Deep-Learning on condition specific expression profiles reveal critical cytosines in gene regulation

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Abstract

Compared to other nucleotides, the cytosines stand as the most expressive one for gene regulation in plants due to its status as methylation-based epigenetic switch. Methylation of some of these cytosines may have higher impact on the downstream genes, making them critical ones. To this date not much has been done to decipher the criticality of such cytosines. Understanding them may open door-ways for minimal interventions led modification and control of gene expression. This is one such pioneering step in decoding the critical 'C's where a large volume of bisulfite and RNA-seq data, including 232 WGBS and 260 corresponding RNA-seq datasets from *A. thaliana* and rice, have been utilized to decode criticality of cytosines in plants. Using a deep learning system, strong relationship was established between the methylation states of cytosines in contextual manner with respect to the downstream genes expression levels. Using the same system, all the 2kb upstream regions for *Arabidopsis* and rice genes have been annotated. The developed approach has been shown to be a universal one and may be used to annotate other plant genomes to identify critical cytosines. The developed resource and the deep-learning system to decode criticality have been made publicly available as CritiCal-C portal, which is poised to accelerate the field of plant epigenomics and regulatory studies.

Keywords: Epigenomics, DNA methylation, deep learning, ResNet, critical cytosines, gene regulation.

Introduction

Heritable structural and biochemical chromatin modifications that occur without altering the DNA sequence are collectively termed epigenetic modifications **[1]**. Epigenetic mechanisms play a vital role in modulating diverse physiological and developmental processes by regulating gene expression and modifying the chromatin accessibility **[2-4]**. Epigenetic marks include chemical modifications of DNA and various post-translational alterations in histone proteins. Epigenetic factors regulate the positioning, assembly, and disassembly of nucleosomes **[5, 6]**. In plants, DNA methylation has emerged as the most prominent epigenetic regulatory mode, where methylation of cytosines stands out **(Figure 1a).** This addition occurs in both symmetric sequences (CG and CHG) and asymmetric sequences, such as CHH (where H represents A, T, or C).

Despite its significance, the intricate relationship between cytosine and its influence on gene expression across various biological conditions remains poorly understood **[7]**. Promoters host certain regulatory regions located at various intervals from the transcription start site (TSS) controlling the downstream gene expression **(Figure 1a)** which determine transcriptional activity in response to prevailing conditions **[8]**. Cytosine-rich sequences like GC-rich promoters, are frequently associated with strong transcriptional controlling activity as they regulate the formation of open chromatin structures. Cytosine-rich motifs, such as the G-Box (CACGTG) and ABA-responsive elements (ABRE), are critical for binding transcription factors that mediate responses to light, hormones, and stress **[9-10]**. For the reported motifs for various TFs binding sites in *Arabidopsis* at JASPAR database, in ~60% of them cytosine is found as the most conserved nucleotide. This all place high regulatory stake on cytosines, further amplified by their methylation status.

Various techniques are available to identify methylated regions **(Figure 1b),** primarily stationed around DNA bisulfite treatment. It converts unmethylated cytosines to uracil, which is then converted to thymine during PCR amplification, and enables the differentiation between methylated and unmethylated cytosines **[11]**. Bisulfite genomic sequencing is considered the gold standard for detecting DNA methylation at single-base resolution. Following bisulfite conversion, one can assess the overall DNA methylation status using microarray-based hybridization techniques such as Illumina HumanMethylation450 (HM450K) and advanced next-generation sequencing (NGS) methods such as whole-genome bisulfite sequencing (WGBS) **(Figure 1b) [12-13]**. Despite these advancements, the study of DNA methylation remains challenging because of the high cost, labor-intensive nature, and technical limitations of these methods, rendering them a costly affair to employ at widescale and frequent uses. **Supplementary File 1** contains detailed information on the advantages and disadvantages of each such technique. Therefore, developing an efficient computational approach to accurately profile DNA methylation under various conditions has become essential.

To tackle these challenges, researchers are increasingly leveraging computational methods, particularly machine learning (ML) and deep learning (DL)-based approaches. ML methods, such as stacking ensembles **[14]**, XGBoost **[15]**, and SVM **[16]**, rely on manually engineered features and often encounter difficulties in capturing subtle patterns in the DNA sequences. In contrast, DL tools such as i5mC-DCGA **[17]**, DGA-5mc **[18]**, DeepPGD **[19]**, MaskDNA-PGD **[20]**, iDNA-ABF **[21]** , and iDNA-ABT **(Figure 1c) [22]** utilize advanced architectures such as convolutional neural networks (CNNs), recurrent neural networks (RNNs), and transformer-based approaches to detect methylation sites with high accuracy. Although these tools have significantly advanced the

field, they are limited in several key aspects. First, they rely on data that are not condition-specific and fail to account for the dynamic nature of DNA methylation across different biological contexts. Secondly, they don't provide insights into whether the identified methylated cytosines influence gene expression or the specific cytosines that are critical for transcriptional regulation. There is absolutely no tool that suggests which cytosines influence the downstream genes. At present, this can only be achieved through experiments such as knocking-out site-directed mutations like cytosine base editors (CBEs) and gene targeting (GT) via homologous recombination (HR) **[23-26]**. But performing such experiment for all genes within a species itself is highly impragmatic, expecting them to use widely across species and conditions remains a challenge. Sametime, giving criticality weight to cytosines would open several gateways for regulatory interventions making it highly important to devise some computational approach.

To address this problem, as pioneering work, a novel deep-learning based approach, CritiCal-C, is being presented here (**Figure 1e**). It analyzed 232 WGBS-seq and 260 RNA-seq datasets from *A. thaliana* and *O. sativa*. CritiCal-C has been designed to elucidate the relationship between methylation states in the promoter regions (2kb upstream) and corresponding RNA expression levels of the downstream gene under various environmental conditions. It can identify critical cytosines using three approaches: (1) single cytosine knockout, (2) knockout of each cytosine from a 100 bp overlapping window, and (3) knockout of cytosine base pairs. This approach not only helps to suggest the impactful cytosine methylation points in terms of impact on gene expression but also provides an adaptable and cost-effective alternative to labor-intensive experimental methods such as site-directed mutagenesis, transcription reporter assays, and CRISPR/Cas9-based editing **[27-30] (Figure 1d)**. It also functions in a cross-species manner, enabling good generalization of the findings across different plant species, without necessitating species-specific

models. This versatility renders it an efficient tool to assess critical cytosines and modulate them accordingly. Furthermore, a user-friendly web server has been established which would enable the researchers to input methylated promoter sequences of gene of interest to obtain the downstream gene's expression level, and simultaneously suggesting the critical cytosines within the input promoter sequence. This integrated platform represents a significant advancement in the application of deep learning to plant regulomics, offering an interpretable framework to identify regulatory cytosines.

Materials and Methods

Data retrieval and pre-processing

This study utilized whole-genome bisulfite sequencing (WGBS-seq) and RNA-seq data obtained from the NCBI Sequence Read Archive (SRA). The dataset comprised 120 WGBS and 135 RNA-seq samples for *A. thaliana*, encompassing 45 unique conditions, and 112 WGBS and 125 RNA-seq samples for *O. sativa*, covering 40 distinct conditions **(Supplementary file 2, sheet 1-4)**. The selection process for WGBS-seq FASTQ files was contingent on the availability of RNA-seq FASTQ files under the same experimental conditions. Only WGBS-seq files meeting this criterion were downloaded. Genome annotation information was retrieved from Ensembl Plants for both species.

