

1 **Channel-mediated astrocytic volume transient is required for synaptic**
2 **plasticity and spatial memory**

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43 **Key words:**

44 Astrocytic volume transient, TREK-1, TRPA1, BDNF, synaptic plasticity, spatial memory

45

46 **Abstract**

47

48 Astrocytes, known for their support roles, are emerging as active participants in synaptic
49 plasticity and cognitive functions. Astrocytes actively regulate synaptic plasticity and memory
50 through dynamic volume transients. Our previous research identified several key molecules,
51 including TREK-1, TRPA1, and Best1 ion channels, as well as the gliotransmitter BDNF, as
52 critical components of astrocytic volume transients. However, the precise mechanisms by which
53 these volume transients influence synaptic plasticity and memory remain poorly understood. In
54 this study, we investigate the roles of TREK-1 and TRPA1 in astrocytic volume dynamics and
55 their downstream effects. Using intrinsic optical signal imaging, electrophysiology, and
56 behavioral assays, we demonstrate that neuronal stimulation induces astrocytic swelling, initiated
57 by K⁺ uptake through TREK-1 channels and regulated by Ca²⁺ influx via TRPA1 channels. This
58 swelling is closely associated short- and long-term potentiation, and is accompanied by the
59 release of BDNF, which restores long-term potentiation under conditions of calcium
60 sequestration during astrocytic calcium clamping experiments. Disruption of astrocytic volume
61 transient associated ion channels results in significant deficits in spatial memory, as evidenced by
62 impairments in object-place recognition and passive avoidance tasks. Furthermore, these
63 channels were found to modulate the synaptic plasticity. These findings reveal astrocytic volume
64 transients and BDNF as pivotal modulators of synaptic plasticity and memory, as well as
65 potential therapeutic targets for addressing memory dysfunctions.

66

67 **1. Introduction**

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69 Synaptic plasticity, the cellular mechanism underlying learning and memory, is a highly
70 dynamic process orchestrated through intricate interactions between neurons and glial cells.¹⁻³
71 Among these, astrocytes—once thought to only play a supportive role—are increasingly
72 recognized as active regulators of synaptic and circuit function. Astrocytic responses to neuronal
73 activity involve transient volume changes driven by ion influx, accompanied by water entry
74 mediated through water channels such as aquaporin-4 (AQP4).⁴ These volume transients have
75 been implicated in modulating the synaptic microenvironment, potentially influencing plasticity
76 and cognitive function.⁵

77 Astrocytic volume transients are closely coupled to neuronal activity.⁴ Potassium uptake,
78 facilitated by TWIK-related K⁺ channel 1 (TREK-1)-containing two pore potassium (K2P)
79 channels, triggers water influx through AQP4. This creates a swelling phenomenon that is
80 followed by chloride efflux via bestrophin-1 (Best1) anion channels, enabling volume recovery.⁴
81 These processes have been linked to synaptic potentiation and memory formation, as
82 demonstrated by recent studies showing impaired long-term potentiation (LTP) and memory in
83 AQP4-deficient models.⁶ Furthermore, the dynamics of astrocytic swelling, captured through
84 intrinsic optical signal (IOS) imaging⁴, provide insight into their contribution to activity-
85 dependent extracellular ion and neurotransmitter homeostasis.⁷ Despite advances in
86 understanding astrocytic contributions to plasticity, several critical gaps remain. While prior
87 research has described the general roles of channels such as TREK-1 and transient receptor
88 potential ankyrin 1 (TRPA1), the specific interplay between astrocytic calcium signaling and the
89 release of gliotransmitters like brain-derived growth factor (BDNF) has not been fully
90 delineated.

91 Among the gliotransmitters released, BDNF stands out due to its high expression levels
92 in the brain and its well-established potent effects on synaptic plasticity.^{8,9} Pioneering research in
93 the early 1990s demonstrated that a stimulation protocol capable of inducing LTP in the
94 hippocampal CA1 region also increases BDNF mRNA expression in neurons.¹⁰ Further
95 investigations revealed that LTP is compromised in BDNF gene-silencing mouse models but can
96 be restored by reintroducing BDNF.^{11,12} BDNF is associated with accelerated synaptogenesis in

97 various subtypes of neurons, as well as improved memory function through NMDA receptor
98 signal activation.^{13,14} Interestingly, it has been demonstrated that astrocytic BDNF is also capable
99 of modulating LTP and memory formation.¹⁵ Notably, the direct influence of astrocytic volume
100 transients and corresponding calcium dynamics on both short-term and long-term plasticity, as
101 well as their implications for spatial memory, remains an area of active investigation.

102 This study addresses these gaps by examining the hypothesis that astrocytic calcium
103 signaling, mediated through volume transient activation of mechanosensitive channels and
104 BDNF release, is essential for regulating synaptic plasticity and memory. Using a combination of
105 electrophysiology, genetic manipulations, and behavioral assays, we investigate how astrocytic
106 mechanisms impact synaptic potentiation and memory. By employing advanced tools such as
107 tamoxifen-inducible Cre-lox systems¹⁶ and pharmacological interventions, we provide new
108 insights into how astrocytes support plasticity and memory through calcium-dependent
109 mechanisms and the targeted release of BDNF. Our findings not only highlight the critical
110 functions of astrocytic volume transients and calcium signaling but also establish a mechanistic
111 link between astrocyte-neuron interactions and memory formation, offering a deeper
112 understanding of glial contributions to brain plasticity and memory.

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115 2. Methods

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117 2.1 Animals

118 Adult male mice (6–10 weeks old) were used in this study. Wildtype mice (C57BL/6; Jackson
119 Laboratory, RRID: IMSR_JAX:000664), TRPA1 knockout (TRPA1 KO) mice, (129 strain;
120 Jackson Laboratory, RRID: IMSR_JAX:006401), GFAP-GFP (JAX stock #003257) mice and
121 their respective wildtype littermates were included. Mice were housed in groups of 3–5 per cage
122 under a standard 12-hour light/dark cycle with ad libitum access to food and water. All
123 experimental procedures were approved by the Institutional Animal Care and Use Committee
124 (IACUC) of the Institute for Basic Science (IBS, Daejeon, Korea; Protocol No. IBS-22-26) and
125 conducted in accordance with institutional and national guidelines for animal care.

126 2.2 Slice preparation

127 Hippocampal slices were prepared as described previously.⁷ Briefly, mice were anesthetized with
128 2–4% isoflurane inhalation and decapitated while under anesthesia. The brains were rapidly
129 extracted and placed in ice-cold, oxygenated (95% O₂, 5% CO₂) high-Mg²⁺ dissection buffer
130 containing the following (in mM): 130 NaCl, 24 NaHCO₃, 3.5 KCl, 1.25 NaH₂PO₄, 1 CaCl₂, 3
131 MgCl₂, and 10 glucose (pH 7.4). Transverse hippocampal slices (300 μm thick) were obtained
132 using a D.S.K. Linear Slicer Pro 7 (Dosaka EM Co., Ltd., Japan). The slices were incubated in
133 the high-Mg²⁺ dissection buffer at room temperature for at least 1 hour to allow for recovery.
134 Subsequently, the buffer was replaced with oxygenated artificial cerebrospinal fluid (aCSF)
135 containing (in mM): 130 NaCl, 24 NaHCO₃, 3.5 KCl, 1.25 NaH₂PO₄, 1.5 CaCl₂, 1.5 MgCl₂, and
136 10 glucose (pH 7.4), and the slices were further recovered before use in intrinsic optical signal
137 (IOS) or field recording experiments.

