Upstream open reading frames buffer translational variability during *Drosophila* evolution and development

Yuanqiang Sun[1,#], Yuange Duan[1,#], Peixiang Gao[1], Chenlu Liu[1], Kaichun Jin[1], Shengqian Dou[2], Wenxiong Tang[1], Hong Zhang[3], & Jian Lu[1,*]

1. State Key Laboratory of Protein and Plant Gene Research, Center for Bioinformatics, School of Life Sciences, Peking University, Beijing 100871, China
2. Eye Institute of Shandong First Medical University, State Key Laboratory Cultivation Base, Shandong Provincial Key Laboratory of Ophthalmology, Qingdao, Shandong, China
3. College of Ecology, Lanzhou University, Lanzhou, Gansu, China

\# Equal contribution

* Correspondence:

Jian Lu

Email: luj@pku.edu.cn

Abstract

Protein abundance tends to be more evolutionarily conserved than mRNA levels both within and between species, yet the mechanisms underlying this phenomenon remain largely unknown. Upstream open reading frames (uORFs) are widespread *cis*-regulatory elements in eukaryotic genomes that regulate translation, but it remains unclear whether and how uORFs contribute to stabilizing protein levels. In this study, we performed ribosome translation simulations on mRNA to quantitatively assess the extent to which uORF translation influences the translational variability of downstream coding sequences (CDS) across varying contexts. Our simulations revealed that uORF translation dampens CDS translational variability, with buffering capacity increasing in proportion to uORF efficiency, length, and number. We then compared the translatomes at different developmental stages of two *Drosophila* species, demonstrating that uORFs buffer mRNA translation fluctuations during both evolution and development. Experimentally, deleting a uORF in the *bcd* gene—a prominent example of translational buffering—resulted in extensive changes in gene expression and phenotypes in *Drosophila melanogaster*. Additionally, we observed uORF-mediated buffering between primates and within human populations. Together, our results reveal a novel regulatory mechanism by which uORFs stabilize gene translation during development and across evolutionary time.

Key words: Upstream open reading frames (uORFs), TASEP modeling, stabilizing selection, translational buffer, interspecific gene expression, canalization, *bicoid*

Introduction

Organisms have evolved various strategies for the spatiotemporal regulation of gene expression [1-3]. This is important because aberrant gene expression can result in phenotypic defects or diseases, while the variation and evolution of gene expression patterns frequently promote phenotypic diversification and adaptation [4]. Although variations in mRNA abundance are widely observed within or between species, protein abundance tends to show stronger evolutionary constraint [5,6], as observed in yeasts [7-9], primates [10,11], and other organisms [12-14]. Nevertheless, the molecular mechanisms by which the conservation of protein abundance across species is achieved are largely unknown [5,6].

Eukaryotic mRNA translation is a crucial step in gene expression and is highly regulated by multilayered mechanisms [15-17]. Upstream open reading frames (uORFs), short open reading frames in the 5-terminal untranslated regions (5'UTRs) of eukaryotic mRNAs, play crucial roles in regulating mRNA translation. Approximately 50% of eukaryotic genes contain uORFs [18], and their evolution has been tightly shaped by natural selection [19-23]. The functions of uORFs have been explored in various contexts, including development [23-30], disease [31-35] and stress responses [36-43]. The prevailing consensus is that uORFs typically repress downstream coding sequence (CDS) translation by sequestering ribosomes, a process influenced by factors such as uORF length, position, and sequence context [34,43-48]. However, under stress conditions, certain uORFs can facilitate CDS translation by promoting ribosome reinitiation, illustrating their context-dependent functions [42,43,48-52].

Gene expression noise, which arises from the inherent stochasticity of biological processes such as transcription and translation, is generally detrimental to organismal fitness [53] and is primarily determined at the translational level [54]. Recent studies suggest uORFs might play essential roles in buffering translational noise and stabilizing protein expression. For example, Wu et al. (2022) demonstrated that uORFs reduce protein production rates to stabilize TOC1 protein levels, ensuring precise circadian clock function in plants [55]. Similarly, Bottorff et al. (2022) used a human cell reporter system to show that a ribosome stall in cytomegaloviral *UL4* uORFs buffers against CDS translation reductions [56]. Under stress, translation initiation is typically downregulated, yet most human mRNAs resistant to this inhibition contain translated uORFs, with a single uORF often being sufficient for resistance [42]. The computational model of Initiation Complexes Interference with Elongating Ribosomes (ICIER) suggests that derepression of downstream translation is a general mechanism of uORF-mediated stress resistance [43]. Despite these findings, the current understanding of uORFs in stabilizing translation is limited to single-gene cases or stressed conditions. It remains unclear whether and how uORFs affect gene translation variability on a genome-wide scale during evolution and development, and whether the identified mechanisms are universal or vary significantly among different taxa. To address these questions, a combination of modeling, genome-wide analyses, and comparative studies across species is required.

In this study, we first adapted the ICIER framework [43] to simulate the translating ribosome on a mRNA

to quantitatively measure the extent to which uORF translation reduces the translational variability of

the downstream CDS under different translation contexts. We then compared the translatomes of two

closely related *Drosophila* species, *D. melanogaster* and *D. simulans*, and further supported the notion

that uORFs could buffer the fluctuations of CDS translation during the development and evolution of

Drosophila. The patterns also reappeared among primates and human populations. We next knocked

out the *bcd* uORF, a case showing significant buffering effect in our data, and observed wide changes

in embryonic transcriptome and phenotypic defects in *D. melanogaster*. Together, our results

demonstrate a novel role for uORFs by maintaining translation stabilization during *Drosophila*

evolution and development.

Results

An extended ICIER model for quantifying uORF buffering in CDS translation

To quantitatively assess how uORF translation modulates the variability of downstream CDS

translation, we adapted the ICIER model [43], originally grounded in the totally asymmetric simple

exclusion process (TASEP). TASEP has been extensively utilized to model the stochastic nature of

ribosome movement along mRNA, capturing the effects of ribosome traffic jams, where ribosomes may

slow down or stall when a site ahead is occupied [57-60]. The ICIER model extends this by simulating the

interplay between scanning (40S) and elongating (80S) ribosomes, particularly focusing on how uORFs

impact the overall translation efficiency of the main CDS [43].

We extended the original ICIER model [43] with several major modifications (Fig. 1A). First, while the

original ICIER model only considered the scenario where the elongating ribosome (80S) causes

downstream scanning ribosomes (40S) to dissociate from the mRNA when they move along the mRNA

and collide, recent findings have shown that upstream dissociation can also play a critical role in uORF-

mediated regulation [56]. To incorporate this, we accounted for more complex ribosome interactions,

including three possible scenarios where the 80S collides with 40S: i) 80S only causes the downstream

40S to dissociate from the mRNA with a probability of K_{down} ("downstream dissociation", K_{down} ranging

from 0 to 1), following the original ICIER model; ii) the 80S only causes the upstream 40S to dissociate

from the mRNA with a probability of K_{up} ("upstream dissociation", $K_{up} > 0$ and $K_{down} = 0$); and iii) a

combination of the downstream and upstream dissociation models ("double dissociation", $K_{up} > 0$ and

$K_{down} > 0$).

Second, the original ICIER model only considered the ribosome collision and dissociation in the uORF

and counted the 40S scanning ribosome escaping from the uORF as a proxy of the CDS translation rate.

In our extended model, we also considered the ribosome collision and dissociation events in the CDS

that is downstream of the uORF. We recorded the number of 80S ribosomes that completed translation

at the stop codon of a CDS (N_{EC}) or uORF (N_{Eu}) during a given time interval, using these counts as

proxies to quantify the translation efficiency (TE) of CDS or uORF. These indices allowed us to directly

and quantitively measure the impact of uORF-mediated translational buffering.

Third, while the original ICIER model only considered the effect of a single uORF, we also considered

the buffering effects of two uORFs, allowing us to test the possible combinatorial effects of uORF-

mediated translation regulation in this more complex yet more common scenario, as previous studies

have shown uORFs tend to be clustered in genes [18].

These extensions allow for a more comprehensive exploration of uORF-mediated translational

buffering, offering deeper insights into how these regulatory elements might stabilize protein synthesis

across varying translation contexts.

uORF-mediated buffering of CDS translation across different parameter settings

To systematically investigate the extent to which uORF translation modulates the variability of

downstream CDS translation, we conducted simulations across various parameter settings using three

dissociation models: upstream, downstream, and double dissociation, each reflecting different possible

interactions between scanning and elongating ribosomes on mRNA. We considered a range of

parameters crucial to the translation process (Table S1), including the length of the 5' leader before the

uORF (fixed at 150 nucleotides), the length of the uORF itself (ranging from 2 to 100 codons), the

distance between the uORF stop codon and the CDS start codon (150 nucleotides), the length of the

CDS (500 codons), and the length of the 3' UTR (150 nucleotides). Additionally, we modeled the

probabilities associated with ribosome movement and initiation, such as the probability of a 40S

ribosome moving to the next nucleotide (v_s = 0.3), the probability of an 80S ribosome moving to the

next position within the uORF (v_{Eu} = 0.3) and within the CDS (v_{EC} = 0.5), and the probability of

loading a new 40S ribosome at the 5' end of the mRNA (R_{in}). We also explored different probabilities

of translation initiation at both the uORF start codon (I_{uORF}) and the CDS start codon (I_{CDS}). Key

parameters were adapted from the original ICIER model [43], ensuring a robust basis for comparison

while allowing exploration of additional variables that influence uORF-mediated translational buffering.

