Title: Spatial dynamics of the tumor microenvironment linked to

emerging resistance in *EGFR*-mutated lung cancer

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Abstract: Epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs)

provide therapeutic benefit in *EGFR* mutation–positive non–small cell lung cancer, but

some individuals develop early resistance. We performed spatial transcriptomics

analysis of pre- and posttreatment tumor samples from the same patients to explore the

underlying mechanisms of such early resistance. The proportion and activation of

fibroblasts increased in association with the development of early resistance, whereas a

distinct tumor cell cluster showed activation of tumor necrosis factor–α signaling via the

NF-κB pathway even before treatment. Also in the early resistance sample, specific

tumor cell clusters interacted with immune and stromal cells. Immature tertiary

lymphoid structures (TLSs) were enriched in the early resistance sample, whereas

mature TLSs were observed in the long-term response sample. These findings implicate

tumor heterogeneity and an inflammatory tumor microenvironment in early EGFR-TKI

resistance, providing insight into potential therapeutic strategies to improve treatment

outcomes.

Introduction

Epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs) show

durable therapeutic efficacy in most individuals with non–small cell lung cancer

(NSCLC) harboring activating mutations of the *EGFR* gene[1]. Nevertheless, resistance to

EGFR-TKIs inevitably emerges in such patients after treatment initiation[2,3]. Whereas

several mechanisms of resistance to EGFR-TKIs have been identified, the underlying

cause of resistance remains unknown in 40% to 50% of cases[4–6]. Furthermore, some

patients develop early resistance during EGFR-TKI treatment, whereas others achieve a

long-term response, with the reasons for these divergent outcomes also remaining

unclear. There is therefore a pressing need to elucidate the precise mechanisms of

EGFR-TKI resistance not only for the development of effective therapies to overcome

such resistance but also to extend the duration of the response to EGFR-TKI treatment.

Increasing evidence has suggested that the tumor microenvironment (TME) plays a

pivotal role in shaping the response to EGFR-TKIs[7–12]. However, spatial analyses of the

TME based on paired pre- and posttreatment tumor specimens from the same patients

with *EGFR*-mutated NSCLC have been limited[13].

Spatial transcriptomics analysis has emerged as a powerful approach to

characterization of the TME[13–18]. As an array-based method, Visium HD (10×

Genomics) allows both comprehensive mRNA capture, without limiting the analysis to

specific target genes, as well as analysis of an entire specimen section. We have now

performed a detailed analysis with Visium HD of the TME in paired pre- and

posttreatment tumor specimens from patients with *EGFR*-mutated NSCLC. Furthermore,

we converted Visium HD spot data into single-cell data with the use of StarDist, a deep

learning–based method for detection and segmentation of nuclei and cells. By

integrating the spatial coordinates derived from StarDist into the single-cell data, we

were able to conduct spatial transcriptomics analysis at the single-cell level while

preserving the actual spatial relations between cells. Our findings provide insight into

the spatial dynamics of the TME, highlighting a contribution of an inflammatory TME

and tumor heterogeneity to the early onset of EGFR-TKI resistance in *EGFR*-mutated

NSCLC.

Results

Integrative analysis of spatial transcriptomics and nuclear segmentation

To investigate differences in the duration of response to osimertinib, a third-generation

EGFR-TKI, we collected and analyzed paired pre- and posttreatment tumor specimens

from four individuals with *EGFR*-mutated NSCLC (Fig. 1A and fig S1). These

individuals were categorized as one with early resistance (ER), one with a standard

response (SR), and two with a long-term response (LR) on the basis of progression-free

survival (PFS)[2], and their clinical characteristics are provided in table S1. All samples

were processed with the Visium HD platform, which provides a spatial resolution of 2

μm per spot. To reconstruct single cells from the data, we applied StarDist[19], a deep

learning–based tool that allows the detection and segmentation of nuclei from

hematoxylin-eosin (H&E)–stained images generated alongside the spatial

transcriptomics data (Fig. 1B and fig. S2). With the use of StarDist, we aggregated 2-

μm spots that overlapped with the polygonal coordinates corresponding to the detected

nuclei, thereby reconstructing spatial transcriptomics data mapped to individual cell

positions (Fig. 1C). In addition, we incorporated the centroid coordinates of each

polygon as new spot coordinates into the data structure to ensure compatibility with

existing tools for spatial transcriptomics analysis (fig. S3, A to C).

A total of 814,636,020 reads were obtained from Slide 1 (ER, SR), and

730,477,318 reads were obtained from Slide 2 (LR1, LR2). StarDist identified 141,324

nuclei in Slide 1 and 99,658 nuclei in Slide 2. Samples were partitioned on the basis of

coordinates for their location on the image, and the sample names were linked to the

data (fig. S3D). The integrated data for the eight samples were then processed for

quality control, filtering, normalization, dimensionality reduction, batch correction,

nearest-neighbor graph building, and Leiden clustering, and they were visualized by

uniform manifold approximation and projection (UMAP). Cells were broadly

categorized into three main lineages—epithelial cells, stromal cells, and immune cells—

together with a small number of blood cells (Fig. 1D and Fig. S3E). The epithelial cell

population was further divided into tumor cells, basal cells, club cells, alveolar cells

type 2, and goblet/ciliated cells (Fig. 1E and Fig. S4A). Immune and stromal cells were

clustered into lymphocytes, plasmablasts, macrophages, fibroblasts, endothelial cells,

and smooth muscle cells (Fig. 1, F and G, and fig. S4, B and C). In addition,

lymphocytes were subdivided by type, plasmablasts were classified by the

immunoglobin (Ig) they produced, and macrophages were distinguished from mixed

cells (Fig. S4, D to I). The results of these clustering analyses were integrated with the

polygonal coordinate data obtained from StarDist, thereby allowing visualization of

distinct cell populations on spatial coordinates (Fig. 1, H to K, and fig. S5 and S6).

