Corticothalamic Layer 6 Controls Cortical Activity and Thalamic Firing Mode in a Bidirectional Manner

Ross Folkard*, Emilio Ulises Isaías-Camacho, and Alexander Groh*

Medical Biophysics, Institute for Physiology and Pathophysiology, Heidelberg University, Germany

*Corresponding authors: Ross Folkard (rossfolkard@gmail.com), Alexander Groh (alexander.groh@gmail.com).

Abstract

Corticothalamic layer 6 (L6CT) modulates information flow between cortical and thalamic circuits. Previous research reported contrasting inhibitory or excitatory effects of L6CT on cortical dynamics, potentially reflecting technological discrepancies or physiological differences in L6CT function. To resolve these discrepancies, we combined translaminar, multi-channel *in vivo* electrophysiology in mouse primary somatosensory cortex (S1) with optogenetic L6CT activation across a range of stimulation regimes to manipulate L6CT firing rate and frequency. Increasing L6CT firing rates exerted a transition from inhibition to excitation across cortical layers. Furthermore, L6CT activity imparted population synchrony onto distinct cortical subpopulations, independent of changes in overall L6CT activity. In the thalamus, L6CT modulated thalamic burst in a bidirectional manner, dependent on L6CT stimulation frequency. These results demonstrate that S1 L6CT can bidirectionally modulate both cortical firing and thalamic firing mode, elucidating a more nuanced function of S1 L6CT in thalamic and cortical signalling than previously recognised.

Introduction

Corticothalamic layer 6 (L6CT) neurons play a key role in regulating activity in both the cortex and thalamus, exerting monosynaptic excitation and disynaptic inhibition onto their targets (Bortone et al., 2014; Frandolig et al., 2019; Jurgens et al., 2012; Kim et al., 2014; Lam and Sherman, 2010; Mease et al., 2014; Olsen et al., 2012; Whilden et al., 2021). L6CT is known to bidirectionally modulate thalamic excitability depending on stimulation frequency (Crandall et al., 2015). However, whether L6CT similarly exerts activity-dependent control over cortical dynamics remains an open question.

In visual and somatosensory cortex *in vitro* experiments, L6CT has been shown to strongly excite layer 5 pyramidal neurons while weakly exciting layer 4 excitatory neurons (Kim et al., 2014). Additionally, L6CT provides feedforward inhibition to layers 4 and 5 via distinct GABAergic subtypes and can entrain cortical layers into rhythmic firing patterns (Kim et al., 2014; Frandolig et al., 2019; Olsen et al., 2012; Bortone et al., 2014; Adesnik, 2018). Despite these insights, *in vivo* studies using optogenetic activation of L6CT have reported conflicting

findings, with some observing net excitatory effects and others reporting net inhibition, depending on the sensory modality and experimental conditions (Guo et al., 2017; Olsen et al., 2012; Ziegler et al., 2023).

This variability raises the question of whether these differences reflect genuine physiological distinctions between sensory cortices or are instead due to differences in experimental methodology. Direct comparisons across modalities suggest that L6CT function is largely conserved (Kim et al., 2014), implying that methodological factors may account for much of the observed heterogeneity. If this is the case, systematically varying L6CT stimulation parameters within a single cortical area should reproduce the range of effects seen across prior studies (Olsen et al., 2012; Guo et al., 2017).

In this study, we examined how different L6CT stimulation regimes influence neuronal spiking in the primary somatosensory cortex (S1) and in ventroposterior lateral thalamus (VPL) of anaesthetised mice. We found that L6CT activity bidirectionally modulates layers 4 and 5, with low L6CT activity inducing inhibition and high L6CT activity driving excitation. Additionally, L6CT stimulation modulated thalamic firing mode in a frequency-dependent manner and thalamic firing rate as a function of L6CT activity. These findings provide new insights into how L6CT dynamically regulates cortical and thalamic activity, refining our understanding of its role in sensory processing.

Results

S1 L6CT bidirectionally modulates cortical activity in an activity-dependent manner

To assess how L6CT output modulates cortical population activity, we recorded multilayer activity across the S1 hind limb (HL) cortex in anaesthetised Ntsr1-Cre-Chr2-EYFP mice (n = 5) whilst optogenetically stimulating L6CT neurons at increasing light intensities. Stimulating L6CT at 10 Hz with increasing light intensity led to a progressive decrease in the post-pulse first-spike latencies across cortical populations, with the deepest units exhibiting the shortest latencies already at lower light intensities (**Fig. 1c**). At higher intensities, more superficial units also showed decreased latencies, though to a lesser extent than deep units.