In our simulations, R_{in} values were varied to simulate fluctuations in translational resources, such as

ribosome availability, that could arise from genetic differences or environmental changes during

evolution or development. By generating 1,000 R_{in} values following either uniform or exponential

distributions (ranging from 0 to 0.1, Fig. S1A), we aimed to capture the natural variability in ribosome

loading rates that might occur across different species, individuals, or developmental stages. These

values were then fed into the simulation models to evaluate their impact on both the level and variability

of translation efficiency for CDSs, with the number of ribosomes completing translation on CDSs (N_{EC})

in a given time interval used as proxies for translational efficiency (Fig. S1B).

Across all model settings, uORF translation ($I_{uORF} > 0$) consistently reduced CDS translation efficiency

(N_{EC}) by about 30% to 80% as I_{uORF} increased from 0.1 to 0.5, compared to scenarios where the uORF

was absent or untranslated ($I_{uORF} = 0$) (Fig. S2 and S3). This confirms the inhibitory effect of uORF

on downstream CDS translation. Notably, the coefficient of variation (CV), a measurement of

variability for translation efficiency (N_{EC}), was lower when the uORF was translated (Fig. 1B). These

CV values further decreased by approximately 10% to 25% as I_{uORF} increased from 0.1 to 0.5 (Fig.

1B), and this buffering effect persisted across different parameter settings (Fig. S4 and S5). Moreover,

the CV of translation efficiency (N_{EC}) further decreased by about 6% to 30% as uORF length (L_{uORF})

increased from 2 to 100 codons (Fig. 1C and S6-7). These simulation results indicate that uORF

translation can reduce variability in downstream CDS translation, with the buffering capacity positively

correlated with both uORF translation initiation efficiency and length under the applied simulation

conditions.

To more quantitatively investigate the relationship between changes in uORF (N_{EU}) and CDS (N_{EC})

translation, for each parameter setting, we used the median value (R_{inm}) of the 1000 R_{in} inputs as the

baseline, calculating the corresponding N_{ECm} and N_{EUm} values. We then calculated the difference in

changes in N_{EC} (ΔN_{EC}) and N_{EU} (ΔN_{EU}) relative to N_{ECm} and N_{EUm} for each R_{in} value. Across

different parameter settings, ΔN_{EU} was consistently and significantly positively correlated with ΔN_{EC}

($P < 0.001$, Spearman's correlation) (Fig. S8-9), indicating that fluctuations of the ribosome loading

rate influence the translation of both uORFs and CDSs in the same direction. Nevertheless, our

simulations showed that variations in R_{in} led to a larger change in N_{EU} than in N_{EC}, as the median value

of δ [defined by $\log2(\Delta N_{EC}/\Delta N_{EU})$] was consistently less than 0 across various I_{uORF} and I_{CDS}

combinations (Fig. 1D and Fig. S10-11). This finding suggests that uORFs buffer against upstream

fluctuations, exhibiting greater translational fluctuations than downstream CDSs.

Simulations of mRNAs with two uORFs revealed patterns consistent with a buffering role for uORFs

(Fig. S12). To compare the buffering effects of a single uORF versus two uORFs, we calculated the

ratio of the CV of N_{EC} with two uORFs to that with a single uORF. A ratio less than 1 suggests that two

uORFs provide greater buffering than a single uORF. For comparability, we examined the CV of N_{EC}

where the I_{uORF} in the single-uORF model equals I_{uORF1} (I_{uORF} of the first uORF) in the two-uORF

model, both ranging from 0 to 0.5. The CV ratio consistently remained below 1 across a range of I_{uORF2}

(I_{uORF} of the second uORF) (Fig. S13), indicating that two uORFs offer stronger buffering than a single

uORF.

Collectively, these simulations collectively suggest: (1) uORF-mediated translational control buffers

against CDS translation variability, (2) uORFs exhibit greater translational fluctuations than

downstream CDSs, and (3) the buffering capacity of uORFs positively correlates with their translation

initiation efficiency and length. Subsequently, we sought to validate these simulation results in a

biological context by confirming the uORF-mediated buffering effect during organismal evolution and

development, as both processes face environmental and/or genetic changes that frequently disturb

mRNA translation.

Generating matched translatome data from two *Drosophila* species for comparative analysis

To validate the uORF-mediated translational buffering during *Drosophila* evolution, we performed a

comparative analysis of the translatomes of two closely related *Drosophila* species, *D. melanogaster*

and *D. simulans*, which diverged approximately 5.4 million years ago [61]. We generated high-throughput

sequencing data for *D. simulans*, including transcriptome (mRNA-Seq) and translatome (Ribo-Seq)

profiles from various developmental stages and tissues, such as embryos at 0-2 h, 2-6 h, 6-12 h, and 12-

24 h, third-instar larvae, P7-8 pupae, female and male bodies, and female and male heads. In total, we

obtained approximately 786 million high-quality reads for *D. simulans* (Table S2). These datasets were

designed to be directly comparable to the previously published *D. melanogaster* data [23], with identical

embryonic stages and tissue types. This comprehensive comparative translatome analysis, utilizing

matched developmental stages and tissues between the two species, allowed us to test the uORF-

mediated translational buffering effects during evolution.

Translational conservation and dominance of uORFs between *Drosophila* species

Given that the translation of uORFs is a crucial determinant for their functional impact, we first

characterized the translational profiles of uORFs in *D. melanogaster* and *D. simulans* to explore the

evolutionary roles of uORFs in gene regulation. We identified 18,412 canonical uORFs shared between

the two species (referred to as conserved uORFs hereafter), 2,789 uORFs specific to *D. melanogaster*

and 2,440 uORFs specific to *D. simulans*. The translational efficiencies (TEs) of the conserved uORFs

were highly correlated between the two species across all developmental stages and tissues examined,

with Spearman correlation coefficients ranging from 0.478 to 0.573 (Fig. 2A). Notably, conserved

uORFs exhibited significantly higher translational efficiencies (TEs) compared to species-specific

uORFs in both species. The median TE of conserved uORFs was 1.62 times that of non-conserved

uORFs in *D. simulans*, while the corresponding ratio in *D. melanogaster* was 1.52 (Fig. 2B).

In *D. melanogaster*, 7,259 (52.2%) genes had no uORFs, 2,687 (19.3%) had a single uORF, and 3,961 (28.5%) contained multiple uORFs. Among genes with multiple uORFs, one uORF generally emerged as dominant, displaying a higher TE than the others within the same gene (Fig. 2C). The median TE of the dominant uORF was 4.84 times that of the second-highest uORF within the same gene in *D. melanogaster*, and the corresponding ratio was 5.21 times in *D. simulans* (Fig. 2C). To assess the consistency of this dominance across different tissues and developmental stages, we identified 3,072 multiple-uORF genes in *D. melanogaster* with at least one translated uORF (TE > 0.1 in at least five stages/tissues). Of these, 569 genes consistently used the same dominant uORF across the measured samples, significantly higher than the number expected under randomness (5 genes, 95% confidence interval: 1-10) based on shuffling the TEs of uORFs 1,000 times (Fig. S14). This trend was also observed in *D. simulans* and persisted under different thresholds for defining "translated uORFs" (Fig. S14). Furthermore, the dominant uORFs showed a higher proportion of conserved uATGs than the other translated uORFs (median proportion 82.5% versus 78.8%, *P* < 0.001) (Fig. 2D). Additionally, TE fold-changes ($|\log_2$TE-FC$|$) between the two species were, on an average, 23.2% smaller for dominant uORFs than for other conserved uORFs (Fig. 2E), suggesting that dominant uORFs are more likely under stronger stabilizing selection. These findings suggest that, in genes with multiple uORFs, the dominantly translated uORF may play a more important role in regulating CDS translation than the other uORFs."

uORFs and CDSs show correlated translation differences between *D. melanogaster* and *D. simulans*

To investigate the relationship between translational changes in uORFs and their downstream CDSs across species, we analyzed the translation efficiency (TE) of uORFs and corresponding downstream CDSs in *D. melanogaster* and *D. simulans*. Consistent with our simulations that the translation of a uORF is tightly linked to that of downstream CDS, uORFs exhibited a significant positive correlation with the TE of their downstream CDSs in all samples analyzed (*P* < 0.001, Spearman's correlation) (Fig. 3A). We then compared the interspecific TE change of a uORF ($\beta_u = TE_{uORF,sim}/TE_{uORF,mel}$) with that of its corresponding CDS ($\beta_C = TE_{CDS,sim}/TE_{CDS,mel}$) between *D. melanogaster* and *D. simulans*. We found β_u is significantly positively correlated with β_C across all samples (*P* values < 0.001, Spearman's correlation) (Fig. 3B). These results align well with our simulations, which showed that fluctuations in translational factors (such as ribosomes) influence both uORF and CDS translation in the same direction (Fig. S8-S9).

uORFs buffer interspecific translational divergence of CDSs

While the direction of translational efficiency (TE) changes for uORFs and CDSs tends to be consistent, our simulations suggest that the magnitude of TE changes in CDSs is generally smaller due to the

buffering effect of uORF translation (Fig. 1B, S4-5). To validate this, we first identified uORFs and

CDSs with significant interspecific TE differences by assessing whether β_u or β_c significantly deviated

from 1, using an established statistical framework [23]. This analysis uncovered 1,151 to 4,189 CDSs with

significant interspecific TE changes (FDR < 0.05) (Table 1), with genes involved in development,

morphogenesis, and differentiation being significantly enriched during embryonic stages (Fig. S15).

