Molecular Clock Dating of Deep-Time Evolution Using Complex Mixture Models

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Abstract

Molecular clocks are a fundamental technique in evolutionary biology for establishing the timing

and tempo of organismal divergence. However, currently available molecular clock methods,

which often rely on simple homogeneous substitution models, can produce inaccurate time

estimates, particularly for deep-time or rapidly evolving lineages where substitution

heterogeneity and saturation are common. Hereby, we introduce phyloHessian

(https://github.com/evolbeginner/phyloHessianWrapper), a Julia-based software to enable the use

of complex mixture substitution models in molecular dating. phyloHessian computes the

phylogenetic Hessian matrix and integrates it into PAML-MCMCtree's approximate likelihood

framework to conduct dating analyses. Simulations mimicking phylogenies at different

timescales demonstrate that complex mixture substitution models significantly enhance the

accuracy of divergence time and substitution rate estimates in deep-time phylogenies. This

pattern remains consistent across a wide range of uncertainties associated with molecular clock

analysis. Additionally, mixture models display greater robustness to model and calibration

specifications compared to their homogeneous counterparts. Empirical analysis of ancient

symbiont lineages Microsporidia and Rickettsiales with different substitution models shows that

mixture models, compared to homogeneous models, yield accelerated substitution rates and in

some cases significantly different divergence times, leading to a revised understanding of their

host association origins. Our findings underscore the importance of incorporating complex

mixture substitution models for constructing reliable evolutionary timelines and elucidating the

evolutionary history of deep-time or fast-evolving lineages.

Keywords

molecular clock, PAML, MCMCtree, complex mixture model, deep-time evolution, approximate

likelihood, symbiont, pathogen evolution

Introduction

Historically, our understanding of evolutionary time scales relied on limited fossil records, until a significant change occurred in the 1960s when molecular clock theory was proposed (Zuckerkandl and Pauling 1965), making it possible to infer evolutionary time and rate using genetic data. While the original concept of the molecular clock assumed that the rate of gene sequence changes is constant among lineages, further evidence shows that even the same gene can exhibit variations in rate among different species, leading to the development of relaxed molecular clock models which allow for lineage-specific evolutionary rate and a series of derivative models (Thorne et al. 1998; Drummond et al. 2006; Yang and Rannala 2006). Over years, molecular clock theory has evolved, continuously increasing in complexity and accuracy, providing a powerful tool for studying biological evolution. Particularly, with the development of Bayesian phylogenetic methods and the rapid growth of genetic sequence data, Bayesian models based on Markov chain Monte Carlo (MCMC) methods have become the most widely used molecular clock dating method, which allows for a comprehensive examination of various uncertainties in analysis, including factors such as calibrations and across-lineage rate differences (Ho and Duchêne 2014; dos Reis et al. 2016).

While molecular clock approaches offer a powerful framework for inferring evolutionary timescales, the reliability of such inferences heavily depends on accurately modeling the evolution of the molecular data, such as protein sequences, alongside the incorporation of calibration information (Guindon 2020). Protein evolution is typically modelled as site-homogeneous process using empirically derived substitution matrices of amino acid exchangeabilities (e.g., LG or WAG) and fixed equilibrium frequencies, with variation in evolutionary rates modeled with a gamma distribution (Yang 1993). However, while

computationally efficient, such models oversimplify real-world biological processes by failing to

capture the heterogeneity in amino acid preferences driven by diverse structural and functional

constraints across sites. To address these issues, site-heterogeneous substitution models have

been proposed since (Lartillot and Philippe 2004; Pagel and Meade 2004). These models account

for among-site substitution heterogeneity by incorporating predefined substitution matrices for

different secondary structures and solvent accessibility (Le et al. 2008; Le and Gascuel 2010),

across-site rate variation (Le et al. 2012), or mixtures of amino acid frequency profiles (Quang et

al. 2008; Wang et al. 2008). Of particular interest is the last category, which captures different

amino acid preferences across sites, as represented by the CAT model (Lartillot and Philippe

2004) and its simplified counterparts for maximum-likelihood phylogenetics called Cxx (where

xx indicates 10 to 60 profile categories) (Quang et al. 2008). By better approximating the true

biological complexity of amino acid substitution, profile mixture models mitigate artifacts like

long branch attraction, thereby enhancing the ability to distinguish phylogenetic signal from

homoplasy and displaying higher sensitivity to substitution saturation (Wang et al. 2018; Wang et

al. 2019; Schrempf et al. 2020; Baños, Susko, et al. 2024). These features make profile mixture

models especially well-suited for studying microbial evolution, where deep divergences and

rapidly evolving sites are prevalent. Indeed, empirical analyses of deep-time phylogenomic data

show that profile mixture models often exhibit superior model fit compared to homogeneous

alternatives (Williams et al. 2020; Bujaki and Rodrigue 2022).

A particular outcome of using site-homogeneous models like LG+G is that branch lengths

are usually underestimated in case of extensive sequence divergence (Venditti et al. 2008; Moody

et al. 2022). This happens because proteins may not use all 20 amino acids at each position and

homogenous models incorrectly interpret this restricted use of amino acids as evidence of less

evolutionary change than what truly occurred over long time (Lartillot et al. 2007; Wang et al.

2008). To see this, consider a site restricted to Asp and Glu. A mixture model would identify a

preference for negatively charged amino acids and infer unobserved substitutions (e.g., Asp →

Glu → Asp) even if two sequences show the same amino acid, leading to longer branch lengths.

Obviously, this pattern is more often observed with an increasing evolutionary scale. Studies

have shown that the deepest branches of the tree of life, spanning approximately 4.0 billion years

(Gyr), can display branch lengths up to twice as long under profile mixture models compared to

the LG+G model (Moody et al. 2022; Wang and Luo 2025). Given that in molecular clock

analysis, branch length, the expected substitutions per site, is the product of time and (average)

substitution rate of that branch (dos Reis et al. 2016; Guindon 2020), an important question

emerges: do profile mixture models, which often excel in deep-time phylogenetic inference, lead

to more accurate divergence time and substitution rate estimates?

To our knowledge, none of the available molecular clock programs have directly

implemented complex substitution models including those profile mixture models, with

PhyloBayes' CAT model being the exception—yet its high computational demands may limit its

use in large-scale molecular clock analyses. We recently developed bs_inBV (Wang and Luo

2025), enabling the use of diverse substitution models in the popular MCMCtree molecular

dating software by applying a bootstrap approximation of the Hessian matrix, which captures

likelihood surface curvature and is required by MCMCtree's fast approximate likelihood dating

procedure (Thorne et al. 1998; dos Reis and Yang 2011). However, the bootstrapping process is

computationally intensive, and its approximation sometimes inadequately represents the true

Hessian. Additionally, and perhaps more importantly, the relative performance of different

substitution models in molecular clock dating remains unexamined through systematic

simulation studies, leaving a critical gap in our understanding of how choice in substitution

model affects divergence time estimation, particularly in deep-time or fast-evolving phylogenies.

Hereby, we introduce phyloHessian, a Julia-based software that uses numerical methods to

calculate accurate Hessian matrices for diverse complex substitution models and integrates them

directly into MCMCtree's approximate likelihood framework for rapid molecular clock dating.

We perform extensive analyses on simulation data to comprehensively compare the performance

of different substitution models in divergence time and substitution rate estimation under a wide

range of conditions that mimic phylogenies from relatively recent to very ancient divergences.

We further analyze empirical data to assess how different substitution models affect our

understanding of ancient symbiont evolution and the origins of their host associations.

Results

phyloHessian: enabling molecular clock dating in MCMCtree with complex substitution

models

To address the challenges in molecular clock analysis under various substitution models, we

developed a Julia-based software phyloHessian. phyloHessian first calls IQ-Tree (Wong et al.