138 2.3 Intrinsic optical signal recording

139 Intrinsic optical signal recording was performed as previously described.^{4,7,17} Briefly, submerged
140 hippocampal slices were transilluminated using a controlled infrared (IR) light source equipped
141 with an optical filter (775 nm wavelength, Omega Filters). Images were captured using an
142 Olympus BX50WI microscope paired with a Hamamatsu ORCA-R2 digital CCD camera.

143 Imaging was focused on the stratum radiatum of the hippocampal CA1 region. A series of 80
144 images per second was acquired following a 20 Hz, 1-second electrical stimulation. The relative
145 change in transmittance ($\Delta T/T$) was normalized to the baseline, defined as the average
146 transmittance of the five pre-stimulation images. The decay of the intrinsic optical signal (IOS)
147 was calculated by averaging the last 10 seconds of the response, with responses normalized to
148 the peak value.

149 *2.4 Whole-cell recordings of long-term potentiation*

150
151 Whole-cell patch-clamp recordings were conducted on pyramidal neurons within the
152 hippocampal stratum radiatum using a Multiclamp 700B amplifier (Molecular Devices, Union
153 City, NJ, USA). Borosilicate glass patch pipettes (resistance: 5–8 M Ω) were filled with an
154 intracellular solution comprising (in mM): 126 potassium gluconate, 5 HEPES, 0.5 MgCl₂, and
155 10 BAPTA, with the pH adjusted to 7.3 using KOH. For experiments requiring astrocyte
156 labeling, Sulforhodamine 101 (SR101) was loaded into the pipette. Positive pressure was
157 maintained on the pipettes during advancement through the tissue. A concentric bipolar
158 stimulation electrode (FHC, Bowdoin, ME, USA) was positioned approximately 400 μ m from
159 the patched astrocyte. Signals were low-pass filtered at 2 kHz, digitized at 10 kHz using a
160 Digidata 1322A digitizer (Molecular Devices), and analyzed with pClamp 10.2 software
161 (Molecular Devices). Evoked excitatory postsynaptic current (eEPSC) recordings were
162 conducted as previously described.⁷ Briefly, eEPSCs in the CA1 stratum radiatum were induced
163 through Schaffer collateral stimulation with a concentric bipolar electrode. Recording pipettes
164 (resistance: 1–3 M Ω) were filled with artificial cerebrospinal fluid (aCSF). The amplitude of
165 eEPSCs was measured and used for subsequent analyses. LTP was assessed at the CA3-CA1
166 synaptic pathway in the hippocampus using whole-cell patch-clamp recordings from CA1
167 pyramidal neurons. A stimulating electrode was positioned along the Schaffer collateral fibers to
168 evoke eEPSCs at 0.1 Hz. LTP was induced using a theta-burst stimulation (TBS) protocol, which
169 consisted of 10 trains of four half-maximal stimuli delivered at 100 Hz, with a 200 ms inter-train
170 interval, while maintaining the neuron at a holding potential of 0 mV. Baseline eEPSCs were
171 recorded for 5 minutes before TBS to ensure stability. To minimize run-up effects and optimize
172 stimulation conditions, a test pulse (0.1 Hz) was applied during the giga-seal configuration for

173 approximately 10 minutes, allowing fiber stabilization. Stimulation intensity was calibrated to
174 150–200% of the action potential threshold during this phase. LTP protocols were initiated
175 within 10 minutes of achieving whole-cell configuration to reduce potential washout effects from
176 the internal pipette solution. eEPSCs were recorded every 10 seconds with neurons clamped at a
177 holding potential of -60 mV. Recording pipettes (resistance: 6–8 M Ω) were filled with an
178 intracellular solution containing (in mM): 135 cesium methanesulfonate, 8 NaCl, 10 HEPES,
179 0.25 EGTA, 1 Mg-ATP, and 0.25 Na-GTP, adjusted to pH 7.2 with NaOH and an osmolarity of
180 290 mOsm. The eEPSC amplitudes were normalized to the average baseline amplitude for
181 subsequent analysis.

182

183 *2.5 Passive avoidance test*

184 The passive avoidance test was conducted to evaluate associative learning and memory.¹⁸ Mice
185 (6–7 weeks old) were placed in the light compartment of a two-chamber apparatus and allowed
186 to explore freely for 60 seconds. After this habituation period, the door to the dark compartment
187 was raised, and the mice were allowed to explore both compartments freely. The latency to enter
188 the dark compartment with all four paws was recorded as the baseline latency. On day 2 (training
189 session), the mice were again placed in the light compartment, and the latency to enter the dark
190 compartment was recorded. Upon full entry into the dark compartment, a foot shock (0.5 mA, 2-
191 second duration) was delivered 3 seconds after the door closed. Mice were returned to their home
192 cages 30 seconds after the foot shock. On the test day (24 hours post-training), mice were placed
193 back in the light compartment. After 5 seconds, the door to the dark compartment was lifted, and
194 the latency to enter the dark compartment was recorded to assess memory retention.

195 *2.6 Object-place recognition test*

196 Behavioral testing was conducted in a gray Plexiglass box (30 × 30 × 40 cm) equipped with
197 distinct visual cues. Animals underwent 3 days of handling before testing. To habituate to the
198 environment, animals were placed in the arena for 10 minutes on two consecutive days. On the
199 third day, during the training session, animals were exposed to two identical objects placed
200 within the arena for 10 minutes. In the test session, conducted 1 hour later, animals were re-
201 exposed to the same arena for 5 minutes. One of the objects was displaced (Displaced Object),

202 while the other remained stationary (Stationary Object). All sessions were videotaped for
203 subsequent analysis. Exploration behavior was assessed by experimenters blinded to the
204 experimental groups. Exploration time was defined as the duration during which the animal
205 oriented its head toward an object within a distance of less than 1 cm. Performance
206 measurements were expressed as exploration ratios, calculated as follows:

$$207 \quad \text{Stationary Exploration (\%)} = \frac{(\text{Time exploring Stationary Object})}{(\text{Time exploring Stationary Object} + \text{Time exploring Displaced Object})} \times 100$$

208

$$209 \quad \text{Displacement Exploration (\%)} = \frac{(\text{Time exploring Displaced Object})}{(\text{Time exploring Stationary Object} + \text{Time exploring Displaced Object})} \times 100$$