Conversely, genes related to metabolism, response to stimuli, and signaling were enriched in larval,

pupal, and adult stages (Fig. S15). Additionally, we identified 144 to 1,193 uORFs with significant TE

differences between species, accounting for approximately 1-15% of expressed uORFs (Table 1). The

smaller number of uORFs showing significant TE changes compared to CDSs between *D. melanogaster*

and *D. simulans* likely reflects their shorter length and reduced statistical power, rather than indicating

that uORFs are less variable in translation than CDSs.

To further investigate, we quantitatively compared the magnitude of interspecific TE changes between

uORFs and their corresponding CDSs using a previous method [23]. We defined $\gamma = \beta_c / \beta_u$ for a uORF-

CDS pair within the same mRNA and tested whether γ was significantly different from 1 to identify

pairs with differential TE changes between uORFs and CDSs (Fig. S16). When $\gamma < 1$ and $\beta_u > 1$, or $\gamma >$

1 and $\beta_u < 1$, it indicates that the TE change for the CDS is smaller than that for the uORF (Fig. S16).

Among CDS-uORF pairs where $\beta_u > 1$, nearly all (8-487) showed a significant $\gamma < 1$ in each sample,

except for one pair from the pupal stage where $\gamma > 1$ (Table 1). This suggests that the magnitude of TE

changes in CDSs was generally smaller than in uORFs when uORF TE increased, and vice versa when

uORF TE decreased ($\beta_u < 1$) (Table 1). These comparative translatome analyses indicate that uORFs

buffered mRNA translation changes during the evolutionary divergence of *D. melanogaster* and *D.*

simulans.

uORF buffering is influenced by its conservation, dominance, and length

To investigate how the conservation level and translation patterns of uORFs influence their buffering

capacity on CDS translation, we categorized genes expressed in each pair of samples into three classes:

Class I, genes with uORFs conserved and dominantly translated in both *Drosophila* species; Class II,

genes with conserved uORFs translated in both species but not dominantly in at least one; and Class III,

the remaining expressed genes. We then compared the interspecific translation efficiency (TE) changes

of the CDS ($|\beta_c|$) across these three categories. Significant differences in $|\beta_c|$ were observed, with a

consistent hierarchy of Class I < II < III across all pairs of samples (Fig. 3C and S17). On average, Class

I genes exhibited an average of 8.18% and 23.8% lower $|\beta_c|$ values compared to Class II and Class III,

respectively. This indicates that conserved and dominantly translated uORFs exert a stronger buffering

effect on CDS translation.

To further validate the simulation results suggesting that longer uORFs have a stronger buffering effect

(Fig. 1C and S6-7), we divided genes expressed in each pair of samples into three groups: those without

translated uORFs (No), those with short uORFs (short, total length below the median), and those with

long uORFs (long, total length above the median). Consistently, longer uORFs were associated with

stronger buffering effects on CDS translation across all pairs of samples (Fig. 3D and S18). Specifically,

genes with longer uORFs showed 12.7% and 26.5% lower $|\beta_c|$ values compared to genes with short

uORFs or no uORFs, respectively.

Overall, these findings underscore that the buffering capability of a uORF is positively correlated with

its conservation level, translation dominance, and length.

uORFs buffer translational fluctuations during *Drosophila* development

Gene expression undergoes dynamic changes during *Drosophila* development, with significant

alterations in the translation program to meet developmental demands, including shifts in ribosome

loading rates [24,62,63]. Therefore, we extended our analysis to investigate the role of uORFs in buffering

these translational fluctuations, hypothesizing that uORFs could mitigate them during development.

According to our hypothesis, if a gene has a translated uORF in *D. melanogaster* but not in its

orthologous gene in *D. simulans*, then the translation of this gene is likely more stable across

developmental stages in *D. melanogaster* than its ortholog in *D. simulans*, and vice versa.

To test this hypothesis, we compared the CV of CDS TE across 10 developmental stages in *D.*

melanogaster and in *D. simulans*, respectively. Genes with translated uORFs (TE>0.1 in at least one

sample) in *D. melanogaster* exhibited significantly 22.5% smaller CVs in *D. melanogaster* than their

orthologs lacking these uORFs in *D. simulans*, indicating more stable translation (Fig. 4A). Consistently,

for genes with translated uORFs in *D. simulans* but not in *D. melanogaster*, the CVs were 13.3% lower

in *D. simulans* compared to their orthologs lacking these uORFs in *D. melanogaster* (Fig. 4B).

Consistent results were observed when a uORF is required to be translated (TE > 0.1) in all 10 samples

(Fig. S19A), in the 4 embryonic stages (Fig. S19B) or in the 6 stages including embryos, larva, and

pupa (Fig. S19C). Moreover, within each species, genes with translated uORFs also showed less

variability in CDS TE across developmental stages compared to those without translated uORFs, with

a 31.8% reduction in *D. melanogaster* and a 28.9% reduction in *D. simulans* (Fig. 4C). This effect was

consistent across different thresholds for defining "translated uORFs" (Fig. S20).

These results suggest that uORFs function as translational buffers, reducing gene translation

fluctuations during *Drosophila* development.

Knocking out the uORF of *bcd* increased *bcd* CDS translation in *D. melanogaster*

After verifying the uORFs-mediated translational buffering during *Drosophila* evolution and development, we next aimed to directly explore the biological function of these buffering-capable uORFs in vivo. We first applied stringent criteria to identify uORFs with significant buffering effects on CDS translation between *D. melanogaster* and *D. simulans*. Specifically, we looked for 1) uORFs with significant TE changes ($|log_2(\beta_u)| > 1.5$, adjusted $P < 0.05$), 2) negligible changes in its corresponding CDS translation ($|log_2(\beta_C)| < 0.05$, adjusted $P > 0.05$), and 3) a significant difference between the magnitude of these changes ($|log_2(\gamma)| > 1.5$, adjusted $P < 0.05$). We identified 131 uORF-CDS pairs in 103 genes that meet these criteria in at least one stage/tissue (Table S3), with a majority of the genes (67%, 69 out of 103) from embryonic stages (Fig. S21A), suggesting a crucial role for uORFs in maintaining translational stability during early development. Among these genes, one notable case is the *bicoid* (*bcd*) gene, a master regulator of anterior-posterior axis patterning during early embryogenesis [64-66]. The *bicoid* gene contains a 4-codon uORF (excluding the stop codon) in its 5' UTR, and branch length score (BLS) analysis [18] showed that the start codon (uATG) of the *bicoid* uORF is highly conserved across the *Drosophila* phylogeny, with a BLS of 0.90 (on a scale from 0 to 1, where higher values indicate greater conservation) (Fig. 5A and S21B). Ribo-Seq data revealed that in 0-2 h embryos, the TE of the *bcd* uORF varied more than threefold, while the TE of the CDS was virtually the same between *D. melanogaster* and *D. simulans* (Fig. 5B and Table S3). This suggests that the *bcd* uORF buffers translation during early development.

To investigate the regulatory role of the *bcd* uORF, we used CRISPR-Cas9 to knock out its start codon in *D. melanogaster*, generating two mutant homozygotes (uKO1/uKO1 and uKO2/uKO2) with a genetic background matched to that of the wild-type (WT) (Figs. 6A and S22). To determine whether these mutations enhanced *bcd* CDS translation, we performed ribosome fractionation followed by qPCR [67-69], comparing *bcd* mRNA levels in polysome and monosome fractions (P-to-M ratio) from 0-2 h embryos of uKO1/uKO1, uKO2/uKO2, and WT flies (Fig. 6B). A larger P-to-M ratio means more mRNAs are enriched in the polysome fractions and bound by more ribosomes, thus indicative of higher translation efficiency. P-to-M ratios were higher in the mutants compared to WT at 29°C (Fig. 6C), with a similar but less pronounced trend at 25°C, where the difference between uKO2/uKO2 and WT was not statistically significant (Fig. 6C). These findings, along with the known impact of temperature on gene expression and phenotypic plasticity [70,71], suggest that the regulatory function of the uORF and overall translation efficiency are temperature-sensitive, highlighting a complex interplay between environmental conditions and gene regulation. To further confirm the *bcd* uORF's regulatory function, we conducted dual-luciferase reporter assays. The 5'UTR from *bcd* mutant (uKO1 or uKO2) or WT was cloned into a *Renilla* luciferase reporter construct (Fig. 6D). Luciferase activity was significantly higher in uKO1 and uKO2 compared to the WT 5'UTR, confirming the repressive role of the *bcd* uORF.

bcd uORF mutants show wide transcriptomic alteration during *Drosophila* embryogenesis

