Mitigation and detection of putative microbial contaminant reads from long-read metagenomic datasets

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Abstract

Metagenomic sequencing of clinical samples has significantly enhanced our understanding of microbial communities. However, microbial contamination and host-derived DNA remain a major obstacle to accurate data interpretation. Here, we present a methodology called 'Stop-Check-Go' for detecting and mitigating contaminants in metagenomic datasets obtained from neonatal patient samples (nasal and rectal swabs). This method incorporates laboratory and bioinformatics work combining a prevalence method, coverage estimation, and microbiological reports. We compared the 'Stop-Check-Go' decontamination system with other published decontamination tools, and commonly found poor performance in decontaminating microbiologically negative patients (false positives). We emphasize that host DNA decreased by an average of 76% per sample using a lysis method and was further reduced during post-sequencing analysis. Microbial species were classified as putative contaminants and assigned to 'Stop' in nearly 60% of the dataset. The 'Stop-Check-Go' system was developed to address the specific need of decontaminating low-biomass samples, where existing tools primarily designed for short-read metagenomic data showed limited performance.

Impact Statement

Metagenomics has gained popularity due to its diverse applications in the multi-omics research field and the improvements in sequencing performance of technologies such as Nanopore. However, challenges in biological interpretation remain because of the complexity of the data structure and the potential of contamination occurring at multiple steps during sample processing, which can lead to incorrect conclusions. We aim to raise awareness of contamination, which can be host-associated, cross-contamination, or library-derived, any of which may be introduced at any stage from sample collection.

Existing decontamination tools are largely designed for short-read sequencing and thus present limitations when applied to long-read datasets. We propose a direct comparison of species in samples with species in weekly negative controls that progressively accumulate both external and kit-reagent contaminants. Additionally, we recommend incorporating read-depth coverage and read-prevalence metrics, particularly in studies involving low-biomass or non-culturable microorganisms. Whenever possible, validation with microbiological reports is strongly advised. Our code is available on GitHub and can be executed locally in RStudio. It outputs species classifications labeled 'Stop', 'Check', or 'Go', as well as BIOM format files clean of identified contaminants, ready for downstream analysis with R packages such as phyloseq, vegan, or metagenomeSeq.

Data summary

The complete source code and documentation are available from GitHub (https://github.com/SAM81221/Stop-Check-Go_TAPIR). Metagenomic sequences including controls have been deposited in the ENA in project PRJEB82667; and isolate sequences of control samples in PRJEB95992. Information on samples and sequences can be found in Supplementary Table S1.

Introduction

Metagenomics has established a framework for better understanding the composition and interactions within microbial communities, shedding light on clinical applications, metabolic functional interactions, and the long-term role of microbes in human health and disease. Traditionally, microbiome research relied on 16S rRNA gene sequencing, but this approach has limitations in resolving lower-order taxonomic

classifications, and additional discriminatory power is required (1). With
advancements in sequencing technologies using high-throughput platforms such as
Illumina technology and now Oxford Nanopore Technology (ONT), researchers can
achieve strain resolution and gain more comprehensive insights into microbial
dynamics and diversity. However, as the field has progressed, it is becoming
increasingly evident that contamination remains a challenge, particularly for low-
biomass samples, such as those involving neonatal samples or other clinical
specimens with limited microbial content, where external and internal contaminants
can skew results and significantly impact the accuracy and reliability of data
interpretation (2). Contaminants can originate from external sources such as
laboratory surfaces, reagents, sample handling, and instruments/equipment, or
internal sources, including cross-contamination, arising during sample processing or
index switching/hopping in sequencing (3,4). These contaminants are particularly
problematic in studies targeting specific taxa, such as Enterobacterales, as their
presence may be falsely attributed to biological significance. For instance, in
transmission studies, contamination could lead to erroneous conclusions about
microbial transmission events. Similarly, in microbiome profiling/metataxonomic
assignment, contaminants can shift taxonomic profiles, potentially masking true
microbial signals and biasing ecological interpretations. While these issues may be
less relevant in high-biomass samples, such as soil, where contaminants are more
readily distinguishable, their impact in clinical and low-biomass studies necessitates
stringent measures for identification and mitigation (5).

Recently, a comprehensive guidance for preventing contamination has been
published for the design of sample collection and processing in metagenomic studies
with strategies to minimize contamination and cross-contamination that aim to
provide standard guidelines (6). In this guide, there are approaches (moderate to
essential relevance) in areas such as awareness/training, sample collection,
laboratory practices and data analysis/ reporting. One key message is to ensure the
awareness of contaminants introduced at any point from sample collection to
sequencing by continuously providing training and audits in the processes. This
awareness leads to the second key message, which is the inclusion of negative
controls that progressively 'accumulate' the contaminants during the sample
processing (6), which we believe is a major limitation in this field. Studies have

