 21, 22, 35-41], so we shall only describe it briefly here. The

Constrained Drop Surfactometer (CDS, BioSurface Instruments, United States) was used to measure

the surface tension of pulmonary surfactant under dynamic conditions,[17]. The CDS setup was used

with a constant flow through exposure chamber as described in [37] to study the effect of

aerosolised test chemicals on pulmonary surfactant function.

A drop of commercially purchased pulmonary surfactant (Curosurf™, 10 μL at 2.5 mg/mL) was

placed on a hollow, sharp-edged pedestal connected via plastic tubing to a syringe filled with buffer,

the syringe was placed in a computer controlled motorised pump. The drop was subjected to

dynamic cycling (20 cycles/minute, at 20-30% compression) by introducing and removing liquid from

the drop through the base of the pedestal to simulate breathing. The cycling of the drop was stopped

to refill the drop with buffer and replace the evaporated liquid when the drop became too small.

Pulmonary surfactant was cycled for 40 seconds to obtain a baseline value followed by exposure to

the aerosolised chemicals for 5 minutes. Experiments with a baseline minimum surface tension value

>5mN/m or compression >30% were discarded. Drop images were continuously recorded at five

frames per second and analysed by axisymmetric drop shape analysis (ADSA) [42] software to

calculate surface tension and surface area among other parameters. The temperature in the

exposure chamber was monitored using the TinyTag Plus 2 data logger (TGP-4017, Gemini Data

Loggers Ltd, United Kingdom). The CDS setup was placed in a heating box and the air used to

aerosolise the test chemical was heated. Aerosols of the test chemical were generated by infusing a

solution of chemical in solvents (ethanol for BAC and water for PHMB) with an infusion pump (Legato

100, KD Scientific, Holliston, USA) into a Pitt no.1 nebuliser [43] by the flow of warm pressurized air

into the nebulizer. Data from the ADSA software was processed to quantify changes in surface

tension.

Figure 2: a) Surface Tension as a function of time measured in experiment. Infusion rate of

BAC is 0.1 ml/min and concentration of 0.5 mg/ml. Infusion begins at 40s. b) Lissajous

curves corresponding to the unexposed and exposed parts of the time course data in a) Data

(·) and theoretical Lissajous curve corresponding to the largest Fourier mode (-).

Rheological Analysis

In this study, we seek to examine how the dilational rheology of pulmonary surfactant changes when

exposed to an aerosolised compound during dynamic cycling in constrained droplet surfactometer

(CDS). This is done by determining the complex dilational modulus E using the equation

$$E(i\omega) = \frac{\mathfrak{F}(\Delta\gamma)}{\mathfrak{F}[ln\left(\frac{\Delta A}{A_0}\right)]} \qquad (1)$$

where $\mathfrak{F}$ denotes the Discrete Fourier Transform operator, $\ln(\Delta A/A_0)$ the relative logarithmic

surface area, with circular frequency ω, and γ is the surface tension. Similar processes have been

used in the past to study the dilational rheology of surfactant monolayers [44-47], including for

pulmonary surfactant dilational rheology[10, 11].

In Figure 2 a) we plot an example of the surface tension γ as function of time observed in our

experiments. This example corresponds to BAC at an infusion rate of 0.1 ml/min and concentration of

0.5 mg/ml. Prior to the exposure time, when the chemical is infused into the chamber, a steady

oscillation is observed, the amplitude of which decreases precipitously upon introduction of the

aerosolised xenobiotic. This infusion is conducted continuously until the end of the measurement

time at 300 seconds. We note that the new post-exposure amplitude of oscillation does not alter

much over time after the initial transition from the pre- to post-exposure regime. However, there is a

non-negligible transition time between the pre- and post-post exposure amplitude, and taking

measurements over the entire post exposure duration may introduce artifacts to our measurements

due to this intermediary phase. Furthermore, during these experiments, the solvent in the droplet

fluid is constantly undergoing evaporation which can alter the measured surface tension due to

resulting increase in solute concentration in the droplet. Consequently, we perform the

measurements to characterise the pre- and post-exposure dilational rheology in sub-periods of the

pre and post exposure regimes. These sub-periods are selected where the variation in the surface

area and surface tension of the droplet most closely correspond the single frequency sinusoidal

oscillation applied through the apparatus, i.e. where the surface-tension and surface area variation

with time can be best characterised by a single Fourier mode. To obtain decent averaging these

subperiods are required to correspond to not less than 10 oscillations (30 seconds) in duration. These

sub-periods are shown in the Figure 2 a) for the pre-exposure and the post-exposure sub-periods as

green and pink solid lines respectively. Further experimental explorations using more precise

equipment and longer exposure times using methods to limit evaporation may resolve these issues

in future.

In Figure 2 b), we show the corresponding parametric plots (Lissajous Curves) of these sub periods,

where the coloured symbols correspond to the data from the experimental data shown in Figure 2 a).