Since Bcd regulates the expression of many zygotic genes [64-66], we anticipated that the increased translation of *bcd* resulting from disrupting the uORF would influence *Drosophila* transcriptomes and phenotypes. To verify this notion, we performed RNA sequencing on embryos from WT and uKO2/uKO2 flies at four developmental stages (0-2 h, 2-6 h, 6-12 h, and 12-24 h) under both 25°C and 29°C conditions, using two biological replicates (Table S4 and Fig. S23). Differential expression analysis revealed widespread alterations in gene expression between WT and mutant embryos, with the number of differentially expressed genes (DEGs) increasing over developmental time and at higher temperature. At 25°C, we identified 674, 817, 1047, and 2,041 DEGs in 0-2 h, 2-6 h, 6-12 h, and 12-24 h embryos, respectively; while at 29°C, we detected 3,884, 2,358, 2,901, and 4,164 DEGs in the corresponding stages (Fig. 6D). The majority of DEGs were stage-specific, with only a small fraction consistently differentially expressed across all four stages. Functional enrichment analysis of the DEGs revealed distinct biological pathways affected at each stage, including cell morphogenesis and pattern specification in 0-2 h embryos, metabolic processes and tissue development in 2-6 h and 6-12 h embryos, and mitochondrial respiration in 12-24 h embryos (Fig. 6E). Notably, direct targets of Bcd [72] were significantly enriched among the DEGs in three out of the four stages, with the exception of 2-6 h embryos (Fig. S24). RT-qPCR validation of 20 target genes of Bcd confirmed the reliability of the RNA-seq differential expression analysis (Fig. S25). Together, these findings demonstrate that disruption of the *bcd* uORF leads to widespread transcriptional changes during *Drosophila* development, affecting processes ranging from embryogenesis to postembryonic metabolism.

bcd uORF mutants display decreased hatching rates and starvation resistance

Given the widespread transcriptome alterations, we anticipated phenotypic abnormalities in the *bcd* uORF mutants. As expected, both uKO1/uKO1 and uKO2/uKO2 mutants exhibited significantly lower hatching rates compared to WT ($P = 1.4 \times 10^{-6}$ and 7.9×10^{-6}, respectively, Wilcoxon rank sum test [WRST]; Fig. 7A). At 25°C, uKO1/uKO1 mutants produced fewer offspring than WT flies ($P < 0.001$, WRST, Fig. 7B). Given that *bcd* is a maternal gene, we expected reciprocal crosses between uKO1/uKO1 mutants and WT flies to produce different outcomes. Indeed, crossing uKO1/uKO1 males with WT females resulted in offspring numbers similar to those from WT crosses, while crossing uKO1/uKO1 females with WT males yielded offspring numbers comparable to crosses between uKO1/uKO1 mutants (Fig. 7B). This verified that the reduction in offspring is due to maternal defects in the uKO1/uKO1 mutants. Similar patterns were observed for uKO2/uKO2 mutants at 25°C (Fig. 7B). Notably, the fecundity reduction in uORF-KO mutants was more pronounced at 29°C (Fig. 7C). Furthermore, crossing uKO1/uKO1 and uKO2/uKO2 mutants produced significantly fewer progeny than WT flies (Fig. 7C), ruling out genetic background or off-target effects. Collectively, these data demonstrate that disrupting the *bcd* uORF significantly impaired hatchability and fertility.

398   We also found both uKO1/uKO1 and uKO2/uKO2 female mutants perished significantly faster than
399   WT flies under starvation conditions (Fig. 7D). Males showed similar tendencies, although the
400   difference was not statistically significant for uKO1/uKO1 mutants (Fig. 7D). These data suggest that
401   the knockout of *bcd* uORF diminished starvation resistance in adults, likely due to embryogenesis
402   abnormalities induced by the *bcd* uORF deletion, even in those that successfully developed to adulthood.
403
Conservation of uORF-mediated translational buffering in primates
To explore the generality of uORF-mediated translational buffering across evolutionary clades, we
analyzed previously published transcriptome and translatome data from three tissues (brain, liver, and
testis) in humans and macaques [73]. We identified 33,680 canonical uORFs in humans and 29,516 in
macaques, with 24,385 conserved between the two species. Despite the larger number of uORFs in
primates compared to *Drosophila* due to differences in genome size and gene number, the median TE
of conserved uORFs was 1.79 times that of non-conserved uORFs in humans, and the corresponding
ratio was 3.43 in macaques (Fig. 8A and Fig. S26). TEs of uORFs were positively correlated between
humans and macaques across all tissues ($P < 0.001$, Fig. 8B). Additionally, significant positive
correlations were observed between the TEs of uORFs and their corresponding coding sequences (CDSs)
in all tissues (Fig. S27). Although interspecific TE divergence of uORFs (β_u) and CDSs (β_C) were
positively correlated ($P < 0.001$, Fig. 8C), uORFs generally exhibited larger divergence (Table S5).
Notably, longer uORFs showed stronger buffering effects on CDS translation, reducing interspecific
TE divergence by 12.9% and 38.0% compared to genes with short or no uORFs (Fig. 8D and Fig. S28).
418
As in *Drosophila*, we categorized expressed human genes into three classes based on uORF
conservation and translation: Class I, genes with conserved and dominantly translated uORFs in both
humans and macaques; Class II, genes with conserved uORFs translated in both species but not
dominantly in at least one; and Class III, the remaining expressed genes (Fig. 8E and Fig. S29).
Consistent with findings in *Drosophila*, significant differences in $|\beta_c|$ between humans and macaques
were observed in the order of Class I < II < III, with Class I genes showing 9.8% and 17.1% lower $|\beta_c|$
values compared to Class II and Class III genes, respectively (Fig. 8E and Fig. S29). These similarities
between primates and *Drosophila*—two clades that diverged over 700 million years ago [74] —suggest
that uORF-mediated translational buffering is a widespread mechanism for stabilizing gene translation
across evolutionary clades.
429
We also analyzed matched mRNA-Seq and Ribo-Seq data from 69 human lymphoblastoid cell lines
[75,76] to test whether uORFs buffer against translational variability across different individuals within
humans. Genes with translated uORFs exhibited, on average, 8.65% lower CVs in CDS TE across
individuals than genes without translated uORFs (Fig. 8F), with longer uORFs showing stronger

buffering effects (Fig. S30). Collectively, these findings suggest that uORFs play a crucial role in

reducing translational variability both across evolutionary clades and within species.

Discussion

Translational control is vital for maintaining protein homeostasis and cellular activities [77,78]. However,

the mechanisms underlying the conservation of protein abundance across species remain largely

unknown [5,6]. In this study, we extended the ICIER model and conducted simulations to explore uORFs'

regulatory roles in buffering translation during evolution. Our simulations demonstrated that uORF

translation reduces variability in downstream CDS translation, with buffering capacity positively

correlated with uORF translation efficiency, length, and number. Comparative translatome analyses

across developmental stages of two *Drosophila* species provided evidence that uORFs mitigate

interspecific differences in CDS translation. Similar patterns were observed between humans and

macaques, and between human individuals, suggesting that uORF-mediated buffering is an

evolutionarily conserved mechanism in animals. Additionally, in vivo experiments showed that

knocking out the *bcd* uORF led to aberrant embryogenesis and altered starvation resistance, with more

pronounced phenotypic effects at higher temperatures, supporting the role of uORFs in fine-tuning

translation and phenotypic outcomes.

While the prevailing consensus on uORFs' functions is that they repress the translation of downstream

CDS by sequestering ribosomes [34,43-48], recent studies based on suggest uORFs might play essential

roles in buffering translational noise and stabilizing protein expression [43,55,56]. While these studies have

primarily focused on the role of uORFs in single-gene cases or under stress conditions, our work

expands this knowledge by demonstrating that uORFs act as a general mechanism for buffering

translational variability on a genome-wide scale across multiple species and developmental stages. Our

findings also suggest that uORF-mediated translational buffering is not merely a response to

environmental stress but an evolutionarily conserved mechanism integral to maintaining protein

homeostasis across species. Organisms can buffer phenotypic variation against environmental or

genotypic perturbations through "canalization" [79]. The discovery that uORFs are crucial for *Drosophila*

development links them to canalization, providing evidence that uORFs reduce interspecific CDS

translation differences and enhance phenotypic stability. While previous studies primarily focused on

the stabilization of transcriptional level [80-84] or protein level [55,82,85-87], our study opens new avenues for

exploring how organisms maintain phenotypic robustness at the translational level through molecular

mechanisms like uORF-mediated buffering. This study lays the groundwork for future research into the

evolutionary and functional roles of uORFs, particularly in the context of canalization and adaptive

evolution.

The original ICIER model was devised to elucidate how a single uORF can confer resistance to global

translation inhibition on its host gene during stress conditions [43]. It proposed that an elongating ribosome

(80S) causes downstream 40S subunits to dissociate from the mRNA after collision. Our revised model

expands this scope to include bidirectional dissociation, where an 80S ribosome can release both

upstream and downstream 40S subunits, reflecting recent findings that 80S primarily causes upstream

40S dissociation during 40S/80S collisions [56]. Mechanistically, increasing the loading rate of 40S

ribosomes onto the mRNA (R_{in}) elevates the density of 40S and 80S ribosomes scanning the uORF,

thereby raising the likelihood of 40S-80S collisions and subsequent 40S dissociation. This leads to a

reduced flow of 40S ribosomes reaching the CDS relative to the initial increase in 40S at the 5' end of

the mRNA and uORF. Conversely, a decrease in 40S ribosome loading rate reduces the density of

scanning ribosomes, diminishing the probability of 40S-80S collisions and 40S dissociation, and

partially restoring the flow of 40S ribosomes to the CDS. Overall, the 40S-80S collision mechanism

and subsequent 40S dissociation at the uORF moderate downstream CDS translation less than changes

in ribosome loading at the 5' end of the mRNA or uORF. The revised model underscores the analogy

of uORFs as "molecular dams" adeptly modulating the stream of ribosomes and cushioning downstream

CDS translation against fluctuations. Further, the revised model integrates ribosomal collisions and

separations within the CDS located downstream of the uORF, shifting the focus from merely tracking

40S escape rates from the uORF to directly quantifying completed translations by 80S ribosomes at the

terminus of both uORFs and CDSs. This methodology provides a more direct and quantitative

assessment of CDS translation efficiency, offering new insights into the buffering role of uORFs.

