**Title:** PTBP1 Depletion in Mature Astrocytes Reveals Distinct Splicing Alterations Without

Neuronal Features

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## Abstract

Astrocyte-to-neuron reprogramming via depletion of PTBP1, a potent repressor of neuronal

splicing, has been proposed as a therapeutic strategy, but its efficacy remains debated. While

some reported successful conversion, others disputed this, citing a lack of neuronal gene

expression as evidence of failed reprogramming. This interpretation was further challenged,

attributed to incomplete PTBP1 inactivation, fueling ongoing controversy. Mechanistic

understanding of the conversion, or the lack thereof, requires investigating, in conjunction with

lineage tracing, the effect of *Ptbp1* loss of function in mature astrocytes on RNA splicing, which

has not yet been examined. Here, we genetically ablated PTBP1 in adult Aldh1l1-Cre/ERT2

Ai14 mice to determine whether lineage traced *Ptbp1* knockout astrocytes exhibited RNA

splicing alterations congruent with neuronal differentiation. We found no widespread induction of

neurons, despite a minuscule fraction of knockout cells showing neuron-like transcriptomic

signatures. Importantly, PTBP1 loss in mature astrocytes induced splicing alterations unlike

neuronal splicing patterns. These findings suggest that targeting PTBP1 alone is ineffective to

drive neuronal reprogramming and highlight the need for combining splicing and lineage

analyses. Loss of astrocytic PTBP1 is insufficient to induce neuronal splicing, contrasting with

its well-known role in other non-neuronal cells, and instead affects a distinct astrocytic splicing

program.

## Introduction

Neurons in the adult central nervous system (CNS) possess limited regenerative capacity, posing a great challenge in restoring brain function in neurodegenerative disease. The irreversible nature of CNS damage has spurred a wave of effort to convert non-neuronal cells into functional neurons to compensate for those lost in disease[1]. The use of endogenous cells for direct neuronal conversion presents key advantages stemming from their proliferative capacity, maintenance of epigenetic and transcriptomic aging signatures lost upon reprogramming to stem cells, and bypassing a proliferative intermediate stage[2,3]. Diverse neuronal conversion protocols targeting microglia[4], fibroblasts[5,6], oligodendrocyte precursor cells[7], pericytes[8], and astrocytes[9] have shown feasibility of neural reprogramming. However, such approaches remain controversial for therapeutic application. *In vivo* neuronal reprogramming studies often achieve variable levels of success and provide inadequate evidence to explicitly show converted neurons originate from endogenous non-neuronal cells[10–12].

Recent reports have aimed to achieve neuronal reprogramming in the brain through depletion of polypyrimidine tract binding protein 1 (PTBP1) in glia[13]. PTBP1 is an RNA-binding protein that functions as a master repressor of neuronal splicing[14–17]. To date, seven studies have reported the success of glial *Ptbp1* depletion to induce neuronal conversion *in vivo*. Initial observations by Weinberg et al. demonstrated an apparent generation of functional striatal neurons from oligodendrocytes targeted by an oligotropic adeno-associated viral vector (AAV) encoding *Ptbp1* siRNAs in wild-type rats[18]. Qian et al. employed delivery of adeno-associated viral (AAV) vectors encoding *Ptbp1* shRNA as well as *Ptbp1* antisense oligonucleotides (ASO) into the substantia nigra of 6-hydroxydopamine-treated (6-OHDA) mice modeling Parkinson's disease (PD), and reported generation of new neurons and reversal of PD phenotypes[19]. Zhou et al. delivered CRISPR-CasRx guide RNAs targeting *Ptbp1* mRNA to 6-OHDA mouse striatum and

reported induction of dopaminergic neurons and rescue of motor deficits[20]. Maimon et al. used

intracerebroventricular (ICV) delivery of anti-*Ptbp1* ASO to generate new neurons in aged wild-

type mouse hippocampus and improve cognitive measures[21]. Yang et al. reported neuronal

reprogramming of astrocytes in a mouse model of spinal cord injury by viral GFAP promoter-

driven *Ptbp1* shRNA administration and accompanying recovery of motor function[22]. Yuan et al.

used viral delivery of GFAP promoter-driven *Ptbp1* shRNA into the cortex of an ischemic stroke

mouse model to induce astrocyte-to-neuron conversion and reported brain tissue repair of the

infarction site[23]. Fukui et al. virally transduced astrocytes with *AAV-pGFAP-CasRx-SgRNA-*

*Ptbp1* by tail vein injection into adult mice following ischemic stroke and reported generation of

neurons in the dentate gyrus and rescue of memory deficits[24]. However, some studies have

called this reprogramming approach into question. For example, Guo et al. failed to detect

converted hippocampal, striatal, or substantia nigral neurons upon *AAV-GFAP-shPtbp1* injection

in both wild-type and modeled Alzheimer's disease mice[25].

These studies exercised a variety of *Ptbp1* targeting approaches across a range of disease

models but did not demonstrate that newly converted neurons truly arose from resident glial

cells. Subsequent studies have conducted lineage tracing experiments to investigate the effects

of *Ptbp1* loss on glia-to-neuron conversion. Wang et al. delivered AAVs encoding *Ptbp1* shRNA

or *AAV-GFAP-CasRx-Ptbp1* and a fluorescent reporter to the striatum of an astrocyte lineage-

tracing mouse model and found no co-labeling of lineage-traced astrocytes with *Ptbp1*-depleted

neurons[26]. Chen et al. employed Aldh1l1-Cre/ERT2 mice bred with hemagglutinin (HA) reporter

mice to lineage trace reactive astrocytes in the substantia nigra or striatum of 6-OHDA mice

treated with *AAV-shPtbp1* or Ptbp1 ASO and observed no neuronal conversion in traced glia[27].

Hoang et al. found similar results in the mouse retina upon genetic *Ptbp1* knockout (KO) by

tamoxifen induction in GLAST-Cre/ERT2; Sun1-GFP$^{loxP/loxP}$; *Ptbp1*$^{loxP/loxP}$ mice in Müller glia, with

only subtle changes in gene expression by single-cell RNA-sequencing (scRNA-seq) analysis of

*Ptbp1*-depleted Müller glia[28]. A follow-up study using Aldh1l1-Cre/ERT2; Sun1-GFP[loxP/loxP];

Ptbp1[loxP/loxP] mice provided identical results by 2, 4, and 8 weeks following Cre induction in

mouse cortex, striatum, and substantia nigra[29]. Taken together, these independent studies have

cast doubt on *Ptbp1* loss of function approaches for neuronal reprogramming *in vivo*.

Counterarguments against the lack of astrocyte-to-neuron conversion have emerged,

concerning the degree of PTBP1 depletion and inconsistency between approaches[30]. First,

insufficient knockdown of *Ptbp1* using RNAi or CRISPR systems may result in ineffective loss of

function. Second, durations following PTBP1 depletion do not align precisely between studies.

Even Hoang et al.'s investigation using a genetic *Ptbp1* knockout was challenged by Hao et al.

for inefficiencies, who attributed the lack of gene expression changes based on the scRNA-seq

data obtained at 4 weeks after 4-OHT induction of knockout to ineffective PTBP1 depletion[29,30].

The controversy centers on the interpretation of the absence of gene expression changes: Hao

et al. interpreted this as an insufficient loss of PTBP1 function, while Hoang et al. viewed it as a

failure of astrocyte-to-neuron conversion. Indeed, no prior studies on astrocyte-to-neuron

conversion, including those reporting positive conversion, have investigated PTBP1's well-

established molecular function of regulating alternative splicing.

Thus, three key issues remain concerning the effectiveness of adult *Ptbp1* loss-of-function on

neuronal reprogramming in the brain. First, no study has simultaneously examined the impact of

*Ptbp1* loss on both alternative splicing and cellular changes, which is needed to firmly establish,

or rule out, a direct relationship between PTBP1's molecular function and its influence on

neuronal reprogramming. The downstream effect of *Ptbp1* loss on alternative splicing in

astrocytes remains unknown. Second, additional *Ptbp1* genetic mutants are needed to

rigorously evaluate *Ptbp1* loss-of-function *in vivo,* as only one previous study has used genetic

deletion. This is necessary because Hao et al. argued that complete deletion of PTBP1 induced

cell death and there could be off-target effects of various knockdown approaches in prior

publications. Third, thorough investigation of transcriptomic changes upon astrocytic *Ptbp1*

knockout for a longer period matching those in reports of positive conversion is needed for a fair

comparison.

Here, we address both the RNA splicing and cell type changes following PTBP1 depletion in

mature astrocytes using astrocyte-specific inducible *Ptbp1* conditional knockout (*Ptbp1* cKO)

mice carrying a different *Ptbp1* loxP allele than the one in Hoang's study[29]. We performed

genetic lineage tracing, and enriched the control and *Ptbp1* cKO astrocytes in adult

*Ptbp1*$^{loxP/loxP}$;tdT$^{+/-}$;Aldh1l1-Cre$^{+/-}$ mice for scRNA-seq analysis as well as for bulk RNA-seq and

splicing analysis following *Ptbp1* depletion. We observed efficient depletion of PTBP1 from

astrocytes but no neuronal conversion in *Ptbp1* cKO mice at 4, 8, and 12 weeks after *Ptbp1*

depletion. Our RNA-seq analysis of *Ptbp1*-depleted astrocytes showed minimal alterations in

astrocytic gene expression but obvious changes in RNA splicing profiles, confirming loss of

PTBP1 function. However, these splicing changes do not resemble the characteristics typically

observed in neuronal splicing. ScRNA-seq analysis further revealed limited astrocyte-to-neuron

conversion following genetic *Ptbp1* loss. We conclude that *Ptbp1* depletion in mature astrocytes

effectively alters RNA splicing but is insufficient to induce widespread neuronal conversion.

