Elevated Ubiquitin Phosphorylation by PINK1 Contributes to Proteasomal Impairment and Promotes Neurodegeneration

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Abstract

Ubiquitin (Ub), a key player of protein turnover, can be phosphorylated by PINK1 kinase to

generate S65-phosphorylated ubiquitin (pUb). Elevated pUb levels have been observed in

aged human brains and human brains with Parkinson's disease. However, how pUb is

involved in neurodegeneration remains elusive. Here we show that elevation of pUb is

pervasive in a multitude of neurodegenerative conditions, including Alzheimer's disease,

aging, and ischemic injury. In cultured cells, proteasomal inhibition by MG132 leads to

sPINK1 accumulation, the cytosolic fragment of PINK1, thus promoting Ub phosphorylation.

Elevated pUb impairs proteasomal degradation by disrupting covalent ubiquitin chain

elongation and noncovalent proteasome-substrate interaction. Conversely, *pink1* knockout

mitigates protein aggregation in both aging and ischemic mouse brains, as well as cells

treated with MG132. Using AAV2/9 vector to specifically express sPINK1 in mouse

hippocampus neurons, we observed cumulative pUb elevation, accompanied by protein

aggregation, proteostasis disturbance, neuronal injury, neuroinflammation, and cognitive

impairment. These sPINK1-induced impairments were reversed by co-expressing Ub/S65A

phospho-null Ub mutant but exacerbated by Ub/S65E phospho-mimic mutant. As such, pUb

elevation can result from declined proteasomal activity in neurodegenerative conditions,

while a constant elevation of pUb actively drives neurodegeneration by further inhibiting

proteasomal degradation. Our study reveals a new pathogenic pathway of neurodegeneration,

highlighting the pUb-mediated feedforward loop as a promising therapeutic target for

pharmaceutical intervention.

Introduction

Neurodegeneration, the hallmark of aging and neurodegenerative diseases, is characterized by irreversible loss of neurons, eventually leading to cognitive and motor impairments [1]. Neurodegeneration is often accompanied by the accumulation of ubiquitinated, potentially neurotoxic proteins, which results from impaired protein degradation machinery, particularly the ubiquitin-proteasome system (UPS) [2-4]. Essential for maintaining cellular proteostasis, the UPS not only eliminates misfolded and toxic proteins but also dynamically regulates neuronal structure and function through controlled protein turnover [5-7]. Substrate proteins are first covalently attached with K48-linked polyubiquitin chains, and the ubiquitin chains non-covalently interact with the ubiquitin-receptors in the proteasome thus targeting the substrate protein for UPS degradation [8-10]. The UPS activity can be compromised through a variety of mechanisms associated with neurodegeneration, such as modification and disassembly of the proteasome, reduced ATP level, direct inhibition by amyloid fibrils, as well as oxidative stress [1-3, 11, 12]. While these factors have been extensively investigated with the goal to identify strategies preventing the decline of proteasomal activity, the dynamic changes of ubiquitin, a central player in the UPS, have been largely overlooked in the context of neurodegeneration.

Ubiquitin itself undergoes a multitude of post-translational modifications that can alter its structure and function [13]. One such modification is phosphorylation at residue S65, installed by the kinase PINK1 (PTEN-induced putative kinase 1) [14]. An elevated level of S65-phosphorylated ubiquitin (pUb) has been observed in aged human brain and human brain with Parkinson's disease [15-17]. Additionally, two forms of PINK1 exist in cells, including the full-length PINK1 localized to the mitochondrial membrane [18, 19], and sPINK1, a

cytosolic fragment cleaved from PINK1 [20, 21]. Full-length PINK1 is activated upon

mitochondrial damage, leading to the phosphorylation of ubiquitin and Parkin, thereby

initiating mitophagy, a neuroprotective process for the selective removal of damaged

mitochondria [1, 14, 18, 22]. However, recent studies have indicated that severe and

persistent mitochondrial stress can paradoxically cause a failure to induce mitophagy and at

the same time, a sustained elevation of pUb levels [23]. Given the prevalence of

mitochondrial dysfunction in neurodegenerative diseases [1], pUb accumulation may result

from the activation of full-length PINK1 with impaired mitophagy.

Alternatively, elevated pUb levels may arise from inhibited proteasomal degradation.

The sPINK1, processed from the full-length PINK1 by mitochondria-residing proteases, is

normally rapidly degraded by the proteasome *per* N-end rule [20, 21]. As the UPS function is

often impaired under neurodegenerative conditions [1], sPINK1 may accumulate and

potentially phosphorylate ubiquitin, contributing to the elevation of pUb level.

While pUb elevation has been proposed as a potential biomarker of neurodegenerative

diseases [15, 16], its functional role remains poorly defined. *In vitro* studies suggest that

phosphorylation alters ubiquitin's structural dynamics and potentially its function [25-27].

Moreover, PINK1 phosphorylation inhibits ubiquitin chain elongation [28]. Since PINK1 can

phosphorylate both monomeric ubiquitin and covalently linked polyubiquitin [30], pUb may

also disrupt the noncovalent interaction between polyubiquitin conjugated to the substrate

protein and the proteasome. In addition, it has also been reported that Ub/S65E, a

phosphomimic mutant, inhibits protein turnover and reduces the cell viability of yeast when

exposed to stresses, including oxidative stress and unfolded protein responses [29]. Thus,

pUb may not simply be a biomarker but actively contribute to the neurodegenerative process.

Here we provide compelling evidences that pUb elevation is a driving force in

neurodegeneration. We demonstrate that pUb levels increase in various neurodegenerative

contexts, including Alzheimer's disease (AD), aging, and ischemic injury, resulting from the

accumulation of sPINK1 under the conditions with proteasomal impairment. Importantly, we

reveal that elevated pUb further inhibits proteasomal activity, forming a self-amplifying cycle

that perpetuates protein aggregation and neuronal damage. By dissecting this mechanism

from the molecular level to animal model, we uncover a general pathogenic mechanism that

operates across a wide spectrum of neurodegenerative disorders.

Results

Elevated pUb levels are a pervasive feature of neurodegeneration

Elevated pUb levels have been observed in the brains of individuals with Parkinson's

disease (PD) [15-17]. In the current study, we extend this observation to Alzheimer's disease

(AD), the most prevalent neurodegenerative dementia. We found a marked elevation of both

PINK1 and pUb in brain samples from AD patients compared to age- and sex-matched

controls (Figure 1A, B; Figure 1—table supplementary 1). This finding was further

corroborated in the APP/PS1 mouse model of AD, where increased PINK1 and pUb levels

were also detected (Figure 1C, D).

Beyond specific neurodegenerative diseases, pUb elevation also appears to be

associated with the aging process itself [15, 16], a physiological condition often accompanied

by gradual neurodegeneration. Here we observed a significant increase in neuronal pUb

levels in aged wild-type mouse brains compared to young brains (Figure 1E, F). On the other

hand, pUb levels in *pink1*-knockout mice remained unchanged with age and were notably

lower than in aged wild-type mice (Figure 1E, F).

We further showed that acute neurodegenerative conditions, such as cerebral ischemia,

also exhibit elevated pUb levels. In a mouse middle cerebral artery occlusion (MCAO)

model, we observed a marked increase in both PINK1 and pUb levels within the ischemic

core (Figure 1G, figure 1—figure supplementary 1). To further explore the relationship

between pUb and ischemic stress, we subjected HEK293 cells to oxygen-glucose deprivation

(OGD), a model mimicking ischemic conditions. Following OGD and reperfusion, we noted

a progressive increase in PINK1, sPINK1, and pUb levels, accompanied by a rise in protein

aggregation (Figure 1H, I).

Taken together, these findings demonstrate that pUb elevation is a common feature

across a wide spectrum of neurodegenerative conditions, both chronic and acute. While this

reinforces pUb's potential role as a biomarker, the diverse functions of ubiquitin suggest that

elevated pUb levels may actively contribute to the pathogenesis of neurodegeneration.

Figure 1. Elevated pUb levels in neurodegenerative conditions.

(A, B) Double immunofluorescence staining showing the distribution of PINK1 and Aβ in (A), and pUb and Aβ in (B), within the brains of AD patients compared to age-matched controls. Detailed donor demographics are available in Supplementary Table 1.

(C, D) Double immunofluorescence staining of PINK1 and Aβ (C), and pUb and Aβ (D) in the brains of wildtype and APP/PS1 transgenic mice.

(E) Double immunofluorescence staining for pUb and the neuronal marker NeuN in young and aged brains of both wildtype and *pink1-/-* mice.

