1 Evolutionary macroecology: Incorporating phylogenetic information

2 more explicitly into process-based, forward time, simulation models

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ABSTRACT

A conceptual and mechanistic approach for bridging the fields of macroecology (ecological

biogeography) and historical biogeography has been a long-term aim in Evolutionary Biology.

Such a bridge could increase our understanding on the processes governing the spatial and

temporal generation of biodiversity distribution patterns. This aim has been recently

approached from the perspective of evolutionary biogeographic inferential statistical models,

within a maximum likelihood or Bayesian framework, which incorporates the contribution of

environmental factors as scaling parameters. Here, we describe a new approach that builds on

a spatially-explicit, forward-time, computer simulation ("automat") model. The model sets a

series of rules by which speciation, extinction, and dispersal of lineages are governed within

an environmentally heterogeneous, two-dimensional gridded landscape. Unlike some previous

approaches, niche conservatism is assumed but the model allows for environmental conditions

to vary both spatially and temporally, by letting the model run over three time-series of actual

palaeoclimate data, spanning the last 20 million years of geological history. Speciation is

governed by a global speciation rate, whereas the background extinction rate is made dependent

on abiotic (palaeoenvironmental conditions) and biotic (species density per grid cell) factors,

hence giving a local background extinction rate. Also, we propose a novel mechanistic

approach in which species are not the result of unique, independent events but linked through

evolutionary history from a single evolutionary origin. We set different rules to generate the

resulting phylogenies to test different factors (time, environment) that govern the inheritance

of range distributions. Dispersal follows a simple Poisson kernel model, with higher probability

of migration to contiguous grid cells and rare long-distance movements to distant cells. We

also describe ways in which the presence of temporal dispersal barriers could modify the

resultant spatial patterns. Evaluation of model accuracy and fit is based on comparison of

simulated spatial patterns with observed, empirical ones. We use statistic dependent variables

such as the spatial distribution of species in the landscape, species' geographic range size and

location, and the shape of the resultant phylogenies. Finally, we propose that this spatially-

explicit simulation model could be used to evaluate the role played by niche conservatism,

ecological vicariance and climatic-driven extinction in the generation of disjunct continental

patterns, such as the presence of sister-lineages in numerous families of angiosperms forming

a ring in the continental margins of the African continent, the Rand Flora pattern.

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INTRODUCTION

Understanding biodiversity patterns –why some biotas are more or less diverse than

others and how local or regional biotas became assembled– has become a pressing goal in

biodiversity research in light of the Anthropocene biodiversity crisis (up to 25% of extant

species will become extinct in the next decades; IPBES, https://ipbes.net) and ongoing global

climate change scenario (linked to human activities). Global biodiversity patterns, such as the

Latitudinal Diversity Gradient (LDG) –the observed general increase in species richness or

biodiversity from the poles to the tropics (Fischer 1960; Pianka 1966; Stevens 1989)– have

traditionally been explained in relation to shifts in environmental variables such as temperature

or precipitation (Hillebrand 2004; Kreft and Jetz 2007). There is, though, a current

understanding that environmental variables cannot by themselves increase or decrease local or

regional species richness; evolutionary processes such as dispersal, extinction, or speciation

must be taken into account (Qian and Ricklefs 2004; Wiens and Donoghue 2004; Jablonski et

al. 2008; Mittelback et al. 2007). Thus, understanding the mechanistic, causal factors driving

speciation, dispersal, and extinction rates in relation to fluctuating spatially and temporal

environmental (climatic) conditions should allow us to comprehend why some biotas are richer

or more species-poor than others or how global biodiversity patterns have been shaped over

time. For example, it has been hypothesised that if niche conservatism prevails over niche

evolution in regions of spatially heterogeneous and temporally fluctuating climate,

diversification will occur predominantly by a process of range fragmentation, caused by the

inability of species to adapt to changing environmental conditions in portions of the ancestral

range, in what has been termed the process of "ecological vicariance" (Wiens 2004; Wiens and

Donoghue 2004; Rangel et al. 2007).

These questions have been typically approached from two different perspectives. One

of them is macroecological, by linking measures of diversity and spatial patterns of species

richness to environmental (often, climatic) variables through "curve-fitting" correlative

approaches, such as generalised linear modelling techniques (GLM; Hawkins et al. 2003;

Rahbek et al. 2007; Chefaoui and Lobo 2007; Mairal et al. 2017), or through more complex

spatial Ecological Niche Models (ENM) and Species Distribution Models (SDM, see Owens

et al. 2011 for distinction between ENM and species distribution models, SDMs, from here we

consider ENM only; Thuiller 2003; Araujo et al. 2005; Thuiller et al. 2006; Lobo et al. 2008;

Hengl et al. 2009; Culshaw et al. in 2021b.). ENMs are a group of distances or models that are

used to describe and predict climatic habitat suitability species' geographical ranges from

occurrence, and if possible, absence data, e.g. ecological niche factor analysis (ENFA; Hirzel

et al. 2002; Basille et al. 2008; Hengl et al. 2009) or Mahalanobis distances (MD, Clark et al.

1998). ENMs for individual species can be overlaid to extract general patterns on climatic

habitat suitability (Culshaw et al. in 2021b) These models have later been extended with the

addition of other parameters, such as species interactions, non-climatic predictors, or spatial

autocorrelation terms (Araújo and Luoto 2007; Dormann et al. 2007; De Marco et al. 2008;

Guisan and Rahbek 2011). Currie et al. (1999) criticised curve-fitting approaches because they

often do not provide quantitative predictions and because they "implicitly" assume that species

richness patterns depend only on environmental variables, ignoring the contribution of

historical and evolutionary events to such patterns. These critiques can also be extended to

ENMs: overlapping of potential or realised species ranges in a gridded map does not provide a
causal explanation behind the observed pattern.