Putative L6CT were identified through 'optotagging' based on their short and low-variance first-spike latencies. As expected, these units were localized to the deepest cortical electrodes, corresponding to layer 6 (**Fig. 1d**). Separating the L6CT population from the rest of the S1 population, allowed us to examine how different levels of L6CT activity influence S1 firing. At the lowest laser intensity, insufficient to sustain elevated L6CT activity, S1 activity remained unchanged. However, at low sustained L6CT activity, S1 activity was suppressed throughout the stimulus duration (**Fig. 1e**). Surprisingly, increasing L6CT activity further caused a reversal of this effect, with S1 firing rates progressively rising above baseline and peaking earlier as a function of L6CT activity.

To assess whether this population-level shift reflected heterogeneous responses across S1 subpopulations, we calculated modulation indices (MI) to track individual unit responses

across different L6CT activity conditions. While low L6CT activity globally reduced MI across
the S1 population, high L6CT activity globally increased MI (**Fig. 1f**). Notably, 65% (517/791)
of S1 units exhibited an increased MI from low to high L6CT activity, with ~40% (316/791)
switching from suppression to excitation. Only ~10% (84/791) showed reduced MI at high
L6CT levels compared to low L6CT. These results demonstrate that sustained L6CT activity
bidirectionally modulates cortical excitability as a function of L6CT firing rate, transitioning
from S1 suppression at low L6CT firing rate to S1 excitation at higher L6CT firing rates.
92

93
Figure 1: S1 L6CT bidirectionally modulates cortical activity in an activity-dependent manner.
(a) 10 Hz laser trains (10 ms pulse length) were applied to S1-HL of Ntsr1-Cre-ChR2-EYFP mice (n =
5 mice) as part of a 5 seconds on 5 seconds off protocol (> 1000 pulses in total per mouse). Mean
first-spike latencies and standard deviations of the first spike latencies to all 10 ms laser pulses were
calculated per unit from a pooled dataset.
(b) Example coronal section of S1 in Ntsr1-Cre-ChR2-EYFP mouse with DiI-stain (yellow) denoting
the tip of the silicone probe.
(c) Single-unit first-spike latencies plotted against cortical depth for increasing laser stimulation
intensities (left to right: 58, 123, 382, 802, 1201 mW/mm^2 from fibre tip).
(d) Example L6CT optotagging (802 mW/mm^2 from fibre tip) based on first-spike latency and standard
deviation (σ) criteria (left), revealing optotagged units (blue) corresponding to the deepest units
recorded (right).
(e) Example population PSTHs of S1 (non-tagged, top) and L6CT (bottom) populations for increasing
laser stimulation intensities (left to right: 58, 123, 382, 802, 1201 mW/mm^2 from fibre tip).
(f) Modulation indices from n = 5 mice showing suppression of S1 activity (791 S1 units, median MI =
-0.76) under low L6CT population activity (215 L6CT units, median L6CT low = 0.83 Hz) and

increased S1 activity (791 S1 units, median MI = 0.6) under high L6CT population activity (215 L6CT
units, median L6CT high = 3.77 Hz). Lines denote individual unit MI movement between conditions.
112
113 * represents $p < 0.05$; 8d: Wilcoxon signed-rank test. Exact p values in Supplementary Table 1.
114
The laser response profiles shown in **Fig. 1 c & d** suggest three subpopulations that are
separable by their respective first-spike latencies and depths. Analysis of first-spike latencies
and unit depths revealed three distinct subpopulations within S1, corresponding to putative
layer 4 (L4), putative layer 5 (L5) and L6CT neurons. L4 units had longer mean first-spike
latencies with lower variability compared to L6CT units and resided on the upper recording
channels, whereas L5 units resided between L6CT and L4 and had the longest mean first-
spike latency with the highest variability (**Fig. 2a**). L5 units also had a higher basal firing rate
than L4 and L6 populations, (median L4 = 0.09 Hz, interquartile range (IQR) = 0.27; L5 =
0.51 Hz, IQR = 1.24; L6CT = 0.02 Hz, IQR = 0.23).
124
Both, L5 and L4 units exhibited suppression during low L6CT activity, though they differed in
the rate at which increasing L6CT activity switched their activity from suppressed to excited
(**Fig. 2b**). Unit-by-unit quantification of this suppression revealed a down-modulation of both
L4 (n = 5 mice, 163 units) and L5 (n = 5 mice, 218 units) (**Fig. 2c**). However, the magnitude
of suppression differed between populations, with L5 units showing a greater reduction (MI;
median MI = -0.96) compared to L4 units (median MI = -0.31).
131
In the high L6CT condition, both L4 and L5 exhibited increased activity that differed
significantly between each other, with L4 (median MI = 0.98) showing greater enhancement
than L5 (median MI = 0.30). Just over half of the L4 units (82/163) switched their MI sign
from low to high L6CT activity, with 76 % (124/163) showing an increased MI. Similarly,
about half of the L5 units (119/218) switched their MI sign from low to high L6CT activity,
with 78 % (169/218) showing an increased MI. These findings indicate that L6CT-mediated
bidirectional modulation affects cortical subpopulations differently, with the extent of
suppression and excitation depending on cortical layer (**Fig. 2d**).

