Reaction norm tracking of fitness peaks

may explain time series in the fossil record

Rolf Ergon

University of South-Eastern Norway

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Abstract

Species that have existed over millions of years have done so because of high mean fitness through the ages. This means that the mean phenotypic traits of such species continuously have been tracking the optimal phenotypic values that maximize fitness. These optimal values are functions of the environment, for example the sea temperature. The mean phenotypic traits over time will thus be determined by the environment over time, and the trait history may be found in the fossil record. Here, I simulate such a tracking system by use of a univariate intercept-slope reaction norm model and show how both linear and nonlinear mean phenotype vs. environment functions can be found also from quite short time series with irregular sampling, and I also discuss how this methodology can be extended to multivariate systems. The methodology is finally applied on two time-varying mean traits in a record of bryozoan *Microporella agonistes* fossils spanning 2.3 million years, using the $\partial^{18}O$ measure as environmental variable. From few irregular samples of phenotypic mean values found in the fossil record, it was possible to identify linear mean phenotype vs. environment functions, and thus to predict the continuous mean phenotypic values as functions of time.

22   **Keywords:** bryozoans, fitness peak tracking, fossil record, optimal phenotypes, phenotypic

23   plasticity, reaction norm.

Introduction

Evolutionary time series in the fossil record provide information on phenotypic changes over

millions of years. Such time series may be the result of few irregular samples, and they have

traditionally been modeled by various forms of random walk processes (Hunt, 2006; Voje,

2023), or by Ornstein-Uhlenbeck (OU) processes describing evolution of phenotypic traits

toward fixed or moving peaks in the adaptive landscape (Voje, 2023). In an example, Voje (2023)

shows how an OU model describes the evolution in the radiolarian lineage *Eucyrtidium*

calvertense from one optimal size to another (Kellogg,1975). Neither random walk processes

nor OU processes have explicit means for handling of phenotypic plasticity, but one cannot

exclude the possibility that plasticity plays an implicit part in such models. Such plasticity

possibilities are discussed in Sætre et al. (2017), where an OU model was used in the analysis

of changed body size measurements of individuals of the bird species reed warbler

(*Acrocephalus scirpaceus)* over a 19-year period.

Reaction norm analysis of fossil data under the explicit assumption of phenotypic plasticity

would in many cases appear to be more appropriate, especially for species that have adapted

to environmental changes over millions of years with no apparent loss of fitness. In such cases

the mean phenotypic traits have continuously been tracking the optimal values that maximize

fitness as determined by moving fitness peaks in the adaptive landscape (Bell, 2013).

Phenotypic plasticity is ubiquitous in nature and generally regarded as a key mechanism for

enabling organisms to survive in the face of environmental change (Williams et al., 2008).

Phenotypic changes in natural populations caused by climate change have been widely

studied, and a fundamental difficulty is then to disentangle the effects of microevolution and

phenotypic plasticity. Merilä and Hendry (2013) discussed this problem and summarized the

results of 11 review articles. Among the review papers were Charmantier and Gienapp (2014)

(birds), Boutin and Lane (2014) (mammals), and Crozier and Hutchings (2014) (fish), which

summarize the findings in altogether 66 studies of responses on climate change. Merilä and

Hendry (2013) wrote that "Summarizing and relying on the results of these reviews, we arrive at

the conclusion that evidence for genetic adaptation to climate change has been found in some

systems, but is still relatively scarce." They further wrote that "Indeed, a number of phenotypic

differences originally thought to be genetically based were subsequently attributed to

phenotypic plasticity (e.g., James 1983; Charmantier et al. 2008; Teplitsky et al. 2008). In the

wake of such demonstrations phenotypic plasticity is increasingly adopted as a parsimonious

model that is to be rejected only if direct evidence is obtained for genetic change: That is,

plasticity is treated as a null model. The reality, however, is that inferences regarding

phenotypic plasticity also should be supported by a specific set of evidentiary criteria". The

disentanglement problem was also discussed in Lister (2021), who concluded that "The role of

phenotypic plasticity is particularly challenging to identify in the fossil record as the

environmental and genetic influences on morphological variation and change are difficult to

separate".