shown that nearly 70% of metagenomic studies do not include negative and technical
controls, and of those that do include them, only a fraction sequence and analyze
them (7). This oversight complicates the ability to distinguish true microbial signals
from contaminant DNA. Additionally, certain contaminant taxa—over 60 of which
have been identified in the literature—are context-dependent, varying based on the
specific kits and laboratory environments used. These taxa collectively shape the
'contabiome' (8), and their acknowledgment is crucial for interpreting results and
making informed decisions in microbiome research (8). Here, it is useful to store and
report reagent production changes and if possible, the location of the samples on the
well plate to keep track on batches changing, which can be useful to determine the
nature and the extent of the introduced contamination. Contamination is challenging
to identify as it involves microorganisms also present in the samples, and regardless
of the mitigation techniques such as UV irradiation, purification, or the separation of
working areas, complete elimination is rarely achievable (3,7–9).
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To address this issue, several tools have been developed for bacterial contaminant
identification, including Decontam (7), SourceTracker (10), SCRuB (11), and
MicrobIEM (12). These tools employ different methodologies to differentiate true
signals from contaminants, relying on sequencing data from negative or
environmental controls (8). Decontam uses frequency-based methods (which
assume that contaminant DNA is inversely correlated with input DNA) or prevalence-
based methods (which compare the presence of taxa in negative controls versus
biological samples) (7,13). SourceTracker and SCRuB employ a Bayesian model to
estimate the proportion of n sequences in a defined sink sample x by using the Gibbs
distribution (10) and form part of the open-access QIIME tools for metagenome and
16S rRNA gene amplicons (13). MicrobIEM incorporates the prevalence method of
Decontam and provides options based on the ratio of the species mean relative
abundance, which requires environmental sampling (12).
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In this study, we investigated contamination in metagenomic samples obtained from
low-biomass screening samples collected weekly from premature and term neonates
in the neonatal intensive care unit (NICU) at the Medical Centre – University of
Freiburg. These samples were analyzed using ONT long-read sequencing and
presented challenges, as low-biomass samples are particularly prone to

contamination due to their low microbial load, high host DNA content, and
susceptibility to technical artifacts (14,15). To address these challenges, we
developed a system called 'Stop-Check-Go' by adopting the prevalence method in
Decontam (7), and incorporating a novel metric—coverage per species per sample.
This system leverages the advantages of long-read sequencing, which enables more
comprehensive genome and metagenome characterization, providing critical insights
into microbial diversity and its implications for health and disease (13,16).
Through this approach, we not only accounted for contamination but also highlighted
the importance of implementing negative controls and integrating them into
metagenomic analyses to enhance the reliability of results. By addressing
contamination systematically, we aim to improve the accuracy of strain-level
microbial profiling, particularly for Enterobacterales, to understand microbial
transmission and microbiome dynamics in neonatal health (15,17).
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Methods
Collection of samples, microbial growth and preservation
Rectal and nasal swab samples were routinely collected from neonates admitted to
the Neonatal Intensive Care Unit (NICU) within the framework of a study investigating
the emergence, spread, and dynamics of healthcare-associated bacteria with the
intent of tracking the acquisition of pathogens in real-time (TAPIR). We included
sterile swabs and molecular-grade water as weekly negative controls, which were
processed and sequenced alongside the biological samples. All samples were
enriched overnight in Brain Heart Infusion (BHI) broth at a 1:2 ratio of sample to
media at 37°C, 210 rpm. Microbial growth was validated by culturing on MacConkey
agar, CHROMagar™/CNA agar (biplate), and blood agar, incubated at 37°C for 24
hours. Positive-growth samples were reported and the culturable bacteria on
MacConkey agar were associated with Enterobacterales and therefore identified
using MALDI-TOF. The bacteria of interest were purified, and both sweep and pure
cultures were cryopreserved in horse blood at -80°C. These were available in cases
where confirming true positives and ruling out cross-contamination was needed.
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174 **Removal of Human DNA and DNA Extraction**
175 Human DNA (hDNA) was removed from enriched broth samples by centrifuging at
176 10.000 rpm for 5 minutes, and the pellet was incubated with 1 mL of molecular grade

water at room temperature (RT) for 5 minutes, promoting eukaryotic cellular lysis (18). Samples were centrifuged at 10.000 rpm for 5 minutes before resuspension of the microbial pellet in PBS 1X. A ratio of 1:1 lysozyme + lysostaphin was used for DNA extraction using the kit High Pure PCR Template (Roche Molecular Diagnostics, Mannheim, Germany) following the manufacturer's protocol (Catalog no. 11796828001). DNA libraries were prepared with the Nextera DNA Flex Library Preparation Kit (Illumina). Paired-end sequencing (2×150 reads, 300 cycles v2) was conducted on an Illumina MiSeq platform.

Library Preparation and Metagenomic Sequencing

On average, we collected 24 samples per week, corresponding to 12 patients with two sets of samples, which were processed and distributed on two identical flow cells. Libraries for long-read sequencing were prepared using the ligation sequencing kit (SQL-LSK109; ONT, Oxford, UK) and native barcoding kits (EXP-NBD104 & EXP-NBD114) for R9 Chemistry (from the beginning of the project until week 13). The SQK-NBD114-96 barcoding kit for R10 chemistry was used from week 14, following the manufacturer's protocol (available online at https://nanoporetech.com). In the R10 chemistry, we used an initial volume of 11 µL per sample in the library protocol to get the maximum possible concentration (low biomass samples ranged from too low to 3.5 ng/µL after BHI enrichment). Final libraries were sequenced on a GridION X5 Mk1 sequencing platform. Sequence data acquisition, real-time base-calling, and demultiplexing of barcodes were conducted using the graphical user interface MinKNOW (v23.11.7) and Dorado basecall server (v7.2.13). From week 17, Readfish (v23.1.1) was run simultaneously with the start of the sequencing to actively target and 'reject' sequencing reads corresponding to hDNA (GRCh38, T2T-CHM13v2) across individual nanopores (19). Weeks with a final library concentration below 7.0 ng/µL and negative growth in the controls were repeated with R10 chemistry flow cells. The 11µL loading volume per sample was chosen for a good initial concentration and to minimize the risk of contamination based on the prevalence method (refer to results section: Implementation of the Stop-Check-Go system).