Additionally, our study broadens its perspective to account for the potential interactive effects of

multiple uORFs within a gene, recognizing the prevalent clustering of these regulatory elements and

their combinatorial influence on translational control. In essence, our study presents a nuanced and

comprehensive expansion of the ICIER model, paving the way for a deeper understanding of uORFs as

pivotal elements in the maintenance of protein synthesis stability under variable translational conditions.

However, we realize the existence of alternative mechanisms governing uORF function, such as those

found on traditional repression models [55] or ribosome queuing and re-initiation strategies [56]. As such,

further computational studies are needed to dissect and understand the full spectrum of uORF-mediated

translational regulation.

The *bcd* gene, crucial for *Drosophila* embryogenesis, has been well studied [65,66,88-92], but its uORF

remains underexplored. Our study showed that deleting the *bcd* uORF led to abnormal phenotypes and

reduced fitness, underscoring the importance of uORF-mediated translation. These effects were more

severe under heat stress (29C°) than at normal temperatures (25C°), suggesting uORFs play a vital role

in buffering phenotypic variation. Rescue experiments, typically used to confirm that phenotypic

changes are due to specific genetic edits, faced challenges in our study and previous uORF-KO

experiments in animals [93,94] and plants [95-98]. We altered the start codon of the *bcd* uORF to minimize

effects on the 5' UTR, without impacting the coding sequence, making traditional rescue experiments

impractical. To control for genetic background variations, we backcrossed two *bcd* uORF-KO mutant

strains with w^{1118} flies for nine generations, using *w1118* as a control. Both uORF-KO mutants

(uKO1/uKO1 and uKO2/uKO2) consistently showed reduced progeny, ruling out background or off-

target effects. Crosses between the two mutants produced fewer offspring than WT crosses, confirming

that the reduced progeny was due to the uORF deletions, not genetic background. As *bcd* is maternally

inherited, we expected defective phenotypes only in offspring from mutant females crossed with wild-

type males. This was confirmed: uKO1/uKO1 males crossed with wild-type females had normal

offspring, while uKO1/uKO1 females crossed with wild-type males had reduced offspring, similar to

mutant crosses. Similar results were observed for uKO2/uKO2 mutants. These findings confirm that

disrupting *bcd* uORFs significantly reduces hatchability and fertility, effects not attributable to genetic

background. Our results highlight the critical role of *bcd* uORFs in development and fecundity, and

provide a basis for future studies on uORF regulation in other genes across species.

Taken together, this study demonstrates the role of uORF-mediated translational buffering in mitigating

variability in gene translation during species divergence and development, using large-scale

comparative transcriptome and translatome data. Our work addresses gaps in understanding the

stabilization of gene expression at the translational level and offers new insights into the evolutionary

and functional significance of uORFs.

Materials and Methods

Modeling the uORF-mediated buffering effect on CDS translation

We adapted the stochastic ICIER framework developed by Andreev *et al.* [43] based on the TASEP model, with several major modifications. First, we concatenated a 500-codon CDS downstream of the uORF. A leaky scanning ribosome from the uORF would initiate translation at the CDS start codon with a probability of I_{CDS}. The probability of elongating ribosomes moving along the CDS (v_{EC} = 0.5) in each action was higher than that along uORFs (v_{Eu} = 0.3) in the model settings, considering that uORFs usually encode blocking peptides [99-103] or contain stalling codons [56,104,105]. Second, we recorded the number of elongating ribosomes that completed translation at the stop codon of a CDS (N_{EC}) or uORF (N_{Eu}) during a given time period and regarded them as proxies for quantifying the CDS or uORF TE. Third, we considered three models for simulating the consequences of scanning ribosomes colliding with elongating ribosomes mentioned above: a downstream dissociation model, an upstream dissociation model, and a double dissociation model.

In detail, the mRNA molecule structure in the simulations consisted of five parts: a 5'-leader before the uORF (150 nucleotides), the uORF (ranging from 2 to 100 codons), a segment between the uORF and CDS (150 nucleotides), the CDS (500 codons) and the 3'UTR (150 nucleotides). The R_{in} determined the loading rate of the 40S scanning ribosomes on the mRNA 5'-terminus, and it roughly represented the availability of *trans* translational resources in the cell. We generated two sets of R_{in} values (Fig. S1A) to simulate the variation in the availability of translational resources (40S scanning ribosomes, etc.): i) 1000 values of R_{in} were generated from a random generator of a uniform distribution, $U(0, 0.1)$; ii) 1000 values were first generated from a random generator of an exponential distribution, $E(1)$, and then each of the 1000 values was divided by 70 to obtain 1000 values of R_{in}. I_{uORF} and I_{CDS} represent the probability of translation at the start codon of a uORF or CDS, respectively. We used different combinations of I_{uORF} and I_{CDS} to test how translational initiation strength influenced the buffering effect of uORFs. v_s determined the scanning rate of the 40S ribosome, and v_{Eu} and v_{EC} determined the elongation rate of the 80S ribosome at a uORF or CDS, respectively. All the parameters used in our simulation are listed in Table S1.

The mRNA molecule was modeled as an array, and the value of each position in the array represented the occupation status of ribosomes along the mRNA molecule. The process of translation was simulated by a series of discrete actions referred to Andreev *et al.* [43]. Upon each action, there was a probability that certain events would occur, including the addition of a 40S ribosome to the 5'-terminus, the transformation of a 40S ribosome into an 80S ribosome at a CDS or uORF start codon, the movement of a 40S ribosome or 80S ribosome to the next codon in the CDS or uORF, etc. More specific operations

in each action were described in Supplementary Materials and Methods. The simulation code is freely

available at GitHub: https://github.com/lujlab/uORF_buffer.

After 1,000,000 actions, we recorded the number of 80S ribosomes that completed translation at the

uORF (N_{Eu}) and CDS (N_{EC}) for each R_{in} input, which was used to represent the protein production

rates (i.e. translation efficiency) of the uORF and CDS, respectively. To test the effects of different

factors, we used various combinations of the parameters in Table S1 in the simulations. For a given

distribution of R_{in} input (1000 values) and the dissociation model, we obtained the corresponding 1000

N_{EC} values and their CV values by calculating the ratio of the standard deviation to the mean of N_{EC}.

In the double-uORF simulation, we only adopted a uniform distribution of R_{in} input and the

downstream dissociation model. Most parameters and simulation processes were similar to those in the

single-uORF simulation, except that an additional uORF was introduced upstream of the CDS with an

initiation probability of I_{uORF2}.

Annotation of uORFs in *D. melanogaster* and *D. simulans*

We downloaded the whole-genome sequence alignment (maf) of *D. melanogaster* (dm6) and 26 other

insect species from the University of California Santa Cruz (UCSC) genome browser (genome.ucsc.edu)

[106]. To improve the genome correspondence between *D. melanogaster* and *D. simulans*, we adopted a

newly published reference-quality genome of *D. simulans* (NCBI, ASM438218v1) [107] to replace the

original *D. simulans* genome in UCSC maf. We softly masked repetitive sequences in the new genome

by RepeatMasker 4.1.1 (http://www.repeatmasker.org) and aligned it to dm6 with lastz [108] in

runLastzChain.sh following UCSC guidelines. The lastz alignment parameters and the scoring matrix

were the same as the parameters of dm6 and droSim1 in UCSC. Chained alignments were processed

into nets by the chainNet and netSyntenic programs. The alignment was integrated into the multiple

alignments of 27 species with multiz [109].

Based on the genome annotation of *D. melanogaster* (FlyBase r6.04, https://flybase.org/), we used the

Galaxy platform to parse the multiple sequence alignments of 5'UTRs in *Drosophila*. The 5'UTR

sequences of each annotated transcript from *D. melanogaster* and the corresponding sequences in *D.*

simulans were extracted from the maf. The start codons of putative uORFs were identified by scanning

all the ATG triplets (uATGs) within the 5'UTRs of *D. melanogaster* and *D. simulans*. uATGs that

overlapped with any annotated CDS region were removed. The presence or absence of each uATG of

D. melanogaster was determined at orthologous sites in *D. simulans* based on multiple genome

alignments, and vice versa. The conserved uORF was defined as a uORF where its uATG was present

in both *D. melanogaster* and the corresponding orthologous positions of *D. simulans*. For each protein-

coding gene, we only considered the canonical transcript. For transcripts containing multiple uORFs,

we defined the uORF that showed the highest TE as the dominant uORF. The branch length score (BLS)

of the uATGs was calculated as we previously described [18].

Fly materials and general raising conditions

The *sim4* strain of *D. simulans* was used to generate all the libraries of *D. simulans* in this study. All

flies were raised on standard corn medium and grown in 12 h light: 12 h dark cycles at 25 ℃ for general

conditions or at 29 ℃ for specific experimental design. The samples of embryos at different stages, larva,

pupa, bodies and heads were collected following a previous protocol [23].

