The capsule and genetic background, rather than specific loci, strongly influence pneumococcal growth kinetics

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Abstract

Bacterial growth characteristics intrinsic to each strain can impact and influence gene expression, antibiotic susceptibility, and disease pathogenesis. However, little is known about specific genomic variations that influence these bacterial growth features. Here, we investigate the impact of *Streptococcus pneumoniae* genetics on its *in vitro* growth features to shed light on genes that may be important targets in the development of vaccines and therapeutics. We use statistical models to estimate growth features and show that they varied significantly across capsular serotypes and lineages, strongly correlated with the phylogeny, and were highly heritable, highlighting a strong genetic basis. Despite this, genome-wide association studies revealed no specific genomic loci statistically associated with the growth features independently of the genetic background, including those in the capsular polysaccharide synthesis locus. Our findings show that the serotype and lineage, and possibly a combination of genomic loci, influence intrinsic pneumococcal growth kinetics, which may have implications on pneumococcal disease pathogenesis.

Keywords

Streptococcus pneumoniae; *in vitro* growth; GWAS; population genomics; capsular serotype; genetic background

Introduction

Once termed "the captain of the men of death" by Sir William Osler in the 20th century, *Streptococcus pneumoniae*, or the pneumococcus, remains a significant cause of life-threatening invasive diseases, such as sepsis, pneumonia, and meningitis[1], despite the widespread implementation of life-saving serotype-specific polysaccharide pneumococcal conjugate vaccines (PCVs)[2]. The high capsular diversity, amounting to ~100 serotypes with varying potential for colonising the nasopharynx and causing invasive diseases[3,4] and mortality[5–7], demonstrates the remarkable genetic diversity of the pneumococcus. Such high genetic diversity reflects the plasticity of the pneumococcal genome, which readily swaps genetic material with other strains through recombination and horizontal gene transfer[8]. However, the impact of pneumococcal genomic variation on its phenotypes, especially those associated with disease pathogenesis and clinical manifestations, remains less understood.

The pneumococcus possesses an arsenal of virulence factors. The extracellular polysaccharide capsule is the main virulence factor critical for transmissibility, survival, and pathogenicity in the human host[9–11]. Recent studies in the pneumococcus and other bacterial pathogens suggest that variability in growth kinetics impacts disease pathogenesis, clinical manifestation, and antibiotic therapy. For example, differential pneumococcal growth features appear to correlate with fitness for colonisation and invasive disease,[12] clinical manifestation[12–14] and response to environmental conditions[14–16]. Studies of other bacterial pathogens suggest that growth rate influences gene and protein expression[17,18], adhesion[19], bacterial competition[20], plasmid replication[21], bacteriophage dynamics[22], and antibiotic accumulation and efficacy[23–25]. Similarly, experimental and mathematical modelling studies have demonstrated that bacterial growth correlates with bacterial load and host-mediated cell lysis and impacts dispersal to different host cells and tissues during systemic infection[26]. Previous studies of pneumococcus and other bacteria have suggested the influence of environmental factors[15,16] and bacterial-specific factors, including capsular serotype[14,27] and the presence of plasmids[28] on bacterial growth phenotypes. These growth phenotypes may consequently impact invasive pneumococcal disease pathogenesis[12–14].

However, the specific pneumococcal genomic variations affecting growth kinetics remain less

understood.

Studying bacterial growth kinetics sheds light on the influence of genes, genomic variation, and

growth media conditions on bacterial fitness[29,30]. However, studying bacterial growth kinetics *in*

vivo remains intrinsically challenging due to the complexity of the conditions in the hosts,

although innovative approaches are being developed[31,32]. On the other hand, *in vitro* methods

provide a valuable alternative for assessing the impact of specific bacterial and environmental

factors on bacterial fitness. Although these *in vitro* methods have revealed remarkable insights

on bacterial fitness, these experiments have mostly yielded strain-specific rather than population-

level insights due to the analysis of a small number of isolates from few genetic backgrounds.

Here, we studied the impact of pneumococcal genetics on *in vitro* growth kinetics to understand

the influence of bacterial genetic variation on pneumococcal pathogenesis. Specifically, we

performed high-throughput quantification of the *in vitro* growth kinetics and whole genome

sequencing of 348 invasive pneumococcal isolates from the Netherlands to determine the impact

of genomic variation on growth kinetics. We conducted growth curve experiments on 348

isolates in blood-like media conditions, and absorbance was monitored over 15 hours. Growth

features were determined from these curves using statistical models, and we assessed the impact

of capsular serotype and genetic background on the estimated growth features and tested their

association with the phylogeny of the isolates. In addition, we used a genome-wide association

study (GWAS) approach to identify specific genomic loci associated with the growth features

independent of the genetic background. Finally, we calculated the variability in the growth

features explained by pneumococcal genetics. Our study suggests that the capsular serotype,

genetic background or lineage, and a combination of genetic loci may influence pneumococcal

growth kinetics, a fundamental trait potentially influencing nasopharyngeal colonisation,

invasive disease pathogenesis, and clinical manifestations.

Results

Population structure of a diverse collection of Dutch invasive pneumococcal isolates and derivation of their growth kinetics

We aimed to understand the impact of the capsular serotype, genetic background, and specific

genomic loci, independent of the clonal population structure, on the pneumococcal growth

features **(Fig. 1 and Supplementary Data 1)**. Therefore, we investigated the *in vitro* growth

kinetics of 348 pneumococcal isolates collected from patients with invasive pneumococcal

diseases via the Pneumococcal Bacteraemia Collection Nijmegen (PBCN) cohort study at two

hospitals in Nijmegen, the Netherlands before (2000-2006) and after (2007-2011) the

introduction of the seven-valent pneumococcal conjugate vaccine (PCV) [PCV7] into the Dutch

paediatric immunisation programme[33]. Our isolates represent 34 capsular serotypes, 40

pneumococcal lineages, defined based on the global pneumococcal sequence cluster (GPSC)

nomenclature[34], and 99 sequence types (STs) defined by the pneumococcal multilocus sequence

typing (MLST) scheme[35]. An interactive and annotated maximum likelihood phylogeny of the

isolates used in this study is available online at the Microreact platform for open data

visualisation and sharing for genomic epidemiology

(https://microreact.org/project/wxmdblfgprepwugvbbtaq7). We estimated a Simpson diversity

index of 0.932 (95% confidence interval [CI]: 0.924 to 0.940), 0.937 (95% CI: 0.928 to 0.945),

and 0.958 (95% CI: 0.949 to 0.966) for the serotypes, lineages, and STs, respectively. The high

richness and diversity of pneumococcal serotypes and lineages highlighted a substantial

population-level diversity of the study isolates in the Netherlands.