Changes in the TME between pre- and posttreatment samples

To investigate changes in tumor cell characteristics between before and after treatment,

we performed differential gene expression (DGE) analysis following pseudo–bulk

processing, comparing tumor cells from pre- and posttreatment samples (Fig. 2A).

Genes with significantly higher expression in the pretreatment group showed a tendency

to be associated with a favorable prognosis for NSCLC patients in the Kaplan-Meier

Plotter database (fig. S7, A to D), whereas those with higher expression in the

posttreatment group tended to be associated with a poorer prognosis (fig. S7. E to H).

Gene set enrichment analysis (GSEA) revealed significant enrichment of gene sets

associated with the cell cycle and cell proliferation in posttreatment tumor cells (Fig. 2,

B and C, and fig. S7. I and J). These results suggested that tumor cells had an enhanced

proliferative activity after the development of EGFR-TKI resistance.

We next compared the proportions of stromal and immune cell clusters between

pre- and posttreatment samples. The proportion of stromal cells, including fibroblasts

and endothelial cells, was increased in the ER_post sample compared with the ER_pre

sample (Fig. 2D). DGE analysis of fibroblasts from the ER samples revealed increased

expression of collagen-related genes after treatment. (Fig. 2E). GSEA further revealed

that a gene set related to extracellular matrix productionwas significantly enriched in

fibroblasts of the ER_post sample (Fig. 2F). In addition, collagen gene expression in

fibroblasts was significantly increased for the ER_post sample compared with all other

samples (Fig. 2G and fig. S7K). These results suggested that the activity of fibroblasts

was increased after EGFR-TKI treatment, contributing to the changes in the TME

associated with early resistance.

Analysis of the T cell cluster revealed that CD4-expressing T cells were present

in slightly higher numbers than CD8-expressing or regulatory T cells, although low

expression levels of *CD4*, *CD8A*, and *FOXP3* impeded the classification of these cells

(Fig. 2H and fig. S7L), possibly as a result of the filtering effect of the analytic methods

applied. For the macrophage cluster, tumor-associated macrophage markers (*SPP1*,

C1QC) were highly expressed in accordance with the distribution of M2-like markers

(*CD163*, *MSR1*)[20–22], suggestive of an immune-suppressive phenotype that was

consistently observed across all samples (Fig. 2I and fig. S7M).

Spatial distribution of tumor cell heterogeneity associated with early resistance or

a longer-term response

To explore factors that might contribute to early resistance, we categorized tumor cells

from all pretreatment samples into two groups: ER_pre and SLR_pre (the latter

comprising SR_pre, LR1_pre, and LR2_pre) for DGE analysis (Fig. 3A). GSEA

revealed significant enrichment of gene sets related to the inflammatory response, tumor

necrosis factor–α (TNF-α) signaling via the nuclear factor–κB (NF-κB) pathway, the

interleukin-6 (IL-6) pathway, and chemokine signaling pathways in ER_pre tumor cells

(Fig. 3, B and C, and fig. S8, A and B), indicating that the TME of ER_pre was

characterized by active inflammation.

To better understand the factors that contribute to the differences between

samples, we performed a comparative analysis of tumor cell clusters. We classified and

labeled each tumor cell cluster on the basis of DGE for cancer-related genes[23–28] as

discriminatory markers (Fig. 3, D and E, and fig. S8. C and D). Application of

inferCNV for analysis of copy number variation (CNV) revealed a tendency for

consistent CNV alterations in each tumor cell cluster in comparison with other normal

epithelial cell clusters as a reference group (fig. S8E). To quantify their distribution, we

visualized the tumor cell clusters across samples and mapped them spatially for each

sample (Fig. 3, F and G). The tc_S100A6 and tc_AQP1 clusters were present in all pre-

and posttreatment samples, accounting for a high proportion of tumor cells in most

samples. The tc_S100A6 cluster manifested significantly higher expression of *CCND1*

and *ERBB2* compared with the other clusters (fig. S8, F anf G), whereas the tc_AQP1

and tc_TMSB4X clusters showed increased expression of *MET* and *CD74*, respectively

(fig. S8, H and I). GSEA based on DGE analysis of the tc_S100A6 cluster revealed

significant enrichment of gene sets related to cell proliferation, including E2F targets

and the G2-M checkpoint, compared with the other clusters (Fig. 4, A and B). In

contrast, gene sets related to the inflammatory response, epithelial-mesenchymal

transition (EMT), and KRAS signaling were not enriched (Fig. 4C). Similar DGE

analysis and GSEA for the tc_AQP1 cluster revealed upregulation of gene sets

associated with mechanistic target of rapamycin complex 1 (mTORC1) and IL-6–JAK–

STAT3 signaling, both of which have been implicated in EGFR-TKI resistance[4,29–31], in

addition to those related to EMT and the inflammatory response (Fig. 4, D to F).

We next analyzed distinct clusters that showed differences between samples.

