Correlated evolution of multiple traits gives butterflies a false head

Abstract

Many butterflies possess a combination of characters at the posterior hindwing end, superficially
resembling their head. This *'false head'* has been hypothesised to deflect predator attacks towards
the false head area. A clear understanding of the diversity and evolution of false head traits across
butterflies is lacking. Here, we tested whether false head traits evolved from simple to complex in
order to achieve a greater resemblance to a head. We also tested if false head traits form an adaptive
constellation and, thus, evolved correlatedly. Using a phylogenetic framework with 927 lycaenid
species, our results illustrate evolutionary patterns of five false traits - (i) false antennae, (ii) spot, (iii)
conspicuous colouration in the false head area, (iv) false head contour in the false head area, and (v)
convergent lines. We found that false traits (i)–(iv) evolved in a correlated fashion across the
phylogeny, likely driven by a common selective pressure. Our findings support the idea that false
head functions as an adaptive constellation for predator attack deflection.

Introduction

The enormous diversity in animal colouration has long fascinated humankind [1]. Although
some animal colours are used for sexual signalling [2,3] and species recognition [4], many
animals rely on colour patterns to protect themselves against predators. These protective
strategies include various types of crypsis, which involve colour patterns to prevent
detection or recognition by predators [5], aposematism, where bright colours advertise prey
unprofitability [6], deimatism, wherein animals suddenly reveal their otherwise hidden
conspicuous colours and cause a startle response [7], etc. Deflection is another anti-predator
strategy that involves employing traits to manipulate the location on the prey's body where
the predator makes initial contact in a way that enhances the prey's survival likelihood [8].
These deflective traits may bias the point of predator attack to the prey's body parts that are
less vulnerable and can be broken off, or away from the vital body parts. For example, many
lizards have brightly coloured autotomous tails, which can direct avian attacks towards this
expendable body part [9]. Longitudinal stripes on lizard bodies can also misdirect predator
attacks towards the tail when the lizard is in motion [10]. One of the best-studied examples
of deflective colour patterns is the eyespot, which is a "roughly circular pattern with at least
two concentric rings or with a single colour disc and a central pupil" [11], potentially
resembling the vertebrate eye. These eyespots occur across various taxa, including fish [12],
frogs [13] and insects, particularly in the order Lepidoptera [14]. Small eyespots on the
lepidopteran wing periphery may protect their bearers by deflecting attacks away from vital
organs and towards the non-vital parts of the wings (reviewed in [15]). Marginal eyespots on
the hindwing of the butterfly *Bicyclus anynana* have been shown to deflect mantid
predation towards the less vulnerable hindwing area [16]. A deflective effect of eyespots has
also been demonstrated in aquatic prey in the context of fish predation [17]. Moreover, dark
and roughly circular spots, which may visually resemble a reduced eyespot, can provide a
deflective benefit. For example, contrasting dark spots on the tadpoles tails can direct
dragonfly attacks towards the tail [18], resulting in significantly higher survival than when
attacks are on the body [19].

An eyespot can be considered an individual trait. While such traits can be effective on their
own, multiple traits could function together for increased efficiency to deflect predator
attacks. When multiple traits function together in synergy, they are referred to as adaptive
constellations [20]. For example, many animals possess an eye stripe, which is a bar running
through the eye, with its colour matching (part of) the eye. Such eye stripes in fishes have
been suggested to impart a concealing effect on the eye [21,22]. A comparative study of
butterflyfishes showed that all fish with eyespots also have eye stripes [12]. Through a series
of predation experiments, Kjernsmo and Merilaita [17] first provided evidence for the
deflective effect of fish eyespots and the concealing benefits of eye stripes. Their results also
suggested that these two traits with different functions formed an adaptive constellation to
produce a combined effect that increased the probability of deflection. Similarly, some
swallowtail butterflies have hindwing tails and conspicuous colouration near the hindwing
tail. Chotard and colleagues [23] showed that these two traits can function together to
deflect bird attacks. They also demonstrated that the hindwing area near the tail was
significantly easier to tear off compared to other parts of the wing, and thus enabled the
butterfly to escape from a deflected attack. The tail, hindwing colouration and differential
wing strength can be considered three traits that form an adaptive constellation to enhance
the deflective effect.