Intriguingly, our findings also indicate that PTBP1 in mature astrocytes, unlike in other non-

neuronal cell types, is a dispensable repressor of neuronal splicing and controls a distinct

astrocytic splicing program.

**Results**

**Establishing an astrocyte-specific *Ptbp1* conditional KO (cKO) mouse model**

To specifically knockout *Ptbp1* in mature astrocytes, we crossed *Ptbp1*$^{loxP/loxP}$ mice containing

loxP sites flanking *Ptbp1* exon 2[31,32] with the astrocyte-specific tamoxifen inducible mouse line

Aldh1l1-Cre/ERT2[33], and with a Cre-dependent tdTomato reporter line Ai14 (LSL-tdTomato-

WPRE) (Figure 1A). This allows labeling of *Ptbp1* cKO astrocytes (*Ptbp1*$^{loxP/loxP}$;tdT$^{+/-}$;Aldh1l1-

Cre$^{+/-}$) by the tdTomato reporter for downstream analyses. This *Ptbp1* loxP allele is different

from the previous astrocyte-to-neuron conversion study[29], in which the promoter and 1st exon

were removed. The Cre-dependent reporter is also different from Hoang et al.'s study, which

used LSL-Sun1-sfGFP. Therefore, our experiments provide an independent assessment of

astrocyte-specific *Ptbp1* cKO and monitoring of cKO cells.

Since astrocyte maturation persists in the first month following birth[34–37], we administered

tamoxifen by intraperitoneal (IP) injection for five consecutive days from postnatal day 35 to 39

(P35-39). We then collected and analyzed mouse brains at 4 weeks, 8 weeks and 12 weeks

after tamoxifen induction, following the schedule in Hao et al. study that reported positive

conversion (Figure 1A). We first analyzed the consistency of the Cre reporter expression

between control and *Ptbp1* cKO mice. We found that Cre reporter-positive tdTomato-expressing

cells made up approximately 6-7% of the cortical cell population 4 weeks after tamoxifen

induction and persisted for the duration of the 12 week analysis window (Figure 1B-D). The ratio

of tdT$^+$ to DAPI$^+$ cells in the cortex remained around 6% at 8 weeks and 12 weeks following

tamoxifen induction and did not change between control and *Ptbp1* cKO mice (Figure 1D).

These results showed that the Cre activity, indicated by tdTomato expression, already reached

a plateau by 4 weeks after tamoxifen injection and remained stable through 12 weeks. These

findings demonstrate a reliable marker for tracing the targeted population and suggest negligible

cell death in *Ptbp1* cKO cells. Almost all tdT$^+$ cells co-stained with astrocyte marker S100β,

indicating the reliability of the tdTomato as an astrocyte reporter in this mouse model (Figure 1–

figure supplement 1).

Immunostaining for PTBP1 showed 90-96% of cortical tdT$^+$ astrocytes are PTBP1$^+$ in

*Ptbp1*$^{loxP/+}$*,*tdT$^{+/-}$*;*Aldh1l1-Cre$^{+/-}$ or *Ptbp1*$^{+/+}$*,*tdT$^{+/-}$*;*Aldh1l1-Cre$^{+/-}$ mice (Figure 1E). We found that

less than 0.8% of tdT[+] astrocytes co-stained with PTBP1 in *Ptbp1* cKO mice, indicating efficient

astrocyte-specific deletion of PTBP1 in the cortex (Figure 1E). The KO is specific to tdT[+] cells,

as we observed no tdTomato expression in PTBP1[+] cells in the cKO animals (Figure 1C) and

the percentage of tdT[+]PTBP1[+] cells decreased from around 36% to 0.2% of the total PTBP1

cells (Figure 1F). These findings further confirm the mutually exclusive expression pattern of

PTBP1 and tdTomato in *Ptbp1* cKO mice and high efficiency of *Ptbp1* cKO in tdT[+] cells of the

cortex. Taken together, we find that *Ptbp1* cKO mice exhibit robust induction of astrocyte-

specific reporter expression, efficient and specific PTBP1 depletion, making it a reliable model

to study loss of PTBP1 function in mature astrocytes in the adult mouse brain.

**_Ptbp1_ depletion does not induce the astrocyte-to-neuron conversion in the mouse cortex**

A number of independent studies have claimed that knockdown of *Ptbp1* in mature

astrocytes/Müller glia in mouse brain, retina or spinal cord induces neuronal conversion

accompanied by functional recovery as late as 12 weeks after PTBP1 knockdown[19–24]. Others

have disputed these claims[25–29,38,39] arguing that observed conversion is biased by the use of

less rigorous cell type specific promoters[26]. Only one group from the latter studies used a

genetic *Ptbp1* KO mouse model to test astrocyte-to-neuron conversion upon *Ptbp1* loss and

obtained negative results[28,29]. The pro-conversion group challenged this study for its short

observation window, i.e., examining *Ptbp1* mutant mice only up to 8 weeks after 4-OHT

induction rather than at 12 weeks.

Here, we employed an independent astrocyte-specific genetic *Ptbp1 c*KO mouse model for this

controversial topic. Among studies with positive reports, conversion was observed following

AAV-mediated *Ptbp1* KD up to 12 weeks[19,39]. For a fair comparison, we assessed the degree of

neuronal conversion at 4, 8, and 12 weeks after tamoxifen injection to fully cover previously

reported investigation windows. We confirmed the non-neuronal expression pattern of PTBP1 in

the adult wild-type mouse brain, showing little PTBP1 co-staining with NeuN[+] cells in the cortex,

striatum or hippocampus (Figure 1–figure supplement 2). We found similar results in control and

*Ptbp1* cKO mice, with less than 0.1% of NeuN[+] cells co-staining with PTBP1 in the cortex

across the 4 to 12-week period (Figure 1G; Figure 1–figure supplement 3). In contrast, PTBP1

co-staining with S100β, GFAP, and Iba1 showed PTBP1 expression in both astrocytes and

microglia (Figure 1–figure supplements 2 and 3). However, we observed very little astrocyte-to-

neuron conversion (indicated by tdT[+]NeuN[+] cells) upon *Ptbp1* cKO, even at 12 weeks after

tamoxifen induction (Figure 1H). Consistent with the lack of noticeable astrocyte-to-neuron

conversion, the proportion of cortical NeuN[+] cells to total cell counts remained unchanged

between control and *Ptbp1* cKO animals and throughout the 4 to 12-week period (Figure 1I).

***Ptbp1* depletion does not induce the astrocyte-to-neuron conversion in the striatum**

Reports of *Ptbp1* knockdown-induced glia-to-neuron conversion also included brain regions of

the nigrostriatal pathway targeted for neuronal reprogramming in PD mouse models[19,20].

Therefore, we asked whether astrocyte-specific *Ptbp1* cKO can induce neuron conversion in the

striatum at 4, 8, and 12 weeks following tamoxifen induction. We found persistent tdTomato

reporter labeling of striatal astrocytes at 4, 8, and 12 weeks following tamoxifen induction

(Figure 2A-B, Figure 2–figure supplement 1). The proportion of tdT[+] cells in the striatum was

slightly lower than in the cortex, comprising 4-5% of total cells at 4, 8, and 12 weeks in both

control and *Ptbp1* cKO mice after tamoxifen administration (Figure 2C). We observed efficient

PTBP1 depletion from striatal tdT[+] cells in *Ptbp1* cKO mice (Figure 2D). Control mice showed

PTBP1 expression in approximately 95% of tdT[+] cells whereas *Ptbp1* cKO animals showed

minimal PTBP1 expression in tdT[+] cells at any time point (Figure 2D, Figure 2–figure

supplement 1). Approximately 25-30% of PTBP1[+] cells expressed tdTomato in the control

mouse striatum, which was reduced to nearly 0% in *Ptbp1* cKO mice (Figure 2E). Both control

and *Ptbp1* cKO mice exhibited less than 0.1% of striatal NeuN[+] cells expressing PTBP1 (Figure

2F). These findings in the striatum indicate that PTBP1 is efficiently depleted across multiple

brain regions of *Ptbp1* cKO mice at 4, 8 and 12 weeks following tamoxifen induction.

We did not detect neuronal conversion of tdT$^+$ cells in the striatum by 12 weeks (Figure 2A-B).

Control mice exhibited less than 1% of tdT$^+$NeuN$^+$ cells across all time points, and *Ptbp1* cKO

mice did not have a significant increase (Figure 2G). *Ptbp1* cKO mice showed a statistically

significant decrease in tdT$^+$NeuN$^+$ cells at 4 weeks in the striatum, but the absolute changes

remain small. We found a slight increase in the proportion of NeuN$^+$ cells in *Ptbp1* cKO mouse

striatum at 8 weeks, although this effect was not detectable at 4 or 12 weeks following

tamoxifen induction (Figure 2H). Given the absence of reporter labeling in this altered neuronal

population (Figure 2G), we do not attribute the increased ratio of NeuN$^+$ to DAPI$^+$ cells observed

at 8 weeks in *Ptbp1* cKO mice to potential neuronal conversion of striatal astrocytes induced by

*Ptbp1* depletion. We conclude that *Ptbp1* depletion for 4, 8, or 12 weeks is insufficient to induce

efficient neuronal conversion of astrocytes in the striatum.

