**Title:** Comparative phenotypic, genomic and transcriptomic characterisation of two *Salmonella*

Typhimurium strains for a first-in-human challenge model

**Short Title**: *Salmonella* Typhimurium CHIM strain characterisation

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## ABSTRACT

Invasive nontyphoidal *Salmonella* (iNTS) disease remains a major public health challenge in sub-Saharan Africa. *Salmonella* Typhimurium (STm) is responsible for the majority of cases, with specific lineages being associated with increased risk of bloodstream infection. We have recently developed a STm controlled human infection model (CHIM) to better understand disease pathogenesis and to provide a platform to test candidate vaccines. Selecting appropriate challenge strains is a central design consideration in developing challenge model protocols. We describe the rationale, manufacture, and detailed characterisation of two STm strains used in the first-in-human NTS CHIM.

Two well-characterised STm strains were selected: 4/74 (ST19 lineage), a globally distributed diarrhoeal isolate, and D23580 (ST313 lineage 2.0), the predominant invasive pathovariant in Africa. These strains were selected based on phylogenetic relevance, antibiotic susceptibility, known provenance, and comprehensive existing virulence data. Manufacturing was conducted under Good Manufacturing Practice (GMP) conditions, with confirmation of purity, viability, and stability. Phenotypic characterisation included growth profiling, motility, colony morphology, acid and buffer sensitivity, and antibiotic susceptibility. Whole-genome sequencing confirmed genetic stability. Additionally, we investigated the effect of sodium bicarbonate exposure - used for gastric acid neutralisation - on STm virulence gene expression using transcriptomic analysis.

Both strains retained expected *in vitro* phenotypic characteristics, including reduced motility and melibiose utilisation in D23580. Growth and viability were preserved in simulated gastrointestinal conditions and holding buffers. No unexpected mutations were detected, and both strains remained susceptible to study antibiotics. Transcriptomic profiling revealed no

51  significant changes in key *Salmonella* pathogenicity island genes after sodium bicarbonate

52  exposure, suggesting unaltered virulence at administration.

54  These findings confirm that STm 4/74 and D23580 retain genomic and phenotypic integrity post-

55  manufacture. The resulting challenge stocks provide the foundation for an ongoing NTS CHIM

56  that aims to advance understanding of NTS pathogenesis and support candidate vaccine testing.

## AUTHOR SUMMARY

Nontyphoidal *Salmonella* (NTS) infections cause significant illness worldwide, particularly in sub-Saharan Africa, where invasive NTS (iNTS) disease leads to life-threatening bloodstream infections. Developing vaccines to prevent iNTS is a public health priority, but progress has been slow due to gaps in understanding how these bacteria cause disease and how the immune system responds. Controlled human infection models (CHIMs) can accelerate vaccine development by allowing detailed investigation of infection biology in a controlled setting.

This study describes the selection, production, and detailed characterisation of two *Salmonella* Typhimurium strains for the first-in-human NTS CHIM. The strains – one associated with diarrhoeal disease and the other with increased risk of bloodstream infection – were chosen based on their global relevance and comprehensive prior testing. We confirmed their stability, antibiotic susceptibility, and behaviour in conditions mimicking the human gastrointestinal tract. Importantly, we found that sodium bicarbonate, used to neutralise stomach acid before infection, does not alter bacterial virulence.

These findings support and build on existing characterisation data for two *S*. Typhimurium strains which account for a significant proportion of NTS infections globally. This CHIM will be a valuable platform for improving our understanding of NTS infection biology and testing new iNTS candidate vaccines.

## 1  INTRODUCTION

Invasive nontyphoidal *Salmonella* (iNTS) is a major cause of community-acquired bloodstream infection in sub-Saharan Africa (sSA) and is associated with significant morbidity and mortality [1]. iNTS disease was estimated to have caused 535,000 cases and 77,500 deaths in 2017 [2]. The greatest burden is observed in children under 5 years, with recognised risk factors including anaemia, malnutrition, and recent malaria infection [3]. Immunocompromised adults with HIV are also susceptible; however, incidence of HIV-associated infection has been declining with improved access to effective antiretroviral therapy [4].

*Salmonella* Typhimurium (STm) is the most commonly isolated serovar in iNTS bloodstream infections, followed by *S*. Enteritidis (SEn) [5]. *S*. Typhimurium multi-locus sequence type (ST)313 has been identified as the dominant circulating pathovar in sSA and is associated with multi-drug resistance to amoxicillin, chloramphenicol and co-trimoxazole [5,6]. In addition to challenges posed by antimicrobial resistance (AMR), public health interventions to control iNTS disease burden are hampered by an incomplete understanding of transmission reservoirs and a lack of preventative vaccines [7]. Several promising vaccine candidates are in early-stage human development, including OAg/FliC conjugate vaccines and those utilising the Generalised Modules for Membrane Antigens (GMMA) platform [8–10]. The leading candidate vaccines are likely to progress as multivalent formulations targeting both STm and SEn, potentially alongside antigenic targets for typhoidal *Salmonella*.

Controlled human infection models (CHIMs) can provide unique insights into mechanisms of disease pathogenesis and elucidate immune correlates of protection [11]. In some circumstances, CHIMs can also provide clinically relevant platforms for candidate vaccine efficacy studies, supporting licensure decisions [12]. This has been particularly true for enteric

pathogens, where CHIMs have played a central role in accelerating development of vaccines

against *S*. Typhi and *V. cholerae* [13,14].

We have recently established a first-in-human NTS CHIM. This model aims to characterise the

clinical and microbiological responses of healthy volunteers to oral challenge with *S*.

Typhimurium, describe putative immune correlates of protection, and provide a platform for

future NTS vaccine efficacy assessments. A consortium of experts in the fields of *Salmonella*

biology, epidemiology, vaccine development and human challenge models have contributed to

the study design [15].