2025) or PhyML (Guindon et al. 2010), two widely used phylogenetic reconstruction software

that implement a range of mixture models, to calculate the maximum-likelihood estimates

(MLE) of branch length $\hat{\theta} = \left(\hat{\theta}_1, \ldots, \hat{\theta}_n\right)^{\mathrm{T}}$ under user-specified substitution model. Let $\theta =$

$(\theta_1, \ldots, \theta_n)$ be the branch lengths and $\Delta\theta = \theta - \hat{\theta}$. Next, it applies finite difference numerical

methods to calculate the gradient $g = \left(\frac{\partial \ell(\hat{\theta})}{\partial \theta_1}, \ldots, \frac{\partial \ell(\hat{\theta})}{\partial \theta_n}\right)^{\mathrm{T}}$ and Hessian matrix $H(\hat{\theta}) = \left(\frac{\partial^2 \ell(\hat{\theta})}{\partial \theta_i \partial \theta_j}\right)$,

i.e., the first- and second-order derivatives of the log-likelihood of the phylogenetic data

evaluated at the MLEs. . Last, the resulting Hessian matrix is integrated into MCMCtree to be

used with its approximate likelihood method (Thorne et al. 1998; dos Reis and Yang 2011) for

divergence time and rate estimation. MCMCTree's approximate likelihood method approximates

the log-likelihood surface for branch lengths using a Taylor expansion to the second order

$$\ell(\theta) \approx \ell(\hat{\theta}) + \mathrm{g^T}\Delta\theta + \frac{1}{2}\Delta\theta^{\mathrm{T}}H(\hat{\theta})\Delta\theta.$$

To demonstrate the reliability of phyloHessian, we compared its results with those obtained

from well-established phylogenetics software packages. First, we found that phyloHessian and

IQ-Tree produced identical log-likelihoods under all tested complex substitution models

available in IQ-Tree (Data S1). Further, we validated phyloHessian's Hessian calculation by

confirming that the Hessian matrix obtained by phyloHessian and CODEML under site-

homogeneous models (such as LG+G) were nearly identical (Data S2).

Profile mixture substitution models enhance time and rate estimation in deep-time and

fast-evolving phylogenies: evidence from simulations

To evaluate the impact of mixture substitution models (Materials and Methods: Mixture

substitution model) on divergence time and substitution rate estimates, and to compare their

performance to profile homogeneous models like LG+G, we conducted extensive simulations. To

simulate a range of evolutionary scenarios spanning relatively recent to very deep-time

divergences, we followed our prior study (Wang and Luo 2025) to generate 30 replicates of 20-

tip birth-death trees with protein sequences that were 300 amino acids (aa) in length simulated

under the substitution model LG+C60+G4{1.0} for both autocorrelated-rates (AR) and

independent-rates (IR) molecular clock rate model. For simplicity, we use "+G" to represent

"+G4{1.0}" hereafter [IQ-Tree's default; four-category discrete Gamma distribution for the

148 relative rate, shape parameter α = 1.0, i.e., Gamma(1,1)]; see also Materials and Methods:

149 Simulation procedure. We mainly focused on five calibration strategies. These five calibration

150 strategies consisted of a single calibration at the root with both upper and lower bounds

151 (*root_only*), fully calibrated root plus one fully-bounded internal node (*single_interval*), fully

152 calibrated root plus one minimum-bounded internal node (*single_min*), fully calibrated root plus

153 two fully-bounded internal nodes (*two_interval*), and fully calibrated root plus two minimum-

154 bounded internal nodes (*two_mins*).

155  Under the focal simulation scheme (see Materials and Methods: Simulation procedure), the

156 profile mixture model LG+C60+G performed comparably to the traditional LG+G model for

157 divergence time estimation when simulated root ages were set to either 1.0 or 2.0 billion years

158 ago (Ga). However, for root ages ≥3.0 Ga, LG+C60+G obtained significantly more accurate time

159 estimates than LG+G, with the performance gap becoming increasingly pronounced at 4.0 Ga

160 (Fig. 1A). For example, under the *root_only* calibration strategy, LG+C60+G demonstrated

161 superior time estimation accuracy (mean relative difference ± SD: 0.157 ± 0.061 for AR clock

162 model, 0.139 ± 0.046 for IR clock model) compared to LG+G (AR: 0.193 ± 0.062; IR: 0.197 ±

163 0.061). Mean relative difference is defined as mean $\left(\frac{|b_i^m - b_i^{true}|}{\max(b_i^m, b_i^{true})} \right)$, where b_i^m and b_i^{true}

164 respectively denote the inferred posterior mean age and the true value for node *i*. The largest

165 discrepancies in divergence time estimation occurred under the calibration scheme *two_min*,

166 where LG+C60+G showed lower error than LG+G (mean relative difference: AR 0.160 vs.

167 0.232; IR 0.147 vs. 0.259; Fig. 1A). In contrast to divergence time estimates, LG+C60+G

168 provided much more accurate branch-specific substitution rate estimates than LG+G across all

169 tested root ages (Fig. 1B). The error reduction obtained by LG+C60+G compared with LG+G,

170 calculated as $\frac{\text{reldiff}_{LG+G} - \text{reldiff}_{LG+C60+G}}{\text{reldiff}_{LG+G}}$ (i.e., the error reduction was calculated using the

node-averaged mean relative differences between models), averaged across all calibration

strategies, ranged from 26% to 53% under AR, and 23% to 55% under IR for root ages of 1.0 to

4.0 Ga (Table 1). The pattern held true with alternative metrics (mean branch score distance

[BSD] or scatter-plot comparisons) for comparison with the simulation values (Figs. S1-S3).

Next, we explored the patterns for more complex mixture models. We combined structurally

constrained substitution models EX2 (exposed/buried sites) and EX3 (highly

exposed/intermediate/buried sites) (Le et al. 2008) with the predefined equilibrium frequency

C20 to account for site-specific variation in amino acid profiles by 20 categories of equilibrium

frequencies. This resulted in 40 and 60 different rate matrices for EX2 and EX3 respectively.

When simulation was done under profile-exchangeability mixture models EX2+C20+G and

EX3+C20+G, the above patterns became more pronounced (Fig. S4). In contrast to profile

mixture models, when alignments were simulated under exchangeability mixture models

(EX2+G, EX3+G, and LG4M), LG+G and the true simulation model performed similarly in

estimating divergence times (Fig. S5).

Large sequence divergence may arise from not only long divergence time, but also rapid

evolution. To test whether different time estimates are affected by varying evolutionary rates

(Fig. S6), we simulated alignments on phylogenies with a fixed root age of 1.0 Ga but different

mean absolute substitution rates along the phylogeny (0.25-1.0 substitutions/site/Gyr). This

represents evolutionary rates from relatively low, as in most microbes, to very fast, as observed

in some pathogens. We would anticipate comparable sequence divergence whether we fixed the

root age at 1.0 Ga and increased the average substitution rate (from 0.25 to 1.0

substitutions/site/Gyr; Fig. S6), or fixed the substitution rate at 0.25 substitutions/site/Gyr and

increased the root age to 4.0 Ga (Fig. 1). Averaged across all calibration strategies, LG+C60+G

displayed error reductions in substitution rate estimate of 65% (AR) and 61% (IR) at a mean

substitution rate of 1.0 substitutions/site/Gyr.

Performance of profile mixture models in molecular clock dating under varied simulation

conditions

Next, we evaluated the influence of multiple sources of uncertainties in molecular clock dating

on the performance of profile mixture and traditional homogenous models when sequence

alignments are simulated under profile mixture models. These involve sequence length, Gamma-

distributed across-site rate, birth-death process, variance in lineage-specific rates, and number of

sequences (see Note S1 for details).

We first investigated how sequence length (ranging from 100 to 2000 aa) affected the

accuracy of the estimates using different substitution models (Fig. S7). Although the increase in

divergence time estimate accuracy achieved by using LG+C60+G instead of LG+G was minimal

for 100-aa alignments, the improvement became increasingly apparent as the alignment length

extended to 2000 aa. Across all analyzed calibration strategies, the LG+C60+G model

consistently improved the accuracy of time estimation for the AR clock models compared to

LG+G. For a simulated root age of 4.0 Ga, this improvement was substantial, resulting in an

error reduction (see above) of 30%, 28%, 25%, and 12% for alignment lengths of 2000, 1000,

500, and 100 aa, respectively; the benefit was still significant but less pronounced for a younger

root age of 3.0 Ga, with corresponding improvements of 22%, 18%, 15%, and 7%. Both

LG+C60+G and LG+G models achieved higher estimation accuracy with longer sequences (i.e.,

lower values in *y*-axis from 100 to 2000 aa), indicating that longer sequences are more

informative for more accurate molecular clock analyses, consistent with the notion that mixture

models perform much better on longer alignments for phylogenetic reconstruction (Baños,

Susko, et al. 2024). Regarding the substitution rate, mixture models displayed more accurate

estimates across time scales for different sequence lengths (Fig. S8).

