Controlling Payload Heterogeneity in Lipid Nanoparticles for RNA-Based Therapeutics

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Abstract:

Lipid nanoparticles (LNPs) are a leading platform for nucleic acid delivery, yet conventional assembly by mixing lipids with RNA yields particles with heterogeneous, bimodal payload distribution. Our transfection experiments demonstrate that heterogeneous siRNA distribution within LNPs significantly reduces gene knockdown efficiency. To systematically investigate the origin and extent of payload heterogeneity, we integrate coarse-grained molecular dynamics and kinetic Monte Carlo simulations with single-particle characterization via cylindrical illumination confocal spectroscopy and machine learning analysis. We find that the balance between RNA diffusion kinetics and lipid self-assembly dynamics is the dominant driver of payload heterogeneity. Leveraging this mechanistic insight, we show that (i) finely controlled turbulent mixing minimizes payload variance and increases the uniformity of RNA distribution without altering LNP size, and (ii) systematic adjustment of salt concentration and PEG-lipid content tunes RNA loading in a volume-dependent manner. Together, these results elucidate the self-assembly landscape of RNA-LNPs and provide actionable design principles for crafting more uniform, potent, and safer LNP-based nucleic acid therapies.

Keywords: Lipid nanoparticles, RNA therapeutics, RNA encapsulation, kinetic Monte Carlo, RNA payload distribution, single-particle characterization

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Introduction:

Nucleic acid-based therapeutics have revolutionized disease treatment, with lipid nanoparticles (LNPs) established as an effective delivery platform.[1–6] The success of LNPs in FDA-approved vaccines, which deliver messenger RNA (mRNA), underscores their immense potential as a versatile delivery platform. Beyond vaccines, LNPs are being developed for various therapies, including small interfering RNA (siRNA)-mediated gene silencing,[7,8] mRNA-based protein replacement,[9] gene editing using mRNA and guide RNA,[10] circular RNA for long-lasting protein expression in genetic disorder treatments,[11] and DNA/RNA-based induction of immune responses against cancers and chronic infections.[12–15] Despite this progress, a key gap lies in achieving precise control over how therapeutic payloads are distributed among individual LNPs as unwanted heterogeneous payload distribution can compromise therapeutic performance.[16–19] Therefore, establishing a mechanistic understanding of payload heterogeneity and its effect on drug delivery is needed for optimizing nucleic acid-based delivery systems, and thereby de-risk and broaden the applicability of the LNP delivery platform.

RNA-LNPs are formed through a self-assembly process, where an aqueous solution of RNA is mixed with an alcoholic lipid solution containing ionizable lipids, PEGylated lipids, cholesterols, and helper lipids. Two key interactions primarily drive the self-assembly: the amphiphilic nature of the lipids, and electrostatic interactions between the negatively charged RNAs and the positively charged ionizable lipids. However, this assembly process often produces LNPs with heterogeneous compositions, including LNPs without RNA ("empty LNPs") and LNPs containing multiple RNA copies, characterized by a bimodal RNA loading distribution[20]. This payload heterogeneity can significantly impact therapeutic outcomes, leading to toxicity or reactogenicity.[16,17] A recent study has linked empty LNPs containing YSK13 lipids to liver toxicity, suggesting that the lipid component itself contributes to adverse effects.[18] This highlights the importance of controlling the dose of administered RNA-LNPs to minimize toxicity, which necessitates precise RNA distribution within the LNPs. Researchers also found that the higher mRNA loading reduces transfection potency, likely due to their deviation from the optimal lipid/mRNA ratio and mRNA-mediated bleb-like structure formation.[21] However, according to our knowledge, no study about potency is available for shorter or non-bleb forming RNAs like siRNA. Although less critical in vaccines, minimizing undesirable inflammation, improving potency, and enhancing drug delivery consistency are critical in long-term therapies such as gene delivery.

Formulating LNPs with desired physical properties, such as average size and RNA encapsulation efficiency, is straightforward, especially with techniques like microfluidics.[22–24] However, controlling and measuring payload heterogeneity remains a significant challenge. Recently, we developed Cylindrical Illumination Confocal Spectroscopy (CICS), enabling analysis of mRNA, siRNA, or other payload characteristics at the single-particle level.[20,25] This method uncovered substantial payload heterogeneity and a bimodal loading distribution, with up to 50% of LNPs being empty. While bimodal distribution is typically caused by thermodynamic phase separation, it may also stem from kinetic factors. The key question is whether thermodynamic or kinetic effects dominate. If the cause is kinetic, enhancing mixing could reduce heterogeneity. On the other hand, if the cause is thermodynamic, allowing the LNP configurations to equilibrate via enhanced mixing would likely

increase heterogeneity. Thereby, identifying the precise cause of heterogeneity is crucial for
optimizing strategies to obtain the desired RNA payload distribution.

In this study, we systematically explore the origin and extent of RNA payload heterogeneity and its
effect on delivery efficiency. We investigate the formation of empty LNPs and demonstrate how to
control their population. To this end, we first develop advanced multiscale computational
techniques, employing molecular dynamics (MD) to model the initial stage of the RNA-LNP assembly
process and kinetic Monte Carlo (kMC) to model the later stages, thus overcoming the challenge of
limited simulation timescales. We investigate the effect of mixing rate, salt concentration (c_{salt}), and
PEGylation on the LNP size and payload distribution, and use a random-forest machine-learning
method to analyze the effect of these features. Findings are validated using the CICS single

