Title: Asymmetric neural entrainment at resonance frequencies underlies unilateral spatial neglect

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Abstract

Unilateral spatial neglect (USN) is a common consequence of right-hemisphere stroke, traditionally

attributed to structural lesions and dysfunctional attention networks. However, the brain is fundamentally a

rhythmic and dynamical system, and how disrupted neural synchronization underlies USN remains

unknown. We recorded steady-state visual evoked potentials (SSVEPs; 3 - 30 Hz flicker) in stroke patients

with USN, without USN, and healthy controls. Only the USN group exhibited significant hemispheric

asymmetry at 9 Hz, driven by exaggerated responses in the intact hemisphere rather than suppression in the

lesioned hemisphere. This effect appeared only during stimulation, not at rest, indicating its specificity to

sensory processing. The enhanced 9 Hz entrainment in the intact hemisphere was accompanied by

increased phase-amplitude coupling (PAC) between alpha phase and gamma amplitude, reflecting

systematic coordination of high-frequency activity. Transfer entropy analysis further revealed increased

feedforward information flow from the right visual to the left frontal cortex, highlighting large-scale

asymmetry. To explore the mechanism underlying this frequency-specific bias, we implemented a coupled-

oscillator model. The model showed that the hemispheric asymmetry arises from resonance between

intrinsic alpha rhythms and external input, amplified by asymmetric right-to-left interhemispheric coupling.

These findings suggest that USN arises from a selective impairment of alpha-band synchrony capacity.

This study offers a novel framework conceptualizing USN as a disorder of disrupted oscillatory dynamics

underlying spatial attention, and points toward frequency-specific neuromodulatory intervention as a

potential therapeutic approach.

Significant statement

The brain behaves as a metronome-like oscillator ensemble. When a rhythmic flicker—a cortical "tuning

fork" matching the visual system's intrinsic alpha frequency—is applied, it serves as a probe that

visualizes the brain's internal state. Under normal conditions, neuronal oscillations in both hemispheres are

moderately entrained at this frequency. In unilateral spatial neglect (USN), this resonance displays a

distinctive asymmetry: the intact hemisphere becomes over-amplified, whereas the lesioned hemisphere

shows no enhancement. A coupled-oscillator model shows that asymmetric interhemispheric coupling

selectively boosts this intrinsic alpha resonance unilaterally. This biased resonance reflects a

destabilization of dynamic coordination of attention networks, offering a novel mechanistic view of spatial

neglect in USN and pointing toward frequency-specific neuromodulation as a promising therapeutic

strategy.

Introduction

Left unilateral spatial neglect (USN) is a neurological disorder in which patients fail to perceive stimuli on the left side of space despite an intact visual field. This condition is associated with damage to the right dorsal attention network, particularly the right inferior parietal lobule [1, 2], and the superior temporal gyrus [3, 4], as well as disconnection in occipital and frontal white matter pathways [5]. The dorsal attention network [6], which includes the frontal eye fields and bilateral parietal sulci, encodes spatial maps of the contralateral visual field in each hemisphere. These maps are typically balanced through reciprocal inhibitory interactions[7]. Disruption of this network leads to hyperactivity of the structurally intact left hemispheric circuits, which influences bottom-up sensory responses and causes a rightward bias in perception [1, 8].

Traditionally, USN has been understood in terms of structural or static disconnection. However, the brain is fundamentally a dynamical and frequency-organized system, and neural oscillations, generated by the synchronized activity of neuronal populations, are a key mechanism for temporally coordinating information flow across brain regions. Indeed, neural oscillations flexibly regulate sensory and attentional processing by dynamically adjusting intrinsic and stimulus-driven phase relationships [9-14]. From this perspective, USN may not only involve anatomical disconnection but also an imbalance in the hemispheric capacity for oscillatory synchronization. Yet, despite the critical role of rhythmic synchronization in brain function, such frequency-specific asymmetries have not been systematically investigated in USN.

To address this, we employed steady-state evoked responses (SSERs) to visual stimuli as a probe to visualize the brain's frequency-dependent synchronization capacity. SSERs are elicited by rhythmic sensory stimulation and reflect neural entrainment—the periodic alignment of endogenous oscillations to external input [15]. This response is strongest when the stimulus frequency matches the system's intrinsic resonance frequency [16, 17]. Moreover, prior studies have shown frequency-specific reductions in SSERs, both visual and auditory, in individuals with schizophrenia [18, 19] and autism [20, 21]. Consequently, SSER abnormalities may reflect impairments in underlying oscillatory mechanisms.

In this study, we presented 3–30 Hz flickering stimuli and recorded steady-state visual evoked potentials (SSVEPs) in stroke patients with and without USN, as well as in healthy controls. None of the patients exhibited clinically evident hemianopsia, and early-stage visual responses in the lesioned hemisphere were

not expected to be significantly impaired. These factors minimized the possibility that observed differences

could stem from low-level visual deficits. We hypothesized that frequency-specific entrainment of neural

oscillations would be selectively disrupted in one hemisphere, resulting in an interhemispheric imbalance

in USN. Furthermore, motivated by the empirical asymmetry, we developed a computational model of

coupled neural oscillators [22, 23] to test which factors could account for the emergence of frequency-

specific differences in oscillatory responses.