The second approach to tackle the origin and maintenance of spatial patterns of
diversity is using methods stemming from the fields of phylogenetics and historical
biogeography (Sanmartín 2014). These methods use phylogenetic data (evolutionary
relationships) and divergence time estimates, together with species occurrence data, to infer
the distribution range of a species' ancestors and the rate of evolutionary processes like
speciation, extinction, and dispersal (Wiley 1981; Brooks and McLennan 1991; Ronquist and
Sanmartín 2011; Sanmartín 2014). Current biogeographical inferential approaches are based
on probabilistic models of range evolution (continuous-time discrete-state Markov chain
models) and use the statistical maximum likelihood framework or Bayesian Inference to
estimate ancestral states and parameter values. Unlike in ENMs, areas in biogeography are
often defined in abstract terms, without an explicit spatial or environmental component.
However, as some biogeographers have noted (Brooks 1990; Cracraft 1994; Sanmartín et al.
2001; Wiens and Donoghue 2004; Meseguer et al. 2015), the environmental (climatic)
preferences of a species plays a strong role in constraining evolutionary and biogeographical
processes such as the rate of ecological speciation, local background extinction and geographic
expansion, and therefore should be considered in the inference of spatial patterns of species
richness.

Understanding the mechanistic basis of broad-scale biodiversity patterns formed by
persistence, adaptation, extinction or geographical range shifts remains the holy grail of
modern biogeography and macroecology (Judson 1994; Willig et al. 2003; Gotelli et al. 2009).
In the early days of these disciplines (XIX century and early XX century), phylogeny and
biogeography were closely interlinked in the works of taxonomists and naturalists (Cox, Moore
and Ladle. Biogeography: An Ecological and Evolutionary Approach, 10th edition, 2016).
However, for over the last 40 years, the field of biogeography has been mainly concerned with
building phylogenies and inferring the spatial-temporal evolution of lineages, with ecology
deemed moot (Wiley 1981; Brooks and McLennan 1991; Ree and Smith 2008; Sanmartín et
al. 2008; Lemey et al. 2009); conversely, macroecology has been slow in integrating the
evolutionary perspective (Hawkins et al. 2003). Yet, historical biogeography and ecology have
much to learn from one another (Wiens and Donoghue (2004); Hawkins et al. (2005); Culshaw
et al. (2021a and 2021b), and an approach that bridges these two fields into a single theoretical

and analytical framework will be crucial in exploring the relationship between ecological and
evolutionary processes in the shaping of Earth's species geographic ranges (Ricklefs 2006).

Recently, there have been some attempts to create this bridge. Studies such as Evans et
al. (2009), Richards et al. (2007) or Meseguer et al. (2015) have championed the integration of
phylogenetic and biogeographical inference with ENM frameworks. Richards et al. (2007) used
hindcasted ENMs to inform coalescent simulations and test alternative coalescent hypotheses
on population spatial dynamics. Evans et al. (2009) employed ENMs to quantify the climatic
disparity among taxa and obtain an understanding of the degree along which niches evolved,
and used this information as a secondary calibration to predict niche occupancy through the
timeline of a dated phylogenetic tree. Meseguer et al. (2015) combined fossil record
information with presence only data to infer the climatic tolerances of ancestral lineages and
generate hindcasted ENMs. Subsequently, they used these models, as well as the spatial
information provided by fossils, to inform probabilistic biogeographic inference about the
existence of temporal climatically suitable corridors and climatic dispersal barriers. We
recently proposed an approach (Culshaw et al. 2021b) to combine the biogeographic and ENM
frameworks as complementary, independent sources of evidence, allowing the user to integrate
the geographical information without sacrificing the evolutionary information, and vice versa.
Hindcasted ENMs were used to inform biogeographic inference along long branches lacking
cladogenetic events, while inferred ancestral ranges were used to select the truncation threshold
needed to convert continuous habitat suitability values returned by the ENM into presence-
absence predictions.

Though these integrative/combined approaches can improve the accuracy of the
inferred biogeographic scenarios or the parameter estimates (Meseguer et al. 2015; Culshaw et
al. 2021b), they are more geared towards understanding the spatio-temporal history of
particular lineages and less to the testing of hypotheses on macroecological patterns.
Biogeographic and ENM approaches do not allow us to examine the causes behind an observed
correlation between species distribution patterns and environmental factors, or what this
relationship would be like under small variations in the primary data. Knowing how stable a
statistical relationship is, provides a better understanding of its predictive power in forecasting
future scenarios. A mechanistic understanding of species distribution patterns requires
modelling the processes by which these patterns are formed (Gotelli et al. 2009).

A third avenue to combine biogeography and macroecology is based on the use of

spatially explicit, forward-time computational general simulation models (GSM, Rangel et al.

2006, 2007; Rabehk et al. 2007; Colwell et al. 2009; Gotelli et al. 2009), sometimes coined as

"automat" or "in silico" models. In its simplest form, GSMs are mechanistic models that are

governed by a set of rules for location; probability and mechanism of speciation; the inheritance

of niche characteristics by each new species from its immediate ancestor; and the species ability

to disperse to and successfully colonise new grid cells based on their environmental

characteristics (Rangel et al. 2007; Gotelli et al. 2009). These sets of rules describe how a

species may speciate, disperse and become extinct in an environmentally heterogeneous

landscape (represented as a gridded domain; Gotelli et al. 2009). Typically, these models are

probabilistic or stochastic (an example exception would be Hassell et al. 1991), and are run for

a given number of time steps t or until a specific condition is met (e.g. until a particular number

of species ranges are simulated or until a balance between speciation and extinction is

achieved) and can accommodate for contemporary, past or future climates, evolutionary and

historical forces, and geometric constraints. These models build frameworks to investigate

hypotheses about the relative influence on species richness played by geometric dispersal

constraints, environmental factors and historical processes (Rabhek et al. 2007; Gotelli et al.

2009), making these models more than suitable for exploring the stability of an empirical

relationship. See Gotelli et al. (2009) for an excellent review on macroecology simulation

models.

