Title:

KIASORT: Knowledge-Integrated Automated Spike Sorting for Geometry-Free Neuron

Tracking

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Abstract

Modern high-density neural recordings demand spike sorting algorithms that can handle diverse

probe geometries and complex, neuron-specific drift, yet existing methods often rely on rigid

geometric assumptions and one-dimensional drift models. Here, we introduce KIASORT

(Knowledge-Integrated Automated Spike Sorting), a geometry-free approach for per-neuron drift

tracking. KIASORT trains channel-specific classifiers in a hybrid linear–nonlinear embedding

space, capturing waveform features often missed by conventional linear methods. These classifiers

then sort spikes by independently tracking each neuron, unconstrained by probe layout.

Biophysical simulations showed that even sub-micron probe displacements induce neuron-specific

waveform distortions that standard drift models cannot correct. In ground-truth benchmarks with

heterogeneous, neuron-specific drift, KIASORT significantly outperformed Kilosort4 in

recovering high-quality units, while maintaining real-time performance on standard CPUs. Its

robustness was further validated on both primate and mouse data. KIASORT combines automated

sorting with manual curation in a unified graphical interface, offering a complete and user-friendly

spike sorting platform. The software is freely available at https://kiasort.com.

Introduction

Brain neural communication relies on the spiking activity of single neurons. While the spiking

events are widely regarded as the smallest units of neural signaling, the accurate estimation and

identification of the neuronal sources generating each spike from extracellular recordings remain

a challenge. Such source identification is an essential step toward understanding neural circuit

dynamics and compartmental computations in the brain [1–3]. Over the past decades, the demand for

distinguishing neuronal spiking activity in brain signals and reliably attributing it to its source has

grown significantly with advances in electrophysiological recording technologies. In particular,

high-density silicon probes such as Neuropixels, while enabling simultaneous recordings of large

populations of neurons [3,4], impose an even greater need for robust and automated spike-sorting

methods to reliably extract single-unit activity.

Traditionally, spike sorting involved three main steps: detection, feature extraction, and clustering

[5,6]. Early methods mostly relied on manual clustering of low dimensional features of spike

waveforms, such as principal component analysis (PCA), and further manual adjustments [6,7].

These techniques however faced limitations with regard to scalability, variability in spiking

activity, and subjective biases in adjustments [2,8,9].

To resolve these challenges, more automated spike-sorting algorithms have been introduced to

minimize manual curation while maximizing sorting accuracy and consistency [3,8,10,11]. These

algorithms often use a template-matching paradigm and are more optimal for high-density laminar

probes, showing significant improvements in computational efficiency, scalability, and clustering

performance compared with traditional approaches. Some examples include Kilosort [11,12],

SpyKING Circus [13], MountainSort [8], and IronClust [3]. Notably, Kilosort, and in particular

Kilosort4, has been shown to outperform earlier algorithms by taking advantage of both the use of

graph-based clustering and drift correction [12]. Notably, Kilosort4 includes drift tracking and

correction using piecewise rigid probe registration.

There are, however, key challenges yet to be resolved. Despite advances in graph-based clustering

and drift correction, algorithmic cores still rest on rigid biophysical and statistical assumptions that

real recordings frequently violate. First, spikes are modeled as linear, low-rank superpositions of

fixed templates embedded in Gaussian noise; yet extracellular waveforms depend nonlinearly on

neuronal morphology, initiation site, electrode orientation, and tissue conductivity, giving rise to

polarity inversions, amplitude-only drifts, and clipped artifacts beyond the reach of any low-rank

linear model [14,15]. Second, the k-nearest-neighbour graph is constructed in a Euclidean PCA space

whose principal axes remain warped by residual common-mode fluctuations and by subtle

amplitude shifts as neurons drift relative to the shank. These anisotropic distortions, when judged

by linear distance metrics, can still fracture sparse units into filaments or cause dense units to bleed

together [13,16]. Finally, most methods, including Kilosort4, correct only piecewise rigid motion

along the z-axis under a uniform ≤ 40 μm pitch assumption; oblique insertions, wide Utah arrays,

or angled Neuropixels 2 shanks introduce shear and rotation that remain unmodeled [3,12]. These

mismatches between algorithmic assumptions and biological reality become more pronounced

69 when using different probe geometries, target deeper or axonal compartments in different species,

70 or conduct long-term recordings in behaving animals.

71 Here, we introduce KIASORT (Knowledge-Integrated Automated Spike Sorting), a novel

72 algorithm designed to overcome key limitations in current spike-sorting methods. KIASORT

73 automatically detects and filters out channels with poor signal quality, dynamically removes

74 variable recording noise, and clusters data using an iterative density-based approach applied to a

75 hybrid dimensionality reduction framework that combines principal component analysis (PCA)

76 with nonlinear uniform manifold approximation and projection (UMAP) embeddings. We show

77 through biophysical modeling of multicompartmental neurons that drift patterns not aligned with

78 the probe shank, even if subtle, lead to significant waveform changes depending on the neuron

79 model and electrode proximity that conventional methods would leave uncorrected. To account

80 for this, the algorithm incorporates a geometry-free, neuron-based tracking system that trains

81 classifiers independently on each recording channel. This per-neuron tracking approach enables

82 the identification of neurons across multiple channels and supports the cross-channel transfer of

83 detected spikes to their reference channel for drift correction without any prior assumptions on

84 drift type. These features allow the classifiers to adapt to nonlinear waveform changes and

85 eliminate assumptions about cluster geometry, linear waveform superposition, or rigid or

86 piecewise probe-level motion.

87 We evaluated KIASORT using simulated ground-truth multichannel datasets that closely mimic

88 real-world recording conditions, including realistic noise profiles adapted from actual recordings,

89 neuron-specific drift, nonlinear waveform transitions, and waveform heterogeneity. The

90 simulation results show that KIASORT outperforms the current state-of-the-art method, Kilosort4,

across several performance metrics and under different drift conditions. Specifically, KIASORT
achieved 5-10% higher sorting precision and identified 5–15% more high-quality single units
(defined as units with an F1 score exceeding 0.75), particularly under conditions with pronounced
neuron-specific drift and nonlinear waveform changes. Although GPU acceleration is supported,
KIASORT is optimized to achieve speeds comparable to real-time processing benchmarks using
only standard CPUs, making it accessible to users without high-end computational hardware.

In addition to its automated sorting capabilities, KIASORT includes a graphical user interface
(GUI) that integrates both sorting and post-hoc curation within a unified platform. This setup
enables data exploration, user-defined parameter adjustments, and manual curation and
verification of sorting results (**Figure S1**, see tutorial on **https://kiasort.com** for a guided tour of
the KIASORT GUI). This all-in-one platform is a key feature that sets KIASORT apart from
previous spike-sorting methods, which typically lack such integrated functionality.

Results

The algorithm consists of three main modules (**Figure 1**). The first module (**i**) uniformly samples
data across time to create a representative subset. The second module (**ii**) performs clustering on
the extracted spikes from the sampled data. For each channel, spike waveforms are clustered using
a feature vector constructed by UMAP and PCA embeddings through iterative density-based
clustering. After merging similar clusters, labels are updated, and an SVM classifier is trained for
each class using its PCA features. A reference channel is assigned to each cluster based on the
channel where the spike amplitude is maximal. The third module (**iii**) carries out spike sorting on
the full dataset by dividing it into small batches and using the trained classifiers to predict the label
of each detected spike. This module also handles relabeling and cross-channel transfer for spikes

whose reference channel differs from the originally assigned channel. During batch processing,

the algorithm records outputs including spike times, features, waveforms (optional), channels, and

labels.

For the first module, initially, the algorithm performs a brief screening to detect poor-quality or

silent channels. Each channel signal (in a short duration of 5 seconds) is decomposed into multiple

frequency sub-bands and compares the power in the spike bands to low and high frequency sub-

bands. We verified this method on simulated data with variable low and high frequency noise

levels and spike band activities and show examples from real data recordings (**Figure S2**). Next,

for denoising the signal, we used a two-pass percentile-template regression approach that builds a

high-percentile noise template across channels and subtracts it adaptively from each channel. In

low-SNR, high-channel-count recordings, signals can be dominated by slow common-mode drift

on all or a subset of channels with moderate to high correlations (e.g., $r \approx 0.4\text{-}0.8$). Even Kilosort4's

whitening, which uses spike-free covariance estimation, eigenvalue regularization, and is band-

limited, can still over-whiten low-variance components which can distort spike shapes and smear

high-frequency content in the signal. The percentile-template regression, however, only removes

the empirically shared noise waveform at each time point, while preserving the residual spectrum

and spike waveforms without ringing artifacts or attenuation of high-frequency spikes

(see **Methods**).