Materials and Methods

Participants

The study consisted of three groups: USN patients (n = 21), stroke patients without USN (n = 38), and

healthy control participants (n = 17). We recruited patients who had been admitted to the Kaifukuki

(convalescent) Rehabilitation Ward of Morinomiya Hospital. The inclusion criteria for stroke patients

were: (1) male, (2) first-episode stroke, and (3) right hemisphere cortical/subcortical infarction

(representative lesions shown in Supplementary Figure 1), without clinically evident hemianopsia

evaluated by the confrontation method. While lesions partially extended to the posterior region in two

patients, they exhibited preserved neurophysiological responses to light stimulation, and were therefore

included in the analysis. For healthy participants, the inclusion criteria were: (1) male to match the patient

group, (2) no history of stroke, and (3) full scores on all neuropsychological assessments. All participants

provided written informed consent. The study was approved by the Institutional Review Boards of RIKEN,

the National Institutes of Natural Sciences, and Morinomiya Hospital.

Clinical assessment of stroke

Electroencephalography (EEG) measurements and clinical assessments were performed by trained

investigators approximately 1 month after stroke onset. The diagnosis of USN was comprehensively

determined by the attending physician based on regular clinical examinations, including the Behavioural

Inattention Test (BIT) [24]. Motor impairment was assessed using the Fugl-Meyer Assessment upper

extremity (FMA-UE) and lower extremity (FMA-LE) [25], and activities of daily living were assessed

using the Functional Independence Measure motor (FIM-M) and cognitive (FIM-C) scores [26]. All

clinical assessments were performed by trained healthcare professionals before EEG analysis, so the

evaluators were blind to the EEG analysis results. For all scales, a lower score indicates greater disability.

To match the severity of the USN and non-USN groups, we selected 21 non-USN patients in ascending

order of the Mahalanobis square distance, d_i^2, to the USN patient within a four-dimensional space of the

clinical score:

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$$d_i = \sqrt{(\boldsymbol{y}_i - \boldsymbol{\mu}) \Sigma^{-1} (\boldsymbol{y}_i - \boldsymbol{\mu})^T},$$

where $\boldsymbol{y}_i$ is the i-th non-USN patient's clinical scores and $\boldsymbol{\mu}$ and Σ are the mean and covariance matrix of

the USN samples, respectively. The demographic information and clinical scores of each group are listed

in Table 1. An unpaired t-test confirmed that there were no significant differences between the groups in

any of the scores.

Experimental Design

EEG was recorded from 19 Ag/AgCl electrodes in the 10-20 system configuration using a NeuroFax EEG

1224 system (Nihon Kohden, Tokyo, Japan; sampling rate: 500 Hz; bandpass filter: 0.53–120 Hz; ground

electrode: forehead; reference: left earlobe). Visual stimulation was delivered under an eyes-closed resting

condition to minimize blink artifacts and reduce direct exposure to the high-intensity strobe light. A strobe

light flickered at frequencies ranging from 3 to 30 Hz in 3-Hz steps. Each frequency flicker stimulus was

presented for 10 s with a 10-s interval (Figure 1).

Preprocessing

The EEG signals were first re-referenced offline to the average of the right and left earlobe signals. We

applied wavelet-enhanced independent component analysis (wICA) to eliminate intermittent high-

amplitude artifacts [27]. This method decomposes the EEG signals into independent components (ICs),

and a discrete wavelet transform is applied to each IC. Wavelet coefficients defined as artifacts based on

the level-dependent threshold of the wavelet decomposition are zeroed, and artifact-free ICs are extracted

from the inverse wavelet transform on this result. Thus, the entire IC, which contains a significant amount

of neural signals, need not be excluded, as in the case of conventional ICA denoising.

Steady-state visual evoked potential (SSVEP)

To evaluate the neural response during the flickering stimulation, the frequency power spectrum for each

stimulus condition was obtained using the Fourier transform (Hanning window, frequency range: 1–35

Hz). The Fourier transform was applied to the data with a 1-s window during the 10-s flicker interval and

averaged for each stimulus frequency condition.

Phase-amplitude coupling (PAC)

We evaluated PAC using the modulation index (MI) [28] to determine how high-frequency oscillations are

co-modulated by a low-frequency phase when neural oscillations are entrained to rhythmic external stimuli.

Instantaneous phase and amplitude were extracted via wavelet transform at the center frequency, f, and

time, t, with the standard deviation (SD), $\sigma_f = 4f/m$, $\sigma_t = m/2\pi f$, and constant, m, set to 4 [29]. We focused

on the PAC between the alpha (6–12 Hz) phase and the gamma (30–55 Hz) amplitude for 10 s during the

9-Hz flickering stimulus.

To derive the MI for each frequency pair of α phase and γ amplitude, the given α frequency phase was

divided into 20 bins, and the mean γ amplitude in each bin was calculated. This provided a phase-

amplitude distribution for each frequency pair, and the MI was obtained according to the Kullback-Leibler

divergence between the observed distribution and the circular uniform distribution. An MI of 0 indicates

that the amplitudes are the same for all phase bins (i.e., a circular uniform distribution) and that there is

zero PAC, whereas an MI of 1 indicates maximum coupling.

