**microRNA and non-targeted proteome analysis of liquid biopsies from the distal lung collected by**

**Particles in Exhaled Air (PExA) reveals presence from extracellular vesicles**

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ABSTRACT

**Background.** Early detection is essential to ensure prompt treatment selection for many lung

disorders, which are becoming more prevalent. Unfortunately, invasive methods are often used to

examine the distal parts of the lungs for diagnosis and to establish disease biomarkers. The growing

need to explore the bio-molecular mechanisms in various lung diseases highlights the importance of

non-invasive methods, particularly in longitudinal studies. Thus, the use of particles in exhaled air

(PExA), a non-invasive technique for sampling epithelial lining fluid from distal airways, is gaining

attention. MicroRNAs (miRNAs) are crucial in modulating protein expression both intracellularly and

intercellularly, often transported via extracellular vesicles. Dysregulated miRNAs have been linked to

many pulmonary diseases, and their relative stability, especially when encapsulated in EVs, makes

them promising biomarkers. Although underreported, exhaled miRNA identified with other non-

invasive techniques shows potential as a molecular tool. Therefore, here we report the initial steps

in the miRNA detection in PExA, offering opportunities to study miRNA roles in both normal and

diseased states in a non-invasive manner.

**Methods.** Exhaled particles were collected from healthy subjects using the PExA 2.0 instrument

following prescribed guidelines. Different samples were processed for non-targeted proteome

analysis using mass spectrometry and RNA extraction followed by a miRNAseq workflow for low

input starting material. The detection of some miRNAs was confirmed with the miRCURY LNA miRNA

PCR assays. The quality of the whole workflow was evaluated with a RNAses activity test and with

synthetic controls miRNAs (spike-in kit).

**Results.** Proteomic analysis identified over 50 proteins across experiments and samples, with a

notable enrichment of proteins associated with extracellular vesicles, including blood microparticles,

and secretory granules. miRNA-seq revealed 39 mature miRNAs, the majority of which have been

previously reported to be detected in the airways and respiratory disorders. Some were also

reported to be secreted by primary human airway epithelial cells via extracellular vesicles. Notably,

miRNA-125b and members of the let-7 family were among the most abundant. Fluorometric assays

showed significant RNase activity in both PExA and other lung-related samples, such as

bronchoalveolar lavage fluid, suggesting that this activity originates from the airways and is

independent of the sampling techniques used. The workflow for extraction and processing of the

PExA collection membrane, tested with abundant synthetic miRNAs and analyzed using the miRCURY

LNA miRNA PCR assay, yielded results comparable to control samples, indicating that the membrane

material does not interfere with the assay.

**Conclusions.** Using PExA, we identified several miRNAs that are known to be dysregulated in various

pulmonary disorders. The enrichment of extracellular secretory components in the core protein list

from our proteomic analysis, along with the elevated RNAse activity in the respiratory tract, suggests

that the detected miRNAs may be encapsulated within extracellular vesicles. These miRNAs are

particularly intriguing due to their potential role in intercellular communication during both normal

and pathological lung processes. Our findings suggest that PExA holds significant potential as a non-

invasive tool for studying extracellular vesicle-mediated miRNA cargo in the small airways.

<u>INTRODUCTION</u>

Early detection of lung diseases is important to avoid loss of lung function and holds the potential to

significantly enhance the quality of life for affected individuals. Timely intervention has the capacity

to slow down or even halt the progression of the disease, leading to superior long-term outcomes.

Today, we rely on invasive techniques such as lung biopsies or bronchoalveolar lavage (BAL) for

biomarker discovery, and in some cases for diagnosis. While invasive methods provide valuable

insights, their resource intensiveness may be prohibitive, inappropriate or impractical for

longitudinal studies, and may not be well tolerated for some patient groups. It is thus essential to

balance the benefits with the potential risks and patient discomfort associated with these

procedures (1). As such, the development of non-invasive sampling techniques of the distal lung is

pivotal to facilitate biomarker discovery, longitudinal monitoring or large-scale screening of

respiratory disease. Particles in exhaled air (PExA) represents a unique sampling method that

permits non-invasive sampling of the epithelial lining fluid and airway exudates from the small

airways and alveoli (2), a.k.a. liquid biopsies from the distal airways. The approach involves

impaction of exhaled aerosols comprising ultrafine droplets of respiratory tract lining fluid (RTLF)

onto a membrane (3). These droplets are generated and exhaled after a breathing manoeuvre that

facilitates the closure and reopening of the small airways. PEx samples have been shown to contain

several types of biomolecules, including proteins (2, 4) and lipids (5) known to be present in the RTLF

in the distal airways and alveolar region. Accordingly, this type of sampling method can be especially

relevant for disorders involving the small airways, such as asthma and COPD (2, 6, 7). Despite a

number of recent advancements of the sampling method and associated publications, our

understanding of the material collected and its relevance to disease diagnosis and monitoring

remains limited. As such, further benchmarking and analysis needs to unlock the full potential of this

non-invasive technique.