Read Pre-processing and Removal of Human DNA (hDNA) Contaminants

Sequenced data underwent pre-processing using Porechop (v0.2.4; https://github.com/rrwick/Porechop) to trim off adapters. Trimmed reads were filtered

using Filtlong (v0.2.1; https://github.com/rrwick/Filtlong) with a minimum read length
threshold ('--min_length') of 1000. Afterwards, reads were mapped to the human
genome (GRCh38, T2T-CHM13v2) using Minimap2 (v2.24; using the 'map-ont'
parameter) (20), and hDNA reads were removed using SAMtools (v1.14) (21). These
steps, including read trimming, read filtration, and hDNA removal, were executed
using custom Nextflow pipelines (22,23) (see Table S2. for the codes implemented in
Nextflow pipelines). Metagenomic sequences including controls have been deposited
in the ENA in project PRJEB82667. Information on samples can be found in
Supplementary Table S1.

Microbial Species Abundance Estimation of Real-world Metagenomic Datasets
Metagenomic composition reports were generated using Kraken v1.1.1 (24) with the
MiniKraken database (DB_8GB), and the microbial abundance was estimated from
this output using Bracken (25). The taxonomic profiles per sample per week were
visualized in R, using Tidyverse (v2.0.0) (26), scales (27), and ggthemes (28) to
validate the microbiological reports. Those controls associated with positive growth
were purified, confirmed by MALDI-TOF, sequenced with the Nextera DNA Flex
Library Preparation Kit (Paired-end sequencing, 2×150 reads, 300 cycles v2, Illumina
MiSeq), and the species were identified to the sequence type level using MLST (29–
31). We labeled the contaminants in the Kraken metagenomic reports and excluded
them from downstream analyses if the same sequence type for the corresponding
week was identified with TRACS (31). These sequences have been deposited in the
ENA in project PRJEB95992.

Stop-Check-Go system development and identification of non-hDNA
contaminants
The Stop-Check-Go system was designed to include two main quantitative
parameters and one qualitative parameter: Difference Fraction Reads (DFR),
coverage (read depth), and the presence of microbial contaminants in negative
controls. Individual taxonomic reports were combined and used to calculate the 1)
Difference of the Fraction Reads (DFR) per species per sample based on the
prevalence method that included the reads fraction of the control (FRC) of species x,
and reads fraction in the sample (FRS), $DFR = FRC - FRS$. The 2) Coverage
values were obtained from the assembly statistics text file from FLYE (32) by the '--

stats' parameter for *n* contigs per sample file. We used the 'Kraken-translate' script

(24) to obtain the taxonomic association within each contig and therefore could

associate the 'contig_n' to a species. Binary microbiological growth results per

sample (positive, negative), including controls, were added to the extensive

combined Bracken Kraken file, which incorporated three parameters to classify and

identify potential contaminants. The complete source code and documentation are

available from GitHub (https://github.com/SAM81221/Stop-Check-Go_TAPIR).

Comparison of the Stop-Check-Go with other decontamination tools

The 'Stop-Check-Go' system was compared across five weeks with decontamination

tools Decontam (6), and microBIEM (12). Each method generated a list of putative

contaminants 'tax_id' that was used to decontaminate the dataset, where each

'tax_id' was located in the respective tables containing the observation counts for

each taxonomic unit (otu_table, phyloseq object). A new otu_table was generated

from all decontamination tools, where zero was assigned to every putative

contaminant otu (in the corresponding positions). These decontamination outputs

were normalized using the Normalization.R script (33), and Jensen-Shannon

Diversity was calculated to quantify the communities' species distribution. Non-

parametric Spearman correlation and Mantel statistics were calculated for each

paired combination of the decontamination tools.

Results

Collection Overview

A total of 1,351 samples were collected from 302 patients, with 182 (60%) staying

one week on the ward, 53 (18%) staying two, 20 (7%) staying three weeks, and 47

(15%) staying more than three weeks. Overall, 58% of the patients (n=177) were

colonized by Enterobacterales, and 25 patients were found to be microbiologically

negative and were discharged before a second screening. Two negative controls

from sterile swabs and molecular grade water were processed weekly, bringing the

total to 1475 samples analyzed.

