LAG3+ CD8+ T cell Subset Boosts Bispecific Antibody Armed Activated T Cell

Cytotoxicity Directed at Hormone Receptor+ Breast Cancers

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DECLARATIONS

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Availability of data and material – Computational modeling algorithms (OPLS-R) and the

mechanistic model of BAT-tumor interactions (ODE model) are available on GitHub

(https://github.com/Dolatshahi-Lab). All cytotoxicity and processed flow cytometry data will be

provided as Supplemental tables. Raw flow cytometry data and CITE-seq data will be provided

upon request.

Competing interests – LGL is cofounder of Transtarget Inc, Founder of BATs, LLC, member of

SAB of Rapa Therapeutics and Tundra Targeted Therapeutics and consults for iCell Gene

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LIST OF ABBREVIATIONS – Tumor microenvironment, TME; Bispecific antibody armed T cell, BATs; checkpoint inhibitor, CPI; hormone receptor-positive, HR+; Lymphocyte activation gene 3, LAG3; Programmed Cell Death Protein 1, PD-1; T cell immunoreceptor with immunoglobulin and ITIM domain, TIGIT; bispecific antibodies, BiAb; overall survival, OS; metastatic breast cancer, MBC; ordinary differential equation, ODE; unarmed activated T cells, ATC; cellular indexing of transcriptomes and epitopes, CITE-seq; real-time cell analysis, RTCA; orthogonalized partial least squares regression, OPLS-R; variable importance in projection, VIP; uniform manifold approximation and projection, UMAP; T cell receptor, TCR.

What is already known on this topic – Bispecific antibody armed T cell (BATs) therapies are adoptive T cell therapies that can effectively reroute T cell cytotoxicity toward cancerous cells, but lack consistent and durable anti-tumor responses. Checkpoint proteins expressed on the surface of activated T cells dampen immune responses and can be overstimulated in solid tumors to hamper tumor clearance by T cells. Checkpoint inhibitor drugs can improve T cell anti-tumor response by blocking checkpoint protein signaling but are only effective if the targeted checkpoint protein is expressed on the T cell and activated in the tumor microenvironment, highlighting an opportunity to enhance BAT efficacy by combining treatment with synergistic CPI.

What this study adds – This study characterizes dynamic, time-resolved patterns in checkpoint protein expression by breast cancer-targeting adoptive T cells and predicts the significance of high-prevalence checkpoint proteins on T cell function. It also demonstrates the use of multivariate statistic and mathematical modeling toward rational design of targets and timing strategies for synergistic combination therapies.

How this study might affect research, practice, or policy – The output of this study provides justification for therapeutic strategies combining adoptive T cell therapies with checkpoint inhibitor drugs targeting TIGIT and LAG3 as a means of improving patient responses in HER2-/HR+ breast cancers.

ABSTRACT

Tumor clearance by T cells is impaired by insufficient tumor antigen recognition, insufficient tumor infiltration, and the immunosuppressive tumor microenvironment (TME). Although targeted T cell therapy circumvents failures in tumor antigen recognition, suppression by the TME and failure to infiltrate the tumor can hinder tumor clearance by these T cells. Checkpoint inhibitors (CPI) promises to reverse T cell suppression in the TME and can be combined with bispecific antibody armed T cell (BATs) therapy to improve clinical outcomes. CPIs require the target pathway of inhibition to be active in the TME to elicit a therapeutic response. We hypothesize that adoptively transferred T cell function may be improved by the addition of CPI if the inhibitory pathway is functionally active. This study develops a kinetic-dynamic model of serial killing of hormone receptor-positive (HR+) breast cancer cells mediated by BATs using single-cell transcriptomic and

temporal protein data to identify T cell phenotypes and quantify inhibitory receptor

expression. LAG3, PD-1, and TIGIT were identified as inhibitory receptors expressed by

cytotoxic effector CD8 BATs upon exposure to HR+ breast cancer cell lines. These data

were combined with real-time tumor cytotoxicity data in a multivariate statistical analysis

framework to predict the relevant contributions of T cells expressing each receptor to

tumor reduction. A mechanistic kinetic-dynamic mathematical model was developed and

parametrized using protein expression and cytotoxicity data for in silico validation of the

findings of the multivariate statistical analysis. The model corroborated the predictions of

the multivariate statistical analysis which identified LAG3+ BATs as the primary effectors,

while TIGIT expression dampened cytotoxic function. These results inform CPI selection

for BATs combination therapy and provide a framework to maximize BATs anti-tumor

function against HR+ cancers. Our model provides a means to optimize targets for CPIs

used in combination with BATs in clinical strategies.

BACKGROUND

HR+/HER2- breast cancers represent 68% of all breast cancer diagnoses[1].

Targeted adoptive T cell therapies offer an effective approach to elicit T cell immune

responses against tumors lacking a sufficiently recognizable tumor antigen[2–6]. Bispecific

antibody-armed activated T-cell (BATs) therapies utilize activated T cells armed with

bispecific antibodies (BiAb) targeting CD3 and a tumor antigen to redirect non-MHC

restricted T cell cytotoxicity toward high and low antigen expressing cancer cells[6,7]. This

strategy has shown encouraging results in reducing tumor burden, improving quality of

life, and improving median overall survival (OS) in patients with stage IV breast[8,9],

prostate[10], and pancreatic[5] cancers and neuroblastoma[11].

In a phase I trial involving 17 heavily pretreated HER2-/HR+ metastatic breast

cancer (MBC) patients, HER2 BATs therapy achieved a median OS of 27.4 months with

59% patients exhibiting stable disease at 14.5 weeks[12]. Similarly, a phase II trial with 24

HER2-/HR+ MBC patients reported a decrease in tumor markers in 56.5% of patients and

a median OS of 15.2 months following HER2 BATs infusions[13]. Despite these successes,

the lack of consistent and durable anti-tumor responses highlights an opportunity to

enhance BAT efficacy by combining treatment with synergistic T cell targeting therapies.