***Ptbp1* depletion does not induce the astrocyte-to-neuron conversion in the substantia**

**nigra**

The substantia nigra is another region of the nigrostriatal pathway examined for the conversion

of astrocytes to neurons after knockdown of *Ptbp1*[19]. Loss of dopaminergic neurons in the

substantia nigra is a pathological hallmark of PD that causes striatal dopamine deficiency[40].

Qian et al. found that following RNAi-mediated *Ptbp1* knockdown, 20% of reporter-labeled cells

expressed NeuN at 3 weeks, 60% at 5 weeks, and approximately 80% at 10 weeks[19]. By 12

weeks, around 35% of reporter-positive cells exhibited markers for dopaminergic neurons,

including tyrosine hydroxylase (TH)[19].

To determine if *Ptbp1* cKO mice show astrocyte-to-neuron conversion in the substantia nigra,

we performed immunostaining on control and *Ptbp1* cKO samples for NeuN and TH. Both

genotypes demonstrated a strong induction of tdTomato expression, which did not co-localize

with NeuN or TH markers at 4, 8, or 12 weeks following tamoxifen treatment (Figure 3A-C). We

confirmed PTBP1 depletion by immunostaining control and *Ptbp1* cKO samples for PTBP1,

showing a similar pattern of PTBP1 loss in tdT+ cells as in the cortex and striatum (Figure 3–

figure supplement 1). The lack of tdT[+]NeuN[+] or tdT[+]TH[+] cells in substantia nigra demonstrates

that the depletion of *Ptbp1* does not facilitate the conversion of astrocytes to neurons, even after

12 weeks of *Ptbp1* deletion.

**Widespread splicing changes in *Ptbp1* cKO astrocytes**

No studies have investigated the splicing landscape or confirmed the loss of PTBP1's splicing

regulatory function in astrocytes depleted of PTBP1. To investigate gene expression and

splicing changes resulting from genetic *Ptbp1* loss in astrocytes, we performed bulk RNA-seq

on tdT[+] cells isolated from control and *Ptbp1* cKO animals. Cortices were isolated at postnatal

week 9 from animals injected with tamoxifen at postnatal week 5, and tdT[+] cells were sorted by

fluorescence-activated cell sorting (FACS) followed by bulk RNA-seq to obtain >100 million

reads per sample (Figure 4A, Figure 4–figure supplement 1). RNA splicing analysis was

conducted using the Shiba pipeline to explore splicing changes upon *Ptbp1* loss in astrocytes[41].

Our analysis revealed a wide range of splicing changes, identifying 581 differentially spliced

events (DSEs) in 467 genes across eight types of alternative RNA splicing patterns (Figure 4B-

C). We confirmed that *Ptbp1* exon 2 was not expressed in the *Ptbp1* cKO samples (percent

spliced in [PSI] = 14.9), while it remained fully included in the control samples (PSI = 100),

demonstrating the high KO efficiency of *Ptbp1* in astrocytes and the purity of the isolated tdT[+]

cells (Figure 4D). Motif enrichment analysis revealed an overrepresentation of CU-rich

sequences—a characteristic motif of PTBP binding sites[15,16]—near the 3′ splice sites of

differentially spliced skipped exons (Figure 4E), further validating the loss of PTBP1 function.

We then tested whether PTBP1 exhibits position-dependent regulation in adult astrocytes. We

performed a stratified motif enrichment analysis based on the direction of regulation (activation

or repression after PTBP1 loss) and motif position (upstream or downstream) (Figure 4–figure

supplement 2A). CU-rich motifs were significantly enriched in the upstream introns of both

activated and repressed exons upon PTBP1 loss, with higher enrichment observed in repressed

exons (Enrichment ratio = 2.14, $q$ = 9.00×10$^{-5}$) compared to activated exons (Enrichment ratio =

1.72, $q$ = 7.75×10$^{-5}$) (Figure 4–figure supplement 2B–C). In contrast, no CU-rich motifs were

found downstream of activated exons (Figure 4–figure supplement 2D), while a weak, non-

significant enrichment was observed downstream of repressed exons (Enrichment ratio = 1.21,

$q$ = 0.225; Figure 4–figure supplement 2E). These results do not necessarily fully fit with a

couple of earlier PTBP1 CLIP studies showing differential PTBP1 binding for repressed vs.

activated exons but align with the Black Lab study that PTBP1 binds upstream introns of both

repressed and activated exons. Either case, PTBP1 affects a diverse set of alternative exons

and likely involves diverse context-dependent binding patterns.

Gene enrichment analysis for differentially spliced genes (DSGs) revealed enrichment in

pathways related to generic transcription, chromatin organization, DNA damage checkpoint

signaling, cell junction organization, and epigenetic regulation of gene expression (Figure 4F).

Notably, no ontologies associated with neurogenesis or neuronal differentiation were

significantly enriched, suggesting that *Ptbp1* loss in astrocytes does not drive a shift towards

neuronal fate. This finding suggests that the splicing alterations are specific to astrocyte

physiology and function rather than a change in cell fate, reinforcing the absence of astrocyte-

to-neuron conversion upon genetic loss of *Ptbp1*.

**Splicing changes in *Ptbp1* cKO astrocytes do not resemble those associated with the**

**acquisition of neuronal fates**

To further investigate the relationship between splicing changes in *Ptbp1* cKO astrocytes and

the splicing programs associated with the neuronal fate, we conducted principal component

analysis (PCA) of the splicing profiles from the control and *Ptbp1* cKO astrocytes as well as

different stages of *in vitro* neuronal differentiation. The results showed close clustering of the

control and cKO astrocyte samples, which were positioned separately from *in vitro* differentiated

neurons (Figure 5A). Interestingly, on PC1 the control and cKO astrocytes align with day in vitro

(DIV) −4 and DIV 0 cells, which are considered radial glia cells. PCA analysis of the control and

*Ptbp1* cKO astrocytes and developmental cortical tissue also showed the cKO samples do not

deviate from the control astrocytes in a direction of neurogenesis (from E10 to P0, Figure 5–

figure supplement 1).

We conducted pair-wise comparisons of the splicing profiles between control and *Ptbp1* cKO

astrocytes as well those observed in DIV 0 (radial glia) and DIV 28 (maturing neurons).

Correlation analysis demonstrated that *Ptbp1* cKO astrocytes retained a splicing profile very

similar to the control astrocytes ($\rho$ = 0.92), and to a lesser degree DIV 0 radial glia ($\rho$ = 0.80),

but distinct from that of DIV 28 neurons ($\rho$ = 0.24). Control astrocytes also appeared more

similar to DIV 0 radial glia than DIV 28 neurons ($\rho$ = 0.79 and 0.24 for DIV 0 and DIV 28,

respectively) (Figure 5B). These results show that mature astrocytes exhibit splicing profiles

different from radial glia or neurons but substantially closer to those of radial glia than neurons.

More importantly, despite inducing significant splicing changes, PTBP1 loss has not forced

astrocytes to adopt a neuronal splicing pattern. Pairwise comparisons using developmental

cortical tissue show similar correlation results that the control and cKO astrocytes are more

similar to E10 cortices than P0 cortices (Figure 5–figure supplement 1).

Next, we compared the splicing changes induced by PTBP1 loss and those by differentiation.

The scatter plot of delta PSI (dPSI) in *Ptbp1* cKO astrocytes (cKO vs. Control) against the

developmental splicing changes in *in vitro* neuronal differentiation (DIV 28 vs. DIV 0) showed

that differentiation caused many more splicing alterations most of which were not affected by

PTBP1 depletion in astrocytes (F2 and F6 in Figure 5C-D). Events with positive correlation (F1

and F5) are not significantly more frequent than those with negative correlation (F3 and F7). F1

and F5 represent only 14.7% of total differential splicing events associated with neuronal

differentiation, vs. 11.8% for F3 and F7, and the magnitudes of splicing changes induced by

PTBP1 loss are substantially smaller than those occurring in differentiation. Indeed, only 17% of

events in F1 and F5 are significantly different between *Ptbp1* cKO and control ($|dPSI| > 10$,

adjusted Fisher's exact test $P < 0.05$, and Welch's t-test $P < 0.05$). Therefore, PTBP1 depletion

in mature astrocytes has caused an insignificant and incoherent effect of gaining characteristics

of neuronal splicing. Similar results were observed when analyzing splicing changes during

cortical development (Figure 5–figure supplement 1). In summary, splicing alterations upon

*Ptbp1* deletion are primarily astrocyte-specific and do not align with the typical neuron-related

splicing patterns observed during neuronal development.

To further validate that *Ptbp1* depletion does not induce a neuronal-like splicing program, we

extended our comparison using an independent dataset of astrocyte-derived neurons generated

through overexpression of proneural transcription factors (Ngn2 or mutant PmutNgn2)[42]. PCA

analyses using this dataset and ours showed that *Ptbp1* cKO astrocytes cluster tightly with

control *in vivo* astrocytes across all components (Figure 5–figure supplement 2A). The *in vivo*

splicing profiles from this study and the *in vitro* splicing profiles from Pereira, A. *et al.* are well

separated on PC1 and PC2. In contrast, PC3 and PC4 revealed biologically meaningful

separation between *in vitro* astrocytes and astrocyte-derived neurons. While Ngn2/PmutNgn2-

induced neurons and GFP controls were distributed across a broader range along these axes,

*Ptbp1* cKO samples remained tightly grouped with control astrocytes and showed no directional

shift toward the neuronal cluster (Figure 5–figure supplement 2B). These findings further

support the conclusion that PTBP1 depletion in mature astrocytes does not induce a neuronal-

like splicing program, even when compared against neurons derived from the astrocyte lineage.