We further adjusted the gamma correction parameter (α) to modulate the variance of the

across-site relative rates. Specifically, we set α to 0.5 and 1.5, which scaled the across-site

variance to 2-fold (0.5/0.5²) (scheme *Gamma_0.5* in Fig. 2A) and 2/3-fold (1.5/1.5²) (scheme

Gamma_1.5 in Fig. S9A), respectively, compared to our focal analysis (α=1). These adjustments

did not alter the patterns observed in the original analysis. Similarly, when exploring alternative

birth-death process parameters, a flat birth-death process (simulation scheme: *flat_time_prior*)

produced results comparable to those from the focal analysis (Fig. 2B). Under complete taxon

sampling, the difference in time estimates between the LG+G and LG+C60+G models became

more obvious (scheme *complete_taxon_sampling* in Fig. S9B). Moreover, increasing the

standard deviation of the among-branch sequence absolute substitution rate to twice and three

times its default value reduced the differences in divergence time estimation between using

LG+G and LG+C60+G, despite the unchanged overall pattern (schemes *SD_lograte_0.38,*

SD_lograte_0.55 in Figs. 2C, S9C). In addition, although all simulations above were performed

on 20-tip trees for simplicity, the analysis was extended to 40-, 60-, 80-, and 100-tip trees, with

similar patterns found (Fig. S10).

Profile mixture models display higher robustness to model misspecification

Further, we applied simulations to test the robustness of profile mixture models to

misspecifications in calibrations or substitution models. To explore whether mis-specified

calibrations would affect estimation under different substitution models when the sequences were

simulated under LG+C60+G, we calibrated the nodes by adjusting their ages. These adjustments

consisted of fixed percentage increases (shifting ages toward the past) or decreases (shifting ages

toward the present) (Fig. 3). In general, LG+C60+G achieved higher accuracy when calibrations

were older than the true divergence times (Fig. 3B). For a root age of 4.0 Ga and across all tested

calibration strategies, on average, the relative difference in time estimation compared to true

values was: 0.256 (LG+G) versus 0.195 (LG+C60+G) for the AR model, and 0.278 (LG+G)

versus 0.185 (LG+C60+G) for the IR model. For root age of 3.0 Ga, these values changed to

0.252 (LG) versus 0.202 (LG+C60+G) for AR, and 0.255 (LG) versus 0.192 (LG+C60+G) for

IR (Fig. 3B). Subtle differences between using LG+G and LG+C60+G were observed for root

age $\leq$ 2.0 Ga. However, when calibrations were biased towards younger ages, the LG+G and

LG+C60+G models generally yielded comparable accuracy for divergence time and substitution

rate estimates, irrespective of the root age, with significant differences arising occasionally.

The above analyses focused on alignments simulated under complex substitution models,

particularly LG+C60+G. To determine how these models perform in molecular clock analysis

when sequences evolve under simpler substitution models, we performed additional simulations

using these models and the previously described settings (varying the simulated root ages from

1.0 to 4.0 Ga (Fig. 3C). These analyses indicate that the profile mixture model LG+C60+G

displayed robust time and rate estimation, regardless of the true underlying substitution process.

The robustness of LG+C60+G is evident in the following comparisons. When sequences were

simulated under the basic LG+G model, LG+C60+G provided estimates of divergence times and

absolute substitution rates at least as accurate as those obtained using LG+G. Further, similar

results were observed when simulations were conducted with other models with factors not

explicitly modeled by LG+C60+G, such as invariant sites (+I) and free rate across-site

heterogeneity (+R) (Note S1). These findings suggest that LG+C60+G's robustness was

maintained even when the true evolutionary process involves additional complexities in

substitution models.

Comparison between profile mixture models with PMSF (posterior mean site frequency)

and bootstrapped-Hessian approximations in molecular clock dating

The computational intensity of the LG+C60+G model often necessitates the PMSF

approximation to accelerate phylogenetic reconstruction, especially for large datasets (Wang et

al. 2018). This occurs because PMSF requires the computationally intensive pruning algorithm to

run only once per site pattern using an approximated equilibrium frequency for each site, versus

c times for a mixture model with c classes. A distinct approximation, previously employed in

molecular clock analyses under complex substitution models, uses a bootstrap-based method to

approximate the Hessian matrix and is implemented in the software bs_inBV (Wang and Luo

2025). To assess the impact of these two approximations on molecular clock dating under profile

mixture models, we compared both divergence time and substitution rate estimates obtained

under these approximations by evaluating their relative differences from the true simulation

parameters.. We found that both approximations provided accurate estimates of divergence times,

no matter which root age was used in simulation (Fig. 4A). In terms of the time estimates, across

all simulated root ages, compared to LG+C60+G estimated rates, the average differences for AR

were $3.6 \pm 1.0\%$ (PMSF approximation; *LG+C60+G+PMSF*), $7.1 \pm 0.6\%$ (bootstrapped-

hessian; *LG+C60+G+bs_inBV*), and $7.3 \pm 0.8\%$ (combined use;

LG+C60+G+PMSF+bs_inBV); for IR, the differences were $1.8 \pm 0.4\%$, $5.9 \pm 0.4\%$, and $6.5 \pm$

0.5%, respectively. The deviations in time estimates from these approximations, particularly

PMSF, were close enough to the stochastic variation observed across independent MCMC runs

of the original profile mixture model (*LG+C60+G_run2* in Fig. 4; comparisons in Fig. 4 are

made relative to the initial MCMC run under LG+C60+G). The estimates of evolutionary rates

exhibited slightly greater divergence from the original model with either approximation (Fig.

4B). The mean relative differences under both AR and IR models were around 5-10% for either

approximation, or their combination, compared to the true parameters used in simulation.

We further compared the log-likelihood calculated by phyloHessian using Hessian

computed by the finite-difference method (see Materials and Methods) and approximated via

bootstrap with bs_inBV. Roughly speaking, both methods approximated the true log-likelihood

of the data reasonably well. phyloHessian achieved higher Pearson correlation coefficients with

the exact likelihood than the bootstrap-based method (P-value $= 0.00026$, paired Wilcoxon test;

Fig. S11), on the data tested, while running ~10 times faster (assuming 1000 bootstraps for

bs_inBV). More details are given in Discussion.

Divergence times and substitution rates under mixture models for the ancient pathogens

Microsporidia and Rickettsiales: an empirical study

To evaluate mixture models on empirical data, we selected two ancient symbiont lineages with

distinct evolutionary significance and accelerated evolutionary rates: Microsporidia, highly

reduced, fast-evolving obligate intracellular parasites of animals related to fungi (Capella-

Gutiérrez et al. 2012), and Rickettsiales, an alphaproteobacterial lineage that shares a close

evolutionary relationship with the ancestors of mitochondria (Martijn et al. 2018; Wang and Luo

2021). Their rapid evolution combined with ancient divergence makes them ideal test cases for

our analysis. Note that our primary goal was to assess how complex substitution models

influence the molecular clock analysis itself, leading us to test different settings rather than

selecting a single optimal configuration (e.g., partitions, clock model).

For both datasets, we partitioned the alignments into three subsets (see Materials and

Methods) according to a Gaussian mixture model-based clustering according to the logarithm of

the estimated substitution rates of each gene, and selected the best-fitting substitution models for

each partition under both site-homogeneous and mixture substitution models respectively. In all

cases, profile mixture models were associated with the lowest AIC values, with

LG+Cxx+F+I+R4 (where xx = 40, 50, 60) being the most frequently selected (Data S3-S4).

Microsporidia do not have fossil records. Thus, we applied different calibrations to only the root

node based on previous estimate of the occurrence time of its LCA (last common ancestor) (Note

S2). We found that the time estimates for Microsporidia lineages were largely consistent between

the best-fitting profile mixture and homogenous models (Fig. 5). The LCA of Microsporidia was

estimated to occur at approximately 0.41 Ga (95% HPD: 0.74-0.45 Ga) and 0.63 Ga (95% HPD:

0.73-0.52 Ga) under the AR and IR clock models, respectively (Fig. 5A). In contrast to the

similar divergence time estimates between homogeneous and mixture models, the absolute

substitution rate was at least 1/3 higher under the mixture model (Fig. 5B). All microsporidian

lineages experienced accelerated sequence changes compared to the outgroup lineages. While the

highest substitution rate was predicted for the branch leading to the LCA of Microsporidia under

the IR clock model, the AR clock model instead predicted the highest rate on the branch leading

to *Enterocytozoon*, a major microsporidian pathogen in human and animals. The above pattern

was consistent with alternative calibrations and different numbers of partitions (Fig. S12).