A large number of butterflies possess a set of characters on the underside of their
hindwings, popularly known as the false head due to the resemblance to the actual head of
butterflies. Most butterflies rest with their wings closed, and, therefore, the false head is
visible to predators when they are resting. The false head comprises a combination of traits:
(i) tails, which presumably resemble false antennae, (ii) dark spots, (iii) conspicuous
colouration, (iv) false head contour, and (v) convergent lines (Fig. 1; detailed definition in
Methods). The false head is an example of a putative adaptive constellation that deflects
predator attacks [24]. The false antennae, which move back and forth, are hypothesised to
mimic the actual antennae, thus attracting attention towards themselves [24]. The quasi-
circular dark spots of the false head can influence the initial contact point of predation [25].
The conspicuous colouration may help grab predators' visual attention to the false head area
[24,26]. Meanwhile, a modified contour of the posterior hind wing may provide a visual
resemblance to the contour of a butterfly's head [24]. Convergent lines may act as leading
lines to direct the predator's attention to the false head area [24,27].

Compared to other body parts, physical damage in the false head area is less costly to the
adult butterfly in terms of survival and behaviour, including flight dynamics [28] and mating
[29]. From observations on wing damage in a large number of lycaenids, Robbins [30] argued
that species with more false head traits were significantly more likely to deflect predator
attacks successfully. Because attack deflection by false heads happens when butterflies are
at rest, such failed attacks are expected to lead to symmetric damage on the wings, i.e.,
similar damage on the left and right wings [31]. A study based on pinned museum
specimens revealed that species with more false head traits had higher symmetrical wing
damage in the false head region, compared to species with fewer false head traits. This may
be because having more complex false heads increases the probability of attacks being
deflected [27]. Wourms and Wasserman [25] manually added false heads on the white wings

of the *Pieris rapae* butterfly by painting and attaching multiple false head trait(s) singly and
in combinations, and exposed these wings to birds (Great Tits – *Parus major*). Although only
spots influenced the first attack contact point, the number of false head traits influenced
prey handling location by the birds – models with more false head traits were more likely to
be handled at the hind wing area compared to models with single or no false head traits. In
contrast, López-Palafox & Cordero [32] did not find any difference in the mantid predation
rates between hairstreak butterflies with intact and ablated false antennae, suggesting that,
at least against some predator groups, a single false head trait independently may be
ineffective in deflecting attacks.

Especially common in Lycaenidae and Riodinidae, some or all false head traits are also
present in Papilionidae, Nymphalidae and Hesperiidae, i.e., in 5 of the 7 butterfly families.
The presence of these false head traits varies across species, even within the same genus, as
well as between sexes [27]. Thus, there is extensive variation in the morphology of false
heads, but an understanding of the diversity and evolution of false head traits across
butterflies is lacking. Here, we use phylogenetic comparative approaches to test hypotheses
about the evolution of the false head. Specifically, we formulate hypotheses to test whether
the false head complex functions as an adaptive constellation for attack deflection. If a false
head works to deflect attacks towards a less vulnerable area, this less vulnerable area should
be highly conspicuous in order to grab the predator's attention. Moreover, if the false head
deflects predator attacks because it fools the predators into perceiving it as a head, the false
head should evolve to have many head-like characteristics. Thus, we predicted that the false
head traits evolved from simple to complex, more elaborate, traits. We also reasoned that
the false head traits function together as an adaptive constellation. Hence, we predicted
that the false head traits evolved in a correlated pattern across the phylogeny.

It is possible that the body size of butterflies influences the evolution of the false head.
Multiple comparative analyses have shown that body size can affect the evolution of body
colour. Hossie and colleagues [33] demonstrated that the evolution of conspicuous eyespots
in hawkmoth larvae was associated with larger body size. In the aposematic poison frogs,
the evolution of larger body size was associated with increased conspicuous colouration.
Moreover, the loss of conspicuous colouration in poison dart frogs likely co-evolved with a
decrease in body size [34]. Similarly, reduced camouflage decoration behaviour in *Majoidea*
crabs correlates with larger body sizes [35]. Here, we tested the evolutionary relationship
between false head and wingspan. Because larger butterflies are easier to detect, they are
more likely to rely on defences such as false heads. Therefore, we predicted that larger
butterflies are more likely than smaller butterflies to have complex false heads. Alternatively,
this prediction might be invalid if small and large butterflies face similar predation pressure
from visually hunting predators despite having different predator types.

Methods

We categorised false head traits as 5 discrete traits and collected data on the presence of
these traits across 928 butterfly species by analysing images available in online databases

(Supplementary: Table S1). We reconstructed the phylogeny of the species in this study
using gene sequence data from NCBI (details in Methods: *Tree Building)* and performed
multiple phylogenetic comparative analyses to test our hypotheses regarding the
macroevolutionary pattern of false head evolution.