Spatially explicit general simulation models (GSM)

Exploring the causes of spatial variation in species distributions through computational

general simulation models (GSM) has been the subject of a rich literature. The GSM relies only

on two data layers: a gridded map of a region or biogeographic domain with cells of a certain

resolution; and the environmental variables measured on each grid cell. Unlike ENMs, GSMs

do not require species occurrence data. Most GSMs include also three components or control

knobs for simulating species richness patterns on the gridded domain: dispersal limitation,

environmental gradients, and evolutionary events (Gotelli et al. 2009).

Dispersal Limitation: Levins (1969) was one of the first models that explored the

introduction of spatial parameters into population models. Levins's model describes a gridded

landscape in which present in each cell is an unstable population system. Here a population

becomes extinct within a specified number of time steps. However, when several populations
are linked together through a spatial parameter modelling dispersal, the populations only face
temporarily extinction as they can be re-colonised by another population, hence forming a
stable "on our own we are weak, together we are strong" system, provided that the population
models' extinctions are in a state of asynchrony to one another. Levins's model was very
simple, assuming the landscape to be homogenous, and dispersal to be limitless to any cell
within the gridded domain. Also the model only recorded whether a cell was occupied or not,
i.e. number of individuals of the population within the cell was disregarded. This model of
linked populations became known as the Levins metapopulation model, and it is widely
regarded as the null model in exploring spatial patterns through GSMs (Gotelli et al. 2009).
Further advances from these first models have included the "spreading dye model", which
imposed limitations on how a species may disperse through the landscape from its original cell:
i.e. dispersal is determined by distance to the adjacent grid cells (e.g. Hassell et al. 1991; Jetz
and Rahbek 2001; Connolly 2005).

Environmental gradients are typically based on temperature and precipitation
measurements for each cell in the domain, and usually represent present-day climate data, and,
increasingly popularly, palaeoclimate reconstructions (Meseguer et al. 2015; Culshaw et al.
2021b). With the use of ENMs, these environmental gradients can be converted into species-
specific, habitat suitability values, where one of these suitability values is allocated per cell in
the domain. Converting environmental variables into habitat suitability variables can be
advantageous. One advantage would be that habitat suitability values from the ENMs can
locate the potential spatial barriers to the spatial distribution of a population through time, and
the GSM can be used to see whether it is possible for the population to overcome these spatial
barriers given enough time, hence effectively mechanising the ENM (Thuiller et al. 2008). A
second advantage is that speciation, background extinction and colonisation success rates can
be converted by the ENM habitat suitability values from being global rates that are equal across
species to local and species-specific.

Including *evolutionary events* in GSMs has mainly been tackled in one of two ways: i.
by specifying a priori the number of independent evolutionary events that should take place
during the simulation (e.g. Grytnes 2003; Storch et al. 2006; Rahbek et al. 2007); and ii. by
including some scale or logic measurement of what a species is, running the simulation for a
given time, and then observing how many evolutionary events took place and how many
species are present at the end of the simulation (e.g. Rangel et al 2007; Boone 2010). In the

first case, each species has an independent evolutionary origin and, if the niche is considered,

the niche of each species is independent from the niche of other species; in the second, all

species are linked by a single evolutionary origin, an ancestral species, and the sequence of

speciation events depends on the set of rules that determines the balance between niche

conservation and niche evolution (Rangel et al. 2007).

Evaluation of the power of GSMs is based on comparison of simulated and observed

patterns, and the ability to predict or recover system-level properties such as the LDG pattern

or the observed mid-domain effect (Gotelli et al. 2009). Comparison between simulations and

the observed input data can also be used to find the optimal parameter values: these are the

parameter combinations that maximise the similarity between the observed and the simulated

patterns, for example, in regards to species richness and/or the geographic range distribution

frequency among species (Rangel et al. 2007). In fact, one advantage of GSM approaches,

which resembles that of Approximate Bayesian Computation methods in evolutionary biology

(Leuenberger and Wegman 2010), is their flexibility to incorporate new parameters or variables

to increase the realism of the model, since it becomes unnecessary to define the mathematical

functions governing parameter behaviour/relationships analytically (i.e., likelihood-free

methods).

Some Limitations of GSMs

One of the main limitations of GSMs is the assumption of species equivalency. As in

MacArthur and Wilson's (1967) Island Biogeography Theory, or Hubbell's (2001) Neutral

Theory, rates of speciation, background extinction, and dispersal do not depend on species-

specific characteristics, but are assumed similar or equal across species. Model realism could

also be increased by incorporating actual palaeoclimate data and a real time scale in the

simulations, rather than using an artificially fluctuating environment (Rangel et al. 2007). To

consider speciation events as independent evolutionary events follows the classic ecological

approach of using numbers of species or species richness to detect patterns (Jetz and Rabnek

2001; Wiens and Donoghue 2004), but does not help understand how these numbers are

generated (Wiens and Donoghue 2004). Modelling evolutionary events using a scale and logic

measurement of what a species is through a set of rules (Rangel et al. 2007) allows comparing

the observed species richness patterns and geographic range size variation with the one

obtained in the simulations, and hence to extract conclusions on the underlying processes. Yet,

deciding upon this scale and logic measurement can be difficult, as the initial empirical

ecological niche, the empirical heritability of the ecological niche from ancestor to descendant

taxon, and an understanding of the balance between empirical niche conservation and empirical

niche evolution through time must be known. This difficulty increases the appeal of removing

oneself from the real world (such as done in studies like Rangel et al. 2007), and hence remove

the need for empirical information and allowing the luxury of choice as to which type of rules

are set. However, with this entrance into the virtual world comes a new challenge, the lack of

an evolutionary component provided by a real phylogeny, for example, the inheritance of the

ancestral niche by the descendants. Setting the simulation so as to explore the relationship

between a particular phylogeny, or empirical species relationship, with a distribution pattern,

climate history and niche dynamics allows testing whether a similar relationship could be

recreated under different environmental conditions and niche dynamics. In other words, are the

relationships we find within a phylogenetic tree linked to the distribution patterning or could

the same relationships have been achieved through different distribution patterns, and vice

versa?