210 *2.7 Stereotaxic Surgery and Viral Injection*

211 Mice (7–8 weeks old) were anesthetized with 3–5% isoflurane inhalation and positioned in a
212 stereotaxic frame. During surgical procedures, the isoflurane concentration was reduced to 1–
213 3%. Each surgery was completed within 1 hour per mouse. Viral constructs, including pSicoR
214 lentivirus containing shRNA targeting TREK-1 (pSicoR-TREK-1-shRNA-mCherry), TRPA1
215 (pSicoR-TRPA1-shRNA-mCherry), and BDNF (pSicoR-BDNF-shRNA-mCherry), as well as
216 scrambled controls (pSicoR-scrambled-shRNA-mCherry), were loaded into a microdispenser
217 (VWR, Radnor, PA, USA) for bilateral injection into the hippocampal CA1 region (–1.7 mm
218 AP, ± 1.7 mm ML, 1.8 mm DV from the dura). A total volume of 2 µl per hemisphere was
219 injected at a rate of 0.3 µl/min using a 25 µl syringe connected to a syringe pump (KD Scientific,
220 USA). The stereotaxic coordinates for the injection site were 1.7 mm lateral to the bregma and
221 1.9 mm beneath the skull. To achieve glial-specific gene rescue, the target shRNA cassette was
222 flanked by loxP sites to enable Cre-loxP recombination, which excised the shRNA cassette and
223 inactivated the target shRNA.¹⁹ Selective retention of target gene expression in glial cells was
224 achieved by injecting the virus into transgenic mouse lines that conditionally express Cre
225 recombinase in glial cells, including hGFAP-CreERT2 and ALDH1/1-CreERT2.^{20,21} For
226 experiments involving BDNF rescue, a ALDH1/1-CreERT2 mouse line crossed with BDNF
227 floxed (BDNF fl/fl) mice was used. CreERT2 activation was induced by intraperitoneal
228 administration of tamoxifen (1 mg dissolved in sunflower oil) or sunflower oil as a control,
229 administered once daily for 7 consecutive days prior to shRNA injection. All experiments,

230 including behavioral analyses and electrophysiological recordings, were performed under
231 blinded conditions.

232

233 *2.8 Chemicals*

234 Calcium Chelation with BAPTA

235 BAPTA, a high-affinity calcium chelator, was utilized to disrupt intracellular calcium dynamics
236 in astrocytes. For experiments requiring calcium chelation, BAPTA was incorporated into the
237 intracellular solutions of glass micropipettes. The specific compositions of the internal solutions
238 were as follows (concentrations in mM): (1) 10 potassium BAPTA and 68 potassium gluconate,
239 (2) 40–60 potassium BAPTA, or (3) 0.1–1 potassium EGTA and 108 potassium gluconate. The
240 osmolarity of all intracellular solutions was adjusted to 285 mOsmol using appropriate
241 osmolarity correction methods, and the pH was titrated to 7.2 using potassium hydroxide (KOH).
242 These preparations ensured compatibility with intracellular physiology during patch-clamp
243 recordings.

244 Astrocyte Identification with SR101

245 SR101 (1 $\mu\text{mol/L}$; product code S7635, Sigma-Aldrich, St. Louis, MO, USA), a red fluorescent
246 xanthene derivative, was employed to facilitate astrocyte identification. SR101 was included in
247 the intracellular solution of the patch pipette at the specified concentration. Following the
248 successful patching of an astrocyte, SR101 was allowed to diffuse through the astrocytic
249 syncytium via gap junctions. This diffusion enabled the fluorescent labeling and subsequent
250 visualization of the astrocyte network, thereby indicating astrocyte identity in experimental
251 preparations.

252 *2.9 Statistical analysis*

253

254 Data are presented as means \pm standard error mean (S.E.M.). Statistical analyses and graphing
255 were performed using Prism 7 (GraphPad, San Jose, CA, USA) and SigmaPlot (Systat Software,
256 San Jose, CA, USA). For comparisons between two groups, statistical significance was
257 determined using a two-tailed Student's t-test. For comparisons involving multiple groups, one-

258 way or two-way analysis of variance (ANOVA) was employed. When significant interactions
259 were detected between groups, post hoc analyses were conducted using Bonferroni, Dunnett, or
260 multiple t-tests. In specific cases, one-way ANOVA followed by Bonferroni, Dunnett, or Tukey
261 post hoc tests was applied to identify homogeneous subsets.

262 **3. Results**

263

264 **3.1 Astrocytic TREK-1 is essential for synaptic plasticity and spatial memory**

265

266 To investigate the relationship between astrocytic volume transients and synaptic plasticity, we
267 employed the intrinsic optical signal (IOS) imaging technique^{17,22,23} in order to indirectly observe
268 transient volume changes in real-time from hippocampal slices by detecting light transmittance
269 during intense neuronal activity. The neuronal activity-induced volume transient was defined as
270 the astrocytic volume change occurring within one minute of intense neuronal activity. IOS
271 imaging and electrophysiological recordings were conducted simultaneously in CA1 neurons of
272 the hippocampus (Fig. 1A) Stimulating electrodes were positioned in the stratum radiatum of the
273 CA3-CA1 Schaffer collateral pathway, enabling precise correlation between light transmittance
274 changes and synaptic plasticity. LTP was induced using a theta-burst stimulation (TBS) protocol,
275 which consisted of 10 trains of four half-maximal stimuli delivered at 100 Hz, with a 200 ms
276 inter-train interval, while maintaining the neuron at a holding potential of 0 mV. Baseline
277 eEPSCs were recorded for 5 minutes before TBS to ensure stability. TBS elicited a rapid
278 increase in change of light transmittance, accompanied by a simultaneous increase in normalized
279 amplitude of eEPSC, occurring within seconds (Fig. 1B). During short-term potentiation (STP),
280 the normalized amplitude of eEPSC exhibited a decline (exponential decay kinetics) over 10
281 minutes before stabilizing into long-term potentiation at over 150% of baseline, while light
282 transmittance returned to baseline within the same timeframe. These results indicate that the IOS
283 changes mirror the dynamics of STP, with both signals showing a temporally correlating
284 reduction following TBS.

285 To explore the role of astrocytic TREK-1 in synaptic plasticity, we employed GFAP-
286 CreERT2 transgenic mice for astrocyte-specific gene silencing using a tamoxifen-inducible Cre-
287 lox system (Fig. 1C). Mice received tamoxifen injections for 7 days, followed by bilateral CA1
288 injections of lentivirus encoding either shTREK-1_mCherry or control shSCR_mCherry. Seven
289 days after viral delivery, hippocampal slices were prepared for electrophysiological recordings.
290 In control mice (pSicoR-shSCR Tam (-)), TBS reliably induced a robust STP and LTP,
291 evidenced by sustained increases in normalized eEPSC amplitudes (Fig. 1D-1F). In contrast,

292 TREK-1 gene-silencing (pSicoR-shTREK-1 Tam (-)) significantly impaired both STP and LTP,
293 as shown by a diminished eEPSC peak and a gradual return toward baseline. Importantly,
294 astrocytic TREK-1 rescue (pSicoR-shTREK-1 Tam (+)) restored STP and LTP, with normalized
295 eEPSC amplitudes comparable to controls (Fig. 1E and 1F). Quantitative analysis showed a
296 significant reduction in post-TBS eEPSC amplitudes in TREK-1-deficient mice compared to
297 both control and astrocytic TREK-1-rescue groups, indicate the necessity of astrocytic TREK-1
298 for synaptic plasticity.