Human DNA removal

We evaluated the presence of human DNA (hDNA) contaminants in our samples as

hDNA usually misleads the interpretation of the results (34,35). We have applied

three different approaches for the removal. First, a lysis protocol (18) in the samples

as hDNA represented in some cases 90% of the reads. The lysis helped achieve on
average a 67% reduction in hDNA reads, which combined with the enrichment step
reduced it by 96%. Second, during sequencing, the Readfish Python package (Table
S2, A.) selectively ejected reads from the flow cell pores matching the human DNA
reference (GRCh38). We quantified that the content of hDNA in the samples was
higher in the nose than the anus, and the proportion of matching hDNA reads across
the weeks is provided in Table S3. Third, in post-sequencing analyses, after
Porechop and Filtlong (Table S2, B.), we filtered the reads that mapped against the
reference GRCh38 with minimap2. The remnant hDNA and microbial DNA reads are
presented in Fig. S1, from which we retained only the microbial DNA for downstream
analyses using SAMtools (Table S3) (21).
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The residual number of reads in sample types might be associated with
contamination
We sequenced 25 negative patients and 105 negative-growth controls (85%, n_{total}=
124), and regardless of reported negative growth on agar plates, we quantified reads
associated with the introduction of microbial contaminants. We found that most of the
species in these samples had less than 1,000 (1K) reads; however, certain species
contributed a large number of reads (> 1K). *Staphylococcus epidermidis*, *S. aureus*,
Klebsiella pneumoniae, and Escherichia coli contributed with reads between 1K –
10,000 (10K), and *S. epidermidis* exhibited reads between 10K and 100,000. We
checked the weeks with reads in negative samples and found a homogeneous
distribution across the dataset, although the biggest effect was attributable to weeks
22, 23, 24 and 24, 25, 40 (nose and anus, respectively). This elevated number of
reads in the negative samples and controls led us to compare the read abundance
among the sample types. We found significant differences (p-adj.) in the controls
(swab, water) versus the samples (anus, nose) (Fig. 1). We further separated the
sample types by positive or negative growth and showed that regardless of the high
number of reads in the negative samples, the number in the positive samples is
markedly higher with statistical significance (*p*-adj., Holm correction method).
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311   **Contaminant detection and source attribution**
312   The high number of reads found in the negative-growth samples justified the need to
313   distinguish the contamination sources, and to develop a strategy for dealing with

potential microbial contaminants. We identified three types of contaminants: A. cross-contamination either before or during the enrichment step, B. species introduced during DNA extraction and library preparation, and C. species that appeared in low biomass samples at higher abundance, now termed *library contamination.* The putative species contaminants (A) could be confirmed by using microbiological reports as we observed growth on these agar plates, purified the bacteria, and sequenced them with MiSeq (Illumina). We reported 15 weeks with cross-contamination (24%), but only 3 weeks (5%) with Enterobacterales in swab/water: week 12 *E. coli* ST8186, week 15 and 16 *K. oxytoca* ST189. The contamination (B) and (C) remained challenging to classify and separate from the species with true biological meaning, so we quantified the potential contaminants using read numbers.

From the observation and quantification of negative controls, we can suspect the contamination status of samples and controls. We expected that samples with low or near-zero contamination would have a mixed taxonomic composition profile with a low number of reads (< 1K, denoted as 'no star'). We also expected the species proportion to show variation in the library preparation, examples of low contamination profiles for the controls (regardless of the type: water or swab) are weeks 8 -10, 30-35, and 52-53 (Fig. 2). The weeks 17-19 and 21-25 are possible examples of contamination (B) and (C) due to dominance of a single species, in this case *E. coli,* and the associated negative-growth reports after culturing.

Library repetition improved the DNA concentration and taxonomic profiling

The weeks that did not follow the expected mixed pattern were further investigated, and we found that 70% of the libraries (n=7/10) had low library concentrations with low individual DNA extraction concentrations. In addition, we extracted the reads of the overrepresented *E. coli* in the controls, which had more than 10K reads (in most cases), however, we were unable to assign a particular ST to any of these *E. coli*. The combination of low DNA concentration, negative growth in the controls, and unavailable STs led us to select seven weeks with this particular library contamination for further examination. The library preparation for these weeks was repeated (including the controls) with the R10 chemistry. Table 2 summarizes the final library DNA concentration (before sequencing) of the originals and the repeats. We included five additional libraries with either low DNA concentration (week 7) or

high concentration but presence of Enterobacterales (> 1K) and negative-growth
(week 25, 38). Two additional libraries were included as negative controls
(Enterobacterales < 1K, week 39, 60) for a total of 12 repeated libraries. There was a
marked improvement in the library concentration in 75% of the repeated libraries,
except for weeks 25, 38 and 39, which had good concentration in the original libraries
(see Table 1). The taxonomic profiles of the original and repeated libraries are
displayed in Fig. 3A, where in cases of *E. coli* overrepresentation, the controls of the
repeated libraries reverted to mixed profiles. An exception occurred in week 22,
where after the repetition we observed cross-contamination with *Pseudomonas*
aeruginosa in the swab, which was not associated with positive-growth during the
culturing step.

We closed the study in week 56, but followed the last patient until discharged as we
reported colonization by *K. oxytoca* from week 54, however, due to the low number of
samples (per week) we multiplexed all in a single library. This facilitated identification
of the strong batch effect in the libraries that were sequenced together as displayed
in Fig. 3B. In addition, the same effect was observed for week 60 (Fig. 3C) after
being multiplexed with week 38, where the composition of the taxonomic profiles are
nearly identical in both weeks.