Rickettsiales also lack direct fossil evidence; however, due to their close phylogenetic

relationship with mitochondria, we employed the mitochondrial endosymbiosis dating strategy as

used in our prior study (Wang and Luo 2021). This approach allows estimating Rickettsiales'

evolutionary timeline by incorporating eukaryotic fossils, based on the evolutionary scenario that

mitochondria evolved from a lineage closely related to Alphaproteobacteria (and thus

Rickettsiales). Particularly, under the AR clock model, the time estimates of Rickettsiales

lineages under the mixture substitution model differed by an average of >20% compared to those

from the homogeneous alternatives (Fig. 6A). In general, the ages of most lineages were

estimated to be older under the profile mixture model (Fig. 6A). For example, under the AR

clock model, the LCA of Rickettsiales was estimated to occur at 2.67 Ga (95% HPD: 2.97-2.35

Ga) by the mixture model, whereas the homogeneous substitution model estimated it at 2.26 Ga

(95% HPD: 2.57-1.94 Ga). Similarly, eukaryotes were estimated to originate at 2.29 Ga (95%

HPD: 2.57-1.98 Ga) under the mixture model, compared to 1.74 Ga (95% HPD: 1.98-1.50 Ga)

under the homogeneous model (Fig. 6A). The above pattern holds under alternative calibration

settings (Fig. S13). With a single partition (Fig. S13A, S13B), the difference in time estimates

between different substitution models was less obvious. In all calibration schemes, the

substitution rates under the mixture models were roughly 1.5 to 2 times as fast as those estimated

under homogeneous models

(Fig. 6B).

A recent study (Baños, Susko, et al. 2024) suggests that the empirical frequency estimated

from the alignment (+F) might mislead phylogenetic reconstruction for profile mixture models.

As an additional analysis, we re-performed molecular clock dating with the best-fitting mixture

model without the empirical frequency option. Similar patterns were found (Figs. S14-S15).

Discussion

Approximate likelihood dating with complex models

The present study introduces phyloHessian, enabling Hessian calculation under diverse mixture

and non-mixture amino acid substitution models for molecular clock dating using MCMCtree's

approximate likelihood method (Thorne et al. 1998; dos Reis and Yang 2011). A key question is

whether MCMCtree's approximate likelihood method performs equally well as exact likelihood

under mixture substitution models in terms of divergence time estimation. While previous

research suggests that this holds true for homogeneous models (dos Reis and Yang 2011), a full

comparison is impossible due to the lack of software directly implementing complex substitution

models. Therefore, we compared the phylogenetic likelihoods of bootstrap trees calculated by the

approximate and exact likelihood methods under LG+C60+G using phyloHessian. The

approximate likelihood values obtained from phyloHessian (using finite differences) generally

showed good agreement with those derived from both the exact method and bs_inBV, despite

minor discrepancies (Fig. S11). Further, phyloHessian sometimes outperformed bs_inBV, with

bs_inBV showing markedly lower log-likelihood in occasional cases (Fig. S11). Discrepancies in

time and rate estimates between the Hessian-based method (phyloHessian) and the bootstrap

approximation (bs_inBV) stem from three factors (Fig. 4): the inherent limitation of bs_inBV

due to the finite number of bootstrap replicates; the statistical assumption in bs_inBV that the

gradient is zero when approximating the Hessian via the covariance matrix, an assumption that is

invalid when the MLEs of branch lengths are near zero (dos Reis and Yang 2011); and the

difference in parameter handling, as MCMCtree fixes substitution model parameters (such as the

parameter α for the gamma distribution for relative rates) at their MLEs while bs_inBV re-

estimates all parameters in each bootstrap, resulting in phyloHessian using only the branch

length Hessian versus bs_inBV approximating the full parameter Hessian. A more thorough

examination in time estimates, instead of the phylogenetic likelihood, is necessary to fully

address the question in future.

It was when we were finishing writing this manuscript that we became aware, through

personal communication, of another computational software, IQ2MC (Demotte et al. 2025),

implemented in the newest version of IQ-Tree (v3.0.1). IQ2MC leverages the highly optimized

computation of IQ-Tree's phylogenetic library enabling efficient Hessian matrix estimation under

not only amino acid, but nucleic acid and partitioned models, unlike phyloHessian which is

restricted to amino acid models. Due to these computational advantages, IQ2MC was markedly

faster than CODEML and phyloHessian (Data S5). However, our software, phyloHessian, offers

more flexible settings in molecular dating by alternative Hessian calculation algorithms and

interactions with other software like PhyML and bs_inBV. Its code for phylogenetic likelihood

calculation under complex substitution models would be a valuable resource for phylogenetic

software development within the Julia ecosystem.

Other methods, such as McmcDate (Mahendrarajah et al. 2023), also accelerate molecular

dating analyses by approximating the phylogenetic likelihood. It is in concept similar to bs_inBV

(Wang and Luo 2025), but operates in a Bayesian framework. A key advantage of bs_inBV and

McmcDate over phyloHessian and IQ2MC is their ability to accommodate substitution models

not yet available in specific phylogenetics software, such as FunDi (Gaston et al. 2011) or CAT-

PMSF (Szánthó et al. 2023). However, these approximations via bootstrap or MCMC sampling

may sacrifice computational speed and accuracy to some extent (Fig. 4), as discussed above.

Deep-time molecular clock dating: the influence of complex mixture models

Our extensive simulations demonstrate that the advantage of using the complex mixture

substitution model for divergence time estimation becomes increasingly significant as

evolutionary timescales deepen (Figs. 1-4). Particularly, when the root age was earlier than 2.0

Ga, the difference became obvious. This highlights the importance of substitution models that

better fit the data for dating deep-time phylogenies. On the other side, the substitution rate was

almost always estimated higher and more accurate under mixture models than under

homogeneous models. The underlying logic is straightforward. Mixture models typically

estimate longer branch lengths than homogeneous models (Venditti et al. 2008; Moody et al.

2022; Wang and Luo 2025). Because branch length equals substitution rate multiplied by time

(dos Reis et al. 2016; Guindon 2020), the underestimated branch lengths under simpler

substitution models must be attributable to changes in either the rate, the time, or both. Given

that molecular clock analyses typically constrain the time estimates through fossil-based

calibrations, the increased branch lengths under mixture models primarily result in higher rate

estimates, with a smaller impact on the time estimates themselves.

The case in empirical data analysis is more complex. Unlike simulations with known

parameters, empirical data could arise from processes so complex that even the best-fitting

models are inadequate. This notion is supported by the frequent selection of the most highly

parameterized models as the best fit, implying that the complexity of the real process the

sequence is generated far exceeds the capabilities of our current models. Consequently, they

could make little difference in the time estimates compared to homogeneous models, because

both might be an oversimplification of the true model. In such cases, it would be worth applying

models like UDM (Schrempf et al. 2020) with more profile mixtures than C60, or the GTRpmix

exchangeability matrix and its derived models (Baños, Wong, et al. 2024). While some of these

models have been integrated into phyloHessian, a comprehensive evaluation of their applications

in molecular dating analysis on empirical data remains a task for future research.

While the "mean relative difference" metric used to compare between models takes the

absolute value, it is possible to tell a presumable bias in the time estimates from Figs. S2-S3: the

posterior ages estimated by the homogeneous model seemed to overestimate the divergence

times in scenarios mimicking deep-dating evolution in simulation. As such, it would be very

important to have appropriate maximum time bounds on internal nodes (Wang and Luo 2021;

Moody et al. 2022). If indeed in current molecular clock models there is a systematic bias in

overestimating the divergence times, it might also explain the observation that mixture models

displayed greater improvements in time estimation for alignment simulated under mixture

models in the absence of maximum time bounds (*single_min*, *two_min*) compared to the case

where internal nodes are fully calibrated (*single_interval*, *two_intervals*; Fig. 1A). In contrast to

simulations, the Rickettsiales data reveals a contrasting pattern where mixture models led to

older age estimates (Fig. 6). We suspect that one important factor lies in the calibration settings.

In simulations, we have complete control over the calibration settings. Real-world data

introduces complexities absent in simulation because the available calibrations are not uniform in

their quality. While some might accurately reflect the true age of the node, others could be overly

young, overly old, or simply uninformative. This complex interplay between substitution models

and the quality and number of time calibrations, along with other variables such as the clock

model and sequence length, likely makes the impact of mixture substitution models on posterior

age estimates case dependent.