Here, we describe a novel general simulation model which proposes a different way of

integrating evolutionary history in GSMs in the landscape. The model includes a dispersal

kernel to navigate around temporary barriers, and information on the tree and speciation

intervals among species, so that the evolutionary (speciation) events in the simulation are

dependent on one another, i.e., they have a single origin. We gain the information for this new

evolutionary component by including phylogenetic information into the simulation. Unlike in

Rangel et al. (2007), niche conservation is assumed within our model (i.e., no information on

niche evolution); hence, evolutionary events are initiated by a speciation rate rather than by the

balance between niche conservatism and evolution in a fluctuating environment. Moreover,

our GSM uses time series of paleoclimatic data: in particular, three paleoclimate layers

representing major cooling and warming events in the last 20 million years. As in other GSMs,

the model uses a pattern-oriented modelling approach to compare the fit between observed and

simulated data.

A NOVEL GENERAL SIMULATION MODEL INTRODUCING EVOLUTIONARY HISTORY

MODEL OVERVIEW

Our new model follows Wiens and Donoghue (2004) biogeographic framework, in

which the geographical range of a clade is determined by i. the ancestral ecological niche of

the clade; ii. the geographical dispersal origin; iii. dispersal limitation described by abiotic and

biotic conditions (e.g. habitat suitability and competition); iv. the potential for niche evolution

presented by the geographical range, if niche conservation is not considered), and the length of

time elapsed since the origin of the clade for niche evolution (if niche conservation is not

considered) and dispersal to occur. Our spatially explicit, forward time GSM model directly

addresses four of these points (i-iii and v). Point iv (the potential for niche evolution) is not

addressed; since we assume niche conservatism or at least that we lack enough information to

infer niche evolution. The model is built upon an n-dimensional niche space and a two-

dimensional geographical, gridded cell map space. Each cell contains information on the

number of species present within it, but not the number of individuals for each species, as well

as its geographical coordinates, and by the local values of the same n environmental parameters

that define the niche space (a representation pioneered by Pulliam 2000). The model has been

written in the programming language PASCAL, and is available for distribution by demand to

main author (v.culshaw@tum.de).

Figure 1 shows a scheme representing the model, showing the three components or

control knobs in the model (Figure 1 the vertices of the triangle), and the relationships among

these components in the model (Figure 1 the sides of the triangle). The three control knobs are

the: a. Evolutionary Origins: including the rates of extinction (μ) and speciation (λ), and the

time intervals between speciation events and/or the species tree topology, which provides

information as to when a speciation event takes place and to whom this speciation event is

related. b. Suitability: provides information on the abiotic and biotic suitability of each cell.

Abiotic suitability defines how suitable the cell is for the species, given its climatic niche

breadth and the measurements of abiotic variables, such as mean temperature and precipitation,

in the cell. Biotic suitability refers to competition within each cell and is represented simply by

the number of species present within a cell (i.e., density-dependence effects). c. Range

Expansion: describes the ability of a species to disperse across the landscape. We used a model

that combines the "spreading dye model", allowing local dispersal to adjacent cells within a

maximum of distance M, with rare events of long-distance ("freak") dispersal to cells located

beyond M.

Relationships between components in the model (Figure 1, represented by the triangle

sides) are: i. Within Cell Dynamics: models the effect of cell suitability on the local extinction

rate to calculate the probability of species prevalence at time t within a cell. ii. Between Cell

Dynamics models the colonisation success to a cell of a dispersing species through the

relationship between cell suitability and dispersal success. iii. Evolutionary Events: models

how the speciating species' range is divided up between its daughter lineages through the

relationship between the speciation rate, the dispersal kernel, and the environmental

characteristics of the cells (i.e. habitat suitability). Niche conservation, and constancy of

speciation and background extinction rates are assumed to occur between and within species,

but not necessarily between time steps.

Figure 1. Model Overview. The points of the triangle represent the data layers supplied to the model. The triangle sides represent the connecting relationships between these layers. The black arrows represent the direct influence over the centre model results. Notice that "Suitability" only affects the model indirectly through "Within Patch Dynamics" and "Between Patch Dynamics".

MODEL ALGORITHM

In this section, we describe in more detail the model algorithm specifying the set of
rules and functions that link the parameters in

the model, as well as the distribution probability of these. Figure 2 shows an example of how
the model algorithm works for one-time step t in which there is one event of long distance
dispersal and one successful evolutionary event.

Figure 2. One-time step of the simulation model. Titles bounded by rectangles indicate either the points or the sides of the model overview (the triangle in Figure 1). The grids located on the green band show the territory of a parental species (in blue) and its daughters (red and green). The trees record their evolutionary relationship and time of speciation. For clarity "×" indicates the occurrence of local extinction when applying the influence of "Within Patch Dynamics" and "Between Patch Dynamics" to the territory grid. Here, unsuccessful long-distance dispersal and a speciation event occurred. All the parental territory was successfully divided up between the two daughter lineages.

Habitat suitability

We considered the landscape suitability of a cell to be combination of two elements or

values: a. abiotic suitability, which is dependent on the environmental suitability of the cell for

the moving species and on the presence of temporary, slow moving barriers; b. biotic

suitability, which is dependent on the number of species present within a cell (Figure 3).

Abiotic suitability (aS). This is a suitability probability generated by an environmental

niche model (ENM) that has been hindcasted across the time steps of paleoclimate layers. There

are a wide variety of models and distances that can be used in ENM hindcasting, including the

Mahalanobis distance (Clark et al. 1998), the maximum entropy algorithm (Maxent; Phillips et

al. 2006), or Hengl et al. (2009)'s framework combining distances defined by point-pattern

analysis and environmental niche factor analysis (ENFA) with a regression-kriging GLM. Each

of these models has their advantages and disadvantages (see Chefaoui and Lobo 2008). We use

here an approach suggested by Culshaw et al. (2021b), where Hengl et al. (2009)'s method is

used to generate an ENM hindcasted projection for each month of the year, and these monthly

projections are then overlaid to construct a "yearly" projection. Culshaw et al. (2021b)

demonstrated that this approach is more efficient in capturing the general pattern than the usual

approach of averaging the results across a collection of months (e.g., Mairal et al. 2017), as the

accumulation of errors in the latter can result in the pattern being completely wiped out.