We next performed pairwise correlation analysis of PSI between *Ptbp1* cKO, control astrocytes,

and PmutNgn2-induced neurons. These comparisons again confirmed that *Ptbp1* cKO

astrocytes are highly similar to control astrocytes (ρ = 0.81) and clearly distinct from PmutNgn2-

induced neurons (ρ = 0.62) (Figure 5–figure supplement 2C), reinforcing the notion that PTBP1

loss alone is insufficient to drive a neuronal-like splicing transition.

We plotted the splicing changes induced by PTBP1 depletion in astrocytes against those

observed in PmutNgn2-induced neurons and classified events into eight categories based on

the direction and significance of splicing changes (Figure 5–figure supplement 2D). The majority

of events (F4 and F8) were significantly altered only in *Ptbp1* cKO astrocytes but not in

PmutNgn2-induced neurons, while only a small fraction of events (F1 and F5) showed

concordant changes in both conditions. The overlap of events with similar regulation (F1 and

F5) was limited, comprising only 14.8% of total differentially spliced events (35/236) compared

to 49.2% (116/236) in the *Ptbp1* cKO astrocytes-specific category (F4 and F8) (Figure 5–figure

supplement 2E).

Together, these findings further support the conclusion that PTBP1 depletion in mature

astrocytes does not recapitulate the splicing patterns associated with neuronal differentiation in

the context of either developmental differentiation or *in vitro* astrocyte-to-neuron reprogramming.

PTBP1-regulated splicing events in astrocytes reflect an astrocyte-intrinsic program, distinct

from the broader remodeling observed during neuronal lineage acquisition.

**Thorough examination of gene expression changes in *Ptbp1* cKO astrocytes**

The bulk RNA-seq data from purified tdT$^+$ cells (astrocytes) provided the sequencing depth to

thoroughly examine the gene expression changes after *Ptbp1* cKO. We found that only 11

genes were identified as differentially expressed genes (DEGs) between control and *Ptbp1* cKO

samples (adjusted *P* < 0.05 and |log2 fold change| > 1) (Figure 6A). Among these, 7 genes were

upregulated: *Cfap54*, *Gm10722*, *Tdg-ps2*, *Zim1*, *Tmem72*, *Cpz*, and *Ntn4*. The 4 downregulated

genes were *Tdg*, *1190007I07Rik*/*Brawnin*, *Cep83os*, and *Mt2*. Notably, none of the identified

DEGs were markers of neurogenesis or any specific cell lineages, suggesting that *Ptbp1* loss

does not induce an astrocyte-to-neuron conversion or trigger the expression of genes

associated with neuronal lineages. Despite the deletion of exon 2 (Figure 4D), which introduces

a frameshift predicted to disrupt the open reading frame and trigger nonsense-mediated mRNA

decay (NMD), gene-level expression of *Ptbp1* remained largely unchanged between control and

cKO samples (adjusted *P* = 0.81, log2 fold change = -0.18) (Figure 6A). We suspect that the

process of brain tissue dissociation and FACS sorting for bulk or single cell RNA-seq may

enrich for nucleic material and thus dilute the NMD signal, which occurs in the cytoplasm.

Alternatively, the transcripts (like other genes) may escape NMD for unknown mechanisms.

Although a frameshift is a strong indicator for triggering NMD, it does not guarantee NMD will

occur in every case.

The PCA of gene expression profiles, combined with RNA-seq data from the *in vitro*

differentiated neurons, showed distinct clustering of control and cKO astrocytes from

differentiating neurons (Figure 6B). The two astrocyte samples were closely grouped together

and resembled DIV 0-1 cells, or radial glia, on PC1, but were positioned separately from all

neuronal samples on PC2 (Figure 6B). Correlation analysis demonstrated almost identical gene

expression profiles between control and *Ptbp1* cKO astrocytes (ρ = 0.99) (Figure 6C). Control

astrocytes appeared more similar to DIV 0 radial glia than to DIV 28 neurons (ρ = 0.43 and 0.36

for DIV 0 and DIV 28, respectively). This result highlights that mature astrocytes have more

similarity to the expression profile of radial glia than neurons, as well as splicing profiles, but are

still quite distinct. These patterns are not affected by PTBP1 depletion. Similar results were

observed in samples of developmental cortical tissue, where PCA demonstrated clear

separation between astrocytes and neuronal samples (Figure 6D), with no increase in

correlation by PTBP1 loss (Figure 6E).

Consistent with this, PCA using an independent dataset of astrocyte-derived neurons[42] showed

that control and *Ptbp1* cKO astrocytes clustered tightly together and no directional shift toward

the neuronal cluster (Figure 6–figure supplement 1A–B). Correlation analysis further supported

this result, with a strong similarity between *Ptbp1* cKO and control astrocytes ($\rho = 0.97$), and low

similarity between *Ptbp1* cKO astrocytes and astrocyte-derived neurons ($\rho = 0.27$) (Figure 6–

figure supplement 1C). These findings indicate that, even with PTBP1 loss, cKO astrocytes

retain a transcriptional profile very distinct from that of neurons, underscoring that *Ptbp1*

deficiency alone does not induce astrocyte-to-neuron reprogramming at the transcriptomic level.

**Single-cell RNA-seq analysis reveals limited astrocyte-to-neuron conversion following**

**genetic *Ptbp1* loss.**

Prior scRNA-seq study of *Ptbp1* null astrocytes was conducted only at 2 and 4 weeks after

tamoxifen induction. To thoroughly assess the effects of *Ptbp1* loss in astrocytes at single-cell

resolution, we performed scRNA-seq on equal proportions of tdTomato$^+$ (Cre+) and tdTomato$^-$

(Cre-) cells from the same animals 12 weeks after tamoxifen induction for the control and *Ptbp1*

cKO groups (Figure 7A). By evaluating both Cre+ and Cre- populations in the cortices, we

aimed to accurately identify cells with *Ptbp1* loss and assess potential cell-type conversion

through clustering analysis. Following rigorous quality control and filtering, we obtained 10,851

cells from control samples and 8,594 cells from *Ptbp1* cKO samples (Figure 7B-D). Based on

gene expression profiles, cells were classified into ten distinct cell types: astrocytes (Astro),

excitatory neurons (Exc), inhibitory neurons (Inh), microglia (Micro), immune cells (Immune),

oligodendrocytes (OL), endothelial cells (Endo), pericytes (Peri), vascular leptomeningeal cells

(VLMC), and ependymal cells (Ependymal) (Figure 7B). Control and *Ptbp1* cKO groups have

almost identical UMAP distribution (Figure 7C-D). We confirmed the Cre transgene expression

was predominantly limited to astrocytes (Figure 7E), ensuring that *Ptbp1* cKO was restricted to

this cell type. Cell counts based on cell clustering revealed no significant differences in cell type

proportions between control and *Ptbp1* cKO groups for both Cre+ and Cre- cell populations

(Figure 7F-G), suggesting that the loss of *Ptbp1* in astrocytes does not grossly affect cell type

distribution in the cortex.

Although we observed no changes in cell type proportion, we identified a very small subset of

excitatory neurons expressing the Cre transgene (Figure 7F). Specifically, there were two

distinct excitatory neuron subpopulations, Exc-1 and Exc-2 (Figure 7B). Only the Exc-1 cluster

contained Cre+ cells, all of which were exclusively from the *Ptbp1* cKO samples (Figure 7H).

Out of the 30 cKO cells in the Exc-1 cluster, seven were Cre+, suggesting a restricted and

selective expression pattern in this subset.

However, of all the Cre+ cells from *Ptbp1* cKO samples ($n$ = 1,460), only 0.48% (= 7/1,460)

were categorized as excitatory neurons, while 90.62% (= 1,323/1,460) were astrocytes (Figure

7G). This observation shows that the loss of PTBP1 does not effectively drive astrocyte-to-

neuron conversion. The presence of these Cre+ Exc-1 cells, exclusively in *Ptbp1* cKO but not in

control samples, hints at the potential of a very small subset of Aldh1l1+ astrocytes (<1%) for

*Ptbp1* loss to facilitate neuron-like identity. On the other hand, we cannot exclude the possibility

of rare neuronal expression of Aldh1l1-Cre/ERT2 in individual animals, since in our lineage

tracing experiments we also observed a very small fraction (<1%) of tdT$^+$NeuN$^+$ cells in animals

(Figure 1H, Figure 2G).

**Discussion**

Using a genetic *Ptbp1* knockout approach in combination with lineage tracing and transcriptomic

analyses, we found little evidence to support that *Ptbp1* loss of function efficiently induces

astrocyte-to-neuron conversion in the adult mouse brain. Our lineage tracing data accord with

recent studies that examined the effects of *Ptbp1* loss through AAV, Cas13X, ASO, and genetic

*Ptbp1* knockout approaches[25–29,43]. Our RNA-seq data from *Ptbp1*-depleted astrocytes shows no

enhancement of neuron-related gene expression, and our scRNA-seq analysis did not uncover

any widespread changes in cell type proportion at 12 weeks after *Ptbp1* depletion apart from a

very small subgroup of Cre+ neurons with unknown origin.