Then a detection threshold is defined for each channel using median absolute deviation (MAD),

and multichannel waveforms are extracted for all threshold-passing spikes. For each channel we

store information about spikes such as spike indices and their multichannel waveforms. Note that

each spike event will be detected at any given channel that passes the threshold. We only consider

spikes that do not overlap with spikes on their own and nearby channels (see **Methods**).

Per-channel clustering and classifier training

Once the spike samples are extracted, the second module (**Figure 1**) processes each channel

individually, clusters the spikes, and then trains a classifier on the clustered data. For each

recording channel, the sampled spike waveforms are mapped to their low-dimensional UMAP[17]

embeddings and principal components (PCs). In general, PCA captures global linear variance in

the waveform data and can effectively suppress local variability (e.g., high-frequency noise) in its

first few dimensions. UMAP, in contrast, preserves local nonlinear structure and manifold

geometry that linear methods like PCA inherently miss. By concatenating features from both PCA

and UMAP, we construct a feature space that integrates global linear trends with the ability to

unfold complex, curved manifold structures. This is one of the notable innovations of our

approach, which avoids PCA's implicit assumption that clusters are roughly Gaussian (ellipsoidal)

in PC space and relaxes those shape constraints by incorporating UMAP. This hybrid feature space

improves clustering of arbitrarily shaped or overlapping spike clusters and separating

morphologically similar or temporally drifting waveforms (**Figure S3**).

After constructing this hybrid low-dimensional feature space of the waveforms, we use an iterative

density-based clustering (DBSCAN) approach to detect clusters. After each round of DBSCAN, ,

, another iteration will be performed on that cluster (**Figure 2A**), if the unit is not refractory or ISIs

violation (shorter interspike-intervals than refractory period) is not higher than a threshold

(see **Methods**). Note that for each clustering round, the parameters are estimated from its input.

We estimate the DBSCAN radius ε by computing each point's k-th nearest-neighbor distance and

selecting the knee of the resulting k-distance distribution (see **Methods**). After clusters are

detected, their labels are used to train classifiers which will be used for the data sorting module.

We considered three different classifiers including support vector machine (SVM), multilayer

perceptron (MLP), and convolutional neural networks (CNN), and evaluated their performance

against each other. For SVM and MLP, the classifiers were trained on the first 30 PCs of the

waveforms, but for the CNN the raw waveforms containing the spatio-temporal structure of the

spike waveforms were used. On average, SVM (with a polynomial kernel, see **Methods** for details

of classifiers) outperformed other classifiers. SVM showed ~10x faster training time (**Figure 2B**,

top), and significantly higher accuracy (Wilcoxon test, P<0.001) compared with both CNN and

MLP when trained on clustered data from real recordings (**Figure 2B**, bottom). This performance

was consistent for different sample sizes and test/train splits.

After clustering, to avoid oversplit clusters of spike waveforms (where a single unit with high

waveform variability is erroneously divided into multiple clusters), we use a two-tier merging

strategy. In the first, *stringent merge*, we merge clusters showing low amplitude variation (less

than 5% of peak-to-peak between the mean waveforms) and high zero-lag cross-correlation

between their waveforms (r > 0.9). In the second, *looser merge*, we merge clusters with looser

criteria on their amplitude variation (15%) if their cross-correlation peaks at nonzero lag (to

account for temporal misalignment of multipolar spike waveforms) and if clusters show high

negative spike-density correlation (r < -0.8) to capture splits caused by more pronounced spatial

drifts (see **Methods** for more details). An example of merge between two misaligned clusters is

shown in **Figure 2D**. The two classes show drift (left bottom) and negatively correlated spike

density (middle), while showing a high cross correlation value (top left), all of which decides a

merge between the two clusters.

For each cluster at any given channel, we define a reference-channel as the channel that shows the

largest amplitude for that cluster (**Figure 2C**, reference-channels are shown in thicker lines). This

reference channel indicates the channel that each cluster detected at any given channel belongs to.

After all channels are processed, the algorithm unifies labels of spikes across all channels, ensuring

each cluster has only one reference channel that is the same across all channels containing that

cluster. It also assigns cardinal unified labels to all unique clusters detected across the sample data.

Per-channel classifier-based spike sorting of the data

After processing all sample data, clustering spikes, and training per-channel classifiers, the

algorithm executes its third module to sort the full dataset. The full spike sorting is performed in

batches. First, each batch is denoised using the same method described earlier. Then, the spike

threshold for each channel from the sample extraction phase is applied and spikes are detected on

each channel. For each spike detected on a given channel, multichannel waveforms are extracted.

Overlapping spikes are passed through a length-adaptive sigmoid suppression function which

suppresses all too close spikes on each channel. After extracting the waveforms, if a waveform

exhibits its maximum amplitude on the same channel where it was detected, the spike is retained

for classification (**Figure 3A**); otherwise, it is temporarily stored for later reference. Retained

spikes are classified using the classifier trained on that channel. After the labels are predicted, if

the reference channel for the predicted class matches the current channel, the label is accepted, and

all associated spike information is stored (including the relabeled and unified label of the spike,

channel number, and its index). If the reference channel differs from the detected channel, the

algorithm searches for the lookup channel that is associated with the class to determine where the

spike belongs, retrieves the corresponding temporarily stored spike at that channel, and reclassifies

it in a second pass (**Figure 3A**).

An example of the same class identified by classifiers trained on two nearby channels is shown

in **Figure 3B** depicting retained channels for each class. In this example, the red class has a

reference channel at *n+2*, and the green class has a reference at *n*. This keep/transfer process

continuously tracks neurons and enables a per-neuron tracking system that, even if a neuron shows

drift and its maximum peak is misallocated from its reference-channel, after the first round of

classification it will be directed to its reference channel for the second round of classification

(**Figure 3C** shows two examples for each condition). After all channels are processed for each

batch, the results are unified and written to local or external storage. All files are saved in the

HDF5 (Hierarchical Data Format version 5) format.

Biophysical simulation, drift correction and benchmarking

We examined KIASORT performance on both real and simulated datasets. For benchmarking and

comparing its performance against the state-of-the-art method, Kilosort4 [12], we used simulated

multichannel data. Unlike methods using whole-probe drift tracking [3], Kilosort4 implements

depth-dependent drift-estimation in which the probe depth is divided into overlapping bands, for

each of which a drift trace is found, and data alignment is performed on demand through Gaussian

kriging interpolation [12]. While this band-wise approach relaxes the assumptions about whole probe

rigid drifts, it still treats motion as a one-dimensional displacement along the probe axis for each

band, which often encompasses multiple neurons. Consequently, it does not correct waveform

distortions arising from neuronal morphology (e.g., dendritic or AIS current contributions) or from

residual horizontal and oblique probe offsets, especially when the heterogeneity between these

neural features as well as the proximity of the probe to the recording units increases.

To better illustrate the limits of such linear-superposition assumptions on drift correction, we built

an in silico four-neuron model in which the probe undergoes a 50 µm vertical and a subtle 0.5 µm

horizontal displacement (the horizontal shift is 1 % of the vertical) and is then perfectly realigned,

"corrected", along the depth-axis (**Methods**, **Figure 4A**). We modeled the neurons using

biophysically realistic multi-compartmental models of pyramidal cells and interneurons with

different morphologies and kinetics (see **Methods**). After drift, channel realignment restores each

neuron's depth position to its baseline by shifting the post-drift waveforms to correct for the

vertical displacement (**Figure 4B**). For each before-drift and after-drift-correction condition, we

generated a Gaussian cloud of waveforms to compare their low dimensional structures. Our results

show that by incorporating even as small as < 1 µm horizontal displacement, the estimated

waveforms can differ substantially, showing notable residuals and distinct low dimensional

structures, particularly for neurons with faster action potential kinetics (**Figure 4B;** Neuron C).

The distortion after drift correction was even worse when the horizontal displacement becomes

more notable (> 1 µm), which exacerbated residual distortions and led to more distinct low

dimensional structures for all neurons (**Figure S4**).

For our benchmarking, therefore, we implemented per-neuron drift by modulating spike amplitude

along the depth axis (see **Methods**). First, we generated datasets using biophysically realistic spike

waveforms containing both negative and positive spikes with uni-, bi-, and tri-phasic waveforms

representing morphology variabilities and different electrode proximities (see **Methods**). We then

added background noise by generating a signal whose frequency spectrum matches that of

Neuropixels recordings in mouse cortex, with some channels modeled to mimic the spectral

content of silent or poor-quality contacts (**Figure 4C**). Each neuron could drift up to 50 µm from

its original location. Drift was implemented either as continuous Gaussian waveform changes,

stepwise neuron displacement, or continuous displacement with nonlinear distortions

(see **Methods**). An illustrative pair of UMAP trajectories depicts one neuron that drifts and another

that remains stationary, highlighting the temporal evolution of waveform space (**Figure 4C**).