299 A mechanistic model for astrocytic TREK-1 function in synaptic plasticity involves
300 potassium buffering during synaptic activity (Fig. 1G). TREK-1 channels mediate astrocytic
301 potassium efflux, preventing extracellular potassium accumulation that could impair neuronal
302 function. This efflux generates an osmotic gradient, facilitating water influx through astrocytic
303 aquaporin-4 (AQP4) channels. The resulting astrocytic swelling may influence synaptic
304 plasticity through mechanical signaling at neuron-astrocyte interfaces. In TREK-1-deficient
305 astrocytes, impaired potassium buffering likely disrupts these processes, leading to reduced
306 synaptic plasticity.²⁴

307 We further examined the behavioral consequences of TREK-1 deficiency using passive
308 avoidance and object place recognition tests (Fig. 1H and 1J). TREK-1-deficient mice exhibited
309 impaired retention in the passive avoidance task compared to controls, as reflected by
310 significantly reduced latency during the retention phase (Fig. 1I). Similarly, in the object place
311 recognition task, TREK-1-deficient mice showed reduced exploration of displaced objects,
312 indicating deficits in spatial memory (Fig. 1K). Astrocytic TREK-1 rescue restored performance
313 in both tasks to levels comparable to controls, suggesting a critical function of astrocytic TREK-
314 1 in spatial memory formation. Together, these findings depict an essential role of astrocytic
315 TREK-1 in synaptic plasticity and memory, facilitated through its TREK-1-mediated volume
316 transient function during potassium buffering.

317

318 **3.2 Astrocytic TRPA1 deficiency disrupts synaptic plasticity and memory formation**

319 To explore the potential role of astrocytic volume transient-induced calcium signaling in synaptic
320 plasticity and memory, we investigated TRPA1 channels due to their mechanosensitive
321 characteristics and function in astrocytic calcium signaling. The role of astrocytic TRPA1 in

322 synaptic plasticity was examined through electrophysiological recordings of eEPSCs in
323 hippocampal slices obtained from TRPA1 WT and KO mice. Following TBS, TRPA1 WT mice
324 displayed strong LTP, evident in a sustained elevated eEPSC amplitude over 60 minutes (Fig.
325 2A). In contrast, TRPA1 KO mice showed markedly impaired LTP compared to TRPA1 WT
326 mice, with eEPSC amplitudes declining to baseline by the end of the recording period (Fig. 2A).
327 STP was assessed and found to remain unchanged between TRPA1 KO and WT mice (Fig. 2B),
328 as indicated by comparable eEPSC amplitudes immediately following TBS. This finding
329 suggests that TRPA1 deficiency specifically affects long-term synaptic plasticity rather than STP
330 mechanisms. Quantitative analysis further demonstrated the significant differences in LTP
331 between TRPA1 WT and KO mice. eEPSC amplitudes were significantly lower in TRPA1 KO
332 mice compared to TRPA1 WT mice during the sustained phase of LTP (Fig. 2C). These results
333 collectively indicate that astrocytic membrane stretching during the astrocytic volume transient
334 process activates the mechanosensitive function of TRPA1 channels, contributing to their
335 involvement in LTP. Furthermore, the reduction in eEPSC amplitudes observed in LTP but not in
336 STP in TRPA1 KO mice suggests that STP is upstream of the involvement of TRPA1 in synaptic
337 plasticity, emphasizing a specific role for TRPA1 in the later stages of synaptic potentiation.

338 Mechanistically, astrocytic TRPA1 channels appear to contribute to synaptic plasticity by
339 responding to astrocytic swelling induced by neuronal activity. Potassium and water influx in
340 astrocytes during synaptic activity activate TRPA1 channels via mechanosensitive gating,
341 initiating calcium influx and subsequent gliotransmitter release (Fig. 2D). The absence of
342 TRPA1-mediated calcium signaling in KO mice likely interrupts critical astrocyte-neuron
343 communication, impairing LTP.

344 The behavioral relevance of TRPA1 deficiency was evaluated using the passive
345 avoidance and object place recognition tasks. TRPA1 KO mice exhibited significantly shorter
346 retention latencies in the passive avoidance test compared to WT mice, indicating long-term
347 memory deficits (Fig. 2E, 2F). Similarly, TRPA1 KO mice showed reduced exploration of
348 displaced objects in the object place recognition test, indicative of spatial memory impairments
349 (Fig. 2G, 2H). These results uncover an essential role of astrocytic TRPA1 in maintaining
350 synaptic plasticity and supporting memory functions through its involvement in calcium
351 signaling.

352

353 **3.3 Astrocytic calcium and BDNF as determinants of synaptic plasticity and memory**

354

355 To elucidate the role of astrocytic signaling in synaptic plasticity, we adopted the necessity and
356 sufficiency experimental framework, modeled after the approach used in a previous study²⁵,
357 where astrocytic calcium was clamped, and plasticity was subsequently rescued with a
358 gliotransmitter. Following this paradigm, we sought to demonstrate that astrocytic calcium
359 signaling is necessary for synaptic plasticity. To establish necessity, astrocytes within the CA1 of
360 hippocampal slices from B6-GFAP-GFP mice were patched with micropipettes loaded with the
361 high-affinity calcium chelator BAPTA and SR101, a red fluorescent xanthene dye that diffuses
362 through gap junctions (Fig. 3A). SR101 diffusion enabled clear visualization of the astrocyte
363 syncytium, ensuring astrocyte specificity of the calcium clamp. BAPTA sequestered intracellular
364 calcium, effectively clamping astrocytic calcium dynamics, as previously described.²⁵ In
365 BAPTA-loaded syncytial astrocyte slices, TBS failed to induce STP or LTP, as indicated by
366 eEPSC amplitudes not significantly exceeding baseline value following TBS (Fig. 3B-3D).
367 These findings demonstrate that astrocytic volume transient-induced calcium signaling is
368 indispensable for mediating synaptic plasticity. To assess whether astrocytic calcium signaling
369 modulates synaptic plasticity via the release of BDNF, we examined whether BDNF alone is
370 sufficient to restore synaptic plasticity in conditions of calcium depletion, given its well-
371 established roles in synaptic plasticity and memory.⁸ Sufficiency was assessed by introducing
372 exogenous BDNF into the same experimental setup (Fig. 3B). Exogenous BDNF treatment in
373 BAPTA-treated slices fully restored STP and LTP, elevating eEPSC amplitudes during the final
374 10 minutes of recording to levels comparable to untreated controls (Fig. 3C and 3D). These
375 results indicate that BDNF is sufficient to compensate for the loss of calcium-dependent
376 astrocytic signaling.