Slow moving barriers are considered to be physical formations, such as mountains,

rivers and deserts, that appear and disappear over time, and, depending on the nature of the

barrier, can move to a new geographical space, i.e., mountain building and erosion, or river

capture, changes the position of a river basin. These physical formations can have a strong

influence on the geographical distributions of a species, acting as barriers to or corridors for

dispersal and migration, and, hence, deterring or facilitating movement between cells. These

barriers can also be included in the aS matrix (i.e. values of aS per cell in the gridded domain)

as low suitability areas. For simplicity, we consider the landscape to be flat, so that barriers are

only composed of a width dimension and not of a height dimension. Moreover, we assume that

the barrier can be overcome if the species is able to disperse past it to the next suitable cell in

one-time step t, regardless of whether the terrain cells between a species and the colonised cell

are highly unsuitable, hence, trivialising the role played by extreme ecological limitations (e.g.

sharks are unable to walk on land and there is only land between them and another cell of

water), see Connolly (2005) for the opposite model).

Once the model for estimating abiotic habitat suitability landscape for $t = 0$ for a

specific area has been created, we interpolate this model back through time across all the

paleoclimate data for the same area. The abiotic landscape suitability's values (aS) lie within

the interval $[0, 1]$. However, as the aS matrix can be affected by loss of information caused by

events such as population bottlenecking and mass extinction events (Culshaw et al. in 2021a,

2021b) a Brownian motion distribution (Wiener process) is added or subtracted from each aS

at each time step t.

Biotic Suitability (bS) refers to the density of the patch in regard to to the number of

species. The bS matrix contains the number of species within each of the cells. These values

are bound within the interval of $(0, 1]$ through the use of a squashing function $\overline{bS} = \frac{bS+a}{bS}$,

where $a \in \mathbb{R}^{>0}$. Information on the number of species within each cell at any given time is

relevant to know the level of interspecific competition present. This knowledge can be used to

explain the absence of a given species from regions that are within their set of tolerable

environmental conditions (Wiens and Donohue 2004).

Figure 3. Abiotic and biotic suitability of each cell within the landscape. Suitability is composed of two components: *Abiotic suitability (aS)* probability is created by the environmental suitability (the climatic properties of the cell in relation to the tolerances of the species) and by physical formations (slow moving barriers). *Biotic Suitability (bS)* is the number of species present within a cell (density dependence effects). Abiotic and biotic suitability are bounded between [0,1] values. Environmental abiotic suitability is calculated by an environmental niche model (ENM) that is hindcasted across the time steps t. Physical formations are slow moving barriers (mountains, rivers and deserts) that are that appear and disappear over time, and can move to a new geographical space (e.g. mountain building) Physical formations can be represented as cells of low suitability regardless as to whether the cell has high environmental suitability (black circle represents high environmental suitability, however due to a physical formation, the cell is considered to have low suitability).; for simplicity, we consider the landscape to be flat and so barriers are only barriers by width and not height. See more details in the text.

Range evolution

Range evolution describes how individuals disperse through land (Figure 4). If the time
step t is suitably large (e.g. 500 years), then, in the clear-cut thought process of an invasive
species, the land should be completely colonised except for areas that cannot be reached by
long distance dispersal, have patch unsuitability, or high competition (Rangel et al. 2007).
Here, species in the assemblage share a common spreading dye distribution (Jetz and Rahbek
2001; Colwell et al. 2009; Gotelli et al. 2009), where an individual can move M distance in
one-time step t but can also have long-distance unlimited dispersal to any patch more than M
distance away with the probability distribution of this event occurring drawn from the Poisson
distribution $FD = pois(\lambda_{FD})\, n_{FD}$, where magnitude $n_{FD} \in \mathbb{R}^{>0}$. Freak dispersal is equally
likely of originating in any previously occupied cell.

Figure 4. Range Evolution. Here individuals can disperse through the landscape by M distance in one-time step t or via a long distance and unlimited dispersal to any patch that is greater than M distance away. Long distance distribution is equally as likely of originating in any previously occupied cell.

Evolutionary Origins

During a simulation, this model allows for an evolutionary tree to be built in four

different ways (Figure 5). In each of these tree types, the speciation rate (λ) and extinction rate

(μ) must be supplied and the tree is built over a length of time that is given by the length of the

simulation (T). Parameters λ and μ can vary over time, i.e., undergo rate shifts, but at any given

time t rate homogeneity is assumed across lineages, even though this has been shown to be

empirically unsound (Rabosky 2014). Since the model assumes phylogenetic niche

conservation (i.e., the transmission from ancestor to descendants of the biological and

physiological characteristics that determine the fundamental ecological niche of a species

(Hutchinson 1957), the probability of a speciation event occurring at time step t is only

determined by the speciation rate λ; this follows a Poisson distribution $pois(\lambda_\lambda)n_\lambda$, where $\lambda_\lambda \in$

$\mathbb{R}^{>0}$ and magnitude $n_\lambda \in \mathbb{R}^{>0}$. The species that undergoes speciation is selected after all

species in the simulation have dispersed for that time step t. Once a species has speciated, it is

removed from the simulation. The rate of extinction, μ, is drawn from a Poisson distribution

$pois(\lambda_\mu)n_\mu$, where $\lambda_\mu \in \mathbb{R}^{>0}$ and magnitude $n_\mu \in \mathbb{R}^{>0}$. In our model, the Poisson distribution

of μ is reshaped by the local patch dynamics occupied by the species (within patch dynamics),

which determines the local extinction rate. Ultimately, the accumulation of local extinctions in

different patches results in a species global extinction. In addition to these parameters, trees

418 type 2, 3 and 4 require supplying additional information: the speciation time intervals in the

419 case of tree type 2, information on the evolutionary relationships (i.e., the tree topology) for

420 tree type 3; and both the speciation time intervals and information on the phylogenetic

421 relationships for tree type 4. This information adds further constraints upon the building of the

422 tree.