Checkpoint inhibitors improve T cell function against solid tumors by preventing

inhibitory signaling. While CPIs have shown efficacy in some breast cancers, response

rates in HER2-/HR+ patients remain disappointingly low (5-12%) compared to HER2+ or

TNBC patients[14–16]. CPI efficacy depends on the presence of the target protein and its

complimentary ligands or receptors, suggesting that identifying reliable CPI targets in

HER2-/HR+ tumors could improve therapeutic outcomes [17,18]. By characterizing inhibitory

receptor expression dynamics and BATs function, this study investigates whether the

timing and selection of CPI targets can provide an opportunity for the synergistic use of

CPIs with BATs therapies for HR+/HER2- patients.

Computational modeling integrates diverse measurements to provide insights into

how cellular changes affect therapeutic outcomes. Network modeling has revealed

therapeutically targetable gene expression mechanisms governing T cell fate selection,

function, and exhaustion[20–23], but lacks temporal resolution and cannot account for

repeated T cell stimulation in targeted cell therapies. Existing mechanistic models of

adoptive T cell therapies have described the emergence of T cell functional states[21–23],

but do not address therapeutic dysfunction.

To address this gap in knowledge, we developed a dynamic ordinary differential

equation (ODE) model that incorporates BATs inhibitory receptor expression profiles. This

model predicts the cytotoxic function of each BATs subset and can serve as an *in-silico*

testbed to optimize the synergistic use of CPI therapies by targeting the most functional

receptors at their peak expression time.

Using single-cell transcriptomics and temporal protein and gene expression data,

we characterized T cell phenotype emergence and receptor expression dynamics. These

data, combined with tumor cytotoxicity profiles, were analyzed using a multivariate

statistical framework to predict the relative contributions of BATs subspecies to tumor

cytotoxicity.

Our ODE model of BATs-mediated tumor killing was constructed from matched

temporal protein expression and cytotoxicity data to validate our multivariate analysis

findings. Both our multivariate statistical analysis and kinetic-dynamic model identified the

LAG3+ BATs subset as a key driver of tumor reduction across multiple donors and cancer

cell lines. These results suggest targeting LAG3 in BATs-CPI combinatorial therapies

could significantly improve therapeutic outcomes in HR+/HER2- breast cancer patients.

METHODS

Target cell coculture

MCF-7, CAMA1, and T47D cells were acquired from ATCC. Cells were thawed in the

media suggested by the manufacturer. MCF-7 cells were passaged in cRPMI (RPMI1640

+ 10%FBS). CAMA1 and T47D cells were gradually acclimated to cRPMI by subsequent

passages in mixed (RPMI/native) media with gradually increasing RPMI proportions.

Cells were passaged every 2-3 days to avoid confluence.

ATC generation

Activated T cells (ATC) were generated using T cells collected from donor PBMC. Blood

was collected from normal donors and PBMC were obtained by Ficoll-Paque density

gradient separation, counted, suspended in RPMI supplemented with 100 U/mL IL-2 and

20 ng/mL OKT3 to activate T cells and stimulate proliferation[24]. T cells were expanded by

refreshing the cultures with RPMI containing 100 U of IL-2/mL every 2-3 days for 14 days.

The expanded T cells were harvested and cryopreserved at $100e^6$ viable cells (vc)/mL at

-160°C in LN2 until use.

BATs preparation

BATs were prepared as described[25]. ATC were thawed at 37°C, resuspended in RPMI,

and washed 2x in RPMI. Washed ATC were resuspended in RPMI + 50 ng/10^6 vc anti-

HER2x anti-CD3 bispecific antibody (HER2Bi) to generate HER2 BATs[26].

Single-cell CITE-seq Analysis

ATCs from 3 donors were thawed and split into two groups per donor. One group from

each donor was armed as described above to generate BATs. Each group was cocultured

for 1 hours with MCF7 tumor cells at a 10:1 BAT:MCF7 ratio. BATs were then collected

and measured using 10X Genomics CITE-seq technology[26]. TotalSeq™-B

oligonucleotide-labeled antibodies for CD45RO, CD45RA, CD3, CD4, CD8, CD69, and

CD25 were included in the CITE-seq panel, and cells were stained following the

manufacturer's protocol (BioLegend). CITE-seq libraries were processed in parallel

following 10X Genomics Single Cell 3' protocol and sequenced on an Illumina NextSeq

500.

Raw protein tag and transcriptomic data from each donor were integrated into a Seurat

object using the Seurat 5.0 R package[27]. Each object was filtered to remove cells with <

500 features or > 2500 features, as well as those with < 1% or > 5% mitochondrial DNA.

Data within each object was normalized and the 3000 most variable features were

identified. All 6 objects were integrated into a single object using Seurat

FindIntegrationAnchors and IntegrateData functions. Data was then scaled, and a

principal component analysis (PCA) was conducted to identify the top 100 principal

components (PCs). A K nearest-neighbor graph was constructed using the top 25 PCs

and used to identify cell clusters. A uniform manifold approximation and projection

(UMAP) plot for visualization was created using these clusters. Differential gene

expression was used to identify T cell clusters. Briefly, a Wilcoxon Ranked Sum test was

run using Seurat's FindAllMarkers function. Genes strongly differentiating each cluster

were cross-referenced with T cell literature to identify the phenotype in question.

Real-time cell analysis (RTCA) measurements

The xCelligence RTCA monitor was used to measure tumor cell death across the course

of the assay. xCelligence plates were zeroed with RPMI prior to seeding[28]. In brief, 2e4

vc target breast cancer cell lines were added to each xCelligence well and allowed to

adhere. The seeded plate was returned to the xCelligence RTCA monitor, and

conductivity readings were begun. After 24 hours, BATs were added to each well at

predefined ratios between 0.125 – 2 BATs:Tumor. As a surrogate for cytotoxicity,

conductivity measurements were recorded continuously for 120h.

BATs coculture preparation

Time-course samples were prepared by coculturing BATs and tumor cells at an effector:

target ratio of 1:1. Flat bottom 24 well plates were seeded with MCF-7 cells in RPMI at

1.2e5 vc/mL and incubated for 24 hours. After 24h, BATs were added at a E:T as

determined by target cell counts from a sample plate. Bat-tumor cocultures were

incubated for up to 72 hours. Samples were collected from wells at 4, 24, 48, and 72

hours. Collected samples were used for flow cytometry readings.