Capsular serotype and genetic background significantly impact pneumococcal growth kinetics

To explore the impact of capsular serotype and genetic background or lineage on the

pneumococcal growth features estimated from a fitted growth curve, namely lag-phase duration,

maximum growth density (H_{max}), maximum growth change (ΔH), and average growth rate (r)

(Fig. 1, 2, and Supplementary Data 1), we assessed the relationship between these growth

features and serotypes and lineages associated with the study isolates **(Fig. 3a)**. In general, we

found significant variability of the growth features across serotypes: average growth rate,

maximum growth density, maximum growth change, and lag phase duration ($P<0.0001$;

Kruskal-Wallis test) consistent with previous studies[14,15] **(Fig. 3b-e and Supplementary Data**

2). We observed similar patterns across different pneumococcal lineages **(Fig. 3f-i and**

Supplementary Data 2).

Recombination and horizontal gene transfer may lead to the replacement of part or the entire

capsule biosynthesis locus resulting in the production of a polysaccharide capsule distinct from

the original one, a phenomenon called capsule-switching[36]. Consequently, some lineages are

associated with multiple serotypes, and similarly, a single serotype can be expressed in distinct

genetic backgrounds[37]. Examples of lineages containing multiple serotypes included GPSC3,

GPSC4, and GPSC7, and likewise, serotypes, including 3, 19A, and 19F, were expressed in

multiple genetic backgrounds, which also express other serotypes **(Fig. 3a)**. Overall, the average

growth rate for serotypes expressed in different genetic backgrounds broadly showed similar

patterns. For example, lineages expressing serotype 14 had consistently higher average growth

rates. In contrast, lineages expressing serotype 3 showed lower rates, consistent with the notion

that capsule production interferes with pneumococcal growth[14] **(Fig. 3b).** Therefore, we

investigated the impact of the capsular serotype and genetic background on the estimated

pneumococcal growth features.

First, we identified lineages that expressed multiple serotypes and serotypes found across

multiple lineages. To ensure robust statistical comparison, we only focused on serotype-lineage

combinations with at least three sequenced isolates in our study. We found two lineages, with

enough sequenced isolates for comparison, GPSC3 and GPSC7, which expressed multiple

serotypes **(Fig. 3j and Supplementary Data 2)**. We noted higher growth rates for serotype 33F

than serotype 8 (P=0.0007; Kruskal-Wallis test).

In contrast, we found no statistically significant differences in the growth rates between

serotypes 23A and 23F in lineage GPSC7 (P=0.2568; Kruskal-Wallis test). We speculated that

the absence of statistically significant differences between serotypes 23A and 23F reflected their

presence in the same serogroup, therefore, had similar capsule properties. Interestingly, we

observed similar patterns for the maximum growth density, maximum growth change, and lag

phase duration features between serotypes on the GPSC3 and GPSC7 lineages **(Fig. 3k-m and**

Supplementary Data 2). Next, we investigated the growth rates of the same serotypes expressed

in distinct genetic backgrounds. Although some serotypes showed similar growth rates across

distinct genetic backgrounds, such as serotype 3 (P=0.4599; Kruskal-Wallis test), others showed

different growth rates across different lineages, as seen with serotypes 14 (P=0.0006; Kruskal-

Wallis test) and 19F (P=0.0105; Kruskal-Wallis test). We noted similar patterns for the other

growth features: maximum growth density, maximum growth change, and lag phase duration

(Fig. 3n-q, Supplementary Data 2).

These findings demonstrate that the capsular serotype and genetic background significantly

impact pneumococcal growth kinetics. The resultant growth features likely depend on the

interaction between these factors, whereby some combinations may result in more pronounced

growth effects than others.

Pneumococcal growth kinetics show heritability and correlation with the phylogeny of the isolates

Based on the findings that both capsular serotype and genetic background are associated with

growth kinetics, we hypothesised that the estimated pneumococcal growth features exhibit a high

phylogenetic signal. We assessed the phylogenetic signal for each growth feature using Pagel's λ

statistic[38], which evaluates the correlation between given traits and the phylogenetic tree of the

isolates, with values close to zero and one indicating weaker and stronger phylogenetic signals,

respectively. By reconstructing the estimated growth features on the internal nodes of the

phylogeny, we found high but variable associations between the mapped features and different

genetic backgrounds, which suggested potentially strong phylogenetic signals **(Fig. 4,**

Supplementary Fig. 2 and 3, and Supplementary Data 3).

The amount of the phylogenetic signals based on the normal transformed growth features was

highest for the average growth rate (Pagel's λ=0.72, 95% CI: 0.61 to 0.81; $P<2.08\times10^{-64}$),

maximum growth change (Pagel's λ=0.60, 95% CI: 0.40 to 0.76; $P<1.53\times10^{-16}$), and maximum

growth density (Pagel's λ=0.73, 95% CI: 0.57 to 0.84; $P<4.18\times10^{-26}$), while the lag phase

duration showed a lower phylogenetic signal (Pagel's λ=0.30, 95% CI: 0.17 to 0.47; $P<5.42\times10^{-16}$

^{16}) **(Fig. 5a)**. These findings suggest that there is a heterogeneous correlation of the growth

features with the phylogeny.