(F) Western blot analysis of pUb levels in young (Y) and aged (A) wildtype and *pink1-/-* mouse
brains, quantitatively comparing protein levels across ages and genotypes. *$P<0.05$, **$P<0.01$ for
comparisons with young wildtype mice; ###$P<0.001$ for comparisons with aged wildtype mice, one-
way ANOVA.

(G) Immunofluorescence staining for PINK1 and pUb in the contralateral and penumbra of mouse
brains subjected to MCAO for 2 hours followed by 24 hours of reperfusion. Locations of the analyzed
regions are indicated in Figure S1.

(H) Western blot analysis of PINK1 and pUb levels in HEK293 cells subjected to OGD for 2 hours,
followed by reperfusion at 0, 6, and 12 hours, to study changes over time.

(I) Western blot analysis of ubiquitin in the insoluble fraction of HEK293 cells post 2-hour OGD and
subsequent 0, 6, 12 hours of reperfusion.

Ubiquitin phosphorylation by sPINK1 impairs proteasomal function in HEK293 cells

The activation of the full-length PINK1 is triggered by mitochondrial damage, thereby

initiating mitophagy [31]. In contrast, sPINK1 is typically degraded rapidly [22], with its

accumulation inversely correlating with proteasomal activity. Indeed, we observed that

mitochondrial injury by using CCCP and O/A treatment primarily elevate full-length PINK1,

while MG132 predominantly increases sPINK1 in HEK293 cells (Figure 2A). Further

experiments showed that MG132 causes a concentration- and time-dependent increase in

sPINK1 and pUb levels, with sPINK1 levels reaching a plateau after 6 hours (Figures 2B-E).

In contrast, PINK1 and pUb levels remained low in *pink1*-knockout HEK293 cells treated

with MG132 (Figures 2B-E), paralleling observations from organismal studies (Figure 1).

Although PINK1 activation usually involves dimerization at the mitochondrial outer

membrane [19, 31], we investigated whether sPINK1 can also phosphorylate ubiquitin in the

cytoplasm. We transiently transfected HEK293 cells with various PINK1 constructs: full-

length PINK1, a stable cytoplasmic variant of sPINK1 (sPINK1*, PINK1/F101M/L102-

L581), a kinase-dead version of this variant (sPINK1*-KD, with K219A/D362A/D384A

mutations introduced) [32], and Ub^{GG}-sPINK1, a short-lived version of native sPINK1 with a

ubiquitin appended at the N-terminus [20]. Western blot analysis confirmed the expression of

PINK1 and sPINK1* post-transfection, but not of Ub^{GG}-sPINK1 (Figure 2F). Western blot

analysis also showed that transfection with both PINK1 and sPINK1* increased pUb levels,

with sPINK1* inducing a greater increase than the full-length protein. However, transfection

with sPINK1*-KD or Ub^{GG}-sPINK1 did not increase pUb levels notably (Figure 2F).

To determine whether the elevation of pUb perturbs protein degradation while

minimizing its effect on mitochondria, we transiently transfect the various sPINK1 constructs

to increase pUb levels. Immunofluorescence showed diffuse cytoplasmic ubiquitin staining in

untransfected or eGFP-transfected control cells, whereas ubiquitin-positive puncta were

evident following MG132 treatment or in sPINK1*-transfected cells (Figure 2G). Ubiquitin-

positive puncta were weakly visible in Ub^{GG}-sPINK1-transfected cells (Figure 2G, 5th row,

indicated with arrows) but absent in sPINK1*-KD-transfected cells. Western blot analysis

further confirmed that MG132 treatment and sPINK1* transfection increased ubiquitin levels

in the insoluble fraction, while the lack of strong signal with Ub^{GG}-sPINK1 transfection

indicates a dose-dependent effect of PINK1 activity (Figure 2H).

The activation of PINK1 can also influence autophagy, and ubiquitination is a key step

for both autophagic and proteasomal degradation. Thus, the elevation of pUb may increase

protein aggregation via the inhibition of both autophagic and UPS degradation. To assess the

relative contribution of these two pathways on pUb-promoted protein aggregation, we treated

the cells with puromycin to increase ubiquitinated protein level (Figure 2I, with schematic

shown in the left panel). The increase of ubiquitin signal could be markedly reduced by

sPINK1* overexpression in comparison to neighboring cells (Figure 2I). Blocking the

autophagic degradation using BALA, on the other hand, we found that sPINK1*-

overexpression increased ubiquitin signal in comparison to neighboring cells (Figure 2I).

Thus, sPINK1* enhances autophagic degradation of ubiquitinated proteins, possibly via p62

phosphorylation by PINK1 as previously reported [24], while at the same time, inhibited

proteasomal degradation.

To further confirm the inhibitory effect of pUb in proteasomal degradation, we assessed

proteasomal activity in cells transfected with Ub-R-GFP, a model substrate for the assessment

of cellular proteasomal activity [33, 34]. GFP was rapidly degraded by functional

proteasomes but accumulated upon the administration of MG132. On the other hand,

transfection with sPINK1* also caused GFP accumulation, while sPINK1*-KD had no effect

(Figure 2J). This confirms that sPINK1* transfection lead to increased pUb levels, which

impairs proteasomal degradation, mirroring the effect of MG132.

Figure 2. Impact of sPINK1-mediated Ub phosphorylation on proteasomal activity in HEK293 cells.

(A) Representative Western blot showing levels of PINK1 following the administration with CCCP, O/A, and MG132.

(B) Western blot analysis detailing the concentration-dependent effects of MG132 (0-5 µM) over 8 hours on PINK1 levels. N=3; *$P < 0.05$, ***$P < 0.001$, compared to 0 µM MG132, one-way ANOVA.

(C) Western blot analysis showing the time-dependent effects of 5 µM MG132 on PINK1 levels over 0-24 hours. N=4; *$P < 0.05$, ***$P < 0.001$, compared to 0 hours, one-way ANOVA.

(D) Western blot analysis of pUb levels under a concentration gradient of MG132 (0-5 µM) for 8 hours. N=3; *$P < 0.05$, compared to 0 µM MG132, one-way ANOVA.

(E) Western blot analysis of pUb levels over a time course of 24 hours with 5 µM MG132 treatment. N=3; *$P < 0.05$, compared to 0 hours, one-way ANOVA.

(F) Western blot analysis showing levels of pUb and PINK1 following transfection with various PINK1 constructs: sPINK1* (PINK1/F101M/L102-L581), sPINK1*-KD (kinase-dead sPINK1* with additional K219A/D362A/D384A mutations), and Ub^{GG}-sPINK1 (a short-lived version of native sPINK1 with an appended N-terminal Ub).

(G) Representative immunofluorescence images of Ub staining in cells treated with MG132 or transfected with various sPINK1 constructs, highlighting differences in ubiquitin localization and aggregation. The white arrows indicate positively transfected cells.

(H) Western blot analysis of Ub in the insoluble fraction of cell lysates following treatment with 5 µM MG132 or after transfection with different sPINK1 constructs.

(I) Immunofluorescence staining of Ub differentiating the roles of sPINK1 over-expression on proteasomal and autophagic degradation of puromycin increased unfolded proteins. The left panel illustrates the experimental strategy. Puromycin (5 µg/ml) was applied 2 hours before harvesting with or without 0.1 µM BALA (an autophagy inhibitor). The white arrows indicate positively transfected cells.

(J) Western blot analysis showing GFP degradation in HEK293 cells, with the top panel illustrating the Ub-GFP construct, a model substrate to evaluate proteasomal degradation efficiency. N=3; *$P < 0.05$, ***$P < 0.001$, compared to the control group, one-way ANOVA.

pUb impairs proteasomal activity via covalent and noncovalent mechanisms

To understand how ubiquitin phosphorylation affects UPS degradation, we first assessed ubiquitin chain formation *in vitro*. While unmodified ubiquitin efficiently formed di-, tri-, and higher-order chains with E1 and E2 enzymes, pUb predominantly produced di-Ub chains (Figure 3A), consistent with the previous report [28]. The introduction of S65A

(phospho-null) and S65E (phospho-mimetic) mutations into ubiquitin provided further

insights into the effects of phosphorylation. The Ub/S65A mutation facilitated chain

elongation similar to the unmodified Ub, whereas Ub/S65E mutation largely resulted in di-

Ub formation but not higher-order chains (Figure 3A). Although the Ub/S65E mutant may

not completely mimic all characteristics of pUb, it effectively demonstrates the impact of S65

phosphorylation on chain formation.

We also investigated K48-linked ubiquitin chain formation in HEK293 cells expressing

FLAG-tagged Ub/K48-only mutant (FLAG-Ub/48K). Following proteasome inhibition with

MG132, K48-linked chains accumulated in wildtype and *pink1-/-* cells, but not in cells

overexpressing full-length PINK1 or sPINK1* (Figure 3B).