We evaluated both KIASORT (purple) and Kilosort4 (green) on simulated datasets with varying

proportions of drifting units, uniformly distributed along the depth axis. For Kilosort4, we

optimized the detection threshold and parameters to maximize performance (in general, lowering

the threshold tended to introduce false positives). For each detected unit, we computed precision,

recall, accuracy, and F1-score (see **Methods**). Our simulation results showed KIASORT

performing comparably to Kilosort4 on no-drift and uniform-drift conditions, while outperforming

Kilosort4 in per-neuron drift conditions, with the performance gap widening as drift variability

between units became more pronounced (**Figure 4D**). These performance differences were

consistently evident when waveform changes were nonlinear, or drift was implemented

nonlinearly (**Figure S5**). Consistent with this finding, the proportion of high-quality units (F1-

score > 0.75) detected by each algorithm showed KIASORT identified a significantly higher

number of high-quality units, particularly under conditions with greater variability in drift across

units (**Figure 4E**). Overall, while Kilosort4 shows decent performance on conditions with no drift

or when neurons within similar localities show consistent drift, our geometry-free and per-neuron

tracking shows significantly improved performance, particularly when these assumptions do not

hold true in real-world complex neural recordings. We also tested the run time for both KIASORT

and Kilosort4 on 1 hour of 384-channel data. KIASORT showed comparable performance to

Kilosort4 when using GPU. On the other hand, while we were unable to run Kilosort4 using only

CPU, KIASORT still showed real-time performance with CPU alone (**Figure 4F**).

KIASORT performance on mouse and monkey high-density neural recordings

We ran KIASORT on datasets recorded from different probes. We used data from the caudate

nucleus, anterior cingulate cortex, and lateral prefrontal cortex in the monkey using a dual-shank

diagnostic biochips (DBC) probe, and datasets from the Allen Institute free-access online

repository, recorded from mouse cortex. In all datasets, we found that our noise removal method

could eliminate any shared noise or signal components evident on a high number of channels, even

when the noise level was prominent (**Figure 5A**). Our algorithm yielded ~2x spike/channel,

showing ability to detect even high-firing fast-spiking neurons (fr > 40 spike/sec) that often do not

show dips in their spike train correlograms. It also was able to track neurons and detect units with

different waveform shapes (see **Figure 5B** for an example). Similar performance was observed for

recordings in mouse (**Figure 5C**). In all datasets, we also documented per-neuron drift as a likely

event in neurophysiological recordings. As illustrated in **Figure 5D** as an example, from three

highly isolated nearby neurons, only one showed drift with a pattern different from the other

neurons. With its geometry-free design and per-neuron tracking sorting system, KIASORT

successfully tracked and identified these neurons independently in tested real datasets in

recordings from different brain areas, including Striatum, lateral prefrontal cortex, and anterior

cingulate cortex which contains neurons with different morphologies (**Figure S6**). In the example

DBC and Neuropixels recording, KIASORT detected 236 (from 128 channels) and 733 (from 384

channels) and for active channels showed on average 1-2 high quality isolated single units. In

addition, while a direct comparison between KIASORT and Kilosort4 on real data without ground

truth is not a valid benchmark, we compared the sorting performance between the two methods.

In a recording session from the primate striatum, we computed the spike amplitude on the main

channel for both Kilosort4 and KIASORT, and defined SNR consistently across methods. We then

quantified the fraction of refractory units (defined as those with an inter-spike interval (ISI)

violation rate below 0.2%) for each method. We observed that KIASORT detected around 5%

higher proportion of refractory units among those with high signal-to-noise ratio (SNR) than

Kilosort4 (**Figure 5E**).

Discussion

We introduced KIASORT, a novel spike-sorting algorithm for high-channel recordings, and

showed that it addresses key limitations of current methods. KIASORT implements a per-neuron

tracking system and uses a geometry-free approach to effectively handle neuron-specific drift and

nonlinear waveform changes that challenge existing spike-sorting algorithms. Our results

demonstrate that KIASORT outperforms the current state-of-the-art method, Kilosort4,

particularly under conditions with pronounced per-neuron drift variability (**Figures 4D–E**), which

is common in real neurophysiological recordings (**Figure 5D**).

One of the key innovations of KIASORT, compared with existing methods, is its hybrid use of

nonlinear UMAP combined with linear PCA for dimensionality reduction (**Figure 2A**). While

previous approaches rely primarily on linear embeddings [6,7] with a priori assumptions about the

geometry of the cluster feature space, integrating UMAP's nonlinear manifold preservation makes

KIASORT better suited to arbitrarily shaped clusters and temporally changing waveforms. This

hybrid approach can also handle complex drift manifolds that might otherwise be oversplit or

merged inappropriately [10,16].

Another innovation and unique feature of KIASORT is its relaxation of probe-geometry

assumptions in favor of a per-neuron, data-driven tracking approach that follows each neuron

independently. Compared to earlier correction methods [3], more recent approaches (from Kilosort2

onward) have implemented piecewise drift-tracking and correction models [12]; however, these

methods still assume that neurons in close spatial proximity experience similar drift patterns and

thus fail to account for drift arising from horizontal probe displacement, oblique insertion, or

heterogeneous neural orientations. Our biophysical simulations demonstrate that even small

deviations from one-dimensional motion can substantially distort waveforms in ways that cannot

be corrected by even perfect one-dimensional displacement models (**Figure 4B**). KIASORT's

hybrid approach for clustering, channel-specific classifier training, and neuron-based tracking

system allow it to adapt to these complex patterns without imposing geometric constraints. The

performance advantage is particularly pronounced when the variability of nonlinear waveforms or

the heterogeneity of drift increase in the neural population (**Figure 4D–E**), with KIASORT

identifying 5–15% more high-quality units than Kilosort4.

The modular structure of KIASORT (**Figure 1**) is another advantage, particularly for tracking

neurons that remain consistently available across multiple recording sessions. KIASORT

separation of the sorting process into three distinct modules, allows users to sample data and train

classifiers on one dataset, then apply them to others by running only the third module (sorting the

data). This modular design is integrated into a user-friendly GUI with editing features that facilitate

data inspection, spike sorting, and post-hoc curation within a unified framework. This all-in-one

platform is another development of KIASORT that is currently missing from existing spike-sorting

pipelines [18,19].

Despite these advances, it is worth noting that, like any algorithm, KIASORT faces challenges and

limitations that we outline here. A key challenge occurs when drift is so severe that a neuron

completely vanishes and becomes indistinguishable from baseline noise, so that no spikes are

detected on the reference channel. In such cases, the algorithm may split a single neuron into

multiple units, which then might require post-hoc manual curation and merging which can be done

within the same sorting platform (**Figure S1**). Another limitation is when neurons have very low

firing rates (< 0.1 Hz), which might be missed entirely during the sampling phase and thus not

identified in the clustering stage. Additionally, severe but transient noise conditions, such as

electrical stimulation artifacts that saturate the amplifier and cannot be effectively removed, do not

necessarily prevent spike detection but, if they occur frequently, can result in false-positive unit

detections, which is addressable with post-hoc manual curation.

In summary, KIASORT presents a novel and fundamentally restructured spike sorting algorithm,

showing significant advances in spike sorting for multichannel recordings, particularly for

recordings with complex drift patterns. Its geometry-free design and per-neuron tracking approach

make KIASORT less dependent on prior assumptions, making it suitable for non-linear

variabilities in waveform shapes, and different probe design.

Figure 1. Main diagram of the KIASORT algorithm. The workflow consists of three modules for extracting and integrating knowledge for final classification of cells. **(i) Sample extraction:** Data are uniformly sampled across the recording to build a representative subset. During this step, channels are screened for poor-quality or silent electrodes, per-channel detection thresholds are defined, and multichannel spike waveforms are extracted for all threshold crossings. **(ii) Sample sorting:** Extracted waveforms are embedded using UMAP and PCA and concatenated into a joint feature space for iterative density-based clustering. Clusters that violate the refractory-period or ISI criteria are recursively split, highly correlated clusters are merged, labels are finalized, and an SVM classifier is trained on the PCA features of each unit. Each cluster is then assigned a reference channel based on the site of maximal absolute spike amplitude. **(iii) Data sorting:** The entire dataset is processed in small batches (with overlap cancellation). Spikes are detected and passed through the trained per-channel classifiers; spikes whose predicted reference-channel differs from the detection channel are transferred and retrieved at their reference-channel. Final outputs including spike times, features, waveforms (optional), channels, and unit labels are stored after processing each batch.