Figure 1: **Overview of the RNA-LNP Self-Assembly Process: Experimental and Modeling Framework.** *(A)
Schematic illustrating the self-assembly process of RNA-LNPs, where lipid-ethanol and RNA-aqueous
solutions are mixed using a vortex mixer (left). Inlet flows generate turbulent inter-shearing layers, keeping
lipids and RNAs separate until full mixing occurs due to diffusion on timescale τ_M. This process resulted in
RNA-loaded and RNA-free LNPs (empty-LNPs). (B) Cylindrical illumination confocal spectroscopy (left) is
employed to analyze particle composition. Molecular dynamics (MD) simulations capture the initial stages of
the assembly process (middle), whereas kinetic Monte Carlo (kMC) simulations are used for later stages
(right). kMC incorporate charge regulation, Derjaguin-Landau-Verwey-Overbeek (DLVO) interactions, and
PEG-PEG repulsion. Upon merging, LNPs update their composition and associated interactions. (C) kMC
simulations provide detailed insights into RNA-LNP size distribution, payload distribution, and assembly
dynamics. Coupling these simulations with machine learning enables the development of design rules for
optimizing RNA-LNP formulations.*

nanoparticle detection experiments (Figure 1). Finally, we establish design principles to obtain a
preferred LNP size and payload distribution.

Results:

We utilized a benchmark lipid formulation based on the FDA-approved siRNA drug Patisiran.[26]
Typically, RNA-LNPs are formed by mixing an RNA-containing aqueous solution with a lipid-
containing alcohol solution in turbulent setups such as T-junctions,[27] confined impinging jets,[28] or
multi-inlet vortex mixers.[29] We used a 3-inlet vortex mixer at flow rates of 10, 20, and 30 mL/min, with
a siRNA-to-lipid flow rate ratio of 3:1. After mixing and subsequent dialysis (conducted 1-hour post-
mixing), the siRNA-LNPs were analyzed using CICS (at 18 hours post-mixing), where each particle
passes through a detector individually. Fluorescence signals from the detector enabled
differentiation among LNP populations, categorizing them into siRNA-encapsulated LNPs, empty
LNPs, and free unencapsulated siRNAs (Figure 1A-1B). This experimental system served as a
reference for parameter selection and validation in our modeling approach.

MD simulations reveal the origin of empty LNPs

To investigate the initial stages of the siRNA-LNP self-assembly process, we employed coarse-
grained (CG) MD simulations. In turbulent mixing setups, the kinetic energy from the inlet flows
breaks the flow jets into inter-shearing layers or turbulent eddies as the solutions enter the mixing
chamber.[30] To replicate these inter-shearing layers in simulations, we initially divided the system into
distinct siRNA and lipid layers, maintaining a volume ratio 3:1 (Figure 2A(i)). Simulations reveal that
during the mixing process, most lipids quickly aggregate to form small nanoparticles before
significantly interacting with siRNA (Figure 2A, 2B). This early lipid aggregation leads to the formation
of primarily empty LNPs. To our knowledge, this represents the first MD simulation that captures the
RNA-LNP mixing process in a manner consistent with experimental mixing conditions.

The thickness of inter-shearing layers can be controlled by adjusting the flow rate during siRNA-LNP
formation.[30] At higher flow rates, the increased kinetic energy leads to more intense breakdown of
the flow and smaller turbulent eddies.[31] In contrast, smaller flow rates may result in laminar flow,
without any turbulent eddies. Following Ref. 30, we define the characteristic mixing length scale, *l,*
as half the lipid layer thickness (Figure 2A). The distribution of siRNA on LNPs was analyzed at a
simulation time of 100,000 τ (simulation time unit $\tau = 2$ ns, yielding a total assembly time of 0.2 ms)
at varying *l*. The results show that thicker inter-shearing layers (correspond to slower mixing rates in
experiments) lead to a higher proportion of empty LNPs compared to thinner layers (Figure 2C). To
quantitatively explain this behavior, we calculate the mixing timescale, τ_M, for siRNA and lipids to
diffuse across the mixing length scale, $\tau_M = \frac{l^2}{2D}$ where D is the inter-diffusion constant (noting that in
these simulations, τ_M values are about 25 µs, 57 µs, and 100 µs, respectively*). Since individual siRNA
molecules are much smaller than the LNPs, we approximate $D \approx D_{siRNA}$ (see supporting information
SI, Figure S3). We find that at τ_M the loading distribution for different *l* collapse into a master curve
(Figure 2C, inset). This demonstrates that the distribution of siRNA on LNPs is primarily governed by
the diffusion timescale τ_M, which is influenced by the thickness of the inter-shearing layers.

*Figure 2: **Molecular Dynamics (MD) Simulation of siRNA-LNP Assembly**. (A) CG-MD simulation depicting the initial distribution of siRNA (blue), lipids (orange), and PEG (green) at (i) t=0, (ii) t=1600 τ, and (iii) t=40000 τ (τ= 2 ns). The characteristic mixing length scale, l (165 nm for these snapshots), is shown in black. Over time, lipids begin to aggregate and form small nanoparticles. Inset shows a zoom-in of the configuration, showing empty and siRNA containing LNPs. (B) Number of RNA–lipid, and lipid–lipid contacts normalized with the coordination number. (C) RNA payload distribution for different mixing length, l, at t=$10^5\tau$ (or 0.2 ms). RNA distribution at mixing time scale τ_M=l^2/2D, collapses to a master curve (inset). The τ_M values are about 25 μs, 57 μs, and 100 μs for l=110, 165, and 220 nm, respectively.*