Flow Cytometry

Flow cytometry stain panels (**Table 1**) were created by mixing fluorescence-conjugated

antibody stains targeting the ligands of interest. The following panels were generated:

Inhibitory (CD45, CD4, CD8, TIGIT, PD-

1, LAG3, TIM-3, CTLA, BTLA),

Stimulatory (CD45, CD4, CD8, 4-1BB,

CD27, OX40, GITR, HVEM, LIGHT,

ICOS), and Coexpression (CD45, CD4,

CD8, 4-1BB, 4-1BBL, OX40, TIGIT, PD-

1, LAG3). Cells were resuspended,

Inhibitory Panel	Coexpression Panel	Stimulatory Panel
CD3	CD45	CD45
CD4	CD4	CD4
CD8	CD8	CD8
CTLA4	OX40	OX40
BTLA	4-1BBL	LIGHT
PD-1	PD-1	ICOS
TIGIT	TIGIT	HVEM
LAG3	LAG3	CD27
TIM-3	4-1BB	4-1BB
-	-	GITR

Table 1: Targets for fluorescent antibodies included in each flow cytometry panel.

counted for viability, stained, and fixed before fluorescent intensity readings were

acquired on the NovoCyte 3005 3 laser cytometer. Collected data was compensated and

analyzed using FlowJo flow cytometry data analysis software.

OPLS-R analysis

An orthogonalized partial least squares (OPLS)-regression model was built to predict the

impact of BATs receptor expression on tumor reduction. BATs were binned into distinct

groups by their expression of CD8 and CD4, and again by their expression of PD-1, TIGIT,

and/or LAG3. Triplicate counts were averaged within donor and against target cell line.

These counts were inputted to predict the changes in tumor cell count and the rate of

change in tumor counts as measured by RTCA. Separate models were created for the 3-

donor study and the 3-target study.

Inputs for tumor counts or rate of change compared against BATs counts were taken at

a set time interval from the time of BATs collection. Models for each study were created

with 0, 1, 4, 12, and 24-hour intervals from the time of BATs collection to increase the

robustness of model predictions. The variable importance in projection (VIP) scores for

each BATs subset were calculated[29], ranked, and artificially oriented in the direction of

their loadings on latent variable 1 (LV1). The directionality of groups with VIP scores >1

was used to predict the positive or negative impact on tumor growth for each

receptor/ligand.

ODE model construction and testing

An ODE model was constructed using mass action equations to describe changes in

tumor cell count. The following equation was used to describe tumor cell count.

259
$$\frac{dTumor}{dt} = a_{TP} * Tumor - \frac{a_{TP} * Tumor^2}{Max_{Tumor}} - \left(a_{Kill_N} * NBATs * Tumor\right)$$

260
$$- \left(a_{Kill_L} * LBATs * Tumor\right) - \left(a_{Kill_P} * PBATs * Tumor\right)$$

261
$$- \left(a_{KillD} * DBATs * Tumor\right)$$

The first term represents tumor growth in the absence of BATs, and the next four negative

terms represent the contribution of each BAT subset to the rate of tumor cell clearance.

Tumor growth rate was assumed to be sigmoidal, and was fit to data collected from the

control 0:1 BATs:Tumor condition during the RTCA experiment. NBATs, PBATs, LBATs,

and DBATs rate of change were determined by taking the derivative of spline functions fit

to the flow cytometry cell counts collected at 0, 4, 24, 48, and 72 hours.

The CaliPro parameter optimization package was used to determine the potential ranges

and optimal values for each species rate parameter [30]. CaliPro optimizes unknown model

parameters by creating a parameter space using Latin Hypercube Sampling within

parameter-specific ranges set by the user. Each parameter was tested by software, and

parameter sets that meet a user-defined set of criteria are used to generate a new LHS

parameter space for a second iteration. This procedure continued until a large enough

portion of parameters met the acceptance criteria. The final parameter space contains a

refined range of parameters which best describe the system in question. Acceptable

parameter spaces were those that generated tumor counts within +/- 10% of the

measured values at 0, 4, 12, 24 ,36, 48, 60, and 72 hours.

RESULTS

Bispecific antibody-driven interactions generate highly cytotoxic LAG3+ effector T cell populations after 1 hour exposure to target cells

As a means of identifying phenotypic changes in T cells driven by the engagement of T cell receptor (TCR) and tumor antigen mediated by the BiAb, we profiled transcriptional and protein expression changes in HER2 BATs and unarmed ATCs after exposure to tumor cells using cellular indexing of transcriptomes and epitopes by sequencing (CITE-seq) (**Fig. 1A**). The matched protein and RNA data were integrated and pooled, and an unsupervised graph-based clustering method was applied to generate 12 cell clusters (**Fig 1B**). CD4 and CD8 protein tags were used to identify major T cell populations, while CD69, CD45RA, and CD45RO protein tags helped differentiate between resting, dividing, and activated T cell subsets (**Fig. 1B, Fig. S1**). These 12 cell subsets exhibited varying transcriptomic expression profiles of cytotoxic factors, inhibitory receptors, costimulatory receptors, and relevant transcription factors (**Fig. 1D**). Wilcoxon ranked-sum testing was used to identify marker genes distinguishing each group (**Fig 1C**) to assist in applying known T cell phenotypes.