In summary, while the present study indicates significant impacts of complex profile

mixture substitution models on divergence time inferences for deep-time or fast-evolving

lineages, the importance of these models on time estimates cannot be overstated; their effect

likely requires consideration on a dataset-specific basis. Therefore, we recommend rigorous

molecular clock analyses employing different substitution models to assess their impact on

divergence time and substitution rate estimates in future research. Note also that while our

simulations used an LG+C60+G model, the LG exchangeabilities themselves were not estimated

in the presence of profile mixtures (Le and Gascuel 2008). Ideally, for practical applications, it

would be more appropriate to use models that directly estimate exchangeabilities under a

corresponding profile mixture model for such cases (Baños, Wong, et al. 2024).

Implications for the evolution of ancient symbionts

For empirical datasets of Microsporidia and Rickettsiales, profile mixture models, which display

much higher model fit to data than their homogeneous counterparts, produced significantly

higher substitution rates than estimated by homogeneous models (Figs. 5B, 6B). The difference

suggests that bacterial pathogens/symbionts could experience relatively high evolutionary rates

up to 1.0 or 2.0 Gyr. This hints that factors like elevated mutation rates, selection, or persistently

reduced effective population sizes, which are often responsible for rapid sequence divergence,

can have more profound and long-lasting effects on the evolutionary trajectory of symbiotic

microorganisms than previously thought. Studying the substitution rates will also improve our

understanding of microbial lifestyle evolution.

One of the merits of the mitochondria symbiosis-based dating method for Rickettsiales

evolution is that it co-estimates the divergence times for both Rickettsiales and their eukaryotic

hosts with a unified dataset (Wang and Luo 2021). Notably, under profile mixture models, the

origin time of Rickettsiales was estimated at 2.5-2.0 Ga, roughly 0.5 Gyr earlier than that of the

last eukaryotic common ancestor (LECA) using homogeneous models estimated in both the

current (Fig. 6) and previous studies (Parfrey et al. 2011; Betts et al. 2018; Wang and Luo 2021;

Moody et al. 2024). Hence, if the revised time estimate is accurate, it implies that the

Rickettsiales' LCA existed before LECA emerged. This interpretation is consistent with recent

comparative genomics findings suggesting that early Rickettsiales initially adopted a free-living

or non-obligate symbiotic lifestyle (Castelli et al. 2024), with subsequent evolutionary events

leading to independent colonizations of eukaryotic hosts—first protists, then animals (Castelli et

al. 2016; Wang and Luo 2021). Alternatively, it is possible that early Rickettsiales were

associated with unknown host organisms belonging to eukaryotic stem groups (i.e., extinct or

unknown lineages that diverged before LECA but after the split with the closest living relatives

of eukaryotes). This hypothesis points to previously unrecognized interactions between stem-

group eukaryotes and prokaryotes, and hints at complex prokaryotic engagement as a

foundational trait of eukaryotic ancestors, offering insights into the origin of eukaryotes.

Materials and Methods

Mixture substitution model

In a mixture model, each category consists of a rate matrix M, an equilibrium frequency vector

F, and a relative substitution rate R. Additionally, the computation of the likelihood necessitates

the inclusion of branch lengths θ, and the tree topology τ. Let the full parameter vector be

denoted by $\Theta = (R, F, V, \theta, \tau)$. Denote by $\mathcal{R}$, $\mathcal{F}$, and $\mathcal{V}$ the number of categories of

exchangeability matrices, equilibrium frequencies, and relative substitution rates, respectively.

Denote by $\mathcal{S}$ the number of alignment sites. Hence, the full likelihood of the phylogeny is given

as

491
$$P(D|\Theta) = \prod_{s=1}^{S}\sum_{r=1}^{R}\sum_{f=1}^{F}\sum_{v=1}^{V} P(D_s|R_r, F_f, V_v, \theta, \tau)P(R_r, F_f, V_v),\qquad(1)$$

where $P(R_r, F_f, V_v)$ indicate the mixture weights (Guindon 2019). Model constraints are

enforced by restricting the set of allowed combinations (r, f, v), with the mixture weights

normalized over this restricted set. Under independence, this gives $P(R_r, F_f, V_v) =$

$P(R_r)P(F_f)P(V_v)$, whereas for linked models such as LG4M or LG4X, combinations with $f \neq v$

are excluded.

Numerical calculation of the Hessian matrix

Two primary numerical methods are commonly employed for computing the Hessian matrix.

Let $\hat{\theta} = (\hat{\theta}_1, \dots, \hat{\theta}_n)$ indicate the vector of the MLES of branch lengths, and $\ell(\hat{\theta})$ be the log-

likelihood of the phylogeny. The outer product of scores (OPS) method (Seo et al. 2004; dos Reis

and Yang 2011) estimates the Hessian matrix indirectly using the first derivatives (scores) of the

log-likelihood to sum the contributions of the log-likelihood at each site:

504
$$H(\hat{\theta}) \approx -\sum_{s=1}^{S}\left(\frac{\partial}{\partial\theta}\ell_s(\hat{\theta})\frac{\partial}{\partial\theta^T}\ell_s(\hat{\theta})\right).\qquad(2)$$

505 Each component of the score vector $\frac{\partial}{\partial\theta}\ell_s(\hat{\theta})$ is numerically calculated by the central finite

506 difference method as

507
$$\frac{\partial\ell_s}{\partial\theta_i} \approx \frac{\ell_s(\hat{\theta}+he_i)-\ell_s(\hat{\theta}-he_i)}{2h} + \mathcal{O}(h^2),$$

508 where e_i is the i-th standard basis vector, and h is a small step size, typically the cube or square

509 root of machine precision.

510   The second way is by a direct second-order derivative method. It calculates $H(\hat{\theta})$ via finite

511   differences of the log-likelihood function by

$$H(\hat{\theta}) = \sum_{s=1}^{S} \frac{\partial^2}{\partial\theta\partial\theta^T} \ell_s(\hat{\theta}), \tag{3}$$

513   where diagonal elements and off-diagonal elements are respectively calculated by the central

514   finite difference method as

$$H_{ii}(\hat{\theta}) \approx \frac{\ell(\hat{\theta} + he_i) - 2\ell(\hat{\theta}) + \ell(\hat{\theta} - he_i)}{h^2},$$

$$H_{ij}(\hat{\theta}) \approx \frac{\ell(\hat{\theta} + he_i + he_j) - \ell(\hat{\theta} + he_i - he_j) - \ell(\hat{\theta} - he_i + he_j) + \ell(\hat{\theta} - he_i - he_j)}{4h^2}.$$

517   Under regularity conditions, $H(\hat{\theta})/S$ converges to the expected Fisher information for a single

518   site $I(\theta) = -\mathrm{E}\left[\frac{\partial^2}{\partial\theta\,\partial\theta^T}\ell_s(\theta)\right]$ regardless of whether it is calculated using Eq. (2) or (3) (Pawitan

519   2001):

$$\frac{1}{S}\sum_{s=1}^{S} \frac{\partial^2}{\partial\theta\partial\theta^T} \ell_s(\hat{\theta}) \simeq -\frac{1}{S}\sum_{s=1}^{S}\left(\frac{\partial}{\partial\theta}\ell_s(\hat{\theta})\frac{\partial}{\partial\theta^T}\ell_s(\hat{\theta})\right). \tag{4}$$

521   In both methods described as above, the error term is of order $\mathcal{O}(h^2)$. To further improve

522   the numerical accuracy of the second-order derivative method, particularly when dealing with

523   very short branches, we derive the central finite difference calculation of the Hessian at $\mathcal{O}(h^4)$.

524   For the OPS method, this is simply

$$\frac{\partial\ell}{\partial\theta_i} \approx \frac{-\ell(\hat{\theta} + 2he_i) + 8\ell(\hat{\theta} + he_i) - 8\ell(\hat{\theta} - he_i) + \ell(\hat{\theta} - 2he_i)}{12h} + \mathcal{O}(h^4) \tag{5}$$

526   followed by summing the contributions of the log-likelihood at each site according to Eq. (2).

527   Regarding the direct second-order derivative method, the result is more complex and is detailed

528   in Note S3.3.