Figure 2. Per-channel spike clustering and classifier training. A. Workflow for each recording channel. Extracted spike waveforms are dimensionality reduced using UMAP and PCA, then concatenated into a single feature space for iterative density-based clustering. Clusters that violate the refractory-period or ISI thresholds are recursively split, and low-confidence spikes (gray) are excluded. Final cluster assignments and waveforms train classifiers. The algorithm default classifier is SVM, three classifier types were evaluated: SVM and MLP (trained on principal components), and CNN (trained on raw waveforms). **B.** Classifier benchmarking. Top: training time for SVM, MLP, and CNN on 1,000 (red) versus 5,000 (blue) training sample sizes. Bottom:

classification accuracy for two train/test splits (50% vs. 75%). SVMs achieve the fastest training
and highest accuracy. Error bars indicate standard error of the mean and significant differences (p
< 0.05) are denoted by asterisks. **C.** After clustering a reference-channel is detected for each
cluster. Average waveforms are shown for each cluster on neighboring channels. The channel
exhibiting the largest absolute peak amplitude is assigned as the reference-channel (thick lines).
D. An example of cluster merging and realignment. Top left: heatmap of maximum cross-
correlations between cluster templates for groups 1–3 flag groups 2 and 3 for merging. Bottom
left: 3D UMAP embedding over time showing temporal drift and anti-correlated spike-density
profiles of groups 1 and 2. Right: average waveforms for the three groups. Groups 1 and 2 are
merged and assigned to the 5th (yellow shaded) channel.

Figure 3. Per-channel classifier–based spike sorting workflow A. Flowchart of the two-pass,
per-channel sorting procedure. Spikes detected on each channel (2n + 1 window) are first retained
if their peak amplitude occurs on the detection channel 'n' or else sent to a temporary store.
Retained spikes enter the channel-specific classifier: if the predicted unit's reference channel
matches the detection channel, the unit's label is stored; if not, the lookup table directs retrieval of
the corresponding stored spike for reclassification on its true channel. **B.** Illustration of class
assignments for classifiers trained on two nearby channels (n and n + 2). Waveforms whose
maximum lies on the local channel are kept (solid traces), while those referencing the other channel
are transferred to the channel at which it has its maximum (dashed). Here, the green class
references channel n and the red class references n + 2. **C.** Two example spikes from the same
neuron showing maximal amplitude on different channels. The left spike peaks on channel n and
is correctly labeled in the first pass; the right spike peaks on channel n + 2, is initially classified
there, but is redirected back to channel n and in the second pass is correctly transferred to and
labeled at channel n.

Figure 4. Benchmarking KIASORT performance on simulated datasets with varying drift.
A. In silico simulation of laminar recording from multicompartmental neuron models, containing pyramidal cells (neurons A and D) and interneurons (neurons B and C). **B.** Extracellular field for spikes of each neuron are modeled before and after a vertical $\Delta y = 50\mu m$ drift and a small horizontal $\Delta x = 0.5\mu m$ displacement. Panels from left to right show each neurons' field before drift, after drift, after drift correction, and the residual from subtracting drift corrected waveform from the waveform before the drift. Rightmost column shows the PCs of waveforms generated for each neuron after drift correction (gray) and before (colored). **C.** Bottom: multi-channel dataset

with spike waveforms with variable shapes (uni-, bi-, and tri-phasic) associated with morphology
of cell types and, and with different polarities (positive and negative). Sorted spikes are color-
coded, and poor-quality channels detect by the algorithm are highlighted in red. An example
illustrating two neurons with (brown) and without (blue) temporal drift. Top-left: UMAP
embeddings show the temporal trajectories of spike features. Top-right: Waveform changes over
time for the drift versus stable unit. **D.** Comparison of mean quality metrics (Precision, Recall, F1
Score, Accuracy) between KIASORT (purple) and KiloSort4 (green) at increasing levels of
simulated drift. **E.** Proportion of high-quality (F1 > 0.75) and low-quality (F1 < 0.2) units detected
by each algorithm. KIASORT consistently identifies a higher proportion of high-quality clusters
across drift conditions. **F**. Average run time for each algorithm when using only CPU (dashed line)
or combined with GPU acceleration (solid line). Kilosort4 failed running using only CPU. Error
bars indicate standard error of the mean and significant differences ($p < 0.05$) are denoted by
asterisks.

Figure 5. KIASORT results on real data. A. An example of two-step denoising applied to raw primate probe recordings, showing the raw voltage trace with variable artifacts on different channels (top) and the cleaned signal after denoising (bottom). **B.** Example mean multichannel spike waveforms of units sorted from a 128-channel, dual-shank DBC probe in primate striatum. **C.** Example mean multichannel spike waveforms of units sorted from a Neuropixels probe recording in mouse cortex (Allen Institute dataset). **D.** An example of neuron-based drift in a primate DBC probe: one unit (dark green) exhibits progressive spatial drift over time, while two other units (light green and red) remain stable throughout the recording. **E.** Fraction of refractory units within different minimum SNR value compared between KIASORT and Kilosort4 for the same session as in **D**.

446 **Materials and Methods**
447

448 **Denoising**

449 To remove any shared noise across a large number of recording channels, we applied a percentile-

450 template regression that preserves neural spikes waveforms intact. The denoising can be GPU-

451 accelerated when supported. For each raw signal $X \in R^{N \times M}$, with N channels and M time samples,

452 we first compute the percentile at each time point:

453
$$g = percentile(X, p) \in R^{1 \times M} \qquad (1)$$

454 Where p is the percentile value used to form the noise template. By default, it is set to 50 (meaning

455 50% of channels need to show the noise pattern) but can be adjusted by user if the noise pattern is

456 different in their datasets (e.g., when noise is present on less than half of channels). Then each

457 template is moved to the center by:

458
$$\tilde{g} = g - \bar{g} \qquad \bar{g} = \frac{1}{M} \sum_{j=1}^{M} g_j \qquad (2)$$

459 and each channel data centered by:

460
$$X_c = X - \bar{X} \qquad \bar{X} = \frac{1}{M} \sum_{j=1}^{M} X_j \in R^{1 \times M} \qquad (3)$$

461 then the correlation between each channel and the noise template as:

462
$$r_n = \frac{X_{c,n} \tilde{g}^T}{\|X_{c,n}\|_2 \|\tilde{g}\|_2} \qquad n = 1, \ldots, N \qquad (4)$$

463 for each channel n, the algorithm finds a regression gain as:

464
$$\beta_n = \frac{X_n \, g^T}{\|\tilde{g}\|_2^{\;2}}$$
(5)

465 and an amplitude gain as:

466
$$\alpha_n = \frac{\sigma_g}{\sigma_{x_n}}$$
(6)

where σ_g is the standard deviation of the noise template, and σ_{x_n} is the standard deviation of

channel n. For all channels exceeding both an amplitude gain threshold and a correlation threshold,

the algorithm subtracts the noise from the signal by:

if $\qquad \alpha_n > \alpha_{th} \,\&\, r_n > \rho_{th} \qquad\qquad : \qquad\qquad X_{n_d} = X_n - \beta_n g$ (7)

where ρ_{th} is the correlation threshold, by default set to 0.4, and α_{th} is the amplitude gain threshold

indicating a fraction of root-mean-square (RMS) amplitude, by default set to 0.05 to conservatively

ignore normal spiking activity [3,20].

Signal Quality Check

To assess channel quality, we compared the power in the spike band (1.5 kHz < f < 4.5 kHz)

with the power in the low-frequency band (0.5 kHz < f < 1.5 kHz) and the high-frequency band

(4.5 kHz < f < f_s/2). We then normalized the power in each band by its bandwidth and computed

a ratio that indicates whether a channel is dominated by low-frequency or high-frequency noise.

482
$$R_w = \frac{\max\,(W_{low-band}\,,\,W_{high-band})}{W_{middle-band}}$$
(8)

483   The ratio is then transformed to scale to the bands by:

484
$$ratio_w = R_w \exp\left(\frac{R_w - 1}{2}\right) \tag{9}$$

If the ratio is too high, it indicates that the signal is dominated by either low- or high-frequency

noise without prominent mid-band power [22,24]. We set the ratio threshold to the smaller of a fixed

value (3 by default) and the mean ratio across all channels plus three standard deviations. We

evaluated this approach on simulated multichannel datasets as described above and on real

recordings from non-human primates (**Figure S2**). For the spike sorting, any channel not passing

this quality check will be excluded from spike detection and waveform extraction.

Sample extraction

For the sample-extraction phase, the algorithm selects chunks of t-second duration signals (by

default 1 second) for all channels, $X(t_i: t_i + t)$. A total of M sample chunks (by default 180) is

extracted from regularly spaced segments of the data. Users can change these values depending on

the data and also set a higher limit on the maximum time point up to which samples will be

extracted. Once all sample chunks have been extracted, they are concatenated into signal X_{sample}

$\in R^{N \times Mt}$ which is then used for subsequent sample spike detection.