Processing *Drosophila* mRNA-Seq and Ribo-Seq data

Ribo-Seq and matched mRNA-Seq libraries for different developmental stages and tissues of *D.*

simulans were constructed as we previously described [23] and sequenced on an Illumina HiSeq-2500

sequencer (run type: single-end; read length: 50 nt) according to the manufacturer's protocol. The 3'

adaptor sequences (TGGAATTCTCGGGTGCCAAGG) were trimmed using Cutadapt 3.0 with default

parameters [110], and the NGS reads were mapped to the genomes of yeast, *Wolbachia*, *Drosophila* viruses

and the sequences of tRNAs, ribosomal RNAs (rRNAs), small nuclear RNAs (snRNAs) or small

nucleolar RNAs (snoRNAs) of *D. melanogaster* (FlyBase r6.04) and *D. simulans* (FlyBase r1.3) using

Bowtie2 version 2.2.3 [111] with the parameters -p8 --local -k1. The mapped reads of these

genomes/sequences were further removed in the downstream analysis.

After filtering, the mRNA-Seq and Ribo-Seq reads were mapped to the reference genomes of *D.*

melanogaster (FlyBase, r6.04) and *D. simulans* (NCBI, ASM438218v1) respectively using the Spliced

Transcripts Alignment to a Reference (STAR) algorithm [112]. For Ribo-Seq reads, we assigned a mapped

RPF (27-34 nt in length) to its P-site using the psite script from Plastid [113]. The uniquely mapped reads

were extracted and then mapped to the CDS of *D. melanogaster* and *D. simulans* respectively using

STAR [112]. The P-sites of RPF or mRNA-Seq reads that overlapped with a CDS were counted separately.

The reads that were not mapped to CDSs were then mapped to the 5'UTRs of *D. melanogaster* and *D.*

simulans. The P-sites of RPF or mRNA-Seq reads that overlapped with uORFs were counted separately.

The TE of a given uORF or CDS was calculated as the $RPKM_{P\text{-site}}/RPKM_{mRNA}$ ratio. For a few uORFs

with $RPKM_{mRNA} = 0$ but $RPKM_{P\text{-site}} > 0$, a pseudocount of 0.1 was added to both $RPKM_{mRNA}$ and

$RPKM_{P\text{-site}}$ to avoid dividing a positive value by zero. In each sample, expressed genes were defined as

the genes with a CDS $RPKM_{mRNA} > 0.1$ in both species, and translated uORFs were defined as uORFs

with a TE > 0.1.

Testing the statistical significance of the difference in the interspecific TE change between a uORF and its downstream CDS

For this analysis, we adopted the methods developed by Zhang *et al.* [23]. Briefly, for the samples of female bodies or male bodies of *D. melanogaster* with biological replicates, we obtained the $\log_2$(TE) and SE of the $\log_2$(TE) of a uORF or CDS by contrasting the RPF counts against mRNA-Seq read counts using DESeq2. Then, we fitted the SE values against the normalized mRNA counts and $\log_2$(TE) values using the gam function in the R package mgcv, with a log link to obtain the SE ~ mRNA counts + $\log_2$TE functions. For other samples without biological replicates, we estimated the SE of the $\log_2$(TE) for a feature (CDS or uORF) by applying the fitted functions obtained based on the biological replicates of female and male bodies to the observed mRNA counts and $\log_2$(TE) values. We identified uORFs whose TEs differed significantly between the paired samples of *D. melanogaster* and *D. simulans* by testing whether the value obtained for $log_2(\beta_u) = log_2(TE_{uORF,sim}) - log_2(TE_{uORF,mel})$ was significantly different from 0. Based on the SE of the $\log_2$(TE) derived as described above, the SE of the $\log_2(\beta_u)$ can be derived as follows:

$$SE\_log_2(\beta_u) = \sqrt{(SE\_log_2TE_{uORF,sim})^2 + (SE\_log_2TE_{uORF,mel})^2}$$

As the Wald statistic, $\frac{log_2(\beta_u)}{SE\_log2(\beta_u)}$, follows a standard normal distribution under the null hypothesis of $\log_2(\beta_u) = 0$, we calculated the *P* value as follows: $2 \cdot (1 - \phi\,(|\,\frac{log_2(\beta_u)}{SE\_log2(\beta_u)}\,|))$. The identification of CDSs whose TEs differed significantly between the paired samples of *D. melanogaster* and *D. simulans* was similar to the procedures above.

Then, we defined $\gamma = \frac{\beta_c}{\beta_u}$ (where $\beta_c = TE_{CDS,sim} / TE_{CDS,mel}$) and tested whether $\log_2(\gamma)$ was significantly different from 0 to determine whether the magnitude of interspecific TE changes in CDSs and uORFs was significantly different. We obtained $\log_2TE_{uORF,sim}$, $\log_2TE_{uORF,mel}$, $\log_2TE_{CDS,sim}$, and $\log_2TE_{CDS,mel}$ as described above and estimated $SE\_log_2TE_{uORF,sim}$, $SE\_log_2TE_{uORF,mel}$, $SE\_log_2TE_{CDS,sim}$, and $SE\_log_2TE_{CDS,mel}$ based on the biological replicates of female and male bodies of *D. melanogaster*. Finally, $\log_2(\gamma)$ also follows a normal distribution with SE denoted as $SE\_log_2(\gamma)$ =

$$\sqrt{(SE\_log_2TE_{uORF,sim})^2 + (SE\_log_2TE_{uORF,mel})^2 + (SE\_log_2TE_{CDS,sim})^2 + (SE\_log_2TE_{CDS,mel})^2}$$

As the Wald statistic, $\frac{log_2(\gamma)}{SE\_log_2(\gamma)}$, follows a standard normal distribution under the null hypothesis that $\log_2(\beta_u) = 0$, we calculated the *P* value as follows: $2 \cdot (1 - \phi\,(|\,\frac{log_2(\gamma)}{SE\_log_2(\gamma)}\,|))$.

Knocking out a uORF with CRISPR-Cas9 technology in *D. melanogaster*

We searched for possible sgRNA target sites near the uATG start codon of a uORF using the Benchling

website (https://www.benchling.com/crispr/) to design optimal single guide RNA (sgRNA) sequences

with high specificity and low off-target effects. We then synthesized single-stranded complementary

DNAs (ssDNAs) and annealed them to obtain double-stranded DNA (dsDNA), which served as the

template for sgRNA expression. The template sequences of the sgRNA used for *bcd* uATG-KO are

listed in Table S6. The dsDNA was then ligated into the BbsI-digested pU6B vector. The pU6B-sgRNA

plasmid was purified and injected into the embryos of transgenic Cas9 flies collected within one hour

of laying at the Tsinghua Fly Center as described in Ni *et al.* [114]. The injected embryos were kept at

25°C and 60% humidity until adulthood (G0). The G0 adult flies that hatched from injected embryos

were individually crossed with other flies (*y sc v*) to increase the number of offspring. Then, the F1

progeny were crossed with flies carrying an appropriate balancer (*Dr, e/TM3, Sb*). After F2 spawning,

the F1 individuals were screened for mutations of interest by genotyping. The primers used for

genotyping are listed in Table S6. The F2 progeny whose parents showed positive genotyping results

were then screened for the *yellow*⁻ gene to separate the chromosome carrying *nos-Cas9*. The screened

F2 males were crossed with the flies containing the same balancer as above. After F2 genotyping, the

progeny (F3) of positive F2 individuals showing the same mutation status were crossed individually to

generate homozygous mutants in the F4 generation. The original homozygous mutants were

sequentially outcrossed with w^{1118} flies for 9 generations to purify the genetic background (Fig. S22B).

Ribosome fraction analysis by sucrose gradient fractionation and RT–qPCR

The 0-2 h embryos obtained from w^{1118}, uKO1/uKO1, and uKO2/uKO2 mutants raised at 25°C and

29°C were collected and homogenized in a Dounce homogenizer with lysis buffer [50 mM Tris pH 7.5,

150 mM NaCl, 5 mM $MgCl_2$, 1% Triton X-100, 2 mM dithiothreitol (DTT), 20 U/ml SuperaseIn

(Ambion), 0.5 tablets of proteinase inhibitor (Roche), 100 μg/ml emetine (Sigma Aldrich), and 50 μM

guanosine 5′-[β,γ-imido]triphosphate trisodium salt hydrate (GMP-PNP) (Sigma Aldrich)] at 4°C. The

lysates were clarified by centrifugation at 4°C and 20,000×g for 8 min, and the supernatants were

transferred to new 1.5 ml tubes. 10-45% sucrose gradients were prepared in buffer (250 mM NaCl, 50

mM Tris pH 7.5, 15 mM $MgCl_2$, 0.5 mM DTT, 12 U/ml RNaseOUT, 0.5 tablets of protease inhibitor,

and 20 μg/ml emetine) using a Gradient Master (Biocomp Instruments) in ULTRA-CLEAR Thinwall

Tubes (Beckman Coulter). A sample volume of up to 500 μl was applied to the top of each gradient.

After ultracentrifugation with a Hitachi P40ST rotor at 35,000 × rpm for 3 h at 4°C, the monosome and

polysome fractions were collected, flash-frozen in liquid nitrogen, and stored at -80°C until further use.