Overlaid in solid lines are the elliptical Lissajous curves given by a parametric plot of the largest

measured Fourier mode of the surface tension against surface area added to the average surface

tension. The equation of these curves is given by

140
$$(\gamma - \gamma_0)^2 - 2E' \ln\left(\frac{A}{A_0}\right)(\gamma - \gamma_0) + [\ln\left(\frac{A}{A_0}\right)]^2(E''^2 + E''^2) = E''^2 \, [\ln\left(\frac{A_{max}}{A_0}\right)]^2. \qquad (2)$$

Here E' and E'' are the storage and loss dilational modulus respectively, γ_0 is the average surface

tension, A_0 is the average droplet area and A_{max} is the largest droplet area during oscillation. The

corresponding complex modulus is then $E^* = E' + i\, E''$. We see that the elliptical curve

approximates very well these curves before and after exposure. We therefore shall use the moduli

calculated based on the largest amplitude Fourier mode to characterise the rheology before and

after the introduction of the xenobiotic.

We quantify the observed change in the viscoelastic properties of the pulmonary surfactant as a

function of the introduced compound by examining the change in the complex modulus E^* and the

average surface tension $\bar{\gamma}$. We define the normalised difference in the complex dilational modulus

$\Delta\tilde{E}$ as

151
$$\Delta \tilde{E} = \frac{|E_b^*| - |E_a^*|}{|E_b^*|} \qquad (3)$$

152 where $E^*{}_b$ and E_a^* are the complex moduli before and after the exposure of the droplet to the

153 chemical and $|E^*| = \sqrt{E'^2 + E''^2}$ is the magnitude of complex modulus E^*. The corresponding

154 normalised change in the average surface tension $\bar{\gamma}$ is quantified by the expression,

155
$$\Delta \tilde{\gamma} = \frac{\bar{\gamma}_b - \bar{\gamma}_a}{\bar{\gamma}_b} \qquad (4)$$

156 Where $\bar{\gamma}_a$ and $\bar{\gamma}_b$ are the average surface tension before and after exposure is initiated. In both

157 cases, we normalise the change to minimise the impact of sample variability in the pulmonary

158 surfactant base behaviour that may be result from inconsistency in experimental material.

Modelling

We now present a model to understand the effects of the xenobiotic on the native pulmonary

surfactant in our experiments based on the physics of surfactant monolayers. The dilational rheology

of pure surfactant monolayers is predominantly determined by the kinetics of molecular migration

between the bulk fluid and the interface[48]. Due to the interactions between the phospholipids and

proteins that compose pulmonary surfactant, the changes in surface pressure observed therein

during dynamic compression is more complex[6, 8]. This has been linked to the formation of three-

dimensional structures beneath the monolayer which act as reservoirs of surfactant molecules

during decompression. [3, 49-53]. These regimes of behaviour are not accounted for by the kinetics

of adsorption and desorption[3]. Multiple studies have been performed that developed

mathematical models which encompass these different behaviours seen during the dynamic

compression of pulmonary surfactant monolayers [54-58].

In this study, we do not seek to comprehensively model comprehensively the changes in surface

tension seen during the dilation of pulmonary surfactant monolayers in all regimes, but to investigate

the mechanisms related to the disruption of pulmonary surfactant function. We therefore build a

minimal model to describe the dynamics of the native pulmonary surfactant based on the dynamics

of surfactant adsorption and ignore the behaviour at higher levels of compression. As in Ref.[6], we

relate the surface concentration of pulmonary surfactant Γ to the surface pressure Π using a Volmer

type isotherm[59]

178
$$\Pi(\Gamma) = \gamma_0 - \gamma = k_B T \Gamma_{inf} \frac{m\,\Gamma}{\Gamma_\infty - \Gamma} \qquad (5)$$

where k_B is Boltzmann's constant, T is the temperature (assumed $37\,°C$), γ is the surface tension, γ_0

is the surface tension of water at zero surfactant concentration and m is an empirical scaling

parameter which accounts for the additional elasticity attributable to interactions between

surfactant proteins and phospholipids. Γ_∞ is the surface concentration at saturation, which is set

from the findings of previous studies to 0.02Å^{-2}[57, 60, 61]. As in previous studies [54-57], we

describe adsorption and desorption by the implied kinetics of the corresponding adsorption

isotherm

186
$$\frac{d\Gamma}{dt} = k_{ads} C (\Gamma_\infty - \Gamma) - k_{des} \Gamma e^{\frac{m\,\Gamma}{\Gamma\infty - \Gamma}} - \frac{\Gamma}{A} \frac{dA}{dt} \qquad (6)$$

188   The final term in this equation accounts for the variation in the surface area of the droplet during the

189   oscillating cycles[54, 57]. The parameters $k_{ads}C$, k_{des} and C correspond to the surfactant adsorption

190   and desorption rate constants and the bulk surfactant concentration respectively. Since C does not

191   appear independently, $k_{ads}C$ and k_{des} , along with the factor m, are determined by fitting the

192   Equations 4 and 5 to compression cycles of unexposed pulmonary surfactant.

Figure 3: a) Example Lissajous curve of Pulmonary Surfactant at $\omega = 20\ min^{-1}$, with corresponding
model fit. b) Experimentally measured storage modulus E' and loss modulus E'' for model pulmonary
surfactant based on the largest Fourier mode during oscillatory experiments (□). Model values (-)
calculated by applying an amplitude of $ln(A/A_0)_{max} = 0.125$. The model values are calculated
based on the parameters from best fit parameters for $\omega = 20\ min^{-1}$. Error bars correspond to one
standard deviation of the measured values.