The tc_TMSB4X cluster was most pronounced in the ER_pre sample (Fig. 3F),

suggestive of a potential role in early resistance. DGE analysis and GSEA for this

cluster revealed significant enrichment of gene sets associated with metabolic pathways,

whereas those related to cell proliferation showed relatively low expression (Fig. 4, G to

I). The tc_MMP7 cluster was prominent in both pre- and posttreatment ER samples but

was essentially absent from the other samples (Fig. 3F), also suggestive of a potential

role in early resistance. DGE analysis and GSEA for this cluster showed significant

enrichment of pathways related to EMT, the inflammatory response, IL-6–JAK–STAT3

signaling, KRAS signaling, and TNF-α signaling via NF-κB (Fig. 4, J to L). The

tc_SCGB3A1 cluster was most abundant in LR1_pre (Fig. 3F), possibly indicating a

contribution to long-term response. DGE analysis and GSEA for this cluster revealed

downregulation of gene sets related to E2F targets and the G2-M checkpoint, suggestive

of a relatively slow cell cycle (fig. S9, A to C). The tc_AZGP1 cluster was characteristic

of the SR_pre sample (Fig. 3F), but no significant enrichment of gene sets was observed

for this cluster (fig. S9, D to F), possibly as a result of its extremely low cell number.

To investigate differences in the TME across tumor cell clusters, we quantified

the spatial distribution of surrounding cell types within the ER_pre sample. For each

tumor cell cluster, we calculated the proportion of cell types within concentric annular

regions extending up to 1000 μm from each tumor cell (fig. S9G). This analysis

revealed that the tc_S100A6 and tc_AQP1 clusters were diffusely distributed, whereas

the tc_TMSB4X and tc_MMP7 clusters were each localized in close proximity, a

pattern also observed in the spatial mapping of ER_pre (Fig. 3G). Of note, the

tc_MMP7 cluster showed the highest proportion of immune cells within its surrounding

microenvironment, consistent with the enrichment of genes associated with the

inflammatory response in this cluster revealed by GSEA (Fig. 4L). Together, these

findings suggested that this cluster is characterized by an inflammatory state.

Maturity of tertiary lymphoid structures in the TME

To examine the spatial relations of each cluster within the TME, we performed a

neighborhood enrichment analysis based on the spatial map coordinates annotated with

cell types. This analysis revealed a close spatial relation between T cells, B cells, and

follicular dendritic cells (FDCs), particularly in the ER_pre and LR1_pre samples (Fig.

5A). Visualization of these immune cell populations on the spatial map revealed that

they were distributed across several regions of the ER_pre sample, whereas only one

region was observed in the LR1_pre sample (fig. S10, A and B). In the ER_pre sample,

these regions were characterized by a structure in which B cells and FDCs were

intermixed and sparsely surrounded by T cells (Fig. 5B and fig. S10C). In contrast, in

the LR1_pre sample, FDCs were positioned around B cells, with T cells surrounding the

outer perimeter (Fig. 5C and fig. S10D). Moreover, *CXCL13* expression was increased

within these structures (Fig. 5, B and C), indicating that they corresponded to tertiary

lymphoid structures (TLSs). On the basis of previous observations[32], we identified the

poorly organized regions in the ER_pre sample as immature TLSs and the well-

structured region in the LR1_pre sample as a mature TLS.

Enrichment of MIF- and PDGF-mediated cell-cell interactions in the TME

associated with early resistance

To further elucidate the characteristics of the TME, we analyzed intercellular

interactions with the use of COMMOT. This Python library calculates cell-cell

interactions on the basis of ligand-receptor competition and spatial proximity. We

extracted ligand-receptor gene pairs with sufficient expression levels from the CellChat

database of known ligand-receptor interactions (table S2). Pathway comparisons across

samples revealed increased expression of genes associated with the macrophage

migration inhibitory factor (MIF) pathway in ER and SR samples (Fig. 6, A and B).

MIF is a ligand of CD74-CD44 and CD74-CXCR4 complexes and regulates the

inflammatory response[33]. To quantify ligand-receptor interactions for the MIF pathway,

we calculated signal strength on the basis of the spatial proximity of ligand- and

receptor-expressing cells and their expression levels. Examination of the ER_pre and

LR1_pre spatial signal vectors revealed that the signals on the spatial map were directed

toward the regions containing the TLSs and inflammatory cell infiltration (Fig. 6, C and

D). Signal intensity and direction were further analyzed by cell type (Fig. 6, E to G).

Both ER_pre and LR1_pre samples manifested bidirectional signaling, predominantly

among T cells, FDCs, and B cells. Tumor cells showed limited signals in the LR1_pre

sample, whereas those in the ER_pre sample manifested signals directed toward

macrophages and TLS-related cell types. Some tumor cell clusters showed activation of

this pathway via an autocrine mechanism. These findings suggested that tumor cells

actively stimulated macrophages and TLSs via the MIF pathway in the ER_pre sample.

Given that the proportion of fibroblasts and endothelial cells was increased after

treatment for the ER samples (Fig. 2D), we next focused on the platelet-derived growth

factor (PDGF) pathway, which is associated with fibroblast proliferation[34]. Signals were

not localized to specific regions within the ER_pre and LR1_pre samples (Fig. 6, H and

I). Bidirectional signaling was observed between fibroblasts and endothelial cells in

both samples (Fig. 6, J to L). In the ER_pre sample, tumor cells, including tc_TMSB4X

and tc_MMP7 clusters, showed signals directed toward stromal cells. In contrast, tumor

cell clusters in the LR1_pre sample showed fewer signals directed at stromal cells.

These results suggested that tumor cells interacted with stromal cells via the PDGF

pathway, possibly contributing to the formation of an early-resistant TME.