Collection of false head data

The dataset on the presence and absence of the five false head traits – (i) false antennae, (ii)
spot, (iii) conspicuous colour, (iv) false head contour and (v) convergent lines (Fig. 1) – was
built as binary data with 1 representing presence and 0 absence. Data were coded from
images of butterfly individuals with the false head region clearly visible and without wing
damage. Images were accessed from online sources and databases (Supplementary: Table
S1). We chose species for which at least one clear image of the undamaged underside of the
butterfly was available. We relied on published descriptions with images and illustration
plates for two species: *Arhopala tyrannus* (Taf. XXIXX, Fig. 1 & 2 in [36]) and *Eirmocides*
ardosiacea (Plate I, Fig. 122-123, Plate 2, Fig. 133-134 in [37]). Whenever multiple images
were available for a species, a minimum of two images were analysed. With these criteria,
images representing 928 species were analysed by the authors, and the presence and
absence of the false head traits were recorded as discrete traits. Some species exhibited
sexual dimorphism in the presence of false head traits. In such cases, we considered data
from the sex which possessed more false head traits. We first restricted our analysis to the
family Lycaenidae, which has the highest number of species with false heads. The included
species represented all major clades and broad regions of the distribution of Lycaenidae
[38]. We also conducted similar analyses using a higher-level phylogeny [38] of 197 species,
representing all families and 98% of butterfly subtribes. We acknowledge that colour
patterns should ideally be assessed from images taken under controlled conditions.
However, the scope of the study necessitated the availability of a large number of images
from species from many countries across multiple continents. Therefore, it was not
logistically possible for us to photograph all species under controlled conditions. Other
studies with a similar scope as ours have employed human observers to grade animal colour
patterns based on images [12,39–42].

We defined the false head area of a butterfly as the hindwing tornal area enclosed from
above by the hindwing vein M3 and laterally by 50% of the hindwing inner margin. We
defined the false head traits (Fig. 1) by modifying previously used definitions [26,27,30].

• **False antennae**: Thin antennae-like elongated protrusions in pair(s), regardless of the
length and number of pairs, from the false head region of both hindwings were
defined as false antennae.
• **Spot**: A spot was recorded present if a species had a quasi-circular dark spot in the
false head area. However, if multiple such spots were present in other parts of the
wing, a spot was not marked present (e.g., in the case of serial marginal eyespots as
in *Mycalesis mineus*).
• **Conspicuous colour**: Conspicuous colour was recorded present if a species had a
salient colour patch in the false head area, which (i) was absent in other wing areas,

(ii) contrasted with the colours of the rest of the wings, and (iii) had a diameter larger
than that of the spot.

- **False head contour**: False head contour was recorded present if the tornal wing area
  was modified by a protrusion of the wing shape, visually mimicking the contour of a
  butterfly head.
- **Convergent lines**: Convergent lines were defined as the presence of at least two lines
  running continuously across the ventral side of both wings and converging and
  meeting at the false head area.

Figure 1. Photograph of *Airamanna columbia* depicting the five false head traits characterised in this
study. Photo by Mark Eising (www.markeisingbirding.com): reproduced here with permission.

Tree building

Sequence data for nine genes, carbomylphosphate synthase domain protein (CAD) gene,
cytochrome c oxidase subunit I (COI), elongation factor 1 alpha (EF1a), dopa decarboxylase
(DDC), glyceraldehyde-3-phosphate dehydrogenase (GAPDH), histone H3 (H3), malate
dehydrogenase (MDH), ribosomal protein S5 (RpS5) and wingless, were downloaded for 927
Lycaenidae and 1 Riodinidae species from Genbank [43] using the NSDPY package *v0.2.3*
[44]. The riodinid served as the outgroup. Each gene dataset was aligned independently
through the MAFFT web server (https://mafft.cbrc.jp/alignment/server/) with the default
setup [45]. The aligned sequences were transformed into sequential nexus files using the
ALTER web server [46]. Since some species had missing sequence data for particular genes,
we adopted a constrained tree-building approach using a recently published phylogeny of
butterflies [47] as the backbone. We reconstructed a maximum-likelihood phylogeny using
the constrained tree search approach in IQTREE *v2.2.2.6* [48]. The constrained tree file was
built by pruning a recent global butterfly phylogeny [47] and retaining species which were
common with our species list with false head data. The best substitution model
(GTR+F+I+R8) was selected using the *ModelFinder* option, and the best partition model,
using the recommend *-p* command. Branch support of the tree was inferred using 1000
replicates of ultrafast bootstraps using *-B 1000* [49].