kMC simulations and CICS experiments show the effect of mixing flow rates

Since MD simulations cannot capture the full growth dynamics of LNPs due to time scale limitations, we employ kMC simulations to model the aggregation of LNPs on timescales larger than the mixing time τ_M. kMC simulations enable dynamic evolution of LNP growth[32] and allow for the investigation of payload and size distributions. To initialize kMC simulations, we calculate the LNP radius R_0 at mixing time τ_M, using a mean-field coalescence theory of lipids (Figure 3A; see SI for derivation). We validated this approach by comparing the predicted sizes to those obtained from MD simulations. Based on MD simulation results (Figure 2C, inset), we set that at τ_M the fraction of empty LNPs $\theta_0 = 0.83$. In the turbulent mixing regime (flow rate $Q \geq 10$ ml/min), the characteristic mixing time depends on the flow rate as $\tau_M = b_Q/1.75 \times Q^{-1.5}$, where $b_Q = 1.3 \times 10^3$ ms [30,33,34] which allows us to compare experimental observations with kMC simulations (the factor 1.75 accounts for diffusivity of siRNA relative to conditions in Ref. 30). A faster flow rate results in more turbulent mixing conditions with smaller l and shorter τ_M (Figure 2), and thus smaller size R_0 (Figure 3A).

*Figure 3: **Effect of Mixing Flow Rate on LNP Size and Payload Distribution.** (A) Theory prediction for LNP growth and MD simulation results providing the initial LNP radius input for kMC simulations. (B) Time evolution of the average LNP radius from kMC simulations. (C) Time evolution of the ratio of empty LNPs, defined as the fraction of LNPs without siRNA. The green vertical lines denote t = 1 h (post-mixing dialysis), and the blue lines denote t = 18 h (to match experimental measurement via CICS). (D) Ratio of empty LNPs as a function of mixing flow rate Q at t =18 h. (E-F) siRNA loading distribution at t =18 hours from kMC (E) and experiment (F). In both (E) and (F), normalized frequency distributions show a bimodal profile, with empty LNPs indicated on the left. The shaded regions show the raw histogram data, while the dashed lines show a moving average for visual clarity. Arrows in (E) help to show the bimodal nature of the loading distributions. Parameters: PEG MW is fixed at 2000 Da (R_F=3.6 nm), PEG ratio 1.5% of total lipids, and the initial salt concentration c_{salt} = 0.025 M.*

Figure 3B illustrates the evolution of the average LNP radius R (note R is the bare LNP radius that does
not include the PEG chains) in the kMC simulation. Surprisingly, particles reach an average radius of
approximately 20 nm at 18 hours regardless of the initial size R_0. This similarity in particle size for
different R_0 is also observed in experiment. Dynamic light scattering (DLS) intensity-weighted data
reveals weak dependance of particle size on the mixing rate, with radii of 50.3 nm, 51.9 nm, and
52.5 nm at flow rates of $Q = 10\ \mathrm{ml/min}$, $Q = 20\ \mathrm{ml/min}$, and $Q = 30\ \mathrm{ml/min}$, respectively. This
weak correlation is consistent with previous studies, which demonstrated that nanoparticle size
decreases with increasing flow rates in laminar mixing regime but plateaus in the turbulent flow
regime.[30,35] Finally, according to our prior LNP experiments, DLS-derived average radii are about 2.8
times larger than those measured by cryo-TEM[20] because DLS is skewed towards large particles, and
we find that rescaling the DLS data agrees very well with the kMC simulations (Figure 3B).

To investigate the effects on LNP loading distribution, we analyzed the time-dependent changes in
the ratio of empty LNPs θ_{empty} (Figure 3C), which is defined as the fraction of LNPs that contain no
RNA, $\theta_{\mathrm{empty}} = N_{\mathrm{empty}}/N_{\mathrm{total}}$, with N_{total} the total number of LNPs and N_{empty} the number of LNPs
containing no RNA. As LNPs merged into larger particles, the ratio of empty LNPs decreased overall.
However, unexpectedly, the ratio increased in the early stages, which can be explained by the
stronger electrostatic repulsion between empty LNPs, leading to LNPs containing siRNA to merge
more quickly. Figure 3C demonstrates that reducing the initial LNP size significantly reduces the ratio
of empty LNPs. Quantitative comparison between kMC results and experimental data at different
mixing flow rates shows excellent agreement (Figure 3D).

While previous studies have shown the controllability of average nanoparticle size and drug loading
efficiency, the distribution of internal components has remained largely unexplored. Our findings
show that rapid mixing improves the uniformity/homogeneity of the internal composition within the
nanoparticles. Surprisingly, this implies that the bimodal loading distribution results from mixing
kinetics rather than thermodynamic phase separation. This is further supported by analyzing the
entire siRNA loading distribution within LNPs (kMC data in Figure 3E, CICS data in Figure 3F). A larger
initial LNP radius (smaller flow rate) results not only in more empty LNPs but also increases the
number of LNPs with excessive siRNA (longer distribution tail), indicating highly heterogeneous
encapsulation and a broader bimodal distribution. The agreement between kMC and experimental
data (Figure 3B, D–F) is remarkable given that the kMC model contains no fitting parameters. This
strongly suggests that the kMC model captures the relevant physical processes and can be used as
an independent tool to predict LNP assembly.

A more uniform payload distribution improves delivery efficiency in vitro

To investigate the impact of payload distribution uniformity on delivery efficiency, we performed in
vitro transfection assays using LNPs generated at flow rates of 1 mL/min (not covered in the kMC
calculations), 10 mL/min, and 30 mL/min. Increasing the flow rate reduced both the average siRNA
payload and the ratio of empty LNPs, indicating that higher flow rates yield LNP populations with
more uniform and efficient siRNA encapsulation (as shown in Figure 3 E, F; high flow rate leads to
narrower payload distribution, see also Figure 6D). Note that LNPs formed at the lowest flow rate (1

mL/min, corresponding to the laminar mixing regime) were larger in average size (62.0 nm in radius,

compared to 51.0 nm and 52.5 nm for 10 ml/min and 30 ml/min, respectively), which resulted in LNPs

with a higher average siRNA payload per particle.