MicroRNAs (miRNAs) are small, conserved non-coding RNAs that regulate gene expression post-

transcriptionally (8). They play a crucial role in various biological processes, and their dysregulation is

linked to many pulmonary diseases (9-11). miRNAs are found in numerous body fluids and

extracellular spaces, including RTLF, either associated with other biomolecules or encapsulated in

extracellular vesicles (EVs) (12-14). Acting as functional vehicles of intercellular communication, EVs

transport miRNAs during pathological processes, protecting them from degradation and thereby

enhancing their stability (15). Given their importance in diseases processes (9, 10), as well as relative

stability, miRNAs hold great potential for various clinical applications, including longitudinal

monitoring.  Non-invasive molecular tools for studying lung-derived miRNAs are emerging, though

not yet rigorously evaluated or in clinical use (16). Existing publications on non-invasive approaches

focus on exhaled miRNAs, primarily using exhaled breath condensate (EBC) for sampling (16-18).

However, it is still not fully understood where EBC originates from, and there are uncertainties on its

formation. Radioactive markers studies have revealed that EBC predominantly captures central

airways, rather than providing a clear picture of the RLTF in the smaller airways (19).

Given the pivotal roles of extracellular vesicles (EVs) and miRNAs in intercellular communication and

regulatory processes in lung diseases, in this publication we describe the development of a miRNA

analysis approach for non-invasive interrogation of the distal lung using the PExA technology in

healthy subjects. To our knowledge we were the first to report detection of miRNA in PExA samples.

By exploring the feasibility of detecting miRNAs in PExA samples, our study contributes to the

advancement of respiratory research and disease diagnostics.

<u>MATERIALS AND METHODS</u>

**Sample collection**

PEx were collected using the PExA 2.0 instrument (PExA AB, Gothenburg, Sweden), according to

previously described methods (20, 21). The collection was performed by impaction on dried blood

spot (DBS) membranes (Whatman 903 Protein saver, specially tailored for PExA, PExA AB,

Gothenburg, Sweden) or on Flinders Technology Associates (FTA) membranes (QIAcard FTA Elute

Indicating Micro, Qiagen, specially tailored for PExA, PExA AB), a chemically coated cellulose

membrane type that lyse cells on contact, denature proteins and protect nucleic acids from

degradation. All subjects performed a standardised breathing manoeuvre (22, 23) with a mouthpiece

and a two-way, non-rebreathing valve (Series 1420; Hans Rudolph, Shawnee, KS, USA), wearing a

nasal clip during the process. Prior to the sampling, the subjects took a minimum of three tidal

breaths of HEPA-filtered air via the PExA instrument to eliminate particle contamination from the

surrounding ambient air. From each subject, 240 ng of exhaled particles were collected. Following

the collection process, the sample holder containing the impact membrane was directly placed in a

dust- and RNAse-free container, and the area of the membrane impacted by the particles (PEx sPOT)

were excised using a puncher specifically designed for this purpose. Subsequently, the PEx sPOTs

were transferred to 1.5 ml Eppendorf® DNA LoBind tubes for miRNA analysis, or Protein LoBind

tubes for proteomic analyses, and stored at -80°C until use. All the procedure to prepare the sample

holder and to collect the PEx sPOTs were performed under a laminar flow hood under aseptic

conditions to prevent sample contamination.

**Protein workflow**

Two distinct PEx proteome analyses were designed to evaluate both the technical reproducibility,

the detection limit, and the reproducibility of repeated sampling the same individual on different

days. In Experiment 1, we collected 240 ng PEx sample from one healthy subject and divided it into

three replicates composed of three PEx dots (3 replicates of 72 ng PEx). In Experiment 2, we

collected another sample from the same subject on a different day, maintaining the same time of

the day, dividing it into five different replicates, each composed of a single PEx sPOT (5 replicates of

24 ng PEx). Subsequently, we proceeded with the protein analysis workflow.

**Non-targeted proteome analysis**

Excised PEx membranes spots were wetted with a total volume of 20 µL lysis buffer composed of

0.2% n-dodecyl-β-D-maltoside (DDM; Sigma-Aldrich) in 100 mM triethylammonium bicarbonate

(TEAB; Fluka) and sonicated in water bath for 10 min. Suspensions were vortexed and centrifuged at

low speed for 5 min at room temperature to sediment PEx sPOTs. Subsequently, samples were

digested by adding 10 µL of 20 ng/uL sequencing grade trypsin (Promega) into the lysis buffer, with a

final trypsin concentration of 6.7 ng/µL. Following a short vortexing samples were incubated for 4 h

at 37°C and centrifuged at 10,000 x $g$ for 5 min. The supernatants were transferred to sample vials

and dried in a vacuum concentrator (Eppendorf) until dry.

Peptides were reconstituted in solvent A (0.1% formic acid, FA in 2% acetonitrile, ACN) and 2 µL of

each sample was injected using an Ultimate 3000 nano-flow UHPLC system (Thermo Fisher

Scientific). Peptides were captured on a 2-cm Acclaim PepMap trap column (Thermo Fisher

Scientific) and separated on a heated 25-cm long EASY-Spray C18 column (Thermo Fisher

Scientific) applying a 90 min long gradient: 4-26% of solvent B (0.1% FA in 98% ACN) in 90 min, 26-

95% in 5 min, and 95% of solvent B for 5 min at a flow rate of 300 nL/min.