377 To further explore the necessity of astrocytic BDNF, GFAP-CreERT2 mice were utilized
378 to conditionally silence general BDNF expression. Mice received tamoxifen injections to activate
379 Cre, followed by bilateral CA1 lentiviral injections of shBDNF (Fig. 3E). In slices from these
380 animals, TBS-induced LTP was significantly impaired, with eEPSC amplitudes rapidly declining
381 post-TBS (Fig. 3F). STP, however, was unaffected (Fig. 3G), indicating that astrocytic BDNF
382 specifically contributes to sustained synaptic plasticity. Restoration of astrocytic BDNF

383 expression via tamoxifen rescue significantly increased LTP (Fig. 3H), proposing astrocytic
384 BDNF as a critical downstream effector of calcium signaling.

385 These findings demonstrate that astrocytic calcium signaling is essential for
386 gliotransmitter release, with astrocytic BDNF serving as both a necessary and sufficient mediator
387 of LTP. This expands on the necessity and sufficiency framework established for D-serine in
388 synaptic plasticity, implicating BDNF as a pivotal component of astrocytic regulation of LTP.
389 Mechanistically, BDNF release is driven by calcium influx through mechanosensitive TRPA1
390 channel activation, triggered by astrocytic swelling during potassium and water uptake, which
391 may enhance synaptic plasticity through pre-, post-, and peri-synaptic activation of tyrosine
392 receptor kinase B (Trk-B) (Fig. 3I).

393 To assess the impact of astrocytic BDNF deficiency on memory, we performed passive
394 avoidance (Fig. 3J–3M) and object place recognition tests (Fig. 3N and 3O). In the passive
395 avoidance task, we used GFAP-CreERT2 transgenic mice to induce general BDNF gene-
396 silencing or rescue with tamoxifen (Fig. 3J). These behavioral assays revealed significant deficits
397 in both spatial and long-term memory. Mice lacking BDNF exhibited reduced retention latency,
398 indicative of impaired long-term memory consolidation (Fig. 3K). Astrocytic BDNF rescue via
399 tamoxifen administration restored retention latency to durations similar to controls. To further
400 explore whether these effects extended beyond the GFAP-positive astrocyte population, as well
401 as to determine the effects of astrocyte-specific gene-silencing of BDNF, we utilized ALDH1/1-
402 CreERT2 x BDNF fl/fl mice, which target a broader subset of astrocytes (Fig. 3L).^{15,26}
403 Tamoxifen-mediated removal of astrocytic BDNF led to significant retention deficits,
404 demonstrating the necessity of astrocytic BDNF in spatial memory (Fig. 3M). The object place
405 recognition test was utilized to further validated the necessity of BDNF for long-term memory
406 (Fig. 3N). In control mice, a greater proportion of time was spent exploring displaced objects,
407 reflecting intact spatial memory (Fig. 3O). In contrast, ALDH1/1-CreERT2 x BDNF fl/fl mice
408 with tamoxifen-induced astrocytic BDNF deficiency showed impaired spatial memory, as
409 indicated by diminished exploration of displaced objects. Together, these findings demonstrate
410 an integral role of astrocytic BDNF in synaptic plasticity and memory processes, possibly
411 mediated through astrocytic volume transient- and calcium-dependent mechanisms.

412

413 **4. Discussion**

414

415 This study elucidates the critical role of astrocytic volume transients in regulating
416 synaptic plasticity and memory formation, with TREK-1-mediated potassium buffering, TRPA1-
417 dependent calcium signaling, and astrocytic BDNF release emerging as key components of this
418 process. By integrating these findings with prior research^{4,7,25}, we provide a cohesive framework
419 highlighting astrocytic contributions to synaptic plasticity and cognitive functions. We propose a
420 comprehensive model in which 1) astrocytic TREK-1 channels initiate potassium buffering and
421 volume transients, 2) TRPA1 channels convert these mechanical signals into calcium influx, and
422 3) the resulting astrocytic release of BDNF enhances synaptic plasticity. The dynamic interplay
423 between synaptic activity and astrocytic volume transients alludes to their role in synaptic
424 plasticity. Our observations of synchronous increases in IOS changes and normalized eEPSC
425 amplitudes following TBS (Fig. 1B) illustrate the precise coupling between astrocytic responses
426 and synaptic plasticity. The rapid rise and subsequent normalization of IOS signals, mirroring the
427 decay kinetics of STP, suggest that astrocytic volume transients actively contribute to synaptic
428 potentiation. This mechanism facilitates sustained elevation in eEPSC amplitudes that stabilize at
429 over 50% of the baseline levels during LTP.

430 Our findings demonstrate that TREK-1 plays a pivotal role in modulating both STP and
431 LTP, as evidenced by significant impairments in these processes following TREK-1 gene-
432 silencing, accompanied by deficits in spatial and long-term memory tasks, underscoring the
433 importance of TREK-1 in astrocytic volume dynamics and, consequently, synaptic plasticity and
434 memory. In contrast, our data indicate that TRPA1 channels, while essential for LTP, do not
435 influence STP, suggesting a TREK-1-specific mechanism in the initial phases of synaptic
436 potentiation. Recent findings by Woo et al. provide compelling support for this conclusion by
437 identifying TREK-1 as a mediator of fast, calcium-independent glutamate release from
438 astrocytes.²¹ This mode of release is initiated through the activation of $G_{\alpha i}$ -protein coupled
439 receptor signaling and the dissociation of $G_{\beta\gamma}$ subunits, which directly interact with TREK-1 to
440 open its channel to release glutamate.²¹ It is possible that this rapid glutamate release could target
441 metabotropic glutamate receptors facilitating transient synaptic enhancement characteristic of
442 STP. However, this possibility has not been tested in this study. Because TREK-1-mediated

443 release of glutamate requires activation of $G_{\alpha i}$ -protein coupled receptor signaling, the TREK-1
444 mediated volume transients may not involve glutamate release through TREK-1. These
445 possibilities require future investigations.

446 Our findings demonstrate that TRPA1 deficiency impairs LTP without affecting STP,
447 indicating that TRPA1-mediated calcium signaling is essential for LTP, but not STP. Similarly,
448 BDNF gene-silencing disrupts LTP while sparing STP, further supporting that STP is regulated
449 by mechanisms independent of TRPA1-mediated astrocytic calcium signaling. These findings,
450 distinct mechanisms for STP and LTP, emphasize the role of astrocytic volume dynamics in
451 regulating STP. Recent work conducted by Ucar et al., 2021 and Kasai et al., 2023 attribute STP
452 to mechanical transmission initiated by post-synaptic spine swelling following neuronal activity,
453 which causes bumping into the presynaptic neuron, thereby increasing presynaptic
454 neurotransmitter release through enhanced SNARE assembly.^{27,28} Based on our study, we
455 propose an alternative in which astrocytic volume transients may underlie mechanical
456 transmission in STP through astrocytic bumping of the pre-synaptic membrane. This proposed
457 idea is supported by our findings that TREK-1 gene-silencing—known to impair astrocytic
458 volume changes⁴—reduces STP, while TREK-1 restoration rescues it. This exciting possibility
459 warrants further investigation.