For refinement of both WGBS and RNA-seq data, Trimmomatic v0.39 [31] and filteR [32] were

employed. The processed WGBS reads were subsequently aligned to the reference genomes of both

species using Bismark v0.22.2 [33], retaining only uniquely aligned reads and discarding

ambiguous mappings. Samtools v0.1.9 [34] was used to arrange the reads by genomic coordinates,

eliminate PCR duplicates, and convert the processed SAM file to BAM format. The resulting BAM

files underwent methylation extraction using Bismark's methylation extractor function, excluding

reads with conversion rates below 90% or fewer than three non-converted cytosines in non-CpG

contexts. 2kb upstream promoter sequences for each gene in both species were extracted from the

downloaded genome. The downstream gene expression profiles corresponding to each promoter

sequence were incorporated into the dataset.

For RNA-seq data, filtered reads were aligned to the reference genomes of both species using

HISAT2 [35]. Read counting was performed using Rsubread [36]. The read counts were

subsequently normalized to fragments per kilobase per million mapped reads (FPKM) for paired-

end reads and reads per kilobase per million mapped reads (RPKM) for single-end reads, utilizing

in-house-developed scripts for individual genes across various conditions. For training and testing,

the dataset comprising promoter sequences and their corresponding gene expression profiles were

partitioned into 70% training set and 30% testing set. In this entire study, for every dataset, it was

ensured that no overlap or redundancy existed across training and testing datasets.

Feature encoding and model construction

One-hot encoding was employed to convert DNA sequences into numerical arrays. The encoding

method allocated a unique binary vector to each nucleotide, with A, C, G, T, and M represented as

(1,0,0,0,0), (0,1,0,0,0), (0,0,1,0,0), (0,0,0,1,0), and (0,0,0,0,1), respectively. The 'M' symbol denotes

a methylated cytosine. The input vector length taken was 2025 bases, with each base subsequently

transformed into a five-dimensional vector, resulting in the vectorization of each sequence into a

matrix with dimensions of 2025 × 5 (input sequence length × number of alphabets). Each input

sequence was reshaped into a 5-channel tensor, subsequently structured into dimensions of 5 × 45

× 45, representing the spatial dimensions of the input array. The encoded data were modeled

through various machine learning and deep-learning approaches. Details about them are provided

in **Supplementary File 2, Sheet 5**.

In the present study, ResNet-9 emerged as the most suitable approach among all compared methods

for efficiently identifying gene expression. This preference is attributed to the inherent advantages

of the ResNet framework, which encompass maintaining performance in deeper structures and

offering enhanced training capabilities. The ResNet-9 architecture **(Figure 2)** was structured into

four distinct stages: convolutional blocks, residual blocks, max-pooling and flattening, and a fully

connected layer.

Convolutional blocks: The network comprised four convolutional blocks (kernel size=3, stride=1).

Each block executed a 2D convolution operation, followed by batch normalization and ReLU

activation. Max pooling was applied subsequent to selected convolutional layers to reduce the

spatial dimensions of the feature maps. The sequence of operations within a convolutional block

was as follows:

$$X_l = ReLU\left(BN\left(W_l * X_{l-1}\right)\right) \tag{1}$$

Here, "X_l" is the output feature map of the current layer, "X_{l-1}" input feature map to the current

layer, and "W_l" convolutional filter/kernel weights for layer .

Residual Blocks: Two residual blocks are incorporated into this architecture to mitigate the

vanishing gradient problem and enhance the learning efficiency of the model. A residual block

establishes a skip connection that enables the network to bypass certain convolutional operations,

facilitating the direct transmission of information from earlier layers to later layers. The operation

of a residual block can be mathematically defined as:

193
$$X_{res} = X_{l-1} + ConvBlock(X_{l-1}) \tag{2}$$

Here, "X_{l-1}" is the input to the residual block and "$ConvBlock(X_{l-1})$" represents the output from

the corresponding convolutional block for that layer.

Max Pooling and Flattening: Subsequent to the final convolution block, which encompasses the

residual blocks, a max pooling operation is implemented to further reduce the spatial dimensions.

Max pooling selectively retains the most salient features from each spatial region. The resultant

output tensor is subsequently flattened into a one-dimensional vector:

200
$$X_{flat} \in \mathbb{R}^{Cx4} \tag{3}$$

201 Here, "X_{flat}" is flattened matrix, "$\mathbb{R}^{Cx4}$" represents the matrix in the space of real numbers with

202 shape, "C" is the feature set.

203 **Fully Connected Layer:** The flattened vector is subsequently processed through a fully connected

204 (dense) layer. This layer maps the high-level features extracted by the convolutional and residual

205 blocks onto a scalar regression output. The output is defined as:

206
$$\hat{y} = W_f \cdot X_{flat} + b_f \tag{4}$$

207 Here, "$\hat{y}$" is the predicted regression value, "W_f" represents the learned weights for the fully

208 connected layer, and "b_f" is the bias.

210 In this study, PyTorch was used to implement the CNN, ResNet and DenseNet architectures.

Performance measures

To assess the reliability of the predictive models, statistical performance measures like the

Spearman's correlation, RMSE, and MAE were employed. These metrics provide distinct

perspectives on model performance: Spearman's correlation assesses the strength and direction of

the relationship between predicted and actual values, whereas RMSE and MAE measure the

magnitude of prediction errors. To enhance the reliability, 10-fold cross-validation was employed.

The selection of these specific measures facilitated a comprehensive evaluation of the models

across various prediction aspects.

221
$$\rho = 1 - \frac{6 \sum d_i^2}{n(n^2 - 1)} \tag{5}$$

222 Here, "ρ" Spearman's rank correlation coefficient (ranges from -1 to +1), "d_i" difference between

223 the ranks of the two variables, "n" number of observations.

224
$$MAE = \frac{1}{n} \sum_{i=1}^{n} |y_i - \hat{y}_i| \tag{6}$$

226 Here, "n" is number of data points, "y_i" is predicted value, "$\hat{y}_i$" is observed value, "$|y_i - \hat{y}_i|$"| is

227 absolute error.

228
$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2} \tag{7}$$

229 Here, "n" is number of data points, "y_i" is predicted value, "$\hat{y}_i$" is observed value, "$\left(y_i - \hat{y}_i\right)$" is

230 squared error.

Hyperparameter Optimization

234 To optimize the CNN, ResNet and DenseNet-121 architectures, a Bayesian optimization approach

235 was employed using Optuna **[37]**. The optimization process encompassed key architectural and

236 training variables, including dropout (0.1–0.5), learning rate (1e-4 to 1e-1), batch size (1–47),

237 weight decay (1e-6 to 0.1), momentum (1e-5 to 0.5), and epochs (50–100).

Critical cytosine identification and feature importance determination

To determine the critical cytosines which may influence gene expression, three complementary

approaches were employed to examine the input promoter sequences and associated genes from

both species. Each method offers distinct insight into the role of cytosine in gene regulation. In the

initial approach, individual cytosines were systematically knocked out from the gene-wise dataset.

Following each knock out, the effect of this alteration on the model's performance in identifying

gene expression was evaluated. For the second method, 100 base pair (bp) overlapping windows to

scan the sequence. All cytosines were knocked out together within each window, analyzing the

effect of their absence in every 100 bp segment. The use of 100 bp overlapping windows in DNA

sequence analysis enabled to capture the cytosines collectively. In the final approach, the focus was

on identifying the cytosine pairs. The influence of these pairs on gene expression was examined,

with particular attention given to pairs whose knockout led to a significant decrease in the

Spearman's correlation.