To quantify the variability in the pneumococcal growth kinetics explained by the genotype, we

calculated the narrow-sense heritability (h^2) for the four normal-transformed estimated growth

features and the maximum growth rate from Arends et al[13]. We found strong, though not equal,

evidence for a genetic basis for all the estimated growth features: average growth rate (h^2=0.76,

95% CI: 0.68 to 0.85), maximum growth change (h^2=0.80, 95% CI: 0.71 to 0.89), maximum

growth density (h^2=0.88, 95% CI: 0.83 to 0.94), and lag phase duration (h^2=0.29, 95% CI: 0.14

to 0.43) **(Fig. 5b, Supplementary Data 3)**. To further understand the impact of the capsular

serotype and genetic background, we fitted a linear mixed effects model for each growth

parameter with serotype and lineage (defined by GPSCs[34]) as random effects to estimate the

amount of variance of the growth features explained by the serotype ($h^2_{serotype}$) and lineage or

GPSC (h^2_{GPSC}) calculated as the ratio of the variance of the serotype and lineage components

relative to the total variance, including the residual or environmental effects. We calculated

$h^2_{serotype}$=0.47 and h^2_{GPSC}=0.11 for the average growth rate, $h^2_{serotype}$=0.13 and h^2_{GPSC}=0.28 for the

maximum growth change, $h^2_{serotype}$=0.29 and h^2_{GPSC}=0.46 for the maximum growth,

$h^2_{serotype}$=0.08 and h^2_{GPSC}=0.39 for the lag phase duration feature.

Altogether, these findings demonstrate a substantial impact of the serotype and lineage on the

variation of the pneumococcal growth features, whereby most serotypes tend to have similar

characteristics regardless of the genetic background. Similarly, some genetic backgrounds tend

to show similar phenotypic patterns irrespective of the serotypes, but the serotype appears to

have a more significant influence on the growth characteristics.

No individual genomic loci are associated with pneumococcal growth features

Having found moderate to high narrow-sense heritability of the growth features, we then

undertook a GWAS analysis to identify specific genomic loci associated with these features

independent of the strains' genetic background using a linear mixed-effects model. We

performed separate GWAS analyses of the maximum growth change, maximum growth density,

maximum growth change, and lag phase duration phenotypes derived from the growth curve. We

conducted the GWAS using variable-length k-mer sequences or unitigs to capture genetic

variation in the pneumococcal genomes at different levels, including SNPs, multi-allelic sites,

and insertions and deletions[39,40]. We found no individual unitigs associated with the derived

growth feature phenotypes **(Fig. 6a, Supplementary Data 4)**. Furthermore, repeating the GWAS

analyses adjusting for the serotype revealed no statistically significant hits associated with the

derived growth phenotypes, suggesting that the variants in the pneumococcal strains are

correlated with the growth kinetics independent of the serotype **(Fig. 6b, Supplementary Data

4)**. Overall, the observed and expected statistical significance values in the QQ-plots for our

study revealed no apparent issues with the population structure of the isolates, which is a

significant confounder in similar studies[41] **(Fig. 6c, d)**. Overall, these findings suggest that no

individual genomic variation influences the pneumococcal growth rates, suggesting that multiple

genetic loci and lineage effects modulate pneumococcal growth kinetics.

Average *in vitro* growth rates are not strongly correlated with a serotype's invasiveness or its prevalence in carriage or disease

Previous studies have elucidated that bacterial growth rate may influence colonisation and

disease[16], including systemic dissemination during infection[26] and disease manifestations[13,27].

Pneumococcal strains that are prolific growers may display better survival and replication in

systemic tissues than slow growers without vaccine-induced non-serotype-specific immunity.

We used the isolates collected before the introduction of the PCV7 vaccine in the Netherlands[42]

to assess the association between *in vitro* growth rate of the isolates and their population-level

characteristics: prevalence in invasive disease and nasopharyngeal carriage and invasiveness, i.e., odds of being isolated in invasive disease compared to the carriage state. Our first hypothesis was that the average *in vitro* growth rate for each of the pneumococcal serotypes is positively associated with the prevalence of serotypes in invasive diseases. Our analysis revealed no evidence of an association between the prevalence of the serotypes in invasive disease and the *in vitro* growth rate (Spearman correlation: -0.30, *P*=0.0856) **(Fig. 7a, Supplementary Data 4)**. Second, we hypothesized that the average *in vitro* growth rate of the serotypes is positively associated with the prevalence of the serotypes in the nasopharyngeal carriage as fitter strains would grow faster than less fit strains. However, contrary to our hypothesis, we found a negative association between the prevalence of the serotypes in carriage and the *in vitro* growth rate (Spearman correlation=-0.53, *P*=0.0098) **(Fig. 7b, Supplementary Data 4)**. We repeated the analysis after excluding serotype 3 and found no evidence of an association between growth rate and carriage prevalence (Spearman correlation=0.38, *P*=0.0925) which suggests that serotype 3 alone was driving the previously observed association. Finally, we tested the hypothesis that the growth rate is positively associated with the invasiveness of the serotypes. We found no association between the invasiveness and the *in vitro* growth rates of the serotypes (Spearman correlation: 0.35, *P*=0.1024) **(Fig. 7c and Supplementary Data 4)**. These findings suggest that growth rates alone may not be sufficient to explain the prevalence and invasiveness of pneumococcal serotypes, which suggests the influence of other pneumococcal, microbiome (e.g., viral infection), and host factors in determining pneumococcal disease susceptibility.

Discussion

Bacterial growth kinetics impact several traits, including gene and protein expression[17,18], antibiotic accumulation and efficacy[23–25], cell surface adhesion[19], intra- and inter-specific competition[20], response to environmental conditions[14–16], plasmid replication[21], bacteriophage dynamics[22], colonisation and disease pathogenesis[12], including clinical manifestation[12–14]. Whilst previous studies have attempted to understand bacterial, host, and environmental factors influencing bacterial growth, a major drawback has been a narrow focus on a small subset of strains[43]. Therefore, the insights gained from these studies have mostly been for specific strains, as they ignored potential variability across different genetic backgrounds. These population-level studies are critical for genetically diverse bacterial pathogens with highly structured

populations[34], substantial antigenic diversity[44], and recombination rates, such as the

pneumococcus[8,36,45]. Here, we examine the association between pneumococcal genetics and

growth kinetics using a combination of high-throughput quantification of *in vitro* pneumococcal

growth, whole-genome sequencing, and population genomic analyses employing a bacterial

GWAS approach. Notably, our findings reveal a strong influence of the capsular serotype

compared to the genetic background, and the absence of specific individual genomic loci

modulating pneumococcal growth kinetics, particularly the *in vitro* growth rate. These findings

may partially explain why some serotypes and lineages colonise and cause invasive diseases

better than others and may have implications for developing measures to prevent and control

pneumococcal infections.