141
Figure 2: Cortical subpopulations exhibit distinct L6CT-mediated activity switches.
(a) Example latency vs depth plot (from one recording) with three populations grouped according to
their first spike latency and variability in response to L6CT laser stimulation. L6CT units (58 units,
blue), putative layer 4 (75 units, green) and putative layer 5 (112 units, red) located at different cortical
depths.
(b) Example population activity in response to increasing L6CT stimulation intensity for putative L4
(green), L5 (red) and L6CT (blue).
(c) Box plots showing modulation indices for putative L4 (green) and L5 (red) under lower (light blue)
and higher (dark blue) L6CT activity (median L6CT low = 0.83 Hz, median L6CT high = 3.77 Hz). Low
L6CT activity induced significantly lower L4 activity (163 units, median MI = -0.38) as well as L5
suppression (219 units, median MI = -0.95). In contrast, high L6CT activity increased L4 (median MI =
0.98) and L5 activity (median MI = 0.3).
(d) Single-unit raster plots showing putative L4 (green) and L5 (red) single-unit responses to
increasing light intensities of L6CT stimulation.
156
* represents *p* < 0.05; 2c: Wilcoxon signed-rank test (within-group differences), Wilcoxon rank sum
(between-group differences). Exact *p* values in Supplementary Table 1.

S1 L6CT stimulation induces frequency-dependent facilitation
of spiking probability in L4 and L5.

The bidirectional activity switch of L4 and L5 populations in response to increased L6CT
activity indicates non-linear responses to increased L6CT spiking. As L6CT activity is
increased, the probability of convergent inputs arriving to trigger action potentials may also
increase and could therefore be reflected in a greater spiking probability across the L4 and
L5 subpopulations. The L6-L4 synapse has previously been demonstrated *in vitro* to exhibit
paired-pulse facilitation (Lee and Sherman, 2008).
167
To test whether L6CT induces frequency-dependent facilitation in L4 and L5 populations we
stimulated L6CT with 10 ms light pulses at different frequencies but constant laser strength
(**Fig. 3a**). L4 units exhibited frequency-dependent facilitation, with higher L6CT stimulation

frequencies eliciting greater activity relative to baseline (**Fig. 3b**). In contrast, L5 units
showed suppression at low L6CT frequencies for at least 50 ms after the light stimulus
onset. As the frequency of L6CT stimulation increased, the length of L5 suppression
decreased and turned into increased L5 activity, yielding a net increase in L5 firing rates in
the 50 ms following L6CT stimulation, although an initial inhibition remained. To directly
assess whether this facilitation is frequency-dependent, we compared responses in the 50
ms following L6CT stimulation between the 1st and 3rd pulses in both the 1 Hz and 10 Hz
conditions (**Fig. 3c**). L4 units increased firing to the 3rd stimulus in both conditions, with
greater facilitation at 10 Hz. In contrast, L5 units increased firing only in response to the 3rd
pulse in the 10 Hz condition (**Fig. 3d**). When comparing the change in responses between
1st and 3rd pulses across conditions, 10 Hz induced a larger increase in MI vs 1 Hz in both
L4 and L5. Notably, when compared to continuous L6CT stimulation, which induced net
suppression in most L4 and L5 units, 10 Hz stimulation facilitated responses. (**Fig. 3e**).
Thus, L4 and L5 can be facilitated or suppressed depending on the nature of L6CT activity.