423   Tree type 1 allows simulations to produce any topology and any number of leaves or

424 terminals. There is no cap on species number, and there are no constraints on evolutionary

425 relationships, as each species is equally likely to speciate when it is time to speciate. This tree

426 building method follows the non-equilibrium model assumed by standard birth-death dynamics

427 (Morlon 2014), defined only by μ and λ. It resembles the method for building evolutionary

428 trees in GSMs suggested by Gotelli et al. (2009).

429   Tree type 2 constrains the time interval in which speciation may occur (represented in

430 Figure 5 by a green band), but does not set any constraints on the tree topology, i.e. each species

431 is equally likely to speciate when it is time to speciate. This tree building method is similar to

432 the birth-death models that are conditional on a given initiation time (root age) and absolute

433 speciation times, but where the number of terminal species can vary across reconstructed trees

434 (Stadler 2011). Each time interval covers at least one speciation event (marked with ∗). In

435 Figure 5, for example, two of the speciation time intervals contain several possible speciation

436 events. This could be the case when speciation time intervals overlap or are so close that they

437 can be considered to be the same event. It is at the user's discretion to decide whether single or

438 multiple speciation events are allowed within a single time interval. A speciation event is not

439 required to occur, only that if it were to occur, it can only occur within the speciation time

440 intervals. Therefore, the absolute value for time to speciation (branch length) is only partially

441 predefined. For tree "b" only one speciation event has occurred, with only one of the

442 descendant lineages reaching the present day. This implies that speciation failed to occur for

443 each of the daughter lineages within a speciation time interval before either the descendant

444 became extinct or the simulation ended. Trees "a" and "c" have a similar number of speciation

445 events (9 and 8 respectively) and the same number of extant species in the tree; however, they

446 differ in their topologies (Figure 5).

447   Tree type 3 constrains the evolutionary relationships in the tree, i.e., the tree topology.

448 This means that speciation is not constrained to occur within predefined time intervals, but

449 when there is a speciation event, the species that undergoes speciation is predefined. As in tree

type 2, a species is not dictated to speciate; hence, the absolute value for time to speciation

(branch length) is not considered. However, should a species fail to speciate, then all its

possible daughters will not speciate either, i.e. a descendant speciation event cannot precede

that of its ancestor. In Figure 5, tree "b", due to lack of a successful speciation event, one

daughter lineage has failed to manifest before the end of the simulation (the missing lineage is

denoted by a dotted line). In theory, should tree "b" be given extra simulation time, it may

exhibit a tree topology matching that of tree a. In tree "c", daughters and granddaughter

lineages of one of the initial species have failed to speciate before the grandparent species

became extinct (missing lineage shown by dotted line), and hence, tree "c" can never fully

fulfil its predefined tree topology (Figure 5).

Tree type 4 has the combined constraints of tree types 1 to 3: rates μ and λ, the time

interval in which speciation is allowed to take place, and the tree topology. Thus, as in tree type

2, the absolute value for time to speciation (branch length) is predefined. Unlike tree type "3b",

tree type "4b" cannot be given extra time to follow its predefined tree topology (dotted line),

as there are no more speciation time intervals during which to speciate (Figure 5).

Having these different tree types is advantageous. If the user has more information than

just λ and μ, he can make a more informative simulation including lineage divergence times or

tree topology, and this allows for a more direct comparison with empirical or previously

simulated phylogenies. On the other hand, if the user has doubts or lacks estimations of μ and/or

λ, then, information about only the topology can be used to explore the μ and λ parameter space

to find a combination of these two rates that works. This is particularly interesting because

estimations of μ are in general considered to be problematic to obtain (Sanmartin and Meseguer

2016), while estimates of λ and speciation times are deemed reliable.

Figure 5. Evolutionary Origins. Evolutionary trees can be built in four different ways, which also represent constraints in the time and position of the speciation event. The figure presents three example trees that have been built under each of these four types for a maximum of $t = T$ time steps. *Tree type 1* allows simulations to produce any topology and any number of leaves or terminals. There is no cap on species number and there is no constraint in evolutionary relationships, as each species is as equally likely to speciate when it is time to speciate. *Tree type 2* constrains the time interval in which speciation may occur (here presented as a green band), but does not constrain the evolutionary relationships or tree topology, i.e. each species is equally likely to speciate at a given time. Each speciation time interval given covers at least one speciation opportunity (each marked with ∗). For tree "b", only one speciation event has occurred, with only one of the lineages reaching the present day. Trees "a" and "c" have a similar number of speciation events and the same number of extant, but differ in their tree topology. *Tree type 3* constrains the evolutionary relationships in the tree, i.e., the tree topology. This means that speciation is not constrained to occur within predefined time intervals, but when there is a speciation **Figure 5.** cont. event, the species that undergoes speciation is predefined. In tree "b", due to lack of a successful speciation event, one daughter lineage has failed to manifest before the end of the simulation (the missing lineage is denoted by the dotted line); if the tree continues evolving past the simulation time, it may have a tree topology matching that of tree a ($t = T +$ extra time). In tree "c", daughters and granddaughters of a species have failed to speciate before the grandparent species became extinct (missing lineage shown by dotted line), and hence tree c can never fully fulfil its predefined topology. *Tree type 4* has the combined constraints of tree types 1 to 3: rates μ and λ, the time interval in which speciation is allowed to take place, and the tree topology. Unlike tree type "3b", tree type "4b" cannot be

given extra time to follow its predefined tree topology (dotted line), as there are no more speciation time intervals during which to speciate. A more extended explanation is given in the text.

Extinction

Extinction (local, eventually resulting in global) and successful colonisation can be
important explanations for the absence of a clade from a given area. Although extinction needs
not directly involve dispersal, the absence of a clade from a region owing to extinction or
unsuccessful (re)colonisation indicates a limitation on dispersal (Wiens and Donohue 2004).
In our model, extinction and colonisation success following dispersal are not equiprobable
among grid cells; instead, they are dependent on the particular values the environmental
variables adopt in each cell.