At a high siRNA dose (100 ng), all formulations achieved near-complete knockdown of GFP

expression in GFP+ cells (Figure 4A). This indicates that at saturating concentrations, the influence

of payload distribution becomes negligible. However, at lower doses, the differences in knockdown

efficiency diverged: LNPs prepared at 30 ml/min consistently outperformed those produced at lower

flow rates. These particles, with a more uniform payload distribution and fewer empty LNPs, achieved

greater GFP silencing and lower mean fluorescence intensity (MFI, Figure 4B) than the more

heterogeneous populations from 1 and 10 ml/min.

These results demonstrate that improved knockdown efficiency at lower doses correlates strongly

with the uniformity of siRNA payload distribution within the LNP population. At lower flow rates, the

broader payload distribution negatively affected knockdown efficiency, particularly at subsaturating

doses. Importantly, the encapsulation efficiency was greater than 90% across all conditions,

ensuring a consistent total number of delivered siRNA across equivalent doses. Therefore, the

observed differences in knockdown efficiency can be attributed exclusively to variations in payload

distribution among the LNP populations rather than total siRNA content.

Figure 4: **_Effect of payload heterogeneity in transfection efficiency_**. _The top table summarizes siRNA-LNP quality metrics, illustrating improved payload uniformity and reduced fraction of empty LNPs at higher flow rates. (A, B) Knockdown efficiency of GFP expression in vitro (normalized to negative control) as a function of flow rate used during LNP formulation and siRNA dose. Higher flow rates produce more uniform LNPs with a reduced ratio of empty LNPs (top table), enhancing knockdown efficiency at low siRNA doses. At high doses, all formulations achieve near-complete GFP silencing._

kMC simulations show the effect of PEG size and salt concentration

Along with mixing setup, PEG molecular weight (MW) is crucial in LNP assembly, stability, and delivery efficiency.[36–38] PEG forms a hydration shell that prevents LNP aggregation and extends circulation time. LNPs with shorter PEG (e.g., 750 Da) show little difference from PEG-free LNPs. However, longer PEG chains (over 5000 Da) can hinder cellular uptake and endosomal escape, key steps in delivering therapeutic agents.[38] Therefore, understanding the intermediate range (1000-5000 Da) is critical for optimizing stability and RNA delivery.

We investigated the effect of PEG MW by adjusting the Flory radius, $R_{\mathrm{F}} = an^{3/5}$ in PEG mediated repulsion calculation (a=0.37 nm is the monomer size and n the degree of polymerization).[39,40] Figure 5A shows that increasing the PEG MW slows LNP growth, creating an inverse relationship between PEG MW and LNP size. This well-known effect[25,37] is explained by the increased steric barrier E_{PEG} resulting from a larger R_{F} (see Eq. 2 in the Methods section). On the other hand, increasing PEG monotonically increases the ratio of empty LNPs (Figure 5B), reduces the normalized frequency of RNA loading (Figure 3C) and yields a broader distribution. A similar effect is expected when increasing the PEG fraction, as both variables influence the PEG mediated repulsions(see Eq. 2 and Ref. 20).

Figure 5: **Effect of PEG Size and Salt Concentration on LNP Size and Payload Distribution.** *(A) LNP growth and (B) ratio of empty LNPs from for varying PEG MW. The green vertical line denotes t = 1 h (post-mixing dialysis), and the blue line denotes t = 18 h (to match experimental measurement via CICS). Inset in (B) shows the ratio of empty LNPs at 18 hours. (C) siRNA loading distribution at t = 18 h. (D) LNP growth and (E) ratio of empty LNPs for different c_{salt}. Inset in (E) shows the ratio of empty LNPs for varying salt concentration at 18 h. (F) siRNA loading distribution at t = 18 h. Parameters: Initial LNP size is fixed at 9 nm, PEG ratio is 1.5% of total lipids, in (A, B, C) initial salt concentration c_{salt} = 0.025 M, while in (D, E, F) PEG MW = 2000 Da (R_F = 3.6 nm).*

In addition to PEG, salt concentration c_{salt} plays a key role in the self-assembly of LNPs by modulating
electrostatic interactions. We explore an experimentally relevant range of c_{salt}, from 10 mM to 150
mM. kMC simulations reveal that increased c_{salt} accelerates aggregation by reducing electrostatic
repulsion between cationic LNPs, as shown in Figure 5D. Beyond 50 mM, c_{salt} yielded similar
aggregation kinetics, due to neutralization of surface charge and reduction of electrostatic surface
potential. Additionally, the faster aggregation at higher c_{salt} reduces the proportion of empty LNPs
(Figure 5E and inset). Changes in c_{salt} yield a similar effect on siRNA distribution (Figure 5F) as
changes in the PEG MW (Figure 5C), although the effect of salt is less pronounced compared to PEG.