Our findings suggest that the capsular serotype and genetic background influence pneumococcal

growth kinetics. The findings are generally consistent with results from experimental studies by

others[13–15], which used capsule-switched strains to exclude the impact of the genetic background

and showed an alternation of growth dynamics due to the capsule, although there may be

potential differences in the growth media and conditions. Specifically, encapsulated strains

showed higher growth rates than unencapsulated strains in nutrient-rich conditions[14]. These

findings suggest that the capsule is crucial for pneumococcal growth, but its effect depends on

nutrient availability – promoting and interfering with growth when nutrients are abundant and

limited, respectively[14,27]. A recent study by Tóthpál et al.[15] suggested that the serotype partially

explains pneumococcal growth and suggested the genetic backgrounds, which vary in their

ability to colonise the nasopharynx and cause invasive diseases[4,46–48], may also play a role.

However, the authors did not fully quantify the impact of the genetic background since the

isolates were not sequenced[15]. Our study confirms the strong influence of both the pneumococcal

capsular serotype and genetic background or lineage on the growth kinetics of the isolates. The

influence of the serotype appeared to be higher than the genetic background for the average

growth rate, while the other growth features appeared to show an opposite trend. These findings

suggest that the respective influence of the capsular serotype and lineage varies by specific

derived pneumococcal growth features.

Interestingly, although our findings revealed that the capsular serotype and genetic background

influence pneumococcal growth kinetics, statistical analysis showed that the variance attributed

to the capsular serotype was higher than the genetic background. Specifically, the capsular

serotype exhibits a more pronounced growth rate, maximum growth change, and maximum

growth density than the genetic background. In contrast, the genetic background had a higher

effect on the lag phase duration than the serotype. We acknowledge that our comparison of

growth features in the same genetic background could also mean a large amount of genetic

variation for some of the lineages, some of which could be responsible for changes in growth.

These findings illustrate the importance of the capsular serotype and genetic background on

pneumococcal growth kinetics, which may partially explain their variable prevalence in carriage

and disease.

Previous studies have revealed individual bacterial genomic loci that impact other phenotypes,

including pathogenicity[49–57], virulence[58–61], host, niche, and environmental adaptation[52,54,62],

conjugation[63], and antimicrobial resistance[64–71], independent of the genetic background. Our

GWAS findings revealed no elevated signals in specific genomic loci, suggesting that individual

variants may not significantly influence pneumococcal growth kinetics independent of the

genetic background and serotype. Previous studies have shown that mutations in other capsule

biosynthesis genes (*wchA* or *cpsE*) encoding a protein that links activated glucose phosphates to

lipid carriers[44] also influence the growth dynamics of the pneumococcus[43]. However, our results

are contrary to our speculation that genetic variation in the capsule polysaccharide synthesis

genes would be strongly associated with pneumococcal growth features. These findings suggest

that specific genomic loci in the pneumococcal genome do not strongly influence pneumococcal

growth kinetics, hinting at the potential impact of the overall genetic background and several loci

possibly with small effects individually. While it's possible that such follow-up studies are

needed to provide additional support for the importance of these variants on pneumococcal
growth kinetics.

In contrast to findings in a previous study[14], we found no robust evidence for the association
between the *in vitro* growth rates and the population-level prevalence of the serotypes in
nasopharyngeal carriage. However, this may have occurred as a result of a limitation of our
study, since we studied a collection of randomly sampled invasive isolates, and the growth
experiments were conducted in conditions similar to that of blood, while the Hathaway et al.
study[14] used a non-random selection of both carriage and disease isolates. It is likely that isolates
have adaptations to the environmental conditions in which they were isolated, and so *in vitro*
growth characteristics for any given isolate may be different under experimental conditions
simulating different niches. In addition, the *in vitro* growth environment cannot fully represent *in*
vivo conditions and fails to consider the impact of selective pressures coming from the serotype-
specific responses (antibody) or non-specific responses (phagocytic) and potential interactions
with other pathogens. Presumably, the lack of association between the invasiveness and
prevalence of serotypes in invasive disease and carriage, and the growth rates could reflect that
the conditions in the *in vitro* growth environment do not fully represent *in vivo* conditions.
Collectively, these findings may suggest that these and other factors may substantially influence
the complex pneumococcal disease pathogenesis *in vivo*.

The strength of this study is the analysis of an extensive collection of well-phenotyped natural
isolates and the combination of phenotyping, and estimation of growth features using statistical
models and statistical genetics to determine the association between the growth features and
genetic variation across the entire genome. As previously noted by Tothpal et al.[15], the culturing
history of the isolates may impact the growth kinetics. In our study, we consistently cultured all
the isolates after isolation from patients using standardised culturing procedures (single assay,
identical initial concentration, environmental conditions or temperature, and equipment to
measure the optical density and repeat measurements to spot technical variability[13]). Compared
to the present study, future studies would benefit from larger sample sizes and may find more
results as we only explained some of the heritability of the growth features. We also
acknowledge that the methods used to estimate heritability may also sometimes perform poorly

on some bacteria species, therefore, more robust methods are needed to further validate our

findings[72]. Because we conducted our experiments for a relatively short duration (~15 hours), we

could not robustly characterise the kinetics at the stationary phase due to incomplete data or the

observed high variability of its kinetics. Therefore, we recommend increasing the culturing time

from 15 to >24 hours in follow-up studies, as done elsewhere[14,15], to characterise the stationary

phase kinetics of the cultured isolates robustly. Furthermore, since the pneumococcus invades

different niches and systemic tissues with varying conditions, including oxygen concentration

and temperature[12,14–16], future studies should investigate the effect of these environmental

conditions on pneumococcal growth and identify pneumococcal genomic variants associated

with growth kinetics for each condition. Considering that our dataset contained only a third of

the >100 known pneumococcal serotypes globally[3]; due to the geographical variation in the

distribution of pneumococcal serotypes[73], future studies should investigate all serotypes to

provide further insights on the impact of different serotypes in pneumococcal growth kinetics.