Discussion

Although various mechanisms of resistance to EGFR-TKIs in *EGFR*-mutated NSCLC

have been identified, few studies have compared cases of early resistance with long-

term responders[3,35,36]. We have now shown that cell proliferation in residual tumors was

increased after osimertinib treatment. Genes significantly upregulated after treatment

were associated with poor prognosis[37–39], emphasizing the need to target the remaining

proliferative tumor cells. Tumor cell clusters such as tc_S100A6 and tc_AQP1 were

detected both before and after osimertinib treatment, suggesting that they developed

resistance through activation of downstream or alternative pathways. Indeed, genes

related to resistance mechanisms such as *CCND1*, *ERBB2*, and *MET* were upregulated

in these clusters, with the amplification of these genes having being associated with

EGFR-TKI resistance[4]. In contrast to tc_S100A6 and tc_AQP1, the tc_TMSB4X cluster

was detected predominantly in the ER_pre sample and manifested low cell cycle

activity, suggestive of the association of a quiescent state with EGFR-TKI resistance[40].

We found that the MIF pathway was active in the ER samples, with the tc_TMSB4X

cluster manifesting activation of this pathway via an autocrine mechanism. Of note, this

cluster showed significantly higher *CD74* expression compared with the other clusters.

CD74 has previously been associated with resistance to EGFR-TKIs[41], suggesting that

the tc_TMSB4X cluster may serve as a reservoir for resistant cells. Furthermore, this

cluster showed increased expression of genes related to bile acid metabolism,

potentially reflecting suppression of immune cell responses[42]. In contrast, the

tc_SCGB3A1 cluster, which also manifested a slow cell cycle, was largely restricted to

the LR1_pre sample, having disappeared after osimertinib treatment, suggestive of

characteristics of low proliferation and low malignancy. The tc_MMP7 cluster was

prominent in both ER_pre and ER_post samples and showed high expression of genes

related to TNF-α signaling via NF-κB. Given that the activation of TNF-α signaling by

osimertinib has been shown to contribute to EGFR-TKI resistance[43–45], such signaling

may constitute an early resistance mechanism. Furthermore, spatial distribution analysis

revealed that the tc_MMP7 cluster was in an immunologically hot state due to a large

number of plasmablasts infiltrating the surrounding area. A recent study found that

CXCR1[+] neutrophils contribute to early EGFR-TKI resistance through TNF-α signaling

via NF-κB[13]. However, in our analysis, the number of neutrophils was extremely low

and thus not included in the assessment. Together, our findings reveal that tumor

heterogeneity contributes to EGFR-TKI resistance.

Increasing evidence implicates interactions between tumor cells and surrounding

stromal or immune cells in mechanisms of EGFR-TKI resistance[9,10,46]. Cancer-

associated fibroblasts have been identified as key regulators of the TME[47]. Our

comparative analysis revealed an increase in the proportion of stromal cells in the ER

samples after osimertinib treatment, with no marked change apparent in the SR samples

and a decrease apparent in the LR samples. Of note, the proportion of fibroblasts also

showed a marked increase that was accompanied by increased extracellular matrix

production and upregulation of collagen genes including *COL1A1* and *COL3A1* in the

ER_post sample relative to the ER_pre sample, suggestive of a potential association

with early resistance[31]. *PDGFRA* and *PDGFRB*, which encode receptors for PDGF

signaling, are known markers of tumor-associated fibroblasts and regulate

angiogenesis[47]. PDGF signaling was active between tumor cells and stromal cells in the

ER_pre sample, suggesting that tumor cells interact with stromal cells via the PDGF

pathway and thereby contribute to the formation of an early-resistant TME.

We found that genes related to the inflammatory response were significantly

upregulated in tumor cells of the ER-pre sample compared with SLR-pre samples. We

further showed that the TME of the ER-pre sample was characterized by the presence of

immature TLSs and infiltration of B cells and plasmablasts, whereas the TME of the

LR1_pre sample manifested a mature TLS with reduced immune cell infiltration.

Immature TLSs have been implicated in tumor promotion, whereas mature TLSs appear

to be tumor suppressive[32,48,49]. Our data suggest that the extent of TLS maturation might

be a determinant of early EGFR-TKI resistance. *EGFR*-mutated NSCLC is generally

characterized by low immunogenicity, which is often described as an "immune cold"

state and which contributes to resistance to immunotherapy[8,9]. In contrast, an "immune

hot" state, defined by TLS formation and high immune cell infiltration, has been

associated with enhanced responsiveness to immunotherapy[50–54]. Of note, individuals

with a short response to EGFR-TKIs have shown better responses to immunotherapy[55],

suggesting that the TME of such tumors may be characterized by an inflammatory state.

Our finding that genes related to the inflammatory response were enriched in the

ER_pre sample is consistent with this notion. Together, these observations indicate that

an inflammatory TME can be a predictor of early resistance in *EGFR*-mutated NSCLC

(Fig. 7).

Visium HD allows for high-resolution analysis, but it is difficult to distinguish

between noise genes and differentially expressed genes. We addressed this issue by

detecting and segmenting cell nuclei, reconstructing read count data and cell coordinate

data to improve data reliability. Whereas this approach minimized false positives, some

clusters still contained mixed cell types, which we subsequently excluded. As a result of

these processes, comprehensive gene expression analysis and spatial analysis have

become possible and the accuracy of single-cell data and spatial coordinates has

improved. For further improvements in precision, multi-omics approaches are required,

however.

Our study has several limitations. First, the size of the bronchoscopic lung

biopsy specimens was small compared with the size of a Visium HD slide, limiting the

amount of data that could be obtained. The number of tumor cells may have been

insufficient to analyze the changes between before and after treatment. Second,

enhancement of single-cell resolution resulted in reduced count data, potentially

affecting the analysis of differentially expressed genes. Third, we cannot rule out the

possibility that our findings were affected by sample variability. Future studies are

warranted to collect additional clinical data for validation of our findings.