Analysis of LNP polydispersity and payload distribution

The above findings, combining kMC simulations and experimental data, highlight the complex
interplay between mixing behavior, steric repulsion, and electrostatic interactions in LNP
coalescence and growth. To further unravel precisely what factors determine the LNP heterogeneity,
we isolate and analyze how different parameters affect LNP size and payload distribution by
selectively deactivating the contributions from PEG (Eq. 2) and electrostatic and van der Waals

*Figure 6: **Analysis of Size Polydispersity and Payload Distribution Heterogeneity.** (A) LNP size distributions from kMC simulations using different aggregation kernels. Kernel density estimation (KDE) lines are overlaid to highlight the distribution profiles. (B) Aggregation bias expressed as the ratio $K_{v_1, v_2} / K_{v/2, v/2}$, i.e., the probability of coalescence between an LNP of volume v_1 and one of volume v_2, relative to the probability of coalescing two LNPs of volume $v/2$, to form a larger LNP of volume v. This illustrates size-selective merging behavior. (C) Correlation between the ratio of empty LNPs and the average LNP radius at t = 18 h from kMC simulations. The red line indicates a volumetric scaling, with $R_0 = 10$ nm and $\theta_0 = 0.83$. (D) Correlation between the ratio of empty LNPs and the pooled coefficient of variation (CV) of siRNA payload distribution across LNP populations. The red dashed line shows the reference value CV = 1.*

(DLVO) interactions (Eq. 3) in kMC. Excluding both PEG and DLVO interactions results in LNP
coalescence driven solely by diffusion, leading to a very broad LNP size distribution (Figure 6A).
Minimizing size polydispersity is crucial for improving the performance, stability, and safety of LNPs.[1]
Reintroducing either DLVO or PEG interactions narrows the distribution, with PEG having a
significantly stronger effect.

To understand why PEG exerts greater influence, we examined the aggregation kernel K and the
associated fusion energy barriers which reveal size-selective merging behavior. The PEG contribution
to the fusion barrier between two spheres with radii R_1 and R_2 is $E_{\mathrm{PEG}} = C_{\mathrm{PEG}}R_1 R_2$, and for DLVO
interactions it is $W = C_{\mathrm{DLVO}}\frac{R_1 R_2}{R_1 + R_2}$ (Eqs. 2 and 3). Here, C_{PEG} and C_{DLVO} are simplified multiplicative
factors, derived using typical values in Eqs. 2 and 3 (see Figures S7 and S8). In Figure 6B, we plot the
aggregation bias ratio $\frac{K_{v_1,v_2}}{K_{v/2,v/2}}$, representing the probability of coalescence between an LNP of volume
v_1 and with another LNP of volume v_2, relative to the probability of merging two LNPs of volume $v/2$
to form a larger LNP of volume v. This ratio reveals that the probability of merging a smaller LNP with
a larger one is higher than the probability of merging two LNPs of similar sizes. This behavior
promotes a narrower size distribution by: (1) reducing the number of smaller LNPs and (2) limiting the
formation of very large LNPs. We find that this effect is stronger for PEG compared to DLVO, as the
DLVO fusion barrier increases exponentially with LNP radius, whereas the PEG fusion barrier
increases as a squared exponential. Thus, PEG steric repulsion is the main factor that determines
the LNP size and size polydispersity, with DLVO electrostatic repulsion having a smaller effect.

Having analyzed the size polydispersity, we now turn to payload distribution. Investigating the
correlation between LNP size and the payload distribution, and whether these properties can be
independently controlled, is crucial for optimizing LNP formulations. Surprisingly, we find that the
PEG properties have no influence on the ratio of empty LNPs θ_{empty} (see overlapping symbols in
Figure 5C), instead θ_{empty} is determined solely by the initial and final LNP size. The ratio of empty
LNPs θ_{empty} and the average LNP radius R behave in a manner close to volumetric scaling:
$\theta_{\mathrm{empty}}(R) = \theta_0^m$, where $\theta_{\mathrm{empty}}(R)$ is the fraction of empty LNPs at radius R, θ_0 the fraction of empty
LNPs at R_0, and $m = (R/R_0)^3$ the average number of merging events to grow an average particle size
from R_0 to R. The slight deviation of kMC results compared to volumetric scaling (Figure 6C) is
attributed to the non-constant aggregation kernel, as the probability of merging is not equal for all
LNPs. Thereby, mechanistically, changes in PEG or salt concentration directly affect the LNP size and
size polydispersity, but do not directly affect the payload distribution (see overlapping symbols in
Figure 6C), instead their effect on the payload distribution is indirect, a secondary effect due to the
change in the average size R.

In addition to understanding the fraction of empty particles, it is also important to predict the shape
of the full loading distribution (Figures 3E,F and 5C,F). We calculate the pooled coefficient of
variation (CV) of siRNA loading distributions across LNP populations (Figure 6D). The CV, defined as
the standard deviation divided by the mean, quantifies the payload variability in a population. Two
metrics were computed: (1) the total CV across all LNPs, including empty particles, and (2) the CV

restricted to non-empty LNPs only. We observed that the heterogeneity in payload distribution
increases monotonically with the fraction of empty LNPs, even for non-empty LNPs. This suggests
that inefficient mixing not only result in more empty particles but also lead to greater variability in
payload among loaded LNPs. For instance, this effect is clearly illustrated in Figure 3E,F where the
peak frequency decreases and the distribution tail broadens, indicating higher payload variation. All
data points for all different parameters fall on two master curves in Figure 6D, implying that the shape
of the full loading distribution is highly correlated to the fraction of empty particles. Together, the
results in Figure 6 establish a foundational understanding of the underlying correlations, offering a
framework for decoupling and independently tuning LNP size and RNA loading distributions.