Transfer entropy (TE)

To quantitatively investigate the direction and strength of information transfer between brain regions in

response to flickering stimuli, we performed TE analysis [30]. After applying an 8.5–9.5 Hz FIR bandpass

filter to the EEG responses to 9-Hz flickering stimuli, we extracted the instantaneous amplitude from the

analytic signal using the Hilbert transform. TE was calculated for all pairs of electrodes for these amplitude

data during the flickering stimulus interval. To estimate the probability distributions required for TE

calculation, we generated histograms for the amplitude data, with bin sizes according to Sturges' rule [31].

$T_{Y \to X}$ quantifies the extent to which knowledge of the state of system Y at time t contributes to predicting

the one-step future state of system X at time $t+\tau$, beyond the predictability provided by the state of X at

time t alone. The formula for TE is given by:

$$T_{Y \to X(\tau)} = \sum p(x_{t+\tau}, x_t, y_t) \log_2 \frac{p(x_{t+\tau}|x_t, y_t)}{p(x_{t+\tau}|x_t)},$$

where $p(x_{t+\tau}, x_t, y_t)$ denotes the joint probability of observing the future state of X at time $t+\tau$ along with

the states of X and Y at time t. Here, x and y represent the observed data derived from the systems X and Y,

respectively. The terms $p(x_{t+\tau}|x_t, y_t)$ and $p(x_{t+\tau}|x_t)$ denote the conditional probabilities of the one-step

future state of X, given its state at time t with and without considering the state of Y at time t.

In this analysis, amplitude data from the first 0.5 s of the flickering stimulus interval were used as past

observations, x_t, y_t, and amplitude data from one sample ahead in time were used as future observations,

$x_{t+\tau}$. TE was calculated by varying the delay, τ, from 0 to 5 s in 0.1-s steps. The optimal delay τ was

determined as the value that maximized $T(\tau)$.

Statistical analysis

To statistically test for differences in SSVEP between the left and right hemispheres, we used a linear

mixed model with hemisphere (left and right), flicker frequency (3, 6, 9, 12, 15, 18, 21, 24, 27, and 30 Hz),

and group (USN patients, non-USN patients, and healthy controls) as fixed factors and subjects as random

factors, with the exclusion of outliers 1.5 times over the interquartile range.

To statistically test for differences in PAC between the left and right hemispheres, we performed a

cluster-based permutation test [32]. The MI was calculated for each permutation dataset generated by

random reassignment of O1 and O2 electrode labels. For each permutation, clusters were identified from

adjacent data points showing statistically significant hemispheric differences in MI, and the sum of the *t*-

values within these clusters was determined as a cluster-level statistic. Only the largest value of the cluster-

level statistic obtained for each permutation was selected, and this procedure was repeated 1000 times to

construct the distribution of the cluster-level statistic under the null hypothesis. A cluster of hemispheric

differences in the original data was considered statistically significant if it exceeded the 95th percentile of

this distribution.

The coupled phase oscillator model

To investigate potential mechanisms underlying our experimental findings, we employed the Kuramoto

model [22] as a theoretical framework for neural synchronization. The model consists of bilateral coupled

phase oscillators and an external periodic force [23]. Two coupled populations of oscillators ($N = 50$ each)

are represented by phase vectors $\Phi_L = (\square_{L,1}, ..., \square_{L,n})$ and $\Phi_R = (\square_{R,1}, ..., \square_{R,n})$ for the left and right

hemispheres, respectively. The dynamics of each oscillator are given by:

$$\frac{\mathrm{d}\phi_{h,i}}{\mathrm{d}t} = \omega_{h,i} + H_{\text{intra}} + H_{\text{inter}} + F(t)$$

197   The natural frequencies $\omega_{h,i}$ follow a Gaussian distribution with a mean of 9 Hz and a standard deviation of

198   2 Hz. In one configuration, we set the mean natural frequency of the right hemisphere to 7 Hz to examine

199   the effect of altered intrinsic frequency in the affected hemisphere. The intrahemispheric coupling H_{intra}

200   and interhemispheric coupling H_{inter} terms are defined as:

$$H_{\text{intra}} = \frac{K_{intra}}{N} \sum_j \sin\left(\phi_j - \phi_i\right),$$

$$H_{\text{inter}} = \begin{cases} \dfrac{K_{R \to L}}{N} \sum_j \sin\left(\phi_{R,j} - \phi_{L,i}\right), \text{ for the left hemisphere,} \\ \dfrac{K_{L \to R}}{N} \sum_j \sin\left(\phi_{L,j} - \phi_{R,i}\right), \text{ for the right hemisphere,} \end{cases}$$

202   where $\square_{,i}$ represents the phase of the *i*-th oscillator in left and right hemispheres. K_{intra} represents

203   intrahemispheric coupling strength, and $K_{R \to L}$ and $K_{L \to R}$ represent interhemispheric coupling strengths from

204   right to left and left to right hemispheres, respectively. The external forcing term is given by:

$$F(t) = b \sin\left(2\pi\omega_f t - \phi_{h,i}\right),$$

205   where amplitude and frequency of the external periodic force are set to $b = 2$ and $\omega_f \in \{3, 6, ..., 30\}$ Hz.