Additionally, considering that we generated the phylogeny of the entire pneumococcal species

rather than isolates belonging to a single strain or lineage, as defined by several

approaches[34,74,75], we did not remove recombinations using widely used methods designed for

clonal bacterial populations, such as Gubbins[76]. Therefore, there is a possibility that some branch

lengths in the phylogeny used for the ancestral trait reconstruction analysis may be less accurate

although the topology is usually robust to recombination[77]. Furthermore, there may be other

variants that potentially influence the pneumococcal growth kinetics which are regarded as not

statistically significant in this study based on our conservative genome-wide significance

threshold. As more and more similar studies are conducted, particularly those utilising much

larger datasets, potential variants associated with pneumococcal growth kinetics, may be

discovered.

Our analysis of population-level genomic and high-throughput *in vitro* pneumococcal growth

data suggests that the capsular serotype and lineage influence pneumococcal growth. However,

although some growth features are highly heritable, there appears to be no specific genetic

variants which strongly influence these phenotypes suggesting that a combination of genomic

loci with small effects individually or the entire genetic background may play a greater role in

pneumococcal growth kinetics. Overall, our study provides a proof-of-concept for combining

phenotyping and population genomics to understand the genetic basis for pneumococcal growth,

an approach which can be applied to study other bacteria of clinical significance and those used

in bioengineering, genetics, and the food industry[78–80]. Ultimately, we anticipate that applying

our approach will have implications for devising strategies to reduce life-threatening bacterial

infections and improve food production and safety.

Methods

Ethics statement

396   The isolates used in this work were collected through an observational cohort study

397   Pneumococcal Bacteraemia Collection Nijmegen (PBCN)[33]. The non-applicability of the

398   Medical Research Involving Human Subjects Act (WMO) on the study design was confirmed by

399   the Regional Ethics Committee, and the local medical ethics committees of the participating

400   hospitals in the Netherlands approved the study procedures.

Sample characteristics and whole-genome sequencing

Three hundred and forty-eight (n=348) pneumococcal isolates collected from patients with

invasive pneumococcal diseases through the PBCN observational cohort study[33] were available

for the study. We included a single isolate per patient as invasive pneumococcal infections are

typically clonal, so a single isolate is sufficient. The consecutive blood isolates were collected at

two hospitals in Nijmegen, the Netherlands, before (2000-2006) and after (2007-2011) the

introduction of the PCV7 vaccine into the Dutch paediatric immunisation programme[33]. Most of

the bacteremia cases (97%) concerned adults. Additional information on the PBCN study and

collected clinical variables have been previously described[33,81]. To generate whole genome

sequences, we sequenced a single isolate per patient using an Illumina HiSeq sequencer

(Illumina, San Diego, CA, USA). We then assembled the generated reads using SPAdes genome

assembler (version 3.14.0)[82], and evaluated the draft assemblies using assembly-stats (version:

1.0.1) (https://github.com/sanger-pathogens/assembly-stats). In addition, we used a combination

of metrics, including genome size and the number of contigs, to assess the quality of the draft

assemblies for inclusion in the analysis.

Measurement of *in vitro* pneumococcal growth kinetics and derivation of growth features

We measured the pneumococcal growth kinetics for slightly over 15 hours as described by

Arends et al.[13]. In short, we incubated a frozen aliquot of standardised pneumococcal inoculum

in 1.5 ml of blood-like medium (50% M17, 50% casamino acids tryptone [CAT] medium, 0.25%

glucose). We measured growth kinetics at 37°C and 5% CO_2 every 10 min for over 15 hours at

OD_{620} with use of a humidity cassette by microplate reader (Spark 10M, Tecan, Switzerland).

We added 15 µL of inoculum was added to 1.5 mL of prewarmed rich growth medium (45%

M17, 45% CAT, 0.225% glucose, 10% fetal calf serum [Greiner Bio-one], 26 U/mL catalase

[Sigma-Aldrich C1345]) in each well of a sterile flat-bottomed 48-well plate (Nunclon Surface,

Nunc, Denmark). The growth medium was supplemented with catalase. We chose these nutrient-

rich conditions to bring forward differences in the growth features of the pneumococcus. We

performed six repeat measurements on three separate days for each isolate. To understand the

growth kinetics of the invasive Dutch pneumococcal isolates, we fitted a modified logistic

growth curve function, i.e., $L_0 + L_{max}/(1 + e^{-r(t-t_0)})$, separately to the growth data for each

isolate for each replicate, using the "optim" function in stats (version 4.3.2) R package

(https://www.R-project.org/). We then averaged the inferred values for each replicate to obtain

average estimates for each parameter. The parameter L_0 captures the initial cell density measured

as absorbance or optical density at a wavelength of 620 nm (OD_{620}); L_{max} is the maximum cell

density; t_0 is the initial time, and r is the average growth rate. We fitted two curves in total for

each isolate based on the averaged data from the six replicates using the limited-memory

Broyden-Fletcher-Goldfarb-Shanno (L-BFGS-B) optimisation algorithm by minimising the

residual sum of squares[83]. We fitted the first curve on all the data points and the second curve on

the data measured until the start of the end of the stationary phase or the beginning of the death

phase, whichever came earlier. We defined the end of the stationary phase or the start of the

death phase as the time when the optical cell density decreased by >5% from the maximum cell

density. The curve fitted based on the data measurements collected up to the end of the stationary

phase or death phase, or the end of the experiment, if none of these phases had been reached,

captured the growth dynamics better than the curve fitted using all the data points to accurately

estimate the growth rate and the maximum cell density corresponding to the peak of the

pneumococcal growth curve, especially for growth curves with observably short stationary

phases and rapid autolysis. Therefore, parameters for the downstream analyses were inferred

from the curves fitted to the reduced dataset.