Several considerations relating to bacterial challenge strain selection, manufacture and

characterisation are central to the safe and ethical conduct of controlled human infection

models. The approach to strain selection has been described in detail for other bacterial human

challenge models [16,17]. Whilst some pathogen-specific considerations may apply, some

general principles are outlined in **Table 1**. To maximise the utility of a disease model, challenge

strains should aim to be as a minimum: i) phylogenetically representative of contemporary

disease-causing isolates; ii) susceptible to commonly used antibiotics; iii) have a traceable

history from isolation; iv) possess a representative repertoire of virulence factors and v) be

associated with a relevant disease phenotype for vaccine efficacy assessment. Here we describe

the strategy used to select the most relevant strains for use in a novel NTS CHIM. We provide

comprehensive phenotypic and genomic characterisation of selected strains and detail the

testing protocols associated with manufacture to GMP standards. We go on to explore the effect

of sodium bicarbonate exposure on *S*. Typhimurium virulence gene expression, in an attempt to

model the bacterial transcriptional profile at the point of oral administration.

| Consideration | Rationale |
|---|---|
| Challenge Agent Strain Selection | • Representative of current strains causing natural infection or disease by the pathogen under investigation<br>• Selected to produce measurable clinical symptoms or signs which mimic natural infection with the pathogen under investigation (established by pre-determined clinical endpoints), without causing severe disease or complications.<br>• Wild type, attenuated, or genetically modified strains may be utilised, determined by the primary objective of the CHIM and its intended application e.g. vaccine efficacy assessment.<br>• Full provenance of the challenge agent must be known and should have a traceable history from isolation |
| Challenge Agent Strain Characteristics | • Reliable susceptibility to antibiotics intended for use within the context of a CHIM<br>• Demonstrated genetic stability throughout the study period to avoid unexpected changes in virulence or resistance |
| Challenge Agent Strain Manufacture, QC and Testing | • Equipment and reagents must be available, serviced, calibrated, and operated by trained personnel<br>• Production should be within laboratory facilities with appropriate Biosafety containment level suitable for the specific CA<br>• Key physical and chemical properties of the CA must be demonstrated and recorded: identification via standard microbiological diagnostics, absence of impurities or toxins, stability and sensitivity to pH, temperature chemicals<br>• Purity: CA should be free from other agents of human infection (viruses, bacteria, fungi), determined by microbial limits testing<br>• Stability: CA should maintain stability following manufacture, transport and storage, determined by repeat testing and appropriate intervals |
| Regulatory Compliance | • CA dossier must be produced and available following release from manufacture, detailing testing results from the above listed requirements<br>• Engagement with regulatory bodies overseeing manufacture and production is essential and comply with local/national regulations e.g. international variation in classification of CAs as investigational medical products<br>• Full consideration must be given to public health and infection control aspects of CA use e.g. informing local health protection teams and developing risk assessments to manage unintentional release or transmission of CA<br>• Risk assessments must be in place for the import, transport and storage of CA within appropriate biosafety facilities |

129 **Table 1:** Key considerations for challenge agent selection, development and production for controlled human infection models. CA: challenge agent, CHIM:
130 controlled human infection model, QC: quality control. Adapted from [18].

## 2  RESULTS

### 2.1  Challenge Agent Strain Selection

Strain selection for use in the CHANTS study has been conducted in accordance with the principles and considerations outlined in **Table 1**. iNTS in sSA is known to occur in at-risk populations with specific risk factors, such as recent malaria, malnutrition, sickle cell disease and advanced HIV infection. Additionally, the dominant circulating *S.* Typhimurium strains responsible for the majority of infections have been shown to have undergone evolutionary changes, characterised by genomic degradation and pseudogene formation, which are postulated to represent host adaptation towards an invasive phenotype [6]. The relative contributions of host susceptibility and host-adapted bacterial factors in iNTS are incompletely understood.

To address some of these knowledge gaps, the CHANTS study utilises two distinct strains of *S.* Typhimurium which are considered representative of both invasive (iNTS) and diarrhoeal (dNTS) disease-causing isolates. ST313 is the dominant iNTS pathovar in sSA, whilst ST19 is considered an archetypal strain representative of globally distributed dNTS infections [5]. In addition to their epidemiological relevance, D23580 (ST313) and 4/74 (ST19) are model organisms which have a traceable history from isolation, and have undergone extensive prior *in vitro* phenotypic, genomic, and transcriptomic characterisation, which facilitates a deeper understanding of biological properties [19].  Both strains have been deposited in the UK Health Security Agency (UKHSA) National Collection of Type Cultures (NCTC) and have been sourced from this repository for the purposes of this study (D2350 [Ref 14677]; 4/74 [Ref 14672]).

156 The final selection of these specific strains was informed at an expert consultation meeting, and

157 was ultimately based on their status as well-characterised archetypal laboratory strains

158 representing two major lineages of *Salmonella* Typhimurium [15].

160 **2.2 GMP manufacture, quantification, and purity of challenge stocks**
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Identification of manufactured challenge agent stocks was initially confirmed by positive

agglutination of both strains with *Salmonella* group B antiserum. Pre- and post-freeze viability

was confirmed by colony counting. The pre-freeze viability of ST19 4/74 was $1.24 \times 10^9$ CFU/ml

and the post-freeze viability was $5.2 \times 10^8$ CFU/ml. The pre-freeze viability of ST313 D23580 was

$9.95 \times 10^8$ CFU/ml, and the post-freeze viability was $4.9 \times 10^8$ CFU/ml.

Microbial limits testing confirmed manufactured challenge agent stocks were free from non-

sterile products, including specified organisms: Bile Tolerant Gram-Negative bacteria,

*Escherichia coli, Pseudomonas aeruginosa, Staphylococcus aureus, Bacillus spp., Clostridia*

*spp., Aspergillus spp.*, and *Candida spp*. Stocks diluted in TSB diluent $10^{-3}$ to $10^{-8}$ and plated on a

range of organism specific selective media confirmed absence of objectionable organisms.

**2.3 *S.* Typhimurium viability is reduced in acidic pH <3.5**
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We next evaluated the optimal conditions for challenge agent viability and delivery, focusing on

the role of gastric acid pH as a first line of defence against enteric pathogens. Previous *S.* Typhi

challenge studies demonstrated that neutralizing gastric acid prior to administration significantly

lowers the infectious $ID_{50}$ dose [20]. Pre-treatment with sodium bicarbonate – routinely used in

human challenge models for *S.* Typhi [20], *Vibrio cholerae* [21], and *Shigella* spp. [22] is also

thought to reduce batch-to-batch variability and enhance reproducibility in enteric challenge

studies.

We began by assessing the pH sensitivity of strains D23580 and 4/74, with the aim of defining a

threshold below which viability of the challenge strains significantly decreases. $5.15 \times 10^5$ CFU/ml

of each strain were incubated for 15 minutes in several pH test conditions prior to plating. Both

strains demonstrated similar stability in 0.9% saline, sodium bicarbonate solution (2.6g/120ml),

and acidic conditions above pH 4. As expected, survival significantly declined following

incubation in pH ≤ 3.5, with D23580 demonstrating greater sensitivity in this pH range (**Figure 1**).