206   To quantify the degree of synchronization, we computed the instantaneous order parameter within each

207   hemisphere:

$$\rho_h(t) = \left| \frac{1}{N} \sum_j^N e^{i\phi_{h,j}(t)} \right|$$

We performed 21 independent simulations, each corresponding to a virtual subject with different initial

phases randomly drawn from a uniform distribution [0, 2pi] and natural frequencies sampled from

Gaussian distributions. The order parameter was averaged over 10 seconds with continuous stimulation.

The numerical simulation used the 4th-5th-order Runge-Kutta method with adaptive time steps, as

implemented by MATLAB's ode45 function.

Results

SSVEP power in the affected hemisphere

To confirm the visual cortex of the affected (right) hemisphere could respond to visual stimuli, we plotted

SSVEP power at the O2 electrode as a function of the flicker and response frequencies (Figure 2). When

compared with the power spectrum of the baseline period, a clear fundamental can be seen on the diagonal

(black dashed line), which indicates that the visual cortex of the affected hemisphere was precisely

enhanced at the flicker frequency.

Hemispheric imbalance of the SSVEP

The SSVEP power of the affected (right) hemisphere (O2 electrode) was compared with that of the intact

(left) hemisphere (O1 electrode) to investigate hemispheric balance in frequency responses. We found a

significant hemisphere × flicker frequency × group interaction ($F = 2.09$, $p < 0.005$). To further investigate

this interaction, a simple main effects test was conducted for hemisphere differences at each frequency

within each group, with a Bonferroni correction applied for multiple comparisons. Within the USN group,

SSVEP power of the intact hemisphere was significantly greater than that of the affected hemisphere at 9

Hz ($F = 62.36$, $p < 0.001$). No significant hemisphere differences were observed at other frequencies

within the USN group. In the non-USN and healthy control groups, there were no significant hemisphere

differences at any frequency after Bonferroni correction. Figure 3 shows the hemispheric difference in

SSVEP power (O1 - O2) corresponding to stimulation frequency. This suggests that frequency-specific

hemispheric bias is the factor that separates USN from non-USN patients.

We applied the same analysis for the central (C3, C4) and frontal (F3, F4) electrodes to verify the

frequency response of hemispheric bias outside the visual area. Figure 4 shows the difference in SSVEPs

between C3 and C4 electrodes (Figure 4A) and between F3 and F4 electrodes (Figure 4B) at each

frequency. We found no significant main effects for hemispheres or interactions in either the central or

frontal regions.

Hyperactivity of the intact hemisphere

To determine whether the hemispheric imbalance of SSVEP power confined to 9 Hz in the USN group was

attributed to the intact (left) or affected (right) hemisphere, we compared SSVEP power at 9 Hz in the

corresponding hemisphere in the healthy controls (Figure 5A). A simple main effects test showed a

significant effect of group on SSVEP power in the left hemisphere ($F = 6.66$, $p < 0.005$) and in the right

hemisphere ($F = 10.58$, $p < 0.001$). Post-hoc comparisons with Bonferroni correction indicated that in the

left hemisphere, both the USN and the non-USN group had significantly higher SSVEP power than the

healthy control group ($p < 0.005$ and $p < 0.05$, respectively). In the right hemisphere, the non-USN group

showed significantly higher SSVEP power than the healthy control group ($p < 0.005$), while the USN

group did not differ significantly from the healthy controls. We also examined the resting state interval

(i.e., before the photo flicker session) and found no significant differences in either the USN or non-USN

group (Figure 5B). Taken together, there was no major abnormality in the spontaneous generation of alpha

oscillations in the USN group; rather, it was the capacity to synchronize these oscillations with other

external oscillations that differed between the hemispheres in the USN group.

Hemispheric imbalance of PAC

We investigated PAC given that higher frequency oscillations may be cooperatively modulated when

neural oscillations are entrained by rhythmic external stimuli. PAC was assessed using the MI for 9-Hz

flickering stimuli (Figure 6). We hypothesized that the intact left hemisphere, which showed enhanced

SSVEP, would exhibit stronger PAC. Thus, we performed a cluster-based permutation test to examine

hemispheric differences. Results showed a significant hemispheric bias in PAC between the alpha (7–12

Hz) phase and gamma (35–45 Hz) amplitude in the USN group. Specifically, the MI was higher in the

intact hemisphere than in the affected hemisphere ($p < 0.05$, one-tailed) peaking at the 9-Hz phase. These

effects were not observed in the non-USN or healthy control group. This suggests that gamma activity is

modulated by the alpha phase aligned to the 9-Hz flicker stimulation and that this modulation is

particularly enhanced in the intact hemisphere of the USN group.