Classification of putative microbial contaminants in the 'Stop-Check-Go'
system
The contaminants during DNA extraction, library preparation and sequencing that are
difficult to identify and the observation of a high number of reads in the samples we
presumed as negative, encouraged us to develop a classification system. This
system called 'Stop-Check-Go' included microbiological reports, coverage, and the
weekly negative controls for validating the species presence with the prevalence
method already published (7). The species validation occurred by a direct
comparison of the species in the samples with the species in the controls (by week)
using the prevalence method proposed by Davis et. al 2018 (7). The authors
established that the total sample DNA (T) is composed of contaminant (C) and true
sample DNA (S), so $T = C + S$. Thus, in negative controls where $S \sim 0$, the probability
of contaminant detection is higher than the detection of contaminants in true samples
when $S > 0$ (7). This means that species present in the samples are classified as

contaminants if species prevalence is higher in the controls: $Prevalence\ controls >$
$Prevalence\ samples$. This follows the assumption that the species in the controls
have competing DNA during the sequencing process, and therefore it is a good
portrait of species contaminants for the corresponding week (7). We checked this
prevalence by calculating the difference of the fraction reads (DFR) per species per
sample. The values were classified as 'Stop' if $DFR = FRC - FRS > 0$, and 'Go'
when $DFR < 0$. Afterwards, we classified the species according to the read
coverage after assembly and error correction (Flye, Medaka, respectively) with a
minimum (condition 2, see Table 2) coverage of 20 as proposed by the literature
(36). Thus, the species were classified as '*low*' for coverage $< 20X$, and '*ok*' for
coverage of $20X$ or above, and we complemented this with an auxiliary third
parameter from microbiological reports. These reports were useful to classify the
contamination reason of the controls, either cross-contamination (before DNA
extraction) or library contamination (1K or 10K).
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These three parameters were used to formulate the conditions of the classification
system using the function 'case_when' from the dplyr R package, each condition was
evaluated independently. Table 2 summarizes the system decision parameters and
the classification into the corresponding category: 'Stop', 'Check', or 'Go'. Overall, the
classification system strictly excluded species with a lower proportion of reads
compared to the species in the negative controls $DFR > 0$ (conditions 1, 2), and
microbiologically negative samples (condition 4), as the reads might arise from
previous steps where the contamination was present. Species with a high number of
reads in the negative controls (library contamination 10K) were considered as
putative contaminants if the coverage was low (condition 3). Species in the 'Check'
category
(conditions 5 - 8) were examined individually with the microbiological reports and
only Enterobacterales found in pure culture were classified as 'Go'. Three weeks
(5%) were contaminated with Enterobacterales: *K. oxytoca* ST186 (week 15, 16) and
E. coli ST8186 (week 12). The other non-Enterobacterales contaminant species
(*Staphylococcus* sp., and *Enterococcus* sp.) were classified to 'Stop'.
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Dynamic classification of species across samples

Defining classification thresholds for putative contaminants based on read counts is a

common approach in metagenome and microbiome studies (4,36,37), but the use of

coverage has the advantage of accounting for the genome size and read length.

Consequently, species with a small genome size (e.g. *Staphyloccocus* sp.) can be

falsely filtered when using read counts as they have a small number of reads but still

good coverage. We explored the relationship between the coverage and the number

of reads within the metagenomes by classifying the samples based on

microbiological reports. Microbiologically positive samples (Fig. 4A), microbiologically

negative (Fig. 4B), and controls (Fig. 4C), and also reported the proportion of filtered

species solely based on a coverage below 20 (Fig. 4D). The differences in read

quality can be observed by the broad distribution of the dots in Fig. 4A, and it is clear

that even in negative samples, there are reads that can be misclassified based only

on coverage (Fig. 4B). In the controls, we determined that all the samples, reported

as microbiologically negative were under the threshold of 20. However, using only

the coverage will create false positives in the samples where the controls had a high

number of reads and good coverage (Fig. 4C), commonly observed in cross-

contamination or library contamination 10K. On average, 50% of the species present

in the metagenomes would be removed from the positive samples (Fig. 4D) without

the inclusion of additional parameters, and approximately 25% of the

microbiologically negative samples could not be filtered. The profiles and proportion

of putative contaminants filtered using the defined coverage cutoff of 20 are

presented in Fig. 4E, where the species profile changed weekly. However, a common

set of *Staphylococcus* sp., *Streptococcus* sp., and *Veillonella* sp., had the highest

removal rate independently of the week.

When we applied the classification system 'Stop-Check-Go' to the dataset, the

species that fell into conditions 1, 2, 3, 4 from Table 2 were classified to 'Stop' and

species into conditions 9 and 10 to 'Go'. The overview of genus relative frequency

per week is presented in Fig. 5, where 20 species were classified simultaneously in

both categories (57.14%, total$_{species}$=35). *Staphylococcus* sp., *Klebsiella* sp., and *E.

coli* contributed to the highest rejection rates ('Stop') and inclusion rates ('Go') across

the dataset. The detailed overview of the main genus contributing to high 'Stop' and