Machine learning elucidated design rules

Figure 7. **Design Guide for LNP Size and Payload Distribution.** *(A) Random-forest feature importance analysis highlights the relative importance of different parameters in controlling LNP size and payload. The corresponding SHapley Additive exPlanations (SHAP), which shows positive and negative correlation of features with model predictions is shown in SI Figure S9. (B) Mixing rate strongly affects the ratio of empty LNPs θ_{empty}, but only weakly affects the LNP size R, in both kMC and experiment. Volumetric trend is observable for PEG and salt concentration (Figure 5). (C) Schematic demonstrating how the non-kinetic (energy barrier) and kinetic factors influence the LNP size and payload distribution. LNP energy barrier affects both the LNP size and payload distribution, whereas kinetic measures (e.g. mixing flow rate) independently control only the payload distribution. Crosses indicate inaccessible regions that cannot be achieved using either kinetic or non-kinetic tuning alone. By integrating both kinetic and non-kinetic factors, a wide range of LNP sizes and payload distributions can be achieved.*

To identify and quantify the most influential factors affecting LNP size and payload distribution, we
performed a feature importance analysis using a random forest-based ensemble machine learning
approach[41]. Feature importance quantifies how much each input variable (feature) contributes to
output predictions. Our input parameters space includes: R_0 (6 to 10 nm), PEG MW (1000 to 5000
Da), PEG ratio of lipids (1 to 3%), and c_{salt} (10 to 150 mM). The analysis reveals that the initial LNP size
or mixing flow rate has a negligible effect on the final average LNP size (Figure 7A), whereas the PEG
molecular weight (MW) and the PEG ratio play the most significant roles in determining LNP size. c_{salt}
can also influence LNP size, but its effect is constrained by the upper limit (charge neutralization for
c_{salt} > 50 mM), as well as a relatively weaker effect compared to PEG (as seen in Figure 6B).
Interestingly, the flow rate emerges as the most critical factor controlling the ratio of empty LNPs and
the payload distribution, despite having minimal influence on the final LNP size (Figure 7A).

The LNP size and loading distribution data from Figures 3 and 5 are summarized in Figure 7B. Changes
in mixing rate yield a nearly vertical slope on the θ_{empty}–R parametric plot, observed in both
computational and experimental data, highlighting the ability to independently control payload
distribution and LNP size. In contrast, the gradual slopes for PEG and salt indicate a strong
correlation between LNP size and payload distribution, showing a volumetric trend.

Discussion:

Kinetic Monte Carlo simulations and experimental results together demonstrate that the size and
payload distribution of LNPs can be controlled by utilizing energetic and kinetic factors (Figure 7A,
B). These insights can be used to produce LNPs with controlled size and payload distribution (Figure
7C). The molecular weight of PEG, the PEG ratio, and salt concentration all contribute to the LNP
fusion barrier (Figure 6), thereby determining the final LNP size. Adjusting these non-kinetic
parameters allows for precise control of the LNP size, while their effect on the fraction of empty
particles θ_{empty} and the loading distribution is indirect; it is a secondary effect due to the change in
LNP size. In contrast, the mixing flow rate serves as a kinetic control parameter that dictates the
relative assembly of lipid-lipid and RNA-lipid, enabling regulation of the RNA payload distribution
independently of particle size. By integrating both types of control parameters, it becomes possible
to produce LNPs with desired combination of size and payload distribution. Such precision is critical
because LNP size strongly affects therapeutic delivery and cellular uptake, while homogeneity in
RNA loading is important for maximizing potency and ensuring safety.

Our integrative computational framework combining molecular dynamics with kinetic Monte Carlo
and a surrogate model for electrostatic interactions offers new mechanistic insights and practical
design rules. This computational framework is generalizable to a broad class of self-assembly
systems in solution. Within the kMC model, the chemical characteristics of lipid components can be
systematically tuned by adjusting parameters such as pKa and molecular mass. Moreover, key
experimental variables, including the duration of dialysis, total assembly time, and the ratio or
amount of different molecular components, are explicitly controllable in the simulation. The
framework is also adaptable to diverse payloads, provided their mass and charge properties are

properly defined. The simulation results show very good agreement with experimental observations,
supporting the validity of the approach.

Despite the broad applicability of our LNP design rules, opportunities remain to extend the model
and will shape future work. First, all simulations and experiments use a 22-bp siRNA payload. Larger
cargos, such as >3 kb mRNA or plasmid DNA diffuse far more slowly. Extending the model to capture
the interdiffusion and encapsulation of larger nucleic acids is an important next step, although we
anticipate that the core design principles will remain the same. Second, the current parameter
space; PEG 1–3 mol%, PEG MW 1–5 kDa, and 10–150 mM salt concentration covers common but not
exhaustive formulation conditions. Systematically exploring higher PEG fractions, alternative buffer
systems, broader flow-rate ratios, and a range of stoichiometry ratios will enhance the utility and
generality of the proposed design map. This enhancement will extend the framework to other
clinically realistic LNP formulations, accelerating the rational design of safer, more potent nucleic-
acid therapeutics.

Conclusion:

In summary, through the integration of CG-MD simulations, kMC simulations, single-particle
spectroscopy, machine learning, and transfections experiments, we have developed a
comprehensive understanding of the siRNA payload distribution and its effect on delivery efficiency.
We found that increasing homogeneity of siRNA distribution in LNPs is significantly improves drug
delivery efficiency, especially at subsaturating doses. Our simulation model spans a wide range of
time scales from microseconds to days, sufficient to capture experimentally relevant dynamics and
heterogeneities. We found that the origin of empty LNPs and bimodal payload distribution is kinetic
rather than thermodynamic. Bimodal RNA loading is caused by fast self-assembly of empty LNPs
compared to the relatively slow diffusive mixing timescale. Rapid turbulent mixing of lipids and RNA
significantly reduces empty LNPs and narrows the siRNA payload distribution without altering LNP
size. While PEGylation and salt concentration also affect payload distribution, they do so only
indirectly by changing the average LNP size. Additionally, kMC data shows that controlling the fusion
barrier through PEG modification, rather than electrostatic stabilization alone, is crucial for achieving
a narrow size distribution. These findings provide fundamental understanding of the LNP assembly
process and offer essential design principles for optimizing LNP-based RNA therapeutics, aiming to
enhance both their efficacy and safety. Additionally, the computational framework that we developed
is freely accessible and can be extended to other therapeutic components, such as mRNA, and other
nanoparticles, offering a powerful tool for efficiently screening nanoparticle formulation parameters.