Our study is the first to examine RNA splicing changes in *Ptbp1* cKO astrocytes. In contrast to

minimal gene expression changes, *Ptbp1* cKO astrocytes exhibit widespread splicing

alterations, confirming PTBP1's splicing regulatory function and a clear loss of PTBP1 function

in our isolated astrocytes. As a well-characterized repressor of neuronal RNA splicing, PTBP1

has been shown to regulate brain-specific exons[44–46]. Our analysis in mature astrocytes

suggests that PTBP1 regulates a core astrocytic splicing network distinct from its neuronal or

developmental splicing regulatory role. While the function of astrocyte-specific PTBP1 splicing

regulation remains to be understood, our data indicate that loss of *Ptbp1* in mature astrocytes is

not sufficient to induce neuron-specific splicing patterns, unlike its well-established effect in

other non-neuronal cell types.

Previous genetic *Ptbp1* knockout studies have used mutant mice harboring loxP sites flanking

the *Ptbp1* promoter and the first exon[28,47]. Our study produced similar findings by using a

different *Ptbp1*[loxP/loxP] mouse line carrying loxP sites that flank the second exon. We confirmed

our *Ptbp1* depletion was exclusive and specific to astrocytes using the Aldh1l1-Cre/ERT2

transgenic mice and the Ai14 Cre-reporter mice[33]. Thus, our genetic targeting approach

mitigates concerns of leaky neuronal Cre expression associated with GFAP-based reagents or

promoters raised by previous studies[1,26,28,29]. Our findings reinforce the conclusion that *Ptbp1*

depletion in astrocytes is not sufficient to induce neuronal conversion irrespective of the genetic

targeting method employed to induce *Ptbp1* loss. We did not detect broad induction of neuronal

cell types among *Ptbp1* cKO astrocytes in our scRNA-seq analysis, but uncovered a very small

subpopulation of Cre＋ excitatory neurons among *Ptbp1* cKO cells. These cells make up <0.5%

*Ptbp1*-depleted cells, indicating that while *Ptbp1* loss could promote acquisition of neuronal

identity, such a phenomenon is exceedingly rare or may be an experimental artifact.

Discrepancies in previous reports on *Ptbp1* knockdown-mediated astrocyte-to-neuron

conversion have raised arguments for phenotypic improvements and the cellular origin of

converted neurons. GFAP promoter leakage in neurons may account for widespread reporter

expression in endogenous neurons during AAV-based *Ptbp1* knockdown[26]. Rescue of disease

and aging phenotypes upon *Ptbp1* depletion in astrocytes in the absence of neuronal

conversion has been speculated to occur by trophic remodeling of local cellular environment

and suppression of pro-inflammatory responses in glia[13]. While it is possible that induction of the

astrocyte-specific network of PTBP1-regulated splicing observed in *Ptbp1* cKO astrocytes could

mediate a protective response supporting phenotypic recovery, our GO enrichment analysis did

not uncover upregulation of ontologies related to neurotrophic effects or inflammatory

responses. The possibility remains that other glial cell types are responsible for the increased

neuronal populations reported in previous studies of *Ptbp1* knockdown. ASO-mediated

knockdown of *Ptbp1* has been shown to activate neurogenesis in ependymal cells within

neurogenic niches of the subventricular zone (SVZ) in aged mice[48]. Radial glial cells residing in

the subgranular zone of the dentate gyrus have also been shown to possess neurogenic

capacity in adult rodents in physiological conditions and upon *Ptbp1* depletion[48,49]. These glial-

like subpopulations may represent the original sources of converted neurons, but the extent to

which they obtain intrinsic neurogenic potential to be susceptible to reprogramming remains to

be further investigated. More importantly, considering the stem-cell like features of these cell

populations, whether the observed reporter positive new-born neurons originate from the

reprogramming or reactivated proliferation still needs to be carefully characterized.

<u>Limitations of current studies and future directions</u>

Molecular markers and transcriptomic analyses provide an important assessment of key

molecular changes and alterations of transcriptome-defined cell states associated with *in vivo*

reprogramming but do not capture any cellular or morphological transformation. Continuous live

imaging of converted cells is necessary to document loss of glial morphology and acquisition of

neuronal cytoarchitecture during the conversion process, which has only recently been

performed *in vivo*[50]. While our data indicate that *Ptbp1* depletion in adult astrocytes is not

sufficient to induce efficient neuronal conversion, targeting other cell types for *Ptbp1* loss of

function may be possible to promote neurogenesis and rescue neurodegenerative dysfunction[51].

The use of genetic knockout approaches, stringent lineage tracing analyses, and

comprehensive transcriptomic and splicing profiling remain critical for future studies of cellular

reprogramming.

**Materials and Methods**

| Key Resources Table | | | | |
| --- | --- | --- | --- | --- |
| **Reagent type (species) or resource** | **Designation** | **Source or reference** | **Identifiers** | **Additional information** |
| strain, strain background (*Mus musculus, male, female*) | *"Ptbp1*<sup>loxP/loxP</sup>*; tdT*<sup>+/-</sup>*; Aldh1l1-Cre*<sup>+/-</sup>*" (Ptbp1 cKO)* | This paper | | See Materials and Methods, Mouse Maintenance |
| antibody | anti-S100β (mouse monoclonal) | Sigma-Aldrich | Cat#: S2532; RRID:AB_477499 | IF(1:500) |

| antibody | anti-PTBP1 (rabbit polyclonal) | gift from Dr. Douglas Black | [Markovtsov et al., 2000](#) | IF(1:1000) |
|---|---|---|---|---|
| antibody | anti-PTBP2 (rabbit polyclonal) | gift from Dr. Douglas Black | [Sharma et al., 2005](#) | IF(1:1000) |
| antibody | anti-NeuN (mouse monoclonal) | EMD Millipore | Cat#:MAB377;RRID:[AB_2298772](#) | IF(1:400) |
| antibody | anti-Iba1 (goat polyclonal) | Abcam | Cat#:ab5076; RRID:[AB_2224402](#) | IF(1:1000) |
| antibody | anti-tyrosine hydroxylase (chicken polyclonal) | Aves Labs | Cat#: TYH; RRID:[AB_10013440](#) | IF(1:1000) |
| antibody | anti-GFAP (mouse monoclonal) | Cell Signaling Technologies | Cat#: 3670; RRID:[AB_561049](#) | IF(1:500) |
| sequence-based reagent | PTBP1 | This paper | PCR primers | Forward: TTGCCTCCTTTGAGCAACTT Reverse: TTTGCGACATTTCTCTGCAC |
| sequence-based reagent | Aldh1l1-Cre/ERT2 | This paper | PCR primers | Forward: CTTCAACAGGTGCCTTCCA Reverse: GGCAAACGGACAGAAGCA |
| sequence-based reagent | Ai14 - WT | This paper | PCR primers | Forward: AAG GGA GCT GCAGTGGAGTA Reverse: CCG AAA ATC TGTGGGAAGTC |

| sequence-based reagent | Ai14 - Mutant | This paper | PCR primers | Forward: CTGTTCCTG TACGGCATG G Reverse: GGCATTAAA GCAGCGTATCC |
|---|---|---|---|---|
| other | DAPI stain | Sigma-Aldrich | D9542 | 2µg/mL |

<u>Mouse Maintenance:</u>

Mice in this study were maintained, and the related experimental protocols were used in

compliance to the requirements of the Institutional Animal Care and Use Committees (IACUC)

at the University of California, Riverside. All mice were housed with a temperature at 22 ± 2°C

and a 12h light/dark cycle under the monitoring of the veterinary and staff. Both males and

females were used in this study. The three founder mouse lines are as below:

1. *Ptbp1*[loxP/loxP] line carries loxP sites flanking *Ptbp1* exon 2 was provided by Dr. Douglas

Black[31,32].

2. The tamoxifen inducible astrocyte specific Cre line, Tg (Aldh1l1-Cre/ERT2), was

provided by Dr. Todd Fiacco (https://www.jax.org/strain/029655).

3. The Cre-dependent tdTomato reporter line Ai14 (LSL-tdTomato-WPRE) was provided by

Dr. Martin M. Riccomagno (https://www.jax.org/strain/007914#).

<u>Mouse genotyping:</u>

Mouse genotyping was performed by extracting DNA from mouse toe or tail biopsy samples by

incubating samples in 100 µL lysis solution (Bioland, #GT0102) at 100C for 30 minutes, after

which the reaction was neutralized by adding 100 µL DNA stabilization solution (Bioland,

#GT0102). Genotyping was performed by PCR (94°C for 4 minutes, followed by 34 cycles of

amplification: 94°C for 30 seconds, 55°C for 30 seconds, 72°C for 30 seconds, then 72C for 5

minutes, and hold at 4°C) with the listed primer sets.

| Primer Name | Species | Sequence-Forward | Sequence-Reverse |
|---|---|---|---|
| PTBP1 | mouse | TTG CCT CCT TTG AGC AAC TT | TTT GCG ACA TTT CTC TGC AC |
| Aldh1l1-Cre/ERT2 | mouse | CTT CAA CAG GTG CCT TCC A | GGC AAA CGG ACA GAA GCA |
| Ai14 - WT | mouse | AAG GGA GCT GCA GTG GAG TA | CCG AAA ATC TGT GGG AAG TC |
| Ai14 - Mutant | mouse | CTG TTC CTG TAC GGC ATG G | GGC ATT AAA GCA GCG TAT CC |

<u>Tamoxifen administration:</u>

Tamoxifen powder (Sigma #T5648) was dissolved in corn oil (Sigma #C8267) to 10mg/ml, kept

in 4°C in dark up to one week. To induce astrocyte specific Cre expression in adult mouse brain,

5 consecutive doses of tamoxifen were administrated by IP injection as 75mg/kg to mice from

P35 to 39.