These findings are consistent with known sensitivity of *Salmonella* to acidic conditions and

supports the commonly utilised approach of neutralising gastric acid with bicarbonate pre-

treatment in enteric challenge models [23,24].

**2.4    *S*. Typhimurium viability remains stable in 0.9% saline and bicarbonate buffer to 1**
**hour**
195

We sought to determine the stability of challenge strains under various holding buffer conditions.

We began by comparing bacterial survival following incubation in 0.9% saline and sodium

bicarbonate (2.6g/120ml) buffer, in addition to testing different holding times on ice (T = 0, 1, 2, 3

hours) to determine the optimal solution and maximum hold duration to ensure viability at the

time of oral administration. Survival was maintained at >90% in 0.9% saline solution to T=2hrs

and dropped significantly to <80% after T=3hrs, compared with T=0hr baseline. Survival in

sodium bicarbonate was reduced in comparison, with a marked drop in survival <80% at T=2hrs

(**Figure 2**). These findings were initially demonstrated with D23580 and subsequently confirmed

with repeat testing on 4/74 (T = 1 hour; saline only). As a result of these findings, we updated the

challenge agent preparation SOP to use 0.9% saline instead of sodium bicarbonate as the

holding buffer with a maximum one-hour hold interval between formulation and administration.

## 2.5 Sodium bicarbonate pre-treatment maintains simulated gastric fluid pH above critical thresholds for STm survival

In the *Salmonella* Typhimurium challenge (CHANTS) study, participants are administered a challenge agent in the form of a liquid suspension after fasting for at least 90 minutes. The challenge procedure comprises two components: (i) a sodium bicarbonate solution (2.6g/120ml) pre-treatment for gastric acid neutralisation, followed by (ii) a 30ml 0.9% saline solution-bacterial challenge agent suspension.

We next evaluated the impact of sodium bicarbonate pre-treatment on the expected pH of the stomach at the time of bacterial challenge. Gastric acid represents a key defence mechanism against gastrointestinal infection. *Salmonella enterica* have evolved multiple strategies to improve survival following acid exposure, including the acid-tolerant response (ATR) and the arginine D-carboxylase system, but the organism still remains susceptible to gastric acid killing [23–25].

To model the gastric passage of the liquid challenge agent, we used the Rosset-Rice (RR) *in vitro* system to model bacterial stability and survival following simulated transit through the stomach and intestinal tract. The RR model is designed to replicate the low pH conditions of a fasted adult stomach by introducing acid at rates that mimic physiological acid secretion. The model is predicated on a set of assumptions concerning gastric physiology. In particular, the rate of gastric emptying is known to be regulated by several factors (25). In fasted adults, it is estimated that 240ml volume of non-caloric liquid can empty from the stomach in approximately 15-20 minutes [26,27]. The mean residual volume in a stomach after an overnight fast is estimated to range between 25-50ml [26,28,29]. Allowing for inter-individual variability, the resting pH of a fasted adult stomach typically ranges from pH 1 to 3 [30]. The basal acid output in healthy adult stomachs is estimated to be 1-5 mmol HCl/hour, which increases significantly on eating [31].

Although it mimics some key aspects of gastric physiology, one limitation is that it does not

simulate the removal of gastric contents which would usually dilute the acidic environment as

contents are removed over time.

Gastric pH dynamics were modelled in the modified Rossett-Rice *in vitro* system modelling an

antacid dose of 2.6g sodium bicarbonate buffer to ensure that it maintains pH above the critical

threshold of pH 3.5 identified for D23580 and 4/74 survival. Serial measurements every minute

confirmed that gastric pH is maintained above the critical threshold pH >3.5 and is approximately

pH 6.5 at 70 minutes following administration of bicarbonate buffer (**Figure 3**).

**2.6   *S.* Typhimurium viability remains stable in simulated gastric and intestinal fluids after 1 hour hold on ice**

The modified Rossett-Rice model was then utilised to determine bacterial stability and survival

following simulated transit through the gastrointestinal tract. STm challenge strains were

incubated in 0.9% saline solution and either directly added to the RR model or after a 1hour hold

on ice. Fluid was then sampled at 0, 30, 45 and 60 minutes after addition to the RR model and

plated for overnight incubation. There were no significant differences in survival between T=0hr

and T=1hr hold on ice prior to addition to the RR model. CFU counts declined with time and

overall survival was approximately 70% and 80% after 60 mins in the RR model for 4/74 and

D23580, respectively (**Figure 4**). We further modelled survival of the challenge strains during

transit from the stomach to the small intestine. STm challenge strains were again subjected to

the RR model and after 70 minutes samples were taken and exposed to simulated intestinal fluid

and subsequently plated at 0, 30, 70-minute time points to mimic residence time in the upper

small intestine. There was no difference in survival of either challenge strain between 0-70

minutes of exposure to simulated intestinal fluid.

## 2.7 Phenotypic Confirmation and Characterisation

### 2.7.1 STm D23580 and 4/74 exhibit differential growth characteristics in melibiose

A key metabolic property distinguishing D23580 from 4/74 is the differential ability to utilise disaccharide melibiose as a sole carbon source [19]. D23580 contains three nonsynonymous SNPs in melibiose utilisation genes (*melB* and *melR*) leading to downregulated expression of proteins responsible for active transport of melibiose across the cell membrane. It is speculated that the ability of 4/74 to metabolise melibiose confers a survival advantage during gastrointestinal colonisation, and the loss of this capacity in D23580 reflects niche adaptation towards an invasive phenotype [19]. This differential utilisation was demonstrated by evaluation of bacterial growth curves in minimal media and LB. Growth curves were comparable between strains in minimal media supplemented with 5% glucose, whilst growth of STm D23580 is markedly attenuated in minimal media supplemented with melibiose (**Figure 5**).

### 2.7.2 STm D23580 and 4/74 exhibit differential swimming motility

As previously described, swimming motility in soft agar is reduced in D23580 compared with 4/74 due to downregulation of flagellin expression. This phenotype is consistent with other invasive *Salmonella* serovars including Typhi, Paratyphi A, and Gallinarum, which rapidly reduce flagellin expression upon cellular invasion to avoid immune recognition by TLR-5 and flagellin-specific CD4$^+$ T-cells [32–34]. The reduced swimming motility of D23580 compared with 4/74 in soft agar after 4 hours incubation at 37°C is illustrated in **Figure 6**.