Hemispheric imbalance of TE

In USN and non-USN patients with right hemisphere damage, we aimed to clarify how information is

transferred from the responsive visual cortex to other brain regions. Here, we used TE to examine the

pattern of information transfer from the O1 and O2 electrodes to the left and right frontal electrodes

(Figure 7). Results showed that in the USN group, there was significantly greater information flow from

the right visual area (O2 electrode) than from the left visual area (O1 electrode) to the intact left frontal

areas ($t = -2.7$, $p < 0.05$, paired t-test). This enhancement was significantly greater than that in the healthy

control group ($t = -2.89$, $p < 0.01$, unpaired t-test; Figure 7A, left panel). This indicates a hemispheric bias

in information transfer in the feedforward direction in USN patients. In contrast, no such bias was observed

in non-USN patients ($t = -0.47$, $p = 0.64$, paired t-test) or healthy controls ($t = 1.42$, $p = 0.174$, paired t-

test). There were no significant differences in information flow from the O1/O2 electrodes to the affected

right frontal areas (Figure 7A, right panel) or in the feedback direction (i.e., from the left/right frontal areas

to the O1/O2 electrodes) in any group (Figure 7B). These findings suggest that the distinct clinical

symptoms of USN are not solely due to biased local brain activity but are closely related to asymmetric

information transfer, especially in the feedforward direction.

Correlation between SSVEP power and USN severity

To investigate the relationship between neural synchronization capacity and symptom severity in USN

patients, we performed Pearson's correlation analysis between SSVEP power and BIT scores. Results

showed a significant positive correlation between SSVEP power at 9 Hz in the right hemisphere (O2

electrode) and BIT score (Pearson's $r = 0.70$, $p < 0.01$). That is, the higher the SSVEP power at 9 Hz in the

right hemisphere, the milder the USN symptoms. In contrast, there was no significant correlation in the left

hemisphere (O1 electrode; Pearson's $r = 0.38$, $p = 0.18$; Figure 8A). Additionally, to examine the

frequency-specific nature of the relationship between SSVEP power and USN severity, we analyzed

correlations across all stimulation frequencies. Significant correlations between SSVEP power and BIT

score were observed only at 9 and 12 Hz in the right hemisphere (Pearson's $r = 0.70$, $p < 0.01$, for both

frequencies, FDR-corrected; Figure 8B). No significant correlations were found at any frequency in the left

hemisphere (O1 electrode). Our results showed that USN patients maintained 9 Hz SSVEP power in the

right hemisphere comparable to healthy controls. However, within the USN group, this right hemisphere

activity significantly correlated with symptom severity, with higher SSVEP power associated with milder

USN symptoms.

Hemispheric Imbalance in Model Simulations

To explain the observed hemispheric imbalance in USN patients (Figure 3 middle), we explored three

potential mechanisms using a computational model. From a base configuration ($\omega_L = \omega_R = 9 \pm 2$ Hz, $K_L =$

$K_R = K_{L \to R} = K_{R \to L} = 0.2$), we modified specific parameters. In the first configuration, we introduced

asymmetric interhemispheric coupling ($K_{R \to L} = 0.8$). This configuration most closely reproduced our

experimental findings, showing a significant hemispheric bias specifically at 9 Hz ($p < 0.05$, FDR

corrected), while maintaining balanced responses at other frequencies (Figure 9A). In the second

configuration, we increased intrahemispheric coupling strength in the intact hemisphere ($K_L = 0.8$) while

maintaining the baseline coupling in the affected hemisphere ($K_R = 0.2$), based on our experimental

observation of enhanced activity specifically in the intact hemisphere. This resulted in a persistent left

hemispheric bias across frequencies, with a particularly pronounced effect at 9 Hz (Figure 9B). In the third

configuration, we set different natural frequencies by lowering the right hemisphere ($\omega_R = 7$ Hz). This

configuration showed a significant right hemispheric bias at 6 Hz, close to the natural frequency of the

right hemisphere, and a left hemispheric bias at 9 Hz (Figure 9C). Notably, when we removed the external

periodic force in the first configuration, no hemispheric bias was observed (see red bar in Figure 9 A),

indicating that the asymmetric coupling manifests its effects only through interaction with external

stimulation.

Discussion

Our experiments revealed that while SSVEPs can be reliably elicited by flickering stimuli at various

frequencies, only the USN group exhibited a significant hemispheric difference in SSVEP at 9 Hz. The

computational model demonstrated that this hemispheric bias could arise from both the resonance between

intrinsic and stimulus frequencies and stronger right-to-left interhemispheric coupling. In contrast to the

healthy control group, this difference was attributed to an increase in activity within the intact hemisphere

rather than a decrease in the affected hemisphere. The enhanced response in the intact hemisphere was

accompanied by increased phase-amplitude coupling between alpha and gamma oscillations, suggesting

that the entrained alpha oscillations systematically modulated local high-frequency neural activity.

Furthermore, the increased information flow from the right visual cortex to the left frontal cortex revealed

by TE analysis is consistent with the model predictions, and these results indicate an imbalance in

information flow between hemispheres in addition to changes in local activity.

Alpha frequency-specific hemispheric bias and entrainment in USN patients

We observed hemispheric bias in entrainment to flickering stimuli in USN patients in the alpha band,

which corresponds to the natural frequency of the visual system [17, 33]. The entrainment of the

oscillatory system to an external stimulus is caused by the periodic phase shift of neural oscillations to the

delivered pulse [34]. At the EEG level, this is expressed as an increase in amplitude at the stimulus

frequency as multiple oscillators begin to cycle in synchrony [35].