202 These parameters were obtained by fitting Lissajous curves for 10 different samples of commercially

203 available lung surfactant Curosurf™ at a fixed oscillation rate of 20 min^{-1} to emulate human

204 breathing frequency. In Figure 3 a) we plot one of these experimental Lissajous curves and the model

205 curve used in this study and we see good agreement between the model and the experimental data.

206 The fitted parameters used throughout this study are shown in Table 1.

Parameter	m	$k_{ads}C$	k_{des}	Γ_∞	γ_0
Units	#	s^{-1}	s^{-1}	$Å^{-2}$	mNm
Value	3.11	0.045	2.09e-05	0.02	71.2

Tabel 1: Parameters of best fit for Equation 1 and 2 based on fitting 10 Lissajous curves produced for unexposed lung surfactant.

The measured values for the storage and loss moduli for multiple frequencies are shown in Figure

2b). We see from the plotted curves that our model, although only fitted at a frequency of $20\ min^{-1}$

does reasonably well at estimating the storage modulus but overestimates the loss moduli for all

frequencies measured. This discrepancy is potentially due to the lack of consideration of the

additional mechanisms which govern the behaviour of pulmonary surfactant during periodical

oscillation such as subsurface structure formation. However, our model captures the saliant features

of the experimental Lissajous curves, therefore we shall use it to model alteration in the pulmonary

surfactant oscillatory curve due to the introduction of the xenobiotics studied.

Xenobiotic Effects

The primary goal of the study is to understand how the introduction of the xenobiotic chemical

induces pulmonary surfactant function inhibition. Having demonstrated that we can capture the

relevant behaviours of the unexposed pulmonary surfactant using the model above, we now extend

it to account for the effects of the xenobiotic by following a methodology similar to that developed

to model for the rheology of mixed protein-surfactant monolayers [62, 63].

Firstly, we observe in experiments that when the aerosolised chemical is infused into the chamber,

after a short transient period, the amplitude of the oscillations of surface tension settles into a new

regular amplitude (see Figure 2 a)). This implies that the xenobiotic does not accumulate on the

surface of the droplet but that it is eventually absorbed into the bulk. Therefore, we surmise that the

amount of the xenobiotic present in the monolayer is dependent on the rate at which it is deposited

as opposed to the total cumulative amount. We set the *dose rate=concentration ×infusion rate.* This

assertion is corroborated by animal experiments, where it was noted that mice exposed to a low

concentration of aerosolised chemicals did not experience deleterious effects [37, 64]. This assertion

is tested further for a range of chemicals in Ref. [65] and is found to be generic. In our model, the

xenobiotic is introduced to the droplet surface at a fixed rate $\dot{\Gamma}_x$. The bulk concentration of the

xenobiotic is assumed to remain sufficiently small that no xenobiotic is reabsorbed to the surface

from the bulk, i.e the droplet bulk is considered as a sink. The desorption kinetics are assumed to be

described by the same kinetics as the pulmonary surfactant molecules, albeit with a different

desorption rate constant $k_{x,des}$.

In addition to the short-range interactions described by the Volmer isotherm (Eqs. 5 and 6), we

consider the influence of longer-range interactions between molecules. We must therefore include

the free energy of interaction between the lung surfactant and the xenobiotic which are up to third-

order $F_{int} = \beta_{1,x,ps} \Gamma_x \Gamma / \Gamma_\infty + \beta_{2,x,ps} \Gamma \Gamma_x^2 / \Gamma_\infty^2$, where $\beta_{1,x,ls}$ and $\beta_{2,x,ls}$ are the xenobiotic-

pulmonary surfactant and xenobiotic-pulmonary surfactant interaction parameters corresponding to

second and third order interactions respectively. In Ref.[63], it was assumed that the second-order

mean field interaction energy is zero ($\beta_{1,x,ls} = 0$) due to the formation of condensed phases of

protein in the combined protein surfactant monolayer. If $\beta_{1,x,ps} \neq 0$, the kinetics will include the

term $\beta_{1,x,ls}(\Gamma_x + \Gamma)/\Gamma_\infty$ in the exponent which is non-zero at the limit of $\Gamma_x \to 0$, for non-zero Γ,

leading to non-physical behaviour. We therefore shall also set $\beta_{1,x,ls} = 0$ for our model. This leads to

the exponential term in the kinetics of $\beta_{2,x,ls}\Gamma_x(\Gamma_x + \Gamma)/\Gamma_\infty^2$ which vanishes in absence of the

xenobiotic, reflecting the observations in the performed experiments. For clarity, hereafter we shall

omit the subscript on this interaction parameter and the β shall be used to refer to $\beta_{2,x,ps}$

throughout.