### 2.7.3 STm D23580 and 4/74 exhibit differential RDAR morphotypes

At room temperature, many strains of *Salmonella* can form red, dry, and rough (RDAR) colonies, which result from the formation of aggregative fimbriae. RDAR colonies reflect multicellular

behaviour that enhances *Salmonella* environmental stress resistance and biofilm formation.

This loss of multicellular behaviour has been demonstrated in D23580, hypothesised to occur as

a consequence of human host-adaptation [35]. A positive RDAR phenotype appears as a red

colony with filamentous texture, whilst a negative RDAR phenotype appears as a smooth and

yellow colony. Differential RDAR morphotype appearances between 4/74 and D23580 are

illustrated in **Figure 6**.

**2.7.4    Antibiotic Susceptibility**

Multi-drug resistance in *Salmonella spp.* is defined as resistance to ampicillin, co-trimoxazole

and chloramphenicol. iNTS is increasingly multi-drug resistant in Africa, demonstrated by the

emergence and persistence of ST313 [5]. D23580 harbours four plasmids, including pSLT-BT,

which encodes resistance to chloramphenicol, ampicillin, streptomycin, sulphonamides, and

trimethoprim.

Disc diffusion on Mueller-Hinton agar, and broth microdilution using BD Phoenix provided zone

inhibition diameters and MIC concentrations respectively and were compared to published

EUCAST guidelines for sensitivity [36]. Antibiotic sensitivity results for both strains were as

predicted in both disc diffusion and broth microdilution methods. BD Phoenix minimum

inhibitory concentrations (MIC) and interpretations are illustrated in **Table 2**.

| Antimicrobial | MIC | Interpretation |
|---|---|---|
| **4/74 (ST19)** | | |
| Ampicillin | <=2 | **S** |
| Amoxicillin-Clavulanate | <=2/2 | **S** |
| Ceftriaxone | <=0.5 | **S** |
| Ciprofloxacin | <=0.25 | **S** |
| Gentamicin | <=1 | **S** |
| Meropenem | <=0.125 | **S** |
| Piperacillin-Tazobactam | <=4/4 | **S** |
| Trimethoprim-Sulphamethoxazole | <=1/19 | **S** |
| **D23580 (ST313)** | | |
| Ampicillin | >8 | **R** |
| Amoxicillin-Clavulanate | 16/2 | **R** |
| Ceftriaxone | <=0.5 | **S** |
| Ciprofloxacin | <=0.25 | **S** |
| Gentamicin | 2 | **S** |
| Meropenem | <=0.125 | **S** |
| Piperacillin-Tazobactam | <=4/4 | **S** |
| Trimethoprim-Sulphamethoxazole | >4/76 | **R** |

**Table 2**: Antibiotic susceptibility profiles of STm 4/74 and D23580 as measured by broth microdilution using the automated BD Phoenix system. Minimum inhibitory concentrations (MIC) were measured and interpreted in accordance with EUCAST guidelines to determine susceptibility. S = susceptible, R = resistant

**2.8    Whole genome sequencing confirms STm genomic stability post-manufacture**

Illumina whole-genome sequencing was performed to assess for single nucleotide polymorphism (SNP) differences which may have arisen during the manufacturing process compared with established reference strain sequences.

No SNP mutations were detected comparing *S*. Typhimurium 4/74 or D23580 manufactured stocks with the reference strain sequences [4/74 Genbank Accession Numbers: CP002487.1 – CP002490.1; D23580 Genbank Accession Numbers: LS997973.1 – LS997977.1], highlighting overall genomic stability. Consistency with genetic sequences from reference strains ensures the manufactured stocks administered to participants express the same repertoire of virulence factors as circulating strains causing iNTS in sSA. Moreover, these strains express the putative key antigens targeted by vaccine candidates in development, including the *wbaV, wbaU, wbaN, wzxB1* genes in the O-antigen biosynthesis cluster encoding O4, alongside *fliC* and *fliB* genes encoding phase variable flagellar antigens.

**2.9    Sodium bicarbonate exposure does not impact key STm virulence gene expression**

Administration of sodium bicarbonate pre-treatment is frequently utilised in enteric pathogen CHIMs to reduce the required challenge inoculum, and to reduce batch-to-batch variation [20,37,38]. We have demonstrated that STm challenge strain survival is stable, and gastric pH is maintained above critical thresholds in a simulated gastrointestinal tract. However, sodium bicarbonate exposure has also been shown to have a significant effect on bacterial virulence factor gene expression in other enteric pathogens, notably in *E. coli* spp, *Citrobacter,* and *V. cholerae* [39–42]. We sought to investigate the impact of sodium bicarbonate exposure on *S*. Typhimurium virulence gene expression, which may alter the virulence of challenge agent stocks at the time of oral administration.

Transcriptomic profiling of STm D23580 and 4/74 has previously been undertaken and

characterised in multiple infection-relevant conditions [19]. In this investigation, we performed

RNA-seq on whole RNA extracted from STm D23580 and 4/74 after 15-, and 30-minutes

incubation in sodium bicarbonate solution (0.53g/30ml) and compared to gene expression levels

after incubation in water. These conditions were selected for testing to reflect the anticipated

duration bacterial cells will be in contact with bicarbonate buffer during transit from the stomach

to the small intestine following oral challenge.

Overall transcriptome of both strains was evaluated in these 3 conditions, and individual gene

expression level calculated as transcripts per million (TPM). A gene is considered as expressed

when TPM is greater than 10. Both strains 4/74 and D23580 showed a decreasing ratio of

expressed genes with increasing time of incubation in sodium bicarbonate solution (4.11% for

4/74 and 8.15% for D23580). Both strains expressed approximately 70% genes after 30 minutes

incubation in sodium bicarbonate solution compared with water.