Mass spectra were acquired on an Orbitrap Eclipse tribrid mass spectrometer (Thermo Fisher

Scientific) equipped with high-field asymmetric ion mobility spectrometry (FAIMS) in $m/z$ 300 to

1500 at resolution of R=120,000 (at $m/z$ 200) for full mass, targeting $8x10^5$ ions in maximum 100 ms,

followed by data-dependent higher-energy collisional dissociation (HCD) fragmentations of

precursor ions with a charge state 2+ to 6+, using 20 s dynamic exclusion. The tandem mass spectra

of the top precursor ions were acquired in 1 s cycle time by the linear ion trap in rapid mode,

targeting $3x10^4$ ions for maximum injection time of 20 ms, setting quadrupole isolation width to 1 Th

and normalized collision energy (HCD) to 30%. FAIMS was operated at three different compensation

voltages in each cycle using either -40, -60 or -80 V.

**Proteome data analysis**

The raw files were searched against the human UniProt database using MS Amanda v2.0 search

engine (24) loaded into Proteome Discoverer 2.5 software (Thermo Fisher Scientific). MS1 precursor

mass tolerance was set at 10 ppm, and MS2 tolerance was set at 0.6 Da. Search criteria included

variable modifications of oxidation (+15.9949 Da) on methionine residues, deamidation (+0.984 Da)

asparagine and glutamine residues. Search was performed with full trypsin/P digestion and allowed

a maximum of two missed cleavages on the peptides analyzed from the sequence database. The

false-discovery rates of proteins and peptides were set at 0.05. All data were quantified using the

label-free quantitation node of Precursor Ions Quantifier through the Proteome

Discoverer calculating protein abundances based on precursor abundances. The abundance levels of

all proteins were normalized using the total peptide amount normalization node in the Proteome
Discoverer.

**Protein-protein interaction (PPI) Network and Pathway analysis**
Pathway enrichment analysis and PPI network visualization was performed using STRING database v.
12.0 (25). All 69 proteins identified in both examinations were analysed. Keratins were eliminated
due to possible contamination concerns, and a few protein IDs were not found in the STRING
database. The final pathway analysis and visualization network included 62 proteins. For the PPI
network, the minimum required interaction score was set to medium confidence (cutoff 0.4). The
obtained network was then transferred to Cytoscape v. 3.9.1 (26) for graphical processing.

**miRNA workflow**
Two PExA samples were collected from two healthy subjects. We extracted all available PEx sPOTs
(10) from the first membrane and then, we separately processed two and one PEx sPOTs, from the
second membrane. Subsequently, we proceeded with the RNA extraction workflow. Details of the
protocol are reported in **Figure 2A**.

**RNA extraction**
Vials containing PEx sPOT were transferred directly from -80°C storage to dry ice to prevent
premature thawing, and 260 µL of Buffer RLT (Qiagen) was added while still in a frozen state.
Samples were transferred to room temperature and incubated for 10 min with intermittent
vortexing, then mixed through pipetting until PEx sPOT were disintegrated, thereby ensuring the
creation of a pseudo-uniform solution. Total RNA, including the miRNA fraction, was extracted using
the miRNeasy Tissue/Cells Advanced Micro Kit (Qiagen) according to manufacturer's instruction. The
gDNA Eliminator Spin Column step is essential as it allows to separate any paper-based particles
from the liquid, that could interfere with any downstream steps. The RNA fraction was eluted in 15
µL nuclease-free water, and the process of elution was performed twice with an incubation time of 5
min each to increase the elution efficiency. For samples destined for the qRT-PCR-based miRNA
assays, 1µl of RNA spike-in mix (Qiagen) was added to the lysis buffer during RNA isolation to
monitor RNA extraction efficacy.

**Library Preparation and RNA sequencing**
The QIAseq miRNA Library Kit (Qiagen, Hilden, Germany) was used to prepare the library, which has
integrated Unique Molecular Indices (UMIs) to facilitate measurement of pre-amplification miRNA

levels. The libraries were sequenced on the NextSeq 2000 instrument (Illumina, San Diego, US) with

a 100 nt (Read1) 8 nt (Index1) setup using 'P2' flowcell. The fastq data were uploaded to the RNA-

seq Analysis Portal (RAP) 5.0 powered by QIAGEN CLC Genomics Workbench to obtain the UMI raw

counts (reference: miRBase_v22, Homo sapiens; analysis workflow version: 1.2).