Within and between patch dynamics

Within and between patch dynamics models the relationship between habitat suitability
and extinction rate (μ), and suitability and the probability of colonisation success ($\mathbb{P}(C)$)
(Figure 6). $\mathbb{P}(C)$ may undergo rate shifts through the simulation. It is known that the probability
of extinction is dependent on abiotic or biotic factors (i.e., passive replacement and active
displacement, Silvestro et al. 2015). This refers to the local extinction of individuals within
species; if all individuals of a species are removed, then a global extinction occurs and the
species can no longer speciate and leave behind daughter lineages. In our model, we combine
the abiotic suitability matrix (aS) with the biotic suitability matrix (bS) (Figure 6a) in order to
calculate the magnitude of the influence of the local environmental conditions in the patch (i, j)
at time step t over the Poisson distribution μ and $\mathbb{P}(C)$ are drawn from, separately; this allows
calculation of the local extinction rate and colonisation success (Figure 6b).

Figure 6. Demonstrating how local background extinction rate is calculated from values of cell values of abiotic and biotic values at time t. a. The model default to combine aS and $\overline{bS}$ together is linear $(1 - aS)\overline{bS}$, where $\overline{bS}$ = shaper function(bS) member $(0, 1]$ as there is always at least one species present in a patch that local background extinction is calculated for and $aS \neq 1$ as conditions can never be 100% perfect. The default magnitude is exponential and its gradient is controlled by $n \in \mathbb{R}^{>0}$. As $n \to 0$, the influence of the magnitude over the μ Poisson distribution becomes minimal to non existent, e.g. each patch has enough resources for all. a. shows the default magnitude with any n. Notice that as $aS \to 1$ and $bS \to 0$ then the magnitude $\to 1$ i.e. the μ Poisson distribution and colonisation success remain unchanged.

The default model to combine aS and $\overline{bS}$ together is linear $(1 - aS)\overline{bS}$, where $\overline{bS}$ =

shaper function(bS) member $(0, 1]$, as there is always at least one species present in a patch for

which local extinction is calculated, and $aS \neq 1$ as conditions can never be 100% perfect. The

default magnitude is exponential and its gradient is controlled by $n \in \mathbb{R}^{>0}$. As $n \to 0$, the

influence of the magnitude over the μ Poisson distribution becomes minimal to non-existent,

e.g. each patch has enough resources for all species. Figure 6a shows the default magnitude

with any n. As $aS \to 1$ and $bS \to 0$, the magnitude $\to 1$ i.e. the μ Poisson distribution and

colonisation success remain unchanged. Figure 6b presents the Poisson distribution for local

extinction μ at patch (i,j) at time t:

506
$$pois\left(local\ \lambda_{\mu\,(i,j,t)}\right) =\ pois\left(\lambda_{\mu}\right) n_{\mu}\ e^{\left(\left(1-aS_{(i,j,t)}\right)\,bS_{(i,j,t)}\right)^{n}}$$

507   The probability for local colonisation success at patch (i,j) at time t is:

508
$$\mathbb{P}\left(local\ C_{(i,j,t)}\right) =\ \mathbb{P}(C)\ e^{\left(\left(1-aS_{(i,j,t)}\right)\,bS_{(i,j,t)}\right)^{-n}}$$

The user can change all default models.

Division of parental territory

An Evolutionary Event occurs when Lineage Information tells the model that a

speciation event has occurred, once that species has dispersed (Figure 2). In our model, the

Evolutionary Event component of the model addresses the geographical mode of speciation by

assigning origin patches, chosen from the parent's territory, to the daughters (in Figure 7, these

are denoted in black, and with red and green dots, respectively). All patches that form the

parental territory are equally likely to be selected. After this, the parent's territory is divided

between the two daughter lineages. There are three ways a parental territory can be divided

into its daughters. Notice that only territory that is M distance away from the origin patch is

considered; any unreachable territory is disregarded, i.e. black patches lacking a green or red

dot in Figure 7.

a. Daughters originate from the same patch; both daughters will inherit the same

523   territory.

524   b. Daughters originate from different patches and do not have the possibility to

525   inherit the same territory. The patches lie M distance away from only one origin

526   patch. The territory is divided up without conflict.

527   c. Daughters originate from different patches but have the possibility to inherit the

528   same territory. In this case, the territory will be divided up, with patches M

distance away from both origin patches being assigned to the daughter that is

closer. If the daughters are the same distance away from the patch being

assigned, the conflict is resolved by pseudo randomly assigning it to a daughter.

Once a parent species has speciated, it is removed from the simulation. The three models of

fragmentation of the parental territory represent the sympatric, parapatric or peratric/allopatric

speciation modes (Gotelli et al. 2009).

Figure 7. Range inheritance scenarios: The figure shows the division of the parental territory between the daughters at the time of an evolutionary event. The parent's territory is denoted in black, with each daughter denoted as a red or green dot. There are three ways in which parental territory can be assigned to the daughters. a. Daughters originate from the same cell. Both daughters will inherit the same territory. b. The cells lie M distance away from only one origin patch. The territory is divided up without conflict. c. Daughters originate from different cells but have the possibility to inherit the same territory. In this case the territory will be divided up, with patches *M* distance

away from both origin cells being assigned to the daughter that is closer. If the daughters are the same distance away from the cell being assigned, the conflict is resolved by pseudo randomly assigning it to a daughter.

CONCLUSIONS AND SOME SUGGESTIONS FOR TESTING THE MODEL

Rangel et al. (2007) evaluated the robustness of their GSM model in terms of its ability to predict long-discussed tenets in biogeography, such as the latitudinal diversity gradient or the mid-domain effect based on the distribution of 3000 species of South American birds. Predicted spatial patterns closely resemble observed ones and proved sensitive to niche dynamics processes. This allowed them to evaluate the roles of both evolutionary and ecological processes in explaining spatial patterns in species richness and geographic range size distribution, thus providing a "link between ecology and historical biogeography under integrated theoretical and methodological frameworks". Their GSM model was novel in using (artificially) fluctuating environmental conditions and a single ancestral origin for the species generated in the model, thus providing a link between speciation events.