In conclusion, our study suggests that an inflammatory TME and tumor

heterogeneity contribute to early EGFR-TKI resistance in *EGFR*-mutated NSCLC. Of

note, upregulation of resistance-related pathways was apparent in certain tumor cell

clusters even before treatment onset.

Methods

Sample preparation

Formalin-fixed paraffin-embedded (FFPE) block specimens were collected from four

EGFR-mutated NSCLC patients both before and after treatment with osimertinib at

multiple institutions. The biopsy methods for the specimens were surgical biopsy,

bronchoscopic biopsy, surgical pleural biopsy, and single-hole thoracoscopic pleural

biopsy. Clinical data for the patients are provided in table S1. The patients were

classified as showing early resistance (ER, $n = 1$), a standard response (SR, $n = 1$), or a

long-term response (LR, $n = 2$) on the basis of the duration of PFS. The initial

specimens were obtained to determine treatment. Written informed consent was

obtained from all patients for specimen collection and research use. This study was

performed in accordance with the Declaration of Helsinki and was approved by the

Institutional Review Board of Kyushu University Hospital (approval no. 23297-01).

Visium HD data generation

Two capture areas of a Visium HD slide (10× Genomics) were used, with four samples

being assigned to each capture area. A total of eight samples consisting of paired pre-

and posttreatment specimens from four patients (ER_pre, ER_post, SR_pre, SR_post,

LR1_pre, LR1_post, LR2_pre, LR2_post) was analyzed, with ER and SR samples being

assigned to one capture area and LR1 and LR2 samples to the other. RNA was extracted

from the FFPE tissue sections for RNA quality assessment, and the DV200 (percentage

of RNA fragments of >200 nucleotides) value was measured with the Agilent 4200

TapeStation instrument. The DV200 value for all samples was ≥30%. FFPE tissue

sections were then depleted of paraffin and subjected to H&E staining according to the

Visium HD FFPE Tissue Preparation protocol (Visium HD FFPE Tissue Preparation

Handbook, CG000684 Rev A). The tissue sections were imaged with an Evident VS200

microscope in accordance with the 10× Genomics protocol (Visium HD Spatial

Applications Image Guidelines CG000688 Rev A). Gene expression libraries were

prepared from the H&E-stained sections according to the 10× Genomics protocol

(Visium HD Spatial Gene Expression Reagent Kits User Guide CG000685 Rev A). The

quality of the prepared libraries was evaluated with the Agilent 4200 TapeStation

instrument, and all libraries were confirmed to have a sufficient concentration. The

Visium libraries were sequenced with the Illumina NovaSeq X Plus platform with a

paired-end configuration (read 1, 150 bp; read 2, 150 bp). Sequencing data (FASTQ

files) were processed and analyzed with Space Ranger (version 3.0.0) software for

assessment of data quality and generation of spatial gene expression profiles.

Analysis environment

Analyses were conducted with Python (version 3.10) on either Ubuntu (22.04.5 LTS)

with JupyterLab (4.2.5) or Windows (Windows 11 Home Edition) with PyCharm

(Community Edition 2024.1.1). The primary Python libraries and their versions

included AnnData (0.10.8), AnnData2ri (1.3.2), Commot (0.0.3), GeoPandas (1.0.1),

GSEApy (1.1.3), HarmonyPy (0.0.10), inferCNVpy (0.5.0), Matplotlib (3.8.4), NumPy

(1.26.4), Pandas (2.2.2), Rpy2 (3.5.16), Scanpy (1.10.3), SciPy (1.11.4), Seaborn

(0.13.2), Squidpy (1.6.1) and StarDist (0.9.1). For some analyses, R Script was executed

in Python. The primary R packages and their versions used in this study were tradeSeq

(1.8.0), edgeR (3.36.0), and clusterExperiment (2.14.0). Whereas the libraries were

generally applied as described in their documentation, modifications were made to

Commot library to improve visual clarity in plots.

Detection and segmentation of nuclei

Although Visium HD allows for the use of 8-µm square spots as single cells, these spots

do not accurately reflect true cellular coordinates. For preservation of cellular

coordinates as much as possible, StarDist was applied for detection and segmentation of

nuclei[19]. Specifically, Python code was developed on the basis of the Analysis Guide

provided by 10× Genomics (https://www.10xgenomics.com/jp/analysis-

guides/segmentation-visium-hd). Running of this code generated coordinate data for

individual nuclei represented as polygons. Count data for each 2-µm spot within the

polygon area were relabeled as corresponding to a single cell. In addition, the centroid

coordinates of each polygon were added to the count data, together with metadata

content, thereby generating a data structure compatible with libraries commonly used in

spatial transcriptomics analysis.

Sample labeling on spatial coordinates

In typical spatial transcriptomics analysis, all samples within a single capture area are

assumed to be the same. However, in the present study, four different samples were

present within each capture area. Given that this situation is not typically supported by

existing tools, it was necessary to label samples manually on the basis of spatial

coordinates. With the use of the spatial coordinate data within the data structure,

samples were divided into eight groups by referencing images and assigning coordinates

manually. Given that there was an overlapping area between LR1_pre and LR1_post

specimens, a blank area was generated to avoid sample contamination.