**cDNA Synthesis and qRT-PCR based miRNA assays**

For validation experiments using qRT-PCR based miRNA assays, a set of samples were collected in an

identical manner as for the RNAseq analysis described above. Following extraction, RNA was reverse

transcribed using miRCURY Locked Nucleic Acid (LNA™) Reverse Transcription (RT) kit (Qiagen)

according to the manufacturer's instruction. For all samples, the reaction mix included the maximum

allowable amount of RNA (6.5 µL) in a final volume of 10 µL. The cDNA synthesis control (UniSp6) and

the PCR amplification Ctrl (cel-miR-39-3p, called UniSp3) coming from the Spike-in mix (Qiagen) were

introduced to the reverse transcription reaction to assess its efficacy. A miRCURY LNA miRNA

Custom PCR Panel (Qiagen) was used and the set of primers for the miRNAs selected for the custom

plate were all validated for qPCR. cDNA samples were amplified using miRCURY LNA™ SYBR Green

PCR kit (Qiagen) and the real-time reaction was performed using a QuantStudio™ 7 Flex Real-Time

PCR System (Applied Biosystem Foster City, CA, USA), with the following protocol: 95°C for 2 min,

followed by 40 cycles consisting of denaturation (10 s at 95°C) and combined annealing/extension

(60 s at 56°C). Melting curve analysis from 60–95°C was performed post-amplification to evaluate

product specificity.

**RNAse activity test**

The presence of RNase activity in bronchoalveolar lavage fluid (BALF) and PEx samples was assayed

using the RNaseAlert® Lab Test Kit (Thermo Fisher Scientific). BALF samples were kept undiluted and

thawed on ice when frozen. The assays were conducted following the manufacturer's protocol, with

fluorescence measured using the Varioskan™ LUX multimode microplate reader at an excitation

wavelength of 490 nm. Additional DBS membranes were pre-treated with RNAse inactivating

reagents prior to sample collection, including RNaseZap™ (ThermoFisher Scientific) and lysis buffer

from the miRNeasy Tissue/Cells Advanced Micro kit (Qiagen).

**Evaluation of the potential interference of the membrane in the extraction of the RNA**

To test if the membrane matrix materials interfere with the RNA extraction procedure and workflow,

5 PEx sPOTs each of DBS an FTA membrane were wetted with 0.2 µL of RNA spike-in mix (Qiagen),

making a total of 1 µL across 5 punches. RNA extraction was then carried out as described above. To

control for adsorption effects, a positive control was performed by adding 1 µL of the RNA spike-in
mix directly to lysis buffer, without including any PEx sPOTs membrane.
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**Ethical Statement**
Analysis of miRNA and proteins collected by the PExA method has been approved by the Swedish
Ethical Authorities (Case no. 2022-03768-01). For the purpose of methods optimizations, PEx
material was stripped of all personal identifiers at the time of collection, and all downstream
handling and analysis was performed in a de-identified manner.

RESULTS

**PEx protein content**

Non-targeted proteome analysis of all replicates of the two experiments revealed a total of 163
proteins detectable in DBS PExA membranes, with 69 proteins in common between the two
experiments (**Figure 1A**). We identified 142 proteins in the first experiment (72 with more than 1
unique peptide) and 90 in the second (44 with more than 1 unique peptide). Of the 69 common
proteins, 58 were identified in all replicates of both experiments. All the proteins in this list that are
present in the STRING database are shown in **Figure 1B**, relevant information and detailed gene
names are reported in supplementary material (**Table S1**). The provided list exhibited strong,
statistically significant enrichment (false discovery rate, FDR ≤ 0.05) for various terms associated
with extracellular secretion-related components, such as extracellular exosome, blood micro-
particle, vesicle and secretory granule (**Figure 1C**). A complete list and further details of the pathway
analysis are reported in the supplementary materials (**Table S2**). On one PEx sPOTs, 70 proteins were
identified in all the 5 replicates, of which 43 were identified with more than one unique peptide, that
give strong statistically significant enrichment for terms associated with extracellular release
processes (data showed in supplementary material, **Table S3**), providing a good confidence of the
analysis performed even in one single PEx sPOT.

**miRNA content evaluation on DBS membrane using miRNA NGS sequencing**

Subsequently, we sequenced the PEx material to analyze the miRNA composition. Samples were
processed in accordance with the instructions provided in the material and methods section. A total
181 miRNAs were detected with at least 1 UMI count in at least 1 of the samples. A set of 39 miRNAs
were detected with at least 10 UMI counts in at least one of the samples (**Figure 2B**). The amount of
UMI counts is higher in the samples processed with 10 PEx sPOTs and then they gradually decrease
in the samples processed with 2 and 1 PEx sPOTs for both the subjects employed for this analysis.
The number of counts in the sample with only 1 PEx sPOTs are extremely low or absent for most of
the miRNAs. Most PExA-identified miRNAs are present in respiratory tract miRNA atlases (lungs,
bronchus, and saliva (27, 28)), as reported in the right part of the **Figure 2B.** The highest number of
UMI counts was registered by miR-125b-5p in the 10 PEx sPOTs of subject 1, and then the number
gradually decreased in the 2 and 1 PEx sPOT settings. Subject 2 exhibits the same trend, but with
lower counts. We detected many members of the let-7 miRNA family (let-7a-5p, let-7b-5p, let-7c-5p,
let-7e-5p, let-7f-5p, let-7i-5p) with high UMI counts. They exhibit the same reduction trend as miR-
125b-5p, transitioning from 10 to 2 to 1 PEx sPOTs.