460 The foundational work by Henneberger et al. demonstrated that astrocytic calcium
461 signaling is necessary for synaptic plasticity, largely through the release of D-serine as a
462 gliotransmitter to activate NMDA receptor co-agonist sites.²⁵ While this work underscored D-
463 serine's importance in LTP, emerging evidence^{29,30}—including findings from our investigation—
464 suggests that astrocytic BDNF serves as a more versatile and potent modulator of LTP. Recent
465 research, such as that of Koh et al., demonstrated that astrocytic D-serine contributes
466 predominantly to heterosynaptic long-term depression (LTD), a process integral to cognitive
467 flexibility.³⁰ These findings, while highlighting D-serine's role in synaptic plasticity, reveal its
468 relatively specialized function in heterosynaptic LTD but not in homosynaptic LTP, contrasting
469 with the broader and multifaceted effects of astrocytic BDNF. Unlike D-serine, which acts
470 primarily by enhancing post-synaptic NMDAR activity, astrocytic BDNF exerts influence across
471 pre-, post-, and peri-synaptic membranes via TrkB receptors.^{8,14,31} Our study demonstrates that
472 BDNF is both necessary for LTP induction and sufficient for LTP restoration under conditions of
473 disrupted astrocytic calcium dynamics, as evidenced by experiments involving BAPTA-mediated

474 calcium clamping and targeted astrocytic gene-silencing. Importantly, behavioral assays revealed
475 significant impairments in memory and plasticity following astrocyte-specific BDNF gene-
476 silencing, further supporting its critical role in spatial and long-term memory. The work by Koh
477 et al. reinforces our findings by elucidating the mechanism by which astrocytic D-serine release
478 regulates NMDAR tone and LTD.³⁰ However, their data also underscore the limitations of D-
479 serine as a modulator of plasticity, given its confined role in regulating NMDAR-dependent LTD
480 rather than LTP. Collectively, these results suggest that astrocytic BDNF, with its capacity to
481 modulate diverse synaptic targets and restore LTP under impaired calcium signaling, represents a
482 more comprehensive gliotransmitter for LTP than D-serine.

483 While our findings highlight the roles of TREK-1-mediated astrocytic volume transients
484 and TRPA1-dependent BDNF release in synaptic plasticity, future studies leveraging advanced
485 optogenetic tools, such as Opto-Stim1³², could enable more precise astrocyte-specific calcium
486 modulation, offering a system to directly investigate the interplay between astrocytic calcium
487 signaling, BDNF release, and memory. In addition, while we successfully identified behavioral
488 deficits linked to impaired LTP in long-term memory tasks, we did not explore the functional
489 implications of impaired STP on short-term memory. Implementation of short-term memory
490 tasks, such as Y-maze alternation and brief-interval novel object recognition, could shed light on
491 the specific contributions of astrocytic volume transients to short-term memory.

492 In conclusion, this study establishes astrocytic volume transients as integral components
493 of synaptic plasticity and memory. By elucidating the roles of TREK-1, TRPA1, and BDNF, we
494 provide a comprehensive framework for understanding astrocyte-neuron interactions via physical
495 volume changes and their impact on cognition. These findings lay the groundwork for novel
496 therapeutic approaches targeting astrocytic volume pathways to enhance synaptic plasticity and
497 memory.

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499 **Author Contributions**

500

501 C.J.L. conceived and designed this project. J.W., J.M.L., and JH.W. performed the experiments.
502 J.W., J.M.L., and V.J.D. analyzed the data. V.J.D. wrote the manuscript with inputs from other
503 authors. C.J.L. edited the manuscript.

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513 **Conflicts of Interest**

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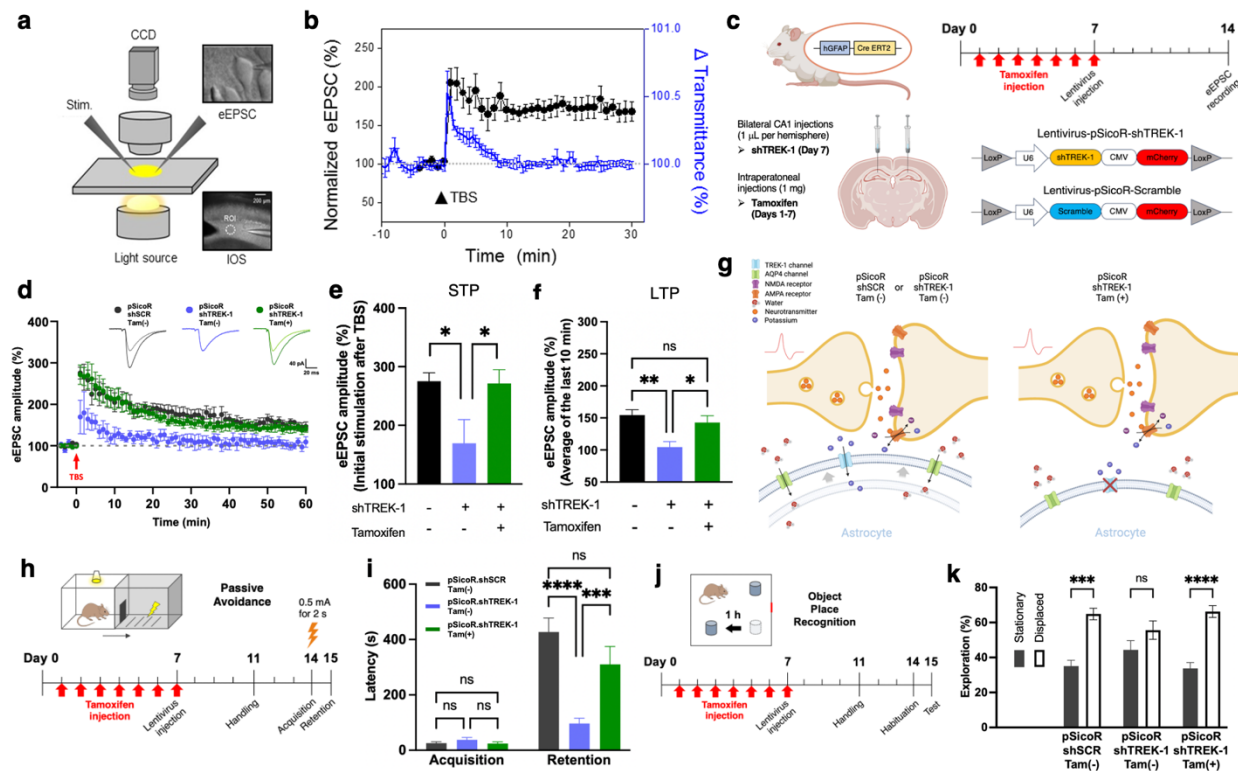
515 The authors declare no conflicts of interest.

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517 **Data Availability Statement**

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519 The data that support the findings of this study are available on request from the corresponding
520 author.