Time calibration of the tree was performed with MEGA *v11.0.13* [53] using the *RelTime-ML*
approach [54] by choosing the settings suggested by Mello [55]. Secondary calibration points

from [51] were implemented as minimum and maximum constraints with uniform
distribution at six ingroup nodes of the crown groups representing Aphnaeinae (minimum:
34.4 million years ago (MYA) and maximum: 42.2 MYA), Curetinae (minimum: 5.4 MYA,
maximum: 6.5 MYA), Lycaeninae (63.7 and: 65.4 MYA), Miletinae (57.1 and 58.9 MYA) and
Poritiinae (41.1 and 43.5 MYA). The resulting ultrametric tree (Fig. 2) was used for further
analyses.

Figure 2. Maximum likelihood tree estimated in IQTREE using the constrained tree search approach and time-calibrated with RelTime-ML in MEGA. Clades belonging to a particular subfamily are coloured with the subfamily names written on the rim, where CUR: Curetinae, MIL: Miletinae and LYC: Lycaeninae. Photographs are reproduced from Wikimedia Commons, licensed under CC BY-SA with credits (clockwise from the TOP) to Ilia Ustyantsev, Gideon Pisanty, Charles J Sharp, Atanu Bose, zleng, Ivar Leidus, gailhampshire, khteWisconsin, Judy Gallagher, Kozue Kawakami, Cheongweei Gan and Hectonichus.

Markov models for modelling false head evolution and ancestral state reconstruction

All analyses were conducted using the package *phytools v2.3.0* [50] in R *v4.2.1* [51] through
Rstudio *v2023.03* [52]. We fitted equal rates (ER) and all rates different (ARD) time-
continuous Markov (Mk) models to each false head trait. We used maximum likelihood to fit
the models using *the fitMk* function, and the best-fitting model was selected based on the
Akaike Information Criteria score. Furthermore, all the models were fitted with two different
root priors. Models with a "flat" prior treated all states as having equal probabilities at the
root [53], whereas those with a "fitzjohn" root prior regarded the root state as a nuisance
parameter and implement an alternative root assignment by weighing each root state by its
probability of giving rise to the extant data, considering the tree and model parameters [54].
Ancestral state reconstructions of the five false head traits were performed using Bayesian
stochastic character mapping using the *make.simmap* function in the R package *phytools*
v2.3.0 [50] with 1000 simulations for both flat and fitzjohn root priors. The 1000 stochastic
maps were summarised to calculate each state's probability at the nodes, and the transition
numbers were counted using the *countSimmap* function and averaged.

Correlated evolutionary patterns between discrete traits

To analyse the correlated evolution of traits across the phylogeny, we used hidden Markov

models implemented in the *corHMM package* [56]. For all possible pairwise combinations of
the five false head traits, we used *fitCorrelationTest* function within *corHMM v2.8* to build
four evolutionary models. We built two classic evolutionary models: Pagel's independent
model, which assumed that the two traits evolved independently of each other, and Pagel's
correlated model, which assumed the evolution of one trait was dependent on the other
trait [57]. Hence, Pagel's models had one rate category with transition rates between the
four observed states of the pairwise binary traits. We also built hidden Markov independent
model and correlated models. The former assumes no correlation, while the latter assumes
a correlation between the focal traits [56]. In the hidden Markov models, we included two
rate categories: one for the observed states and the other to account for unobserved
conditions associated with the observed states, thus incorporating hidden evolutionary rate
shifts. The hidden Markov models allowed heterogeneity in the transition rates between
states. The best-fitting models were assessed based on the corrected AIC values. Adopting
the same methods, we also tested the correlated evolutionary pattern of false head traits
across all butterfly families using a pruned time-calibrated phylogeny derived from the
phylogeny by Espeland and colleagues [38]. The pruned phylogeny had 192 species
representing all butterfly subfamilies and subtribes except Pseudopontiinae. For taxa where
only the genus was represented in the phylogeny, we coded a false head trait as present if
any species within the genus had the particular false head trait.

Phylogenetic path analyses to estimate the sequence of trait evolution

We inferred the probable order of evolution of the five false head traits using phylogenetic
path analysis based on the d-separation method [58] using the R package *phylopath v1.1.3*
[59] employing the phylogenetic linear regression approach and Pagel's lambda evolution
model. We considered all the possible path models (a total of 120) among the five false head
traits. Best-fitting models were chosen based on the model ranking quantified using the C-
statistics information criterion (CICc).