'Go' categories at species level is shown in Fig. S2, where *Staphylococcus aureus*

and *S. epidermidis* had strong rejection rates and therefore shown as contaminants
in the study (Fig. S2).
The same analysis was done for the Enterobacterales, we found a higher average
relative frequency of *E. coli* in the category to 'Stop' in weeks with suspected library
contamination compared to the rest of the dataset (32.82%, 10.20%, respectively).
Similarly, *K. pneumoniae* was observed with a higher frequency in 'Stop' and 'Go' in
the second part of the study (Fig. S2), however the effect on library contamination
was underestimated as the dominance in the controls was not as complete as that of
E. coli. The abundance of other *Klebsiella* sp. can be observed in Fig. S2, being *K.*
oxytoca more abundant than *K. aerogenes* and *K. michiganensis*. Overall, the
Enterobacterales in 'Check' were compared with the microbiological reports to
determine the proportion that could be confirmed to 'Go'. A total of 17.45% (204,
total=1169 samples in 'Go') were confirmed as positive by microbiology for *Klebsiella*
sp. (*K. aerogenes*: 4, *K. oxytoca*: 22, *K. pneumoniae*: 97, *K. variicola*: 2) and
Escherichia coli (n=79).
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The 'Stop-Check-Go' system was compared with Decontam and MicroBIEM methods
in the decontamination of 'tax_ids' per week, and the correlation between the
(dis)similarity of the microbial communities (Fig. 6). A Pearson correlation below 0.3
indicating a high level of dissimilarity between the microbial community structures
was further evaluated. We found a relative decontamination agreement of 66,67%
and 75,56% between the 'Stop-Check-Go' (System) and Decontam, MicroBIEM,
respectively. However, the 'tax_ids' that were not decontaminated by Decontam and
MicroBIEM had low levels of agreement (14,29% and 4,17% respectively). The
comparison of the Decontam_System, and MicroBIEM_System is outlined in Fig. S3
A, B, respectively. We identified that the major limitation of the methods was the
decontamination of microbiologically negative patients (MNP). We quantified that
MNP falsely not decontaminated by Decontam represented 22.45% of the dataset
(n=11/49, Fig. S3 A). Likewise, MNP not decontaminated by MicroBIEM represented
58,33% of the samples (n=14/24, Fig. S3 B). The prevalence inspection of positive
samples and negative controls by Decontam with a threshold of 0.1 (default)
theoretically splits true from the false contaminants (Fig. S4 A). However, this
inspection in our dataset (after removing MNP and weeks with suspected cross-

contamination) showed limitations in differentiating true samples from contaminants
even with stricter thresholds like 0.5 (Fig. S4 B).

Discussion
Contaminant species are always present in metagenomic studies, usually introduced
from reagent kits, environmental sources, and cross-contamination when samples
are routinely handled before sequencing. This study addresses the challenge of
microbial contamination in long-read metagenomic datasets derived from neonatal
nasal and rectal swabs. We developed a comprehensive workflow encompassing
pre-sequencing, sequencing, and post-sequencing stages to detect putative
contaminants, and exclude putative human and microbial contaminant DNA. We
introduced the 'Stop-Check-Go' system that aims to mitigate the introduction of
contaminants in downstream analyses using species prevalence, coverage,
microbiological reports to refine the identification of microbial taxa with biological
meaning. Therefore, we complement our metagenomic analyses with short-read
sequencing (Illumina) to validate the presence of Enterobacterales (which we survey)
and confirm with better precision the cross-contaminant species in the controls.

Addressing contamination has gained relevance due to the increase of metagenomic
studies applications and the use of reproducible decontamination tools is an
emerging need. Previous studies in host-associated metagenomes, such as human
or mouse samples, have found human DNA contamination to be the most prevalent,
for example, 72% of the metagenomes in one study had at least one potential human
contamination (38). To date, there are 51 different tools for remove host
contamination (36, 37), and the microbial decontamination tools vary depending on
the compositionality of the dataset, the sequencing technology, and the specific
needs of the metagenomic studies. However, most decontamination tools are
designed and tested in short-read metagenomic microbiomes (7,10,41–43), which
pose an evident limitation for use in our dataset. In addition, the identification of
contaminants in low biomass samples adds a layer of difficulty, where the tools have
been published with a limited detection capacity when separating controls from true
samples (44).

In our present study, we aim to emphasize the use of negative controls to mitigate
putative contaminants through the detection of common shared species per
sequencing run. Previous studies reported costs and time as limitations (44). We
calculated that using two negative controls represented in our study 0.78% (< 1%) of
the sequencing costs (calculation based on one flow cell, demultiplexed with 15
samples). In the absence of negative controls some decontamination tools use a list
of common species reported as contaminants from extraction kits and environmental
sources (9,44–46), under the assumption that reads matching these external
references are considered contaminants. We showed changes weekly in the
taxonomic profiles relating to things like lot changes, hence, in agreement with Salter
et al. (3), we advise against comparing with external controls or sources.

As sequencing technologies continue to evolve, the ability to effectively manage
contaminants will remain crucial for producing high-quality data and uncovering
meaningful biological insights. The lack of sufficient negative controls to distinguish
contaminants from real community species increases the risk of misinterpretation.
Metagenomic studies frequently do not carry out microbiological growth controls (47–
49), as their samples may include unculturable species. Employing a coverage
threshold can be one way of filtering putative microbial contaminants. We considered
that the investigation of Enterobacterales, the enrichment step we performed, and the
inclusion of weekly negative controls were advantages compared with other studies
that investigated the abundant fraction of non-culturable microbes.