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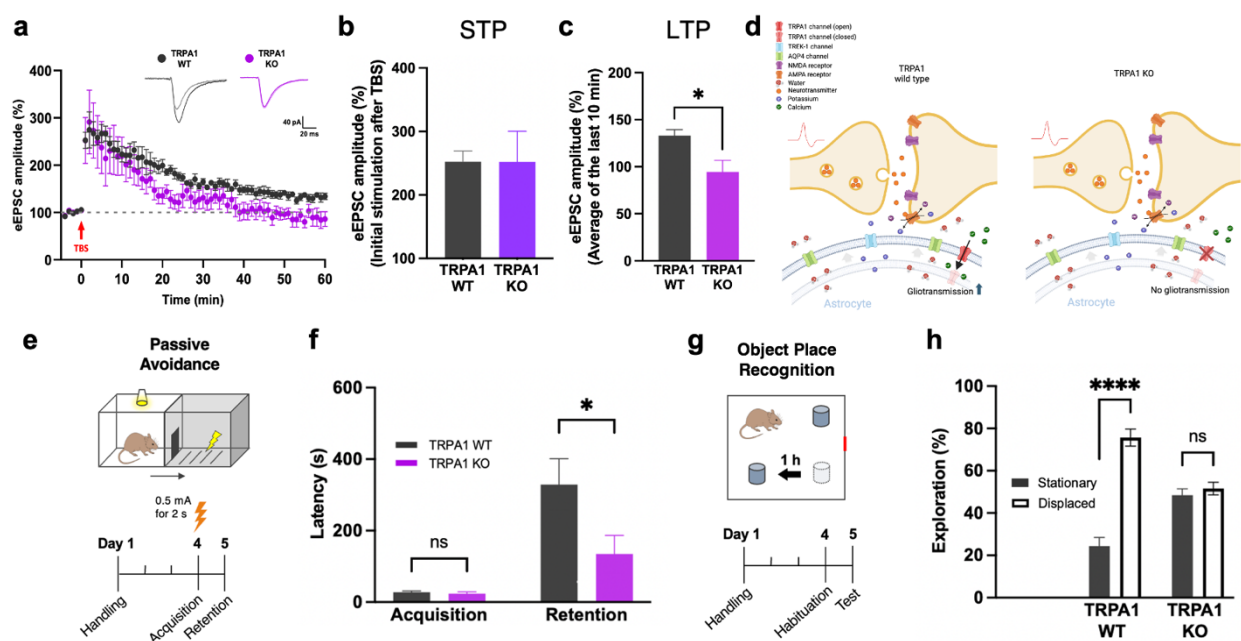
522 **Figure 1. Astrocytic TREK-1 is essential for synaptic plasticity and spatial memory.**

523 **(a)** Schematic representation of the IOS imaging and electrophysiological setup. The CA1 region
 524 of hippocampal slices was illuminated using an infrared light source, and changes in
 525 transmittance ($\Delta T/T$) were recorded. eEPSCs were simultaneously measured using whole-cell
 526 patch-clamp techniques. **(b)** IOS and eEPSC responses to TBS. TBS induces a rapid transient
 527 decrease in IOS (blue) corresponding with a significant increase in normalized eEPSC
 528 amplitudes (black). These changes reflect activity-dependent synaptic modifications. Data
 529 represent mean \pm SEM. **(c)** Experimental design for astrocyte-specific TREK-1 knockdown and
 530 rescue. GFAP-Cre.ERT2 transgenic mice received bilateral CA1 injections of lentivirus
 531 encoding shTREK-1 or scrambled shRNA (control). Tamoxifen was administered for 7 days to
 532 induce astrocyte-specific TREK-1 knockdown, followed by electrophysiological assays 7 days
 533 post-injection. **(d)** Representative traces and quantification of eEPSC amplitude during 60
 534 minutes post-TBS. TREK-1-deficient mice (pSicoR-shTREK-1 Tam(-)) exhibit significantly
 535 impaired short-term potentiation (STP) and long-term potentiation (LTP) compared to controls
 536 (pSicoR-shSCR Tam(-)). TREK-1 rescue (pSicoR-shTREK-1 Tam(+)) restores normal synaptic
 537 plasticity. **(e, f)** Quantification of eEPSC amplitudes immediately after TBS (e) and at the final

538 10 minutes of recording **(f)**. TREK-1 knockdown reduces synaptic potentiation, which is rescued
539 by TREK-1 re-expression. Statistical comparisons: one-way ANOVA with post hoc tests (* $p <$
540 0.05; ** $p <$ 0.01; ns = not significant). **(g)** Mechanistic model for TREK-1-mediated regulation
541 of astrocytic volume transient. TREK-1 channels mediate potassium influx during synaptic
542 activity, creating osmotic gradients that facilitate water influx via AQP4. The resulting astrocytic
543 swelling may mechanically modulate synapse function. In TREK-1-deficient astrocytes,
544 impaired potassium buffering disrupts these processes, reducing synaptic efficacy. **(h-k)**
545 Behavioral consequences of TREK-1 deficiency. **(h)** Passive avoidance task timeline. **(i)** TREK-
546 1-deficient mice exhibit reduced retention latency, indicative of long-term memory deficits. **(j)**
547 Object-place recognition test timeline. **(k)** TREK-1-deficient mice display reduced exploration of
548 displaced objects, indicating spatial memory impairments. TREK-1 rescue restores normal
549 performance. Statistical comparisons: two-way ANOVA with post hoc tests (*** $p <$ 0.001;
550 **** $p <$ 0.0001; ns = not significant). Data represent mean \pm SEM.

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555 **Figure 2. Astrocytic TRPA1 is essential for synaptic plasticity and spatial memory.**

556 **(a)** Time-course of eEPSC amplitudes recorded from hippocampal CA1 neurons following TBS.

557 TRPA1 WT mice exhibit sustained LTP over 60 minutes, whereas TRPA1 KO mice show

558 impaired LTP with eEPSC amplitudes returning to baseline. Representative traces are shown

559 above the graph for TRPA1 WT (black) and TRPA1 KO (purple). Data are presented as mean \pm

560 SEM. **(b, c)** Quantification of eEPSC amplitudes immediately after TBS **(b)** and during the final

561 10 minutes of recording **(c)**. TRPA1 KO mice exhibit significantly reduced eEPSC amplitudes

562 compared to TRPA1 WT mice, indicating a critical role for TRPA1 in maintaining LTP.