Major clustering

The newly generated data from nuclei segmentation was analyzed with a pipeline

similar to that for single-cell RNA-seq analysis with the Scanpy package. Cells with

<20 counts or containing >10% mitochondrial genes as well as genes with <20 total

counts were excluded from the analysis. Cells with a cross-sectional area of ≥900 μm^2

on the image were excluded given the possibility that they might actually have been

composed of multiple cells. After filtering, the data were calculated for highly variable

genes (HVGs) and normalized with Pearson residuals, which adjusts for library size and

overdispersion. Principal component analysis (PCA) for the top 4000 HVGs allowed

calculation of principal components up to the top 35. Harmony integration was applied

with the use of the HarmonyPy library through Scanpy with the sample labels in order

to account for batch effects across samples. The nearest-neighbors distance matrix and

the neighborhood graph of the observations were then calculated with a local

neighborhood size of 100 and 35 principal components. An UMAP embedding was

generated with a minimum distance parameter set to 0.5. Leiden clustering was

performed at a resolution of 0.4 and identified 18 clusters. However, given the high

noise level in cells, it was possible that the differences between cells and noise would

not be distinguishable. Instead, we analyzed the expression of HVGs in each cluster and

compared the expression levels of known cell markers to classify the clusters into three

major cell types: epithelial, stromal, and immune (table S3).

Subcluster analysis

Subclustering was performed for further exploration of the epithelial, stromal, and

immune cell populations identified in the initial clustering. For each cell type cluster,

the analysis was started again from the raw count data. The data were filtered to the

same threshold as for the major clustering. HVGs were calculated within some

subclusters and PCA was performed, followed by the construction of neighborhood

graphs, UMAP embedding, and clustering. The distinction between tumor cells and

normal cells was evaluated with inferCNVpy, which is based on inferCNV, in addition

to the pathological images. Normal airway epithelial cells obtained from the clustering

results were set as nonmalignant cells and analyzed. Furthermore, additional clustering

was performed for tumor cells. For immune cells, three cell types—lymphocytes,

plasmablasts, and macrophages—were identified, and each type was subsequently

analyzed with individual clustering steps. Detailed parameters for each clustering step

and cell annotation markers are provided in tables S3 and S4.

DGE Analysis

DGE analysis was performed with generated pseudo–bulk samples and the edgeR

package[56,57]. Single-cell RNA-seq data were aggregated at the sample level for each cell

type of interest. Samples with <30 cells of the target cell type were excluded. For

comparisons between ER and SLR groups as well pre- versus posttreatment

comparisons of the tc_AZGP1 cluster, two random replicates were generated for each

group because the edgeR library requires biological replicates for statistical modeling.

For other comparisons, pseudo–bulk aggregation was performed without generation of

additional replicates. After pseudo–bulk aggregation, DGE analysis was performed with

the edgeR library[57]. Low-expression genes were first filtered out, retaining only those

with sufficient counts across experimental groups to improve statistical power. Library

size normalization was performed with the trimmed mean of M-values method, which

adjusts for sequencing depth and compositional biases across samples. A design matrix

was constructed to account for sample conditions and randomly generated replicates,

allowing the estimation of both biological variation and group-specific effects. The

quasi–likelihood variance estimation method was adopted to generate a gene list for

DGE. Adjusted P values were calculated with the Benjamini-Hochberg method to

control the false discovery rate (FDR). Results for each cell type were integrated back

into the annotated data object to facilitate further interpretation. The aggregated gene

expression values were subsequently used for downstream analyses, including the

construction of volcano plots and GSEA.

Kaplan-Meier Plotter database

We used data from lung cancer patients in the Kaplan-Meier Plotter database

(http://kmplot.com/analysis) to evaluate differentially expressed genes in tumor cells

compared before and after treatment[58]. Patients in the database were divided into high-

and low-expression groups on the basis of median expression values, and overall

survival curves were plotted with no follow-up restrictions.

Integration of cell type and spatial coordinate data

The data set for this analysis was generated by integration of single-cell analysis for cell

type identification with spatial coordinate data derived from StarDist. The StarDist-

based coordinate data, which represent new spot coordinates, were transformed on the

basis of the ratio of the actual distance to the pixel coordinates, as described in the

metadata. This integration resulted in a unified data structure compatible with analysis

tools such as Scanpy and Squidpy.

Neighborhood enrichment analysis

Neighborhood enrichment analysis was performed on the spatial transcriptomics data to

assess the enrichment of different cell clusters within their spatial neighborhoods. For

each of the eight sample data sets, the spatial neighbors function within Squidpy was

used to calculate the spatial neighbors of each spot. The neighborhood enrichment

function was then applied to calculate the z-scores with predefined cell cluster labels.

For standardization of the enrichment scores across all samples, the minimum and

maximum values were first calculated across all data sets. Each z-score matrix was then

normalized by subtracting the global mean and dividing by the standard deviation,

ensuring that enrichment scores were comparable across samples. This normalization

allowed for the unified analysis of neighborhood enrichment across different

experimental conditions.

Spatial distribution and neighborhood analysis of tumor cells

The spatial distribution and neighborhood relations of tumor cells were analyzed by

integration of single-cell cluster information with spatial coordinate data. For each of

the eight sample data sets, the spatial positions of tumor cells were identified. The

composition of surrounding cells was quantified by calculation of the pairwise distances

between each tumor cell and all other cell types within the tissue. For analysis of the

spatial distribution, concentric annular regions extending up to 1000 µm from each

tumor cell were defined, with each region increasing in 20-µm increments. These

regions served as distance bins, allowing categorization of surrounding cells on the

basis of their proximity to tumor cells. The proportion of each cell type within these

bins was then calculated to assess the spatial distribution relative to tumor clusters. This

method allowed a quantitative evaluation of neighborhood enrichment patterns,

providing insight into the TME and its cellular composition.