Since PINK1 can phosphorylate both ubiquitin monomers and polyUb chains [30], we

next investigated the impact of pUb chains on proteasomal degradation. *In vitro* degradation

assays with purified proteasomes showed that degradation of K48-linked pUb-chain-modified

GFP (pK48-polyUb-GFP) was slower compared to the unmodified counterpart (K48-polyUb-

GFP) (Figure 3C, figure 3—figure supplementary 1, 2). The interactions between

ubiquitinated substrates and immobilized proteasomes were then analyzed using total internal

reflection fluorescence (TIRF) microscopy following an established protocol [35]. The assays

revealed fewer Ub-GFP puncta for pK48-polyUb-GFP compared to unphosphorylated

controls (Figure 3D, E, figure 3—figure supplementary 3), and these puncta dissociated more

rapidly from the proteasomes, suggesting a shorter dwell time of the proteasome-substrate

complex (Figure 3F-I, figure 3—figure supplementary 4).

Collectively, these findings demonstrate that elevated pUb levels not only disrupt

covalent ubiquitin chain elongation and subsequent substrate ubiquitination, but also hinder

the non-covalent binding of ubiquitinated substrates to the proteasome. Both mechanisms

significantly contribute to the impairment of proteasomal activity.

Figure 3. Impact of ubiquitin phosphorylation on chain elongation and proteasomal association.

(A) Coomassie blue staining showing Ub chain formation using different Ub variants as building
blocks: wildtype Ub, phosphorylated Ub (pUb), phospho-null Ub/S65A, and phospho-mimic
Ub/S65E.

(B) Analysis the transfection and knockout of PINK1 on K48-linked Ub chain formation in HEK293
cells treated with 10 µM MG132. Cells were transfected with FLAG-tagged Ub/48K. Additionally,
pink1-/- cells were transfected with either full-length PINK1 or the sPINK1* variant.

(C) *In vitro* proteasomal degradation assay of GFP modified with K48-linked ubiquitin chains (K48-
polyUb-GFP) versus with phosphorylated K48-linked ubiquitin chains (pK48-polyUb-GFP). N=3;
**P<0.01, ***P<0.001 compared to respective controls without added proteasome; [#]P<0.05,
[##]P<0.01, [###]P<0.001 compared to K48-polyUb-GFP with proteasome, two-way ANOVA.

(D) Representative TIRF microscopy images showing the binding of K48-polyUb-GFP (left) and
pK48-polyUb-GFP (right) to surface-immobilized proteasomes, visualized as bright puncta.

(E) Quantitative analysis of puncta density from TIRF images, with N=4; ***P<0.001 compared to
K48-polyUb-GFP, using a paired *t*-test.

(F, H) Representative fluorescence traces of a single punctum for K48-polyUb-GFP (F) and pK48-
polyUb-GFP (H), detailing the dynamic interactions at the proteasome surface.

(G, I) Analysis of GFP fluorescence dwell time for K48-polyUb-GFP (G) and pK48-polyUb-GFP (I).
Data are binned and modeled with a single exponential decay curve (red line), illustrating differences
in substrate-proteasome interaction durations.

286 ***pink1* knockout mitigates protein aggregation resulting from declined proteasomal**
287 **activity**

288   Aging and neurodegenerative diseases commonly feature accumulations of protein
289 aggregates alongside decreased proteasomal activity [36]. Our findings have shown that
290 conditions with impairing proteasomal function, such as Alzheimer's disease, cerebral
291 ischemia, aging, and pharmacologic treatment of proteasome inhibitor, result in increased
292 levels of sPINK1 and pUb. As elevated pUb could in turn inhibit the UPS degradation, we
293 hypothesized that proteasomal inhibition and pUb elevation form a positive feedback loop
294 contributing to protein aggregation, while *pink1* knockout disrupts this process (Figure 4A).

295   In support of this hypothesis, we found that aged wildtype mice exhibited distinct Ub-
296 positive puncta in neurons and increased insoluble ubiquitin levels, indicative of protein
297 aggregation. In contrast, aged *pink1-/-* mice showed no such age-related changes in ubiquitin
298 staining or insoluble ubiquitin levels (Figure 4B, C). Following MCAO, insoluble ubiquitin
299 levels were significantly elevated in the ipsilateral hemisphere of wildtype mice, but not in
300 *pink1-/-* mice (Figure 4D).

301   To directly assess the link between proteasomal inhibition, elevated pUb level, and
302 protein aggregation, we treated wildtype and *pink1-/-* cells with MG132. As expected,
303 MG132 induced an increase in insoluble ubiquitin levels in both cell types in a concentration-
304 and time-dependent manner (Figure 4E, F). However, the accumulation of ubiquitin-positive
305 aggregates occurred significantly slower in *pink1-/-* cells, even though they eventually
306 reached levels comparable to those in wildtype cells at 24 hrs after MG132 administration
307 (Figure 4F). This suggests that PINK1 deficiency delays the onset and progression of protein
308 aggregation, but does not completely prevent it. Intriguingly, MG132 treatment also
309 increased LC3-II levels, a marker of autophagy, in wildtype cells, possibly indicating either

activation of autophagy or reduced LC3 degradation as a compensatory response to

proteasomal inhibition (Figure 4G, H). Conversely, LC3-II levels did not increase in *pink1-/-*

cells following MG132 treatment (Figure 4G, H). This indicates that PINK1 is required for

autophagy activation under proteasome stress, aligning with our observations of enhanced

autophagy upon PINK1 overexpression (Figure 2I).

Together, the results indicate that *pink1* knockout can alleviate protein aggregation

associated with aging, ischemic injury, or proteasomal inhibition by disrupting the self-

amplifying cycle of proteasomal impairment (Figure 4A).

Figure 4. *pink1* knockout mitigates protein aggregation under proteasomal inhibition.

(A) Schematic representation illustrating the reciprocal feed-forward cycle among proteasomal inhibition, and the elevation of sPINK1 and pUb levels.

(B) Immunofluorescence staining of Ub in the brains of young and aged wildtype and *pink1-/-* mice.

(C) Western blot analysis quantifying Ub in the insoluble protein fraction from young and aged mouse brains. Statistical analysis includes N=4; **P<0.01, one-way ANOVA.

(D) Western blot analysis of Ub in the insoluble protein fraction of wildtype and *pink1-/-* mouse brains subjected to MCAO for 2 hours followed by 24 hours of reperfusion. Comparison includes the sham-operated group (same procedure without occlusion). Locations of the analyzed regions (contralateral and ipsilateral) are indicated in Figure 1—figure supplementary 1.

(E) Western blot analysis of Ub levels in the insoluble fraction following treatment with 0-5 µM MG132 for 8 hours. N=3; ***P<0.001 compared to 0 µM MG132; ## P<0.01 compared with wildtype cells, one-way ANOVA.

(F) Western blot analysis of Ub levels in the insoluble fraction following treatment with 5 µM MG132 over a 0–24-hour period. N=4; *P<0.05, **P<0.01, ***P<0.001 compared to 0 µM MG132; ## P<0.01 compared with wildtype cells, one-way ANOVA.

(G) Western blot analysis of LC3 levels following treatment with 0-5 µM MG132 for 8 hours. N=4; *P<0.05 compared to 0 µM MG132, one-way ANOVA.

(H) Western blot analysis of LC3 levels after 5 µM MG132 treatment over 0-24 hours. N=3; *P<0.05 compared to 0 µM MG132, one-way ANOVA.

sPINK1* overexpression causes cumulative proteome-wide changes

As illustrated in Figure 4A, the over-expression of sPINK1 may lead to a sustained elevation of pUb and cause protein aggregation. Since protein aggregation is one of primary causes for neurodegeneration, we assessed the impact of pUb elevation on neurons. First, we confirmed the roles of sPINK1 and phosphomimetic Ub/S65E mutant on protein aggregation in SH-SY5Y cell, a neuroblast-link cell line. Ubiquitin-positive puncta was observed in cells treated with MG132 and overexpressing either sPINK1* or Ub/S65E (Figure 5—figure supplementary 1), similar to the findings in HEK293 cell (Figure 2G).