185
Figure 3: L6CT excitation causes frequency-dependent facilitation in L4 and L5.
(a) Unit PSTHs across trials for 1 Hz (left) and 10 Hz (right) stimulation of L6CT units (blue) and
corresponding responses of L5 (red) and L4 (green) units, *n* = 5 mice. Spike probability of 1
corresponds to 1 spike per trial per bin (1ms).
(b) Example population PSTHs of L6CT high stimulation (laser pulse: light blue dotted line) for 1 Hz
(top left), 2 Hz (top right), 5 Hz (bottom left) and 10 Hz (bottom right) frequencies. Normalised with
respect to max. subpopulation response to 1Hz stimulus. Units from a representative experiment: L4
= 15, L5 = 31, L6CT = 39.
(c) Example PSTHs (5 ms bins) of L6CT, L4 and L5 population responses to the 1st and 3rd pulse (10
ms) of the L6CT stimulation in the 1 Hz and 10 Hz condition. Data from one representative experiment
(unit counts: L4 = 75, L5 = 112, L6CT = 58).
(d) Mean spike counts for L4 (green) and L5 (red) units in response to the 1st and 3rd of the L6CT
stimulation in the 1 Hz and 10 Hz condition. Pooled from 5 experiments: L4 = 157, L5 = 219, L6CT =
208.
(e) Modulation indices for responses to the 1st and 3rd pulse of L6CT stimulation in the 1 Hz and 10
Hz conditions and in response to continuous pulses (cont.). Response windows were matched across
all three conditions. Pooled from n = 5 mice (unit counts: L4 = 157, L5 = 219, L6CT = 208.
203

204 * represents $p < 0.05$; 3d & e: Wilcoxon signed-rank test. Exact p values in Supplementary Table 1.
205
206

S1 L6CT entrains subpopulations in upper layers through regulation of cortical synchrony.

Given the contrasting effects of cortical responses to 10 Hz vs continuous L6CT stimulation,
(**Fig. 4a & b**), we asked whether L6CT synchrony, rather than overall L6CT activity, might be
a key determinant of L4 and L5 responses. To quantify synchrony independently of firing
rates, we binned the spiking activity during the response windows into PSTHs of varying bin
sizes. We then calculated the average population coactivity as the number of spiking units in
a given bin for a given trial over a range of bin sizes. Because coactivity depends on bin size
(larger bins tend to contain activity from more units), we measured how rapidly coactivity
changes as a function of bin size. The largest differences between conditions emerged over
bin size increases within 5 ms and the maximum value was taken as the measure of
population synchrony (**Fig. 4c**).
219
L6CT synchrony and overall activity were effectively uncorrelated across conditions (slope =
0.08; **Fig. 4d**), indicating that these are distinct and independently varying parameters. At
high laser intensities, the low frequency stimulation conditions produced highly synchronous
but low L6CT activity, whereas continuous stimulation had the opposite effect (**supp. Fig.**
1a). When examining the influence of L6CT activity and synchrony on the other cortical
populations, L6CT activity predicted L4 activity but not L5 activity (**Fig. 4e**). Neither L4
activity nor L5 activity were correlated significantly with L6CT synchrony. In contrast, L6CT
synchrony significantly predicted L4 and L5 synchrony, and L4 synchrony was further
correlated significantly with L6CT activity (**Fig. 4f**).
229
Taken together, L6CT spiking exerts a strong influence on L4 spiking, with its activity
shaping both L4 activity and synchrony. Additionally, L6CT entrains both L4 and L5 spiking
through its influence on population spiking synchrony whilst in the case of L5 not necessarily
altering overall activity. Intriguingly, L5 activity was significantly correlated with L4 activity
(**supp. Fig. 1b**). Combined with the observed temporal sequence of activation (L6CT → L4 →
L5; Fig. **2a, 3b**), this suggests that L6CT drives L4 activity and synchrony, which in turn
influences L5 firing.

237
Figure 4: L6CT entrains L4 and L5 by influencing population firing synchrony.
(a) Unit PSTHs for 10 Hz (left) and continuous (right) stimulation of L6CT (blue) and corresponding
responses in L4 (green) and L5 (red) across trials. n = 5 mice. Spike probability of 1 = 1 spike per trial
per bin for a given unit. Bin size = 1 ms.