In general, entrainment is particularly effective when the stimulation period closely matches the

oscillator's natural frequency [16]. This principle also applies to neural oscillations: rhythmic stimulation

at frequencies that resonate with physiological rhythms influences cognitive and neural function by

synchronizing task-related oscillations [36-38]. Our previous research demonstrated that entrainment is

most effective when the stimulation frequency closely matches the individual alpha frequency [17]. We

also observed that different brain regions have distinct natural frequencies, with entrainment being most

pronounced in the alpha band in the visual cortex. Therefore, the observed bias in the 9-Hz frequency-

specific SSVEP in USN patients does not merely reflect a hemispheric difference in evoked visual

responses to rhythmic external stimuli but, rather, a bias in the synchronous function of intrinsic neural

oscillators in the visual area at a specific frequency band (i.e., the alpha band).

Our computational model provides a theoretical framework for understanding these hemispheric

differences. Among the three potential mechanisms explored, the model with asymmetric interhemispheric

coupling ($K_{R \to L} > K_{L \to R}$) best reproduced the frequency-specific hemispheric bias observed in our

experiments. While the coupling parameter K in our phase oscillator model simply determines the strength

of phase synchronization between hemispheres, this asymmetry might reflect the underlying imbalance in

interhemispheric inhibition. Indeed, paired-pulse TMS studies have directly demonstrated enhanced

inhibitory influence from the intact to the affected hemisphere in stroke patients [39]. This

interhemispheric imbalance has been further supported by evidence of altered functional connectivity and

hyperexcitability in the intact hemisphere [7, 40].

In this model, both hemispheres with a natural frequency of 9 Hz resonate with stimulation at the same

frequency, but due to the stronger right-to-left coupling, the resonant response in the right hemisphere

more strongly drives oscillations in the left hemisphere. This suggests that the hemispheric bias observed

in USN patients is driven by asymmetric interhemispheric coupling of neural networks underlying alpha

oscillations. This model prediction also aligns with our experimental observation of enhanced transfer

entropy from the right visual cortex to the left frontal areas in USN patients.

Furthermore, it demonstrates that while the system maintains balanced activity between hemispheres in

the absence of external stimulation, the underlying asymmetry becomes apparent with external input. This

explains why spontaneous alpha oscillations remain normal despite the emergence of a specific bias in

response to 9 Hz stimulation, providing a theoretical basis for why USN symptoms become more

pronounced during sensory processing and attentional demands.

Local hemispheric bias of entrainment and attentional dysfunction in USN patients

Hyperactivity of the SSVEP and PAC in the left visual cortex in stroke patients may result from a lack of

hemispheric reciprocal inhibition [39]. This scenario is consistent with the concept that in USN, decreased

inhibition from the affected right hemisphere causes overactivity of the visual cortex within the intact left

hemisphere, which results in a left hemisphere bias in visual processing [40, 41]. However, the

hemispheric bias observed in the present study was frequency-specific and was not observed in non-USN

patients (who also had right hemisphere damage), suggesting that it may reflect not only the overactivity of

the visual cortex but also alterations in the neural networks' capacity to synchronize with external stimuli at

specific frequencies. The entrainment of neural oscillations to external stimuli is achieved via consistent

phase alignment, which, in turn, systematically influences the timing of the higher frequency components

of neural activity [42]. This is evidenced by our observation of enhanced PAC between alpha phase and

gamma amplitude in the intact hemisphere of USN patients, suggesting a systematic modulation of high-

frequency activity by the entrained alpha oscillations.

This phase coordination is influenced by both external factors and internal processes, such as attention in

anticipatory contexts. Attention adjusts the temporal sequence of excitatory and inhibitory phases in neural

oscillations, thereby influencing perception [12, 13, 43, 44]. Given the importance of alpha-band

oscillations in attentional processes [45], the hemispheric bias in oscillatory synchrony observed in USN

patients may reflect critical abnormalities in cortical excitability coordination. Therefore, the hemispheric

bias in synchronization capacity at frequencies relevant to attention likely disrupts the coordinated

oscillatory activity necessary for balanced spatial attention processing. This may contribute to the

attentional deficits observed in patients with USN.

Biased information transfer in USN patients

Locally, the USN group showed a higher SSVEP and greater PAC in the left visual cortex, whereas TE

from the right visual cortex to the left frontal cortex was higher than TE from the left visual cortex to the

left frontal cortex. Given that right frontal damage is one of the common causes of USN, this difference

may be an adaptive neural response to maintain hemispheric communication and compensate for

attentional dysfunction. Specifically, input from the right visual cortex may bypass the affected right

frontal cortex to enable enhanced information flow to the intact left frontal cortex, thereby supporting

attentional functions. This compensatory strategy of increased information flow is consistent with

functional imaging study findings on the reorganization of functional networks following stroke; moreover,

it supports the idea of neuroplasticity, whereby patients can recover functions and maintain cognitive

abilities following neurological injury [46-48].

Distinct neural responses in non-USN and USN patients

Although both the non-USN and USN groups had right hemisphere damage, they exhibited distinct neural

responses to alpha-band rhythmic stimulation. In non-USN patients, additional neural resources were

recruited from both hemispheres in response to the damage in the right hemisphere, resulting in enhanced

neural synchronization to external stimuli across both hemispheres. In contrast, the neural responses in

USN patients are more asymmetric, with a local increase in alpha-band SSVEP primarily observed in the

intact left visual cortex. This asymmetry may result from the damaged right hemisphere being less

effectively engaged, leading to an over-reliance on the left hemisphere [1]. This suggests that the right

hemisphere damage in USN patients might affect more critical parts of the attentional network.