Two methodologies were employed to extract critical features from the fourth convolutional layer. Initially, a custom script were developed to extract weights associated with each cytosine from the fourth convolutional layer. By analyzing these weights, it was possible to quantify the importance of each cytosine in the decision-making process of the model. In CritiCal-C, Grad-CAM **[38]** is also applied to the fourth convolutional layer of the ResNet-9 architecture, to identify critical cytosines in the promoter regions that significantly influence gene expression. The process begins by computing the gradient of the resulted gene expression "Y" with respect to the activation maps

"A^k" of the fourth convolutional layer. These gradients, denoted as "$\dfrac{\partial Y}{\partial A^k}$" represent how much a small change in the activation map affects the predicted expression. Global average pooling is then applied to these gradients to obtain the importance weights "α_k^c" for each channel "c" in the activation map:

$$\alpha_k^c = \frac{1}{H \times W} \sum_{i=1}^{H} \sum_{j=1}^{W} \frac{\partial Y}{\partial A_{i,j}^{k'}} \tag{8}$$

Where, "H" and "W" are the spatial dimensions of the activation map, and "A_{ij}^k" represents the activation at spatial location (*i, j*) in the k-th layer. These weights reflects the contribution of each channel to the prediction.

The Grad-CAM score "A^k" is generated by combining the activation maps **"A^k"** using the importance weights "α_k^c"**:**

$$L_{Grad-CAM} = ReLU \left(\sum_{c=1}^{c} \alpha_k^c A^k \right) \tag{9}$$

The ReLU function ensured that only positive contributions to the prediction are considered,

highlighting the most critical cytosines' of the input sequence.

Results and Discussion

Data collection and primary vision of relationship between methylation and gene

expression

This study aimed to explore the interplay between the impact of cytosine methylation and gene

expression patterns, with a specific emphasis on assessing the important cytosines at different

locations which significantly influence gene regulation. The primary focus was on two model plant

species, *A. thaliana* and *O. sativa*, which are crucial in plant biology and agricultural studies. The

analysis was concentrated on the ~2kb promoter regions upstream of all genes in these species, as

these areas are vital regulatory elements controlling gene transcription, where cytosine methylation

also plays a critical role **[39]**. Understanding the dynamics of DNA methylation in gene regulation

is crucial for elucidating the molecular mechanisms that govern plant development, stress

responses, and environmental adaptation.

For each gene, the 2kb upstream promoter sequences were extracted. These sequences were

annotated for methylated cytosines with the character "M", allowing clear demarcation of

methylated cytosines from the unmethylated ones. The dataset covered 32,238 genes' promoter

sequences for *A. thaliana* and 38,309 genes' promoter sequences for *O. sativa*. The corresponding

methylation and expression data for 95 conditions (45 for *A. thaliana* and 40 for *O. sativa*) were

also covered. The substantial size of these datasets provided a strong basis for the present study and

enhanced the statistical power.

Following the creation of a gene-wise dataset, we examined the connection between gene

expression and DNA methylation patterns in both species by grouping genes into three distinct

categories: methylated, unmethylated, and housekeeping genes. Methylated genes often showed

altered expression patterns because of methyl group addition which controlled expression.

Unmethylated genes were those for which methylation was never reported in the available data.

Conversely, unmethylated genes appeared to express similar to housekeeping genes, which are

crucial for maintaining basic cellular functions. A less noisy and more sensible dataset was created

by categorizing genes into these groups prior to model development. Subsequently, the expression

values of all the genes in *A. thaliana* (by category) were compared and it was observed that the

average expression values of methylated genes were significantly lower than those of unmethylated

and housekeeping genes (t-test *p-value*: 0.012), whereas the average expression values of

unmethylated and housekeeping genes were nearly similar (*p-value*: 0.17) **(Figure 3a).** The

similarity between the average expression of unmethylated and housekeeping genes and their

distance from that of methylated genes suggests that methylation is a certainly control mechanism

for spatiotemporal expression of those genes. The lesser average expression of these genes was due

to their restricted expression pattern. Such genes are not expressed under all conditions, unlike the

housekeeping and non-methylated genes. However, in certain conditions these genes expressed

almost at par with the housekeeping genes (**Figure 3a and b**), reinforcing the observation that

methylation is behind spatiotemporal expression patterns. The orange violin plot shows the

observed highest expression level for methylated genes, which clearly suggest that high expression

is occasional and due to spatial temporal regulation. Similar pattern was observed for both species

(Figure 3b).

Expression pattern emerges as a function of cytosine methylation pattern

The preliminary finding in the last section strongly hinted at a possible relationship between DNA

methylation at cytosines and expression of the downstream genes. If any such relationship exists,

patterns of methylation in the 2kb upstream may work as the domain to define a function which

could produce/predict the gene's expression level as the range. With this the problem naturally turns

into a machine learning problem to search such function.

The effectiveness of diverse machine learning techniques was assessed here for their aptness to

relate gene expression and DNA methylation profile information. Through the assessment of

multiple algorithms, including XGBoost, CNN, ResNet, and DenseNet-121, it was possible to

determine the most suitable architectures through their ability to determine downstream gene

expression based on methylation profile in the 2kb upstream.

The analysis began by randomly selecting 2,500 genes from both species (*A. thaliana* and *O. sativa*)

and conducted training and testing on this dataset, initially with XGBoost, the highest rated shallow

learning algorithm. Model parameter optimization was performed using a random grid search,

resulting in the following finalized parameters: params = {"learning_rate": 0.01, "max_depth": 2,

"subsample": 0.1, "colsample_bytree": 0.4, "colsample_bylevel": 0.6, "n_estimators": 1000}. For *A.*

thaliana, the average Spearman's correlation value of 0.94 was observed during training and 0.78

during testing **(Figure 4a)**, where the correlation measured the relationship between the predicted

and the actual experimental (observed) gene expression values. Similarly, for *O. sativa*, the average

Spearman's correlation value observed was 0.95 during training and 0.86 during testing **(Figure 4a)**. This confirmed the initial hypothesis that methylation pattern may map to relate the gene expression level. The relationship was strong during training, though testing returned comparatively lower performance. Yet, both underlined strongly that methylation pattern in the upstream may credibly determine the downstream gene's expression.

~10% decline in the testing performance suggested that though the machine learning was able to define a function to map methylation impact in terms of expression profile of the downstream gene, substantial overfitting was happening. This indicated the need to change the model through more efficient learning to generate better function mapping of methylation patterns to gene expression. The implemented ML model of XGBoost is a shallow learner, though scores the top-position among the shallow learners. Compared to shallow learners, deep learners can define series of hidden functions while generating more relevant features to learn from. This makes them capable to detect features and relationships which are otherwise hard to do by shallow learners which require manual features derivation and engineering by human interventions.