529   In phyloHessian, the default algorithm is the OPS method, which is employed by

530  CODEML in PAML v4.3+. Alternatively, users can specify the second-order derivative method,

531  as used in PAML 4.1 and earlier versions. Users can also specify the order of the error term,

532  allowing balancing computational accuracy with speed based on their needs. If not otherwise

533  indicated, the computation of all Hessian matrices in the present study was performed using the

534  OPS algorithm.

536  **Simulation procedure**

537  We evaluated the performance of different substitution models using simulated data that mimics

538  deep-time evolution (Fig. S16). In our focal simulation scheme, for each substitution model used

539  in sequence evolution simulation (details provided below), we generated 30 timetrees each with

540  20 tips, using the R package TreeSim (Stadler 2011). Following our previous work (Wang and

541  Luo 2025), timetrees were created under a birth-death model with birth and death rates of 0.4 and

542  0.2 lineages per 0.1 Gyr as estimated in a prior study of prokaryotic lineage diversification

543  (Scholl and Wiens 2016), and with a taxon sampling proportion of 10% (see Note S1 for

544  alternative settings). Simulated trees were generated with true root ages of 1.0, 2.0, 3.0, and 4.0

545  Ga to represent a range of evolutionary timescales approaching the age of Earth (~4.5 Ga).

546   For each timetree, branch-specific substitution rates were sampled under both the

547  independent rates (IR) and autocorrelated rates (AR) model. For the IR mode, following our

548  previous study (Wang and Luo 2021), the rate follows lognormal distribution with parameters

549  $\mu_{IR} = -3.7$ and $\sigma_{IR} = 0.2$, such that the mean of rate is $e^{\mu_{IR}+\frac{\sigma_{IR}^2}{2}} \approx 0.025$ substitutions/site/0.1

550  Gyr, with a standard deviation of $\sqrt{\left(e^{\sigma_{IR}^2}-1\right)e^{\left(2\mu_{IR}+\sigma_{IR}^2\right)}} \approx 0.005$ substitutions/site/0.1 Gyr. This

551  roughly corresponds to empirical observations that tip-to-root distances in the bacterial tree of

life, constructed with universally conserved orthologs, are roughly 1.0 amino acid

substitutions/site (Moody et al. 2022; Wang and Luo 2025), assuming an origin of early life at

around 4.0 Ga (Battistuzzi and Hedges 2009; Marin et al. 2017; Betts et al. 2018; Moody et al.

2024). The methodology used to determine the simulation parameters for the AR model is

described in detail in the next section.

Alignments of 300 amino acids (aa) were simulated using AliSim (Ly-Trong et al. 2022)

according to the timetree generated by simulation, under various substitution models. In the focal

analysis, we employed the LG+C60+G4{1.0} model. This indicates LG substitution model plus

C60 profile mixture models (60 empirically derived amino acid site frequency profiles) (Quang

et al. 2008) and across-site rate variation under a discrete gamma distribution with four relative

rate categories parameterized by $\alpha = 1.0$, hence Gamma(1,1), meaning that the mean and

variance of the across-site relative rates are $\frac{1}{1} = 1$ and $\frac{1}{1^2} = 1$ respectively (Yang 1994). Further,

we varied several simulation parameters, including sequence length, number of taxa, calibration

strategies, birth-death process parameters, across-site rate heterogeneity, molecular clock

settings, and model misspecifications. These are detailed in Note S1.

Determining the parameters for simulation under the AR clock rate model

Denote the absolute rate of sequence evolution (measured in substitutions per site per time unit)

under IR and AR respectively by the vectors $X_{\text{IR}} = \left(X_{1,\text{IR}}, \ldots, X_{n,\text{IR}}\right)$ and $X_{\text{AR}} = \left(X_{1,\text{AR}}, \ldots, X_{n,\text{AR}}\right)$

where n represents the number of branches. Their log-transformed values are given by

$Y_{\text{IR}} = (Y_{1,\text{IR}}, \ldots, Y_{n,\text{IR}})$ and $Y_{\text{AR}} = (Y_{1,\text{AR}}, \ldots, Y_{n,\text{AR}})$. To make simulations under IR and AR models

more comparable, we require that the expectations of the sample mean and sample variance of

the log-transformed rate under the two models to be equal, respectively, given the same

phylogeny. Mathematically, this requires that

$$\mathrm{E}(\bar{Y}_{\mathrm{AR}}) = \mathrm{E}(\bar{Y}_{\mathrm{IR}}), \quad \mathrm{E}(s_{\mathrm{AR}}^2) = \mathrm{E}(s_{\mathrm{IR}}^2) \tag{6}$$

577   where the sample variances of the log-transformed rates are denoted by s_{AR}^2 and s_{IR}^2.

578   For the IR model, it is assumed that the rate for each branch, denoted $X_{\mathrm{IR}} =$

579     $[X_{1,\mathrm{IR}}, \dots, X_{n,\mathrm{IR}}]$, is independently and identically (*i.i.d.*) distributed according to a lognormal

580   distribution with parameters μ_{IR} and σ_{IR}^2, such that the rate for each branch can be expressed as

581     $X_{i,\mathrm{IR}} \sim \mathrm{Lognormal}(\mu_{\mathrm{IR}}, \sigma_{\mathrm{IR}}^2)$. In other words, we have

$$\mathrm{E}(\bar{Y}_{\mathrm{IR}}) = \mu_{\mathrm{IR}}, \quad \mathrm{E}(s_{\mathrm{IR}}^2) = \sigma_{\mathrm{IR}}^2. \tag{7}$$

The AR model in molecular clock dating, also known as the geometric Brownian (GBM)

model, assumes that the lineage-specific rate follows a geometric Brownian motion with a drift

parameter of zero. It is thus parameterized by two parameters, μ_{AR}, the log-transformed rate at

the root, and σ_{AR}^2, which determines the volatility of the rate changes over time. Accordingly, it

can be shown that the log-transformed rate under AR model after time t follows

$\mathcal{N}\left(\mu_{\mathrm{AR}} - \frac{1}{2}t\sigma_{\mathrm{AR}}^2, t\sigma_{\mathrm{AR}}^2\right)$ (Rannala and Yang 2007; Panchaksaram et al. 2025). Let $C = \left(c_{ij}\right)_{n \times n}$

denote the branch-wise covariance matrix where n is the number of branches in a rooted tree

(note that this is different from what is so-called phylogenetic covariance matrix which is related

to only the tips [Fig. S17]). Further, the log-transformed rates Y_{AR} follow the following

multivariate distribution

$$Y_{\mathrm{AR}} \sim \mathcal{N}\left(\left(\mu_{\mathrm{AR}} - \frac{1}{2}\sigma_{\mathrm{AR}}^2 c_{11}, \dots, \mu_{\mathrm{AR}} - \frac{1}{2}\sigma_{\mathrm{AR}}^2 c_{nn}\right), \sigma_{\mathrm{AR}}^2 C\right).$$

594   Thus, it is easy to see that

$$E(Y_{i,AR}) = \mu_{AR} - \frac{1}{2}\sigma_{AR}^2 c_{ii}. \tag{8}$$

The expectation of the sample variance s_{AR}^2 of log-transformed rate Y_{AR} across all n

branches is calculated as

$$E(s_{AR}^2) = \frac{1}{n-1}E\left\{\sum_i \left(Y_{i,AR} - \frac{1}{n}\sum_j Y_{j,AR}\right)^2\right\}. \tag{9}$$

Expanding the right-hand side, we obtain

$$E\left[\sum_{i=1}^n \left(Y_{i,AR} - \frac{1}{n}\sum_{j=1}^n Y_{j,AR}\right)^2\right]$$

$$= \sum_{i=1}^n E(Y_{i,AR}^2) - \frac{1}{n}\sum_{i=1}^n \left(\sum_{j=1}^n 2E(Y_{i,AR}Y_{j,AR}) - \frac{1}{n}\sum_{j=1}^n\sum_{k=1}^n E(Y_{j,AR}Y_{k,AR})\right)$$

$$= \sum_{i=1}^n E(Y_{i,AR}^2) - \frac{1}{n}\sum_{i=1}^n\sum_{j=1}^n E(Y_{i,AR}Y_{j,AR}). \tag{10}$$

Further, note the following relationship

$$E(Y_{i,AR}^2) = \text{Var}(Y_{i,AR}) + [E(Y_{i,AR})]^2 = c_{ii}\sigma_{AR}^2 + \left(\mu_{AR} - \frac{1}{2}\sigma_{AR}^2 c_{ii}\right)^2,$$

$$E(Y_{i,AR}Y_{j,AR}) = \text{Cov}(Y_{i,AR}, Y_{j,AR}) + E(Y_{i,AR})E(Y_{j,AR})$$

$$= \sigma_{AR}^2 c_{ij} + \left(\mu_{AR} - \frac{1}{2}\sigma_{AR}^2 c_{ii}\right)\left(\mu_{AR} - \frac{1}{2}\sigma_{AR}^2 c_{jj}\right).$$