It is experimentally infeasible to determine the exact value of $\dot{\Gamma}_x$ (the average amount deposited on

the droplet per unit time). Therefore, it is necessary to introduce a scaling parameter α, such that the

actual infusion rate is proportional to the dose rate, $\dot{\Gamma}_x = \alpha \times Dose\ Rate$. As a result, the change in

the saturation concentration Γ_∞ is obfuscated, since we cannot discern the exact number of

molecules deposited. Therefore, we assume that the value of Γ_∞ remains unchanged. A future study

which includes a precise measurement of the deposited mass would address this.

Combining these assumptions, we obtain the following equations that describe the surface pressure

and kinetics of the combined xenobiotic and native pulmonary surfactant system during exposure;

$$\Pi(\Gamma, \Gamma_x) = k_B T \Gamma_\infty \frac{m\ (\Gamma + \Gamma_x)}{\Gamma_\infty - \Gamma - \Gamma_x} + \beta \Gamma\ \Gamma_x{}^2 / \Gamma_\infty{}^2 \tag{7}$$

$$\frac{d\Gamma}{dt} = k_{ads} C (\Gamma_\infty - \Gamma - \Gamma_x) - k_{des} \Gamma e^{\frac{m\ (\Gamma + \Gamma_x)}{\Gamma_\infty - \Gamma - \Gamma_x} - 2\beta\ \Gamma_x (\Gamma_x + \Gamma)/\Gamma_\infty{}^2} - \frac{\Gamma}{A}\frac{dA}{dt} \tag{8}$$

$$\frac{d\Gamma_x}{dt} = \dot{\Gamma}_x - k_{x,des} \Gamma_x e^{\frac{m\ (\Gamma + \Gamma_x)}{\Gamma_\infty - \Gamma - \Gamma_x} - 2\ \Gamma_x (\Gamma_x + \Gamma)/\Gamma_\infty{}^2} - \frac{\Gamma_x}{A}\frac{dA}{dt}. \tag{9}$$

266 Using these three equations we aim to model the changes in average surface tension and rheology

267 seen in our experiments. For both chemicals considered in this work, we will fit the three parameters

268 $\alpha, k_{x,des}$ and β.

Results and Discussion

For both PHMB and BAC, experiments were performed at multiple concentrations and infusions

rates. For each combination, multiple repeats were performed. The average of the measured $\Delta\tilde{E}$ and

$\Delta\tilde{\gamma}$ for each combination of dose and infusion rate are plotted in Figure 4 with error bars

corresponding the one standard deviation. For both BAC and PHMB we observe a similar trend in $\Delta\tilde{E}$,

an initially gradual increase with increasing dose-rate, which steeply rises at some critical value.

However, a distinct difference is seen with the change in the average surface tension, which

increases for PHMB but shows almost no change for BAC. This may be explained by the BAC being an

amphiphilic surfactant, whereas PHMB being a hydrophilic polymer will not demonstrate surfactant

properties at the surface concentrations in this study.

We then fit Equations 6-8 to the calculated the values of $\Delta \tilde{E}$ and $\Delta \tilde{\gamma}$. The parameters of best fit are

determined using the SciPy optimize library to minimize the difference between these theoretically

calculated values and the corresponding experimentally measured results. These best fits are shown

as dashed lines in Figure 2 and we see that for both PHMB and BAC the model fits the data

reasonably well and the parameters of best fit are shown in Table 2.

Figure 4: Normalised change in complex dilational modulus and average surface tension.

Experimental data shown as symbols and fitted model as lines.

Figure 5: Lissajous curves at different dose rates for BAC (upper) and PHMB (lower) for increasing dose rates. Numbers in each plot correspond to the dose rate for the data depicted.

291
292
293

although the model captures both $\Delta\widetilde{E}$ and $\Delta\tilde{\gamma}$ for both models, it predicts that at sufficiently high

infusion rates, $\Delta\tilde{\gamma}$ will drop due to the accumulation of material at the interface. Further experiments

at higher concentrations and infusion rates could assess this prediction. To look more closely at the

behaviour of the model versus the exposed pulmonary surfactant we plot the experimental and

theoretical Lissajous curves for PHMB and BAC for multiple dose rates in Figure 5. We see that in

both cases there is good-quantitative agreement between the theoretical and experimental curves.

However, we do observe that the theoretical curve becomes more circular as the dose rate increases

indicating that the viscous modulus E'' is increasing relative to the storage modulus in the model,

but not in experiments. We note that the unexposed model also overestimates the viscous modulus,

so this discrepancy is not unexpected. Despite this, we see reasonably good agreement between the

experimental and theoretical curves, suggesting that we have captured the important facets of the

induced disruption.

We now come to consider why some chemicals may induce disruption at a given dose rate and

others not. The change in the dilational rheology of the surfactant monolayers is related to the

altered desorption kinetics of the monolayer. Both the xenobiotic desorption rate $k_{x,des}$ and the

xenobiotic long range interaction parameter β. We may postulate that if $k_{x,des}$ is sufficiently high

(i.e. for an extremely hydrophilic small molecule), the xenobiotic will be adsorbed sufficiently quickly

into the bulk. Thereby, only at very high dose rates will enough of the xenobiotic remain on the

surface to cause a substantial change in the monolayer composition. This may explain why it has

been observed that hydrophobic polymers have been known to induce respiratory distress via

pulmonary surfactant disruption when inhaled [21, 22, 64, 66, 67].