Cell-cell communication analysis

Cell-cell interactions were analyzed with COMMOT, a tool that allows comprehensive

modeling of both direct and indirect interactions between different cell types while

incorporating the spatial organization of cells within tissues[59]. Smooth muscle cells,

normal airway epithelial cells, and blood cells were excluded from this analysis to allow

focus on the TME. In addition, genes expressed in ≥0.5% of all cells were first filtered.

The gene list was further refined by extraction of known ligand-receptor pairs from the

CellChat database. Only ligand-receptor pairs co-occurring within a distance of 500 µm

were considered for subsequent analysis. For the identified ligand-receptor pairs and

their associated pathways, signal strength and vectors were calculated on the basis of the

distance between sending and receiving cells as well as gene expression intensity.

Gene expression analysis and statistics

Gene expression scores were calculated by a marker gene−based scoring method

implemented in Scanpy. The MIF score was derived from the MIF pathway–related

genes *MIF*, *CD74*, *CD44*, and *CXCR4*. Background correction was performed with

control gene sets of 5000 randomly selected genes to ensure robust scoring. Pairwise

comparisons of the MIF score and of gene expression in tumor cells between samples

were performed with the unilateral Mann-Whitney U test. Visualization was conducted

with Seaborn for violin and strip plots annotated with the *P* value. The significance

level for GSEA after DGE analysis was set as a *P* value of <0.05 and FDR of 0.25.

Data availability

All data associated with this study are in the paper or the Supplementary Materials. The

Visium HD data set for this study is available in the GEO repository under accession

number GSE288758.

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analysis via Visium HD platform.

Author contributions

5   D.S., K.T., and I.O. made substantial contributions to the conception of the work. Y.K.,

6   K.N., N.N., M.K., and K.A. provided samples and clinical information for this work.

7   S.N., D.S., K.T, M.H., R.I., K.O., Y.Y., E.I., and I.O. made significant contributions to

8   data interpretation. S.N. and D.S. analyzed the data and drafted the original manuscript.

9   S.N. and D.S. reviewed and edited the manuscript. Y.O. and I.O. supervised the study.

Competing interests

D.S. has received honoraria from Eli Lilly Japan K.K. K.A. has received honoraria from

AstraZeneca, MSD, Chugai Pharmaceutical, Ono Pharmaceutical, Bristol-Myers Squibb,

Takeda Pharmaceutical, Taiho Pharmaceutical, and Amgen. Y.Y. has received

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Pharmaceutical, Novartis Pharma K.K., Merck Biopharma, Kyowa Kirin, Daiichi

Sankyo, Pfizer, Amgen, Janssen Pharmaceutical K.K., and MSD, and is an advisory

board member for Pfizer and Novartis Pharma K.K. E.I. has received honoraria from

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1   **Figures**

3   **Fig. 1. Analysis overview, clustering, and visualization of cell type and spatial**

4   **location.** (**A**) Biopsy interval for pre− and post−osimertinib treatment and patient

5   classification. (**B**) Detection and segmentation of cell nuclei with StarDist on high-

resolution tissue images loaded with the Visium HD protocol. (**C**) Visualization of

detection and segmentation by StarDist and 2-µm spot extraction for identified cell

nuclei positions. (**D**) UMAP of annotated cell types from single-cell RNA-seq analysis

based on cell nuclei identified by StarDist. (**E** to **G**) UMAP with epithelial cells (E),

immune cells (F), and stromal cells (G) reclustered and labeled for cell type. (**H** and **I**)

H&E-stained pathological image of an area of the ER_pre sample (H) and image of the

same area with cell type identification reflected in polygonal coordinate data obtained

by StarDist (I). (**J** and **K**) H&E-stained pathological image for a magnified region of the

LR2_pre sample (J) and image of the same region with cell type identification reflected

in polygonal coordinate data obtained by StarDist (K). Normal epithelial cells and

tumor cells were distinguished, and surrounding mesenchymal cells and immune cells

were identified with high accuracy.

2 **Fig. 2. Comparative analysis of samples obtained before and after treatment.** (**A**)

3 Volcano plot comparing tumor cell clusters from all samples dividing into two groups

4 corresponding to before and after treatment (All_pre vs All_post). The red and blue dots

indicate significantly upregulated genes of All_pre and All_post, respectively. The

vertical dashed lines represent the fold change of -2 and 2, and the horizontal dashed

line represents the *P* value of 0.05. (**B**) Dot plot for GSEA with Hallmark gene sets

based on DGE analysis of tumor cells for All_pre vs All_post. (**C**) GSEA plots for gene

sets with high negative values of the normalized enrichment score (NES) for tumor cells

in All_pre vs All_post. (**D**) Stacked bar chart showing the proportion of cell types

within each sample. (**E**) Volcano plot for comparison of fibroblasts extracted from

ER_pre and ER_post samples. (**F**) GSEA plot of the only significant gene set among

Hallmark gene sets for fibroblasts in ER_pre vs ER_post. FDR, false discovery rate. (**G**)

Violin plot and strip plot showing raw counts for the *COL1A1* gene in fibroblasts of

each sample. ***$P < 0.0005$ (one-sided Mann-Whitney U test). (**H**) Expression levels of

CD3D, *CD4*, *CD8A*, and *FOXP3* related to T cell subtypes shown on the UMAP plot of

T cell parts extracted from lymphocyte clusters. (**I**) Expression levels of genes related to

tumor-associated macrophages (*SPP1*, *C1QC*) and M2 macrophages (*CD163*, *MSR1*) on

the UMAP plot of macrophages.