(b) Example example -unit raster plots displaying responses of L6CT, L4 and L5 to optogenetic
stimulation under different conditions (1 Hz, 10 Hz, continuous).
(c) Example synchrony plot. N units: L4 = 20, L5 = 15, L6 = 29. Synchrony was calculated as the
maximum increase in the number of coactive units as a function of increasing bin size (The peak y-
value on each graph), normalised to the max of all stimulation conditions across all experiments (n =
70).
(d) Normalised Activity vs Synchrony scatter plot for L6CT pooled across experiments demonstrating
little covariance between measures, indicating sufficient extraction of independent measures of
synchrony and activity.
(e) Effect of L6CT activity (top) and synchrony (bottom) on activity in L4 (green) and L5 (red). L6CT
activity predicts > 40% of L4 activity variability.
(f) Effect of L6CT activity (top) and synchrony (bottom) on synchrony in L4 (green) and L5 (red).
A-d calculated with response windows 0-5 seconds. B-d: 70 data points across 5 experiments.
255

257 S1 L6CT activity patterns bidirectionally influence thalamic
258 firing mode

After establishing L6CT's impact on cortical dynamics, we next tested how different L6CT
spiking patterns modulate thalamic. We recorded from VPL in Ntsr1-Cre-Ch2-EYFP mice
during optogenetic stimulation of L6CT neurons. In one instance, dual recording in S1 and
VPL was achieved to observe activity in both L6CT and VPL populations simultaneously
(**Fig. 5a-c**).

The L6-CT synapses in the ventroposterior thalamic nucleus and lateral geniculate nucleus
have previously been shown to undergo paired-pulse facilitation (Crandall et al., 2015;
Jurgens et al., 2012; Kirchgessner et al., 2020). To address this in the VPL, we measured
VPL spiking responses following the 1st and 3rd optogenetic L6CT stimulation pulses in 1
Hz and 10 Hz conditions (**Fig. 5c**). Consistent with facilitation, 10 Hz – but not 1 Hz
stimulation, facilitated VPL spike output (**Fig. 5d**).

Previous studies have demonstrated that continuous L6CT excitation induces a switch in

neurons from burst to tonic mode (Mease et al., 2014; Ziegler et al., 2023). However, the

effects of different L6CT stimulation frequencies on thalamic firing modes remain less

explored. We compared 1 Hz, 10 Hz, and continuous L6CT stimulation on VPL firing. Burst

spikes were defined as ≥ 2 spikes with ≤ 6 ms inter-spike intervals, preceded by ≥50 ms

silence, and lasting ≤100 ms (**Fig. 5e**). As reported in previous literature, we found that

continuous stimulation of L6CT indeed decreased VPL bursting, measured as the proportion

of bursts spikes to all spikes, indicating a shift towards tonic-firing mode (**Fig. 5f**). In contrast,

both 1 Hz and 10 Hz L6CT stimulation increased bursting relative to baseline, with 10 Hz

producing the strongest effect.

All L6CT stimulation patterns elevated overall VPL firing rates, in a manner proportional to

L6CT activity, with 1 Hz eliciting the smallest increase in spike rate and continuous

stimulation the largest (**Fig. 5h**). These findings suggest that L6CT excitation enhances

thalamic throughput in a manner proportional to the L6CT activity level, while the temporal
pattern of L6CT spiking determines whether VPL neurons engage burst or tonic firing
modes.

289
290

Figure 5: L6CT stimulation patterns shape VPL spike rates and firing modes.

(a) Example Population PSTHs for L6CT (top) and VPL (middle) populations simultaneously recorded during 1 Hz (left), 10 Hz (middle), and continuous (right) optogenetic stimulation of L6CT neurons.

(b) Example Raster plots of L6CT (top) and VPL (middle) single-units simultaneously recorded during 1 Hz (left), 10 Hz (middle), and continuous (right) optogenetic stimulation of L6CT neurons.

(c) Example dual recording in S1 and VPL demonstrating increased VPL activity to the 3rd pulse of 10 Hz condition.

(d) VPL population shows increased response to 3rd pulse of a 10 Hz train, but not 3rd pulse of a 1 Hz train. 439 units, $n = 4$ mice.

(e) Frequency stimulation increased burst event ratios relative to baseline in a frequency-dependent manner (10 Hz > 1 Hz), whereas continuous stimulation decreased burst event ratios relative to baseline. 439 units, $n = 4$ mice.

(f) L6CT stimulation increased total firing rate relative to baseline, displaying a dose-response relationship with respect to total optogenetic stimulation. 439 units, $n = 4$ mice.