Introducing the above into Eq. (10), we have

$$\sum_{i=1}^n E(Y_{i,AR}^2) - \frac{1}{n}\sum_{i=1}^n\sum_{j=1}^n E(Y_{i,AR}Y_{j,AR})$$

$$= \sum_i \left[c_{ii}\sigma_{AR}^2 + \left(\mu_{AR} - \frac{1}{2}c_{ii}\sigma_{AR}^2\right)^2\right] - \frac{1}{n}\sum_{i,j}\left[c_{ij}\sigma_{AR}^2 + \left(\mu_{AR} - \frac{1}{2}c_{ii}\sigma_{AR}^2\right)\left(\mu_{AR} - \frac{1}{2}c_{jj}\sigma_{AR}^2\right)\right]$$

610
$$= \sigma_{\mathrm{AR}}^2 \underbrace{\left[\sum_i c_{ii} - \frac{1}{n} \sum_{i,j} c_{ij} \right]}_{\text{Term 1}}$$

611
$$+ \underbrace{\left[\sum_i \left(\mu_{\mathrm{AR}} - \frac{1}{2} c_{ii} \sigma_{\mathrm{AR}}^2 \right)^2 - \frac{1}{n} \sum_{i,j} \left(\mu_{\mathrm{AR}} - \frac{1}{2} c_{ii} \sigma_{\mathrm{AR}}^2 \right) \left(\mu_{\mathrm{AR}} - \frac{1}{2} c_{jj} \sigma_{\mathrm{AR}}^2 \right) \right]}_{\text{Term 2}}.$$

612 Substituting $\sum_i c_{ii} = \mathrm{tr}(C)$ and $\sum_{i,j} c_{ij} = \frac{1}{n} \mathbf{1}' C \mathbf{1}$ into Term 1, we can rewrite it as

613
$$\sigma_{\mathrm{AR}}^2 \left[\sum_i c_{ii} - \frac{1}{n} \sum_{i,j} c_{ij} \right] = \sigma_{\mathrm{AR}}^2 \left[\mathrm{tr}(\mathbf{C}) - \frac{1}{n} \mathbf{1}' C \mathbf{1} \right].$$

614 For Term 2, noting that terms involving μ_{AR} cancels out, we have

615
$$\sum_i \left(\mu_{\mathrm{AR}} - \frac{1}{2} c_{ii} \sigma_{\mathrm{AR}}^2 \right)^2 - \frac{1}{n} \sum_{i,j} \left(\mu_{\mathrm{AR}} - \frac{1}{2} c_{ii} \sigma_{\mathrm{AR}}^2 \right) \left(\mu_{\mathrm{AR}} - \frac{1}{2} c_{jj} \sigma_{\mathrm{AR}}^2 \right)$$

616
$$= \left(n \mu_{\mathrm{AR}}^2 - \mu_{\mathrm{AR}} \sigma_{\mathrm{AR}}^2 \mathrm{tr}(C) + \frac{1}{4} \sum_i c_{ii}^2 \sigma_{\mathrm{AR}}^4 \right) + \left(-n \mu_{\mathrm{AR}}^2 + \mu_{\mathrm{AR}} \sigma_{\mathrm{AR}}^2 \mathrm{tr}(C) - \frac{1}{4n} \sum_{i,j} c_{ii} c_{jj} \sigma_{\mathrm{AR}}^4 \right)$$

617
$$= \frac{\sigma_{\mathrm{AR}}^4}{4} \left[\sum_i c_{ii}^2 - \frac{1}{n} (\mathrm{tr}(C))^2 \right].$$

618 Hence,

619
$$\mathrm{E}(s_{\mathrm{AR}}^2) = \frac{\sigma_{\mathrm{AR}}^2}{n-1} \left[\left(\mathrm{tr}(C) - \frac{1}{n} \mathbf{1}' C \mathbf{1} \right) + \frac{\sigma_{\mathrm{AR}}^2}{4} \left(\sum_i c_{ii}^2 - \frac{1}{n} (\mathrm{tr}(C))^2 \right) \right].$$

620 Because $\mathrm{E}(s_{\mathrm{IR}}^2) = \sigma_{\mathrm{IR}}^2$, equating $\mathrm{E}(s_{\mathrm{IR}}^2)$ with $\mathrm{E}(s_{\mathrm{AR}}^2)$ and rearranging terms gives the quadratic

621 equation for σ_{AR}^2

622
$$\frac{1}{4} \left[\sum_i c_{ii}^2 - \frac{1}{n} (\mathrm{tr}(C))^2 \right] \sigma_{\mathrm{AR}}^4 + \left[\mathrm{tr}(C) - \frac{1}{n} \mathbf{1}' C \mathbf{1} \right] \sigma_{\mathrm{AR}}^2 - (n-1) \sigma_{\mathrm{IR}}^2 = 0. \qquad (11)$$

623 Discarding the negative root, along with solving Eq. (8), we ultimately get

624
$$\hat{\sigma}_{\mathrm{AR}}^2 = \frac{2(n-1)\sigma_{\mathrm{IR}}^2}{\sqrt{a^2 + 4b(n-1)\sigma_{\mathrm{IR}}^2} + a}, \quad \hat{\mu}_{\mathrm{AR}} = \mu_{\mathrm{IR}} + \frac{\hat{\sigma}_{\mathrm{AR}}^2 \mathrm{tr}(C)}{2n} \qquad (12)$$

where $a = \text{tr}(C) - \frac{1}{n}\mathbf{1}'C\mathbf{1}$, $b = \frac{1}{4}\left[\sum_i c_{ii}^2 - \frac{1}{n}\left(\text{tr}(C)\right)^2\right]$, and $\hat{\sigma}_{AR}^2$ and $\hat{\mu}_{AR}$ are the values of the

parameters for the AR model that satisfy Eq. (6) given the parameters for the IR model (σ_{IR}^2 and

μ_{IR}).

Calibrations used in molecular dating analysis on simulated datasets

Molecular dating analysis were conducted using MCMCtree v4.10.7 (see also Note S3) on

phylogenies simulated with procedures described above under varying calibration strategies: a

root-only calibration, or root calibration plus one internal calibration, chosen as the node at the

median age of all nodes in the true timetree, or two internal calibrations which targeted the 1/3

and 2/3 age quantiles. Time priors for calibrated nodes were set to be uniform within the interval

[true_age - (true_age/5), true_age + (true_age/5)], with soft bounds (MCMCtree's default: 2.5%

probability of age outside bounds). Other information about MCMCtree analysis can be found in

Note S3. The five calibration schemes mainly used in the present study are *root_only*: a single

bounded calibration (both upper and lower bounds); *single_min*: bounded calibration at the root

and one minimum-bounded internal node; *single_interval*: bounded calibration at both the root

and an internal node; *two_min*: bounded calibration at the root and two minimum-bounded

internal nodes; *two_intervals*: bounded calibration at both the root and two internal nodes.

Comparing the performance of different substitution models on simulated datasets

We evaluated the performance of various substitution models mainly using the relative difference

(reldiff) that compared the posterior mean divergence times and substitution rates estimated by

MCMCtree (using each model) to the true values used in simulations. Relative difference:

calculated as $mean\left(\frac{|b_i^m - b_i^{true}|}{\max(b_i^m, b_i^{true})}\right)$, where b_i^m and b_i^{true} denote the posterior mean divergence

times or branch-specific substitution rates inferred by MCMCtree, and those used in simulation

(true values), respectively.

Empirical data analysis

Genomes of Microsporidia and Rickettsiales were downloaded from MicrosporadiaDB

(Aurrecoechea et al. 2011) and GenBank (last accessed July 2024), respectively. Sequences of

five additional Proteobacteria as the outgroup were retrieved from our prior study (Wang and

Luo 2025). TreeCluster v1.0.4 (Balaban et al. 2019) was used to select representative taxa with a

cutoff of phylogenetic depth (which takes phylogenetic distance and topology into account to

cluster taxa into subclades) of 0.5 and 2.5 respectively for Microsporidia (yielding 27 members)

and Rickettsiales (22 members). Genes used to reconstruct phylogeny and molecular dating for

Microsporidia were based on 27 orthologs identified by OrthoFinder v3.0.1 (Emms and Kelly

2019) present in all members allowing ≤2 copies per genome, while for Rickettsiales we adopted

the mitochondrial endosymbiosis-based strategy (Wang and Luo 2021) by using 24

mitochondria-encoded genes conserved across Alphaproteobacteria (Wang and Wu 2015) (see

Data availability). For both datasets, we partitioned the alignments into one, two, or three subsets

by fitting the logarithm of the average substitution rates estimated by CODEML into a Gaussian

mixture model. We selected the best-fitting substitution models for each partition under both site-

homogeneous and site-heterogeneous models according to AIC (Note S3). Calibration

information along with alternatives is available in Data S6.