**RNA degradation evaluation in lung related samples**

During the QC analysis of miRNA-seq data (data not shown), a significant proportion of reads that were shorter than expected mapped to RNAs other than miRNA, indicating potential RNA degradation. We then performed a fluorimetric assay to evaluate the presence of RNAse activity in the airways and exclude any contamination coming from the PEx tools and workflow. First, we measured RNase activity in PExA samples collected on a DBS membrane and in fresh and frozen BALF samples to get another biological sample coming from the airways to compare (**Figure 3A**). All samples have significantly higher fluorescence signal than the negative control, indicating strong RNase presence. We then measured the RNAse activity on the membrane only and applying some RNAse inactivation strategies (**Figure 3B**). The fluorescence level of the DBS membrane alone was comparable to the negative control. Samples collected on DBS without any protective reagents resulted in being contaminated by RNAses, even if the activity was a bit less in the samples that were immediately frozen at -80°C after the collection. In samples that were collected on DBS that had been pre-treated with lysis buffer from the RNA extraction kit, or in samples that were collected on an FTA membrane the RNAse contamination is minimal and comparable to the negative control.

**Validation of different miRNA using real-time PCR technology**

From the qRT-PCR based miRNA assays few miRNAs were identified with CT values less than 35 (**Figure 4A**). Although we currently lack controls for the regular quantification, miR-125b-5p appears to be the most abundant based on the clear melting curve plot (**Figure 4B**), as reported in the RNAseq experiment. However, some miRNAs have not been identified using this technique. The internal controls for RNA extraction (UniSp2 and UniSp4), cDNA synthesis (UniSp6), and PCR amplification (UniSp3) appear to be reliable and uniform across the different samples, ensuring a high level of reproducibility in the overall workflow (**Table S4**).

**The membranes for PExA collection do not interfere with the extraction of the RNA and the downstream steps**

To evaluate if the membranes, DBS or FTA, interfere with the workflow we used the spike-in mix used during the main experiment. We decided to use this mix instead of a real PEx sample considering extremely low amount of RNA in PEx samples and the potential variability of the collection procedures that we are trying to understand. The spike-in mix was added directly to pre-cut PEx sPOTs and processed following the PExA RNA extraction process performed in the main experiment and miRCURY LNA miRNA PCR assay steps. CT values for five synthetic miRNAs of the

spike-in kit (UniSp5, UniSp4, UniSp2 as RNA extraction controls, UniSp6 for cDNA synthesis, and

UniSp3 for RT-PCR) were comparable to controls where the mix was processed without membranes

(**Figure 5**). Thus, the DBS and FTA membranes do not interfere with RNA extraction nor affect the

enzymes used in cDNA synthesis and RT-PCR.

DISCUSSION

In this study, we report for the first time the presence of miRNAs in material collected using the
PExA methodology, and we provided novel insights into the existence of EV-miRNAs in these types of
samples. A total of 181 miRNAs were detected in PEx spots using RNA-seq analysis, with 39
identified with high confidence, and a subset further verified with qPCR. In parallel, 163 proteins
were detected using non-targeted proteomics, with 69 proteins identified reproducibly. Pathway
enrichment analyses revealed that many of these proteins are associated with extracellular vesicles
and secretory pathways, indicating the presence of EVs. Moreover, RNase activity measurements in
PEx samples as well as BALF samples indicating that the sequenced miRNAs are of intravesicular
origin.

PExA has recently gained interest as a non-invasive method for collecting material from the distal
lung compartment to study molecular changes in health and disease. Commonly used sampling
techniques such as bronchoscopy and induced sputum may exert discomfort for research subjects,
and their invasive nature makes repeated sampling and longitudinal follow-up challenging. PEx
particles have been shown to originate from respiratory tract lining fluid (RTLF) of the distal airway
and alveolar region (2, 4) with the strongest evidence coming from the detection of surfactant
proteins predominantly produced in the distal airways (21, 29). It has also been shown that the
protein content of PEx particles is rather stable, at least in healthy individuals, and thus can be used
as a source to identify biomarkers of lung pathologies (4) and a number of previous publications (2,
4, 19-21) have reported the presence of numerous proteins in PEx samples. In this study, we
performed non-targeted proteome analyses with the aim of investigating the minimal quantity of
PEx material required for analysis without compromising the reproducibility of the assay. The results
of the proteome analyses of PEx samples demonstrated a significant enrichment of GO terms related
to extracellular vesicles (EVs), including exosomes, terms already reported to be enriched in PEx (2).
Our findings thus further strengthen the notion that the protein content of PEx can be identified
with mass spectrometry-based methods and that PEx samples contain EVs, including EVs of
exosomal origin. Furthermore, we showed that this information can be captured even when using a
single PEx sPOT for the analysis. Since a single PEx membrane has 10 PEx sPOTs that can be retrieved
for the analysis, this observation paves the way for the analysis of multiple classes of biomolecules
(e.g., proteins, lipids, RNAs) from a single sampling occasion, which previously have required several
sample sessions from each subject.