The presence of the BiAb drove phenotypic changes in both CD4 and CD8 T cells (**Fig 1A, B**). Activated CD4 BATs, indicated by CD69 protein expression, switched primarily to a pro-inflammatory T helper 1 (Th1) phenotype (**Fig 1C**, **Fig S1**). A smaller subset of activated CD4 BATs was observed to adopt a humoral Th2 phenotype (**Fig 1B**), which not only drives B cells to produce immunoglobulins but may also facilitate tumor progression[31]. The Th1 population was comprised primarily of BATs, which accounted for 82% of the population, while the Th2 population was evenly split between BATs (46%)

and ATC (54%). CD8 BATs displayed progressive activation: a mid-activation group,

labeled CD8_Act, was CD45RO+CD69+, while a fully activated effector group, labeled

CD8_Eff, was CD45RA+CD69+. Additionally, two smaller BATs groups, an NK-like (NKT)

group marked by KLRD1[32] and FCER1G[33] and mucosal-associated invariant (MAIT)

group marked by KLRB1[34] and NCR3[35], were also present. The CD8_Eff group was

identified as the primary cytotoxic group, as demonstrated by high expression of

granzyme (GZMA, GZMB, GZMK, GZMH) and perforin (PRF) genes, as well as

inflammatory cytokine (IFN-γ, TNF-α) and FAS ligand expression (**Fig 1D**). The CD8_Eff

group was also enriched in EOMES and TBX21, two transcriptional regulators associated

with T cell maturation, terminal differentiation, and effector function[36], further indicating

the role of this group as an effector T cell (**Fig 1D**). TCF7, a transcriptional regulator

indicating a pre-antigen encounter T cell state[37], was downregulated in the CD8_Eff

group, while TOX, a gene associated with T cell effector function and exhaustion[38], was

upregulated (**Fig 1D**).

Strong cytotoxic potential was observed to correlate with targetable inhibitory and

costimulatory receptor expression (**Fig 1D**). The cosignaling receptors LAG3 and TIGIT,

which directly modulate BATs cytotoxicity, were enriched in the CD8_Eff group (**Fig 1E**).

While expression of PD-1 (PDCD1), TIM3 (HAVCR2), and CTLA4 was detected in the

CD8_Eff group (**Fig 1D**), their levels were low and not significantly different from those in

the total cell population.

Costimulatory receptors 4-1BB (TNFRSF9), ICOS, CD27, HVEM (TNFRSF14), and

LIGHT (TNFSF14) were observed in the CD8_Eff group (**Fig 1D**). LIGHT and ICOS

expression were upregulated in all activated BATs groups but were not significantly

upregulated in the CD8_Eff group (**Fig 1D**). CD27 was present but downregulated

compared to other CD8 groups (**Fig 1D**), indicating terminal effector differentiation[39]. Only

4-1BB expression was significantly upregulated in the CD8_Eff group (See **Discussion**).

While LAG3 expression was observed throughout the CD8_Eff group, TIGIT expression

was concentrated within a subset of these cells (**Fig 1E, F**). Comparison between

$TIGIT_{High}$ and $TIGIT_{Low}$ CD8_Eff cells reveals distinct expression of regulatory genes

associated with T cell effector differentiation. $TIGIT_{High}$ CD8_Effs are enriched for EOMES

and CMC1, while $TIGIT_{Low}$ CD8_Effs are enriched for HOPX and SPRY1. All four genes

are involved in T cell effector differentiation[37,41–43], but the absence of overlap may

indicate a potential divergence in function between $TIGIT_{High}$ and $TIGIT_{Low}$ CD8_Eff cells.

These expression patterns may indicate that highly cytotoxic CD8 BATs are more strongly

regulated by TIGIT or LAG3 after initial interaction with breast cancer tumor cells and

might benefit from CPI therapies targeting these receptors. Likewise, 4-1BB agonism may

provide costimulatory signals preferentially to a highly cytotoxic subpopulation.

Temporal profiling reveals distinct dynamics of costimulatory and inhibitory

receptor expression following tumor exposure

The emergence of therapeutically targetable cosignaling receptors as marker genes for

highly cytotoxic effector cells after 1 hour of exposure suggests promising potential for

effective combinatorial BATs therapies. To determine whether the observed expression

patterns of TIGIT, LAG3 and 4-1BB from CITE-seq data persist beyond 1 hour of

stimulation – and whether transcriptomic expression patterns translate to expression of

surface proteins – we analyzed surface receptor expression on BATs cocultured with HR+

breast cancer cell lines. The coexpression dynamics of inhibitory and stimulatory

receptors on BATs were profiled over a 72-hour coculture with HR+ tumor cells (**Fig 2A**).

Flow cytometry panels used to profile receptor expression are detailed in **Table 1**.

CD4 BATs preferentially express PD-1, which coexpresses with LAG3 and TIGIT

CD4 BATs expressed PD-1, TIGIT, and LAG3 during the initial 72-hour challenge (**Fig**

2B). CD4 BATs preferentially expressed PD-1, while LAG3 and TIGIT were primarily

coexpressed on PD-1 expressing cells (**Fig 2E**). ICOS expression within the CD4 pool

gradually increased with time, while CD27 expression dipped over 24h before rebounding

(**Fig S2**). Th1 CD4 cells expressed PD-1 significantly higher than other BATs groups in

our CITE-seq data, potentially suggesting the immediate emergence of a Th1 CD4 subset

(**Fig 1D**). Th1 CD4 cells expressed PD-1 significantly higher than other BATs groups in

our CD4 data, potentially suggesting the immediate emergence of a Th1 CD4 subset.

CD8 BATs rapidly express LAG3 and 4-1BB while gradually increasing PD-1 and TIGIT

expression

The inhibitory panel showed that CD8 BATs preferentially expressed LAG3 (**Fig 2 B, C**).

TIGIT and PD-1 were observed to a lesser extent on CD8 T cells, and primarily

coexpressed with LAG3 (**Fig 2 C, E**). TIM3, CTLA4, and BTLA were minimally expressed

by BATs over the 72 hours duration of the experiment. In all samples, LAG3+ CD8 BATs

hit a proportional and numerical high between 24 and 48 hours after coculture, before

declining across the remaining assay duration. PD-1 and TIGIT expression gradually

increased as the assay progressed. Interestingly, samples that maintained a higher

proportion of LAG3+ CD8 T cells throughout the assay cleared a greater proportion of

tumor cells cumulatively across 72 hours. A stimulatory receptor profile demonstrated

CD8 BATs primarily expressed 4-1BB and ICOS, with 4-1BB spiking rapidly during the

first 24h before exiting the system and ICOS gradually increasing with time. CD27 which

was strongly expressed at t = 0 hours, rapidly decreased in expression during the first 24

hours, before recovering to initial expression levels during the last 48 hours of the

experiment (**Fig S2**). The presence of LAG3, TIGIT, and 4-1BB indicates the CD8 BATs

are primarily the CD8_Eff phenotype observed in our CITE-seq data.