In a more recent review regarding temporal variation in selection on breeding date in 39

populations of 21 wild animals, de Villemereuil et al. (2020) found that "plastic changes track

movements of the optimum phenotype across years, especially in bird species, reducing

temporal variation in directional selection. This suggests that phenological plasticity has

evolved to cope with fluctuations in the optimum, despite their currently modest contribution to

variation in selection".

The plasticity contributions in adaptive evolutionary processes are often studied by means of

reaction norm models, which in general are multivariate, allowing for correlated traits (Ergon,

2023). For simplicity I will here make use of a univariate individual intercept-slope reaction

norm model with parameters $a(t)$ and $b(t)$ as given by

$$y(t) \ = \ a(t) + b(t)\big(u(t) - u_{ref}\big) + \varepsilon(t), \tag{1}$$

where $u(t)$ is the environmental cue, and where $\varepsilon(t)$ is assumed to be normally distributed with

constant mean 0 and variance σ_ε^2 among individuals in every generation. Here, u_{ref} is a

reference environment defined as the environment at which the phenotypic variance is at a

minimum (Lande, 2009). I will use simulations based on the model in Eq. (1) to see how the

mean phenotypic trait $\bar{y}(t)$ in a population evolves in a changing environment under the

fundamental assumption that the mean fitness is kept close to the top of a moving peak in an

adaptive landscape. In the simulations I will use the $\partial^{18}O(t)$ measure as environmental cue,

both because it is a widely used proxy for seawater temperature in paleontological studies

(Lisiecki and Raymo, 2005; Liow et al., 2024), and because it gives a connection between the

simulations and a real data case based on the fossil record. Since both $\partial^{18}O(t)$ and $\bar{y}(t)$ have

large variances, and since data from the fossil record may give mean values over both

populations and time (Liow et al., 2024), I will in the simulations also use filtered versions of

these variables.

Basic theory is given in the Theory section, while simulations are presented in the Simulations

section. In the Real data case section, I will apply the theoretical results on two phenotypic

traits of the bryozoan species lineage *Microporella agonistes* with a 2.3 million long fossil

record (Liow et al., 2024). Finally follows a Discussion section.

Theory

91 *A univariate case*

92 The individual intercept-slope reaction norm model with parameters $a(t)$ and $b(t)$ in Eq. (1) will

93 for a given population result in the mean reaction norm

$$\bar{y}(t) = \ \bar{a}(t) + \bar{b}(t)\big(u(t) - u_{ref}\big). \tag{2}$$

Provided that $\bar{a}(t)$ and $\bar{b}(t)$ evolves such that the mean fitness is kept at a near maximum level,

$\bar{y}(t)$ will be kept in the vicinity of the phenotypic values that correspond to a fitness peak in the

adaptive landscape. For simplicity and for use in simulations I will initially assume that mean

fitness is determined by the single phenotypic mean trait $\bar{y}(t)$ in Eq. (2), and that the adaptive

landscape thus is two-dimensional. I will later extend some essential results to multivariate

cases.

The phenotypic value that maximizes fitness is in general a function of the environment,

$$\theta(u,t) = \alpha + f\big(u(t) - u_{ref}\big), \tag{3}$$

101   in the linear case $\theta(u,t) = \alpha + \beta\big(u(t) - u_{ref}\big)$, where α and β are constant parameters. In the

102   simulations I will assume an individual fitness function

$$W(t) = W_{max} \exp\left(-\frac{\big(y(t) - \theta(u,t)\big)^2}{2\omega^2}\right), \tag{4a}$$

103   which gives the mean fitness in a population (Lande, 2009)

$$\bar{W}(t) = W_{max} \sqrt{\frac{\omega^2}{\omega^2 + \sigma_y^2(t)}} \exp\left(-\frac{\big(\bar{y}(t) - \theta(u,t)\big)^2}{2\big(\omega^2 + \sigma_y^2(t)\big)}\right), \tag{4b}$$

104   where $\sigma_y^2(t)$ is the variance of $y(t)$ according to Eq. (1).