Spike detection

For each recording channel, we detect all spikes that exceed a threshold set by multiplying a scale

factor by the median absolute deviation (MAD) of that channel's signal. The algorithm first

bandpass filters the data between 300 Hz and 8000 Hz (adjustable for recordings with lower

sampling rates), then calculates the MAD threshold as follows:

$$\text{MAD} = \text{median } |x(t) - \text{median}(x(t))| \tag{10}$$

The threshold is by default set to $7 \times \text{MAD}$, which works well for many datasets. However, users

can adjust this scale factor in the GUI or by scripting depending on their data. In general, MAD

thresholding is robust to outliers and noise. However, to prevent threshold inflation on channels

with very high spiking activity, the algorithm first detects spikes using MAD thresholding,

excludes those spikes, and then reapplies MAD thresholding to compute the final threshold. Spikes

exceeding this threshold are detected. For detected spikes that occur too closely in time, only the

one with the highest peak amplitude is retained and other will be disregarded. The minimum

interval between spikes is set to 0.375 ms by default, but if the distribution of inter-spike intervals

(ISIs) shows its largest peak between 0.375 ms and 0.75 ms, the interval is adaptively increased to

match that peak and preventing double-counting of multiphasic spikes with widely but regularly

spaced peaks [6].

Waveform extraction

For each detected spike on a given channel, we define an extraction window of fixed length

(default 1 ms). This window is centered on the spike's most extreme positive or negative peak,

and the waveform within this window is extracted across the specified neighboring channels. By

default, KIASORT extracts waveforms from 15 channels (seven above and seven below the center

channel), which for a Neuropixels probe with 20 μm site spacing spans ~280 μm. Users can adjust

the number of channels to fit their probe (a range covering 200–400 μm is recommended). During

the sample-extraction phase, all extracted waveforms are saved for every channel. However, in the

main sorting phase, only waveforms for spikes assigned to the center channel are saved (optional

if selected by users).

Handling overlapping spikes

When two spikes occur very close in time (i.e., when the difference between consecutive main

spike indices is less than L), the extracted windows overlap. During the sample-extraction phase,

we consider only spikes that have no overlapping spikes detected within their waveform window

on any neighboring channels. This prevents splitting a single neuron into multiple clusters due to

consistent overlaps with other neurons during clustering.

For the main data-sorting step, however, to compensate for overlapping spikes, the algorithm first

computes the overlap length based on the inter-spike interval and then applies a smoothly varying

sigmoid window to attenuate the contribution of the overlapping regions from neighboring spikes.

If the time difference between consecutive spikes is Δt (in samples) and $\Delta t < L$, the overlap length

is computed as:

$$L_{overlap} = 0.5\ (L\text{-}\Delta t) \tag{11}$$

For each possible overlap length i, (with $1 \leq i \leq L$), we find a sigmoid window by:

$$\sigma(i) = \frac{1}{1 + e^{(x-i)}} \tag{12}$$

where x is sample point form spike center. Two modified windows are then defined: the left-side

window equals $\sigma(i)$ over its left half and 1 over its right half, while the right-side window equals

$\sigma(i)$ over its right half and 1 over its left half. Depending on which side the overlap occurs, the

corresponding left- or right-side window is multiplied elementwise with the waveform.

<u>Sorting Samples</u>

In this step, the algorithm loads the sample data previously extracted and saved for each channel.

Each channel is then processed individually, spike waveforms are preprocessed, and features are

extracted. Spikes are clustered, and classifiers are trained on the clustered data. After processing

each channel, the algorithm unifies clusters across channels and assigns a reference channel to each

cluster.

Waveform preprocessing and feature extraction

For each channel, spike waveforms were extracted as T time samples centered on detected

threshold crossings across C channels. For each detected spike i, the extracted waveform is a matrix

$W_i \in R^{C \times T}$ spanning $\pm\tau$ ms around the spike peak, where τ is half of the clustering spike duration.

To prepare for dimensionality reduction, each waveform matrix is first flattened into a one-

dimensional vector $vec(W_i) \in R^{1 \times C.T}$ and multiplied by a Hamming window function to weight

stronger on the central portion of the spike:

$$w_i = vec(W_i) \odot h \qquad\qquad (13)$$

where $h \in R^{1 \times C.T}$ is the Hamming window and $\odot$ denotes elementwise multiplication.

We used a hybrid approach combining linear and nonlinear dimensionality reduction to form a

feature space that captures both global and local structures in the spike waveforms. First, the

algorithm applies Principal Component Analysis (PCA) to the preprocessed waveforms:

569
$$X_{PCA} = (W - \mu_W)V \qquad (14)$$

570 where $W \in R^{N \times (C \cdot T)}$ is the matrix of N *(number of spikes)* flattened spike waveforms, μ is

571 the mean waveform vector, and $V \in R^{(C \cdot T) \times n}$ is the first n PCs.

572 For the non-linear part, the algorithm uses Uniform Manifold Approximation and Projection

573 (UMAP)[17] as:

574
$$X_{UMAP} = UMAP(W \odot H, n_{comp}) \qquad (15)$$

where $H \in R^{1 \times C.T}$ is the Hamming window applied to all waveforms. H is formed by concatenating

C individual Hamming windows, each of length T sample points, and n_{comp} is the number of UMAP

components. While PCA largely represents the global variance across the entire waveform, UMAP

is more weighted by the central portion of each spike to contain spatial information. It preserves

both local and global topological structures and the relative distances between waveforms by

projecting them into a low-dimensional nonlinear manifold.

The final feature space used for clustering is constructed by concatenating the normalized UMAP

components, the first three PCA components, and the peak amplitude values, as:

583
$$X_{clustering} = norm([X_{UMAP}, X_{PCA}, A]) \qquad (16)$$

584 where each feature dimension is min-max normalized to the range $[0,1]$.

585
586

Density-based clustering

588 The normalized feature matrix $X_{clustering} \in R^{N \times D}$, is then used for clustering using an iterative

589 DBSCAN method[21]. For each sample $x_i \in R^D$, DBSCAN defines a neighborhood as

590
$$N(x_i, \varepsilon) = \{x_i : \|x_j - x_i\| \le \varepsilon\} \qquad (17)$$

591 and a point is considered a core point if

592
$$|N(x_i, \varepsilon)| \geq MinPts. \tag{18}$$

where *MinPts* is the minimum-points parameter in DBSCAN, set according to a minimum firing

rate of 0.1 spikes/sec, and bounded between 0.1 % (lower bound) and 1 % (upper bound) of the

total data points.

For clusters with ISI-violation ratios exceeding a threshold (indicating potential mixing of multiple

units), we recursively apply DBSCAN with adjusted parameters until either the clusters satisfy the

refractory-period constraint or a maximum recursion depth of four is reached. After all recursions,

we unify the labels, and any noisy clusters or points identified as noise are assigned a "–1" label.

Parameter estimation for DBSCAN
602
603 To optimize DBSCAN parameters, we use a knee detection method[23] to estimate optimal

604 epsilon. We use k as the number of datapoints, and then compute the *k*-distance for each point

605 x_i as:

607
$$D_k(x_i) = d_1(x_i, NN_k(x_i)) \tag{19}$$

609 where $NN_k(x_i)$ is the kth nearest neighbor using Manhattan distance. After sorting distances, we

610 detect the "knee" in the sorted distance curve, $D_{(1)} \leq D_{(2)} \leq \cdots \leq D_{(n)}$, by maximizing the

611 perpendicular distance to the line connecting the first and last points as:

612
$$\delta_i = \frac{|\Delta_y(i-1) - \Delta_x\left(D_{(i)} - D_{(1)}\right)|}{\|\Delta\|} \qquad (20)$$

613   where $\Delta_x = n - 1$, $\Delta_y = D_{(n)} - D_{(1)}$, and $\Delta = (n - 1, D_{(n)} - D_{(1)})$. The epsilon is then set as:

614
$$\varepsilon = D_{(i^*)} : \ i^* = \text{argmax}_{1 \leq i \leq n} \, \delta_i \qquad (21)$$

Cluster merge

617   When the clusters are labeled for each channel, the algorithm post-process these clusters to avoid

618   overspill. For clusters with a mean waveform $w_s \in R^{M \times T}$ and spike density of time series ds, initial

619   labels l_0, and sample count ns, the algorithm performs the following steps:

620   First, for each pair of clusters (i,j), it computes the cross-correlation between their mean waveforms

621   and identifies the maximum correlation coefficient:

622
$$(c_{ij}, \tau_{ij}) = argmax \ \tau \ xcorr(w_i, w_j; \tau) \qquad (22)$$

624   Where c_{ij} is the maximum correlation coefficient peak, and τ_{ij} is the time lag at which the peak

625   value occurred.