Subsequently, a basic Convolutional Neural Network (CNN) architecture was utilized to investigate the relationship between DNA methylation patterns and gene expression. This decision was based on the CNN's ability to effectively extract relevant features, mitigate overfitting, accelerate training, and capability to capture spatial patterns, rendering it more suitable learning approach for exploring the complex associations between DNA methylation and gene expression. The CNN architecture is explained in the **Supplementary File Materials and Methods** section. For *A. thaliana*, the training Spearman's correlation value was 0.79, while for the testing correlation value was 0.75 **(Figure 4b)**. In *O. sativa*, the training Spearman's correlation between experimental and predicted expression

levels was 0.80, while the testing correlation value was 0.76 **(Figure 4c).** With this CNN model, the

training performance was poorer than the shallow XGBoost learner. Yet, the function evolved by

CNN was better than the XGBoost model in a sense that it had much lesser overfitting and the

performance gap between training and testing (~4% only). This indicated that a deeper CNN,

offering longer series of functions to functions, generating more hidden features may resolve this.

But such deeper networks are also prone to information loss and vanishing gradient. This could be

mitigated by using suitable CNN architectures to counter such issues like ResNet and DenseNet,

which have capabilities to carry forward the learning from previous steps much efficiently.

In the ResNet architecture, the skip connection (or residual connection) is integrated into layer,

constituting a core element of the design. Skip connections allow the input of a layer to bypass one

or more intermediate layers and be added directly to the output of a deeper layer. This mechanism

addresses the vanishing gradient problem by enabling smoother gradient flow during

backpropagation, which facilitates the training of very deep networks. By preserving the original

input information and adding it to the transformed output, skip connections help the network learn

residual mappings (i.e., the difference between the input and output), making it easier to optimize

and improving overall performance. This design is particularly effective in capturing complex

relationships like those between DNA methylation patterns and gene expression.

The dataset was applied to the various ResNet architectures, including ResNet-9, ResNet-18,

ResNet-34, ResNet-50, ResNet-101, and ResNet-152. Among these architectures, ResNet-9

exhibited the best performance. For *A. thaliana*, ResNet-9 achieved a Spearman's correlation value

of 0.90 between predicted and experimental expression level during training and 0.88 during testing

(Figure 4b). For *O. sativa*, the values were 0.92 (training) and 0.90 (testing) **(Figure 4c)**.

Comparative Spearman's correlation values for all ResNet architectures are shown in **Figure 4b and c**, with detailed results in **Supplementary File 2, Sheet 6**. Notably, all architectures except ResNet-9 exhibited overfitting, with a 5-6% gap between the training and testing performance. Compared to the rest, ResNet-9 exhibited the least and insignificant overfitting, having MAE values of 0.0479 (training) and 0.0512 (testing) for *A. thaliana* and 0.0348 (training) and 0.0382 (testing) for *O. sativa* **(Figure 4d)**. The small differences between train and test performance (0.0033 for *A. thaliana* and 0.0034 for *O. sativa*) with statistically insignificant p-values ($p = 0.1362$ for *A. thaliana* and $p = 0.1284$ for *O. sativa*) aptly underlined the robustness and generalization capability of the raised ResNet-9 based model.

To further validate these findings, 10-fold cross-validation was performed on randomly selected 10 genes from both species. The results showed consistent MAE values across the folds, and a t-test comparing the training and testing MAE values yielded insignificant p-values ($p > 0.05$), reinforcing the robustness of the model and the absence of any significant overfitting. This investigation elucidates the superior capacity of developed deep-learning model to map the underlying relationship between DNA methylation and gene expression, suggesting that deeper architectures are more efficacious in establishing these relationships. The details of the implemented deep-learning architecture are given in the methods section and **Figure 2**.

After conducting hyperparameter optimization, the model performance for both species was markedly improved. Prior to optimization, the average Spearman's correlation for testing in *A. thaliana* was 0.86. Post-optimization, this value increased to 0.94. Additionally, for *O. sativa*, the Spearman's correlation for testing improved from 0.90 to 0.95 **(Figure 5a)**. These results underscore the importance of fine-tuning model parameters to enhance predictive accuracy.

For all the modeled genes in *A. thaliana*, the developed deep-learning model achieved average

MAE values of 0.0389 during training and 0.0406 during testing. Likewise, for *O. sativa*, the model

demonstrated average MAE values of 0.0312 in training and 0.0354 in testing **(Figure 5b)**. A t-test

comparing the training and testing MAE values yielded insignificant p-values, further supporting

the absence of any significant differences between the performance on training and testing datasets.

With this all, it was now clearly established that upstream cytosine methylation pattern guide a

gene's expression levels. And this could be precisely learned by deep-learning, which in turn was

able to accurately predict the gene expression levels under variable conditions with variable

methylation patterns.

Development of a universal model: GC content similarity holds the key

By now, this was clear that deep-learning was highly successful in establishing the relationship

between variability in cytosine methylation pattern in the upstream region to the genes and their

expression levels. However, plants exhibit significant diversity across species, particularly in

transcriptional regulation, as highlighted in recent studies **[40-41]**. This diversity suggests that a

model trained for a gene in one species may not perform with the same efficacy when applied to

another species. To address this, the universality was assessed of the developed deep-learning model

by focusing on homologous genes across species. The initial approach involved using homology to

pick the suitable ones from one species and predict the corresponding gene expression in other

species. It was hypothesized that homologous genes would retain same functional characteristics,

and thus should express in similar fashion with similar regulatory control.

Initially, five random gene models were selected from *A. thaliana* which were tested across their

homologous counterparts in *O. sativa,* and vice versa. Within species testing yielded strong average

Spearman's correlation coefficients between predicted and actual expression levels (0.82 for *A.*

thaliana **(Figure 6a)** and 0.81 for *O. sativa* **(Figure 6b))**. However, cross-species testing revealed a

sharp decline in the average correlation values: 0.61 for *A. thaliana* models applied to *O. sativa*

(Figure 6a) and 0.60 for *O. sativa* models applied to *A. thaliana* **(Figure 6b)**. This indicated that

gene homology does not guarantee similar transcriptional regulation. Homologous genes appeared

to have different regulation controls. To investigate further, the 2kb upstream regions of these

homologous genes were compared. Their GC% differed significantly. Since DNA methylation in

plants is cytosine-focused, it was hypothesized that GC% might play a critical role in gene

regulation. Thus, focus was shifted towards grouping the genes with similar GC% in their upstream

regions, aiming to develop models based on GC content similarity instead of homology.

To systematically assess the impact of GC% across species, the GC% of the 2 kb upstream regions

for the five randomly selected genes in *A. thaliana* were calculated. Following this, five genes in *O.*

sativa with similar GC% to those in *A. thaliana* were selected. Separate predictive models were

trained for each *A. thaliana* gene, and their performance was evaluated within the species. The

results indicated a strong average Spearman's correlation coefficient of 0.82 between predicted and

actual expression levels **(Figure 6c)**, demonstrating the effective predictive power among *A.*

thaliana genes. Interestingly, when these trained models were applied to the *O. sativa* genes with

closest GC content, the correlation remained high at 0.81 **(Figure 6c)**, closely resembling the

performance observed within *A. thaliana*. A similar trend was observed when *O. sativa* models were

tested on *A. thaliana* genes with similar GC% **(Figure 6d)**. This consistency suggested that GC

content is a highly significant factor for predicting relationships between DNA methylation pattern

and gene expression across different plant species.