It has been shown that the phospho-null mutant Ub/S65A could mitigate, while the phospho-mimic Ub/S65E could mimic, the effects produced by PINK1 through the

phosphorylation of ubiquitin [29]. Thus, we specifically overexpressed sPINK1*, sPINK1*

with Ub/S65A (sPINK1*+Ub/S65A), Ub/S65E, or GFP control in mouse hippocampal

neurons using AAV2/9, and the specific expression was confirmed through GFP co-

localization with fluorescence staining of NeuN in the CA1 region (Figure 5—figure

supplementary 2). While no changes were observed at 10 days post-transfection, significant

neuronal loss was evident at 30 and 70 days in mice expressing Ub/S65E, but not in other

three groups (Figure 5—figure supplementary 2). Additionally, Ub/S65E induced glial

responses at 30- and 70-days post transfection, as demonstrated by GFAP/Iba1 staining and

GFAP/CD11b Western blots (Figure 5—figure supplementary 3, 4). In contrast, the sPINK1*

and sPINK1*+Ub/S65A groups showed an increase in GFAP (indicative of astrocyte

activation) at 70-days post transfection, without effects on Iba1 or CD11b (Figure 5—figure

supplementary 3, 4). The existence of GFAP response may be caused by potential neuronal

injury at 70-days post-transfection.

To investigate the molecular underpinnings of sPINK1*-induced neuronal injury, we

performed proteomic analysis of mouse hippocampus at 30- and 70-days post-transfection

(Figure 5—dataset supplementary 1). Despite similar number of total proteins identified

between the GFP and sPINK1* groups (Figure 5—figure supplementary 5A-D), notable

differences emerged. For example, the neuronal injury/neuroinflammation marker HMGB1

was elevated at both time points with sPINK1* overexpression, while the mitochondrial

marker Tom20 showed a decrease only at 70 days, indicating a delayed loss of mitochondria.

Gene set enrichment analysis (GSEA) further revealed a time-dependent impact on

mitochondrial function. At 30-days, changes in proteins related to mitophagy, mitochondrial

organization, and respiratory electron transport suggested an initial compensatory response to

mitochondrial stress (Figure 5—figure supplementary 5D). However, at 70-days, these

changes expanded to include broader mitochondrial dysfunction and apoptotic processes,

culminating in mitochondrial loss and neuronal injury (Figure 5—figure supplementary 5D).

Further GO analysis underscored the extensive proteomic dysregulation caused by

sPINK1* overexpression (Figure 5—figure supplementary 5F-H). At 70-days, a large number

of proteins were both upregulated and downregulated, indicative of disrupted proteostasis.

This could be attributed to proteasomal inhibition or a stress response to protein aggregates.

For example, we observed a significant up-regulation of RNA-binding and RNA-processing

proteins. Similar proteome-wide change has been noticed in the Ub/S65E over-expressed

yeast [29]. Importantly, we found many affected proteins were associated with the disruption

of synaptic functions and neuronal projections, while downregulated proteins were linked to

myelination, synaptic maturation, and lipid metabolism (Figure 5—figure supplementary 5F-

H). Note that many of these changes were insignificant at 30-days post-transfection (Figure

5—figure supplementary 5F-H). These findings collectively underscore the systemic impact

of sPINK1* overexpression on neuronal structure and function, which likely drives

progressive neuronal injury.

Elevated pUb levels drive protein aggregation in mouse hippocampal neurons

To assess the impact of elevated pUb on protein aggregation *in vivo*, we examined

PINK1 and pUb levels in the mouse hippocampus following sPINK1* overexpression. At 30-

days, a distinct band for sPINK1 was observed, accompanied by a significant increase in pUb

levels (Figure 5A). At 70-days, three distinct bands that could be assigned to sPINK1, full-

length PINK1, and ubiquitinated PINK1 (Figure 5B). The presence of full-length PINK1

indicates mitochondrial injury, while ubiquitinated PINK1 suggests compromised

proteasomal activity. Notably, co-expression of Ub/S65A yielded only a faint sPINK1 band

(Figure 5B), indicating its potential to counteract the proteasomal inhibition induced by

sPINK1*, thereby promoting degradation of this stable sPINK1 variant. Consequently, pUb

levels were elevated with sPINK1* overexpression but remained at control levels with

sPINK1* and Ub/S65A co-expression (Figure 5C).

Immunofluorescence staining revealed ubiquitin puncta in hippocampal neurons

overexpressing sPINK1*, sPINK1+Ub/S65A, or Ub/S65E (Figure 5D). Western blot analysis

showed an increase in soluble ubiquitin in brains from the sPINK1*+Ub/S65A group, likely

arising from the overexpressed Ub/S65A (Figure 5E). Levels of insoluble ubiquitin were

significantly elevated in the sPINK1*, sPINK1*+Ub/S65A, and Ub/S65E groups (Figure 5F).

Notably, proteins smaller than 70 kDa accumulated in the sPINK1* and Ub/S65E groups,

indicating the inhibition of ubiquitin chain elongation as observed *in vitro* (Figure 3B), but

not in the sPINK1*+Ub/S65A group. Furthermore, the overexpression of sPINK1*,

sPINK1*+Ub/S65A, or Ub/S65E had minimal impact on autophagic flux, as indicated by

stable LC3-II and p62 levels (Figure 5—figure supplementary 6). These findings suggest that

the observed protein aggregation is primarily driven by elevated pUb levels and the resulting

proteasomal dysfunction.

Figure 5. Elevated pUb levels induce protein aggregation in mouse hippocampal neurons.

(A) Western blot analysis showing levels of PINK1 and pUb in mouse hippocampus at 30-days post-transfection. *N*=4; **P*<0.01 compared with control, one-way ANOVA.

(B) Western blot analysis of PINK1 in the mouse hippocampus at 70-days post-transfection, illustrating changes in expression over time. *N*=4; **P*<0.001 compared with control; ###*P*<0.001 compared with sPINK1, one-way ANOVA.

(C) Western blot analysis of pUb in the mouse hippocampus at 70-days post-transfection, highlighting the persistence of phosphorylation changes. *N*=5; **P*<0.05 compared with control, one-way ANOVA.

(D) Representative immunofluorescence images depicting ubiquitin staining in the CA1 region of the mouse hippocampus at 70-days post-transfection.

(E) Western blot analysis of ubiquitin in the soluble fraction of hippocampal lysates at 70-days post-transfection. *N*=7; **P*<0.05 compared with control, one-way ANOVA.

(F) Western blot analysis of Ub in the insoluble fraction of hippocampal lysates at 70-days post-transfection. Quantitative analysis includes total protein and specifically proteins smaller than 70 kDa. *N*=7; **P*<0.05, **P*<0.001 compared with control, one-way ANOVA.

Elevated pUb levels impair neuronal integrity and cognitive function

To determine the impact of pUb-induced protein aggregates on neuronal function, we conducted behavioral tests on mice at 70-days post-transfection. Overexpression of sPINK1* or Ub/S65E significantly impaired cognitive functions, evidenced by reduced performance in novel object recognition (Figure 6A, B) and fear conditioning tests (Figure 6C, D). Notably, co-expression of Ub/S65A with sPINK1* ameliorated sPINK1*-induced cognitive deficits, suggesting a protective effect against pUb-caused impairments.

Consistent with these behavioral findings, Western blot analysis showed decreased levels of Tom20, a mitochondrial marker, in brains overexpressing sPINK1*. This mitochondrial deficit was reversed when Ub/S65A was co-expressed (Figure 6E), aligning with the proteomic data (Figure 5—figure supplementary 5D). Additionally, we noted reduced levels of MAP2, a dendritic marker, and PSD95, a postsynaptic density marker, in the brains expressing sPINK1* and Ub/S65E. These reductions were restored to normal levels upon Ub/S65A co-expression (Figure 6F, G). Accordingly, Golgi staining revealed a decrease in dendritic spine density following sPINK1* overexpression, which was reversed upon Ub/S65A co-expression (Figure 6H). In contrast, Ub/S65E overexpression led to more severe dendritic and spine loss, with notably slimmer dendrites and a significant reduction in spine density compared to sPINK1* (Figure 6H).

These findings underscore that sPINK1*-induced neuronal damage accumulates over time and can be effectively mitigated by co-expressing the phospho-null mutant Ub/S65A. Conversely, the phospho-mimic Ub/S65E exacerbates neuronal damage rapidly. Collectively, these results demonstrate that elevated pUb level contributes to hippocampal neuronal injury and associated cognitive impairments, highlighting its significance as a driver of neurodegeneration.

Figure 6. Elevated pUb levels induce neuronal injury in mouse brains.

(A, B) Novel object recognition test showing the sniff number to objects during training (A) and testing (B) phases. $N=10$; ***$P<0.001$ compared with the sniff number toward A1 object during training, paired t-test. #$P<0.05$, one-way ANOVA.

(C, D) Fear conditioning tests evaluating contextual (C) and cued (D) memory. $N=10$; ***$P<0.001$ compared with Box A (contextual) or absence of tone (cued), paired t-test. #$P<0.05$, ##$P<0.01$, ###$P<0.001$, one-way ANOVA.