It has previously been reported that EVs can function as a delivery system for miRNAs and facilitate

intercellular communication in the respiratory tract (9, 13), and numerous fundamental physiological

processes in health and diseased states are regulated by miRNAs (7-9). Our group, as well as others,

have detected exosomes and their miRNA cargo in various clinical lung samples, including BALF and

sputum supernatants in patients with asthma as well as in healthy subjects (14, 30). Cells are known

to adjust the production and composition of EVs in response to various stimuli, and quantitative

analysis of their cargo may thus provide useful insights into pulmonary disorders (30). The

observation that a significant portion of the PEx proteome is associated with EVs suggests that intact

miRNAs could be present in such samples, as their miRNA cargo would be protected from

degradation (11, 31). Shi et al. provided a comprehensive report on exhaled miRNAs in lung cancer

research utilizing the EBC sampling techniques (16). Specifically, they examine the efficacy of a

selected panel of 24 miRNAs in differentiating individuals with non-small cell lung cancer from

control subjects. Although further refinements of their exhaled miRNA interrogation technique are

required, the researchers have shown that the detection of miRNAs in EBC using qPCR can lead to a

modest enhancement in differentiating between cases and controls, beyond what can be achieved

with clinical factor models alone (16). Nevertheless, the composition of biomolecules in EBC is

significantly affected by their physical characteristics as well as their relative concentrations in the

RTLF (32). Moreover, dilution poses uncertainty in EBC, as well as in BAL, where external fluid

addition complicates concentration calculations and normalization. Conversely, PEx samples are dry

upon collection, thus enhancing the reliability of concentration measurements (4). For these

reasons, we decided to perform a miRNA-seq analysis of PEx samples in an attempt to explore the

feasibility of analyzing exhaled microRNA through this non-invasive method and optimize its

application for studying lung diseases.

The miRNAs identified through RNAseq in PEx sample have previously been reported in multiple

studies investigating miRNA profiles of the respiratory tract, including samples from lungs and

bronchi reported in the Lung Atlas project (27, 28). In particular, the highly conserved let-7 miRNA

family was detected in relatively high counts in both subjects, with let-7b-5p and let-7c-5p being the

most abundant (33, 34). The significant level of preservation observed in let-7 family

members across several animal species implies that they likely have crucial and maybe comparable

functions in the biological processes of different organisms (33, 34). As summarized by Lee et al. in

their comprehensive review of the let-7 family (35), it appears that they exert two primary biological

functions: crucial regulator of terminal differentiation and fundamental tumour suppressor.

Furthermore, let-7 family members in different respiratory diseases, including chronic obstructive

pulmonary disease, idiopathic pulmonary fibrosis, and asthma (36), providing a good rationale for

detecting them as biomarkers at different stages of diseases. For example, based on the miRNA

profile of exosomes derived from BALF, let-7 family members were included in a subset of miRNAs

that distinguishes asthmatics from healthy participants at baseline (30). The most common let-7

member found in PEx, let-7b-5p, was extensively studied by Koeppen et al. who demonstrated that

EV-derived let-7b-5p reduced biofilm formation and increased antibiotic sensitivity in *P. aeruginosa*

(36). Moreover, PEx samples contained four of the five most common miRNAs detected in airway

epithelial cells-EVs by Koeppen and colleagues (36), which account for more than half of all miRNA

sequence reads. Identification of miRNAs that are directly produced and encapsulated by airway

epithelial cells provides further support for the concept that PEx samples can yield insights into intra-

vesicular communication within the small airways. Other abundant miRNAs detected in PEx samples

from both patients were miR-125b-5p and miR-125a-5p. miR-125 family is found to regulate a set of

genes that mediate cellular responses against pathogens through modulating, for example, IL6 and

type I IFN pathways (37). In addition, the miR-125 family has been shown to be implicated in lung

carcinogenesis; however, the reports seem to find both oncogenic and tumor-suppressive functions

of these miRNAs (37). To address the potential problem of saliva contamination of the PEx samples,

an issue that has been raised in the past for PExA, we also compared our results with the miRNA of

the salivary glands (4). miR-4508, miR-1246, and miR-4492 were the only three miRNAs identified in

PEx that, based on the miRNA tissue atlas, are expressed in the bronchus and/or lung but not in the

salivary glands, but they are not the most expressed. Thus, based on these results, we cannot

completely exclude the possibility that our identified miRNAs originate from saliva; however, our

proteomic data did not detect amylase, the most prevalent protein in saliva, which has previously

been cited as evidence to deny the presence of saliva in PEx samples (4).

In addition to the existing literature about the RNA degradation occurring in biological matrices (11,

31), we demonstrated that PEx membranes following sample collection, as well as BALF, display a

very high RNase activity. These findings confirm that the airway microenvironment, specifically

samples originating from the RTLF, is rich in RNases, thereby the miRNAs detected in the PEx

samples are derived from EVs, where they are protected from RNase activity; otherwise, they would

have been rapidly degraded.