We now come to consider why some chemicals may induce disruption at a given dose rate and

others not. The change in the dilational rheology of the surfactant monolayers is related to the

altered desorption kinetics of the monolayer. Both the xenobiotic desorption rate $k_{x,des}$ and the

xenobiotic long range interaction parameter β. We may postulate that if $k_{x,des}$ is sufficiently high

(i.e. for an extremely hydrophilic small molecule), the xenobiotic will be adsorbed sufficiently quickly

into the bulk. Thereby, only at very high dose rates will enough of the xenobiotic remain on the

surface to cause a substantial change in the monolayer composition. This may explain why it has

been observed that hydrophobic polymers have been known to induce respiratory distress via

pulmonary surfactant disruption when inhaled [21, 22, 64, 66, 67].

Chemical	$k_{x,des}$ s^{-1}	β kT Γ_∞^{-1}	α Å^{-2}/mg
BAC	0.00023	-6	0.00761
PHMB	1.66-05	-12.178	7.9e-5

Table 2: Parameters of best fit for Equation 6-8 for tested compounds.

The interaction parameter β will also cause a change in the desorption rate of both the xenobiotic

and the pulmonary surfactant, further changing the dilational rheology, which again may be the case

for a hydrophic material which may interact with the phospholipid tails and hydrophobic surfactant

proteins SP-A and SP-B. How this interaction manifests an increased desorption rate remains

unknown. One possible method by which disruption occurs is through the formation of micelles of

pulmonary surfactant molecules surrounding the xenobiotic which then migrate into the bulk.

Discerning this may be done through microscopy or further experiments examining the different

regimes of pulmonary surfactant behaviour and the impact of the xenobiotics thereupon.

Conclusions

Our study has examined multiple aspects of the inhibition of pulmonary surfactant by aerosolised

compounds. We have incorporated in vitro experiments, rheometric analysis and theory to how such

compounds may induce changes to the rheological properties of pulmonary surfactant monolayers,

potentially leading to disruption of normal breathing. In our study, we have observed a dose-rate

dependence on the alteration of the pulmonary surfactant properties that corroborates the findings

of previous studied where it was noted in Ref. [64] "… that the dose rate rather than the total inhaled

dose of substance is critical for the toxic effect". It was also noted in that study that these results

corroborated those seen in human cases where exposure led to hospitalisation.

We have also demonstrated that the salient features of this disruption can be modelled with

reasonable accuracy using a model based on surfactant thermodynamics. Our model was able to

capture not only the change in the dilational rheology of the lung surfactant, but also the observed

change in the average surface tension with reasonable accuracy. However, this modelling does not

elucidate what features of the compounds in question drive how they modify the monolayer physics.

Further studies, examining chemicals with a variety of physicochemical properties and their impact

on lung surfactant functions could determine what features of a compound drive this effect.

We conclude that this work, as well as previous publications [10, 11, 21, 68], has elucidated that

respiratory disruption due to certain aerosolised compounds is a physical mechanism as opposed to

a biological interaction. In the context of a safety assessment of inhaled materials, this work provides

a way to understand the mechanisms of interaction between chemicals and lung surfactant, and the

relationship between dose-rate and inhibition of pulmonary surfactant function using computational

and in vitro approaches, therefore contributing to the replacement of animal testing.

Acknowledgements

We are appreciative of the input and help of Iris Muller, Sophie Cable, Joe Reynolds and Anthony

Bowden, for fruitful discussions which aided in this work.

CRediT authorship contribution statement

Hugh Barlow: Writing – original draft, Methodology, Formal analysis, Data curation, Visualisation,

Software, Investigation. **Sreyoshee Sengupta**: Writing - Original Draft**,** Investigation, Validation. **Maria**

Teresa Baltazar: Supervision, Funding acquisition, Project administration, Conceptualisation, Writing

- Review & Editing. **Jorid B. Sørli:** Supervision, Project administration, Conceptualisation, Writing -

Review & Editing.

<u>Conflicts of interest</u>

The authors declare that they have no known competing financial interests or personal relationships

that could have appeared to influence the results presented here.