Methods:

Single particle analysis of siRNA LNP on CICS

For the single nanoparticle analysis of siRNA LNP, the LNPs use the same formulation stoichiometry
as used in simulations, with DLin-MC3-DMA (MedKoo Biosciences, Cat.#555308), DSPC (Avanti
Polar Lipids, Cat.#850365), cholesterol (Sigma-Aldrich, Cat. #C8667), DMG-PEG2000 (NOF America,

Cat# GM020) at a molar ratio of 50:10:38.5:1.5 dissolved in 100% ethanol and RNA in 25 mM sodium

acetate buffer at pH 4.0. For the fluorescence detection, the LNP sample was prepared with

fluorescently labeled DSPC-TopFluor (Avanti Polar Lipids, Cat. #810281) substituting 10% DSPC

lipid, Cy5 labeled DSPE PEG2000-N-Cy5 (Avanti Polar Lipids, Cat. #810891) substituting 33.3% PEG

lipid and 100% siRNA- Cy3 (Sigma-Aldrich, Cat. #SIC003). The LNP samples were formulated by a 3-

inlet vortex mixer[42] at a total flow rate of 1, 10, 20, and 30 mL/min with a lipid to RNA flow rate ratio of

1:3. The final siRNA concentration after LNP formulation was 20 µg/mL. The sample was then (at 1 h)

dialyzed against 1x phosphate buffered saline (PBS) buffer at pH 7.4 for 12 h under 4 °C using dialysis

tubes with a molecular weight cutoff (MWCO) of 3,500 Pur-A-Lyzer dialysis kit, Sigma-Aldrich, Cat.

#PURD35050). Following dialysis, the LNPs were analyzed by Cylindrical Illumination Confocal

Spectroscopy (CICS).[43] The instrumentation and single-nanoparticle analysis have been developed

in our previous work and are described in detail.[20,25] Briefly, LNP samples were diluted sufficiently to

allow for single particles or siRNA molecules to go through the detector one at a time. The 3-color

fluorescence signals of each event were used to differentiate LNP populations into siRNA-

encapsulated LNPs, empty LNPs, and free unencapsulated siRNAs. By applying the fluorescence

deconvolution to the fluorescence distributions of siRNA encapsulated LNPs against a baseline of

single siRNA run separately, the siRNA payload distribution on the single LNP level was obtained (see

Figure S1).

In vitro transfection

LNPs were prepared as described above, and Silencer™ GFP (eGFP) siRNA (Thermo Fisher Scientific,

MA, USA) was encapsulated into the particles. The resulting LNPs were dialyzed against 1× PBS (pH

7.4) overnight at 4 °C. The final siRNA concentration was 20 µg/mL. B16F10-GFP cells were seeded

in 96-well plates at 10,000 cells per well. On the following day, cells were treated with LNPs at doses

of 100 ng, 50 ng, 25 ng, or 10 ng siRNA per well. After 48 h, cells were harvested and analyzed by flow

cytometry using an Attune NxT instrument (Thermo Fisher Scientific, MA, USA).

Molecular dynamics simulations

We modified Cooke and Deserno 3-bead lipid model[44] by introducing attractive head-to-head

interactions to promote nanoparticle formation (see Figure S2). Strength and cut-off values for these

attractive head-to-head interactions are provided in the SI. siRNA was included at a nitrogen-to-

phosphate (N/P) ratio of 6, and 1.5% of the lipid was PEGylated, using the same stoichiometry as the

experimental setup. The 22 base-pair siRNA and 2000 Da PEG were represented with a bead-spring

model. To match experimental conditions, 50% of the lipids carried a positive charge, interacting with

the negatively charged siRNA via Debye–Hückel interactions at a salt concentration of 0.025 M.[45]

Since, solvent mixing (e.g., ethanol dilution into the aqueous solution) occurs much faster (as

ethanol diffusion coefficient is 2 orders of magnitude greater than siRNA) relative to lipid and RNA

mixing, we consider that ethanol and water are fully mixed. Simulations were conducted using a

Langevin thermostat ensuring accurate diffusion behavior of RNA and lipids (see SI Figure S3). All

simulations were performed using LAMMPS,[46] and OVITO was used for visualization.[47] RNA–lipid and

lipid–lipid contacts (Figure 2B) were counted within the first valley of the radial distribution functions
(see SI, Figure S4).

Kinetic Monte Carlo simulations

We consider that during mixing time τ_{M}, most lipids form spherical nanoparticles and that after τ_{M},
the solution is well-mixed. Consequently, the rate per unit concentration at which two spherical
nanoparticles with radii R_i and R_j collide due to diffusion is $K_{\mathrm{collide}} = \frac{2k_{\mathrm{B}}T}{3\eta}\frac{(R_i+R_j)^2}{R_iR_j}$,[48] with k_{B} the
Boltzmann constant, η the viscosity, and T the absolute temperature. Assuming Arrhenius behavior,
the rate at which two specific LNPs in a volume V_{system} merge is

403
$$k_{ij}(R_i, R_j, \Phi_i, \Phi_j) = K_{\mathrm{collide}}(R_i, R_j)e^{-\frac{E_{\mathrm{b}}(R_i,R_j,\,\Phi_i,\Phi_j)}{k_BT}}/V_{\mathrm{system}}\,. \tag{1}$$

Here E_b is the fusion barrier between two LNPs with compositions Φ_i, Φ_j (volume fractions of
different components in LNPs); E_{b} is modeled by the summation of PEG repulsion (E_{PEG}) and
Derjaguin-Landau-Verwey-Overbeek (DLVO) interaction (W),[49] $E_{\mathrm{b}} = E_{\mathrm{PEG}} + W$. We consider that
PEG chains are mobile on the LNP and for the two particles to merge the PEG must be excluded from
the interaction area, thus, the free-energy barrier can be calculated as an entropic penalty to exclude
PEG chains from the interaction area,