(E-G) Western blot analysis measuring levels of mitochondrial, dendritic, and synaptic markers: Tom20 (E), MAP2 (F), and PSD95 (G) in the mouse hippocampus. $N=7$; *$P<0.05$, **$P<0.01$, ***$P<0.001$ compared with control, one-way ANOVA.

(H) Golgi staining used to assess hippocampal neuronal spines and dendrites. The left panel shows representative images; the right panel provides a statistical analysis of spine density on hippocampal neuron dendrites from 3 mice. ***$P<0.001$ compared with control, one-way ANOVA.

Discussion

In the current study, we demonstrate that elevated pUb level is a pervasive feature in both chronic and acute neurodegenerative conditions. This observation aligns with previous reports of sustained pUb elevation in human brains with PD [15, 16]. Our findings extend this

phenomenon to AD, aging, and cerebral ischemia. While severe mitochondrial injury has

been implicated as a mechanism leading to pUb elevation [23, 37], we have uncovered an

additional contributing factor. We show that inhibition of proteasomal degradation stabilizes

sPINK1 in the cytoplasm, where it retains its ability to phosphorylate ubiquitin.

Proteasomal inhibition can be caused by various pathological factors in

neurodegeneration [1]. Cerebral ischemia, for instance, induces ATP deficiency, while

hypoxia and the secondary effect of oxidative stress would further lead to proteasomal

modification and impairment of proteasomal function [11, 38]. On the other hand, in AD,

amyloid fibrils can directly block the proteasome's substrate channel [2, 12]. Due to the

decline of proteasomal activity in various neurodegenerative diseases, elevated sPINK1

levels would consequently result in the cumulative increase of pUb. Thus, the elevation of

ubiquitin phosphorylation also constitutes a hallmark of neurodegeneration.

We further demonstrate that elevation of pUb level reciprocally contributes to UPS

dysfunction. Our findings reveal that increasing pUb levels through sPINK1* overexpression

exacerbated protein aggregation by impairing proteasomal degradation in both *in vitro* and *in*

vivo models. Conversely, *pink1* knockout reduces protein aggregation in aged mouse brains,

brains subjected to MCAO, and HEK293 cells treated with a proteasome inhibitor.

Mechanistically, we have found that ubiquitin phosphorylation negatively impacts both the

covalent elongation of ubiquitin chains, as previously reported [28], and the non-covalent

interaction between K48-linked polyUb chains and the proteasome, as discovered in the

current study, likely resulting from the increased ubiquitin structural dynamics upon

phosphorylation [26, 39]. This dual inhibitory mechanism hampers ubiquitination,

recruitment, and ultimately, degradation of substrate proteins by the proteasome. Impaired

proteasomal activity further impedes sPINK1 degradation, forming a self-amplifying cycle of

elevated pUb levels and progressive proteasomal dysfunction, culminating in the

accumulation of protein aggregates, the established hallmark of neurodegeneration.

Our findings indicate that elevated pUb levels can actively contribute to

neurodegeneration. Yet, the functional role of pUb appears nuanced: while transient pUb

elevation is generally neuroprotective, sustained elevation becomes neurotoxic. As a

neuroprotective mechanism, PINK1 activation upon mitochondrial damage leads to transient

phosphorylation of ubiquitin and Parkin, triggering mitophagy to remove damaged

mitochondria [30, 40, 41]. Indeed, PINK1 deficiency has been shown to cause

neurodegeneration, prompting the development of small-molecule activators [23, 40, 42].

However, in the current study, overexpression of a stable sPINK1 variant led to chronic

neuronal injury, resulting from the reciprocal relationship between proteasomal inhibition and

cumulative pUb elevation. The progressive decline in proteasomal function would

consequently disrupt cellular proteostasis and allow protein aggregates to build up. As such,

pUb is not simply a biomarker for neurodegeneration — sustained elevation is a driving

factor for progressive neuronal injury and neuroinflammation.

To confirm the neurotoxic effect of pUb, we utilized the phospho-null Ub/S65A mutant

to dilute pUb and antagonize its effects. Ub/S65A restored proteasomal activity *in vivo* and

even promoted the degradation of the stable sPINK1 variant, preventing its accumulation.

Moreover, Ub/S65A co-expression allowed the formation of longer polyUb chains, further

supporting its protective role. Conversely, overexpression of the phospho-mimetic Ub/S65E

mutant induced even more severe neuronal damage than sPINK1* overexpression. This effect

can be attributed to the 100% increase in mimicking pUb with Ub/S65E, whereas sPINK1*-

induced pUb levels are low and subjected to dephosphorylation [43, 44]. Similar toxic effects

resulting from Ub/S65E overexpression has been reported in yeast [29]. Furthermore, it

should be noted that, sPINK1* can phosphorylate both free and conjugated Ub, whereas

Ub/S65E only mimics monomeric pUb, potentially further contributing to the detrimental

effects of Ub/S65E mutant.

In conclusion, our findings have unveiled a novel pathogenetic mechanism of

neurodegeneration, in which the self-amplifying cycle of elevated pUb level and proteasomal

inhibition leads to the progressive decline of proteasomal activity and the accumulation of

toxic protein aggregates. Our findings demonstrate pUb is more than a consequence of this

gradual cumulative process, but also a critical contributor to disease progression. Targeting

this pUb-mediated self-amplifying cycle can be a viable therapeutic strategy to ameliorate the

progression of neurodegenerative diseases. On the other hand, elevated pUb levels observed

in a wide spectrum of neurodegenerative diseases may hinder the pharmacological efficacy of

drugs that target the UPS.

Materials and Methods

Human brain immunofluorescence staining

The human brain cingulate gyrus paraffin section samples include two samples from people with Alzheimer's Disease and two age-matched samples as the control. The samples with AD were obtained from the Netherlands Brain Bank (NBB), Netherlands Institute for Neuroscience, Amsterdam (Project number 1613), and the age matched control samples were obtained from the National Health and Disease Human Brain Tissue Resource Center, Hangzhou, China (Project number CN20240454). All material has been collected from donors for or from whom a written informed consent for a brain autopsy and the use of the material and clinical information for research purposes had been obtained by the NBB and CBB. The detailed information of the donors is provided in Supplementary Table 1. The experiments were conducted with approval from the Ethics Committee of the School of Medicine, Zhejiang University, with the approval number ZJU2023-011.

For immunofluorescence staining, the samples was incubated at 62°C overnight to dewax. The samples were then hydrated—Xylene for 3 times, 100% ethanol for 2 times, 95% ethanol for 2 times, 75% ethanol for 2 times, and ddH$_2$O for 2 times. The samples were placed in EDTA antigen retrieval solution, heated to 95-100°C for 30 minutes, and cooled down at room temperature for 30 minutes. After washing with PBS buffer for 3 times, the samples were placed in 3% H$_2$O$_2$ solution for 10 minutes. After washing with PBS buffer for 3 times, the samples were incubated in 0.3% Triton-X PBS for 30 minutes. After washing with PBS buffer for 3 more times, the samples were permeabilized with 0.3% Tween in PBS for 30 minutes, and rinsed 3 times with PBS to remove the detergent. The samples were incubated in a solution with 5% Sudan black B (Aladdin Biochemical Technology Co., Ltd, S109070-25g, Shanghai, China) and 70% ethanol for 1 min at room temperature.

Following PBS rinse, the samples were covered with the blocking solution (5% normal goat serum, 2% bovine serum albumin, 0.1% Tween prepared in TBS-T) for 1 hr. The samples were incubated with rabbit anti-PINK1 antibody (1:200, Novus, Colorado, USA, BC100-494), rabbit anti-pUb antibody (1:200, Millipore, Massachusetts, USA, ABS1513), or mouse anti-Aβ antibody (1:500, Biolegend, California, USA, SIG-39320) at 4 ºC overnight. After washing with PBS for 3 times, the samples were incubated with Cy3-conjugated anti-Rabbit IgG (1:200, Jackson, Pennsylvania, USA, 711-165-152) and fluorescein (FITC)-conjugated anti-mouse IgG (1:200, Jackson, Pennsylvania, USA, 715-096-150) for 2 hrs at room temperature. After washing with PBS for 3 times, the samples were mounted on the slides using a ProLong™ Gold Antifade Mountant with DAPI (Invitrogen Corp., Carlsbad, CA, USA). The immunofluorescence images were taken using an Olympus FV100 confocal microscope.