*Salmonella* pathogenicity islands SPI-1 and SPI-2 play major role in human infection [43,44],

where SPI-1 plays a role in bacterial invasion to epithelial cells, whilst SPI-2 genes regulate

formation of *Salmonella*-containing vacuoles (SCVs) [45]. Flagella are also major virulence

factors during *Salmonella* infection [46]. It has been shown that sodium bicarbonate exposure

down-regulates flagella expression in *E. coli* [42]. To determine the impact of sodium bicarbonate

exposure on *Salmonella* virulence gene expression, we used a differential expression approach

to compare gene expression before and after sodium bicarbonate treatment and determined that

6% of 4/74 genes and 5% of D23580 genes were differentially expressed. No significant up-

regulation or down-regulation was detected in SPI-1, SPI-2 or flagellar genes in either strain

following bicarbonate exposure. To determine the likely functional impact of these up- or down-

regulated genes, we examined gene ontology and determined that there was no common

functional links among the genes (**Figure 7**). This suggests that minor changes in gene expression

likely reflect experimental variability rather than a meaningful biological trend. In summary, these

transcriptomic data suggest that sodium bicarbonate exposure does not have a significant effect

upon *Salmonella* virulence.

## 3  DISCUSSION

Bacterial stocks used for controlled human infection studies need to be manufactured to a high

standard. In this study, we have described the processes involved with GMP manufacture and

comprehensive phenotypic, genomic and transcriptomic characterisation of two *S*. Typhimurium

strains - D23580 and 4/74. The steps described here are comparable with those undertaken for

previous *Salmonella* challenge studies and serve as a benchmark for the type of testing required

for new challenge agent development. Phenotypic and genotypic characterisation demonstrated

that these two strains behaved as expected and no significant changes were introduced during

the manufacturing process, giving us confidence prior to their administration to healthy human

volunteers. We identified that both strains demonstrated improved survival in 0.9% saline

holding buffer compared with sodium bicarbonate, subsequently adapting our challenge

protocols in response to these data, modifying approaches used in previous *Salmonella*

challenge models. A novel aspect of this study is a more detailed characterisation of *Salmonella*

challenge stocks under conditions mimicking those encountered in the gastrointestinal tract

using a modified Rossett-Rice model. The addition of a transcriptomic assessment of virulence

gene expression offers a snapshot of the expected transcriptional state of the challenge agent as

it enters the stomach, providing new insights and assurances that key virulence factors are

unchanged in *Salmonella* following sodium bicarbonate pre-treatment which is a requirement of

accepted enteric challenge model protocols.

The strains used in this model were selected with careful consideration of the principles outlined

in **Table 1**. An ideal challenge model would incorporate multiple diverse strains to better reflect

pathogen diversity. Whilst this approach might be optimal, it is generally acknowledged that

practical limitations, including GMP manufacturing costs and regulatory complexity, often

preclude challenge studies from using more than one strain. Consequently, a detailed

characterisation of well-documented strains is considered the most feasible approach in most

circumstances. Provenance, traceable history from isolation, and prior comprehensive

characterisation provides greater assurance in the safety and reliability of this first-in-human

CHIM. *S.* Typhimurium was chosen as the preferred serovar for use in this model as it is

responsible for the greatest burden of iNTS in sSA and is supported by the most comprehensive

*in vitro* characterisation data.

Utilising two distinct strains of *S.* Typhimurium (ST19 4/74 and ST313 D23580) offers a unique

opportunity to evaluate the clinical, microbiological and immunological outcomes following

challenge in a healthy immune competent population. This platform facilitates direct

comparison between strains and therefore offers a deeper understanding of the possible impact

of genome degradation and human-adaptation observed in ST313, which may partly account for

its propensity to cause invasive disease in sSA. This also supports our approach to using wild-

type ST313 which harbours a distinct plasmid and prophage repertoire compared with ST19.

Whilst this strain is classified as multi-drug resistant, it remains fully sensitive to all clinically

relevant antibiotics used in the CHANTS study, ensuring participant safety.

In this study, challenge agent manufacture has been undertaken to GMP standard. Unlike some

other jurisdictions, the UK does not legally require challenge agents to be produced under GMP.

Approval from the UK Medicines and Healthcare products Regulatory Agency (MHRA) is only

necessary if the CHIM study involves an investigational medicinal product, such as if a vaccine

is administered alongside the challenge agent. Nevertheless, the MHRA guidance generally

recommends following GMP principles to ensure the safety and quality of the produced

challenge agent. Oversight primarily rests with the ethics committee and study sponsors [47,48].

*Salmonella* Typhimurium is often ascribed as one of the most comprehensively studied and

characterised pathogens and is a model organism for bacteriological research. Availability of

such a compendium of characteristic data was leveraged to facilitate the comprehensive

phenotypic and genomic characterisation undertaken in this study [49]. *In vitro* phenotypic

properties were confirmed to be consistent with published data including RDAR morphotype,

swimming motility and growth kinetics in a variety of nutrient media. Antimicrobial susceptibility

testing also confirmed activity of antibiotic agents selected for use within the CHANTS study.

Further, whole genome sequencing has confirmed genomic stability of both strains throughout

the manufacturing process and confirmed no genetic modifications which might alter expected

pathogenicity. Longitudinal sequencing of stored challenge agent stocks is also being

undertaken throughout the duration of the CHANTS study to confirm maintained genomic

stability.

Building on work from previous *Salmonella* challenge models, we have undertaken novel

assessments of the stability and viability of *Salmonella* in a range of pH conditions, holding

buffers, and in a simulated gastrointestinal environment, to model survival and phenotype at the

point of oral administration.

Our data indicated differences in the stability of *S.* Typhimurium in holding buffers compared with

previous *S.* Typhi and Paratyphi studies. Recent typhoidal *Salmonella* challenge studies

administer 2.1g/120ml of sodium bicarbonate buffer followed by the challenge agent in a

0.53g/30ml sodium bicarbonate holding buffer [37]. The data presented here indicate improved

bacterial survival in a 0.9% saline holding buffer compared with sodium bicarbonate. As a result,

the sample preparation SOP was updated so that the *S.* Typhimurium challenge agent is

administered in 30ml 0.9% saline, similar to the holding buffer used in recent *Shigella sonnei*

challenge studies [50]. In parallel, the bicarbonate buffer protocol was adjusted to account for

the reduction in sodium bicarbonate that would have been otherwise used as a holding buffer for

the 30ml *S.* Typhimurium inoculum. We now administer 2.6g/120ml sodium bicarbonate

solution, which provides the same total mass of bicarbonate ensuring equivalent buffering

capacity compared to previous *Salmonella* challenge study SOPs [37].