After observing these promising results for the five genes based on GC%, genes with similar GC%

value ranges were grouped into blocks. This approach was adopted because building individual

models for each gene with a similar GC% would be highly time consuming and computationally

expensive process. Grouping genes with a similar GC% allowed development of generalized

models which could predict the expression of multiple genes within the same GC% range group,

leveraging from shared regulatory patterns. To validate this approach, the grouped models of *A.*

thaliana and *O. sativa* were tested. Models trained on *A. thaliana* and tested on *O. sativa* achieved

an average Spearman's correlation of 0.81 between actual and predicted expression levels **(Figure**

6e), while models trained on *O. sativa* and tested on *A. thaliana* achieved an average correlation of

0.80 **(Figure 6f)**. These results demonstrate that grouping genes with similar GC% significantly

improved the cross-species predictive performance, underscoring the importance of GC% in

establishing relationships between DNA methylation pattern and gene expression.

The findings highlight that promoter region GC content, not homology, is a key determinant of

regulatory behavior and gene expression. This suggests that GC content-based models can

significantly enhance cross-species predictive accuracy. While homologous gene sequences provide

insights into functional conservation within the genic regions, they fail to account for regulatory

similarities that GC content were found capable to explain. This study underscores the importance

of GC content in developing universal models for predicting gene expression across diverse species.

Reversing the wheel: Using expression to determine the critical cytosines

Upto here, this was established that upstream cytosine methylation can effectively predict a gene's

expression, and deep-learning model discussed above achieved that. Identifying the specific

cytosines essential for gene regulation can provide valuable insights into a gene's regulatory

switches and reveal potential targets among them to manipulate a gene's expression. Cytosine

methylation is dynamic. Its status can change under various environmental or biological conditions,

influencing gene expression. This variability makes it challenging to identify which cytosines are

critical and how they impact expression. In terms of translation, it becomes more important question

than predicting expression of a gene. RNA-seq and transcriptome profiling experiments are easily

approachable now and routine practices. If they could be used to identify critical cytosines

influencing a gene's expression a bigger purpose would be achieved.

Using the developed deep learning model, critical cytosines that influence expression can be

systematically identified. Three complementary methods were applied to study the DNA sequences

and their associated expression in *A. thaliana* and *O. sativa*. Two representative genes were

randomly selected for initial analysis: UMAMIT28 (AT1G01070) from *A. thaliana* and OsHXK8

(Os01g0190400) from *O. sativa*. In the first approach, individual cytosines were knocked out from

the promoter regions for their data input to the DL-model. Thereafter, such knocking out was

evaluated for their impact on the models' ability to predict the downstream gene's expression.

To achieve this, a baseline (actual) correlation value was first established by training the model on

the promoter sequence (with all cytosines intact) and measuring its Spearman's correlation between

the actual and predicted gene expression levels. Next, individual cytosines were knocked out and

models' performance was evaluated while measuring the Spearman's correlation between the new

predicted expression values and actual values. A significant drop in correlation was observed after

the knocking out of specific cytosines which indicated that these positions played a critical

regulatory role. Cytosines whose knocking out had minimal impact on correlation were deemed less

influential in controlling gene expression. Ranking cytosines based on their impact allowed

identification of key regulatory sites. For instance, in *A. thaliana* (AT1G01070 or UMAMIT28),

knocking out cytosines at positions 1,787 and 1,869 reduced the Spearman's correlation from 0.72

to 0.58 and 0.72 to 0.56, respectively, indicating their potentially strong regulatory role. In contrast,

knocked out cytosines at positions 1,041 and 1,022 led to a smaller decline, from 0.72 to 0.69 and

0.72 to 0.70, respectively **(Figure 7a)**. The dotted red line in **Figure 7a and b** represents the

baseline Spearman's correlation before any knockout. A similar trend was observed for *O. sativa*

(Os01g0190400 or OsHXK8), as shown in **Figure 7b**, These findings emphasize that not all

cytosines contribute equally to gene regulation and only a subset of cytosines serves as critical

regulatory points. Identifying these key cytosines sites provides enormous gateways towards better

understanding of epigenetic regulatory switches, which can be effectively used for human

interventions and purposes.

In the next analysis, the 100 bp overlapping windows were evaluated across the promoter regions to

assess if such critical cytosines might exist in continuous groups too. This helped in knowing the

region specific criticality. **Figure 7c** illustrates the results for *A. thaliana* (AT1G01070 or

UMAMIT28), where the X-axis represents the window numbers, and the Y-axis displays the

Spearman's correlation values between actual and predicted gene expression. Notably, cytosines

within window numbers 190–205 (corresponding to base positions -1810 to -1795 relative to the

TSS) had a substantial impact on gene expression, as the Spearman's correlation dropped sharply

from 0.72 to 0.33 upon the knocked out. A similar effect was observed in window 1,372–1,373

(base positions -628 to -627 from the TSS), further indicating that specific promoter regions harbor

cytosines crucial for regulation. A comparable trend was observed in *O. sativa* (Os01g0190400

OsHXK8), as shown in **Figure 7d**. By analyzing the combined influence of cytosines within each

window, it was also clear that their are regions in promoters which are enriched for critical cytosine in form of blocks.

The third and final approach focused on identifying cytosine pairs and their combinations to examine how these groupings influenced gene expression. We particularly focused on the pairs which when knocked out, led to a significant decrease in expression while alone they didn't appeared critical. As shown in **Figure 7e** for *A. thaliana*, combination "A" knocking out cytosine at positions 198 and 232 together, resulted in a Spearman's correlation reduction from 0.72 to 0.37. Similarly, in combination "B", knocking out cytosine at positions 1442 and 1450 decreased the correlation from 0.72 to 0.33. In **Figure 7f** for *O. sativa*, the Spearman's correlation for combination "A" decreased from 0.70 to 0.49, while for combination "B", it got reduced to 0.51. This highlights the importance of cytosine combinations in gene regulation, where the effect of one cytosine may be depend on the presence of nearby cytosines. Individually, these cytosines were not scoring high on influence. But when paired/grouped with other cytosines, their impact becomes visible. This all clearly suggest qualitative as well as quantitative impact of cytosines on gene expression.

Critical cytosines were identified through the systematic knockout of cytosines, both individually and in groups. Systematic knocking out of cytosines and their groups is helpful in annotating the existing genes and developing knowledge about them, storing that in term of databases. However, it is a time consuming task and not pragmatic for on the spot detection of criticality. To overcome these limitations, Grad-CAM was implemented. Grad-CAM is an explainability AI approach which identifies the most influential input features (i.e., critical cytosines) by evaluating the gradients of the target output with respect to the input features. These gradients flow into the final convolutional layer of the model, generating scores that highlight the regions of the input sequence which

appeared as the most influential ones in driving the model's predictions. This allows for the real-

time, fast and interpretable identification of critical cytosines without the need for compute and

labor-intensive knockouts method mentioned above.

To further corroborate the significance of the identified critical cytosines, two complementary

approaches were employed, and their accuracies were compared. The first method involved

employing in-house scripts to extract cytosine weights from the fourth convolutional layer of each

gene model. These weights were compared with the corresponding Spearman's correlation values to

determine whether cytosines deemed critical exhibited higher weights. For the second approach,

Grad-CAM score profiles were implemented using the fourth convolutional layer of the deep-

learning system architecture as the focus to identify the most significant cytosines influencing gene

expression. This layer is crucial because it captures the most significant features (cytosines)

affecting gene expression. By concentrating on this layer, the critical cytosines which play a key

role in regulating gene expression could be effectively identified. This analysis revealed a strong

correlation between Grad-CAM scores and Spearman's correlation values, confirming that

cytosines identified as critical ones exhibited the largest drop in Spearman's correlation upon

knockout while also having high Grad-CAM scores, as illustrated in **Figures 7a and 7b.** This way

the Grad-CAM approach was ultimately implemented for the automated, real-time detection of

critical cytosines. While the knockout methods were conducted on AT1G01070 and

Os01g0190400, we validated these observations across multiple genes in both species, confirming

that critical cytosines are gene and position specific and that not all cytosines contribute equally to

gene regulation. All gene-specific, information for both species has been systematically stored in a

database, discussed in an upcoming section below.