The RNA in the monosome and polysome fractions was extracted separately using TRIzol reagent (Life

Technologies, Inc.) and chloroform (Beijing Chemical Works) following the manufacturer's

instructions and were reverse transcribed into cDNA using the PrimeScript™ II 1st Strand cDNA

Synthesis Kit (Takara). RT–qPCR analysis of *bcd* cDNA and its targets was performed using

PowerUp™ SYBR™ Green Master Mix (Thermo Fisher) following the manufacturer's instructions
with *rp49* as an internal control. The primer sequences employed for RT-qPCR are listed in Table S6.
For each sample, the ratio of *bcd* mRNA abundance in the polysome fraction to that in the monosome
fraction was calculated as the P-to-M ratio. Six biological replicates were performed for each sample.
707

Dual-luciferase reporter assays
The wild-type (WT) 5'UTR of *bcd* was cloned from cDNA by PCR, and uATG mutations were
introduced into 5'UTR using specific amplification primers (listed in Table S6). The WT and mutated
5'UTR sequences were ligated into a linearized reporter plasmid (psiCHECK-2 vector, Promega). The
whole sequence of all the plasmids was validated by Sanger sequencing.

Drosophila S2 cells were cultured in Schneider's Insect Medium (Sigma) plus 10% (by volume) heat-
inactivated fetal bovine serum, 100 U/ml penicillin and 100 μg/ml streptomycin (Thermo Fisher) at 25℃
without CO_2 for 24 h to reach $1-2\times10^6$ cells/ml before further treatments. Plasmid transfection was
conducted with Lipofectamine 3000 (L3000001, Thermo Fisher) according to the supplier's protocol.
The *Renilla* luciferase activity associated with WT or uORF-mutated 5'UTRs was measured according
to the manual of the Dual-Luciferase Reporter Assay System (Promega) 32 h after transfection and was
normalized to the activity of firefly luciferase.

mRNA-Seq in the embryos of mutant and WT flies
We collected 0-2 h, 2-6 h, 6-12 h, and 12-24 h embryos of *w^1118* and uKO2/uKO2 mutants raised at
25°C and 29°C and conducted mRNA-Seq. Library construction and sequencing with PE150 were
conducted by Annoroad on the Illumina Nova6000 platform. Two biological replicates were sequenced
for each sample. The clean data were mapped to the reference genome of *D. melanogaster* (FlyBase,
r6.04) using STAR [112]. Reads mapped to the exons of each gene were tabulated with htseq-count [115].
The differentially expressed genes were identified using DESeq2 [116]. The Gene Ontology (GO) analyses
were conducted using the "clusterProfiler" package in R [117].

Measurement of embryo hatchability
We collected embryos from WT and mutant flies and manually seeded them in vials containing standard
corn medium at a density of 30 embryos per vial and 20 vials per strain. The embryos were cultivated
under standard conditions (60% humidity, 12 h light: 12 h dark cycles at 25°C). We counted the number
of pupae in each vial after the completion of pupation and calculated the corresponding hatching rate =
$number_{pupa}$ / 30

Quantification of offspring number per female fly

Newly hatched virgins were picked out and allowed to mature for two days in separate vials. They were
then mated by placing one virgin female with three male flies for two days. After that, each female
parent was transferred to a new vial to count the offspring number produced in each 10-day period at
25°C and 29°C. All assays were performed with 20 females per genotype.
743
744 **Measurement of starvation resistance in adult flies**
745 We selected 3- to 5-day-old adult males and females and placed them in the starvation medium (1.5%
746 agar), with 10 flies per vial and 10 vials for both males and females from each strain. We made
747 observations every 6 h or 12 h to count the number of deaths under starvation conditions until all flies
748 had starved to death. The survival curves were plotted by the ggsurvplot package in R.
749
mRNA-Seq and Ribo-Seq data analysis in primates
The mRNA-Seq and Ribo-Seq data from the brains, livers, and testes of humans and macaques were
downloaded from reference [73] with accession number E-MTAB-7247 (ArrayExpress). The mRNA-Seq
and Ribo-Seq data of human lymphoblastoid cell lines (LCL) from Yoruba individuals were
downloaded from references [75,76] under accession numbers GSE61742 and E-GEUV-1. The matched
mRNA-Seq and Ribo-Seq libraries of 69 individuals were used.
756
The uORF annotation and downstream analysis procedures for the human and macaque data were
similar to those applied in *Drosophila* as described above. The differential analysis of translational
efficiency in humans and macaques was conducted by Xtail [118]. In each pair of human-macaque samples,
expressed genes were defined as the genes with a CDS $RPKM_{mRNA} > 0.1$ in both species. The translated
uORFs in a sample were defined as uORFs with a TE > 0.1. For the human cell line data, expressed
genes were defined as genes with a mean CDS $RPKM_{mRNA} > 0.1$ across the cell lines, and translated
uORFs were defined as uORFs with a mean TE > 0.1
764

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773
774 **Declaration of interests**
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The authors declare no competing interests.

Data Availability Statement

All deep-sequencing data generated in this study, including single-ended mRNA-Seq and Ribo-Seq

data of 10 developmental stages and tissues of *Drosophila simulans* and paired-end mRNA-Seq data of

0-2 h, 2-6 h, 6-12 h, and 12-24 h *Drosophila melanogaster* embryos, were deposited in the China

National Genomics Data Center Genome Sequence Archive (GSA) under accession numbers

CRA003198, CRA007425, and CRA007426. The mRNA-Seq and Ribo-Seq data for the different

developmental stages and tissues of *Drosophila melanogaster* were published in our previous paper [23]

and were deposited in the Sequence Read Archive (SRA) under accession number SRP067542.

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Main Tables and Figures

Table 1. Numbers of genes showing different magnitudes of TE changes between uORFs and CDS at

the interspecific level.

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Sample types	# of expressed uORFs *	$\beta_u \neq 1$ (%) **	# of expressed CDSs *	$\beta_c \neq 1$ (%) **	uORF-CDS pairs with $\beta_u > 1$			uORF-CDS pairs with $\beta_u < 1$		
					Total	$\gamma > 1$	$\gamma < 1$	Total	$\gamma > 1$	$\gamma < 1$
0-2 h embryo	7,704	1,193 (15.49)	7,934	4,189 (52.80)	770	0	366	423	69	0
2-6 h embryo	7,822	567 (7.25)	9,795	3,063 (31.27)	249	0	63	318	135	0
6-12 h embryo	10,400	1,040 (10.00)	10,643	2,924 (27.47)	973	0	641	67	22	1
12-24 h embryo	11,365	535 (4.71)	11,158	3,537 (31.70)	454	0	234	81	8	2
Larva	10,008	464 (4.64)	11,831	3,554 (30.04)	110	0	26	354	177	0
Pupa	12,309	635 (5.16)	12,209	4,087 (33.48)	136	1	15	499	210	0
Male body	10,894	197 (1.81)	12,284	2,432 (19.80)	122	0	29	75	4	0
Male head	10,904	144 (1.32)	10,447	1,151 (11.02)	119	0	31	25	5	0
Female body	9,809	340 (3.47)	11,002	3,605 (32.77)	279	0	17	61	3	0
Female head	10,935	332 (3.04)	10,545	1,270 (12.04)	324	0	152	8	3	0

* Only uORFs and CDSs with an mRNA RPKM > 0.1 in both *D. melanogaster* and *D. simulans* were

considered in each sample pair in the analysis.

** $\beta_u = TE_{uORF,sim}/TE_{uORF,mel}$ is the fold change of TE_{uORF} in *D. simulans* relative to *D. melanogaster*

for each sample. $\beta_c = TE_{CDS,sim}/TE_{CDS,mel}$ is the fold change of TE_{CDS} in *D. simulans* relative to *D.*

melanogaster for each sample. $\gamma = \beta_c/\beta_u$. For each CDS–uORF pair, either $\beta_u > 1$ and $\gamma < 1$ or $\beta_u < 1$

and $\gamma > 1$ means that the magnitude of TE change is lower for a CDS than for a uORF. The statistical

significance of β_c, β_u, and γ were determined according to an FDR < 0.05.

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Figure 1. Modeling simulation of uORF-mediated translation buffering. (A) Model schema of the
modified ICIER model (on the top); the parameters are listed in the box below the schema. (B) Heatmap
showing the CVs of CDS TE (N_{EC}) under different I_{CDS} (x-axis) and I_{uORF} (y-axis) combinations with a
uniform distribution of R_{in} input and the downstream dissociation model. The left panels elicited by the
dotted lines from specific squares of right heatmap were two examples showing the distribution of N_{EC}
under $I_{CDS} = 0.8$ & $I_{uORF} = 0$ (top panel, without uORF) and $I_{CDS} = 0.8$ & $I_{uORF} = 0.4$ (bottom panel, with
uORF). (C) Heatmap showing CVs of CDS TE (N_{EC}) under different L_{uORF} (x-axis) and I_{uORF} (y-axis)
combinations with a uniform distribution of R_{in} input and the downstream dissociation model. The left
panels elicited by the dotted lines from specific squares of right heatmap were two examples showing
the distribution of N_{EC} under $L_{uORF} = 2$ & $I_{uORF} = 0.2$ (top panel) and $L_{uORF} = 30$ & $I_{uORF} = 0.2$ (bottom
panel). (D) Heatmap showing median δ $[log_2(\Delta N_{EC}/\Delta N_{EU1})]$ under different I_{CDS} (x-axis) and I_{uORF}
(y-axis) combinations with a uniform distribution of R_{in} input and the downstream dissociation model.
The left panel elicited by the dotted line from a specific square of right heatmap was an example
showing the distribution of δ under $I_{CDS} = 0.8$ & $I_{uORF} = 0.2$. The vertical dashed line indicated the
median value of δ.
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Figure 2. Conservation and translation of uORFs between *D. melanogaster* and *D. simulans*. (A)

Spearman's correlation coefficients (*Rho*, represented by the bars) of conserved TEs between *Dm* (*D.*

melanogaster) and *Ds* (*D. simulans*). ***, *P* < 0.001. Data for the female head sample is shown as an

example in the right panel. The x- and y-axes represent the uORF TEs in *Dm* and *Ds*. (B) The median

of TE of conserved and species-specific uORFs in each sample. Each dot represents the median TE of

a sample for a specific uORF class. Data from the female head sample is shown as an example in the

right panel. *P* values were obtained from Wilcoxon rank sum tests. ***, *P* < 0.001. (C) uORFs were

ranked by decreasing TEs within each gene. The uORF with the highest TE within each gene was

defined as the dominant uORF (#1). "#2" represents the second highest uORF TE and the same goes

for "#3" and ">3". Each dot represents the median TE of a sample. (D) Fraction of conserved uORFs

among dominant uORFs and other translated uORFs in each sample. The paired samples in *Dm* and *Ds*

were linked together. The *P* value was obtained by the paired Wilcoxon signed rank test. ***, $P < 0.001$.