We determined four growth phenotypes for each pneumococcal isolate estimated from the fitted

growth curve, namely the lag-phase duration, maximum growth density (H_{max}), i.e., captured by

parameter L_{max}, maximum growth change (ΔH) corresponding to the difference of the maximum

and minimum density (L_{max}-L_0), and average growth rate (r). We defined the lag-phase duration

as the time when the optical cell density was at least 1.5-fold higher than the starting initial cell

density, as described by Arends and colleagues[13]. Due to the challenges of characterising the

kinetics at the stationary or death phase, partly due to the high strain-to-strain variability or short

study period, we did not derive the growth features capturing these phases, including the duration

of the stationary and death phases. The logistic growth curves showed an excellent fit for the

data, which provided an opportunity to investigate the impact of capsular serotype and genetic

background or lineages and specific genomic loci, independent of the genetic background, on the

estimated growth parameters or features.

Molecular typing of sequenced isolates

Molecular typing of the isolates to determine capsular serotypes and sequence types (STs) was

done *in silico* using Pathogenwatch (https://pathogen.watch/), a global platform for genomic

surveillance[84]. We determined the capsular serotypes and STs using SeroBA (version v1.0.1)[85]

and the multilocus sequence typing (MLST) scheme for the pneumococcus[35], respectively.

Pneumococcal lineages were defined based on the global pneumococcal sequence cluster

(GPSC) nomenclature[34] using PopPUNK (version 1.1.0)[86]. In addition, we checked the species of

each sequenced isolate using Speciator (version 3.0.1) in Pathogenwatch.

Construction of multiple sequence alignments and phylogenetic tree

The generated draft assemblies for each isolate were mapped to the pneumococcal ATCC

700669 reference genome (GenBank accession: NC_011900) using Snippy (version 4.6.0)

(https://github.com/tseemann/snippy). We identified 155,305 SNPs in the generated alignment

using SNP-sites (version 2.3.2)[87] and then used them to construct a maximum-likelihood

phylogeny using IQ-TREE (version 2.0.3)[88]. We used the alignment of variable sites by mapping

to a reference genome to generate a maximum-likelihood phylogeny using IQ-TREE as it has

been to produce more accurate phylogenies[89]. The general time reversible (GTR) nucleotide

substitution model and Gamma heterogeneity of the substitution rates among sites. We assessed

branch support with ultrafast bootstrap approximation using "-B 1000" option[90]. We optimised

bootstrapped trees by nearest neighbour interchange on bootstrap alignment by specifying the "--

bnni" option. We rooted the phylogenetic tree of the pneumococcal isolates using *Streptococcus*

oralis as an outgroup (not shown in the phylogeny). An annotated and interactive version of the

phylogeny is available on the Microreact web tool[91]

(https://microreact.org/project/wxmdblfgprepwugvbbtaq7).

Quantifying the phylogenetic signal

To assess the correlation between the estimated growth features and pneumococcal phylogeny,

we used models of continuous character evolution to estimate the phylogenetic signal using

Pagel's λ[38] implemented in the "phylosig" function in phytools package (version 2.1.1)[92]. Pagel's

λ transforms the internal branches relative to the terminal branches and generates values ranging

from zero to one, with smaller values indicating no phylogenetic signal or independent evolution

of the phenotype from the phylogeny. In comparison, higher values close to one show a high

phylogenetic signal or a decreased phenotype variability among genetically similar taxa at the

tips of the phylogeny. First, we transformed the estimated growth features to a normal

distribution using a rank-based inverse normal transform implemented in the RNOmni package

(version 1.0.1.2) (https://cran.r-project.org/web/packages/RNOmni/). Next, we mapped the

estimated continuous growth feature values onto the phylogeny using fast estimation of

maximum likelihood for ancestral states[92].

Bacterial GWAS

We identified the presence and absence patterns of unitig sequences To capture genetic variation

in the pneumococcal genomes included in this study for the GWAS analysis. We identified

unitig sequences from 31bp *k*-mers using Bifrost (version 1.0.6)[93]. First, we inferred unitig

sequences from a compacted De Bruijn graph constructed using *k*-mers of length 31 using draft

assemblies of all the pneumococcal isolates included in this study. We then generated De Bruijn

graphs for each isolate and queried them with the unitig sequences found in the entire dataset to

identify the presence and absence of each unitig found in each sequenced genome. We

considered a unitig present when 100% of the *k*-mer was presented in the De Bruijn graph. We

combined the presence and absence patterns of the unitig sequences from all the isolates to

construct a single matrix.

Since the linear mixed effects models require the tested continuous phenotype to be normally

distributed, we used the normalised growth features after applying the rank-based inverse normal

transformation using the RNOmni package (version 1.0.1.2) (https://cran.r-

project.org/web/packages/RNOmni/). We performed GWAS analysis of the pneumococcal

growth features using robust linear mixed effects models implemented in Pyseer (version 1.3.7-

dev)[94]. A kinship matrix specifying the variances and covariances of the random effects for each

isolate was generated using the "similarity_pyseer" script in Pyseer. Using this kinship matrix,

Pyseer fits an unobserved random effect for each isolate to account for the clonal population

structure in the GWAS. We excluded unitigs with <5% minimum allele frequency and >95%

maximum allele frequency. The inferred statistical significance for each variant was adjusted to

correct for multiple testing using the Bonferroni correction. We estimated the total number of

unique unitig presence and absence patterns in the dataset using a script included in Pyseer

(https://github.com/mgalardini/pyseer/blob/master/scripts/count_patterns.py), and used the

obtained value when adjusting for multiple testing using the Bonferroni correction approach.

Therefore, we defined the genome-wide statistical significance threshold as $P < 2.58 \times 10^{-07}$, i.e.,

α/G, where $\alpha=0.05$ and G=194,053 is the number of unique unitig presence and absence patterns

while the suggestive threshold as $P<5.15 \times 10^{-06}$, whereby $\alpha=1$. To check potential issues arising

from the population structure, we generated quantile-quantile (QQ) plots from the output of

GWAS analysis for each growth feature to compare the expected and observed *P*-values using

qqman (version 0.1.7)[95]. The overall proportion of phenotypic variability explained by variation

in the genome (narrow-sense heritability [h^2]) was estimated using GEMMA (version 0.98.1)[96]

and Pyseer. The input files for GEMMA were prepared based on the unitig presence and absence

data using PLINK (version 1.90b4) [97]. To identify variants associated with the growth features

independently of the serotype, we repeated the GWAS by including the serotype as a covariate.