Following the identification of gene-specific critical cytosines in both *A. thaliana* and *O. sativa*,

their distribution was examined within promoter regions to determine whether these critical

cytosines preferred any specific genomic regions when analyzed collectively. Analysis revealed

that a significant majority of critical cytosines were concentrated within 1 Kb upstream of the

transcription start site (TSS), a region well known for its importance in gene expression regulation

(Figure 7g). This observation aligns with previous studies indicating that gene regulatory elements,

including promoters, enhancers, and transcription factor binding sites, are typically enriched within

this proximal upstream region **[17, 42]**.

Critical cytosines mirror drift in functional priority across species

As transpires now, there are critical cytosines which influence downstream gene's expression. They

work either quantitatively or qualitatively, and display certain positional bias also. The genes across

species are functionally resolved on the basis of homology. However, the present study found that

in terms of regulation, it is GC% similarity in the promoter regions, which explains their regulation

and expression.

To further understand the functional significance of genes containing the critical cytosines, their

Gene Ontology (GO) annotations and functional associations, as well as their functional dynamics

shifts between the two species were analyzed. Comparing GO results between *A. thaliana* and *O.*

sativa could provide insights into conserved regulatory mechanisms or species-specific adaptations

in gene regulation mediated by these critical cytosines.

In this study, all genes containing critical cytosines in both species were ranked based on the

presence of these cytosines in their promoter regions, along with their expression Spearman's

correlation and Grad-CAM scores. From this ranking, the top 400 genes were selected in each

species, prioritizing those where critical cytosines had the most significant regulatory impact. These

selected genes, highly enriched with critical cytosines, served as the ideal candidates for

understanding the regulatory drifts. GO enrichment and pathway analysis were performed,

identifying key biological processes, cellular components, and molecular functions associated with

these genes.

The Gene Ontology (GO) analysis of the genes enriched for critical cytosines in *A. thaliana* and *O.*

sativa highlights distinct yet interconnected regulatory roles of critical cytosines in shaping plant

development and metabolic functions. In *A. thaliana*, these genes are predominantly linked to

ribosomal DNA (rDNA) maintenance, cellular development, and stamen development, suggesting a

strong influence on genome stability and reproductive success. rDNA plays a crucial role in

maintains nucleolar integrity by serving as the scaffold for nucleolus formation **[43-44].** It defines

the last leg of gene expression through mRNA translation. Stamen development, governed by

cytosine methylation, directly influences pollen viability and fertility, supporting the role of

epigenetic modifications in and control of a time dependent event of reproduction. A comprehensive

breakdown of these results can be found in **Table S2 of Supplementary File 1**. Molecular function

and pathways associations supported this observations, where enrichment for phytohormone

modulations, histone modifications, and primary metabolic processes was found. Localization of

these genes to the endopeptidase complex, early endosomes, and generative cell nuclei further

indicates their involvement in protein degradation, intracellular trafficking, and reproductive cell

differentiation all associated with the process of regeneration. In overall all these enriched genes are

highly spatio-temporal and orchestral in their fundamental roles. Having critical cytosines

enrichment further justifies the high stakes of epigenetic control on them.

In contrast, *O. sativa* exhibits a markedly different pattern, with genes enriched for critical cytosines

predominantly associated with phospholipid biosynthesis, isopentenyl diphosphate biosynthesis,

and carbon fixation, reflecting a strong emphasis on metabolic regulation and photosynthetic

efficiency, storage and energy management. The enrichment of shikimate kinase activity in *O.*

sativa further reinforced the role of critical cytosines in secondary metabolism, particularly in the

synthesis of aromatic amino acids, which serve as precursors for a wide range of secondary

metabolites essential for plant defense, signaling, and growth. A more detailed description of these

findings is presented in **Table S3 in Supplementary File 1**.

A comparative analysis of both species reveals key distinctions in the regulatory functions

influenced by critical cytosines. While *A. thaliana* prioritizes developmental regulation, particularly

in reproductive and cellular differentiation processes, *O. sativa* exhibits a strong metabolic focus,

emphasizing energy production, lipid biosynthesis, and photosynthetic efficiency. These differences

likely reflect evolutionary adaptations tailored to each species' unique growth environments and

physiological demands. However, despite these functional divergences, both species share core

regulatory themes in hormone signaling, protein turnover, and metabolic control, reinforcing the

conserved role of critical cytosine enriched genes in maintaining fundamental cellular functions

across plant species.

To investigate the conservation of essential gene functions between *A. thaliana* and *O. sativa*, a

comparative analysis of the genes enriched for critical cytosines in both species was conducted with

broader grouping. This study was expanded to cover the top 1,500 critical cytosine enriched genes.

These 1,500 genes were categorized into three equal groups of 500 genes (high, medium, and low

criticality) to systematically examine the distribution of functional associations of these three levels

of criticality.

In terms of biological processes, distinct patterns of gene enrichment were observed in *A. thaliana*

and *O. sativa,* reflecting their unique evolutionary and ecological adaptations. In the high criticality

group, 12 genes in *A. thaliana* were highly enriched in the maintenance of the rDNA process, which

is a critical function for translation and ribosomal biogenesis **(Figure 8a)**. This is particularly

important in *A. thaliana,* a model plant with a compact genome and rapid life cycle, in which

efficient ribosome production is essential for growth and development. In contrast, in *O. sativa*, 16

genes enriched in the same process dropped down into the medium criticality group, suggesting

that rDNA maintenance, although still important, may be less prioritized in rice because of its

larger genome size, polyploidy, and reproductive strategy focused on seed production. A total of 36

genes in *A. thaliana* and 22 genes in *O. sativa* from the high criticality group were enriched in

cellular development process. This indicates that these genes play a conserved role across both

species, but the extent of their criticality differs. Similarly, in the medium-criticality group, 19

genes in *A. thaliana* and 32 genes in *O. sativa* (which were classified as high-criticality) were

enriched in cell differentiation processes. This observation suggests that while both species share

this biological function, the regulatory importance of critical cytosines differs between them. The

greater number of *O. sativa* genes in the high-criticality group suggests that critical cytosines may

exert a stronger regulatory influence on cell differentiation in this species compared to *A. thaliana*.

Biological processes such as cellular metabolism, transferase activity, and pyruvate metabolic

processes were enriched in the low criticality group for both species, indicating that these

fundamental processes are less influenced by critical cytosines, highlighting their ominipresent

roles in basal activities where spatio-temporal regulation is not much required. Regarding cellular

components **(Figure 8b)**, the endopeptidase complex was associated with the low criticality group

in *A. thaliana,* but with the high criticality group in *O. sativa,* suggesting species-specific roles in

protein degradation and regulation. In rice, the endopeptidase complex may play a critical role in

stress responses, such as drought or pathogen resistance, which are essential for survival under

diverse agroecological conditions.