In our general simulation model, we provide a link between speciation events in different way by instead of focusing on species richness patterns or the predicted spatial distribution of species (a pattern-oriented modelling approach (Grimm et al. 1996, 2005), we propose to test our model by explicitly introducing the evolutionary component in the form of a phylogeny and associated lineage divergence times. We select parameter values for the final simulation based on maximising the similarity between observed and simulated spatial patterns, specifically: i. the geographic distribution of extant species; and ii. the resultant phylogenetic pattern from the simulations. Accounting for phylogenetic relationships and a time dimension in comparing the simulated and the real world is actually important to increase the realism of the model and its power to detect system-level properties (Gotelli et al. 2009). The discipline of biogeography has both spatial and temporal dimensions, and the level of niche conservatism can change over the history of a lineage (Sanmartín 2014). The shape and length of a phylogeny can also be informative about the actual processes involved in their generation in the first place: for example, "broom-and-handle shape" phylogenies are typically associated with high background extinction rates or mass extinction events (Sanmartín and Meseguer 2016). Hence, introducing phylogenetic shape and time in the simulation outcomes might help

us test the role played by background extinction and high extinction rates in the formation of
both spatial patterns and evolutionary patterns.

Other differences between our model and Rangel et al. (2007) lies in the modelling of
extinction and the introduction of actual empirical data in the model. In their GSM model,
speciation is caused by fragmentation and isolation, while extinction is linked to reductions in
range size up to a certain threshold. In our model, speciation is brought about via a speciation
rate, and extinction by an extinction rate that is dependent on abiotic (palaeoenvironmental
(climate)) and biotic (density-dependence) factors, thus helping introduce a basic species
competition to the model. Also in our GSM, the speciation event can be further informed with
speciation time intervals and the empirical tree cladogram. This allows for a more direct
comparison of the GSM results to an empirical example, but also interestingly, this lends to an
opportunity to explore the speciation and extinction rate space to find suitable pairings of
speciation and extinction rates for phylogenies that are unsuitable for traditional birth-death
model. Rather than using a cyclical environmentally fluctuating landscape as in Rangel and
collaborators, we use a time series of palaeoclimatic layers spanning the last 20 million years.
Using empirical data, our understanding of the evolutionary relationships and biogeographic
history of real organisms (Gotelli et al. 2009) can help better refine and constrain the range of
parameter values examined during the simulations. This might be especially important when
we do not have a large phylogenetic and distribution dataset as input.

One nice example of such a case comes from a biogeographic pattern of plant
distributions in Africa tackled by our team. The Rand Flora (RF) pattern is a continental-scale
geographic disjunction in which sister species are distributed on opposite sides of the African
continent: in Macaronesia-northwestern Africa, Eastern Africa-southern Arabia and southern
Africa (Sanmartín et al. 2010). Dated phylogenies and biogeographic analyses in some of these
groups suggest that most RF disjunctions date from the Early Miocene to the Mid Pliocene
periods, concurrent with an aridification trend in Africa, a result of plate tectonics and mountain
building (Pokorny et al. 2015). Species populations are often small in size and have highly
restricted distributions. Recent ENM reconstructions (Mairal et al. 2017b) suggest that RF-
lineage distributions were broader in the past and that range expansion was achieved by
climatic corridors, which were later interrupted by successive aridity waves. One difficulty
with inferring the origin of the RF biogeographic pattern is that most RF phylogenies comprise
of less than 5-10 species and often exhibit "broom-and-handle" shapes, with long stem-
branches and young crown clades, indicative of high extinction rates (Sanmartín and Meseguer

2016). The long-time intervals without cladogenetic events along the phylogeny hinders the

use of birth-death macroevolutionary and biogeographic inferential models. Culshaw et al.

(2021a, 2021b) handled this uncertainty by adopting an integrative inferential approach,

combining information from biogeographic analyses and ENMs to disentangle the processes

behind the RF pattern in the small (only three species) but highly disjuct (continental

distribution) genus *Camptoloma* (Scrophulariaceae). As in many RF lineages, information on

species and population distributions is scarce.

The spatially-explicit forward time computational simulation model could be also

another avenue to tackle the RF pattern. For example, one could use our GSM model and

paleoclimate data from Africa (as done in Culshaw et al. 2021a, 2021b, and Mairal et al. (2017)

to try and recreate the Rand Flora distribution pattern (continental disjunction between the

margins of Africa) and the evolutionary phylogenetic shapes characterising RF lineages

(ancient stem-age, young crown-group and long internodes). One advantage of using the GSM

approach is that we can let estimates of diversification and background extinction rates

obtained from the birth-death framework (Pokorny et al. 2015) to inform or constrain the range

of values adopted by speciation and extinction rates in the model), thus increasing the realism

of the model in relation to the examined pattern, but also helping to reduce the uncertainty in

the comparison between observed and simulated patterns. One strong assumption of our model

is that we assume niche conservatism over the entire simulation. Species expand their range

occupying adjacent cells with similar conditions. Rangel et al. (2007)'s GSM allowed niche

evolution, by modelling random small deviations, from the niche breadth centre using a

Brownian model (sigma). Assuming niche conservatism is risky for long geological time

scales. For the Rand Flora, this is not such a crucial impediment because biogeographic

inferences seem to point out to a conservation of ancestral tolerances and fragmentation being

responsible for the RF distribution (Rincón-Barrado et al. 2021; Culshaw et al. 2021a, 2021b.);

it is also a more conservative approach in the absence of information. Moreover, Rangel et al.

(2007)'s model, initial tolerance to climatic conditions is determined by the initial location of

the first simulated species (i.e., climatic conditions of the starting cell). In our model, this would

be given by the actual ENM models based on empirical data and by the real palaeoclimate

layers for our specific biogeographic domain (Africa), thus again reducing uncertainty in the

model.

In sum, we argue that the computational general simulation model presented here could

be an interesting alternative to explore hypotheses on the spatial patterns and processes

underlying general distribution biodiversity patterns, especially when the initial input data is
small, thereby introduces large uncertainties in the model.

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