The presence of a selection of miRNAs detected by miRNA sequencing was validated using custom-

designed miRCURY LNA™ SYBR Green PCR assay. Despite the low abundance of PEx material

approaching the assay's detection limit, the most highly abundant miRNA species based on UMI

reads—including miR-125b-5p and certain let-7 family members relevant to lung function were
detectable even in a single PEx spot. Furthermore, our dilution series experiments showed that
increasing the number of PEx sPOTs used for RNA extraction resulted in an increased miRNA UMI
count for both individuals tested (Figure 2). However, in contrast to the corresponding proteome
analysis, using more than one PEx sPOT for RNA extraction is recommended. While several mature
miRNAs were detectable in a single PEx spot, 2 or more PEx spots considerably increased the
number of different miRNA species detected, as well as the qualitative information of the sampling.
However, the dilution series experiments indicated that using the full panel of 10 spots produced in
a single sampling session may not be beneficial. Given these findings, we propose that designating
five PEx sPOTs for protein analysis and five for miRNA analysis represents the optimal method
moving forward. This method guarantees adequate data from an individual patient in a single PExA
sampling session, facilitating the integration of protein and miRNA insights. Moreover, protein
content may function as a prospective normalizing factor for miRNA data in the future, especially as
additional research is required to identify universally stable miRNAs appropriate for quantitative
normalization.
441
<u>CONCLUSION</u>
This proof-of-concept study demonstrates that miRNAs can be detected in PEx samples and that the
miRNAs identified can be relevant to use in studying and monitoring different lung diseases and
conditions. Furthermore, the enrichment of proteins from the EV- and exosome compartments
along with the high level of RNAse activity detected both in BALF and PExA membranes suggests that
the detected miRNAs are derived from intact vesicles, which are known to offer protection via
encapsulation. Taken together, we demonstrate the feasibility of the PExA method as a tool for the
collection of non-invasive liquid biopsies to study EV-derived miRNAs from the small airways.

**Figure 1.** *Proteins detected in PEx and their associated Gene Ontology (GO) categories.* **A.** Graphical
outline of the experimental workflow. **B.** Pie chart with the number of proteins identified in both
experiments. **C.** The protein-protein interaction (PPI) network of proteins identified in both
experiments. The legend describes the features of the network's elements. Edges represent the
StringDB score, describing the confidence of the correspondent PPI. For the network realization, the
minimum required interaction score was set at 0.4 (medium confidence). The edges are colored
based on the co-expression interaction score (Light grey: no interaction). The yellow nodes with
black border represent proteins with the highest level of confidence for identification (> 1 unique
peptide/protein). **D.** Pathway enrichment analysis based of proteins identified in both experiments.
The table are reported the top five enriched terms for all three GO categories and subcellular

compartments. Color codes display the significance scores (FDR -Log10). All data were obtained from

stringDB (25).

**Figure 2.** *miRNA identified in PEx samples on DBS membrane using RNAseq.* **A.** Graphical outline of

the experimental workflow. **B.** List of detected miRNAs. Data are presented as UMI counts color

coded from dark green (UMI=800) to light yellow (UMI=1). Each of the two subjects are represented

by 3 columns, related to 10, 2 and 1 PEx sPOTs of starting material respectively. The right columns

display gene lists sourced from or miRNATissueAtlas2 (with expression mean > 10 as cut-off (27,

28)). A blue dot indicates the presence of the PExA identified miRNA in the corresponding list.

***Figure 3.*** *Evaluation of RNAse activity presence in the airways.* **A.** Bar graph showing the level of

RNAse activity in different sample types collected from the airways, including PEx samples collected

on DBS membrane, fresh BALF, and frozen BALF. **B.** Bar graph showing the level of RNAse activity in

PEx samples using different condition and membrane matrices (Ctrl +: positive control provided by

the kit, with a known concentration of RNAse; DBS Ctrl: DBS membrane without sample; LB Pretr. =

DBS membrane pretreated with lysis buffer from the RNA extraction kit; Ctrl -: negative control,

reaction containing RNase-free water). The dotted line represents fluorescence levels exceedingly

twofold the corresponding negative control, which is defined as the threshold for determining

whether samples are affected by RNase activity. Data are reported as mean with range when more

than one replicate. The dotted texture highlights samples with PEx material.

**Figure 4.** *miRCURY LNA™ SYBR Green PCR assay in PEx samples.* Results of PCR array performed on

the same set of samples utilized in the RNAseq analysis. **A.** Visualization of miRNA presence across

diverse samples. Grey cells represent undetected miRNAs in the respective sample. **B.** Amplification

plot of the internal controls of the array and the most abundant miRNA in S1 and S2 (miR125b-5p).

***Figure 5.*** *Evaluation of potential membrane interference during RNA extraction.* PCR cycle thresholds

for RNA spike-in controls (UniSp5, UniSp4, UniSp2) for RNA isolation, cDNA synthesis (UniSp6), and

RT-PCR (UniSp3) show comparable results between DBS (white), FTA (purple), and no membrane

(grey) conditions, with no statistically significant differences between conditions (Kruskal-Wallis

test). All graph bars are reported as mean ± SD of three different replicates.