In the molecular function category **(Figure 8c)**, hormone-binding and auxin efflux transmembrane

transporter activity were enriched in the high criticality group for both species, underscoring the

conserved importance of hormone signaling in plant growth and development, which is universally

spatio-temporal and needs to be tightly regulated. In contrast, nutrient reservoir activity was

associated with the low criticality group in *A. thaliana*. While it was in the medium criticality group

in *O. sativa,* reflecting rice's adaptation as a cereal crop, with a focus on nutrient storage in seeds.

This all difference highlight the regulatory drifting between a model and a crop species, where

nutrient storage is a key trait for agricultural productivity.

The mRNA surveillance pathway was enriched in the high criticality group for *A. thaliana* **(Figure**

8d) which belonged to the medium criticality group for *O. sativa*, indicating differences in post-

transcriptional regulation between the two species. In *A. thaliana,* precise control of mRNA

stability and translation may be critical because of its rapid life cycle and the need for efficient

resource allocation. This was paralleled by rRNA genes enrichment in *A. thaliana* , as observed

above. Likewise, alpha-linolenic acid metabolism was associated with the medium criticality group

in *A. thaliana*, but moved into the high criticality group in *O. sativa*, most likely due to rice's

reliance on lipid metabolism for energy storage. Alpha-linolenic acid is also a precursor for

jasmonic acid, a hormone involved in defense responses.

Overall, this study enhanced our understanding of the role of critical 'C' in imparting species

specific modulation of gene expression and its control. Observations made here pave the way for

future studies aimed at unraveling the molecular underpinnings of plant adaptation and resilience,

where cytosines and epigenetic control now appear as highly imminent regulators.

The CritiCal-C resource portal and server

The findings made here have been converted into a first of its kind information and resource portal,

CritiCal-C. CritiCal-C integrates data from in-house processed datasets, Plant Ensembl, UniProt,

and KEGG database with advanced visualization method, allowing researchers to explore cytosine-

specific effects on gene regulation. The platform supports both species-specific analysis and cross-

species comparisons, offering unique insights into conserved and divergent regulatory mechanisms.

The CritiCal-C platform is structured as a client-server architecture to ensure optimal performance,

scalability, and user experience. The client-side was developed using HTML5, CSS3, JavaScript

and jQuery (https://jquery.com/), with amCharts5 (https://www.amcharts.com/docs/v5/) for

interactive data visualization. The interface integrates external APIs, including UniProt, QuickGO,

and KEGG REST API, for protein annotations, gene ontology data, and pathway visualizations,

respectively enabling asynchronous data retrieval and ensuring a responsive user experience even

during computationally intensive operations.

The server-side architecture utilizes MongoDB (https://www.mongodb.com/) as the primary

database, structured with distinct collections for gene information, gene ontology information, and

promoter sequence. The database schema is optimized for genomic data retrieval, incorporating

indexed fields for chromosome positions, gene IDs, promoter sequences, and gene ontology

information to accelerate query performance. The Flask web framework

(https://flask.palletsprojects.com/en/stable/), running on Python 3.8, manages request processing,

and integration with deep learning model. The platform is deployed on an Ubuntu Linux (version

18.04.6 LTS) system with Apache HTTP Server, ensuring stable and unrestricted access. Database

interactions have been managed through PyMongo (https://pymongo.readthedocs.io/en/stable/),

with asynchronous data retrieval via Ajax (https://api.jquery.com/jQuery.ajax/) to provide a

responsive user experience.

If a user wishes to explore critical cytosines in *A. thaliana* and *O. sativa* genes, the user can access

the database, select the gene of interest, view complete GO details and its critical cytosines, and

download the gene's promoter sequence. For cross-species analysis, users can upload methylated

2kb promoter DNA sequences of their gene of interest in FASTA format. The back-end, leveraging

a developed deep-learning model, processes the query sequences to predict gene expression levels

and identify critical cytosines. Using the same information results are displayed through interactive

visualizations, with options for tabular downloads to support further analysis. Additionally, the web

server provides Grad-CAM explainability scoring plots, highlighting the influence of each cytosine

on the gene expression prediction. **Figure 9** illustrates the system architecture and workflow of the

CritiCal-C database and the web server. CritiCal-C provides three primary visualization tools to

assess the functional significance of critical cytosines: (1) Gradient plot - display the impact of

individual cytosine knockouts on Spearman's correlation coefficient, highlighting their regulatory

importance, (2) Arc plot - diagram displays critical cytosines in paired form, allowing users to

easily identify which cytosine is more critical when combined with another cytosine, (3) Sliding

window analysis – which uses 100 bp overlapping windows to reveal spatial clustering of critical

cytosines and potential regulatory hotspots. The CritiCal-C database and web-server is freely

accessible at: http://hichicob.ihbt.res.in/critical/

Conclusion

Among all the four nucleotides of DNA, cytosine stands out for its stake in modulation of gene

regulation, especially in plants. In plants, cytosine methylation is critical regulator of differential

experession and guide the molecular system response with respect to environmental shifts.

However, there is not much to identify such critical cytosines. The present study has attempted to

fill in such gap to provide first ever such tool and resource portal where criticality of cytosines has

been measured using deep-learning. This criticality assessment has been based on the impact made

on the expression of the downstream genes. Critical cytosines exist as qualitative as well as

quantitative ones. Homology based searches can't identify critical cytosine across species, but GC%

similarity explain it better. Using the developed tool here, CritiCal-C, it is now possible to identify

critical cytosines in any species, besides successfully predicting the gene expression if bisulfite data

is also given. Critical cytosines related information for the studied species have been provided as an

interactive resource portal at http://hichicob.ihbt.res.in/critical/. This study will help to strategize

molecular interventions like editing and targeted methylation/demethylation at critical cytosine for

crop improvements and molecular studies.

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Author's contributions

VK performed a major part of this study. AK assisted in the development of deep-learning model and also developed the web server. AS developed the database of CritiCal-C and front-end visualization of the web server. SG assisted with the analysis evaluation. RS conceptualized, designed, analyzed, and supervised the entire study. VK and RS wrote the MS.

Declaration of competing interest

The authors declare that they have no conflicts of interest.

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Software and Data availability

The secondary data utilized in this study are publicly accessible, and their appropriate references

and sources are listed in Supplementary File 2, Sheet 1-4. Additionally, the databse and server is

available as a web server at http://hichicob.ihbt.res.in/critical/.

Figure Legend

Figure 1. Study Overview. (a) Stress-dependent regulation of gene expression by DNA

methylation; Right: Under normal conditions, unmethylated DNA permits transcription factor (TF)

binding, enabling gene expression, Left: Under biotic/abiotic stress, DNA methylation (red circles)

inhibits TF binding, suppressing gene expression. **(b)** Experimental methods for DNA methylation

detection. **(c)** Computational approaches for methylation detection. **(d)** Limitations in studying

single-cytosine effects, current experimental methods can assess individual cytosine impact but are

costly, time-consuming, and condition-specific. No computational tools yet exists to predict

expression-level effects of specific cytosines. **(e)** Our solution: Critical cytosine analysis, pipeline

for identifying and validating individual cytosines which directly influences gene regulation,

bridging the gap between methylation status and expression outcomes.

Figure 2. Critical cytosine identification using ResNet-9 architecture. The workflow illustrates

the developed algorithm for determining methylation-expression relationships in *A. thaliana* and *O.*

sativa using whole-genome bisulfite sequencing (WGBS) and RNA-seq data. For each experimental

condition, gene-specific promoter sequences are processed by extracting methylation patterns and

expression levels, which are then transformed into one-hot encoded representations. These data

serve as input to our ResNet-9 deep learning model, which identifies critical cytosines influencing

gene expression. Following model development, we characterize these regulatory cytosines using

proprietary feature extraction methods and Gradient-weighted Class Activation Mapping (Grad-

CAM) to determine their relative importance and positional biases within promoter regions. This

integrated approach enables systematic identification of functional cis-regulatory elements across

species and conditions.