<u>Immunostaining:</u>

At the desired time points, mice were anesthetized by $CO_2$, perfused with cold PBS (pH 7.4) and

cold 4% paraformaldehyde (Acros Organics #AC416785000) in PBS. Mouse brains were

dissected out and post-fixed in 4% PFA at 4°C overnight. In the following day, the brains were

washed three times with pH7.4 PBS, embedded in 3% agarose in PBS and then sectioned to

100 μm using a Vibratome LEICA VT1000S (Leica). Immunostaining was carried out as

previously described. Briefly, brain sections were rinsed three times (30 min/each) with PBS,

permeabilized with 0.5% Triton X-100 in PBS for 30 min, incubated in a blocking buffer (10%

donkey serum, 2% BSA, 0.3% Triton X-100 in pH7.4 PBS) for one hour at room temperature.

Then the sections were incubated with appropriate primary antibodies in blocking buffer at 4°C

overnight. The primary antibodies used in this study are mouse S100β (Sigma #S2532, 1:500),

rabbit PTBP1 (1:1000), gift from Dr. Douglas Black[52]), rabbit PTBP2 (1:1000, gift from Dr. Black,

and from this study[53]), mouse NeuN (EMD Millipore # MAB377, 1:400), goat Iba1 (Abcam

#ab5076, 1:1000), chicken tyrosine hydroxylase (TH, Aves labs #TYH, 1:1000), and mouse

GFAP (Cell Signaling Technology #3670, 1:500). On the second day, sections were rinsed three

times with 0.3% Triton X-100 in PBS and incubated in appropriate Alexa Fluor secondary

antibodies (Life Technologies, 1:1000) in blocking buffer at 4°C overnight. On the third day, the

sections were washed three times with PBS, incubated with DAPI in PBS (Sigma #D9542,

1:500) for one hour at room temperature, washed for three times again with PBS, and then

mounted with ProLong Gold Antifade Mountant (Thermo Fisher Scientific # P36930). Mounted

sections were left in dark at RT overnight and imaged with confocal microscopy LSM800

(Zeiss).

<u>Imaging and quantification:</u>

Single-focal plan or z-stack images were obtained from mouse brain sections by LSM800

(Zeiss) and Zen blue software. Image processing and automated cell counting for DAPI and

NeuN were done using Zen blue software. The cell counting for tdT$^+$, PTBP1$^+$ cells were done

manually. All data analyses were carried out using Excel. The significance was evaluated by *t-*

*test* in Excel. *P<0.05* was considered as significant. *P<0.05* "*", *P<0.01* "**", *P<0.001* "***".

<u>Single cell isolation:</u>

To obtain the single cell suspension from adult mouse brain, we adapted the isolation method

from a previous publication[54] and then further purified the samples with the Debris Removal

reagent (Miltenyi Biotec #130-109-398) according to vendor's manual. To inhibit RNase activity,

we supplemented the cell dissociation buffer and resuspension buffer with RNase inhibitor

(Sigma #3335402001).

The mouse was perfused with 100ml cold PBS to thoroughly wash out blood. The desired brain

regions were dissected out, rinsed with cold HBSS, gently chopped into 1-2mm, and incubated

in 2.5ml HBSS with 20U/ml papain (Worthington Biochemical #LK003176) and 0.005% DNase I

(Sigma #11284932001) in 37°C for 15min with gentle agitation every 5min. The tissue lysis was

triturated 4 times using a silanized glass pipet (Thermo Fisher Scientific #NC0319875) and then

another 15min incubation in 37°C as above. After the completion of the lysis, 2.5ml ice-cold

HBSS+ (HBSS with 0.2U/ul RNase inhibitor, 0.5% BSA and 2mM EDTA) was added and the

sample was centrifuged at 300g at 4°C for 5min. Then the supernatant was removed and 1ml

ice-cold HBSS+ was added to the cell pellets. The sample was triturated 3 times using fire

polished silanized glass pipet, transferred into a pre-chilled 15ml tube, centrifuge at 100g at 4°C

for 15 seconds. The supernatant at step was considered as single cell suspension and

transferred into a clean 15ml tube on ice. A new 1ml ice-cold HBSS+ was added to the cell

pellets, the above steps were repeated 3-4 times, and the single cell suspension was pooled

together (around 3-4ml). The single cell suspension was filtered by 30 µm MACS®

SmartStrainer (Miltenyi Biotec #130098458) to remove cell debris and large clumps, and then

was subjected to the Debris Removal reagent for further purification following the vendor's

manual. The single cells were resuspended in 500ul cold cell resuspension buffer (1X PBS with

0.5% BSA and 1.0U/µl RNase Inhibitor) and followed by tdT$^+$ cell sorting.

Fluorescence activated cell sorting (FACS):

Cells were sorted by using MoFlo Astrios EQ Cell Sorter (Beckman Coulter) with Summit

version 6.3 software. tdTomato was excited by a 561 nm laser, and detected by emissions

spectra between 561-579 nm. Total cell population was selected by forward and side scatter

profiles, and doublet populations were excluded by side scatter area vs. side scatter height plot

gating only singlets. Fluorescence gates were established by comparing tdT$^+$ and tdT$^-$ cells, with

tdT$^+$ cells sorted for RNA extraction into cold Trizol LS. The FAC sorting process was completed

within 2-3 hours per sample.

<u>Bulk RNA-sequencing:</u>

For bulk RNA-sequencing, mice at 4 weeks after tamoxifen injection were collected for single

tdT$^+$ cell sorting. Differently, the single cells were directly sorted into a 1.5 mL Eppendorf tube

containing 750 µL of Trizol LS (Thermo Fisher Scientific #10296028). Cell sorting in each tube

proceeded until volume reached 1mL and then a new tube with Trizol LS was replaced until the

end of the sorting. Total RNA was extracted according to manufacturer's instructions for Trizol

reagent. The RNA quality was monitored by Agilent TapeStation 4150 in the Genomics core

facility in UCR. The samples passed the quality check were sent to Novogene for library

preparation and sequencing.

For quality control and alignment of raw bulk RNA-seq reads from *Ptbp1* knockout samples, we

employed the Snakemake workflow "preprocessing_RNAseq.smk" from SnakeNgs (version

0.2.0) (https://github.com/NaotoKubota/SnakeNgs). In brief, low-quality reads were filtered using

fastp (version 0.23.4)[55], and the remaining reads were aligned to the mouse reference genome

(mm10) using STAR (version 2.7.11a)[56], with Ensembl transcript annotation (v102). Quality

metrics were then collected with Picard (version 3.1.1) (http://broadinstitute.github.io/picard/)

and summarized using MultiQC (version 1.25)[57]. We applied Shiba (version 0.4.0)[58] to the

mapped reads with the default parameters for differential gene expression and splicing analysis.

Shiba internally employs DESeq2[59] for differential gene expression analysis. Genes with

adjusted $P < 0.05$ and the absolute log2 fold change greater than 1 were considered DEGs. For

differential splicing analysis, events with adjusted Fisher's exact test $P < 0.05$, dPSI greater than

10, and Welch's t-test $P < 0.05$ were considered DSEs. Enrichment analysis was performed for

differentially spliced genes (DSGs) using Metascape[60].

Motif enrichment analysis was performed using XSTREME from the MEME Suite (version

5.5.7)[61]. Enrichment ratios and associated *q*-values for each identified motif were calculated

using the SEA (Simple Enrichment Analysis) algorithm implemented in XSTREME. For this

analysis, 200 bp intronic sequences immediately upstream and downstream of differentially

activated (upregulated) and repressed (downregulated) skipped exons were extracted and used

as target sequences. As background controls, we used the corresponding upstream and

downstream regions from all expressed skipped exons.

For comparison of the transcriptome signatures with our *Ptbp1* knockout samples, we analyzed

RNA-seq data from mouse cortex across various developmental stages and ages [62], as well as

from in vitro differentiated neurons (DIV-8 (embryonic stem cells), DIV-4 (neuroepithelial stem

cells), DIV0 (radial glia), DIV-1, DIV-7, DIV-16, DIV-21, and DIV-28)[63] and astrocyte-derived

neurons generated through overexpression of proneural transcription factors (Ngn2 or mutant

PmutNgn2)[42]. We employed the Snakemake workflow "preprocessing_RNAseq.smk" for quality

control and read alignment. Shiba was used to perform differential gene expression and splicing

analysis and to quantify transcript per million (TPM) for each gene and PSI for each alternative

splicing event. Correlations in gene expression and splicing profiles were then assessed for

DEGs and DSEs detected either in astrocytes or neuronal samples.

<u>Single-cell RNA sequencing:</u>

Both mouse brain cortices were collected 12 weeks after tamoxifen injection and subjected to

single cell isolation. After cell sorting, the single cell suspension of tdT$^+$ cells were approximately

adjusted to 1000 cells/ul and the procedures were carried out according to the manufacturer's

instructions for Chromium Next GEM Single Cell 3' GEM, Library & Gel Bead Kit v3.1 (10x

Genomics # 1000128). To enrich the tdT$^+$ cells, for each animal, the cells subjected to 10x

procedure were mixed as 1:1 ratio of tdT$^+$ and tdT$^-$ from the same animal.