Bacterial cells remained stable in pH >3.5, stable for up to 2 hours in 0.9% saline holding buffer

and 1 hour in sodium bicarbonate and remained stable up to 60 minutes in a modified Rossett-

Rice model. These key findings provide assurance that viable bacterial cell counts remain stable

during the challenge agent preparation, transfer and administration protocols followed in the

CHANTS study. Furthermore, we have demonstrated that exposure to bicarbonate buffer does

not significantly alter *Salmonella* virulence factor gene expression. These findings taken together

provide assurance that bacterial cell concentration remains stable during challenge agent

preparation and transfer from the laboratory to clinic, and that gastric acid neutralisation with

bicarbonate pre-treatment does not impact pathogenicity at the point of oral administration,

thus ensuring close recapitulation of what likely occurs in natural exposure.

Whilst this study has provided a comprehensive characterisation of STm 4/74 and D23580 for

use in a first-in-human CHIM, there are limitations in our approach which should be considered.

Firstly, contemporary epidemiological genomic and surveillance studies highlight the important

contributions *S.* Enteritidis – and the emergence of *S.* Typhimurium ST313 sublineages 2.2 and

2.3 – have in iNTS disease burden [5,51]. Including these strains in our model could further

enhance generalisability to those isolates currently circulating in sSA, which account for a

significant proportion of iNTS disease. Furthermore, using recently harvested isolates – or

attenuated strains – could potentially compromise the rigorous ethical standards adhered to in

the development of CHIMs, as highlighted in **Table 1**. As such, strain selection was based upon

these core principles, with STm 4/74 and D23580 being selected as priority pathogens which

could provide the greatest translational data within the context of a CHIM.

In developing a nontyphoidal *Salmonella* (NTS) challenge model to mimic invasive disease, we

opted to develop two strains of *S.* Typhimurium for administration to volunteers, as the serovar

responsible for the majority of iNTS cases globally. Future plans include the development of a

*Salmonella* Enteritidis challenge model, which accounts for approximately one third of iNTS

cases worldwide. Like *S.* Typhimurium, *S.* Enteritidis strains associated with invasive disease in

low-income setting – such as SEn ST11 isolates from sSA [52] – have distinct genetic profiles

compared with those causing gastrointestinal disease in high-income regions. The strain

selection, development and manufacture of such a strain would follow principles similar to those

outlined here.

In summary, extensive characterisation of both *S.* Typhimurium D23580 and 4/74 has

experimentally confirmed predicted and known properties of these strains. These investigations

have significantly advanced the development of *Salmonella* human infection models and

support ongoing efforts to gain deeper understanding of host-pathogen interactions and immune

correlates of protection. Gaining such new understanding of iNTS infection can strengthen

ongoing efforts to support iNTS vaccine and therapeutic development. We aim to report CHANTS

study primary outcome data in the near future, with an outline of how these findings can be

translated to support ongoing efforts to combat iNTS disease in sSA.

## 4  MATERIALS AND METHODS

### 4.1  CHANTS Study Design

The CHANTS study detailed protocol has previously been described [53]. In brief, healthy UK participants aged 18-50 are randomised to receive oral challenge with one of two strains of *S.* Typhimurium (ST313 D23580 or ST19 4/74) in a double-blind, 1:1 randomisation protocol. A dose-escalation protocol is applied using the continual-reassessment method [54]. The primary objective is to determine the minimum infectious dose in colony forming units (CFU) for 60-75% of participants to develop systemic Salmonellosis (defined as bacteraemia and/or sustained fever T ≥38°C for ≥12 hours). Secondary objectives are to describe and compare the clinical, microbiological and immunological responses following challenge. Participants are monitored in a hospital environment for 7 days following challenge and are followed for 1 year to assess long-term safety.

### 4.2  Challenge Agent Strain Selection and Manufacture

STm ST313 D23580 [Ref 14677] and ST19 4/74 [Ref 14672] stocks were sourced from the UK Health Security Agency (UKHSA) National Collection of Type Cultures (NCTC) repository. Stocks were transferred to the Pilot Bioproduction Facility at Walter Reed Army Institute of Research (WRAIR), MD, USA. The challenge agent was prepared by inoculating a single colony of *Salmonella* Typhimurium strain 4/74 or D23580 from a Research Cell Bank ampoule onto Tryptic Soy Agar (TSA) plates. A selected colony was used to inoculate APS LB media in a baffled shake flask, which was incubated at 37 ± 1°C with shaking (200 ± 10 RPM) until the culture reached an OD600 of 2.0 ± 0.2. The culture was then mixed with 16% synthetic glycerol as a cryoprotectant, aliquoted into sterile cryovials, and flash-frozen for storage at ≤ -70°C in ultra-low temperature

freezers. Purity was ensured by streak plating, Gram staining, and dynamic environmental

monitoring compliant with Good Manufacturing Practices (GMP).

Colony counting was performed to assess the pre- and post-freeze viability of the challenge

strains. Two vials of each strain were thawed, and serial dilutions ($10^{-1}$ to $10^{-7}$) were prepared in

0.9% saline. Triplicate TSA plates were inoculated with 100µL of the $10^{-5}$ to $10^{-7}$ dilutions and

incubated at 37±1°C for 18-20 hours. Colony counts were conducted on plates containing 30–

300 colonies. Agglutination testing was conducted using the Sero-Quick Group kit to confirm

serogroup B antigen expression. Colonies were transferred to drops of Group B antiserum or

0.9% saline on a glass slide and observed for visible agglutination within 10 seconds.

**4.3    Microbial Limits Testing**
533

Manufactured challenge agent stocks underwent microbial limits testing for the presence of non-

sterile products, including specified organisms: Bile Tolerant Gram-Negative bacteria,

*Escherichia coli, Pseudomonas aeruginosa, Staphylococcus aureus, Bacillus spp., Clostridia*

*spp., Aspergillus spp.,* and *Candida spp*. Stocks were diluted in TSB diluent $10^{-3}$ to $10^{-8}$ and plated

on a range of organism specific selective media for microbial enumeration.