<u>Bibliography</u>

1. Sorli, J. B., et al., *An in vitro method for predicting inhalation toxicity of impregnation spray*
products. ALTEX, 2015. **32**(2): p. 101-11.
2. J.A. Zasadzinski, J. Ding, H.E. Warriner, F. Bringezu, Alan J. Waring, *The physics and physiology*
of lung surfactants. Current Opinion in Colloid & Interface Science 2001. **6**.
3. Alonso, C., et al., *More than a monolayer: relating lung surfactant structure and mechanics*
to composition. Biophys J, 2004. **87**(6): p. 4188-202.
4. Ghati, A., et al., *Exogenous pulmonary surfactant: A review focused on adjunctive therapy for*
severe acute respiratory syndrome coronavirus 2 including SP-A and SP-D as added clinical
marker. Curr Opin Colloid Interface Sci, 2021. **51**: p. 101413.
5. K. Lunkenheimer, K. Winsel, H. Fruhner, Fang, K.-D. Wantke, K. Siegler, *Dynamic surface*
tension and surface area elasticity of adsorbed pulmonary surfactant layers. Colloids and
Surfaces A: Physicochemical and Engineering Aspects, 1996. **114**: p. 199-210.
6. Wustneck, R., et al., *Interfacial properties of pulmonary surfactant layers.* Adv Colloid
Interface Sci, 2005. **117**(1-3): p. 33-58.
7. Sachan, A. K. and J. A. Zasadzinski, *Interfacial curvature effects on the monolayer morphology*
and dynamics of a clinical lung surfactant. Proc Natl Acad Sci U S A, 2018. **115**(2): p. E134-
E143.
8. Wustneck, N., *Interfacial behaviour and mechanical properties of spread lung surfactant*
protein/lipid layers. Colloids and Surfaces B: Biointerfaces, 2001.
9. Bykov, A. G., et al., *Dynamic properties and relaxation processes in surface layer of*
pulmonary surfactant solutions. Colloids and Surfaces A: Physicochemical and Engineering
Aspects, 2019. **573**: p. 14-21.
10. Kondej, Dorota and Tomasz R. Sosnowski, *Interactions of Carbon Nanotubes and Carbon*
Nanohorns with a Model Membrane Layer and Lung Surfactant In Vitro. Journal of
Nanomaterials, 2019. **2019**: p. 1-10.
11. Kondej, D. and T. R. Sosnowski, *Interfacial rheology for the assessment of potential health*
effects of inhaled carbon nanomaterials at variable breathing conditions. Sci Rep, 2020.
10(1): p. 14044.
12. Sosnowski, Tomasz R., *Particles on the lung surface - physicochemical and hydrodynamic*
effects. Current Opinion in Colloid & Interface Science, 2018. **36**: p. 1-9.
13. Guzmán, Eduardo, *Fluid Films as Models for Understanding the Impact of Inhaled Particles in*
Lung Surfactant Layers. Coatings, 2022. **12**(2).
14. Guzmán, Eduardo, et al., *Effect of silica nanoparticles on the interfacial properties of a*
canonical lipid mixture. Colloids and Surfaces B: Biointerfaces, 2015. **136**: p. 971-980.

15.   Guzmán, Eduardo and Eva Santini, *Lung surfactant-particles at fluid interfaces for toxicity*
405   *assessments.* Current Opinion in Colloid & Interface Science, 2019. **39**: p. 24-39.
406   16.   Gravel-Tatta, L., C. DeWolf, and A. Badia, *Are Plant-Based Carbohydrate Nanoparticles Safe*
407   *for Inhalation? Investigating Their Interactions with the Pulmonary Surfactant Using*
408   *Langmuir Monolayers.* Langmuir, 2021. **37**(42): p. 12365-12376.
409   17.   Valle, Russell P., et al., *Increasing Hydrophobicity of Nanoparticles Intensifies Lung Surfactant*
410   *Film Inhibition and Particle Retention.* ACS Sustainable Chemistry & Engineering, 2014. **2**(7):
411   p. 1574-1580.
412   18.   Sosnowski, T. R., et al., *Physicochemical studies of direct interactions between lung surfactant*
413   *and components of electronic cigarettes liquid mixtures.* Inhal Toxicol, 2018. **30**(4-5): p. 159-
414   168.
415   19.   Van Bavel, N., et al., *Pulmonary surfactant function and molecular architecture is disrupted in*
416   *the presence of vaping additives.* Colloids Surf B Biointerfaces, 2023. **222**: p. 113132.
417   20.   Beck-Broichsitter, M., C. A. Ruge, and A. Bohr, *Impact of triblock copolymers on the*
418   *biophysical function of naturally-derived lung surfactant.* Colloids Surf B Biointerfaces, 2017.
419   **156**: p. 262-269.
420   21.   Da Silva, E., et al., *Molecular and biophysical basis for the disruption of lung surfactant*
421   *function by chemicals.* Biochim Biophys Acta Biomembr, 2021. **1863**(1): p. 183499.
422   22.   Larsen, S. T., et al., *Mechanism of action of lung damage caused by a nanofilm spray product.*
423   Toxicol Sci, 2014. **140**(2): p. 436-44.
424   23.   Epping, G., J. Van Baarlen, and P. D. Van Der Valk, *Toxic alveolitis after inhalation of a water*
425   *repellent.* Int J Occup Med Environ Health, 2011. **24**(4): p. 409-13.
426   24.   Evans, Gareth, *Summary of evidence – solvent-based hydrophobic coatings and risks for*
427   *acute respiratory toxicity*, in *Health and Safety Executive Research Reports*. 2017, Health and
428   Safety Executive.
429   25.   Barman, S., et al., *Inflammation product effects on dilatational mechanics can trigger the*
430   *Laplace instability and acute respiratory distress syndrome.* Soft Matter, 2020. **16**(29): p.
431   6890-6901.
432   26.   Zasadzinski, J. A., et al., *Overcoming rapid inactivation of lung surfactant: analogies between*
433   *competitive adsorption and colloid stability.* Biochim Biophys Acta, 2010. **1798**(4): p. 801-28.
434   27.   Carmichael, Paul L., et al., *Ready for regulatory use: NAMs and NGRA for chemical safety*
435   *assurance.* ALTEX - Alternatives to animal experimentation, 2022. **39**(3): p. 359-366.
436   28.   Johnson, Neil F., *Pulmonary Toxicity of Benzalkonium Chloride.* Journal of Aerosol Medicine
437   and Pulmonary Drug Delivery, 2017. **31**(1): p. 1-17.
438   29.   George, Mathew, et al., *Paradoxical bronchospasm from benzalkonium chloride (BAC)*
439   *preservative in albuterol nebulizer solution in a patient with acute severe asthma. A case*
440   *report and literature review of airway effects of BAC.* Respiratory Medicine Case Reports,
441   2017. **21**: p. 39-41.
442   30.   Rogliani, Paola, et al., *Optimizing drug delivery in COPD: The role of inhaler devices.*
443   Respiratory Medicine, 2017. **124**: p. 6-14.
444   31.   Clausen, Per A., et al., *Chemicals inhaled from spray cleaning and disinfection products and*
445   *their respiratory effects. A comprehensive review.* International Journal of Hygiene and
446   Environmental Health, 2020. **229**: p. 113592.
447   32.   Kuempel, E. D., et al., *Advances in Inhalation Dosimetry Models and Methods for*
448   *Occupational Risk Assessment and Exposure Limit Derivation.* J Occup Environ Hyg, 2015. **12**
449   **Suppl 1**(sup1): p. S18-40.
450   33.   European Commission: Directorate-General for, Health and Safety Food, *Opinion on*
451   *polyaminopropyl biguanide (PHMB) – Submission III*. 2017: Publications Office.
452   34.   Song, Ji-Hun, et al., *Health Effects Associated With Humidifier Disinfectant Use: A Systematic*
453   *Review for Exploration.* J Korean Med Sci, 2022. **37**(33).