In non-USN patients, the observed bilateral enhancement of alpha-band synchrony likely reflects a

compensatory recruitment of residual right-hemisphere circuits that are still able to cooperate with the

intact left hemisphere. Neuroimaging studies have reported that patients who show this kind of bilateral

reorganization pattern early after stroke tend to achieve better recovery outcomes [7, 46, 49]. Thus, the

bilateral pattern seen in the non-USN group may correspond to such a favorable reorganization profile, and

we propose that engaging both hemispheres in parallel helps maintain frequency-specific rhythm

coordination and protects against the development of spatial neglect.

Importantly, this distinct pattern of hemispheric imbalance cannot be explained merely by differences in

lesion extent between USN and non-USN patients. While patients with USN may have larger lesions than

those without USN, such differences alone would predict generalized alterations in neural responses across

all frequencies in the affected hemisphere. However, our results demonstrate a highly specific effect: the

hemispheric imbalance is observed exclusively at 9 Hz, while responses to other frequencies remain

balanced between the hemispheres. This frequency specificity, coinciding with the intrinsic alpha

frequency of the visual system, suggests a more complex mechanism involving the selective disruption of

oscillatory networks, rather than a simple consequence of lesion size. This interpretation is supported by

our computational model, which demonstrates how the interaction between intrinsic frequency and

asymmetric coupling can produce such frequency-specific effects.

Interestingly, although right-hemisphere alpha-band SSVEP power did not differ significantly between

the USN group and healthy controls, it showed a significant positive correlation with BIT scores within the

USN group. This result indicates that, even when the quantitative power of right-hemisphere alpha

oscillations appears normal, their qualitative contribution to the spatial-attention network is altered in USN.

Such a qualitative dissociation, together with compensatory hyperactivity in the intact left hemisphere and

a rerouting of information flow from the right visual cortex to the left frontal cortex, likely skews the

overall balance of spatial attention. The interplay among these quantitative factors, and between these

dynamics and their behavioral consequences, is probably nonlinear, and the present data cannot fully

unravel their complex interactions; nevertheless, the principle that "normal power ≠ normal function"

strongly suggests that USN arises from a qualitative breakdown in rhythm coordination, offering a new

perspective on the neural basis of unilateral spatial neglect.

Limitations

This study has several limitations that must be acknowledged. First, although we revealed a 9-Hz-specific

hemispheric bias in USN, the study does not pinpoint where within the cortical network the abnormal synchrony originates. Higher spatial-resolution techniques, such as magnetoencephalography or high-density intracranial recordings, could map the generators and propagation pathways of alpha-band entrainment more precisely and thus identify the circuit nodes in which synchrony breaks down. Second, the precise extent and topography of brain lesions could not be fully homogenized across patients. Differences in cortical versus subcortical involvement or the degree of white-matter disruption may influence oscillatory responses. Future work with a larger cohort—encompassing a broader range of lesion characteristics—will be necessary to combine detailed lesion mapping with frequency-resolved measures of neural synchrony. Third, the cross-sectional design precludes definitive causal inferences. Longitudinal studies that track changes in alpha-band asymmetry and behavioral recovery over time, or before and after rehabilitation interventions, will be essential to determine how dynamic reorganization of neural synchrony relates to the evolution and remediation of spatial neglect.

Conclusions

Our study demonstrates fundamental differences in neural responses between stroke patients with USN and without USN. Empirical data and computational modeling together show that asymmetric interhemispheric coupling, resonating with the brain's intrinsic alpha rhythm, selectively amplifies 9-Hz entrainment in the intact hemisphere of USN patients. This amplification is accompanied by stronger α–γ phase–amplitude coupling and asymmetric information flow, indicating that the effect propagates beyond local oscillations to reconfigure cross-frequency interactions and large-scale network dynamics. Thus, USN can be regarded as a disorder in which a qualitative breakdown of alpha-band rhythm coordination destabilizes the dynamic equilibrium of the attention network. Longitudinal studies that track the plastic evolution of these synchrony patterns during recovery and refine frequency-specific neuromodulation strategies will be crucial for translating these insights into therapy.

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Data, Materials, and Software Availability

The datasets generated and analyzed during the current study are not publicly available due to ethical

considerations. Analysis code and simulation scripts used in this study are available from the

corresponding authors upon reasonable request (yokazaki@nips.ac.jp)