For quantification of unique molecular identifiers (UMIs) in our scRNA-seq reads from *Ptbp1*

knockout samples, we employed the Snakemake workflow "kb-nac.smk" from SnakeNgs

(version 0.2.0). This workflow internally executes kb-python[64], a wrapper of kallisto and

bustools[65], to obtain UMI count matrix. We ran this workflow with customized genome and

transcript annotation files that contain tdTomato and Cre gene sequences as well as the

reference Gencode mouse genes. The UMI count matrix was then processed by Scanpy

(version 1.9.5)[66]. We used Scrublet [67] for cell doublets detection and Harmony [68] for batch-effect

correction. Cell types were manually assigned based on the expression level of marker genes.

**Acknowledgements**

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**Animal ethics statement:**

All animal procedures were approved by the University of California, Riverside Institutional

Animal Care and Use Committee (IACUC: #111), in accordance with the guidelines of the US

Department of Agriculture, the International Association for the Assessment and Accreditation of

Laboratory Animal Care, and the National Institutes of Health.

**Data and code availability statement**

Raw fastq and processed files of bulk RNA-seq and scRNA-seq data have been deposited at

Gene Expression Omnibus under accession GSE294763 and GSE294768. The code used for

analyzing the sequencing data have been deposited at GitHub (https://github.com/Sika-Zheng-

Lab/Ptbp1_astrocyte_2025).

**Permissions**

No previously published material has been adapted or reproduced in this manuscript.

**Main figure legends**

**Figure 1. *Ptbp1* depletion does not effectively induce the astrocyte-to-neuron conversion in mouse cortex**

(A) Schematic workflow to genetically generate the adult astrocyte specific *Ptbp1* cKO mouse model and timeline of tamoxifen administration and sample collection. (B) Representative images of mouse cortex collected 12 weeks after tamoxifen injection. White boxes indicate the location of images shown in Figure 1C (Scale bars are 100 μm). (C) Representative immunostaining images of mouse cortex collected 12 weeks after tamoxifen IP injection. White arrowheads in the control panels indicate the expression of PTBP1 in tdTomato$^+$ (tdT$^+$) astrocytes. Yellow arrowheads indicate PTBP1 was successfully depleted in *Ptbp1* cKO astrocytes. The absence of NeuN and tdTomato double-positive (NeuN$^+$tdT$^+$) cells demonstrates no astrocyte-to-neuron conversion with *Ptbp1* depletion. Scale bars are 100 μm. (D) Quantification of tdT$^+$ astrocyte proportion at 4, 8, and 12 weeks following tamoxifen induction. (E-F) Quantification of *Ptbp1* knockout efficiency in control and *Ptbp1* cKO mouse cortex at 4, 8, and 12 weeks following tamoxifen induction. (G) Quantification of PTBP1$^+$NeuN$^+$ double positive cells indicating PTBP1 is not expressed in neurons. (H) Quantification of NeuN$^+$tdT$^+$ cells indicating the absence of astrocyte-to-neuron conversion. (I) Quantification of NeuN$^+$ cells at 4, 8, and 12 weeks following tamoxifen induction showing no changes in the proportion of neurons in control or *Ptpb1* cKO cortex. Animal numbers are n = 3 for both control and KO groups at all

three time points. For quantification, the individual cortical images taken per brain are N=20-24

for 4 weeks, 7-10 for 8 weeks and 10-20 for 12 weeks. The quantification results represent the

average and *stdev* of biological replicates (n). The significance test was carried out by *t-test*, *p<

0.05, **p< 0.01, ***p< 0.001 and "ns" means no difference with p>0.05.

**Figure 2. *Ptbp1* depletion does not induce the astrocyte-to-neuron transition in striatum.**

(A) Representative images of control and *Ptbp1* cKO mouse striatum collected 12 weeks after

tamoxifen injection. White boxes indicate the location of images shown in Figure 2B. Scale bars

are 100 µm. (B) Representative immunostaining images of mouse striatum collected 12 weeks

after tamoxifen induction. White arrowheads in the control panels indicate the expression of

PTBP1 in astrocytes (tdT$^+$ cells). Yellow arrowheads indicate the efficient PTBP1 depletion in

*Ptbp1* cKO astrocytes. The absence of NeuN$^+$tdT$^+$ cells in the striatum demonstrates no

astrocyte-to-neuron conversion with *Ptbp1* depletion. Scale bars are 100 µm. (C) Quantification

of striatal tdT$^+$ astrocyte proportion at 4, 8, and 12 weeks following tamoxifen induction. (D-E)

Quantification of *Ptbp1* knockout efficiency in control and *Ptbp1* cKO mouse striatum at 4, 8,

and 12 weeks following tamoxifen induction. (F) Quantification of PTBP1$^+$NeuN$^+$ double positive

cells indicating PTBP1 is not expressed in striatal neurons. (G) Quantification of NeuN$^+$tdT$^+$ cells

indicating absence of astrocyte-to-neuron conversion in the striatum. (H) Quantification of

NeuN$^+$ cells at 4, 8, and 12 weeks following tamoxifen induction showing minimal changes in the

proportion of neurons in control or *Ptbp1* cKO striatum. Animal numbers are n=3 for both control

and KO groups at all three time points. For quantification, the individual cortical images taken

per brain are N=4-6 for 4 weeks, 3-6 for 8 weeks and 4-6 for 12 weeks. The quantification

results represent the average and *stdev* of biological replicates (n). The significance test was

carried out by *t-test*, *p< 0.05, **p< 0.01, ***p< 0.001 and "ns" means no difference with p>0.05.

**Figure 3. *Ptbp1* depletion does not induce the astrocyte-to-neuron transition in**

**substantia nigra.** (A-C) Representative images of the immunostaining results (substantia nigra)

of the mouse brains collected at 4 weeks (A), 8 weeks (B), 12 weeks (C) after tamoxifen

induction. White arrowheads indicate the locations of astrocytes (tdT$^+$ cells). However, none of

the tdT$^+$ cells express either NeuN or TH. The absence of NeuN or TH and tdTomato double

positive cells reveals no astrocyte-to-neuron conversion in *Ptbp1 c*KO. Scale bars are 100 um.

Animal numbers are n=3 for both control and KO groups at all the three time points expect for

the control group at 8 weeks (n=2).

**Figure 4. Widespread splicing changes in *Ptbp1* cKO astrocytes.** (A) Schematic of the

experimental design of bulk RNA-seq. (B) Volcano plot of differential splicing analysis,

highlighting the significant exon 2 skipping in *Ptbp1* in the cKO samples. Upregulated events are

highlighted in pink and downregulated events in green. (C) Bar plot showing the number of

DSEs identified in eight types of alternative splicing events. SE: Skipped exon, FIVE: Alternative

5´ prime splice site, THREE: Alternative 3´ prime splice site, MXE: Mutually exclusive exons, RI:

Retained intron, AFE: Alternative first exon, ALE: Alternative last exon, MSE: Multiple skipped

exons. (D) Genome browser track of the *Ptbp1* gene locus and its exon 2 (E2) with RNA-seq

signals of control and *Ptbp1* cKO samples. (E) Enriched motifs in 3´ spliced sites of differentially

spliced skipped exons by *Ptbp1* cKO. (F) Gene ontology enrichment analysis of differentially

spliced genes in *Ptbp1* cKO astrocytes. The coronal section drawing in (A) was created using

BioRender (https://www.biorender.com/).

**Figure 5. Impact of *Ptbp1* loss on astrocyte splicing profiles.** (A) PCA of PSI values across

control, *Ptbp1* cKO astrocytes, and *in vitro* differentiated neuron samples at various

differentiation stages (DIV-8, DIV-4, DIV0, DIV1, DIV7, DIV16, DIV21, and DIV28). (B)

Spearman's correlation analysis of PSI values between control, *Ptbp1* cKO astrocytes, and *in*

*vitro* differentiated neuron samples (DIV0 and DIV28). (C) Scatter plot of dPSI in *Ptbp1* cKO

astrocytes (cKO vs. Control) against splicing changes in *in vitro* differentiated neurons (DIV28

vs. DIV0). Splicing events are categorized into eight functional groups (F1-F8). (D) Bar plot

showing the number of alternative splicing events in each functional category (F1-F8).

**Figure 6. Minimal gene expression changes in *Ptbp1* cKO astrocytes.** (A) Volcano plot

showing DEGs between control and *Ptbp1* cKO astrocyte samples. Upregulated genes are

highlighted in pink and downregulated genes in green. (B) PCA of TPM values across control,

*Ptbp1* cKO astrocytes, and *in vitro* differentiated neuron samples at various differentiation

stages (DIV-8, DIV-4, DIV0, DIV1, DIV7, DIV16, DIV21, and DIV28). (C) Spearman's correlation

analysis comparing TPM values between control, *Ptbp1* cKO astrocytes, and in vitro

differentiated neuron samples (DIV0 and DIV28). (D) PCA of TPM values across control, *Ptbp1*

cKO astrocytes, and cortical tissue samples at various developmental stages (E10, E11, E12,

E13, E14, E15, E16, and P0). (E) Spearman's correlation analysis comparing TPM values

between control, *Ptbp1* cKO astrocytes, and cortical tissue samples (E10 and P0).