(E) Absolute values of the interspecific TE fold changes ($\log_2$TE-FC) of dominant uORFs and the other

translated uORFs in each sample. The paired samples in *Dm* and *Ds* were linked together. The median

value of each sample is shown. The *P* value was obtained via the paired Wilcoxon signed rank test. ***,

$P < 0.001$. Data from the female head sample were used as an example in the right panel.

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Figure 3. uORFs reduce CDS translational divergence between *D. melanogaster* and *D. simulans*.

(A) The correlation of uORF TEs and the corresponding CDS TEs in 10 samples of *Dm* (*D.*

melanogaster) and *Ds* (*D. simulans*). The bars represent Spearman's correlation coefficient (*Rho*). In

all samples, we obtained both *P* values < 0.001. Data for the female head sample of *Dm* and *Ds* are

shown as examples in the right panel. (B) Correlations between interspecific uORF TE changes ($\log_2\beta_u$)

and CDS TE changes ($\log_2\beta_C$) in 10 samples. The x-axis was divided into 50 equal bins with increasing

β_u. Spearman's correlation coefficients (*Rho*) are shown at the top left. ***, *P* < 0.001 in the correlation

test. (C) Genes expressed in female heads (mRNA RPKM > 0.1 in both species) were classified into

three classes according to whether a gene had a conserved and dominantly translated uORF or not.

Boxplots showing interspecific CDS TE variability $|log_2(\beta_c)|$ of different gene classes. *P* values were

calculated using Wilcoxon rank sum tests between the neighboring groups. ***, *P* < 0.001. (D) Genes

expressed in female heads were classified into three classes according to the length of translated uORFs.

Boxplots showing interspecific CDS TE variability $|log_2(\beta_c)|$ of different gene classes. *P* values were

calculated using Wilcoxon rank sum tests between the neighboring groups. ***, *P* < 0.001.

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Figure 4. uORFs could reduce CDS translational fluctuation during *Drosophila* development. (A)

The CV of TE_{CDS} across 10 *Dm* (*D. melanogaster*) samples and 10 *Ds* (*D. simulans*) samples. The

selected gene with uORFs translated (TE > 0.1) in at least one *Dm* sample but its homologous gene

without translated uORF in *Ds* samples. Each pair of dots linked by a gray line represents a pair of

homologous genes in *Dm* and *Ds*. ***, $P < 0.001$, Wilcoxon signed-rank test. (B) The CV of TE_{CDS}

across 10 *Dm* samples and 10 *Ds* samples. The selected gene with uORFs translated (TE > 0.1) in at

least one *Ds* sample but its homologous gene without translated uORF in *Dm* samples. Each pair of dots

linked by a gray line represents a pair of homologous genes in *Dm* and *Ds*. ***, $P < 0.001$, Wilcoxon

signed-rank test. (C) Within each *Drosophila* species, the CV of TE_{CDS} of genes with translated uORFs

compared to genes without the translated uORFs. The *P* values are obtained by the Wilcoxon rank sum

test. ***, $P < 0.001$.

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> 3-fold change   No change

Figure 5. **The strong buffering uORF of *bcd* and it knockout.** (A) Multiple sequence alignment of

the *bcd* uORF and partial CDS in *D. melanogaster* and 20 other *Drosophila* species. The uORF and

CDS are boxed in green and purple, respectively. The start codons of the uORF and CDS are boxed in

red. (B) The coverage of mRNA-Seq (top), Ribo-Seq (middle), and TEs (bottom) of the *bcd* uORF and

CDS in 0-2 h embryos of *D. melanogaster* (red) and *D. simulans* (blue). The uORF and CDS are denoted

at the lower panel with dark green triangles and purple boxes, respectively. The 2 dashed lines mark

the CDS region. The uORF TE, CDS TE and their interspecific changes were labeled at the bottom. (C)

Genotypes of WT and two uORF knock-out strains (uKO1 and uKO2) generated by CRISPR-Cas9

technology. The uORF is boxed in dark green, and the red ATG represents the start codon of the uORF

in the *D. melanogaster* genome.

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Figure 6. Knocking out the *bcd* uORF increases CDS translation and perturbs the transcriptome during *D. melanogaster* embryogenesis. (A) Dual-luciferase assay for *bcd* WT uORF and mutated uORF. The reporter structures of the WT and uORF mutants are illustrated on the left. The uORF mutant sequence was the same as that in the fly mutant created with CRISPR-Cas9 technology. The relative activity of *Renilla* luciferase was normalized to that of firefly luciferase. Error bars represent the S.E. of six biological replicates. Asterisks indicate statistical significance (***, $P < 0.001$). (B) Two ribosome fractions (monosome and polysome) of 0-2 h embryos were separated in a sucrose density gradient. Relative RNA abundance in the monosome and polysome fractions was quantified by real-time quantitative PCR. (C) P-to-M ratio of *bcd* mRNA (*bcd* mRNA abundance in polysome fraction/*bcd* mRNA abundance in monosome fraction) at 25°C (left) and 29°C (right). The P-to-M ratios of mutants were normalized to WT controls at 25°C and at 29°C, respectively. Error bars represent the S.E. of six biological replicates. Asterisks indicate statistical significance (*, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$; n.s., $P > 0.05$). (D) The number of DEGs in each stage and their intersection with each other at 25°C (top) and 29°C (bottom). (E) Gene ontology analysis of DEGs at 29°C in each stage. The biological process (BP) terms with q-values < 0.05 in each stage are indicated in red and others are indicated in white.

Figure 7. Knockout of the *bcd* uORF reduces offspring number and starvation resistance. (A)

Comparison of the hatching rates (%) of mutant and WT offspring (n=20, Wilcoxon rank sum test; ***,

$P < 0.001$). (B) The offspring number per maternal parent in different crosses over 10 days at 25°C.

Asterisks indicate significant differences between various crosses and crosses of WT females with WT

males (n=20, Wilcoxon rank sum test; *, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$; n.s., $P > 0.05$). The

different crosses were denoted as the x-axis labels. (C) The offspring number per maternal parent in

different crosses over 10 days at 29°C. (D) Survival curves of WT and mutant adult flies of females

(left) and males (right) under starvation conditions. The black line represents the WT, the red line

represents the uKO1/uKO1 mutant, and the blue line represents the uKO2/uKO2 mutant. Asterisks

indicate significant differences compared to the WT. (n=200, log-rank test; ***, $P < 0.001$; n.s., $P >$

0.05).

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Figure 8. uORFs function as translational buffers in primates. (A) Boxplots showing the TEs of

conserved and species-specific uORFs between *Hs* (*H. sapiens*) and *Mm* (*M. mulatta*). Data for the

brain is shown as an example. Wilcoxon rank sum tests. ***, $P < 0.001$. (B) Spearman's correlation

coefficient (*Rho*) of uORFs' TE between humans and macaques. The *Rho* values in the brain, liver, and

testis were shown as bar plots. ***, $P < 0.001$. Data for the brain is shown as an example in the right

panel. (C) Correlation between interspecific uORF TE changes ($\log_2\beta_u$) and corresponding CDS TE

changes ($\log_2\beta_C$) in three tissues. The x-axis was divided into 50 equal bins with increasing β_u. (D)

Genes expressed in brains were classified into three classes according to the total length of translated

uORFs. Boxplots showing interspecific CDS TE variability $|log_2(\beta_c)|$ of different gene classes. *P*

values were calculated using Wilcoxon rank sum tests between the neighboring groups. ***, $P < 0.001$.

(E) Genes expressed in brains (mRNA RPKM > 0.1 in both species) were classified into three classes

according to whether a gene had a conserved and dominantly translated uORF (TE > 0.1) in both species

or not. Boxplots showing interspecific CDS TE variability $|log_2(\beta_c)|$ of different gene classes. *P* values

were calculated using Wilcoxon rank sum tests between the neighboring groups. ***, $P < 0.001$. (F)

Boxplot showing the coefficients of variation (CVs) of CDS TE among the 69 lymphoblastoid cell lines

(LCLs). Expressed genes (mean mRNA RPKM > 0.1) were divided into 20 bins with increased mRNA

expression levels. In each bin, the genes were divided into two fractions according to whether the gene

had a translated uORF or not. Wilcoxon rank sum tests. *, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$.

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