Effect of wingspan on false head evolution

We used wingspan as a proxy for body size. We employed phylogenetic generalised least
square regression using the R packages *nlme v3.1.164* [60] and *geiger v2.0.11* [61] to test
the association between wingspan and false head evolution. We derived a dataset of 352
species for which we could obtain published wingspan data from the sources listed in
Supplementary Table S1 and LepTraits 1.0 [62]. For species with minimum and maximum
wingspan data, or sexual dimorphism in wingspan, we used the averaged measure for our
analyses. We applied three evolutionary models (Ornstein–Uhlenbeck, Brownian motion and
estimated lambda model) and relied on Akaike weights to choose the best-fitting model.

Results

Markov models for modelling false head evolution and ancestral state reconstruction

The ARD model was the best fitting across the models for all false head traits
(Supplementary: Table S2). The average total number of transitions between the states
remained similar across the two different root priors (Supplementary: Table S3). Similarly,

the evolutionary transition rates between the presence and absence states of false head traits when fitted with fitzjohn root prior (Fig. 3(f)) did not differ from that of flat root prior (Supplementary: Fig. S1), and hence the results based on the fitzjohn prior are reported hereon. The ancestral state reconstruction of false head traits recovered multiple origins of false antennae (19.19 times), spots (43.62 times), conspicuous colouration (55.35 times), false head contour (10.12 times) and convergent lines (15.40 times) across the phylogeny. The analysis also recovered multiple losses of false antennae (83.64 times), spots (74.20 times), conspicuous colouration (88.34 times), false head contour (47.03 times) and convergent lines (13.33 times). The total number of character changes derived from models with flat root priors is given in Supplementary Table S3.

Figure 3. Ancestral state estimation using stochastic mapping with fitzjohn root prior for (a) false antennae, (b) spot, (c) conspicuous colouration, (d) false head contour and (e) convergent lines. The ring at the rim of the phylogeny represents the state of the extant taxa (grey for absence, coloured for presence). Pie charts represent the marginal frequencies of the states at the internal nodes. The size of the pies represents the probability for a state to occur at the nodes. Note that pies with a probability > 0.98 are reduced in size. (f) Evolutionary transition rates between absence (0) and presence (1) states of each false head trait derived from Mk models with fitzjohn root prior (FA: false antennae, SP: spot, CP: conspicuous colouration, FC: false head contour and CL: convergent lines). For each trait, the width of the arrows corresponds to the associated transition rates.

Correlated evolutionary patterns between discrete traits

In our phylogenetic correlation analyses for all the possible pairwise traits, hidden Markov models were the best-fitting models. Hidden Markov correlated evolutionary models were favoured over all independent models and Pagel's correlated models for all the possible

pairwise combinations among false antennae, spots, conspicuous colouration and false head
contour (Table 1). Therefore, the analyses indicate that these four traits evolved in a
correlated fashion. The analyses also supported a correlated pattern of evolution of
convergent lines with false head contour but not with the remaining false head traits (Table
1). To further investigate the evolutionary patterns of these traits at a broader phylogenetic
scale, we conducted similar pairwise analyses using a time-calibrated phylogeny [38]
representing all butterfly subfamilies and 98% of all butterfly subtribes. Here, Pagel's
correlated and independent models were favoured over hidden Markov models. The
analyses indicated that false antennae, spot, conspicuous colouration and false head contour
evolved in a correlated pattern, whereas convergent lines evolved independent of other
false head traits (Fig. S2; Table S4).

Figure 4. LEFT: Schematics of six (out of 120) phylogenetic path models having the least CICc
values. Each model represents a hypothesised directionality among five false head traits: SP: spot,
CP: conspicuous colouration, FA: false antennae, FC: false head contour and CL: convergent lines.
Please refer to this figure in relation to Table 2. RIGHT: The best-fitting phylogenetic model suggests
the evolutionary path starting with conspicuous colouration to false antennae, spot, and then false
head contour and ending with convergent lines. The width of the curved paths corresponds to the
standardised regression coefficients indicated on the right side of the curves. Note that the width of
the curved path from conspicuous colouration to false antennae has been adjusted for visibility.

Phylogenetic path analyses to estimate the sequence of trait evolution

We built all the possible (total of 120) phylogenetic path models to explore the order of
evolution of the five false head traits (Table2; Fig. 4). The best-fitting model (Table 2)
indicated that conspicuous colouration positively influenced the evolution of false antennae
(standard regression coefficient = 0.00002). Further, the evolution of spot is positively
influenced by false antennae (standard regression coefficient = 0.427), false head contour by

spot (standard regression coefficient = 0.166) and convergent lines by false head contour
(standard regression coefficient = 0.114) (Fig. 4: RIGHT). Our result, thus, suggests that the
probable direction of the evolution of traits is from conspicuous colouration to convergent
lines via false antennae, spot and false head contour, in the respective order.