Animals

The C57BL/6J and APP/PS1 mice were purchased from Zhejiang Academy of Medical Science. All mice were kept in the Laboratory Animal Center, Zhejiang University School of Medicine. The mice had free access to water and food in air-conditioned rooms (~26 °C, relative humidity ~50%) on a 12-h light/dark cycle. Mice were handled following the Guide for the Care and Use of the Laboratory Animals of the National Institutes of Health. The experimental protocols were approved by the Ethics Committee of Laboratory Animal Care and Welfare, Zhejiang University School of Medicine, with the approval number ZJU20190138.

Using CRISPR-Cas9-mediated genome editing technology [45], the *pink1* gene knockout mice (C57BL/6J) were customized purchased in Transgenic Mouse Laboratory of Laboratory Animal Center of Zhejiang University. Briefly, two sgRNA sequences that target

exon 6 of the *pink1* gene were cloned into pX330-U6-Chimeric_BB-CBh-hSpCas9 plasmid

(a gift from Feng Zhang (Addgene plasmid # 42230; http://n2t.net/addgene: 42230; RRID:

Addgene 42230) using the *Bbsl* restriction site. The sequence of sgRNA1 is 5'-

GACAGCCATCTGCAGAGAGG-3', and the sequence of sgRNA2 is 5'-

GCAGGCAGGACTCACCTCAG-3'. The knockout of *pink1* gene was confirmed by using

DAN genotype, quantitative PCR, and Western blotting.

Mouse hippocampus CA1 injection of Adeno-associated virus (AAV)

The rAAV-EF1a-WPRE-hGH pA (AAV2/9) was used to specifically express proteins

within neurons, a bistronic vector expressing GFP and sPINK1* (PINK1/F101M/L102-L581)

as two separate proteins. Moreover, the rAAV-EF1a-Ub/S65A-P2A-sPINK1-P2A-EGFP-

WPRE-hGH pA can express GFP, sPINK1*, and Ub/S65A as three separate proteins, and

rAAV-EF1a-Ub/S65E-P2A-EGFP-WPRE-hGH pA can express GFP and Ub/S65E as two

separate proteins.

Mice of 9-months old were anesthetized with pentobarbital sodium (80 mg/kg) by

intraperitoneal injection (I.p.). Then the mice were fixed on a mouse stereotaxic holder (RWD

Life Science Co. LTP, China) for the injection of AAV. The distinct AAV was stereotactically

injected bilaterally into the CA1 region using a microinjector (Hamilton syringe) with a 31-

gauge needle and micropump (LEGATO 130 syringe pump, KD Scientific). After anesthesia

using pentobarbital sodium (100 mg/kg, i.p.), the injections were made at stereotaxic

coordinates of Bregma: anterioposterior (AP) = −1.7 mm, mediolateral (ML) =1.5 mm,

dorsoventral (DV) = 1.7 mm. A total 0.3 μl AAV (2.25×10^{12} viral particles/ml) was injected

into each side of the hippocampus.

Behavioral tests

Novel object recognition (NOR) was used to evaluate the spatial memory of mice according to the previous report [46]. After handling for 5 days, the test was performed on the 68th day post injection. An open-field test system (ViewPoint Behavior Technology, France) was used for NOR detection. During the training, two identical objects (named object A1 and A2, 5 cm' 5 cm' 5 cm blue cone) was placed diagonally. The mouse was placed at the center of the box, and the movement of the mouse was recorded for 10 min. After returning to the home cage for 24 hrs, the mouse was put in the same box with one of the objects replaced by a novel object in distinct color and shape (object B, 5 cm' 5 cm' 10 cm yellow cuboid). The sniff number (number of visits) toward the objects was analyzed.

The fear conditioning test was performed in the Fear Conditioning System (Coulbourn Instruments, USA) according to the previous report [46]. The freezing percentage was recorded during each phase to analyze their memory. During the training phase, the mouse was placed in the box (identified as box A) without any stimulation for 1.5 minutes. Then, a tone (3000 Hz, 85 db) was applied for 30 seconds, and an electric shock (1 mA) was applied at the final 2 seconds. After the shock, the mouse was kept in box A for 30 second, and was put back to the home cage. The testing was performed at 24 hrs after training. The mouse was placed back in the box A without any stimulation for 5 minutes, while the freezing percentage in box A indicates contextual memory. Two hours after the test in box A, the mouse was placed in a new box (identified to box B). For the first 2 minutes, no stimulus was given, and subsequently, the same tone (3000 Hz, 85 db) was applied for the following 3 minutes. The freezing percentage in box B without and with tone was used to analyze the cue memory of the mice.

Focal cerebral ischemia/reperfusion and Nissl staining

After anesthetized, the mice were operated with middle cerebral artery occlusion

(MCAO) for 2 hrs ischemia followed by 24 hrs reperfusion. Briefly, a 6-0 nylon

monofilament suture was inserted into the internal carotid to occlude the origin of MCA [47].

Two hours after occlusion, the suture was withdrawn to allow reperfusion for 24 hrs. Mouse

body temperature was maintained at 37 °C using a thermostatic pad during and after surgery.

In sham-operated mice, the external carotid artery was surgically isolated but the suture was

no inserted.

For Nissl staining, the brain slices were incubated in a mixture of acetone and

chloroform (1:1) for 15 min, and then sequentially incubated in 100%, 95%, 70% alcohol for

5 min. Then the slices were stained for 10 min in Nissl staining containing 0.2% purple

crystal (Yuanhang Reagent Factory, Shanghai, China, YHSJ-01- 92) and 0.3% acetic acid.

Then, the slices were dehydrated by incubation in 70%, 95%, 100% alcohol. Finally, the

slices were put into xylene for 5 min and then mounted with a mixture of neutral resin and

xylene (1:1). The images were taken under a microscope (Olympus BX51, Japan).

Mouse brain tissue collection

Mice were anesthetized using pentobarbital sodium (150 mg/kg, i.p.). The mouse brains

were removed after transcardially perfused with 4 °C saline and freshly prepared 4%

paraformaldehyde. The brains were further fixed in 4% paraformaldehyde at 4 °C for one day

and transferred to 30% sucrose solution for dehydration. The brains were sliced into 30 μm

thick slices by cryomicrotomy (CM1900, Leica, Wetzlar, Germany). The slices were stored at

-20°C in the solution (30% glycerol, 30% glycol, 40% PBS) for immunofluorescence

staining, or stored in 2.5% glutaraldehyde for 12 hrs at 4 °C for transmission electron

microscopy (TEM) analysis.

For Western blotting, the mouse was transcardially perfused only with 4 °C saline. The

brains were removed, and the hippocampus was isolated. The hippocampus was quickly

frozen in liquid nitrogen and stored at -80 °C until use.

Cell lines, cell culture, and cell transfection

HEK293 cells and SH-SYT5Y cells were purchased from the Institute of Cell Biology

of the Chinese Academy of Sciences (Cell Biology of the Chinese Academy of Sciences,

Shanghai, China). The *pink1* gene knockout HEK293 cell was homely made using CRISPR-

Cas9-mediated genome editing technology[48]. PINK1 sgRNA

(CCTCATCGAGGAAAAACAGG) was cloned to U6-sgPINK1-mCherry plasmid (a gift

from John Doench & David Root (Addgene plasmid # 78038; http://n2t.net/addgene:78038 ;

RRID:Addgene_78038)). The U6-sgPINK1-mCherry plasmid and PX458-Cas9-EGFP

plasmid (a gift from Prof. Feng Zhang (Broad Institute, Cambridge, MA), Addgene plasmid

#48138) were co-transfected into HEK293 cells. The mCherry and GFP double-positive cells

were single-cell sorted 24 h post-transfection using an MoFloAstrios EQ cell sorter

(Beckman Coulter, US) and grown in separate cultures that were subsequently screened for

the presence of frameshift mutations leading to nonsense-mediated decay on both alleles.

PINK1 KO was confirmed using western blotting.

Cells were grown in Dulbecco's modified essential medium (DMEM, Gibco by Thermo

Fisher Scientific, C11995500BT) supplemented with 10% heat-inactivated fetal bovine serum

(FBS, Zhejiang Tianhang Biotechnology, China, 11011-8611) in the atmosphere of 5% CO_2

at 37 °C.

Lipo3000 (Invitrogen, California, USA, L3000001) was used to transfect plasmids,

following to the manufacturer' protocol. Briefly, an appropriate number of cells were seeded

one day before transfection with 70-80% confluent at the time of transfection. Before

transfection, plasmid DNA was carefully mixed with 250 μl Opti-MEM (Gibco by Life

Technologies, Carlsbad, USA). Subsequently, 250 μl Lipo3000 was carefully mixed with

Opti-MEM. The mixture was incubated at room temperature for 25 min. The freshly formed

DNA/Lipo3000 precipitates were carefully pipetted to the cells. The medium containing

transfection reagents was removed after 6 hrs and fresh medium was added.