Annotation of variants identified by the GWAS analysis

To annotate the unitig sequences with statistical significance values below the suggestive and

genome-wide significance threshold, we used a custom BioPython [98] wrapper script for

BLASTN (version 2.5.0+)[99] to identify genomic features in the ATCC 700669 reference genome

(GenBank accession: NC_011900) and other complete pneumococcal genomes downloaded

from GenBank[99]. The GWAS results were summarised and visualised using Manhattan plots

using R (version 4.0.3) [https://www.R-project.org/].

Other statistical analysis

We compared the growth features between serotypes and lineages using the Kruskal-Wallis non-

parametric test. The distribution of the growth features was plotted using violin plots using the

vioplot package [version 0.4.0] (https://CRAN.R-project.org/package=vioplot). To assess the

contribution of serotype and lineage to the variability in the pneumococcal growth features, we

used linear mixed-effects models implemented in the lme4 package (version 1.1.35.1)[100] were

used, with each growth feature specified as the outcome and the serotype and lineage as random

effects. The statistical significance for the linear mixed model was inferred using the lmerTest

package [version 3.1.3] (https://CRAN.R-project.org/package=lmerTest). To assess the

contribution of the serotype and lineage to the variability of each growth feature, we calculated

the proportion of variance for the serotype and lineage components to the total variance. We

calculated the average invasiveness of the serotypes based on a meta-analysis of data collected in

different countries in infants before the introduction of the PCVs[47] using the random-effects

model implemented in the metafor package [version 2.4.0] (https://CRAN.R-

project.org/package=metafor). We defined invasiveness as the odds ratio of detecting a

pneumococcal serotype among isolates causing invasive disease compared to nasopharyngeal

carriage. We added a value of one to cells with zero counts. Due to the unavailability of the

nasopharyngeal carriage data, we imputed the prevalence of the serotypes in the carriage by

dividing the prevalence in invasive disease by the average invasiveness of each serotype. Next,

we used a Spearman correlation test to assess the association between the mean prevalence of

serotypes in the nasopharyngeal carriage and invasive disease and average invasiveness with the

average *in vitro* pneumococcal growth rate.

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Author contributions

C.C., M.I.dJ., and A.J.H.C. conceived and designed the study. M.Id.J., D.W.A., I.H.P., and

A.J.H.C. collected and processed the pneumococcal isolates. S.W.L. and S.D.B. performed

whole-genome sequencing, quality control and genomic analysis. C.C. performed statistical and

bioinformatic analyses. D.W.A. contributed to data analysis and interpretation. M.I.dJ. and

A.J.H.C. contributed to data interpretation. C.C., D.W.A., M.I.dJ., and A.J.H.C. wrote the first

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M.I.dJ., and A.J.H.C. reviewed and approved the manuscript.

Declaration of interests

589   The authors declare no competing interests.

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Fig. 1. Schematic overview of high-throughput quantification of *in vitro* pneumococcal growth kinetics and the genome-wide discovery of individual genomic variation influencing *in vitro* pneumococcal growth. Population genomic approaches using genome-wide association study (GWAS) offer a robust way to identify genomic variation influencing the growth dynamics of the pneumococcus. First, we collected 348 pneumococcal blood culture isolates that were obtained from 348 patients admitted to the hospital with invasive pneumococcal disease. The isolates were inoculated in a liquid medium in a highly standardised manner followed by measurement of the growth kinetics after every ten minutes for slightly over 15 hours using a microplate reader[13]. We then fitted a logistic function (see methods) to measure growth features, namely, the average growth rate (r), maximum growth density (H_{max}) defined as L_{max}, lag phase duration, and maximum growth change (ΔH), defined as the difference between the maximum

and minimum density (L_{max}-L_{min}). Additionally, genomic DNA was extracted from the cultured
isolates for whole genome sequencing using the Illumina HiSeq 4000 sequencing platform. The
generated sequencing data was used to reconstruct a maximum-likelihood phylogenetic tree of
the isolates for downstream analyses, for example, the assessment of phylogenetic signals for the
estimated growth features. We also performed *de novo* assembly of the sequencing reads to
generate contigs, which were used to map against the ATCC 700669 pneumococcal reference
genome (GenBank accession: NC_011900) to identify consensus single nucleotide
polymorphisms (SNPs). We also generated presence, and absence patterns for unitigs or variable
length *k*-mer sequences based on the draft assembled contigs. The SNPs were used to generate
the phylogeny of the isolates while the unitigs were used in a GWAS to identify variants
associated with the estimated pneumococcal growth features. The images were created with
BioRender (https://www.biorender.com/).

Fig. 2. Growth curves for selected serotypes show the *in vitro* pneumococcal growth dynamics and estimated growth features inferred by mathematical modelling. The growth curves above show the optical density at 620 nm monitored over 15 hours for an example dataset of 20 pneumococcal isolates representing different serotypes and sequence types (STs) based on the pneumococcal MLST scheme. We used the nonlinear optimisation algorithm to identify a combination of parameters with the best fit to a logistic curve based on the lowest residual sum of squares for the predicted value based on the model relative to the observed growth data (see methods). We fitted separate curves based on a subset of points for each replicate up to the end of the stationary phase or end of the experiment, whichever came first. We did this to get a better fit for the logistic curve during the growth phase, as it does not allow for negative growth, which happened after the stationary phase. We fitted the curves to infer the growth features for each of the six replicates. The averaged estimates for each replicate were then used for the downstream analyses. Additional plots showing fitted curves for all the isolates are shown in **Supplementary Fig. 1**.