Figure 5: Plot showing no phylogenetic association between the number of false head traits and
wingspan under the lambda model of evolution.

Effect of wingspan on false head evolution

The phylogenetic generalised least square regression analysis tested the effect of the
wingspan of butterflies on the evolution of false heads. The estimated lambda model (λ =
0.95, AIC = 483.81) was most favoured among the three evolutionary models (AIC of
Ornstein–Uhlenbeck and Brownian motion were 660.66 and 3770.30 respectively). However,
there was no phylogenetic association between an increase in wingspan and an increased
number of false head traits (coefficient = 0.01, p = 0.644, Fig. 5), suggesting that wingspan
did not influence the evolution of false head traits.

Discussion

Despite several observational studies on the diversity and behaviour of false head traits, and
experiments to understand their functional significance as anti-predator defences, an
understanding of the evolution of the false head in a macroevolutionary context is lacking.
Our phylogenetic comparative analyses using a large dataset of nearly 1000 lycaenid species
shed light on the macroevolutionary trends of the evolution of various false head traits and
indicate a correlated evolutionary pattern of the traits constituting the false head.

Many false head traits are evolutionary labile

Our ancestral state analyses recovered multiple gains and losses of all false head traits
suggesting that these traits are evolutionarily labile. The lability may be explained by
differences in selection pressure on false heads. Natural predators of butterflies include
birds [25,63], lizards [64], spiders [65] and mantids [32]. A false head may facilitate survival
from predator attacks by a predator community, and the same false head may be ineffective,
or may even increase the likelihood of detection, when predated upon by a different
community. When a prey population experiences relaxed selection from predators, it can
lead to the reduction or loss of morphological traits used for anti-predator defences [66].
Conversely, evolutionary changes in traits due to selection imposed in a specific environment
over several hundreds of generations can be reversed within a few generations when the
ancestral environment is reintroduced [67]. Thus, it is possible to lose and gain false head
traits due to changes in the predator community. Similarly, a false head may be beneficial or
detrimental depending on the habitat and changes in the habitat may select for or against
false heads over evolutionary timescales.

It has been postulated that complex traits, once lost, are unlikely to be regained – popularly
referred to as Dollo's law [68]. In the past few decades, phylogenetic comparative analyses
have reported many cases violating Dollo's law [69]. These include studies demonstrating
the regain of lost complex traits such as phasmid wings [70], anuran tympanic middle ear
[71], frog mandibular teeth [72], squamate limbs [73], etc. The reversal of a trait after its loss
could be due to the preservation of the molecular blueprint associated with the trait, even
during the trait's absence [74,75].

Correlated evolutionary pattern of false head traits and its significance

The four false head traits - antennae, spot, false head contour and conspicuousness - shared
a correlated pattern of evolution, suggesting a functional association among these
correlated traits. Our results align with the hypothesised function of false heads as anti-
predator strategies that deceive predators into misrecognising the true head. Studies based
on observations of butterfly wing damage patterns in natural settings [30,78], museum
specimens [27] and predation experiments [25,65,77] have provided evidence that the
efficacy of false heads in deflecting attacks from predators such as birds and jumping spiders
significantly increases when the butterfly (model) has a higher number of false head traits.
This is corroborated by the macroevolutionary patterns in our study, which suggest that
having a single false head trait may not provide an effective anti-predatory function.
Therefore, our results support the idea that the false head is an adaptive constellation.

A hypothesis related to the deflective function of the false head is that the false head area
should be easier to tear than the rest of the wing areas. If deflection works as an anti-
predatory strategy, the wing area where attacks are deflected should be easily detachable so
that the butterfly can escape [15]. Hill and Vaca [79] compared the wing tear weights
between a conspicuous marginal patch and a homologous non-conspicuous patch across
three species of *Pierella.* They found that the species with the conspicuous hindwing patch
had lower wing tearing weights than those lacking the patch. Kodandaramaiah [15] posited
that a comparison of wing tear force at the eyespotted location and other non-eyespotted
locations across the wing surface of species with putative deflective eyespots could provide

an understanding of the mechanism involved in deflection. Using dummy butterflies with
actual wings of the swallowtail *Iphiclides podalirius* and wild-caught great tits as predators,
Chotard and colleagues [23] found that the location of wing damage as a result of predation
was significantly higher in the hindwing area and especially higher in the tail area when
compared with other regions in both forewing and hindwing. When the force required to
break the wing was compared across multiple locations on the wing surface, they found that
hindwings, particularly the hindwing tails, were significantly easier to damage. Thus, there is
some evidence that deflective colour patterns on butterfly wings are present in regions that
easily tear. Similarly, Robbins [24] noted that the false head region on the hindwing of
Arawacus aetolus broke off more easily compared to wing parts closer to the body. Studies
on wing tear strengths of lycaenid butterflies with and without false head traits and at
different parts of the wings are needed to test this idea in the context of false heads.