105   Under the assumptions of nonoverlapping generations and random mating in a large

106   population the evolution of the mean reaction norm parameters is governed by (Lande, 1979)

$$\begin{bmatrix} \bar{a}(t+1) - \bar{a}(t) \\ \bar{b}(t+1) - \bar{b}(t) \end{bmatrix} = \begin{bmatrix} G_{aa} & G_{ab} \\ G_{ab} & G_{bb} \end{bmatrix} \begin{bmatrix} G_{aa} + \sigma_\varepsilon^2 & G_{ab} \\ G_{ab} & G_{bb} \end{bmatrix}^{-1} \begin{bmatrix} cov(w(t), a(t) + \varepsilon(t)) \\ cov(w(t), b(t)) \end{bmatrix}, \tag{5}$$

where G_{aa}, G_{bb} and G_{ab} are variances and the covariance of the reaction norm parameters, and

where $w(t) = W(t)/\bar{W}(t)$ is the relative fitness. In a stationary stochastic environment, the

optimal reaction norm slope is $\bar{b}_{opt} = cov(\theta(u,t), u(t))/var(u(t))$ (Lande, 2009; Ergon, 2022).

When the mean phenotypic trait in a population is tracking a moving value $\theta(u,t)$ according to

Eq. (3) it will be given by $\bar{y}(t) = \theta(u,t) + e(t)$, where $e(t)$ is a residual term. This means that the

parameter values $\bar{a}(t)$ and $\bar{b}(t)$ are forced to evolve such that

$\bar{y}(t) \approx \theta(u, t) = \alpha + f\big(u(t) - u_{ref}\big)$, i.e., such that the mean fitness according to Eq. (4b) is kept

at a near maximum level and the residual $e(t)$ at a low level. In feedback control terminology it is

thus the mean trait following error $\theta(u, t) - \bar{y}(t)$ that via the mean fitness is the driving force in

the adaptive process. As shown in simulations as well as in the real data case the parameters in

the $\bar{y}(t) \approx \alpha + f\big(u(u, t) - u_{ref}\big)$ model can be estimated also from rather few fossil data points

$\big[u_{filt}(t), \bar{y}_{filt}(t)\big]$ at irregular sampling times, where $\bar{y}_{filt}(t)$ and $u_{filt}(t)$ are filtered versions of

often quite noisy signals. Note that even though $\bar{y}(t) \approx \alpha + f\big(u(t) - u_{ref}\big)$, such a model is not

a reaction norm. As verified by simulations, the reaction to a sudden change Δu in $u(t)$ will still

be a sudden change $\Delta\bar{y} = \bar{b}(t)\Delta u$.

Multivariate cases

When the mean phenotypic trait in a univariate population is tracking the phenotypic value that

maximizes fitness, the mean phenotypic trait will be determined by

$\bar{y}(t) \approx \theta(u, t) = \alpha + f\big(u(t) - u_{ref}\big)$, i.e., by the fitness peak vs. environment function. Here, it

is important to note that we do not need to know the details of the underlying reaction norm

model and fitness function.

The univariate theory can be extended to multivariate cases, and although we in practice do

not need to know the underlying fitness function, we may for a theoretical discussion use an

example with two phenotypic traits and the bivariate fitness function (Ch. 4, Johnson and

Wichern, 2008)

$$W(t) \tag{6}$$

$$= W_{max} \exp\left(-\begin{bmatrix} y_1(t) - \theta_1(u, t) & y_2(t) - \theta_2(u, t) \end{bmatrix}[\Sigma(t)]^{-1}\begin{bmatrix} y_1(t) - \theta_1(u, t) \\ y_2(t) - \theta_2(u, t) \end{bmatrix}\Big/2c\right),$$

132   where $\Sigma(t) = \text{cov}(y_1(t), y_2(t))$ is the variance-covariance matrix and where c is a scaling factor

133   controlling the 'width' of the fitness function. In order to keep the mean fitness at a near