**Figure 7. Single-cell RNA-Seq analysis of *Ptbp1* cKO astrocytes shows limited astrocyte-**

**to-neuron conversion.** (A) Schematic of the experimental design of single-cell RNA-seq. (B)

UMAP plot of all identified cell types based on gene expression profiles. Cells were classified

into ten distinct cell types: astrocytes (Astro), excitatory neurons (Exc), inhibitory neurons (Inh),

microglia (Micro), immune cells (Immune), oligodendrocytes (OL), endothelial cells (Endo),

pericytes (Peri), vascular leptomeningeal cells (VLMC), and ependymal cells (Ependymal). Two

excitatory neuron subpopulations, Exc-1 and Exc-2, are highlighted. (C-D) UMAP plots showing

the distribution of cells in control ($n$ = 10,851) (C) and *Ptbp1* cKO ($n$ = 8,594) (D) samples. (E)

The Cre transgene expression projected on the UMAP plot. (F) Dot plot representing the

expression of marker genes across identified cell types. (G) Bar plot showing the proportion of

each cell type in control and *Ptbp1* cKO samples. (H) Bar plot showing the number of Cre-

negative and Cre-positive cells in Exc-1 and Exc-2 clusters for control and *Ptbp1* cKO samples.

The coronal section drawing in (A) was created using BioRender (https://www.biorender.com/).

**Figure supplement legends**

**Figure 1–figure supplement 1. tdTomato expression in cortical and striatal astrocytes.**

(A) Aldh1l1-Cre induced tdTomato$^+$ (tdT$^+$) cells are mostly S100β$^+$ astrocytes in the cortex. (B) Cropped images of (A) show the cell type details in higher magnification. The image locations in cortex are indicated by the white squares in (A). (C) Representative images of striatum from the same animals of (B). Most of the tdT$^+$ cells are S100β$^+$ (white arrowheads). Although there are a few S100β$^-$/tdT$^+$ (violet arrowheads) and S100β$^+$/tdTomato$^-$ (yellow arrowheads) cells, they exist in both controls and KOs and have no difference in both groups. The time point is 4 weeks after tamoxifen injection. Animal numbers are n=2 for each group. Scale bars are 100 µm.

**Figure 1–figure supplement 2. PTBP1 is expressed in both astrocytes and microglia but not in neurons in adult mouse brain.**

(A) PTBP1 is not expressed in adult neurons. Neurons are visualized by NeuN antibody staining. (B) PTBP1 expression in astrocytes stained by S100β. PTBP1 expression is not exclusive to S100β$^+$ cells indicating its expression in other cell types. (C) PTBP1 expression in both astrocytes (GFAP) and microglia (Iba1). The representative images in (A) and (B) are all single focal plane images and in (C) are z-stack images acquired with 10 µm z and 1 µm/step. Two months old BL6/C57 mice were used in this experiment and n=3. Scale bars are 100 µm.

**Figure 1–figure supplement 3. PTBP1 depletion for 4 and 8 weeks does not induce astrocyte-to-neuron conversion in the cortex.**

(A-B) Representative low-magnification immunostaining images of mouse cortex collected at 4

weeks (A), and 8 weeks (B) after tamoxifen IP injection. Scale bars are 100 µm. (C-D)

Representative high-magnification images in panels A-B. White arrowheads in the control

panels indicate PTBP1-expressing astrocytes (tdT$^+$ cells). Yellow arrowheads indicate PTBP1

was successfully depleted in astrocytes. Scale bars are 100 µm.

**Figure 2–figure supplement 1. 4 and 8 weeks of PTBP1 depletion does not induce**

**astrocyte-to-neuron conversion in the striatum.**

(A-B) Representative immunostaining images of mouse striatum collected at 4 weeks (A) and 8

weeks (B) after tamoxifen induction. White arrowheads in the control panels indicate the

expression of PTBP1 in astrocytes (tdTomato$^+$ cells). Yellow arrowheads reveal the efficient

depletion of PTBP1 in *Ptbp1* cKO astrocytes. (C-D) Representative low-magnification images of

mouse striatum collected 4 (C) and 8 weeks (D) weeks after tamoxifen injection. White boxes

indicate the location of images shown in panels A-B. Scale bars are 100 µm.

**Figure 3–figure supplement 1. PTBP1 depletion in substantia nigra 4 weeks after**

**tamoxifen induction.**

Representative immunostaining images of mouse substantia nigra collected at 4 weeks after

tamoxifen induction. White arrowheads in the control images reveal the expression of PTBP1 in

astrocytes (tdT$^+$ cells). Yellow arrowheads indicate PTBP1-depleted tdT$^+$ cells in the *Ptbp1* cKO

substantia nigra. The absence of PTBP1 and tdTomato double positive cells reveals efficient

depletion of PTBP1 in *Ptbp1 c*KO astrocytes. Scale bars are 100 um. Animal numbers are n=2

for both control and KO groups.

**Figure 4–figure supplement 1. Fluorescence activated cell sorting of tdTomato+ cells.**

(A) Forward and side scatter profiles of a representative cell suspension isolated from

*Ptbp1$^{+/+}$*;tdT$^{+/+}$*;*Aldh1l1-Cre$^{+/-}$ (control) cortex used to select whole cell population. (B) Side

scatter area and height profiles of cells from the previous gate in (A) with an overlaid gate to

select single cells and exclude doublets. (C) Side scatter and tdTomato fluorescence intensity

profiles of single cells from the previous gate in (B) with fluorescence gates showing the

selection of tdTomato+ and tdTomato- cells. (D) Histogram of fluorescence emission of the

control single cell population. (E) Forward and side scatter profiles of a representative cell

suspension isolated from *Ptbp1*^loxP/loxP^;tdT^+/-^*;*Aldh1l1-Cre^+/-^ (*Ptbp1* cKO) cortex used to select

whole cell population. F) Side scatter area and height profiles of cells from the previous gate in

(E) with an overlaid gate to select single cells and exclude doublets. (G) Side scatter and

tdTomato fluorescence intensity profiles of single cells from the previous gate in (F) with

fluorescence gates showing the selection of tdTomato+ and tdTomato- cells. (H) Histogram of

fluorescence emission of the *Ptbp1* cKO single cell population.

**Figure 4–figure supplement 2. Motif enrichment analysis for PTBP1-regulated exons.** (A)

Schematic illustrating the regions analyzed for motif enrichment: 200 bp upstream and

downstream intronic sequences flanking skipped exons. (B–E) Results of motif enrichment

analysis using XSTREME for CU-rich motifs in upstream regions of exons activated by PTBP1

loss (B), upstream regions of exons repressed by PTBP1 loss (C), downstream regions of

exons activated by PTBP1 loss (C), and downstream regions of exons repressed by PTBP1

loss (D). Enrichment ratios and *q*-values (SEA algorithm) are shown below each motif.

**Figure 5–figure supplement 1. Comparison of splicing profiles between *Ptbp1* cKO**

**astrocytes and developmental cortical tissue.** (A) PCA of PSI values for splicing events in

control and *Ptbp1* cKO samples as well as developmental mouse cortical tissue samples across

various developmental stages. (B) Spearman's correlation analysis comparing gene expression

profiles of control and *Ptbp1* cKO astrocytes with embryonic (E10) and postnatal (P0) cortical

tissue samples. (C) Scatter plot of dPSI values in *Ptbp1* cKO astrocytes (cKO vs. Control)

versus developmental splicing changes in the cortical tissue (P0 vs. E10). Splicing events are

categorized into eight functional groups (F1-F8). (D) Bar plot showing the number of alternative

splicing events in each functional category (F1-F8).

**Figure 5**–**figure supplement 2. Comparison of splicing profiles between *Ptbp1* cKO**

**astrocytes and astrocyte-derived neurons.** (A–B) PCA of PSI values for splicing events in

control and *Ptbp1* cKO astrocytes, as well as astrocyte-derived neurons generated by

overexpression of Ngn2 or mutant PmutNgn2, and GFP controls, plotted on PC1-PC2 axes (A)

and PC3-PC4 axes (B). (C) Spearman's correlation analysis comparing PSI values across

control, *Ptbp1* cKO, and astrocyte-derived neurons. (D) Scatter plot of dPSI values in *Ptbp1*

cKO astrocytes (cKO vs. Control) versus astrocyte-derived neurons (PmutNgn2 vs. GFP).

Splicing events are categorized into eight functional groups (F1–F8) based on direction and

magnitude of change. (E) Bar plot showing the number of alternative splicing events in each

functional category (F1–F8).

**Figure 6**–**figure supplement 1. Comparison of gene expression profiles between *Ptbp1***

**cKO astrocytes and astrocyte-derived neurons.** (A–B) PCA of TPM values for genes in

control and *Ptbp1* cKO astrocytes, as well as astrocyte-derived neurons generated by

overexpression of Ngn2 or mutant PmutNgn2, and GFP controls, plotted on PC1-PC2 axes (A)

and PC3-PC4 axes (B). (C) Spearman's correlation analysis comparing TPM values across

control, *Ptbp1* cKO, and astrocyte-derived neurons.

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**Figure 1**

**Figure 7**

A

Upstream
(200 bp)

Downstream
(200 bp)

Skipped exon

Activated by PTBP1 loss

Repressed by PTBP1 loss

B

Upstream

Enrichment ratio = 1.72
$q = 7.75 \times 10^{-5}$

C

Enrichment ratio = 2.14
$q = 9.00 \times 10^{-5}$

D

Downstream

No CU-rich motif

E

Enrichment ratio = 1.21
$q = 2.25 \times 10^{-1}$

A

B

C