Our results do not support the anti-false head hypothesis, which posits that the bright,
conspicuous colouration in the false head region *per se* directs attacks towards the
colouration [24]. This hypothesis predicts that the evolution of the salient conspicuous
colouration alone should provide effective anti-predator functionality. If this is the case,
conspicuous colouration should have evolved independently of the other false head traits.
Instead, the correlated evolutionary pattern of conspicuous colouration with other false
head traits suggests that conspicuous colouration works in conjunction with other false head
traits.

Our ancestral state reconstruction showed that convergent lines had multiple independent
origins in two subfamilies – Aphnaeinae and Theclinae. Convergent lines have been
hypothesised to lead predator's attention towards the false head region where the lines
converge [24]. This hypothesis of leading lines has received support from studies on the
visual attention of human volunteers [80], and it is widely implemented as a principle in
photography [81]. Under the premise that convergent lines and the remaining false head
traits share the common function of deflecting attacks to the posterior hindwing end, we
had predicted convergent lines to evolve correlatedly with other false head traits. However,
we found that convergent lines showed no correlated evolutionary pattern with the rest of
the false head traits, except false head contour. Hence, our results indicate that convergent
lines do not share a functional association with the other traits. One possibility is that
convergent lines, which often contrast strongly against the wing background colour, may act
as disrupting colour patterns, as has been shown for similar patterns in the Banded
Swallowtail (*Papilio demolion*) butterfly [82]. However, we do not disregard the possibility
that the convergent lines evolved independently, providing a deflective function in a similar
manner to the deflective effects of stripes on lizards, which redirect attacks towards the tail
[10,83].

The combination of false head traits varies markedly across species, even within the same
genera [27]. In our data, we recovered similar instances of multiple within-genus variations
in the number and combination of false head traits. This variation may be explained by
differences in selection pressures, rather than developmental or genetic constraints [30].
The differing numbers of false head traits likely represent alternative adaptive solutions to

varying selection pressures from visual predators [27]. Furthermore, learning by predators
may lead to frequency-dependent selection such that false heads confer higher fitness in
butterflies communities where they are rare. Thus, predator learning may also contribute to
inter-specific variation in false head traits.

Apart from their role in anti-predator functions, the presence of false head traits may incur
energetic costs on the bearer. Some species have extremely long false antennae, similar in
length or longer than half their wingspan, such as *Arcas cypria* (Theclinae), *Zeltus amasa*
(Theclinae) and *Eooxylides tharis* (Theclinae). Such long false antennae may compromise
flight performance. False heads may also be energetically costly in terms of the production
of structural colours. It is possible that the deflective advantage provided by long false
antennae outweighs the energetic costs of flight and colour production. False heads, or
components of false heads such as conspicuous colouration, may also function as sexual
signals, and the potential interplay between these two functions warrants further
investigation.

In addition to Lycaenidae, false heads have been reported in Riodinidae [84,85] and
Nymphalidae [78,86]. In addition to the nymphalids and riodinids, we found species having
at least one false head trait in Papilionidae and Hesperiidae. Using a 197 species
phylogenetic tree representing 98% of all butterfly subtribes [38], we performed similar
analyses as for Lycaenidae in order to understand the evolutionary patterns of false head
traits on a broader scale. In agreement with the patterns that we found within Lycaenidae,
we recovered a correlated evolutionary pattern of spot, false antennae, conspicuous
colouration, and false head contours, while convergent lines did not show a correlated
evolutionary pattern with any of the other false head traits (Supplementary: Table S7, Fig.
S2). These results further strengthen the interpretations of our study.