134   maximum level, the mean traits must in this case continuously evolve such that $\bar{y}_1(t) \approx \theta_1(u, t)$

135   and $\bar{y}_2(t) \approx \theta_2(u, t)$, and we will thus find

$$\bar{y}_1(t) \approx \alpha_1 + f_1\big(u(t) - u_{ref}\big) \tag{7a}$$

$$\bar{y}_2(t) \approx \alpha_2 + f_2\big(u(t) - u_{ref}\big). \tag{7b}$$

where the moving fitness peak vs. environment functions may be linear or nonlinear, and where

as in the univariate case the parameters can be estimated from rather few fossil data points at

irregular sampling times. Here, the essential point is that $\bar{y}_1(t)$ and $\bar{y}_2(t)$ in this example are

tracking a peak in a three-dimensional adaptive landscape, and that this tracking process can

be decomposed into two univariate tracking processes. We may thus consider the projections

of the bivariate fitness function onto orthogonal axes for the two phenotypic traits, and such a

projection approach may be extended to systems with higher dimensions, as discussed in

Goodnight (2013).

Simulations

A system with a linear mean reaction norm $\bar{y}(t) = \bar{a}(t) + \bar{b}(t)\big(u(t) - u_{ref}\big)$ was simulated with

use of the 2,115 available samples of $u(t) = \partial^{18}O(t)$, and with population size 1,000. These

samples go 5.3 million years back in time with various sampling intervals (Lisiecki and Raymo,

2005), but here I just assume 2,115 nonoverlapping generations (however, see Discussion on

relevance for fossil data). The reaction norm parameter variances and covariance were chosen

as $G_{aa} = \sigma_\varepsilon^2 = 0.5$, $G_{bb} = 0.05$ and $G_{ab} = 0$, and at each new generation random individual

samples around $\bar{a}(t)$, $\bar{\varepsilon}(t) = 0$ and $\bar{b}(t)$ were drawn from normal populations with the given

variances. The fitness function in Eq. (4a) had parameter values $W_{max} = 1$ and $\omega^2 = 50$. The

phenotypic value that maximizes fitness was in a first linear case chosen as

$\theta(u, t) = 0.5 + u(t) - 2.9$, where $\theta(u, t)$ thus and for clarity of presentation is assumed to be a

deterministic function, and where 2.9 is the mean value of $\partial^{18}O(t)$ around $t = 0$. From this

follows that the optimal plasticity slope is $\bar{b}_{opt} = cov(\theta(t), u(t))/var(u(t)) = 1$. The initial

intercept value was $\bar{a}(0) = 0$, and simulations were performed with three different initial values

$\bar{b}(0)$. Since $\bar{y}(t)$, $\theta(u,t)$ and $u(t) = \partial^{18}O(t)$ have large variances, I used filtered signals $\bar{y}_{filt}(t)$,

$\theta_{filt}(u,t)$ and $u_{filt}(t)$ in the plots. These were obtained by moving window filters with window

size 100, in MATLAB notation $\bar{y}_{filt}(t) = movmean(\bar{y}(t), 100)$ etc.

Simulation results are shown in Fig. 1. Note that $\bar{b}(0) = \bar{b}_{opt} = 1$ results in a near constant

plasticity slope, and that also $\bar{a}(t)$ settles on a near constant value (panel E). With an initial

mean plasticity slope $\bar{b}(0) \neq \bar{b}_{opt}$, the mean intercept evolves all the time, while the mean

plasticity slope very slowly evolves towards $\bar{b}_{opt} = 1$. Note that $\bar{y}_{filt}(t)$ is tracking $\theta_{filt}(u,t)$

quite well (panels C, G and K). Also note that $\bar{y}_{filt}(t)$ as functions of $u_{filt}(t)$ are very similar

straight lines as predicted by theory, with seven discrete values marked by stars (panels D, H

and L). Using $u_{ref} = u_{filt}(0) = 2.9$, these discrete values could be used to determine the

parameters $\hat{\alpha}$ and $\hat{\beta}$ of this line by an ordinary least squares method, and thus to find

predictions $\hat{\bar{y}}_{filt}(t) = \hat{\alpha} + \hat{\beta}(u_{filt}(t) - u_{ref})$, see example in the Real data case section. With