Fig. 3. The *in vitro* pneumococcal growth features show variable patterns dependent on the capsular serotype and genetic background or lineage. (a) Chord diagram showing the association between pneumococcal capsular serotypes and lineages for the Dutch isolates included in this study. The serotypes were determined using *in silico* approaches based on the sequencing data using SeroBA[85], while the lineages were defined using the PopPUNK framework[86] based on the global pneumococcal sequencing cluster (GPSC) lineage nomenclature[34]. The thickness of the connecting lines between serotypes and lineages in the chord diagram represents the percentage of isolates belonging to each serotype and lineage. Due to capsule switching, some serotypes were associated with multiple lineages, and similarly, some lineages were associated with multiple serotypes. The violin plot shows the distribution of the estimated average growth rate across different serotypes. The distribution of the estimated

growth features varied across serotypes with at least three isolates, as shown by the violin plots
for the **(b)** average growth rate, **(c)** maximum growth change, **(d)** maximum growth change
across serotypes, **(e)** lag phase duration growth features. Similarly, the distribution of the
estimated growth features varied across lineages with at least three isolates, as shown by the
violin plots for the **(f)** average growth rate, **(g)** maximum growth change, **(h)** maximum growth
density, and **(i)** lag phase duration growth features. A comparison of the growth features between
two serotypes belonging to the same lineages with at least three isolates is shown for the **(j)**
growth rate, **(k)** maximum growth, **(l)** maximum growth change, and **(m)** the lag phase duration
features. A comparison of the growth features between two lineages expressing the same
serotype containing at least three isolates are shown for the **(n)** growth rates, **(o)** maximum
growth, **(p)** maximum growth change, and **(q)** the lag phase features. Additional information
regarding the isolates and their estimated growth features or parameters are available in
Supplementary Data 2.

Fig. 4. Ancestral reconstruction reveals a strong correlation between the estimated *in vitro* pneumococcal growth features and the whole-genome phylogeny of the isolates. The evolution of the normalised estimated continuous growth features, namely average growth rate, maximum growth change, maximum growth density, and lag phase duration, were assessed by estimating the states of the internal nodes of the maximum likelihood phylogenetic tree of the isolates using a maximum likelihood ancestral reconstruction approach[92]. The length of the scale bar shows the number of nucleotide substitutions per site, while the colours represent the lowest and highest unnormalised growth features. The length of the scale bar shows the number of nucleotide substitutions per site, while the colours represent the lowest and highest unnormalised growth features. A phylogenetic tree showing bootstrap support values on the internal nodes of the tree is provided in **Supplementary Data 3**.

Fig. 5. The estimated *in vitro* pneumococcal growth features show high phylogenetic signals and narrow-sense heritability. (a) Bar plot showing the phylogenetic signal of the growth features using Pagel's λ statistic[38]. Pagel's λ measures the correlated evolution of the traits with the phylogenetic tree of the isolates. Pagel's λ estimates close to zero indicate no phylogenetic signal, i.e., the growth features were independent of the phylogeny, while values close to one show a strong phylogenetic signal. The average growth rate, maximum growth change, and maximum growth density showed the highest phylogenetic signal, while the lag phase duration feature showed an intermediate signal. In contrast, the maximum growth rate defined by Arends et al.[13] had the lowest phylogenetic signal, i.e., evolved more independently of the phylogeny. **(b)** Bar plot showing the narrow-sense heritability (h^2) of the pneumococcal growth features based on unitig sequences. The narrow-sense heritability corresponds to the amount of variability in the traits, i.e., growth features, explained by the pneumococcal genetic variation. The heritability is the proportion of phenotypic variation explained by the bacterial genetics (PVE) parameter using the linear mixed effects model implemented in GEMMA[96]. A heritability value close to zero implies low heritability or minimal contribution of genetics to the variability of the traits. In contrast, values close to one suggest a strong influence of genetics on growth features. There was a substantial impact of pneumococcal genetics on all the growth features, with the highest influence seen for the average growth rate, maximum growth change, and maximum growth density, with a slightly lower contribution for the lag phase duration and the maximum

926   growth rate measured by Arends et al.[13]. All the error bars represent the 95% confidence intervals.

927   Additional information is provided in **Supplementary Data 3**.

Fig. 6. Pneumococcal genetic variation associated with the *in vitro* growth features or parameters of the isolates. Manhattan plot showing the statistical significance of the hits from the GWAS analysis based on unitigs **(a)** without adjusting for the serotype and **(b)** after adjusting for the serotype. The statistical significance (*P*-value) were inferred based on the likelihood ratio test using Pyseer[94] mapped against the ATCC 700669 pneumococcal reference genome (GenBank accession: NC_011900[101]) to identify their location in the pneumococcal genome. The dots in the Manhattan plots with different colours represent GWAS for different growth features, as shown in the key. The red dotted line designates the genome-wide threshold for considering a variant as significantly associated, statistically, with the growth features. The blue dotted line represents the threshold for classifying variants as suggestively associated with the growth features. **(c)** Quantile–quantile plots showing the relationship between the observed statistical significance and the expected statistical significance for the GWAS of growth features not adjusting for the serotype. **(d)** Quantile–quantile (QQ) plots showing the relationship between

942   the observed statistical significance and the expected statistical significance for the GWAS of

943   growth features after adjusting for the capsular serotype.

Fig. 7. Association between average *in vitro* pneumococcal growth rate with the prevalence of serotypes in invasive disease, nasopharyngeal carriage, and invasiveness. (a) Scatter plot showing the relationship between the prevalence of serotypes among invasive disease isolates collected before the introduction of PCV7 in the Netherlands and the average *in vitro* growth rate (r) of the isolates inferred as shown in Fig. 1. A Spearman correlation test showed no evidence of an association between the growth rate and prevalence of serotypes among the invasive disease isolates. **(b)** Scatter plot showing the relationship between the inferred prevalence of serotypes in the nasopharyngeal carriage before the introduction of PCV7 in the Netherlands and the inferred average *in vitro* growth rate. Due to the unavailability of the nasopharyngeal carriage data, we imputed the prevalence of the serotypes in carriage by dividing the prevalence in invasive disease by the average invasiveness of each serotype. The invasiveness index was calculated based on the meta-analysis of data collected before PCV7 introduction across multiple countries (see methods). A Spearman correlation test of the growth rate and prevalence of serotypes in carriage suggested a negative association between the variables. The association remained even after excluding serotype 3, which seemed like an outlier. **(c)** The relationship between the invasiveness and the average growth rates of each serotype from the pre-vaccination data. A Spearman correlation test of the growth rates and invasiveness suggested no association between the variables. All the error bars represent the 95% confidence intervals. Additional information is provided in **Supplementary Data 4**.