626   It computes the amplitude variability by:

627
$$a_{ij} = mean\left(\frac{|A_i - A_j|}{\max(A_i, A_j)}\right) \qquad (23)$$

628   where A_i is the maximum absolute amplitude of w_i. Then it computes the correlation of spike

629   density by:

630
$$r_{ij} = corr(d_i, d_j) \qquad (24)$$

After computing these metrics, clusters can be merged using two strategies: *stringent merge* and

loose merge. In the *stringent merge,* clusters are merged if they show low amplitude variation, and

high zero-lag cross-correlation between their mean waveforms:

$$a_{ij} < 0.05 \max{(A_i, A_j)}, \qquad c_{ij} > 0.9, \qquad \tau_{ij} = 0$$

For the *loose merge*, clusters are merged if they satisfy:

$$a_{ij} < 0.15 \max{(A_i, A_j)}, \qquad c_{ij} > 0.85, \qquad \tau_{ij} \neq 0$$

where the nonzero lag criterion accounts for temporal misalignment of multiphasic spike

waveforms.

Lastly, the algorithm checks for *drift-based merge* where clusters show:

$$a_{ij} < 0.15 \max{(A_i, A_j)}, \qquad r_{ij} < -0.8, \qquad c_{ij} > 0.85$$

to merge split clusters caused by pronounced spatial drift.

For all merge operations, the resulting cluster's ISI-violation rate (i.e. the percent of interspike

intervals within the refractory period) must not increase by more than 0.1 % [24,25].

Unifying clusters across channels

After clustering spikes on all channels, we assign a reference channel to each cluster. The reference

channel is the channel on which the cluster's mean waveform shows its highest absolute peak and

determines which classifier will be used during the sorting stage. For each cluster on a given

channel, the reference channel can be either the original channel (in which case the cluster is

retained) or a different channel (in which case it is transferred). When a cluster's reference channel

differs from its original channel, the algorithm checks all channels detecting that cluster and

enforces consistent reference-channel assignments. If the same cluster is assigned reference

channels on two or more channels (defined by more than 50% overlap in spike indices), the

channels are unified and only the one with the highest peak value is retained. Finally, if a cluster's

reference channel is not its original channel, the algorithm iteratively confirms that the cluster

exists on the reference channel; if not, it relocates the cluster to the ultimately retained reference

channel.

Training classifier

Following unsupervised clustering, we train a supervised classifier for each channel to classify

new spikes during the sorting stage. We evaluated three classifier types including support vector

machine (SVM)[26], multilayer perceptron (MLP), and convolutional neural network (CNN)[27], to

compare their performance (**Figure 2A**). KIASORT supports all three, but the default is SVM due

to its computational efficiency, higher accuracy, and roughly tenfold faster runtime (**Figure 2B**).

For training, we use either the raw waveforms (for CNN) or the PCA-reduced feature vectors (for

SVM and MLP):

$$\hat{y} = f_\theta(\mathrm{x}) \tag{25}$$

where x is the PCA scores of the flattened waveforms or the raw waveforms, and f_θ denotes the

classifier with parameters θ. During actual sorting, each classifier is applied to all sampled spikes;

however, for benchmarking, we trained and tested using 50/50 and 75/25 train/test splits.

SVM: We use a degree-3 polynomial kernel (radial-basis-function kernels are also supported, but

the polynomial kernel performed best). To exclude potential outliers, the SVM leaves 10 % of the

sampled spikes out as noise during training. All hyperparameters were tuned for optimal accuracy.

MLP: The MLP has an input layer, four hidden layers with 2048, 1 024, 128, and 64 units, and an

output layer. It uses mean squared error loss, a dropout rate of 0.1, an initial learning rate of 10^{-4},

and drops the learning rate by a factor of 0.1 every 10 epochs. It is trained on PCA-reduced

waveform features. All hyperparameters were tuned for optimal accuracy.

CNN: The convolutional network comprises four blocks with 16, 32, 64, and 128 filters. Training

used a batch size of 128, a kernel size of 3, pooling size of 2, L2 regularization at 10^{-4}, and matched

the MLP's dropout and learning-rate schedule. All hyperparameters were tuned for optimal

accuracy.

Sorting Data

After extracting samples, clustering the sampled spikes, training classifiers on each channel's

spikes, and unifying spike labels, the algorithm runs the third module to integrate the acquired

knowledge and sort the full dataset (**Figure 3**).

To make the computation more efficient, multi-channel neural recordings are segmented into

temporal chunks of duration T_{chunk} (1-2 minutes are optimal). To avoid boundary artifacts, each

chunk is extended by a small margin T_{margin} (2 seconds), and any spikes detected within this margin

are excluded. Similar to the sample-extraction step, raw data in each chunk are bandpass filtered

and denoised using the noise-template regression. For each channel, spikes are detected exactly as

in the sampling phase, and waveforms are extracted for each spike. Overlapping spikes are then

attenuated using the sigmoid window method described previously.

For each detected spike, the algorithm checks whether it has the maximum peak amplitude on its

current channel, if so, it is retained for classification there; otherwise, it is temporarily stored for

cross-channel transfer. Each recording channel has a pre-trained classifier $f_i : \mathbb{R}^k \to \{1,...,N_{ch}\}$ that

was trained on PCA-reduced features during the sampling phase. Given a spike's feature vector x

on channel i, the classifier outputs a label $\hat{y} = f_i(x)$ and posterior probabilities $p(y=k \mid x)$ to quantify

the confidence. Otherwise, the spike is transferred to its reference channel: the algorithm searches

among the temporarily stored spikes on the reference channel for a spike whose detection times t'

satisfy $|t - t'| < \Delta t$ ($\Delta t = 0.75$ ms), then reclassifies the spike using the reference channel's classifier.

To support this cross-channel lookup without excessive memory use or compute overhead,

channels are processed in a sliding-window by loading classifiers for the *2N* channels before and

after each channel (where *N* equals the number of channels used for waveform extraction). After

all channels have been evaluated for each chunk, the results are stored in an HDF5 file containing

spike indices, their labels, the label on each channel, their channel, their peak amplitude on the

reference channel, and, optionally, multichannel waveforms.

The unified GUI platform

KIASORT provides a unified graphical user interface (GUI) that integrates data visualization,

inspection, and parameter settings for sorting on its main tab (**Figure S1**). The GUI includes three

additional tabs:

Channels: Enables users to inspect raw data, manually include or exclude channels, and adjust the

MAD threshold via a slider.

Curation: Lets users load sorted results and perform post hoc processing; mark unit isolation types,

merge or remove channels, realign waveforms, and evaluate waveform similarity, and explore

cross-correlograms, presence ratios, ISI distributions, and feature metrics before saving curated

outputs (**Figure S1**).

Clusters: Offers a sanity-check view of clustering results for each channel, allowing users to fine-

tune parameters in cases of atypical datasets.

Biophysical Modeling of Probe Drift Effects on spike waveform

725   We developed a biophysically plausible model to investigate the effects of laminar probes drift on

726   extracellularly recorded action potentials. We model multicompartmental neurons with proper

727   cable dynamics, realistic membrane biophysics, and spatially extended current sources to capture

728   the complex, nonlinear relationship between probe movement and spike waveforms.

Multicompartmental neuron models

In the modeling we considered four distinct neuron morphologies, representing major cell types in

cortical circuits, each with biophysically plausible but simplified geometry and

compartmentalization (**Figure 4A, Table S1**):

Pyramidal Neurons (A, D): Large spherical somata (20–22 μm diameter), apical dendrites

extending 180–200 μm at 70–100° angles, basal dendrites 100–120 μm long at random

orientations, and axon initial segments 180–200 μm in length.

Stellate Neuron (B): Medium soma (18 μm diameter), radially oriented dendrites 100–130 μm,

axon 150 μm in length.

Interneuron (C): Smaller soma (15 μm diameter), shorter dendrites 70–90 μm long, and a thin 1.5

μm axon 120 μm in length.

Each compartment was modeled using standard cable theory [28], in which the membrane potential

along each compartment obeys the cable equation:

743
$$\lambda^2 \frac{\partial^2 V_m}{\partial x^2} = \tau_m \frac{\partial V_m}{\partial x^2} + V_m - R_m I_{ionic}(V_m, t) \tag{26}$$

744   where λ is the space constant, τ_m is the membrane time constant (1.0 ms), R_m is the specific

745   membrane resistance, and I_{ionic} denotes the nonlinear ionic currents.