$\bar{b}(0) = 1$ it appears that $\bar{a}(t)$ and $\bar{b}(t)$ are constant over time, once $\bar{a}(t)$ has settled (panel E),

but a closer look reveals slight fluctuations around fixed values. This is because the individual

values around $\bar{a}(t)$, $\bar{\varepsilon}(t) = 0$ and $\bar{b}(t)$ at each time step are drawn from random Gaussian

distributions.

Fig. 2 shows result for a system with a nonlinear fitness peak vs. environment function

$\theta(u,t) = 0.5 + (u(t) - 2.9) + 2(u(t) - 2.9)^2$, combined with the linear reaction norm

$\bar{y}(t) = \bar{a}(t) + \bar{b}(t)(u(t) - 2.9)$. Note that $\bar{y}_{filt}(t)$ also now is tracking $\theta_{filt}(u,t)$ pretty well

(panels C, G and K) and that $\bar{y}_{filt}(t)$ as function of $u_{filt}(t)$ are the same second order functions

in the different cases (panels D, H and L). Also note that $\bar{b}(0) = 1$ in this case does not result in

constant $\bar{a}(t)$ and $\bar{b}(t)$ values over time (panel E). See Discussion for results with a nonlinear

reaction norm.

Figure 1. Simulation results with a linear $\theta(u, t) = \alpha + f(u(t))$ function and a linear

reaction norm for three different initial values $\bar{b}(0)$, while $\bar{a}(0) = 0$. Panels A, E and I

show $\bar{a}(t)$ (blue lines) and $\bar{b}(t)$ (red lines). Panels B, F and J show mean fitness $\overline{W}(t)$.

Panels C, G and K show $\theta_{filt}(u, t)$ (blue lines) and $\bar{y}_{filt}(t)$ (red lines). Panels D, H and L

show that $\bar{y}_{filt}(t)$ as function of $u_{filt}(t)$ in all cases is the same straight line, with values

for samples 200, 400, 600, 800, 1000, 1200 and 1400 shown by stars.

Figure 2. Simulation results as in Fig. 1, but with a nonlinear $\theta(u,t) = \alpha + f(u(t))$

function. The discrete values in panels D, H or L could here be used to determine the

nonlinear function $\hat{\bar{y}}_{filt}(t) = f\left(u_{filt}(t)\right)$ by means of any suitable fitting method.

Real data case

Liow et al. (2024) recently presented results from a field study of the bryozoan species

Microporella agonistes, based on a fossil record over 2.3 million years. The fossils were

collected from colonies in New Zealand cliffs, and it is especially interesting that the same

species still live in the waters near those cliffs. In a project running over several years, Liow and

her coworkers collected 985 fossil colonies with a large total number of individuals and

developed an artificial intelligence tool called DeepBryo, and they then analyzed the data using

scanning electron microscope pictures. This image analysis system can rapidly assess three

well defined phenotypic traits; autozooid (AZ) area, ovicell (OV) area and autozooid shape, and

as seen from time series in Fig. S2 in Liow et al. (2024) the two AZ and OV area mean trait values

increase with time. Liow et al. (2024) used average fecundity and competitive outcome as two

different fitness components, where competitive outcome is a proxy for partial survival.

I will here use the log AZ and log OV area mean data in Fig. S2 in Liew et al. (2024) as

examples. The last data points in these time series are based on an extreme $\partial^{18}O(t)$ value and

are therefore omitted, see Fig. 6 in Liow et al. (2024). Fig. 3 shows $\partial^{18}O$ (panel A),

$log\ AZ\ area\ mean$ (panel B) and $log\ OV\ area\ mean$ (panel C) as functions of time. Panel A also

shows a moving window filtered version $u_{filt}(t)$ of $\partial^{18}O$, with window size 300. Note that the

values of $log\ AZ\ area\ mean$ and $log\ OV\ area\ mean$ are mean values of mean values in several

colonies in time windows of various sizes, i.e., highly filtered information (Liow et al., 2024).