A coexpression panel was designed to explore overlap between costimulatory and

inhibitory receptors (**Fig 2D, F**). Expression of coinhibitory receptors reflected what was

observed on the inhibitory panel – LAG3 and PD1 expression dominated CD8 and CD4

BATs, respectively, with both PD-1 and TIGIT coexpressing with LAG3 at increasing

frequency on CD8s (**Fig 2D, F**). PD-1 and TIGIT rarely coexpressed on CD8s in the

absence of LAG3. Interestingly, 4-1BB strongly coexpressed with LAG3 on CD8s during

the first 24h (**Fig 2D, F**). LAG3 and 4-1BB presence simultaneously peaked at 24 hours,

and LAG3 and TIGIT remained the primary inhibitory receptors after 24 hours, (**Fig 2D,**

F), which suggests that 4-1BB expression or stimulation precedes T cell activation

pathways that preferentially express LAG3 and/or TIGIT.

Multivariate statistical analysis predicts opposing effects of LAG3 and TIGIT

expression on BATs-mediated tumor cell cytotoxicity rates

We hypothesized that LAG3, TIGIT, and PD-1 represent distinct anti-tumor functional

phenotypes, with their expression kinetics closely correlating with changes in BATs

function and phenotype. PD-1 was enriched in activated Th1 and Th2 CD4 subgroups but

did not associate strongly with CD8_Eff in our CITE-seq data (**Fig 1D**). LAG3 and TIGIT

correlated strongly with components of cytotoxic pathways in our CITE-seq data (**Fig 1D**)

and suggests a highly cytotoxic activated effector state in BATs. However, TIGIT was only

partially enriched within our CD8_Eff group and correlated with regulatory components

associated with effector cell maturation (**Fig 1D**). A Partial Least Squares Regression

(PLS-R) model was constructed to determine if tumor cell reduction cytotoxicity could be

predicted by receptor presence (**Fig 3A, B**). Cells were binned by CD4/CD8, TIGIT,

LAG3, and PD-1 expression, with cells expressing combinations of these receptors put in

unique bins. The prevalence of each phenotype at each timepoint was used to predict the

rate of tumor cytotoxicity measured by RTCA at 4 hours post-receptor measurement. Our

model predicted 98% of the variance seen in the rate of tumor growth and reduction, and

outperformed 1000 random permutations of the data (p <0.001) (**Fig. 3A**). Examining the

top predictors of the cytotoxicity, which were defined as those with VIP >1, we observed

that CD8 BATs expressing LAG3 with or without PD-1 correlated with increased rates of

cytotoxicity, while TIGIT expression on either CD8 or CD4 cells was negatively correlated

with the rate of cytotoxicity (**Fig. 3 B, C**). Cells expressing a combination of LAG3 and

TIGIT, regardless of PD-1 expression, were not predictive. Cells expressing none of the

three receptors were negatively correlated with cytotoxicity, similar to TIGIT expressing

cells, potentially suggesting a pre-activated state. In summary, our data show that the

expression of LAG3 on BATs is significantly associated with anti-tumor cytotoxicity, while

TIGIT expression dampens BATs-driven cytotoxicity (**Fig. 3C**).

Mechanistic modeling identifies LAG3 BATs as key drivers of tumor reduction

The PLS-R model indicates that the balance of BATs subsets defined by receptor

expression plays a crucial role in tumor reduction. To more directly determine the

functional impact of each subset and validate our PLS-R findings, an ODE-based dynamic

model of tumor-BATs interactions was constructed (See Methods). Surviving tumor cell

counts were extracted from RTCA data, while BATs subset counts were extracted from

receptor expression measured by the coexpression flow cytometry panels. Given the

homogeneity in CD4 population dynamics across experiments, cytotoxic CD8 cells were

selected for the development of the model. Cytotoxic potential as predicted for each

subset by the PLS-R model was used to define four functional groups in the kinetic-

dynamic BATs-tumor model. Specifically, the PLS-R model predicted LAG3 and TIGIT

expression have significant but opposing effects on the rate of tumor expansion or

survival. LAG3 expression predicts higher rates of tumor reduction, while TIGIT predicts

weaker tumor reduction. The expression of PD-1 was not predictive. Based on the above

findings, a mechanistic model of tumor-BATs interactions was constructed consisting of

tumor cells and 4 receptor-defined BATs species: LAG3-/TIGIT- BATs (NBATs),

LAG3+/TIGIT- BATs (LBATs), LAG3-/TIGIT+ BATs (TBATs), and LAG3+/TIGIT+ BATs

(DBATs) (**Fig 4A**).

Our ODE model was constructed and distinct cytotoxicity rates were estimated for each

BATs phenotype, accurately replicating tumor cell count dynamics over a 72-h BAT-tumor

coculture. Tumor growth rates were determined by fitting experimental tumor growth data

to sigmoidal curves, using maximum cell counts observed in tumor-only control

conditions. Experimentally collected BATs counts were modeled using spline functions to

represent dynami changes in BATs numbers over time. CaliPro, an iterative parameter

optimization package, was employed to estimate the cytotoxicity parameter space. Value

ranges for cytotoxicity rates for each BAT phenotype were identified to best match

experimental BATs-tumor dynamics (**Fig 4B**). Finally, estimated cytotoxicity rates and the

total number of tumor cells killed by each BATs subpopulation were compared as key

metrics to evaluate the cytotoxic potential of each cell phenotype.