Body size does not affect false head evolution

Predation risk is positively dependent on size, where larger-sized prey are more prone to
detection, whereas smaller prey gain more protection by being cryptic (reviewed in [87]). If
larger butterflies are more easily detected, they may be more dependent on deflection,
whereas smaller butterflies rely on crypsis alone. Thus, we predicted the evolution of more
false head traits in larger butterflies compared to smaller butterflies. However, our study did
not find any phylogenetic correlation between the number of false head traits a butterfly
had and its wingspan. Thus, false heads may deflect attacks irrespective of size, either as the
primary defence [23] or as a late-acting defence when camouflage fails, as suggested by
Novelo Galicia and colleagues [27]. Instead of prey body size, the crucial criteria for
deflection may be the size of the deflective features. Deflection is likely to work when the
deflective features have an optimal size below which the features are too small for detection
and beyond which predators are not drawn to attack [14]. Alternatively, deflection may be
successful if the false head traits, irrespective of the wingspan, are more conspicuous than
(parts of) the real head, thereby offering a super-stimulus to the predators [88]. Visually
oriented predators of butterflies, such as birds [25,63], lizards [64], spiders [65] and mantids
[32], may exert similar predation pressures on butterflies of varying sizes, driving the
evolution of similar deflective features in varying prey sizes.

Summary and Conclusion

The false head in butterflies has long been hypothesised to have a deflective function
against predators. We present the first phylogenetic comparative analysis of false heads. We
found that most false head traits in butterflies evolved in a correlated pattern, presumably
towards a functional association as a response to a common selective force. Thus, our study
provides macroevolutionary support for the idea that the false head evolved as an adaptive
constellation of anti-predatory traits. These false head traits together may form a complex
structure that visually resembles a head to the predators. Our results are in agreement with
studies that showed that false heads deflect predator attacks efficiently when the butterfly
has many false head traits. However, we also argue that multiple anti-predator hypotheses
may work together synergistically along with the deflection hypothesis highlighting the
importance of further predation experiments employing multiple predator types. Overall,
our comprehensive analysis underscores further studies to understand the adaptive
significance of false heads in butterfly defence mechanisms.

Table 1. Evolutionary correlates of pairwise discrete false head traits resulted from corHMM analyses.
Loglikelihood (lnL), AIC and corrected AIC or AICc of each evolutionary model are listed in the
columns with the respective model's name as the title. Model fit was assessed using the AICc.
Pairwise traits with support for correlated evolution models are highlighted in bold.

Trait	Independent			Hidden Markov Independent			Correlated			Hidden Markov Correlated		
	lnL	AIC	AICc	lnL	AIC	AICc	lnL	AIC	AICc	lnL	AIC	AICc
False antenna												
Spot	-645.41	1298.81	1298.85	-561.65	1143.3	1143.54	-563.17	1142.34	1142.49	**-521.89**	**1079.77**	**1080.53**
Conspicuous colouration	-647.88	1303.76	1303.81	-578.44	1176.88	1177.12	-611.49	1238.97	1239.12	**-550.52**	**1137.03**	**1137.78**
False head contour	-507.37	1022.74	1022.78	-421	862	862.24	-442.21	900.42	900.58	**-390.83**	**817.66**	**818.41**
Convergent lines	-388.09	784.17	784.22	-338.3	696.6	696.84	-378.95	773.89	774.05	-336.6	709.19	709.94
Spot												
Conspicuous colouration	-669.38	1346.75	1346.79	-594.67	1209.34	1209.58	-618.92	1253.83	1253.99	**-576.79**	**1189.58**	**1190.34**
False head contour	-528.86	1065.72	1065.77	-471.41	962.81	963.05	-482.14	980.28	980.43	-445.6	927.2	927.95
Convergent lines	-409.58	827.16	827.2	-381.1	782.2	782.44	-401.75	819.5	819.66	-378.43	792.85	793.6
Conspicuous colouration												

False head contour	-531.34	1070.68	1070.72	-491.73	1003.45	1003.69	-507.46	1030.92	1031.08	**-459.2**	**954.39**	**955.14**
Convergent lines	-412.06	832.11	832.16	-378.95	777.9	778.14	-402.74	821.48	821.64	-385.95	807.89	808.64
False head contour												
Convergent lines	-304.05	616.09	616.14	-264.09	548.18	548.42	-259.69	535.37	535.52	**-228.67**	**493.33**	**494.08**

502 Table 2. Summary statistics of the 6 path models with the least CICc from the phylogenetic path
503 analysis, where C and ωCICc values indicate Fisher's C-statistic and CICc weights, respectively. The
504 models mentioned are as in Figure 4.

Model	C	CICc	ΔCICc	likelihood	ωCICc
eighteen	54.5	72.7	0.00	1	0.85
ninety-five	59.6	77.8	5.06	0.08	0.07
ninety-six	60.1	78.3	5.62	0.06	0.05
two	62.1	80.3	7.58	0.02	0.01
thirty-six	63.6	81.8	9.08	0.01	0.01
sixteen	73.8	92.0	19.31	<0.01	<0.01

Ethics. Our study does not involve any live animals and requires no ethical permits.

Conflicts of interest declaration. We declare that we do not have any competing interests.

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