Figure 3. Raw $\partial^{18}O(t)$ data (panel A, blue line) and a filtered version $u_{filt}(t)$ (panel A,

red line), as well as $log\ AZ\ area\ mean$ (panel B, circles) and $log\ OV\ area\ mean$ (panel C,

circles) as in Fig. S2 in Liow at al. (2024). Present time is used as zero point.

From Fig. 3 in Liow et al. (2024) follows that fitness measured as ovicell density (average

fecundity) is fairly constant for all log OV area mean values, and Fig. S8 shows that also

competitive outcome is fairly constant for all of the three phenotypic traits. Since the bryozoan

species under study have existed for at least 2.3 million years and still live it can be inferred that

the mean fitness through the ages has been high enough for tracking of the optimal phenotypic

values that maximize fitness (compare with panels B, F and J, as well as panels C, G and K in

Figs. 1 and 2).

Fig. 4, panel A, shows *log AZ area mean* as function of $u_{filt}(i)$ for the 10 data points in Fig. 3,

panel B. This plot should be compared with the corresponding plots in Figs. 1 and 2 (panels D, H

and L), and it is rather obvious that it is not possible to fit more than a straight line

log AZ area mean $= \hat{\alpha} + \hat{\beta}\big(u_{filt}(i) - u_{ref}\big)$. With $u_{ref} = u_{filt}(-2.3) = 3.6$ as found from Fig.

3, panel A, the ordinary least squares method results in

log AZ area mean $(t) = 11.39 + 0.48\big(u_{filt}(t) - 3.6\big)$, as shown in Fig. 4, panel B. With

unfiltered environmental data we thus find

log AZ area mean $(t) = 11.39 + 0.48(\partial^{18}O(t) - 3.6)$.

Figure 4. Panel A shows *log AZ area mean* as function of $u_{filt}(i)$ for 10 data points as in

Fig. S2 in Liow at al. (2024) (circles), as well as a straight line fitted by the ordinary least

squares method (red line). Panel B shows *log AZ area mean* as function of time (blue

circles), and the fitted reaction norm response (red line).

Finally, Fig. 5 shows results as in Fig. 4, but with the fitted predictions

$log\ OV\ area\ mean\ (t) = 10.20 + 0.43\big(u_{filt}(t) - 3.6\big)$. Note that compared with Fig. 4, the

number of data points is reduced from 10 to 8 owing to a limited number of raw data points

(Liow et al., 2024). A closer look reveals that the predictions of $log\ OV\ area\ mean\ (t)$ for all

practical purposes are identical to $0.9 \times log\ AZ\ area\ mean\ (t)$, and the two variables are thus

linearly dependent.

Figure 5. Panel A shows $log\ OV\ area\ mean$ as function of $u_{filt}(i)$ for 8 data points as in

Fig. S2 in Liow at al. (2024) (circles), as well as a straight line fitted by the ordinary least

squares method (red line). Panel B shows $log\ OV\ area\ mean$ as function of time (blue

circles), and the fitted reaction norm response (red line).

If the unfiltered $\partial^{18}O(t)$ data are used as inputs to the model for $log\ AZ\ area\ mean\ (t)$ found

by use of filtered $\partial^{18}O(t)$ data we would obtain the results in Fig. 6, which illustrates the

sampling difficulties involved, and thus underlines the need for filtering. For the $\partial^{18}O(t)$

filtering, I here used a moving window with a fixed width of 300 samples, but since the data is

collected with various window sizes a variable filtering window size could possibly improve the

predictions.

Figure 6. Predictions as in Fig. 4, panel B (red line), and with unfiltered $\partial^{18}O(t)$ data

used as inputs to the same prediction model (green line).