At the end of treatments, the cells on cover slides were washed with 37 °C PBS and then

fixed using freshly prepared 4% paraformaldehyde. Subsequently, the cells were stored in

PBS under 4 °C for immunofluorescence staining.

Oxygen glucose deprivation and reperfusion (OGD/R)

Cells were washed twice by using glucose-free DMEM (Procell, PM150270) and

incubated at 37 °C with the ventilation of 95% N_2 and 5% CO_2 for 2 hours. The control cells

were washed twice by using high glucose DMEM, and incubated in 95% air and 5% CO_2

under 37 °C for 2 hours. Then the cells were cultured in the high glucose DMEM with 10%

FBS in 95% air and 5% CO_2 under 37 °C. This was identified as reperfusion.

The construction of Ub-R-GFP vector

The Ub-R-GFP is used for the *in vivo* measurement of proteasomal degradation activity, and

constructed according to a previous report[34]. Briefly, the ubiquitin open reading frame was

amplified by PCR with the following primers:

Sense primer: 5'-GCG GAATTCACCATGCAGATCTTCGTGAAGACT-3'

Antisense primer:

5'-GCG GGATCCTGTCGACCAAGCTTCCCGCGCCCACCTCTGAGACGGAGTAC-3'

The PCR product was cloned into the EcoRI and BamHI sites of the EGFP-N2 vector. Beside

the R residue, a 12 amino acid peptide was inserted between Ub and GFP to increase the

proteasomal degradation. Thus, the final product is Ub-R-GKLGRQDPPVAT-GFP. The

accumulation of GFP protein was used to determine the proteasomal degradation activity.

Immunofluorescence staining

The floating brain slices or cells on the cover glasses were incubated in 0.1% Triton-X

PBS for 30 min, and followed by the incubation in 5% donkey serum for 1 hr. The samples

were then incubated with mouse anti-ubiquitin antibody (1:200, Santa Cruz Biotechnology,

Texas, USA; SC-8017), rabbit anti-pUb antibody (1:200, Millipore, Massachusetts, USA;

ABS1513), rabbit anti-PINK1 antibody (1:200, Novus, Colorado, USA; BC100-494), mouse

anti-GFAP antibody (1:1000, CST, Pennsylvania, USA; #3670), rabbit anti-Iba1 antibody

(1:1000, FUJIFILM Wako Pure Chemical Corporation, Tokyo, Japan; 019-19741), rabbit

anti-NeuN antibody (1:1000, CST, Pennsylvania, USA; #36662), mouse anti-Aβ (1:500,

Biolegend, California, USA, SIG-39320) at 4 °C overnight. After washed with PBS (10 min'

3 times), the samples were incubated with Cy3 AffiniPure Donkey Anti-Mouse IgG (H+L)

(1:200, Jackson ImmunoResearch, PA, USA;715-605-150) or Cy3 AffiniPure Goat Anti-

Rabbit IgG (H+L) (1:200, Jackson ImmunoResearch, PA, USA;715-605-150; 111-165-003)

or Alexa Fluor488 AffiniPure Goat Anti-Rabbit IgG (H+L) (1:200,

Jackson ImmunoResearch, PA, USA; 111-545-003) or Alexa Fluor488 AffiniPure Goat Anti-

Rabbit IgG (H+L) (1:200, Jackson ImmunoResearch, PA, USA; 715-545-150) for 2 h. After

washing with PBS (10 min each for 3 times), the slices were mounted on slides using a

ProLong Gold Antifade Mountant with DAPI. The images were taken under an Olympus

FV100 confocal microscope (Olympus, Japan) and analyzed using MetaMorph (version

7.8.0.0, Molecular Devices, LLC. San Jose, CA 95134 USA).

Western blot analysis

The cells and brain tissues for the Western blot were lysed using RIPA lysis buffer

(Beyotime Biotechnology Research Institute, Jiangsu, China; P0013B) with protease inhibitor

(Beyotime Biotechnology Research Institute, Jiangsu, China; P1005) and phosphatase

inhibitor (Beyotime Biotechnology Research Institute, Jiangsu, China; P1081). The cells

were lysed on ice for 30 min, and every 10 min, the cells were gently vortexed. The brain

samples were thoroughly homogenized with a precooled TissuePrep instrument (TP-24,

Gering Instrument Company, Tianjin, China) for 1 min at 4 °C. Subsequently, the lysate was

centrifuged at 12,000 rpm for 30 min at 4 °C. The supernatant was first collected as the

soluble protein sample for Western blot analysis. The precipitate was resuspended in 20 μl

SDS buffer (2% SDS, 50 mM Tris-HCl, pH7.5) for ultrasonic pyrolysis at 4 °C. The

ultrasonic pyrolysis cycle included 10-sec ultrasonic pyrolysis (Diagenode, Seraing,

Belgium) and 30-sec interval, for a total of 8 cycles. The samples were then centrifuged at

12,000 rpm for 30 min at 4 °C. The supernatant was again collected as the insoluble protein

sample for Western blot analysis.

For brain tissues, the mice were anesthetized by intraperitoneal injection of

pentobarbital sodium (150 mg/kg) before sacrifice. The brains were quickly removed after

transcardially perfused with 4 °C saline. The hippocampus was separated and stored at -80 °C

until usage.

All protein concentration was determined by using BCA Protein Assay Kit (Beyotime

Biotechnology, Shanghai, China; P0009). Appropriate protein samples (50-100 μg) were used

for Western blot analysis. The following antibodies were used: mouse anti-Ub antibody

(1:800, Santa Cruz Biotechnology, Texas, USA; SC-8017), rabbit anti-pUb antibody (1:1000,

Millipore, Massachusetts, USA; ABS1513), rabbit anti-PINK1 antibody (1:1000, Novus,

Colorado, USA; BC100-494), mouse anti-GFAP antibody (1:1000, CST, Pennsylvania, USA;

#3670), rabbit anti-CD11b antibody (1:1000, Abcam, Cambridge, UK; ab133357), mouse

anti-GAPDH antibody (1:5000, Proteintech, Wuhan, China; 60004-1-Ig), mouse anti-MAP2

antibody (1:2000, Millipore, Billerica, MA, USA; AB5622), rabbit anti-PSD95 antibody

(1:1000, CST, Pennsylvania, USA; 3450S), rabbit anti-Tom20 antibody (1:1000,

Pennsylvania, USA; 42406S), rabbit anti-LC3 antibody (1:1000, Sigma, Massachusetts,

USA; L7543), rabbit anti-p62 antibody (1:1000, Abcam, California, USA; ab109012), mouse

anti-FLAG antibody (1:10000, TransGen biotech, Beijing, China; HT201-01). The secondary

antibody was HRP-conjugated goat anti-mouse IgG (1:3000, Cell Signaling Technology, MA,

USA; 7076S) or HRP-conjugated goat anti-rabbit IgG (1:10000, Jackson ImmunoResearch,

PA, USA, 111-035-003).

The immunoblots were then detected using ECL reagents (Potent ECL kit,

MultiSciences Biotech, Hangzhou, China; P1425) and measured using GBOX (LI-COR,

Odyssey-SA-GBOX, NE, USA). The results were normalized to GAPDH or Ponceau

staining (Beyotime Biotechnology Research Institute, Jiangsu, China; P0022), or to the

eGFP-expression control on the same immunoblot membrane.

Golgi staining

On the 70th-day post-transfection, mice were anesthetized by intraperitoneal injection of

pentobarbital sodium (150 mg/kg) before sacrifice. The mouse brain was quickly removed,

and the dorsal hippocampus was separated. The hippocampus was immediately fixed in the

fixative (Servicebio, Wuhan, China, G1101) for over 48 hrs.

The dorsal hippocampus was sliced with a thickness of 2-3 mm thickness around the

injection site. The tissue was gently rinsed with PBS at least 3 times. The hippocampus tissue

was then placed in Golgi-cox staining solution (Servicebio, Wuhan, China; G1069), and

incubated in a dark for 14 days. The Golgi-cox staining solution was changed 48 hrs after the

first soak, and every 3 days afterwards. On the 14th-day after staining, the tissues were

immersed in distilled water for 3 times and then incubated in 80% acetic acid overnight until

the tissue became soft. After rinsing with distilled water, the tissue was placed into 30%

sucrose.

The tissue was cut into 100 μm slices with an oscillating microtome, and the slices were

placed on a gelatin slide and dried overnight in the dark. The sliced were then treated with

ammonia water for 15 min. After washing with distilled water, the slices were incubated in

the fixing solution for 15 min. After washing, the slices were sealed using glycerin gelatin.