410
$$E_{\mathrm{PEG}}\big(R_i, R_j, \phi_i^{\mathrm{PEG}}, \phi_j^{\mathrm{PEG}}\big) = \frac{2\pi k_{\mathrm{B}}TR_{\mathrm{F}}}{3v_0(1-f_w)}R_iR_j\frac{R_i\phi_i^{\mathrm{PEG}} + R_j\phi_j^{\mathrm{PEG}}}{R_i + R_j}\,. \tag{2}$$

411 Here f_w=0.2 is the water volume fraction in LNPs[20,50], v_0 the volume per lipid, R_{F} the Flory radius of
412 PEG determined by the PEG size,[39,40] and ϕ_i^{PEG} the PEG fraction in particle i. The DLVO interaction
413 energy is a sum of van der Waals and electrostatic interactions[49]:

414
$$W\big(d, R_i, R_j\big) = -\frac{AR_iR_j}{6d(R_i + R_j)} + \epsilon\frac{R_iR_j(\psi_i^2 + \psi_j^2)}{4(R_i + R_j)}\left[\frac{2\psi_i\psi_j}{\psi_i^2 + \psi_j^2}\log\left(\frac{1 + e^{-\frac{d}{l_D}}}{1 - e^{-\frac{d}{l_D}}}\right) + \log\left(1 - e^{-\frac{d}{l_D}}\right)\right], \tag{3}$$

with A is the Hamaker constant, l_{D} the Debye screening length, ψ the surface electrostatic potential,
ϵ the permittivity of solvent, and d the surface-to-surface distance between LNPs. The average time
for a fusion event to occur is $\tau = {1}/{\sum k_{ij}}$, where the sum proceeds over all LNP pairs in the system.
In the kMC implementation, a fusion event is chosen randomly according to the rate k_{ij}, with the time
increment determined by τ. Each simulation was initialized with 2500 LNPs, expect for those in Figure
5A where simulations start with N = 2000 LNPs and proceed until only 100 LNPs remain to compare
the polydispersity at the same average particle volume.

Charge regulation and surrogate model

The potentials ψ in the DLVO equation (Eq. 3) depend on the exact composition and size of the LNP
and must be calculated by solving the charge regulation relations[51]. Using the Donnan

approximation[52] we approximate the potential ψ anywhere inside the LNP is a constant and equal to
the surface potential ψ_0. Thus, the charge density is,

$$\rho = (1 - f_{\mathrm{w}})[\alpha_{\mathrm{MC3}}\rho_{\mathrm{MC3}}\phi^{\mathrm{MC3}} + \alpha_{\mathrm{RNA}}\rho_{\mathrm{RNA}}\phi^{\mathrm{RNA}}] + 2f_{\mathrm{w}}c_{\mathrm{salt}}N_{\mathrm{A}}\sinh\frac{e_0\psi_0}{k_{\mathrm{B}}T} , \qquad (4)$$

428 Here f_{w} is the water volume fraction, ρ_{MC3} and ρ_{RNA} are the charge density of cationic lipid and
429 siRNA, α_{MC3} and α_{RNA} are the degree of ionizations, ϕ^{MC3} and ϕ^{RNA} are volume fractions, N_{A} is the
430 Avogadro number, and e_0 is the elementary charge. The surface potential depends on the charge
431 density ρ as:

$$\frac{e_0\psi_0}{k_BT} = \frac{4\pi R^2 \rho l_{\mathrm{D}}}{3\left(1 + \frac{R}{l_{\mathrm{D}}}\right)}. \qquad (5)$$

A root-finding approach is used to determine the charge density that satisfies the charge regulation
equations (Eqs. 4 and 5). To make the model usable for all salt concentration, a surrogate neural
network regression model is trained with data varying nanoparticle sizes, RNA fractions, and salt
concentrations. The model efficiently predicts surface potential across a broad parameter space
(see Figures S5 and S6). This trained data is provided as a part of the FormLNP kMC code and
predictive toolbox.

Feature importance calculations

A random forest regression model was implemented to analyze the influence of formulation
parameters on LNP size and the ratio of empty LNPs. The input variables included initial particle size,
PEG MW, PEG fraction, and salt concentration, while the output variables were LNP size and the ratio
of empty LNPs obtained from kMC simulations. Prior to training, all input variables were
standardized. Separate random forest models were trained for each output variable (LNP final size,
ratio of empty LNPs and payload distribution variance). The models were evaluated using 5-fold
cross-validation with root mean squared error (RMSE) as the performance metric. Feature
importance was determined using the mean decrease in impurity (Gini importance) from the trained
models. All analyses, including data preprocessing, model training, cross-validation, and
visualization, were conducted in Python using the scikit-learn machine learning package.

Associated content

452 **Data Availability Statement:** The data supporting the findings of this study are available within the
453 Article and its Supplementary Information. All data are available from the corresponding author upon
454 request.
455

456 **Code availability:**
457 The "FormLNP" computational framework that predicts LNP size and RNA payload distribution can
458 be found on this website: https://sites.google.com/view/formlnp/home
459 All other custom scripts and tools used in this study are available from the corresponding author
460 upon request.
461
462 **Supporting Information**: The Supporting Information includes detailed experimental procedures,
463 molecular dynamics (MD) simulation parameters, kinetic Monte Carlo (kMC) simulation
464 methodology[34,53], and machine learning approaches for charge regulation modeling. It also contains
465 details of the predictive toolbox for LNP size and siRNA payload distribution.
466
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