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Table 1. Demographic information of each group and clinical scores of the USN and non-USN patients

matched by Mahalanobis distance

Variables	Median (Q1-Q3)			p-value (U-test)
	Non-USN	USN	Healthy control	
Number of participants	21	21 (16)	17	—
Sex	Male	Male	Male	—
Age (years)	69.0 (62.8-78.2)	67.0 (54.8-77.5)	67.0 (58.0-72.2)	0.21, 0.91 (USN vs. non-USN, Healthy controls)
Cortical/subcortical lesion side	Right	Right	—	—
EEG recording (days poststroke)	32.0 (21.5-41.0)	38.0 (32.5-43.0)	—	0.19
FMA-UE (0–66)	49.0 (34.2-61.2)	53.0 (12.8-62.2)	—	0.93
FMA-LE (0–34)	26.0 (22.5-30.0)	28.0 (16.2-31.0)	—	0.92
FIM-M (13–91)	64.0 (45.0-71.5)	47.0 (32.8-72.8)	—	0.23
FIM-C (5–35)	30.0 (26.8-31.2)	29.0 (22.0-30.0)	—	0.13
BIT (0–222)	—	204.5 (195.5216.5)	—	—

Figure 1. Experimental paradigm. The strobe light flickered for 10 s in 3-Hz steps from 3 Hz to 30 Hz with 10-s inter-train intervals. Participants were lying down with their eyes closed during the EEG recording.

610 **Figure 2. SSVEP power for different response and flicker frequencies.** Normalized power spectrum of

611 the response frequency (y-axis) at the O2 electrode (affected hemisphere for patients) as a function of

612 flicker frequency (x-axis). The fundamental frequency and the first harmonics (gray dashed lines) can be

613 seen in all groups.

Figure 3. Hemispheric differences in SSVEP power at different flicker frequencies. Power in the intact hemisphere was stronger than that in the affected hemisphere with 9-Hz stimulation (***$p < 0.001$) in the USN group only (middle panel). Error bars represent 2 standard errors.

Figure 4. Hemispheric differences in SSVEP power at different flicker frequencies. A) No hemispheric difference between C3 and C4 electrodes. B) No hemispheric difference between F3 and F4 electrodes. Error bars represent 2 standard errors.

Figure 5. SSVEP power during stimulation at 9 Hz and rest. A) Box plots indicate the power of SSVEP responses in the intact (O1 electrode) and affected (O2 electrode) hemispheres. A significantly higher response power was observed in the intact hemisphere of the USN group than in the left hemisphere of the healthy control group. The non-USN patients also displayed higher alpha power in both hemispheres than healthy controls without hemispheric bias (***$p < 0.001$). B) During the resting state interval, no significant differences in alpha power were observed between groups. Box plots depict medians and 25th/75th percentiles, with whiskers showing the 10th/90th percentiles overlaid onto individual data points (circles). The + represents outliers. Outliers exceeding a power value of 50 were truncated and plotted at the 50 mark.

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Figure 6. Hemispheric differences in PAC in response to 9-Hz flickering stimuli. The MI measuring the coupling between the alpha phase (7–12 Hz) and gamma power (35–45 Hz) represents the hemispheric asymmetry in the USN group (indicated by the black outline). No such pattern was present in the non-USN or healthy control group.

Figure 7. Hemispheric differences in information flow between the visual and frontal areas in

response to 9-Hz flickering stimuli. A) Box plots show left-right hemispheric asymmetry in transfer

entropy (TE) from visual to frontal areas (feedforward direction). In the USN group, information flow

from the right visual cortex (O2) to the left frontal area (left panel) was significantly stronger than from the

left visual cortex (O1) to the left frontal area (*$p < 0.05$), and this asymmetry was significantly greater than

in healthy controls (**$p < 0.01$). No significant hemispheric differences were observed in information flow

to the right frontal area (right panel). B) Box plots show left-right hemispheric asymmetry in TE from

frontal to visual areas (feedback direction), with no significant asymmetry detected in any group for either

left or right frontal areas. Arrows on topographical plots indicate the direction of information flow. Box

plots show medians and 25th/75th percentiles, with whiskers at 10th/90th percentiles. The + represents

outliers.

Figure 8. Correlation between SSVEP power and BIT score in USN patients. A) Scatter plots

represent the relationship between SSVEP power at 9 Hz and BIT score for the left hemisphere (O1

electrode, left panel) and right hemisphere (O2 electrode, right panel). A significant positive correlation

was found in the right hemisphere ($r = 0.70$, $p < 0.007$) but not in the left hemisphere ($r = 0.38$, $p = 0.18$).

B) Correlation coefficients between SSVEP power and BIT score across frequencies (3–30 Hz) for each

hemisphere. Significant correlations were observed in the right hemisphere only at 9 and 12 Hz after FDR

correction (**$p < 0.01$).

Figure 9. Hemispheric imbalance in model simulations. Upper panels (A-C) show schematic

illustrations of three different model configurations. Lower panels show corresponding order parameter

differences between hemispheres across stimulus frequencies. A) Model with asymmetric interhemispheric

coupling ($K_{R \to L} = 0.8$, $K_{L \to R} = 0.2$) reproduced the experimental findings, showing significant hemispheric

bias at 9 Hz. The purple bar indicates the values without external stimulation ($F(t) = 0$). B) Model with

enhanced left intrahemispheric coupling ($K_L = 0.8$, $K_R = 0.2$) produced persistent bias across frequencies.

C) Model with different natural frequencies between hemispheres ($\omega_L = 7$, $\omega_R = 9$) led to frequency-

specific biases at both hemispheres' natural frequencies. Asterisks indicate significant differences (*$p <$

0.05, FDR corrected). Error bars represent 2 standard errors