When comparing a single donor across 3 HER2-/HR+ breast cancer cell lines, LBATs

emerge as the clear driver of cytotoxicity (**Table 2** and **Fig 4C**). LBATs demonstrate a

larger capacity for tumor clearance both through a higher cytotoxicity rate (**Table 2**) and

greater number of tumor cells removed compared to all other species (**Fig. 4C**). This

model prediction matches the functional potential observed in our PLS-R model, where

LAG3+ BATs correlated with

greater tumor reduction (**Fig**

3B), and our CITE-seq data,

where LAG3+ CD8_Eff cells

expressed significantly higher

granzyme and perforin

concentrations than other

Parameter	Target		
	MCF7	CAMA1	T47D
Tumor growth rate	0.13	0.06	0.16
Max conductivity	1.4	3.2	1.2
LBAT Cytotoxicity Rate (Range)	1.18E-06 (1.01E-06 - 1.34E-06)		
NBAT Cytotoxicity Rate (Range)	1.95E-07 (1.31E-07 - 1.97E-07)		
DBAT Cytotoxicity Rate (Range)	9.54E-07 (6.61E-07 - 9.63E-07)		
DBAT Cytotoxicity Rate (Range)	9.54E-07 (6.61E-07 - 9.63E-07)		

Table 2: Optimal parameters and ranges determined by CaliPro meeting pass criteria for Donor 1 BATs challenged against 3 tumor cell lines.

observed groups (**Fig 1D**). Both factors make the generation of these cells highly

desirable therapeutically. Additionally, LAG3+ BATs were observed to proliferate strongly

in all systems, indicating they can sustain an effective anti-tumor response when

generated. DBATs, which expressed both LAG3 and TIGIT, were observed to also

contribute to cytotoxicity, although at a lower rate than LBATs (**Fig 4C**). NBATs were

5.75-fold less cytotoxic than LBATs, although their presence as the most populous BAT

subtype meant they contributed significantly to tumor removal. TBATs demonstrated

significantly lower cytotoxicity compared to either LAG3+ subgroups LBATs or DBATs

and remained at low enough numbers to contribute minimally to tumor reduction.

Comparisons across donors revealed consistent patterns of cytotoxicity. While the

behavior of BATs derived from different donors could not be described using identical

parameter sets,

individual models

predicted LBATs

as the most

cytotoxic subset,

followed by

DBATs, with

NBATs and

TBATs

Parameter	Donor		
	Donor 1	Donor 2	Donor 3
Tumor growth rate	0.0748		
Max conductivity	7.6		
LBAT Cytotoxicity Rate (Range)	1.18E-06 (1.01E-06 - 1.34E-06)	8.59E-06 (8.38E-06- 1.16E-05)	5.28E-06 (3.89E-06 - 5.95E-06)
NBAT Cytotoxicity Rate (Range)	1.95E-07 (1.31E-07 - 1.97E-07)	4.48E-08 (2.17E-08 - 4.91E-08)	4.49E-07 (5.61E-08 - 7.48E-07)
DBAT Cytotoxicity Rate (Range)	9.54E-07 (6.61E-07 - 9.63E-07)	3.71E-06 (7.90E-07 - 4.35E-06)	1.33E-06 (1.27E-06 - 5.52E-06)
TBAT Cytotoxicity Rate (Range)	3.98E-07 (3.23E-07 - 4.25E-07)	8.59E-08 (5.42E-08 - 5.74E-07)	3.36E-07 (3.34E-07 - 7.31E-07)

Table 3: Optimal parameters ranges determined by CaliPro meeting pass criteria for BATs from three donors challenged against MCF7 tumor cells**.**

alternating as the least and second least cytotoxic subgroup (**Fig 5B-C, Table 3**).

Notably, Donor 2, which demonstrated the lowest tumor cell clearance, relied more

heavily on its DBATs for tumor reduction. This likely resulted from a higher relative

abundance of DBATs generated during the coculture experiment compared to Donors 1

and 3.

Across all donors, LAG3 emerged as a robust indicator of effector cytotoxicity, suggesting

that the LAG3+ CD8 BATs subset plays a primary role in tumor elimination during HR+

breast cancer-HER2 targeted BATs immunotherapy In contrast, TIGIT expression in

BATs cells may act as a suppressor of cytotoxic function.

DISCUSSION

Changes in receptor expression as a function of differentiation likely reflect the T cell's

capacity to mount diverse and multifunctional immune responses against perceived

threats. Understanding how these phenotypes emerge, and function can significantly

enhance our ability to engineer T cells for predictable and effective responses against

tumors or pathogens. In this study, we characterized emerging T cell subtypes in BATs

challenged by HR+ breast cancers and developed a model combining T cell inhibitory

receptor expression dynamics with cytotoxicity rates to identify associations between

targetable pathways of T cell inhibition and T cell anti-tumor cytotoxicity.

While inhibitory receptor expression is intrinsically linked with T cell stimulation, the

factors driving differential expression remain unclear[42–44]. Across three donors and when

challenged with 3 HR+ breast cancer cell lines, only TIGIT, LAG3, and PD-1 were

expressed within the first 72 hours of challenge. This suggests that combinatorial

therapies pairing BATs with CPI therapies should prioritize these receptors.

Our model indicates an association between TIGIT surface expression and reduced BATs

function. Because TIGIT ligands PVR, NECTIN1, and NECTIN2 have been detected in

breast cancer and correlate with poor prognostic outcomes, the loss of function in TIGIT+

cells may result of TIGIT-ligand driven inhibition[45,46]. Blocking TIGIT may reverse the

suppressive regulatory effect and augment the cytotoxicity of TIGIT+ and LAG3+/TIGIT+

subset of BATs. However, TIGIT presence on T cells may indicate a more terminally

differentiated and exhausted state. Coexpression of TIGIT with other inhibitory receptors,

including PD-1 and LAG3, is a hallmark of T cell dysfunction in tumors[47–50]. Because we

observe TIGIT expression primarily in tandem with PD-1 or LAG3, these cells may be

terminally exhausted and less capable of mediating cytotoxic activity even if TIGIT

activation or activity is blocked.

LAG3+ cells do not appear to be inhibited in our model. LAG3 expression in the absence

of other inhibitory receptors, such as TIGIT or TIM-3, is a hallmark of cytotoxic effector T

cell activation[51–53]. LAG3 has been observed as primarily regulating effector functions like

cytotoxicity for tumor-targeting T cells, and thus LAG3 expression by BATs may indicate

a highly functional effector group[54,55]. These studies also observe PD-1 as primarily

responsible for inhibiting cell proliferation, and thus the lack of PD-1 on our LAG3+

population may facilitate the proliferation observed in this population. The primary LAG3

ligand, MHC-II, is expressed predominantly on antigen-presenting cells (APCs), and thus

should not be present in our coculture system[56]. However, as breast cancers can be

enriched in APCs, including pro-tumor macrophages, LAG3+ BATs may encounter strong

inhibition when applied in vivo[57,58]. Thus, preemptive blockade of LAG3 may ensure these

primary cytotoxic cells maintain their function in patients, regardless of APC presence.