35. Sørli, Jorid, *Prediction of acute inhalation toxicity using in vitro lung surfactant inhibition.* Altex, 2017.

36. Sorli, J. B., et al., *Bile salt enhancers for inhalation: Correlation between in vitro and in vivo lung effects.* Int J Pharm, 2018. **550**(1-2): p. 114-122.

37. Sorli, J. B., et al., *A Proposed In Vitro Method to Assess Effects of Inhaled Particles on Lung Surfactant Function.* Am J Respir Cell Mol Biol, 2016. **54**(3): p. 306-11.

38. Sorli, J. B., et al., *Prediction of acute inhalation toxicity using in vitro lung surfactant inhibition.* ALTEX, 2018. **35**(1): p. 26-36.

39. Sorli, J. B., et al., *Per- and polyfluoroalkyl substances (PFASs) modify lung surfactant function and pro-inflammatory responses in human bronchial epithelial cells.* Toxicol In Vitro, 2020. **62**: p. 104656.

40. Larsen, S. T., et al., *Acute Inhalation Toxicity After Inhalation of ZnO Nanoparticles: Lung Surfactant Function Inhibition In Vitro Correlates With Reduced Tidal Volume in Mice.* Int J Toxicol, 2020. **39**(4): p. 321-327.

41. Sorli, J. B., et al., *Risk assessment of consumer spray products using in vitro lung surfactant function inhibition, exposure modelling and chemical analysis.* Food Chem Toxicol, 2022. **164**: p. 112999.

42. Yu, K., J. Yang, and Y. Y. Zuo, *Automated Droplet Manipulation Using Closed-Loop Axisymmetric Drop Shape Analysis.* Langmuir, 2016. **32**(19): p. 4820-6.

43. K. L. Wong, Y. Alarie, *A Method for Repeated Evaluation Unanesthetized,Unrestrained of Pulmonary Performance Guinea Pigs and Its Application to Detect Effects of Sulfuric Acid Mist Inhalation'.* Toxicology and Applied Pharmacology, 1981. **63**.

44. Ravera, Francesca, Giuseppe Loglio, and Volodymyr I. Kovalchuk, *Interfacial dilational rheology by oscillating bubble/drop methods.* Current Opinion in Colloid & Interface Science, 2010. **15**(4): p. 217-228.

45. Jinlong Yang, Kyle Yu, Tomoaki Tsuji, Rajeev Jha, Yi Y. Zuo, *Determining the Surface Dilational Rheology of Surfactant and Protein Films with a Droplet Waveform Generator.* Journal of Colloid and Interface Science, 2019.

46. Vrânceanu, Marcel, et al., *Surface rheology of monolayers of phospholipids and cholesterol measured with axisymmetric drop shape analysis.* Colloids and Surfaces A: Physicochemical and Engineering Aspects, 2007. **311**(1-3): p. 140-153.

47. Ravera, F., et al., *Influence of surface processes on the dilational visco-elasticity of surfactant solutions.* Adv Colloid Interface Sci, 2005. **117**(1-3): p. 75-100.