**4.4    Acid Sensitivity of *S.* Typhimurium in low pH buffers**
541

A pH range between 5 and 3.5 was used to evaluate the pH sensitivity of each STm challenge

strain. Test buffers were prepared in 50ml conical tubes with a mixture of simulated gastric fluid

(SGF; 7108-32, Lot 2301H18), sodium bicarbonate (Fisher S233-500, Lot 220180), and 0.9%

sterile saline (KD Medical RGF-3290, Lot 102021-0) to achieve the desired test pH. Cells were

added to each of the pH buffers at a concentration of $5.15 \times 10^5$ CFU/ml and held in a 37°C water

bath for pH adjusted samples or on ice for control samples for 15 minutes. After incubation the

buffers were neutralized with sodium bicarbonate and diluted with 0.9% saline to a final volume

of 32.1ml. Each test sample was plated in triplicate on 3 LB (Luria-Bertani) (Teknova, L7590)

plates (0.1ml per plate) and incubated overnight at 37°C prior to measuring mean CFU counts.

**4.5 *S.* Typhimurium Stability in 0.9% Saline Compared with Sodium Bicarbonate Buffer**

Stability of holding the challenge agents in bicarbonate buffer (2.6g/120ml) or 0.9% saline

solution was evaluated first with strain D23580 (ST313). The challenge agent was diluted in saline

or bicarbonate buffer to $5.15 \times 10^5$ CFU/ml and held on ice for 1, 2, or 3 hours prior to plating 0.1

mL on LB plates and incubating overnight at 37°C. Mean CFU counts were determined from two

technical replicates. Optimal pre-challenge holding conditions selected for D23580 were

confirmed with 4/74 (ST19) by diluting in 0.9% saline and holding for 1 hour on ice prior to plating

and counting as described above.

**4.6 *S.* Typhimurium Stability in a Modified Rossett-Rice Model**

Stability of the challenge strains was evaluated using a modified Rossett-Rice model (RR) at a 10-

fold reduced scale [55]. A sodium bicarbonate dose of 0.26g (representing a 2.6g human dose)

was evaluated and represents the formulation used in previous *Salmonella* Typhi and Paratyphi

models [20].

To determine the pH range expected in the RR model, a peristaltic pump (set at a rate of 0.4 ml

per minute) was used to add additional SGF throughout the experiment to mimic conditions in

the stomach. Additionally, a 1000ml beaker water bath was prepared and set to 37°C, and a

200ml beaker was placed inside. The stomach contents comprised 0.26g sodium bicarbonate in

12ml sterile water for injection (WFI; KD Medical RGF-3410, Lot 081720-04), 3ml of sterile 0.9%

saline, 0.1ml of 0.9% saline (substituted for cells), and 5ml of simulated gastric fluid (SGF; 7108-

32, Lot 2301H18). This resulted in a final buffer dose of 0.26g. The contents of the 50ml conical

tube were poured into the 200ml beaker and temperature and pH were monitored every minute

for a total of 70 minutes after an initial 5 minutes for acclamation.

To evaluate the challenge strain stability in the RR model, the RR was repeated with 0.1 ml of cells

diluted in 0.9% saline to a working concentration of $5.15 \times 10^5$ CFU/ml. $1 \times 10^9$ CFU/ml of each

strain mixed with glycerol was thawed on wet ice for 30 minutes. Once thawed, the cells were

diluted ten-fold three times in 15ml conical tubes with 0.9% saline to create a working stock of

cells at $5.15 \times 10^5$ CFU/ml. Cells were held in 50ml conical tubes on ice for zero, one, and two

hours prior to testing in the Rossett-Rice model. The ability to recover bacteria by plating was

determined at 0, 30, 45, 60 minutes. Time points were plated on 4 technical replicate LB plates

(0.1ml per plate) and incubated overnight at 37°C prior to CFU counting.

To evaluate the challenge strain stability after transit into the small intestine, the RR model was

conducted as described above for 70 minutes, before samples were removed and added to

simulated intestinal fluid (Ricca 7109-32, Lot # 1412H21). 3.88ml samples of the RR ("gastric

fluid") were taken at 0, 30, 70min and mixed with 26.1ml of simulated intestinal fluid for a gastric

fluid to intestinal fluid ratio of $31cm^3/209cm^3$ [56]. Simulated intestinal fluid was filtered prior to

use and heated to 37°C. After addition of the gastric fluid to the simulated intestinal fluid the

solution was held at 37°C with stirring at 65 RPM. Samples of 0.1ml were plated and counted as

outlined above following overnight incubation.

### 4.7 Phenotypic Characterisation

#### 4.7.1 Growth Characteristics

Growth curves for each strain were determined by culture in the Enzyscreen Growth Profiler in a range of nutrient conditions. Lysogeny broth (LB) overnight cultures were diluted to optical density $OD_{600}$ = 0.01 for inoculation into 96-well plates. Plates were incubated at 37°C for 24 hours with shaking at 220rpm, and measurements obtained every 30 minutes. The Growth Profiler converts green pixel values of each well to optical density based on established calibration curves for relevant media. Each growth curve was generated by the mean values of six replicates. Growth curves for D23580 and 4/74 were assessed in LB media, minimal media supplemented with 5% glucose, and minimal media supplemented with melibiose.

#### 4.7.2 Swimming Motility

Differential swimming motility between strains was assessed by spotting 3μl LB overnight culture of D23580 and 4/74 onto 0.3% LB agar. Plates were left at room temperature for 30 minutes prior to incubation at 37°C. The diameter of migration halos was assessed at 4 hours to determine the relative bacterial swimming motility between strains.

#### 4.7.3 Red, Dry and Rough (RDAR) Morphotype

Red, dry and rough (RDAR) morphotype was assessed in NaCl-deficient LB plates supplemented with 40μl/ml Congo red. 2μl of LB overnight culture was spotted onto plates and incubated at room temperature for 72 hours prior to imaging colonial morphology using the ImageQuant 4000 biomolecular imager.

### 4.7.4    Antibiotic Susceptibility

Antibiotic susceptibility testing was undertaken by disc diffusion and broth microdilution in accordance with EUCAST guidelines [57]. Disc diffusion was performed on Mueller-Hinton (MH) agar with antibiotic discs applied and cultured overnight at 37°C. Interpretation as sensitive (S) or resistant (R) was determined from zone diameter breakpoints produced by EUCAST. Antibiotics tested include ampicillin (10µg), pefloxacin (5 µg), chloramphenicol (30 µg), trimethoprim-sulphamethoxazole (25 µg), ciprofloxacin (5 µg), and ceftriaxone (30 µg).

Broth microdilution was undertaken using the automated BD Phoenix system. Minimum inhibitory concentrations were measured in accordance with EUCAST guidelines to determine susceptibility [36].