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598

**A.**

Subject **S1** →

EXPERIMENT 1
Collection procedure of 240 ng
**Three replicates of
3 PEx sPOTs**

EXPERIMENT 2
Collection procedure of 240 ng
**Five replicates of
1 PEx sPOT**

**Proteomic Analysis**

**B.**

EXPERIMENT 1
142 proteins

73   69   21

EXPERIMENT 2
90 proteins

**C.**

**Edge Colour** – Sting DB Co-Expression
0.04 ———————— 0.95

**Edge Width** – Sting DB Score
0.40 ———————— 1.00

Identified in all the replicates?

|  | YES | NO |
|---|---|---|
| Identified with more than 1 unique peptide? YES | 🟡 | ⚪ |
| NO | 🟡 | ⚪ |

**D.**

- Log10 FDR
0.0  7.0  14.0  21.0  28.0  35.0  42.0

| TermID | Term Description |
|---|---|
| GO:0070062 | Extracellular exosome |
| GO:0005615 | Extracellular space |
| GO:0072562 | Blood microparticle |
| GO:0031982 | Vesicle |
| GO:0030141 | Secretory granule |

| | |
|---|---|
| GO:0006952 | Defense response |
| GO:0010951 | Negative regulation of endopeptidase activity |
| GO:0051346 | Negative regulation of hydrolase activity |
| GO:0045861 | Negative regulation of proteolysis |
| GO:0052547 | Regulation of peptidase activity |

| | |
|---|---|
| GO:0004866 | Endopeptidase inhibitor activity |
| GO:0061134 | Peptidase regulator activity |
| GO:0004857 | Enzyme inhibitor activity |
| GO:0030234 | Enzyme regulator activity |
| GO:0004867 | Serine-type endopeptidase inhibitor activity |

| | |
|---|---|
| GOCC:0005615 | Extracellular space |
| GOCC:0005576 | Extracellular region |
| GOCC:1903561 | Extracellular vesicle |
| GOCC:0065010 | Extracellular membrane-bounded organelle |
| GOCC:0072562 | Blood microparticle |

# A.

Subject: **S1** & **S2**

Two Collection procedures
of 240 ng per subject

**10** PEx sPOTs          **2** & **1** PEx sPOTs

**miRNeasy**
Tissue/Cells
Advanced **Micro** Kit

↓

QIAseq miRNA Library Kit +
miRNA Sequencing

# B.

UMI counts

| 1 | 50 | 100 | 500 | 800 |

N° PEx sPOTs
1    2    10

Not detected

|  | S1 | | | S2 | | | LungAtlas | BronchusAtlas | SaliGlandsAtlas | id |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | ● | ● | ● | hsa-miR-125b-5p |
| | | | | | | | ● | ● | ● | hsa-let-7b-5p |
| | | | | | | | ● | ● | ● | hsa-let-7c-5p |
| | | | | | | | ● | ● | ● | hsa-miR-125a-5p |
| | | | | | | | ● | ● | ● | hsa-let-7i-5p |
| | | | | | | | ● | ● | ● | hsa-miR-16-5p |
| | | | | | | | ● | ● | ● | hsa-let-7a-5p |
| | | | | | | | ● | ● | ● | hsa-miR-21-5p |
| | | | | | | | ● | ● | ● | hsa-miR-199a-3p |
| | | | | | | | ● | ● | ● | hsa-let-7f-5p |
| | | | | | | | ● | ● | ● | hsa-let-7e-5p |
| | | | | | | | ● | ● | ● | hsa-miR-26a-5p |
| | | | | | | | | ● | | hsa-miR-4508 |
| | | | | | | | ● | ● | ● | hsa-miR-143-3p |
| | | | | | | | ● | ● | ● | hsa-miR-10a-5p |
| | | | | | | | ● | ● | ● | hsa-miR-99b-5p |
| | | | | | | | ● | ● | ● | hsa-miR-26b-5p |
| | | | | | | | ● | ● | ● | hsa-miR-92a-3p |
| | | | | | | | ● | ● | ● | hsa-miR-146b-5p |
| | | | | | | | ● | ● | ● | hsa-miR-30d-5p |
| | | | | | | | ● | ● | ● | hsa-miR-93-5p |
| | | | | | | | ● | ● | ● | hsa-miR-206 |
| | | | | | | | ● | ● | ● | hsa-miR-29a-3p |
| | | | | | | | ● | ● | ● | hsa-miR-30a-5p |
| | | | | | | | ● | ● | ● | hsa-miR-23a-3p |
| | | | | | | | ● | ● | ● | hsa-miR-221-3p |
| | | | | | | | ● | ● | ● | hsa-miR-103a-3p |
| | | | | | | | ● | ● | ● | hsa-let-7d-5p |
| | | | | | | | ● | | | hsa-miR-4492 |
| | | | | | | | ● | ● | ● | hsa-miR-191-5p |
| | | | | | | | ● | ● | ● | hsa-miR-424-5p |
| | | | | | | | ● | ● | ● | hsa-miR-155-5p |
| | | | | | | | ● | ● | ● | hsa-miR-423-5p |
| | | | | | | | ● | ● | | hsa-miR-203a-3p |
| | | | | | | | ● | ● | | hsa-miR-1246 |
| | | | | | | | ● | ● | ● | hsa-miR-205-5p |
| | | | | | | | ● | ● | ● | hsa-miR-9-5p |
| | | | | | | | ● | ● | ● | hsa-miR-24-3p |
| | | | | | | | ● | ● | ● | hsa-miR-1-3p |