Images were taken using VS120 Virtual Slide Microscope (Olympus, Japan).

The *in vitro* ubiquitin-dependent proteasome degradation

Ubiquitin was prepared as previously described [49, 50]. K48-linked di-ubiquitin and

tetra-ubiquitin were prepared following an established protocol [51], with the conjugation

reaction catalyzed by 2.5 μM human E1 and 20 μM E2-25K, in 20 mM pH 8.0 Tris-HCl

buffer. Ub/K48R mutant was incorporated as the distant Ub, and Ub/77D mutant was

incorporated as the proximal Ub to prepare Ub chain of the desired length. The residue D77

at the C-terminus was removed with hydrolase YUH1. The fusion protein His-TEV-Ub-GFP

was prepared recombinantly based on the design previously described [34, 52]. The

Pediculus humanus corporis PINK1 kinase (phPINK1) was prepared and purified as

previously described [26, 27]. Ub phosphorylation was confirmed with electrospray mass

spectrometry (Agilent G6530 Q-TOF).

The 26S proteasome from HEK293 cells was prepared following an established

protocol, with the purification tag appended at the C-terminus of Rpn11 [53, 54]. The

proteasome activity was assessed with a fluorogenic peptide, N-succinyl-Leu-Leu-Val-Tyr-7-

amido-4-methyl coumarin (Sigma, Massachusetts, USA; S6510). A final concentration of 100

nM proteasome and 100 μM proteasome peptide substrate was prepared in the reaction

buffer, containing 50 mM Tris-HCl pH 7.5, 4 mM ATP (Sigma- Aldrich, Cat# A6559) and 5

mM MgCl$_2$. The fluorescence intensity of the product was measured by a fluorometer

(Horiba Scientific, FluoroMax-4) at an excitation wavelength of 360 nm.

For Western blot analysis of GFP, 200 nM proteasomal substrate and 10 nM human 26S

proteasome were prepared in 20 mM Tris pH 8.0 buffer, with 50 mM NaCl, 5 mM ATP, and 5

mM MgCl$_2$. The mixture was incubated at 37 ° C for 0, 10, 30, 60, 90, and 120 min. At each

time point, 20 μL samples were taken for Western blot analysis. A mouse anti-GFP antibody

(1:2000, San-Ying Proteintech Group, 66002-1) and an HRP-conjugated goat anti-mouse IgG

(1:3000, CST, Pennsylvania, USA; 7076S) were used. ImageJ was used to analyze the band

intensities, which are normalized to the initial intensity.

The recombinant Ub, pUb (phosphorylated by phPINK1), Ub/S65A, and Ub/S65E were

reacted with 2.5 μM human E1 and 20 μM E2-25K in 20 mM pH 8.0 Tris-HCl buffer. The

formed Ub chain was determined by Coomassie blue staining on SDS page gel.

TIRF analysis of ubiquitin-proteasome interaction

The coverslips were prepared following an established protocol [55]. Each coverslip

was divided into multiple lanes with double-sided tape for parallel TIRF experiments, thus to

ensure repeatability with different proteins added to the same slide. Streptavidin (VWR Life

Science, Cat# 97062-808) in imaging buffer at a concentration of 50 μg/ml was loaded;

excess unbound streptavidin was washed away. The imaging buffer contains 25 mM Tris-HCl

(pH 7.5), 50 mM NaCl, 10 mM MgCl2, 40 mM imidazole, 5 m/mL BSA (Sigma-Aldrich),

2.5 mM ADP (Sigma-Aldrich), and 0.5 mM ATP-γ-S (Sigma-Aldrich), as previously

described [35].

The purified human 26S proteasome at 20 nM in the imaging buffer was added to the

streptavidin-immobilized coverslip, with excess unbound proteins washed away with the

imaging buffer. The substrate protein, either K48-polyUb-GFP or pK48-polyUb-GFP, was

then added to the coverslip to a final concentration of 400 pM. The imaging was performed

on a Nikon A1 TIRF microscope equipped with a 488 nm laser and an EMCCD camera

(Andor DU-897). The time series were acquired at 300 ms per frame for a total duration of 2

min for each time series. The view area was 512 pixels by 512 pixels (16 by 16 μm), and the

experiments were repeated four times on four different proteasome-immobilized cover slides.

The puncta density was counted in iSMS software [56] with default settings. Only when the

substrate protein becomes bound to the immobilized proteasome, the green puncta can be

observed, and only the puncta with brightness above a certain threshold ($\geq$ 3000 a.u.) and the

dwell time of more than three consecutive frames are selected for counting the overall

number of puncta. The dwell times were tabulated in 300 ms bins and fitted with single

exponential decay.

Proteomics analysis

Hippocampus samples were rinsed using PBS and lysed in RIPA lysis buffer. The

samples were then denatured at 95 °C for 5 min, followed by sonication at 4 °C (3 s on, 3 s

off, with 30% amplitude). The lysate was centrifuged at 16,000 g for 10 min at 4 °C, and the

supernatant was collected as whole tissue extract. Protein concentration was determined by

Bradford protein assay. Extracts from the protein sample (2 g) were trypsin digested.

The enriched peptides sample were precipitated in solution A (0.1% formic acid), and

was centrifuged at 16,000 g for 10 min. The peptides were separated on a reverse-phase

nano-HPLC C18 column (Precolumn, 3 μm, 120 Å, 2x100 i.d.; analysis column, 1.9 μm 120

Å, 30 cm x150 μm, i.d.) at a flow rate of 600 nL/min with a 150 min gradient of 7-95%

solution B (0.1% formic acid in acetonitrile). For peptide ionization, 2100 V was applied, and

a 320 ºC capillary temperature was used. For detection with Q Exactive HF, peptides were

analyzed with one full scan (350–1400 m/z, R = 120,000 at 200 m/z) with an automatic gain

control target of $3x10^6$ ions, with max injection time of 80 ms, followed by up to 30 data-

dependent MS/MS scans with high-energy collision dissociation (target 5 $x10^4$ ions, max

injection time 19 ms, isolation window 1.6 m/z, normalized collision energy of 27%),

detected in the Orbitrap (R = 15,000 at 200 m/z). Dynamic exclusion time was set as 30 sec.

Raw MS data were searched against the mouse National Center for Biotechnology

Information (NCBI) Refseq protein database (updated on 2013/07/01, 29764 entries) by the

software Thermo Proteome Discoverer 2.1 using Mascot 2.3. The mass tolerances were 20

ppm for precursor and 50 mmu for product ions for Q Exactive HF. The search engine set

Acetyl(Protein N-term), oxidation(M), Phospho(ST), Phospho(Y) as variable modifications.

Trypsin digestion of up to two missed cleavages was allowed. The peptide identifications

were accepted at a false discovery rate (FDR) of 1%. Using a label-free approach, a unique

peptide was used to represent the absolute abundance of a particular peptide across the

sample.

For the proteomic data analysis, quantile normalization was applied to eliminate batch

effect, and missing values were imputed using the minimum value observed in the dataset.

The differentially expressed proteins were defined with the following these criteria: an

increase by more than two-fold or decrease by more than 50%. For Gene Set Enrichment

Analysis (GSEA) analysis, Gene Ontology (GO) analysis was conducted by GSEApy

(version 0.10.5) Python package to evaluate the enriched GO terms. The association of

proteins with GO terms was derived from the DAVID database. For Gene Set Enrichment

Analysis (GSEA), the ranking metric used was the fold change, and significantly enriched

GO terms were filtered with a cutoff *P*-value of 0.05.

Statistical analysis

Data are presented as mean ±SD. GraphPad Prism Software (version 6.0, GraphPad

Software, San Diego, CA, USA) was used for statistical analysis and plot graphs. The ROUT

test was used to identify outliers. The Brown-Forsythe test was used to evaluate the equal

variances of the data. D'Agonstino-Pearson omnibus normality test was performed to test the

normality of the data. If the data pass the normality test and equal variance test, t-test, the

parametric one-way ANOVA (Tukey multiple comparisons test), or two-way ANOVA

(Newman-Keuls multiple comparisons test) was used. If not, nonparametric test (Dunn's

multiple comparisons test) was used. Chi-square test was also used to assess the differences

in categorical data distributions. P<0.05 was considered statistically significant.

Author contributions

W.P.Z. and C.T. designed the study and wrote the manuscript. C.C., T.Y.G., H.W.Y., Y.Z., T.Y., T.W., and T.F.W. conducted the experiments. Y.B.L. and W.P.Z. analyzed the data at mouse and cellular level. T.T.L. analyzed the proteomic data. C.T. analyzed the data at protein level.

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Data availability

All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials.

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