Data availability

phyloHessian is available at https://github.com/evolbeginner/phyloHessianWrapper. Other codes

and the scripts (Goto et al. 2010) generating them, are made available at

https://figshare.com/s/96bc117f18051f9642ce.

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 Figure legends

Figure 1. Comparison of the accuracy of divergence time and branch-specific substitution rate

estimation under substitution models LG+G and LG+C60+G by simulation. (A, B) Each plot

contains 30 dots representing the mean relative difference (compared to the true values used in

simulation) based on MCMCtree molecular clock dating with the corresponding calibration

strategy on 30 simulated datasets. For each simulation, 30 timetrees each containing 20 tips are

simulated using a birth-death process with a mean substitution rate 0.25 substitutions/site/Gyr

across four different root ages ranging from 1.0 to 4.0 Ga. Sequence alignments are generated

with LG+C60+G4{1.0} (+G4{1.0} indicates $\alpha = 1$ in a four-category discrete Gamma-

distributed across-site relative rates) under AR (left-hand side) and IR (right-hand side) clock

models respectively. *P*-values are obtained from a paired Wilcoxon signed-rank test. The box

plots (median, interquartile range, 1.5×IQR whiskers) illustrate the relative differences in time

(A) and rate (B) estimates compared to true values. (C) A schematic figure showing the five

calibration schemes used for molecular clock analyses on simulated data. *root_only*: a single

bounded calibration (both upper and lower bounds); *single_min*: bounded calibration at the root

and one minimum-bounded internal node; *single_interval*: bounded calibration at both the root

and an internal node; *two_min*: bounded calibration at the root and two minimum-bounded

internal nodes; *two_intervals*: bounded calibration at both the root and two internal nodes.

Figure 2. Investigating the robustness of divergence time estimation to alternative settings in

simulation. (A) Sequence simulated under substitution model LG+C60+G4{0.5} (*Gamma_0.5*;

the parameter alpha in the discrete Gamma distribution equal to 0.5), thus more rate

heterogeneity across sites than the focal analysis (LG+C60+G4{1.0}). (B) Timetree simulated

under a flat time prior (*flat_time_prior*). (C) Timetree simulated under a molecular clock with

larger across-branch rate variance (*var_lograte_0.38*). The plots on the left and right correspond

to analyses using the AR and IR clock models, respectively. More detailed information about the

above alternative simulation schemes can be found in Note S1. Calibration strategies and other

settings are the same as those used in Fig. 1.

Figure 3. Evaluation of the impacts of calibration and model misspecification on molecular

clock dating. Accuracy of divergence time estimation (relative difference) is shown as the *y*-axis.

(A, B) The lower and upper time bounds of all calibrations are shifted forward (A) and backward

(B) in time by 20% (f0.2, b0.2) or 50% (f0.5, b0.5) of their true values, respectively. Three

calibration schemes are considered: (i) a root-only calibration (*root_only*), (ii) the root plus one

fully calibrated internal node (*single_interval*), and (iii) the root plus two fully calibrated internal

nodes (*two_intervals*), each with time ranges of the true value ±20% or ±50%. (C) Assessment of

the accuracy of divergence time estimation under alternative substitution models used in

simulation (LG+C60+G used in most other analyses): LG+G, LG+G+I, LG+R, LG+C20+G,

LG+C60+G+I. Boxplots compare the accuracy obtained by the true model (purple) and by

LG+C60+G (blue). Each plot contains 30 dots representing the mean relative difference based on

MCMCtree molecular clock dating with the corresponding calibration strategy on 30 simulated

datasets. For each simulation, 30 timetrees each containing 20 tips are simulated using a birth-

death process with a mean substitution rate 0.25 substitutions/site/Gyr across four different root

ages ranging from 1.0 to 4.0 Ga. The plots on the left and right correspond to analyses using the

AR and IR clock models, respectively. Calibration strategies are the same as those used in Fig. 1.

Figure 4. Evaluation of the approximation of PMSF and bootstrapped Hessian on molecular

clock analysis by simulation. Phylogenies are simulated under the same strategy as the focal

dataset. The sequence alignment is simulated under LG+C60+G{1.0}. The bootstrapped Hessian

matrix is calculated using the software bs_inBV. The *y*-axis indicates the relative accuracy of the

posterior mean of the divergence times (A) or substitution rates (B) compared to that obtained by

the first MCMC run under LG+C60+G (note that this is different from other figures where the

baseline in comparison is the true simulated times and rates). Each plot contains 30 dots

representing the mean relative difference based on MCMCtree molecular clock dating with the

corresponding calibration strategy on 30 simulated datasets. For each simulation, 30 timetrees

each containing 20 tips are simulated using a birth-death process with a mean substitution rate

0.25 substitutions/site/Gyr across four different root ages ranging from 1.0 to 4.0 Ga.

LG+C60+G_run2: the second independent MCMC run of MCMCtree under LG+C60+G.

LG+C60+G+PMSF: PMSF approximation with LG+C60+G. *LG+C60+G+bs_inBV*:

bootstrapped Hessian under LG+C60+G. *LG+C60+G+PMSF+bs_inBV:* bootstrapped Hessian

under LG+C60+G+PMSF. Calibration strategies are the same as those used in Fig. 1.

Figure 5. Evolutionary timeline and substitution rates estimated by MCMCtree under different

substitution models for Microsporidia. A uniform calibration prior between 0.5 and 0.9 Ga was

applied to the root (see Note S2), with soft bounds extending 2.5% beyond each time bound.

Branch colors indicate the logarithm of the posterior mean substitution rate (estimated by

MCMCtree), ranging from blue (low) to red (high). (B) Posterior divergence times and branch-

specific substitution rates estimated by the mixture substitution model (*y*-axis) versus those

estimated by the homogeneous substitution model (*x*-axis) under the AR or IR clock models. The

bars indicate the 95% HPD.

Figure 6. Evolutionary timeline and substitution rates estimated by MCMCtree under different

substitution models for Rickettsiales. This is based the mitochondrial endosymbiosis dating

strategy (Wang and Luo 2021), using eukaryotic fossils to calibrate Rickettsiales evolution,

based on the evolutionary scenario that mitochondria originated from a lineage closely related to

α-Proteobacteria. (A) The estimated evolutionary timeline of Rickettsiales under different

substitution (the best-fitting homogeneous or mixture) and clock (IR or AR) models. All

calibrated nodes are denoted by a circle. In addition to the calibration at the root, all four

calibrations are placed within eukaryotes in the clade of mitochondria (see Note S2). Branch

colors indicate the logarithm of the posterior mean substitution rate estimated by MCMCtree,

ranging from blue (low) to red (high). (B) Posterior divergence times and branch-specific

substitution rates estimated by the mixture substitution model (*y*-axis) versus those estimated by

the homogeneous substitution model (*x*-axis) under the AR or IR clock models. The bars indicate

the 95% HPD.

Table 1. Reduction of the relative differences in substitution rate estimates, calculated as

as $\frac{\text{reldiff}_{LG+G} - \text{reldiff}_{LG+C60+G}}{\text{reldiff}_{LG+G}}$, under LG+G versus LG+C60+G under different root ages and

calibration strategies.

Calibration strategy	AR (root age)				IR (root age)			
	1.0 Ga	2.0 Ga	3.0 Ga	4.0 Ga	1.0 Ga	2.0 Ga	3.0 Ga	4.0 Ga
root_only	26%	35%	47%	51%	23%	44%	52%	55%
single_min	27%	38%	53%	56%	24%	46%	52%	59%
single_interval	23%	41%	51%	55%	24%	44%	49%	55%
two_min	28%	42%	50%	55%	24%	45%	52%	57%
two_intervals	28%	42%	50%	48%	21%	42%	50%	51%
Average	26%	40%	50%	53%	23%	44%	51%	55%

A

AR homogeneous

Rickettsiales

Mito

Rickettsiales

Rickettsiales

Mito

Outgroup

AR mixture

IR homogeneous

IR mixture

30   20   10   0 (100 Myr)

log(rate)

-4.5 -4.0 -3.5 -3.0 -2.5 -2.0 -1.5 -1.0

B

posterior time

AR mixture

AR homogeneous

posterior rate

AR mixture

AR homogeneous

posterior time

IR mixture

IR homogeneous

posterior rate

IR mixture

IR homogeneous