The three parameters included in the 'Stop-Check-Go' classification system
differentiate those patients who showed a significant number of bacterial reads as
putative contaminants. We argue that using coverage as one of the classification
parameters outperforms using read counts as coverage adjusts for read length and
genome size. The analysis of species in controls suggests that a proper threshold
needs to be established to exclude most misclassified species when read counts are
used as the classification parameter. Coverage in our dataset provided reliable
classifications as 80% of the species in microbiologically negative samples were
excluded, but it is well complemented by the prevalence method (7), and
microbiological reports. Using the combination of these three parameters we could
observe in our dataset that for the same week, species can be simultaneously

classified as 'Go' and as putative contaminants to 'Stop' (Fig. 5). The
decontamination tools we have tested – Decontam and MicroBIEM – generate a list
of putative contaminant 'tax_ids' in the dataset that are removed globally. We
highlight that the 'Stop-check-go' (System) provides a combination of 'tax_ids', and
'sample_ids', which makes the decontamination of samples, species-specific. The
decontamination agreement between the System, Decontam, and MicroBIEM is on
average 71%, represented in red colors in all the weeks (Fig. 6). The largest
differences between the decontamination tools were attributed to Decomtam and
MicroBIEM failing to exclude putative contaminants present in high abundance in
microbiologically negative patients.

The decontamination is also influenced by the complexity of the metagenomic
samples. We observed negative controls with a low number of reads and coverage
above 10x, characteristic of low-complexity metagenomes where some species are
over-represented (most likely library contaminants). In medium to high-complexity
metagenomes, the species will require high-quality reads to end up in good
coverages and lower rejection rates. We found the prevalence method robust enough
when complemented with other parameters as in our study for the identification of
bacterial contaminants.

Decontamination efforts in metagenomic studies are particularly relevant when
considering clinical applications, where time-sensitive decisions often need to be
made. In many cases, traditional culture methods are performed, but their results
become available later. In contrast, metagenomic sequencing can provide rapid
insights into the microbial composition of samples. Therefore, minimizing
contamination is essential to ensure the reliability of the sequencing data, as
clinicians may need to rely on this information to guide early diagnosis and treatment
decisions before culture results are available.

As contamination is not completely avoidable, mitigation strategies and resources
should be employed. Whilst it is crucial to address microbial contaminants introduced
during sample handling or from extraction and library preparation kits, an equally
strict removal of hDNA contaminants is a critical step in ensuring the accuracy and
reliability of metagenomic studies (38). We employed strategies to mitigate

contamination rather than discarding our data. The first strategy was to perform a
lysis protocol before DNA extraction, and the use of Readfish from week 17 after we
detected the introduction of contaminants in low biomass samples, reducing the
sequenced reads matching the human DNA. A second strategy was to cryopreserve
positive Enterobacterales samples, and third, to sequence them with MiSeq (Illumina)
to confirm the species and sequence type. Fourth, including weekly negative controls
and applying robust computational tools helped to mitigate the rate of false positives
and false negatives, especially in low-biomass samples (4). We implemented nearly
70% of the guidelines published by Fierer et al. (6). Two main strategies were not
considered as they were unknown to us prior to the start of this project, first, a spatial
arrangement with high biomass samples distant from low-biomass samples as this
might favor the cross-contamination in the steps prior sequencing. This would have
been difficult to implement, as level of colonization of patients is unknown at the time
of sampling, and all samples of a single week would be processed together. Second,
the reagent batch change and the geographical distribution reports of samples,
useful for using tools such as SCRuB (11).

We acknowledge limitations in our study. We could not test the effect of additional
measures for contamination mitigation, such as the strict disinfection of equipment
and changing DNA extraction kits, because the final volume of the samples was less
than 700 µL, and only processes after DNA extraction could be repeated. Also, the
samples negative for Enterobacterales from microbiology reports were not
cryopreserved, and if the reports from metagenomic sequencing disagreed, it was
not possible to recover the sample. We assume that the repeated libraries have the
best library quality given the lower error rate, the improvement in performance of R10
chemistry, and a rise in the loading volume (initial concentration), with the exception
of weeks 25, 38, 39, from which we used the original library as the repetition did not
increase the library concentration, and there was no significant improvement in the
controls' taxonomic profile (Fig. 3).

Conclusions
The parameters described in this study included laboratory and bioinformatics work
and did not model species contamination. We aimed to mitigate the introduction of
contaminants and to keep species with biological significance that can be falsely

excluded by the decontamination tools tested. The validation with microbiological growth reports, the prevalence method (7), and coverage from assemblies using FLYE and Kraken-translate were key in assessing contaminant species. We are aware that the metagenomes in this study did not represent the actual microbiome of the patients due to the enrichment step, but served to increase the microbial ratio in our low-biomass samples from neonates. The advantage of the conceptualization of the 'Stop-Check-Go' system is that we could individually examine the species classified as 'Check' in the context of tracking Enterobacterales. We are also aware that examining individual cases may involve a trade-off with the desired high-throughput processing. However, it is well recognized that metagenome analysis poses a significant challenge due to the complexity of the samples where precision and biological relevance should take precedence over speed.

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Ethical approval

This study has been approved by the local ethics committee (22-1040).

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Author Contributions

Conceptualization: S.R. Data curation: S.A.M., A.O.A. Data Analysis and Investigation: S.A.M., R. K., A.O.A. Project administration and supervision: S.R. Resources: S.R. Visualization: S.A.M. Writing – original draft: S.A.M., A.O.A., R.K. Review & editing: All authors.

Conflicts of interest

SR has received travel funds and speaker remuneration from Illumina. All other
authors have no conflicts of interest to declare.