Additionally, LAG3 has been observed to inhibit T cell cytotoxicity without stimulation[59].

Thus, LAG3 blockade may improve BATs function regardless of APC presence.

Our investigation was limited to the dynamic changes in T cell behavior, without capturing

tumor cell ligand expression dynamics. We assumed that components governing the rate

of interaction between BATs and target cells remain constant, except for changing

cytotoxicity observed in different BATs phenotypes. Furthermore, our coculture system

was focused strictly on BiAb-mediated CD8 T cell-tumor interactions and studying signals

and secreted factors from other cells was beyond the scope of our work. These factors

can influence tumor and T cell behaviors affecting cytotoxicity. TCR-activated CD4 and

CD8 T cells are known to produce cytokines and other signaling molecules, including

interferon gamma (IFNγ) and tumor necrosis factor (TNFα)[60–62], which affect both T cell

function and tumor proliferation[63], and were highly expressed in our CD8_Eff group. While

the effect of these cytokines may be captured by the cytotoxicity rates predicted in our

model, any compounding effects of their persistent presence or increasing concentration

may have not been captured by our model. Limiting the model to CD8 T cells might

explain the donor-to-donor variability observed in subset-specific tumor clearance rates

(**Table 3**). Notably, the initial BAT population for Donor 2 had a much higher proportion

of CD4 T cells compared to Donors 1 and 3 and cleared much fewer target cells (**Fig S3**).

Donor 2 also began with and generated fewer LAG3+ CD8s, and an increased CD4

presence demands fewer CD8s, so this observation may simply be the result of fewer

cytotoxic BATs present. However, CD8 BATs expansion was also lower with higher CD4,

and particularly PD-1+ CD4, presence, possibly indicating an inhibitory effect elicited from

CD4 BATs. The inclusion of other effector cells might further improve the model fits (**Fig

5A**).

Target cell phenotypic changes also likely change BATs-target interactions but are not

captured in our system. Cytokine presence and cell damage can change receptor

expression on target cells in a manner that may alter BATs-target interactions with time.

Inflammatory cytokines, and in particular Type I interferons, can upregulate inhibitory

receptor expression on T cells[64]. Similarly, IFNγ can upregulate cognate ligands for

inhibitory receptors, such as PD-L1, on tumor cells[65]. Cell damage and tumor mutational

status can also upregulate these ligands[66–68]. Expression of PVR, a TIGIT ligand, has

been observed in response to DNA damage, and may be present on some or all the target

cells in our system[69]. Thus, the target cells in our system may evolve to inhibit BATs

expressing certain inhibitory receptors.

Preferential generation of more cytotoxic BATs subspecies may improve tumor clearance

against HR+ breast cancers. Costimulatory signaling is a crucial part of T cell activation

and plays a role in inhibitory receptor expression intensity[70,71]. Both our CITE-seq and

flow cytometry data indicate 4-1BB expression is associated with cytotoxic effector BATs.

4-1BB was observed to be strongly correlated with LAG3+/TIGIT+ CD8_Eff cells in our

CITE-seq data (**Fig 1D**), and 4-1BB ligand expression was strongly correlated with LAG3

and TIGIT expression for the initial 24h of our coculture experiment before disappearing

from our system (**Fig 2D, Fig S2**). This may indicate that 4-1BB exists to stimulate highly

cytotoxic BATs upon their initial encounter with target cells. However, it is unclear if 4-

1BB stimulation is associated with TIGIT expression alone, or if it is also linked to LAG3

expression. Further exploration of the impact of 4-1BB stimulation on BATs function and

inhibitory receptor expression may provide insight into what incoming signals govern

BATs function. If 4-1BB activation changes BATs function, whether to increase or

decrease cytotoxicity, utilization of 4-1BB targeting therapies may enhance tumor

reduction. A small number of 4-1BBL+ BATs were observed in our system at 4 hours,

which may be indicative of a self-stimulating T cell subtype previously observed to

increase tumor kill in mouse breast cancer models[72]. However, the transient nature of

both 4-1BB and 4-1BBL makes their utilization as targets difficult.

Together, these findings provide a compelling support for the use of predictive statistical

analysis and mathematical modeling in the rational design of combination

immunotherapies. They also strongly suggest that the anti-solid tumor activity of BATs in

our phase I/II metastatic breast cancer clinical trials may be in part driven by the

dominance of a LAG3+ cytotoxic phenotype over TIGIT downregulatory activity[12,13]. Our

recent phase II trial in hormone refractory prostate cancer (HRPC) that combines the CPI

pembrolizumab with HER2 targeted BATs showed significant clinical improvement in

clinical responses and improved survival[73] when the use of CPI alone has not been

successful in clinical test for HRPC. Furthermore, our recent ongoing trial combining

pembrolizumab with HER2 BATs in selected metastatic breast cancer patients heavily

pretreated with chemotherapy led to stable disease and improved survival (unpublished).

The improvement in patient response to BATs combined with a PD-1/PD-L1 targeting

therapy bodes well for further work in this area: our model predicted PD-1 to have a lower

impact on BATs function than LAG3 or TIGIT and thus combining BATs with a LAG3 or

TIGIT blockade may improve responses to an even greater extent. Further investigations

into CPI and BATs combination strategies targeting stimulatory or inhibitory receptors to

enhance BATs effector functions will likely improve the potential of BATs therapy in

metastatic breast cancers and other solid tumors.