563 Statistical analysis: Student's t-test (* $p < 0.05$; ns = not significant). **(d)** Schematic model

564 illustrating the role of TRPA1 in astrocyte-neuron signaling. In TRPA1 WT astrocytes, potassium

565 and water influx during synaptic activity activate mechanosensitive TRPA1 channels, leading to

566 calcium influx and gliotransmitter release. This enhances synaptic efficacy. In TRPA1 KO

567 astrocytes, the absence of calcium signaling prevents gliotransmitter release, impairing synaptic

568 plasticity. **(e-h)** Behavioral analyses reveal deficits in long-term memory in TRPA1 KO mice. **(e)**

569 Passive avoidance test timeline. **(f)** TRPA1 KO mice exhibit significantly reduced retention

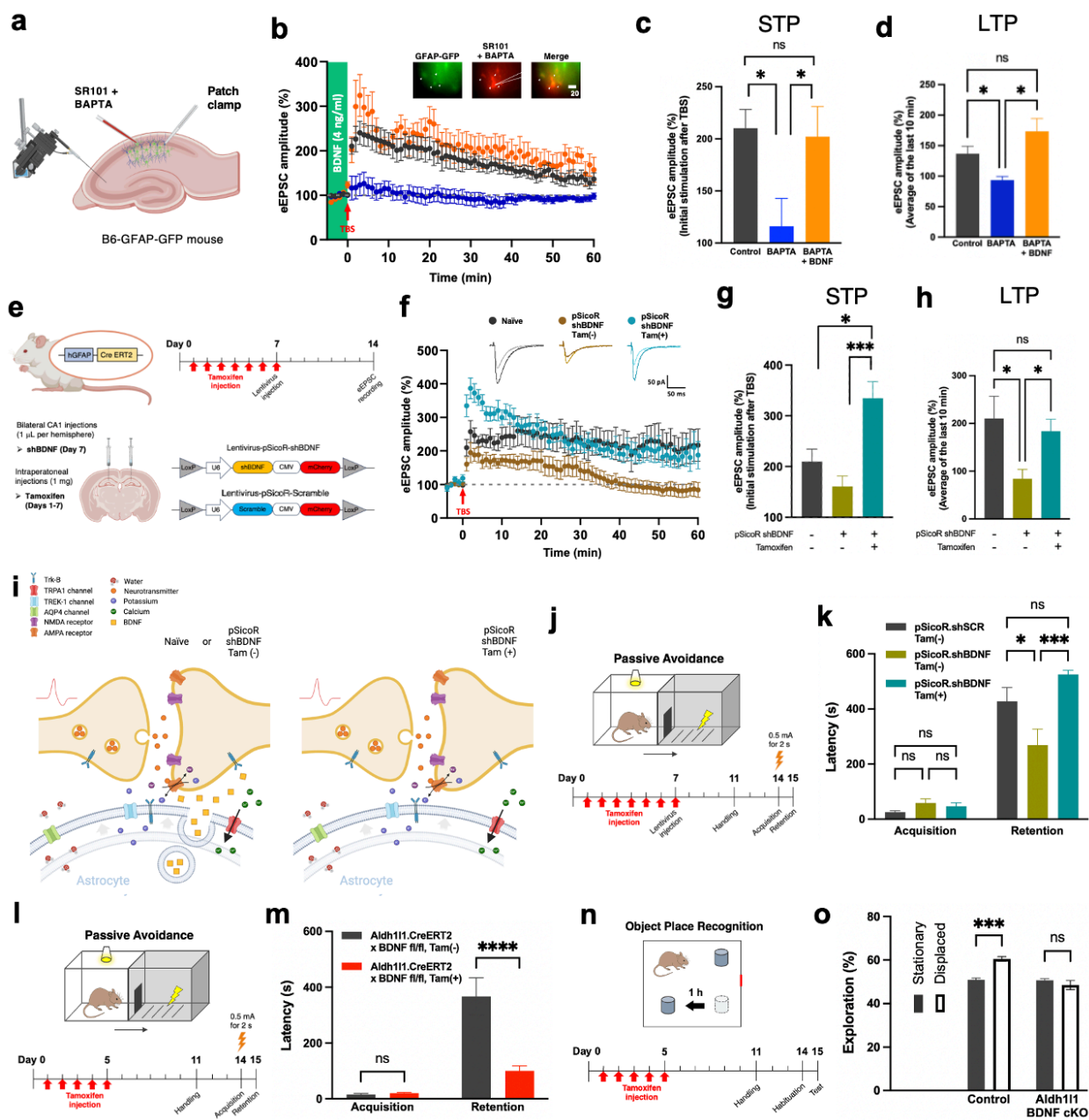
570 latency compared to TRPA1 WT mice, indicating impaired associative memory. **(g)** Object-place

571 recognition test timeline. **(h)** TRPA1 KO mice display reduced exploration of displaced objects,

572 reflecting deficits in spatial memory. Statistical analysis: two-way ANOVA with post hoc tests
573 (* $p < 0.05$; **** $p < 0.0001$; ns = not significant). Data are presented as mean \pm SEM.

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578 **Figure 3. Astrocytic BDNF supports synaptic plasticity and memory by modulating calcium**
579 **dynamics.**

580 (a) Schematic of hippocampal slice preparation and treatment with BAPTA and SR101.
581 Astrocytes were loaded with BAPTA to chelate intracellular calcium, and SR101 dye was used
582 for astrocyte visualization. (b) Time-course of eEPSC amplitudes following TBS in hippocampal
583 slices. Control slices show robust LTP, while BAPTA-treated slices exhibit impaired LTP.

584 Supplementing BAPTA-treated slices with BDNF restored LTP. Insets show astrocytic SR101
585 labeling and BAPTA loading. Data are presented as mean \pm SEM. **(c, d)** Quantification of eEPSC
586 amplitudes immediately after TBS **(c)** and during the final 10 minutes of recording **(d)**. BAPTA
587 treatment reduces synaptic potentiation, which is rescued by BDNF supplementation. Statistical
588 comparisons: one-way ANOVA with post hoc tests (* $p < 0.05$; ns = not significant). **(e)**
589 Experimental timeline for astrocyte-specific knockdown and rescue of BDNF. Mice received
590 bilateral CA1 injections of lentivirus encoding shBDNF or scrambled control. Astrocytic BDNF
591 expression was restored via tamoxifen treatment. **(f)** eEPSC amplitude time-course following
592 TBS in naïve, shBDNF, and tamoxifen-treated shBDNF mice. BDNF knockdown impairs LTP,
593 while tamoxifen-mediated rescue restores normal synaptic plasticity. Representative traces are
594 shown above the graph. **(g, h)** Quantification of eEPSC amplitudes immediately after TBS **(g)**
595 and during the final 10 minutes of recording **(h)**. Statistical analysis confirms significant rescue
596 of LTP in tamoxifen-treated shBDNF mice (* $p < 0.05$; ** $p < 0.01$; ns = not significant). **(i)**
597 Schematic model illustrating the role of astrocytic BDNF in synaptic plasticity. Calcium influx
598 through TRPA1 channels in astrocytes triggers BDNF release, enhancing presynaptic
599 neurotransmitter release. In BDNF-deficient astrocytes, this pathway is disrupted, impairing
600 synaptic efficacy and plasticity. **(j-o)** Behavioral assessments of BDNF function in memory. **(j, l)**
601 Passive avoidance task timelines. **(k, m)** BDNF knockdown reduces retention latency, reflecting
602 deficits in long-term memory, which are rescued by tamoxifen-mediated restoration of astrocytic
603 BDNF. **(n)** Object-place recognition test timeline. **(o)** Exploration ratios during object-place
604 recognition. BDNF knockdown impairs spatial memory, as indicated by reduced exploration of
605 displaced objects. Tamoxifen treatment restores performance. Statistical analysis: two-way
606 ANOVA with post hoc tests (** $p < 0.001$; **** $p < 0.0001$; ns = not significant). Data are
607 presented as mean \pm SEM.

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