Discussion

There are two main conclusions to draw from the results in this article. First, provided that the

tracking system keeps the mean fitness at a high level throughout, the mean phenotypic traits

in a possibly multivariate system, $\bar{y}_1(t), \bar{y}_2(t), \ldots \bar{y}_m(t)$, will stay in the vicinity of a moving peak

in the adaptive landscape defined by the phenotypic values $\theta_1(u, t), \theta_2(u, t), \ldots \theta_m(u, t)$ that

maximize fitness. Second, provided that the mean fitness is kept at a high level, it is not

necessary to know the details of the tracking process that keeps $\bar{y}_j(t) \approx \theta_j(u, t)$, i.e., neither

the parameter values in an underlying reaction norm model nor the fitness function need to be

known. Under the assumption of a single environmental variable the quite simple result is thus

that the function $\bar{y}_j(t) = f_j\big(u(t) - u_{ref}\big)$ for a given phenotypic trait approximately is equal to

$\theta_j(u, t) = f_j\big(u(t) - u_{ref}\big)$ for the same trait, and that $\bar{y}_1(t), \bar{y}_2(t), \ldots, \bar{y}_m(t)$ thus can be

predicted by fitting also quite short fossil data. With a dynamical adaptive landscape, one

must, however, expect that the mean phenotypic traits will be lagging behind the fitness peak,

as also mentioned in de Villemereuil et al. (2020).

It should be noted that the conclusions above are not valid for time periods with so sudden

changes in the adaptive landscape that they in a transition period markedly affect the mean

fitness, as exemplified in Sætre et al. (2017). Such periods with poor mean trait tracking could

possibly also be found in the fossil record used by Liow et al. (2024), although they might not be

detected owing to the irregular sampling, or they cannot be detected owing to the large

standard errors as seen in Fig. S2.

In the simulations with the linear fitness peak vs. environment function

$\theta(u,t) = 0.5 + u(t) - 2.9$ and use of the linear reaction norm in Eq. (2) we find linear $\bar{y}_{filt}(t)$ vs.

$f(u_{filt}(t))$ plots. This is so with constant mean intercept and plasticity parameters as obtained

in the case with initial mean plasticity $\bar{b}(0) = 1$ (Fig. 1, panel H), which is in line with the findings

in de Villemereuil et al. (2020) regarding breeding date in populations of wild animals. But the

same linear result is also found in cases with time varying parameters (Fig. 1, panels D and L),

and there is thus no need for disentanglement of the effects of microevolution and plasticity, as

discussed in Merilä and Hendry (2013) and Lister (2021). This is so because the function

$\bar{y}_{filt}(t) = f(u_{filt}(t))$ only depends on how $\theta(u,t)$ varies with the environment, and that

$\bar{y}_{filt}(t) \approx \theta_{filt}(u,t)$ (Figs. 1, panels C, G and K). From the $\bar{y}_{filt}(t)$ vs. $f(u_{filt}(t))$ plots it is

possible to fit a straight line also from quite few known values $u_{filt}(i)$ and $\bar{y}_{filt}(i)$, which is a

valuable property considering the effort needed to obtain fossil data. Fig. 1 also shows that the

mean fitness in all three cases is slowly reduced over time. This is so because u_{ref} is chosen as

$u_{filt}(0)$, such that the individual phenotypic values owing to plasticity are increasingly spread

around the fitness peak as time increases.

In the nonlinear case simulations with $\theta(u,t) = 0.5 + u(t) - 2.9 + 2(u(t) - 2.9)^2$ and use of

the linear reaction norm in Eq. (2) we find that the mean fitness is somewhat reduced (Fig. 2),

and that the $\bar{y}_{filt}(t)$ vs. $f(u_{filt}(t))$ plots indicate a nonlinear function. As in the linear case we

find very similar plots for the three different initial mean slope values (Fig. 2, panels D, H and L).