48. Langevin, D., *Rheology of Adsorbed Surfactant Monolayers at Fluid Surfaces.* Annual Review of Fluid Mechanics, 2014. **46**(1): p. 47-65.

49. Castillo-Sanchez, J. C., A. Cruz, and J. Perez-Gil, *Structural hallmarks of lung surfactant: Lipid-protein interactions, membrane structure and future challenges.* Arch Biochem Biophys, 2021. **703**: p. 108850.

50. Svetlana Baoukina, Luca Monticelli, H. Jelger Risselada, Siewert J. Marrink, and D. Peter Tieleman, *The molecular mechanism of lipid monolayer collap.* PNAS, 2007. **10.1073/pnas.0711563105**.

51. Xu, L., Y. Yang, and Y. Y. Zuo, *Atomic Force Microscopy Imaging of Adsorbed Pulmonary Surfactant Films.* Biophys J, 2020. **119**(4): p. 756-766.

52. Phan, M. D., J. Lee, and K. Shin, *Collapsed States of Langmuir Monolayers.* J Oleo Sci, 2016. **65**(5): p. 385-97.

53. M. M. Lipp, K. Y. C. Lee, D. Y. Takamoto, J. A. Zasadzinski, and A. J. Waring, *Coexistence of Buckled and Flat Monolayers.* PHYSICAL REVIEW LETTERS, 1998.

54. D. R. Otis Jr, E. P. Ingenito, R. D. Kamm, and M. Johnson, *Dynamic surface tension of surfactant TA: experiments and theory.* 1994.

55. Morris, J., et al., *Dynamic behavior of lung surfactant.* J Biomech Eng, 2001. **123**(1): p. 106-13.

505   56.   Saad, Sameh M. I., A. Wilhelm Neumann, and Edgar J. Acosta, *A dynamic compression–*
506   *relaxation model for lung surfactants.* Colloids and Surfaces A: Physicochemical and
507   Engineering Aspects, 2010. **354**(1-3): p. 34-44.
508   57.   Bouchoris, K. and V. Bontozoglou, *A model of lung surfactant dynamics based on intrinsic*
509   *interfacial compressibility.* Colloids and Surfaces A: Physicochemical and Engineering Aspects,
510   2021. **624**.
511   58.   Krueger, M. A. and D. P. Gaver, 3rd, *A Theoretical Model of Pulmonary Surfactant Multilayer*
512   *Collapse under Oscillating Area Conditions.* J Colloid Interface Sci, 2000. **229**(2): p. 353-364.
513   59.   Peter A. Kralchevsky, Krassimir D. Danov, and Nikolai D. Denkov, *Handbook of Surface and*
514   *Colloid Chemistry, Chapter 4,*, ed. K.S. Bird. 2015.
515   60.   Stachowicz-Kusnierz, A., et al., *The lung surfactant activity probed with molecular dynamics*
516   *simulations.* Adv Colloid Interface Sci, 2022. **304**: p. 102659.
517   61.   Liekkinen, J., et al., *Understanding the Functional Properties of Lipid Heterogeneity in*
518   *Pulmonary Surfactant Monolayers at the Atomistic Level.* Front Cell Dev Biol, 2020. **8**: p.
519   581016.
520   62.   V. B. Fainerman, S. A. Zholob, M. E. Leser, M. Michel, and R. Miller, *Adsorption from Mixed*
521   *Ionic Surfactant/Protein Solutions: Analysis of Ion Binding.* J. Phys. Chem. B, 2004. **108**(43): p.
522   16780–16785.
523   63.   Miller, R., V. S. Alahverdjieva, and V. B. Fainerman, *Thermodynamics and rheology of mixed*
524   *protein-surfactant adsorption layers.* Soft Matter, 2008. **4**(6): p. 1141-1146.
525   64.   Duch, P., et al., *Pulmonary toxicity following exposure to a tile coating product containing*
526   *alkylsiloxanes. A clinical and toxicological evaluation.* Clin Toxicol (Phila), 2014. **52**(5): p. 498-
527   505.
528   65.   Sreyoshee Sengupta, Hugh J. Barlow, Maria T. Baltazar and Jorid B. Sørli, *The effect of*
529   *surfactants and film-forming polymers on pulmonary surfactant function measured in vitro is*
530   *dose-rate dependent.* bioRχiv, 2024.
531   66.   Da Silva, E., et al., *In vitro prediction of clinical signs of respiratory toxicity in rats following*
532   *inhalation exposure.* Curr Res Toxicol, 2021. **2**: p. 204-209.
533   67.   Da Silva, E., et al., *An adverse outcome pathway for lung surfactant function inhibition*
534   *leading to decreased lung function.* Curr Res Toxicol, 2021. **2**: p. 225-236.
535   68.   Hougaard, Karin S., Alexander C. Ø Jensen, and Jorid B. Sørli, *Correlation between inhibition*
536   *of lung surfactant function in vitro and rapid reduction in tidal volume following exposure to*
537   *plant protection products in mice.* Toxicology, 2023. **492**: p. 153546.

538