## 4.8    Whole Genome Sequencing

Genomic DNA was extracted using the ZYMO Research Quick-DNA Miniprep Plus Kit according to the manufacturer's instructions. In summary, 1ml overnight LB culture was lysed then incubated in a heat block at 55°C for 10 minutes. 420µl genomic binding buffer was added prior to centrifugation and repeated wash with DNA buffer. 30µl DEPC water was added prior to final centrifugation with resulting genomic DNA stored at -20°C. Illumina sequencing of extracted genomic DNA was undertaken (SeqCenter, Pittsburgh, PA, USA), and sequence data was analysed for mutations using SNIPPY software v4.6.0.

## 4.9    Investigation of Bicarbonate Exposure on *S.* Typhimurium Virulence Factor Gene Expression

The impact of sodium bicarbonate exposure on virulence gene expression by STm D23580 and 4/74 was evaluated by transcriptomic profiling. RNA was extracted from D23580 and 4/74 after

incubation in water, and after 15- or 30-minutes incubation in 0.53g/30ml sodium bicarbonate

solution. RNA was extracted from STm strains using a modified TRIzol-based protocol. Overnight

cultures were grown in LB (10 g/L tryptone, 5 g/L yeast extract, 5 g/L NaCl) at 37°C with shaking

(220 rpm). The cultures were diluted 1:1000 into fresh LB, grown to the desired $OD_{600}$, and mixed

with stop solution (5% phenol [pH 4.3], 95% ethanol) to stabilize RNA. Samples were centrifuged

at 3,220g for 10 minutes at 4°C, and bacterial pellets were resuspended and transferred to

microfuge tubes. The pellets were lysed in 1ml TRIzol reagent on ice, and RNA was extracted

using phase lock gel tubes. Following the addition of 400µl chloroform, samples were mixed,

incubated, and centrifuged to separate phases. The aqueous phase was recovered, and RNA was

precipitated with isopropanol. The resulting RNA pellets were washed with 70% ethanol, air-

dried, and dissolved in 20µL DEPC-treated, RNase-free water. RNA quality and concentration

were assessed using a Qubit fluorometer and Bioanalyzer (Agilent RNA 6000 Nano kit). RNA

samples were treated with DNase using the Invitrogen RNase-Free DNase Kit to remove residual

DNA. Libraries were prepared using Illumina's Stranded Total RNA Prep Ligation with Ribo-Zero

Plus kit, incorporating 10bp unique dual indices (UDI). Sequencing was performed on a NovaSeq

X Plus platform, generating paired end 150bp reads. Demultiplexing, quality control, and adapter

trimming were conducted using *bcl-convert* (v4.2.4). Sequence data was analysed in transcripts

per million (TPM) to assess relative gene expression.

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## 6  SUPPORTING INFORMATION

**Ethics Statement**

London (Fulham) REC reference: 21/PR/0051

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**Competing Interests**

The authors have declared that no competing interests exist.

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# 7 FIGURES

**Figure 1** – (A) STm 4/74 (ST19) and (B) D23580 (ST313) survival following incubation for 15 minutes in varying pH and temperature conditions. A broader range of conditions were tested for D23580 with the most relevant conditions being repeated with 4/74. Survival is stable in 0.9% saline, 2.6g/120ml bicarbonate buffer, and acid pH >4. A critical threshold of pH 3.5 was determined, with D23580 demonstrating greater sensitivity compared with 4/74. Mean CFU counts are calculated from three technical replicates.

**Figure 2** – STm D23580 (ST313) and 4/74 (ST19) survival in 0.9% saline compared with 2.6g/120ml sodium bicarbonate buffer. $5.15 \times 10^5$ cells were held in either 0.9% saline or sodium bicarbonate for up to 3 hours, with interval sampling every hour. D23580 was tested initially for full 3 hours, demonstrating marked reduction in survival in bicarbonate at 2 hours. 4/74 survival in 0.9% saline at 1 hour was confirmed to align with selected study protocols for acceptable upper time limits from preparation to participant administration. Percentage CFU survival taken as mean from 2 technical replicates per time point.

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909 **Figure 3** – Simulated gastric fluid pH in modified Rossett-Ross *in vitro* system following administration of 0.26g bicarbonate. A peristaltic pump (set at a rate of 0.4
910 ml per minute) was used to add additional SGF throughout the experiment to mimic conditions in the stomach, with pH monitoring every minute. pH >6.5 is
911 maintained to 70 minutes, maintaining pH above the previously identified critical survival threshold of 3.5.

**Figure 4** – STm D23580 (ST313) and 4/74 (ST19) survival in the modified Rossett-Rice *in vitro* system, simulating bacterial transit through the upper gastrointestinal tract. Incubation conditions tested prior to addition to the RR model included 0, 1 or 2 hours hold on ice in either 0.9% saline or bicarbonate buffer. D23580 demonstrated marked reduction in survival following 2-hour hold in bicarbonate (p<0.001). Both D23580 and 4/74 demonstrated comparable rates survival following 0 or 1 hour hold on ice in 0.9% saline. Mean and standard deviation CFU survival calculated from 4 technical replicates per time point.

**Figure 5** – Growth curves for STm ST19 4/74 and ST313 D23580 in M9 minimal media supplemented with 5% glucose (left) and melibiose (right). Analysed by Enzyscreen Growth Profiler over 24 hours, starting OD600 = 0.01. Comparable growth patterns are observed in 5% glucose, whilst growth of D23580 is inhibited in melibiose. Mean OD600 values at each time point calculated from six technical replicates.

**Figure 6** – (A) Swimming motility of STm 4/74 (left) and D23580 (right) in 0.3% LB agar. Photographed after 4 hours incubation at 37C. The larger halo diameter of 4/74 illustrates increased swimming motility (and flagellar expression) of 4/74 as compared with D23580. (B) Red, dry and rough (RDAR) morphotype colonies of STm 4/74 and (C) D23580 after 72 hours incubation at room temperature on NaCl-deficient LB agar supplemented with Congo red. Imaged using the ImageQuant 4000 biomolecular imager.

**Figure 7** – (A) STm 4/74 (ST19) and (B) D23580 (ST313) ontology of significantly differentially expressed genes pre- and post-bicarbonate exposure. Membrane and transmembrane helix associated genes are affected with greatest frequency, however none are associated with established SPI-1, SPI-2 or flagellar virulence genes.