List of Figures, Tables, and Supplementary Files

Figure 1. Average number of reads (log10) between the sample types: swab,
water (controls), anus, nose (samples). Lighter shading indicates microbiologically
negative samples. The Wilcoxon signed-rank test between all sample types
(globally), the controls, and the samples (only) (p. adj. < 0.05).

Figure 2. Taxonomic profile of chronologically-ordered controls (swab and
water). Species with less than 1,000 reads are denoted by no star. A single white
star indicates species with 1,000 to 10,000 reads, while double white stars on
stacked bars represent species with ≥10,000 reads assigned to them. Black
represents other microorganisms.

Figure 3. Taxonomic profiles of libraries with low concentration libraries (week
7 to week 24) where library contamination was suspected. Libraries with good
concentrations (weeks 25, 38, 39) were used as controls A. Original and repeated
libraries (swab and water). Species with less than 1,000 reads are denoted by no
star. A single white star indicates species with 1,000 to 10,000 reads, while double
white stars on stacked bars represent species with ≥10,000 reads assigned to them.
Black represents other microorganisms. Samples termed as repeats correspond to
library repetitions with R10 flow cell chemistry. B. Taxonomic profiles of the negative
controls for the last patient in this study across six weeks. C. Batch effect in the
repeated sequencing libraries of different patient samples.

Figure 4. Average coverage per species in the metagenome versus the total
number of reads. The blue dots indicate species with coverage below the 20-
threshold. A. The coverage for microbiologically positive samples. B. Coverage for
microbiologically negative samples. C. Controls. D. Fraction of filtered species based
only on coverage. E. Taxonomic profile of the filtered species based only on
coverage.

 Figure 5. Overview of genus classified as 'Go' and 'Stop' within the system,
showing the relative frequency of bacterial genera in the collected samples.
Darker regions represent higher relative abundances, while values below 0.3 are not
labeled.

Figure 6. Pearson correlation of the microbial ecology distribution in the
samples from Jensen-Shannon Diversity index (JSD) between the
decontamination methodologies 'Stop-Check-Go' (System), MicroBIEM and
Decontam.

Table 1. DNA concentration of the weeks in the first (original) and second
(repeated) library preparation with R10 flow cells.

Week	DNA concentration original library	DNA concentration repeated library (R10)	DNA concentration improvement (%)
7	A: 22.66, B: 6.08	39.00	A: 172, B: 641
17	5.52	25.08	454
18	3.61	21.81	604
19	4.73	28.00	592
21	6.54	67.82	1037
22	5.02	A: 33, B: 6.0*	A: 657, B: 120
23	A: 2.15, B: 1.93	37.65	A: 1751, B: 1951
24	19.31	30.43	158
25**	56.6	14.15	-25
38**	63.01	30.83	-49
39**	72.0	57.6	-20
60	38.40	48.00	125

*Week 22 was split into two pools (A, and B). In pool B, 3/7 of the patients were
microbiologically negative, which strongly diluted the final library concentration.
**Regardless of concentration, the libraries were repeated due to the suspicion of
library contamination in the controls. A, and B, referred to Pool A and Pool B
respectively, which were loaded in different flow cells.

Table 2. Summary of the decision for the system stop check and go that includes the
parameters DFR, coverage, and microbiological results.

	Parameter 1	Parameter 2	Parameter 3 (auxiliary)	Outcome
Condition	Difference Fraction Reads (DFR)	Coverage	Contamination reason control	Decision System
(1)	stop	low	-	stop
(2)	stop	ok	-	stop
(3)	go	low	library contamination 10K	stop
(4)	-	-	patient microbiologically negative	stop
(5)	go	low	no reason	check
(6)	go	low	library contamination 1K	check
(7)	go	ok	cross contamination	check
(8)	go	ok	library contamination 10K	check
(9)	go	ok	no reason	go
(10)	go	ok	library contamination 1K	go

Library contamination 10K* refers to species in controls where the number of reads
was higher than 10.000. '-' denoted parameters not evaluated in the conditions.

Supplementary Figure S1. Number of reads in human and microbial DNA
chronologically ordered for the sources sampled: anus and nose.

Supplementary Figure S2. The most abundant species in the dataset classified
as 'Stop' and 'Go' in the system and the relative abundance of the bacterial
species. Values below 0.1 are not labeled.

Supplementary Figure S3. Tree diagram comparing the results for the paired decontamination tools tested. ND refers to 'not decontaminated', decontaminated (D), A. Decontam (deco), 'Stop-Check-Go' (sys) B. MicroBIEM (micro) 'Stop-Check-Go' (sys).

Supplementary Figure S4. Result of the prevalence method application (only) from Decontam. A. PS dataset (published in https://benjjneb.github.io/decontam/vignettes/decontam_intro.html, section 7) with the default threshold (0.1). B. In our dataset, excluding microbiologically negative patients (MNP) and weeks with suspected cross-contamination (with a stricter threshold of 0.5)

Supplementary Table S1. Metadata on samples including individual accession numbers to projects PRJEB82667 and PRJEB95992.

Supplementary Table S2. Code availability for the specified processes that were implemented in Nextflow pipelines (23).

Supplementary Table S3. Average in the proportion of the reads mapped to the human reference (GRCh38). Unmapped reads refer to microbial reads.

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