Figure 3. Comparative analysis of gene expression among methylated, unmethylated, and

housekeeping genes. (a) Expression profiles in *A. thaliana* show distinct patterns across the three

gene categories, with mean expression values and standard deviation error bars revealing significant

differences. While unmethylated genes and housekeeping genes (internal controls) show

statistically similar expression levels, methylated genes demonstrate markedly different expression

patterns. The highest expression levels in methylated genes (orange violin plot) occur sporadically,

indicating regulation by spatial and temporal factors. **(b)** Parallel analysis in *O. sativa* confirms

conserved trends, with methylation status similarly associated with expression variation. The violin

plot reveals comparable distribution patterns of highly expressed methylated genes, reinforcing that

DNA methylation influences gene regulation across both model and crop species. Together, these

results provide quantitative evidence for methylation-dependent expression modulation in plants,

while highlighting species-specific regulatory nuances.

Figure 4. Performance evaluation of species-specific models in linking DNA methylation to

gene expression. (a) Illustrates the model's efficacy using XGBoost during training and testing

phases. The spearman's correlation values for both stages are presented, demonstrating the model's

capacity to elucidate the relationship between DNA methylation and gene expression. This indicates

a strong correlation in the training phase, suggesting successful pattern recognition, while the

testing results provide insights into the model's suboptimal performance as significant gap in performance was noted between training and testing. **(b-c)** Comparative assessment of deep learning architectures (CNN, ResNet, DenseNet) for predicting expression from methylation patterns in *A. thaliana* and *O. sativa*, with performance quantified by Spearman's correlation between experimental and predicted expression level patterns. These analyses identify the most effective architecture for each species. **(d)** Comparison of ResNet-9 model accuracy through Mean Absolute Error (MAE) metrics across training and test datasets, highlighting species-specific prediction capabilities.

Figure 5. Hyperparameter optimization and model performance analysis. (a) Comparison of model testing performance before and after hyperparameter optimization for both A. thaliana and *O. sativa.* **(b)** Quantitative assessment of optimization effects shown through bar graphs of either Spearman's correlation coefficients (ρ) or Mean Absolute Error (MAE) values. The significant performance improvement following optimization demonstrates the critical role of parameter tuning in enhancing both predictive accuracy and model generalizability for studying methylation-expression relationships across species.

Figure 6. Construction of a universal model. (a) Performance evaluation of five *A. thaliana* genes and their *O. sativa* homologs shows a strong Spearman's correlation (average $\rho = 0.81$) between predicted and experimental expression values within species but a significantly reduced cross-species performance (average $\Delta\rho = 0.60$), indicating limited conservation of regulatory mechanisms. **(b)** Reciprocal analysis of five *O. sativa* genes and their *A. thaliana* homologs confirms this species-specificity pattern. **(c-d)** GC%-stratified analysis reveals that models trained

on genes with similar GC content maintain high predictive accuracy (average ρ = 0.82) both within

and across species, suggesting GC% as a key determinant of regulatory conservation. **(e-f)** Group-

level analysis demonstrates that gene blocks with similar GC% exhibit exceptional cross-species

performance (average ρ = 0.81), supporting the effectiveness of GC%-guided universal modeling.

Figure 7. Identification of critical cytosines and their distribution patterns in the promoter

region. (a-b) The impact of systematically knocking out individual cytosines in the promoter region

of *A. thaliana* (AT1G01070 or UMAMIT28) and *O. sativa* (Os01g0190400 or OsHXK8) on gene

expression. The graph shows a reduction in Spearman's correlation, with the actual correlation

indicated by a red dotted line. The associated Grad-CAM score at the knocked-out cytosine position

(black digits) highlights the cytosine's importance in gene expression regulation, emphasizing the

model's focus on this site. **(c-d)** A 100 bp overlapping window approach was applied to evaluate

how groups of cytosines collectively influence gene regulation in both species. In *A. thaliana*

(AT1G01070 or OsHXK8), cytosines within window numbers 190–205 (corresponding to base

positions -1810 to -1795 relative to the TSS) had a substantial impact on gene expression, as

Spearman's correlation dropped sharply from 0.72 to 0.33 upon knockout. A similar effect was

observed in window 1,372–1,373 (base positions -628 to -627 from the TSS), indicating that

specific promoter regions contain cytosines crucial for regulation. A comparable trend was

observed in *O. sativa* (Os01g0190400 or OsHXK8). **(e-f)** A cytosine combination approach was

used to examine how cytosine groupings influence gene expression. In *A. thaliana*, combination A

(knocking out cytosines at positions 198 and 232) reduced Spearman's correlation from 0.72 to

0.37. Similarly, the impacts were seen for the other given combinations including rice. **(g)** KDE

plots illustrating the positional preferences for critical cytosines.

Figure 8. Sankey plot illustrating critical cytosines mirror drift in functional priority across species. The diagram highlights conserved and divergent gene functions, demonstrating how shifts in criticality reflect species-specific adaptations to ecological and evolutionary pressures. These insights underscore the role of genes containing critical cytosine and epigenetic regulation in plant adaptation, **(a)** Biological Processes: rDNA maintenance is highly critical in *A. thaliana* but moderately critical in *O. sativa*, reflecting differences in genome organization and reproductive strategies. **(b)** Cellular Components: Chloroplast stroma is highly critical in *A. thaliana* but less so in *O. sativa*, likely due to rice's adaptation to high-light environments and its emphasis on starch biosynthesis, **(c)** Molecular Functions: Hormone binding and auxin transport are highly critical in both species, whereas nutrient reservoir activity is more critical in *O. sativa*, aligning with its role as a cereal crop, **(d)** KEGG Pathways: mRNA surveillance is highly critical in *A. thaliana* but moderately critical in *O. sativa*, suggesting species-specific post-transcriptional regulation. Alpha-linolenic acid metabolism is more critical in *O. sativa*, likely due to its reliance on lipid metabolism for stress responses.

Figure 9. System architecture and Entity-Relationship diagram of CritiCal-C portal. The CritiCal-C portal is a database and web server designed to host the information generated from the present study identifying and analyzing critical cytosines. The system architecture consists of multiple layers, facilitating seamless user interaction and efficient data processing. The server communicates with the Flask backend using HTML and RESTful API calls, while the backend interacts bidirectionally with MongoDB via database queries, utilizing URL encoding for request data. MongoDB store comprehensive gene-wise information, including gene IDs, symbols, molecular functions, cellular components, biological processes and promoter sequences, with integrated links to external resources such as UniProt & KEGG. This architecture ensures efficient

913 retrieval and analysis of gene expression data, enabling researchers to explore epigenetic regulation

914 through various visualization techniques, including arc plots, gradient plots, and window plots.

Supplementary Information

Supplementary File 1: Comparison of DNA methylation detection methods and gene ontology

study.

Supplementary File 2: Complete details of various sources of WGBS and RNA-seq data.

Sheets 1–4 contain condition-wise sample details of WGBS and RNA-seq. Sheet 5 provides

information of the deep-learning architectures used, while Sheet 6 presents a comparative

Spearman's correlation analysis of each deep-learning model's output.

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