In these plots it is here possible to fit a second order function from quite few known values

$u_{filt}(i)$ and $\bar{y}_{filt}(i)$. It is interesting to note that $\bar{b}(0) = 1$ in this case does not result in constant

$\bar{a}(t)$ and $\bar{b}(t)$ parameter values. As the combination of a linear reaction norm and a nonlinear

$\theta(u, t)$ function leads to reduced mean fitness, it is natural to consider a nonlinear reaction

norm, and the mean fitness is in fact considerably improved with a reaction norm

$\bar{y}(t) = \bar{a}(t) + \bar{b}(t)(u(t) - 2.9 + 2(u(t) - 2.9)^2)$, where $\bar{a}(t)$ and $\bar{b}(t)$ as in the linear case are

evolvable parameters. In this case an initial mean slope value $\bar{b}(0) = 1$ resulted in constant

$\bar{a}(t)$ and $\bar{b}(t)$ parameter values. When the nonlinear $\theta(u, t)$ function was changed into

$\theta(u, t) = 0.5 + u(t) - u_{ref} + 8\big(u(t) - u_{ref}\big)^2$ the mean fitness in simulations with a linear

reaction norm turned out to be zero in several periods around $t = 1,500$, which illustrates that

there are limits to how much nonlinearities in the $\theta(u, t)$ function an evolutionary process can

track.

It is interesting to note that constant $\bar{a}(t)$ and $\bar{b}(t)$ parameter values as found in Fig. 1, panel

E, require a linear fitness peak vs. environment function. The main finding in de Villemereuil et

al. (2020) that phenological plasticity may have evolved to cope with fluctuations in the

optimum thus indicates that such a linear fitness peak vs. environment function is common in

nature. The more intricate alternative is a nonlinear reaction norm that fits a nonlinear fitness

peak vs. environment function.

In the simulations I assumed 2,115 nonoverlapping generations and found $\bar{a}(t)$ and $\bar{b}(t)$ for

different initial values $\bar{b}(0)$. With a different time scale more relevant for fossil data, similar $\bar{a}(t)$

and $\bar{b}(t)$ values could plausibly be underlying samples of true and continuously varying

parameter values, just as the $\partial^{18}O(t)$ data used as $u(t)$ are samples of a continuous variable.

For the real data case in Liow et al. (2024) I assume that the reference environment u_{ref} is the

mean $\partial^{18}O(t)$ value 2.3 million years back in time. Since the data spans 2.3 million years there

is a possibility that u_{ref} evolves, as alluded to in Lande (2009) and discussed in detail in Ergon

and Ergon (2017), but since $\bar{y}_{filt}(i)$ as function of $u_{filt}(i)$ for the AZ and OV area mean traits are

found to be straight lines such changes in u_{ref} have no effect on the results. The time series in

this case have samples from around 2 million years ago and samples for the last 0.9 million

years, and although it would have been nice with additional data from around 1.4 million years

ago the available data indicate a linear fitness peak vs. environment function. Considering the

large standard errors indicated in Fig. S2 in Liow et al. (2024) it is in any case no indications of a

very nonlinear function, and it also seems reasonable to assume that long existing species in

the quite variable $\partial^{18}O$ environment in general have fitness peak vs. environment functions that

are not too difficult to track.

Liow et al. (2024) reports that plasticity plays a role in the real data case, although in a

complex way owing to the clonal and colonial nature of the data. However, as pointed out

above it is not necessary to know details of the underlying mean reaction norm and fitness

functions for the purpose of predicting the mean phenotypic traits over time, what is essential

is that the fitness peak tracking process keeps the mean fitness at a high level. It may of course

still be of interest to investigate details in the tracking process, as done in Liow et al. (2024), and

the cumbersome data collection and mean trait identification and estimation process has in

any case been necessary. If it in addition could be shown that the overall mean plasticity is

constant, we would again have a situation in accordance with the findings in de Villemereuil et

al. (2020) regarding breeding dates of 21 wild animals, but as shown in the simulations the trait

tracking process does not depend on a constant mean plasticity. One could argue that there is

an obvious difference between the studies of de Villemereuil et al. (2020) and Liow et al. (2024),

in that individual birds and small mammals can change breeding time from year to year, while

bryozoans can change size only from generation to generation. But over time the effects will be

comparable in that both microevolution and plasticity may be involved, as illustrated in Figs. 1

and 2.

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