Membrane ion channel dynamics

748   The membrane potential dynamics are modeled by nonlinear activation of sodium and potassium

749   channels by adapting biophysically plausible kinetics from the Hodgkin–Huxley equation [29,30]:

750
$$I_{ionic} = I_{Na} + I_K + I_{leak} \tag{27}$$

751   Sodium channel activation followed a sigmoidal relationship with membrane potential:

752
$$m = \frac{1}{1+\exp\left(\frac{V_{half}-V}{k}\right)} \qquad (28)$$

753 where V_{half} = -40 mV denotes the half-activation voltage and k = 6 indicates the slope factor.

754 Channel saturation was incorporated using a hyperbolic tangent nonlinearity:

755
$$m = \tanh(1.5.m) \qquad (29)$$

756 Potassium channel activation showed gating and slower kinetics as:

757
$$n = \left(\frac{1}{1+\exp\left(\frac{V_{half}-V}{k}\right)}\right)^4 \qquad (30)$$

758 whit V_{half} = -55 mV and k = 8.

759 The resulting compartment-specific currents were modeled for the soma by:

760
$$I_{Na} = -A_{Na}\, exp\left(-\left(\frac{t-t_{AP}}{\tau_{rise}}\right)^2\right) \qquad (31)$$

761
$$I_K = A_K\, exp\left(-\left(\frac{t-(t_{AP}+\delta)}{\tau_{fall}}\right)^2\right) \qquad (32)$$

762 where t_{AP} is the action-potential timing, τ_{rise} and τ_{fall} are the compartment-specific membrane time

763 constants, and δ is the delay in potassium-current activation. We also incorporated cell-type

764 specific differences in the kinetics of these currents with interneurons showing faster kinetics

765 (shorter τ_{rise} values) than pyramidal cells [31,32].

Field potential calculation

We calculated the extracellular potential generated by each compartment using the line source approximation [14,28], which estimates each neuronal segment as a line current source within a homogeneous volume conductor by:

$$V(r) = \frac{1}{4\pi\sigma} \int_0^L \frac{I_{line}(\ell)}{|\vec{r} - \vec{r}'(\ell)|} d\ell \tag{33}$$

where $\sigma = 0.3$ S/m is the extracellular conductivity, I_{line} is the transmembrane current per unit length, r is the electrode position, and $r'(\ell)$ denotes positions along the compartment of length L.

For computational efficiency, this integral was solved analytically for a line segment carrying constant current. For a segment running from $\mathbf{r}_1$ to $\mathbf{r}_2$ at a perpendicular distance h from the electrode, the extracellular potential is:

$$V = \frac{1}{4\pi\sigma} \frac{1}{h_{eff}} \log \left| \frac{\sqrt{h_{eff}^2 + (L-s)^2} + (L-s)}{\sqrt{h_{eff}^2 + s^2} - s} \right| \cdot f(h) \tag{34}$$

Where $h_{eff} = \sqrt{h^2 + d_{min}^2}$, incorporating a minimum effective distance of $d_{min}=10$ μm to avoid singularities, s is the longitudinal projection of the electrode onto the segment, and

$$f(h) = \frac{1}{1 + \sqrt{\left(\frac{h}{\lambda_{spread}}\right)^3}} \tag{35}$$

a spatial-decay function with $\lambda_{spread} = 60$ μm.

783 For very short segments or point-current sources, this simplifies to:

$$V = \frac{1}{4\pi\sigma} \frac{I_{line}}{d_{eff}} f(d) \qquad (36)$$

where d is the distance from the source to the electrode.

We incorporated a critical biophysical phenomenon, finite propagation velocity of extracellular

fields, into our model. Unlike simplified models assuming an instantaneous transmission for neural

signals, we account for spatiotemporal signal delays to estimate how electrode drift, combined

with propagation time, can distort post-drift spike waveform reconstruction. In brain tissue,

electrical signals travel at roughly 0.1–10 m/s depending on myelination, frequency content, and

other medium related factors. [33,34].

To simulate finite propagation times from neuron to electrode, we consider channel-dependent

delays:

$$V_{ch,i}(t) = V_{source}(t + \Delta t_i) \qquad (37)$$

where $\Delta t_i = \frac{|d_i - d_{source}|}{v}$ is the propagation delay between the channel i and the nearest channel to

the source. Here, v is the propagation velocity (5 m/s), which leads to delays of about ~1 μs per

channel distance for high-frequency components [34]. This ensures that signals reach different

recording sites with realistic temporal offsets.

Probe drift and waveform drift correction

A probe drift was implemented by relocating the probe on the vertical axis by Δy and on horizontal

axis for shear effect by Δx displacement (**Figure 4A**). To avoid any inaccuracies for the

reconstruction, we shifted the probe only by multiples of channel spacing (25µm). In our

simulation, we used Δy = 50 µm two different Δx values of 0.5 µm, and 5µm which represents an

angle of ~0.5˙ and ~5˙ respectively.

For each probe, we then correct the drift by simply shifting its channel up or down to match the

location of the probe before drift.

$$V_{corrected,i} = V_{drift,\ i-\Delta y_{shift}} \qquad\qquad (38)$$

All waveforms for each condition were aligned to their peak-amplitude time (t = 0) for further

comparisons.

To examine the drift-correction efficacy in the context of spike-sorting we generated 1000

waveform variants for each neuron before drift and after the drift-correction random amplitude

scaling and adding background noise. We then used PCA to reduce waveforms and visualize the

separability of clusters in their feature space before and after correction.

Parameter	Value
Extracellular conductivity (σ)	0.3 S/m
Probe geometry	50 channels with 25 µm distance
Time vector (t)	[−2, 2] ms; 0.005 ms resolution
Membrane time constant (τ_m)	1.0×1.5 ms
Sodium channel time constant (τ_{rise})	0.12×1.5 ms
Potassium channel time constant (τ_{fall})	0.25×1.5 ms
Minimum effective distance (d_{min})	10 µm

Spatial spread factor (λ_{spread})	60 µm

Table S1. Simulation parameters used for the biophysical modeling of the probe drift.

<u>Simulating Multichannel Extracellular Spike Data</u>

We simulated multichannel extracellular data by combining background noise and spike data.

First, we estimated the background signal using spectral features from real Neuropixels

recordings. We modulated the FFT of the signal by a coefficient applied to the frequency

components, and then incorporating a spatial factor so that nearby channels exhibited greater

similarity in their low-frequency variabilities. For each recording channel, LFP noise was

modeled as the sum across logarithmically spaced frequency bands. For band b, with frequency

range [$f_{1,b}, f_{2,b}$], we compute:

$$X_b(f) = \begin{cases} -\frac{S_b}{f+\epsilon} e^{-i\emptyset(f)} & f_{1,b} \leq f \leq f_{2,b} \\ 0, \ otherwise \end{cases} \tag{39}$$

828 where S_b is the scaling factor, ϵ is a small constant to avoid singularity at $f = 0$, and $\phi(f)$ is a

829 random phase uniformly distributed over [$0, 2\pi$), but constrained by a spatial factor that causes

830 nearby channels to exhibit greater phase alignment in their low-frequency bands. The time-

831 domain signal for band b is then obtained via the inverse Fourier transform:

$$n_{ch,b}(t) = \{\mathcal{F}^{-1}[X_b(f)]\} \tag{40}$$

835   where $n_{ch,b}$ is the signal for frequency band b, at channel n. The raw background signal is then

836   found through sum over all frequency bands:

837
$$N_{ch}(t) = \sum_{b=1}^{B} n_{ch,b}(t) \qquad (41)$$

839   **Spike generation**

841   For each channel we randomly designate 0 to 3 neurons to show their peak amplitude. Each neuron

842   is then assigned a firing rate r from a lognormal distribution[35]:

844
$$r \sim \text{LogNormal}(\mu,\sigma) \text{ with } r \in [r_{min}, r_{max}] \qquad (42)$$

846   Where r_{min} *and* r_{max} min and max firing rate set between 0.25 and 40 spike/sec respectively.

847   Spike times follow a Poisson process with exponentially distributed interspike intervals:

849
$$p(\Delta t) = r\, e^{-r\Delta t} \qquad \Delta t > 0 \qquad (43)$$

851   For neurons, a refractory period $\Delta t_{ref} = 2$ ms is enforced such that:

852
$$t_{k+1} - t_k \geq \Delta t_{ref}, \qquad \forall k$$

853   We then modeled spike waveforms as monophasic, biphasic, or triphasic shapes to capture

854   variations in morphology and electrode proximity. Monophasic spikes were modeled as:

855
$$V_{mono}(t) = (1 + \delta) \, A \, exp\left(-\frac{[t-(1+\delta)\,t_{peak}]^2}{2[(1+\delta)\sigma^2]}\right) \tag{44}$$

biphasic spikes as:

$$V_{bi}(t) = (1 + \delta_1) \, A_1 \, exp\left(-\frac{[t-(1+\delta_1)\,t_{peak,1}]^2}{2\,[(1+\delta_1)\,\sigma_1^2]}\right) - (1 + \delta_2)A_2 \, exp\left(-\frac{[t-(1+\delta_2)\,t_{peak,2}]^2}{2\,[(1+\delta_2)\,\sigma_2^2]}\right) \tag{45}$$

and triphasic spike:

$$V_{tri}(t) = \sum_{j=1}^{3}(-1)^{j-1} \left(1 + \delta_j\right)A_j \, exp\left(-\frac{[t-(1+\delta_j)\,t_{peak,j}]^2}{2\,[(1+\delta_j)\,\sigma_j^2]}\right) \tag{46}$$

where δ_j is small random perturbation introducing variability, A_j is the amplitude for each phase

extreme, and $t_{peak,j}$ is time to peak for each extreme. Each spike waveform is further perturbed

by multiplicative noise (scaling by $1 + \eta$ with $\eta \sim N(0, \sigma_{mult}^2)$) and an additive noise $\xi(t) \sim N(0,$

$\sigma_{add}^2)$:

868
$$\tilde{s}(t) = [1 + \eta]\,s(t) + \xi(t) \tag{47}$$

870   For each spike waveform, we generated a distance dependent attenuated waveform on

871   neighboring channels. Then, the waveform from its reference channel (where spike showed it

872   largest amplitude) of a spike to recording channel *ch* is attenuated by a Gaussian function:

$$874 \qquad w(ch) = exp\left(-\frac{(ch - c_{ref})^2}{2\,\sigma_{spatial}^2}\right) \qquad (48)$$

876   where c_{ref} is the reference channel of the spike event and $\sigma_{spatial}$ controls the spatial spread.

878   For the nonlinear waveform modeling, and incorporating voltage-dependent kinetics and curved

879   spike manifolds, we used each of the canonical waveform above each canonical waveform and

880   transformed it as:

$$881 \qquad s_{nl}(t) = \left[1 + \beta \tanh(\gamma s(t))\right]s(t + \delta\tau(t)) \qquad (49)$$

Where $\delta\tau(t) = \sum_{m=1}^{M} \alpha_m B_m(t)$, with cubic B-spline basis B_m, and coefficient $\alpha_m \sim \mathcal{N}(0, \sigma_\tau)$,

$\beta \sim U\ (\beta_{min},\ \beta_{max})$, and $\gamma \sim U\ (\gamma_{min},\ \gamma_{max})$, which ranges by $\lesssim 30\%$ curvature and $\sim 20\text{–}40$ μs

timing jitter. In the nonlinear modeling, we ranged the number of units showing non-linear

waveform variabilities from 10, 25, and 50% of all units (**Figure S5**).

Modeling spatial drifts

To model electrode drift, the reference channel c_{ref} varies over time in one of the following two

ways; smooth (sinusoidal) drift:

$$889 \qquad \Delta c(t) = A_{drift}\ sin(2\pi f_{drift}t + \phi) \qquad (50)$$

891   and step drift:

$$892 \qquad \Delta c(t) = \begin{cases} 0 & t < t_{step} \\ \Delta c(t) & t \geq t\text{step} \end{cases} \qquad (51)$$

894   with $c_{\text{ref}}(t) = c + \Delta c(t)$. The f_{drift} indicates the frequency of the drift, and ϕ is the delay phase

895   which is consistent for all units showing drifts. We also considered non-linear stochastic drift

896   for additional simulations shown in **Figure S5** as:

899
$$d\Delta c_t = -\frac{\Delta c_t}{\tau_d}\,dt + \sigma_d\,dW_t \qquad\qquad \text{(OU diffusion), (52)}$$

900   where τ_d is a decay time constant controlling how quickly deviations relax back toward zero, σ_d

901   is the Diffusion coefficient indicating the size of the small, continuous fluctuations, W_t models a

902   small Wiener process. The final drift model is implemented through adding the Ornstein–

903   Uhlenbeck diffusion process as a nonlinear stochastic component to the continuous sinusoidal drift

904   as:

905
$$c_{ref}(t) = A_{drift}\, sin\big(2\pi f_{drift}t + \phi\big) + \Delta c_t \qquad\qquad (53)$$

907   **Multichannel signal**

908   After generating both spike trains and background LFP activity for each channel, we generated

909   the multichannel signal containing both. The time-domain voltage on channel ch at time t is the

910   sum of LFP noise and spike contributions and is then calculated as:

911
$$V_{ch}(t) = N_{ch}(t) + \sum_j \sum_i w_i(ch)\, \widetilde{s}_j(t - t_i) \qquad\qquad (54)$$

where the sum runs over all spike events of all unit s_j, occurring at times t_i [1,36].

Benchmarking metrics

To evaluate spike-sorting performance, we matched the detected clusters to ground-truth classes

and computed standard metrics. For K detected clusters $\{C_j\}_{j=1}^{K}$ and M ground-truth classes

$\{T_i\}_{i=1}^{M}$, we assigned each cluster to the class by minimizing the Manhattan distance:

$$i^* = \arg\min d_1(c_j, t_i), \quad 1 \leq i \leq M \quad \text{where} \quad d_1(c_j, t_i) = \sum_{d=1}^{D} |c_{j,d} - t_{i,d}| \qquad (55)$$

For each true class T_i, we computed precision (P_i), recall (R_i), F1-score ($F_{1,i}$), and accuracy (A_i):

$$P_i = \frac{TP_i}{TP_i + FP_i}, \quad R_i = \frac{TP_i}{TP_i + FN_i}, \quad P_i = 2\frac{P_i R_i}{P_i + R_i}, \quad A_i = \frac{TP_i + TN_i}{TP_i + FP_i + TN_i + FN_i} \qquad (56)$$

where TP_i, FP_i, TN_i, FN_i denote true positives, false positives, true negatives, and false negatives

for class i, respectively. Under each simulation condition, these metrics are calculated for all

classes and compared between KIASORT and Kilosort4 using the Wilcoxon signed-rank test.

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Author Contributions

K.B.B developed and designed the methodology, modeling, software, analysis. K.B.B wrote the

original draft. S.K. and T.W. provided supervision. All authors contributed writing and editing the

paper.

Data and code accessibility

The whole pipeline and code for KIASORT is available from https://kiasort.com.

Figure S1. KIASORT Graphical User Interface (GUI). KIASORT includes a GUI that provides a unified platform for data inspection, sorting, and post-hoc curation. A screenshot of the Curation tab which allows users to visualize sorted units; remove or merge units; change isolation types; perform pairwise waveform-similarity processing; and inspect clusters via cross-correlograms, ISI distributions, presence ratios, waveforms, and low-dimensional feature embeddings on each channel.

Figure S2. Channel quality check by multiband decomposition. A. For an electrode in brain tissue, the dominant signal activity is in the middle frequency band (red), reflecting spiking and other neuronal activity. For a silent or dead channel, the signal is dominated by low-frequency noise (gray), and for a noisy or impaired channel, by high-frequency noise (blue). **B.** To assess different contributing factors to this distribution while remaining computationally efficient, each channel's signal was band-pass filtered into non-overlapping frequency bands. **C.** Simulated signals generated by varying noise levels and firing rates show that as neural activity increases and noise decreases, the power distribution across frequency bands tends toward a Gaussian shape. **D.** Performance of the algorithm on different channel types from real primate recordings.

Figure S3. UMAP and PCA feature distributions of clusters. A, B. UMAP and PCA embeddings of clusters identified by iterative DBSCAN on a primate laminar probe recording channel: (**A**) 3D embedding, (**B**) 2D embedding overtime colored by cluster id. **C, D.** Same as **A** and **B**, but for a channel from the simulated dataset.

Figure S4. Biophysical model of drift-induced waveform distortions. A,B: same as in **Figure 4A,B** for Δx = 2 μm. **C,D**: same as in **Figure 4A,B** for Δx = 5 μm.

A

B

971   **Figure S5. Benchmarking with nonlinear waveform changes and drift. A, B.** Same as in
972   **Figure 4D,E**, for simulated datasets containing 10%, 25%, and 50% of units showing nonlinear
973   waveform changes, and for simulations implementing nonlinear drift via stochastic per-neuron
974   perturbations combined with sinusoidal probe-based drift.

Figure S6. Cross-correlograms and per-neuron drift examples. A. Auto- and cross-correlograms (ACG and CCG) between identified neuron groups within a 100 µm radius for different types of units, including fast spiking units. **B**. Three examples of per-neuron drift patterns across three different recording areas in NHP brain: striatum, lateral prefrontal cortex, and anterior cingulate cortex. The left panel corresponds to groups in panel (A). In all three recordings units are selected from a 100 µm radius and show different drift pattern.

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