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Figure captions

Figure 1:

A: UMAP plot of CITE-seq data labeled by bispecific antibody arming. ATC: unarmed Activated T

Cells, BAT: Bispecific antibody-armed Activated T cells

B: A UMAP plot of T cells clustered by similarity in gene expression. Labels were chosen based

on expression of significantly upregulated marker genes. CD4_Rest = Resting CD4 T cells;

CD8_Rest_1 = Group 1 Resting CD8 T cells; CD4_Th1 = Th1 CD4 T cells; CD8_Eff = Effector

CD8 T cells; Div_1 = Group 1 Dividing T cells; CD8_Act = Activated CD8 T cells; CD8_Rest_2 =

Group 2 Resting CD8 T cells; Div_2 = Group 2 Dividing T cells; CD4_Th17 = Th17 CD4 T cells;

CD4_Th2 = Th2 CD4 T cells; MAIT = Mucosal Associated Invariant T cells; NKT = NK-like T cells

C: Heatmap of most differentially expressed genes as determined by a Wilcoxon ranked sum test.

Genes shown most strongly differentiate each group from all other groups and were used to

determine the labels in 1B.

D: A heat map of average gene expression scaled across groups. Genes displayed are involved

in cytotoxicity (top), T cell inhibition (second top), T cell costimulation (second bottom), and T cell

maturation and differentiation (bottom).

E: A re-clustered UMAP of effector CD8 T cells.

F: Differentially expressed genes between the two CD8_Eff clusters.

Figure 2:

810 A: Diagram illustrating the setup and data collection for the two sets of flow cytometry experiments

811 performed. Left Panel - BATs generated from Donor 1 challenged against MCF-7, CAMA1, and

812 T47D breast cancer cell lines. Samples collected at 0, 4, 24, 48, and 72 hours and designated '3

813 Cell Line Experiment'. Right Panel - BATs generated from Donors 1, 2, and 3 challenged

814 separately against MCF-7 breast cancer cell line. Samples collected at 0, 4, 24, 48, and 72 hours

815 and designated '3 Donor Experiment'.

816 B: Inhibitory panel receptor expression on CD8 (left panel) and CD4 (right panel) BATs. Data

817 shown was collected from the 3 Cell Line experiment and is presented as the mean value across

818 all cell lines. Error bars indicate standard error within each data group. Each line represents the

819 relative proportion of the cells that express a marker regardless of other marker expression.

820 C: Relative cell proportion of CD8 cells expressing LAG3, TIGIT, PD-1, or a combination thereof.

821 Cells were binned specificly by their expression or combination of expression of LAG3, TIGIT,

822 and PD-1. Unlike Panels B and D, there is no overlap between groups containing a common

823 ligand. For example, the TIGIT+ CD8 T cell subset does not express PD-1 or LAG3. Samples

824 collected at 0, 4, 24,48, and 72 hours. Data represents mean value across 3 Cell Line experiment

825 as collected in the coexpression panel. Error bars represent the range within each sample

826 timepoint.

827 D: Coexpression panel receptor expression on CD8 (left panel) and CD4 (right panel) BATs. Data

828 shown was collected from the 3 Cell Line experiment and is presented as the mean value across

829 all cell lines. Error bars indicate standard error within each data group. Each line represents the

830 relative proportion of the cells that express a marker and can have other markers simultaneously

831 expressed.

832 E: UMAP of BAT cells from the 3 Cell Line experiment clustered by receptor expression as

833 measured by flow cytometry in the inhibitory panel.

834 F: UMAP of BAT cells from the Cell Line experiment clustered by receptor expression as

835 measured by flow cytometry in the coexpression panel.

Figure 3:

A: OPLS-R model of rate of tumor change predicted by BAT subpopulations from the 3 Cell Line experiment. BATs were binned by CD4 or CD8 expression, and further binned by expression of LAG3, TIGIT, and/or PD-1. Cells expressing more than one receptor were binned in separate categories, with cells expressing all three receptors being designated tri-positive (TP). BATs lacking receptors were designated tri-negative (TN).

B: VIP scores generated by PLS-R model in Figure 3A. Subpopulation impact is designated by the magnitude of the VIP score, with scores of magnitude >1 designated as greater-than-average contribution of that cell subpopulation to tumor reduction. Subpopulations with scores colored tan are associated with reduced rate of tumor change, while subpopulations with scores colored brown are negatively associated with reduced rate of tumor change.

C: Scatter plots comparing the viable cell count of BAT subpopulations (x-axis) to rate of tumor change (y-axis) for subpopulations with VIP scores >1. NCI = normalized cell index.

D: Diagram of BAT developmental progression as assumed by the model. BATs are grouped as

NBATs (LAG3-/TIGIT-), LBATs (LAG3+/TIGIT-), TBATs (LAG3-/TIGIT+), or DBATs

(LAG3+/TIGIT+). PD-1 expression does not factor into grouping and can be present within any

group. These four groups are used to govern tumor reduction in the models presented in Figures

4 and 5.

Figure 4:

A: Diagram representing processes represented in the model. Tumor expansion parameters were

determined by fitting a sigmoidal curve to tumor growth data from control conditions in which BATs

were absent. BAT subgroup expansion was fit to individual splines to capture the count data

determined from flow cytometry. CaliPro was used to fit cytotoxicity rates for each BAT subgroup

to match the experimentally measured BAT and tumor cell counts. Data from the 3 Cancer

experiment was used in parameter determination and is shown in B and C.

B: MCF-7 (left), CAMA1 (center), and T47D (right) cell counts as measured by RTCA (black) and

as predicted by the model (blue). Optimal model prediction in sold blue line, while range of

predictions depicted by dotted blue lines.

C: MCF-7 (left), CAMA1 (center), and T47D (right) cell reduction by BAT phenotype as predicted

by the model. LBAT (orange), NBAT (blue), TBAT (yellow), and DBAT (purple) cumulative

reduction is shown for optimal conditions (solid line) and for total range of predictions (dotted

lines).

Figure 5:

A: MCF-7 cell counts as measured by RTCA (black) and as predicted by the model (blue) when

challenged by Donor 1 (left), Donor 2 (middle), and Donor 3 (right) BATs. Optimal model prediction

in sold blue line, while range of predictions depicted by dotted blue lines.

B: MCF-7 cell reduction by BAT phenotype as predicted by the model when challenged by Donor

1 (left), Donor 2 (middle), and Donor 3 (right) BATs. LBAT (orange), NBAT (blue), TBAT (yellow),

and DBAT (purple) cumulative reduction is shown for optimal conditions (solid line) and for total

range of predictions (dotted lines).