Fig. 3. Analysis exploring mechanisms underlying tumor heterogeneity and early

resistance. (**A**) Volcano plot for DGE analysis results obtained by extracting tumor cell

clusters from pretreatment samples and dividing them into two groups: ER_pre and

SLR_pre. (**B**) Dot plot for GSEA with Hallmark gene sets based on DGE analysis of

ER_pre vs SLR_pre. (**C**) GSEA plots for gene sets with high positive values of NES for

ER_pre vs SLR_pre. (**D**) Matrix plot showing clustering of tumor cells based on

1   differentially expressed cancer-related genes. (**E**) UMAP plot with tumor cells

2   reclustered and labeled for differentially expressed genes. (**F**) Violin plot for the

3   distribution of tumor cell clusters in each sample (**G**) Image of tumor cell cluster

4   positions plotted on H&E-stained tissue images for Slide 1 and Slide 2.

2　**Fig. 4. Analysis of individual tumor cell clusters.** (**A**) Volcano plot of DGE analysis

3　results for the tc_S100A6 tumor cell cluster versus the corresponding other tumor cell

4　clusters (tc_rest). (**B**) Dot plot for GSEA with Hallmark gene sets based on DGE

analysis of tc_S100A6 vs tc_rest. (**C**) GSEA plots for gene sets with high absolute

values of NES for tc_S100A6 vs tc_rest. (**D**) Volcano plot of DGE analysis results for

tc_AQP1 vs tc_rest. (**E**) Dot plot for GSEA with Hallmark gene sets based on DGE

analysis of tc_AQP1 vs tc_rest. (**F**) GSEA plots for gene sets with high positive values

of NES for tc_AQP1 vs tc_rest. (**G**) Volcano plot of DGE analysis results for

tc_TMSB4X vs tc_rest. (**H**) Dot plot for GSEA with Hallmark gene sets based on DGE

analysis of tc_TMSB4X vs tc_rest. (**I**) GSEA plots for gene sets with high negative

values of NES for tc_TMSB4X vs tc_rest. (**J**) Volcano plot of DGE analysis results for

tc_MMP7 vs tc_rest. (**K**) Dot plot for GSEA with Hallmark gene sets based on DGE

analysis of tc_MMP7 vs tc_rest. (**L**) GSEA plots for gene sets with high positive values

of NES for tc_MMP7 vs tc_rest.

2 **Fig. 5. Spatial neighborhood enrichment analysis and TLS identification.** (**A**) Heat

3 maps for the results of spatial neighborhood enrichment analysis for each sample. A

4 total of 5000 surrounding cells was counted for each cell, and the proportion of each cell

5 type among these cells was expressed as a z-score normalized relative to all samples. (**B**

1   and **C**) Enlarged images of a TLS in the ER_pre (B) and LR1_pre (C) samples. The area

2   enclosed by the white dashed line is shown in the images of H&E staining, cell types,

3   and expression of *CXCL13*.

2 **Fig. 6. Cell-cell interaction analysis with COMMOT.** (**A**) Violin plot and strip plot of

3 MIF scores for each sample. ****P* < 0.0005; ns, not significant (one-sided Mann-

4 Whitney U test). (**B**) Images of the expression levels of *MIF*, *CD74*, *CD44*, and *CXCR4*,

genes that constitute the MIF pathway and were expressed in ≥0.5% of all cells in each

sample. (**C** and **D**) Images of spatial directions of cell-cell communication by the MIF

pathway in ER_pre (C) and LR1_pre (D) samples. (**E**) Visualization of significant MIF

pathway signal networks summarized by cell type in ER_pre and LR1_pre samples. (**F**

and **G**) Dot plots of significant MIF pathway signal networks summarized by cell type

in the ER_pre (F) and LR1_pre (G) samples. (**H** and **I**) Images of spatial directions of

cell-cell communication by the PDGF pathway in ER_pre (H) and LR1_pre (I) samples.

(**J**) Visualization of significant PDGF pathway signal networks summarized by cell type

in ER_pre and LR1_pre samples. (**K** and **L**) Dot plots of significant PDGF pathway

signal networks summarized by cell type in the ER_pre (K) and LR1_pre (L) samples.

2 **Fig. 7. Proposed model of early resistance to EGFR-TKIs in the TME.** Schematic

3 diagram summarizing findings from the analysis of this study

Neighborhood enrichment

ER_pre SR_pre LR1_pre LR2_pre

ER_post SR_post LR1_post LR2_post

Rows/columns (each panel): Tumor cell, T cell, Follicular dendritic cell, B cell, Macrophage, Plasmablast, Fibroblast, Endothelial cell, Smooth muscle cell, Normal epithelial cell, Blood cell

Unified Z-score

b

H&E Cell type CXCL13

500 µm 100 µm Gene Expression

c

H&E Cell type CXCL13

500 µm 200 µm Gene Expression

Legend:
- Tumor cell
- alpha beta T cell
- gamma delta T cell
- Follicular dendritic cell
- Naïve B cell
- Activated B cell
- Macrophage
- Plasmablast IgM
- Plasmablast IgG1
- Plasmablast IgG3
- Plasmablast IgA
- Fibroblast
- Endothelial cell
- Smooth muscle cell
- Basal cell
- Club cell
- Goblet/Ciliated cell
- Alveolar cell type 2
- Erythrocyte
- Granulocyte

Early Resistance Mechanisms to EGFR-TKIs in the Tumor Microenvironment