(g) Example Raster plots of VPL single-units to 1 Hz, 10 Hz, and continuous L6T optogenetic stimulation. Spikes coloured in orange belong to burst events, those in black do not belong to bursts.

341 * represents $p < 0.05$; 5d & f-h:
342 Wilcoxon signed-rank test.Exact p values in Supplementary Table 1.
343

Discussion

We demonstrated in S1 that L6CT activity modulates cortical activity not only non-linearly, but can also exert both a decrease and increase in cortical activity as a function of L6CT activity. This bidirectional modulation occurred in at least two distinct cortical subpopulations that had differing magnitudes of L6CT modulation in both directions, with L4 preferentially excited, and L5 preferentially inhibited by L6CT activity. These subpopulation-dependent variations in bidirectional modulation by L6CT indicates that this switch can occur at different types of synapses, and is in all likelihood not narrowly limited to a finely-tuned synaptic and circuit configurations. Therefore, L6CT-cortical circuitry is unlikely to be a unique example of a bidirectional switch based on its activity level. Indeed a similar bidirectional switch has been observed in thalamic circuits to varying degrees of L6CT activity (Crandall et al., 2015).

L6CT-evoked spikes in downstream neurons had longer latencies and variability in L5 than L4, suggesting that L5 spikes arise through multiple synapses. This is corroborated by population PSTH analysis showing initial L5 inhibition followed by rebound elevated activity above baseline. In agreement with previous results from hind limb S1 (Ziegler et al., 2023), L6CT powerfully suppresses L5 and may subsequently receive sufficient excitation through an intermediary. That L4 activity was a much stronger predictor of L5 activity than L6CT activity may also indicate that L4 is an intermediate cause of L5 excitatory responses under high L6CT activity. We observed that L4 also undergoes frequency-dependent facilitation to L6CT activity, which could partially explain the frequency-dependent L5 facilitation observed, through an increased excitatory drive. We observed a notably robust positive correlation between L6CT activity and L4 activity, as well as frequency-dependent facilitation in L4 units to repeated pulses of L6CT excitation, possibly due to implied direct facilitatory effects overcoming metabotropic suppressive modulation (Kim et al., 2014; Lee and Sherman, 2012, 2009, 2008). This frequency-dependent facilitation of L4 could aid in amplifying sensory inputs from thalamic regions, of which a substantial proportion terminates in L4 (Adesnik and Naka, 2018; Clascá et al., 2012; Constantinople and Bruno, 2013; Douglas and Martin, 2004; Gilbert and Wiesel, 1979; Sherman and Guillery, 2002). Another important finding was the facilitation observed in L4 to 1 Hz L6CT stimulation, which was absent in L5, indicating that L4 may integrate information from L6CT over much longer time windows than L5 does; in essence L4 has a longer 'memory' of L6CT activity compared with L5.

Whilst L6CT activity was a strong predictor of L4 activity, that in turn influenced and preceded L5 activity, L6CT activity did not strongly predict L5 activity. However, L6CT synchrony was a strong predictor of both L4 and L5 synchrony. Thus, L6CT appears to control the timing of L5 spiking across L5 units, but not necessarily the magnitude. If there is information transfer along L6CT→L4→L5, it would appear that L6CT neuronal synchrony is preserved more robustly than its total spiking output, and L6CT synchrony and activity could represent distinct channels during information transfer (Buzsáki, 2010; Buzsáki and Vöröslakos, 2023). Our research suggests that L6CT is able to impart its synchrony to other cortical layers, distinct from its effects on global cortical firing rates. It has been previously demonstrated that L6CT continuous stimulation can induce translaminar modulation in the

387   cortex, but these findings suggest L6CT at physiological frequencies can entrain cortical
388   spiking in upper layers to specific L6CT rhythms (Adesnik, 2018; Guo et al., 2017; Olsen et
389   al., 2012).
390

L6CT also altered VPL activity and firing mode in an activity-dependent manner. When L6CT
was stimulated at 1 Hz or 10 Hz frequencies, thalamic activity shifted towards burst-firing
mode, whereas continuous L6CT activity shifted thalamic activity towards tonic firing. This
was in contrast to VPL overall firing rate, which increased as a function of total L6CT
stimulation duration. This suggests that VPL throughput may increase with progressively
greater L6CT activity, but VPL burst-probability may be altered in a non-linear fashion based
on the rhythmicity or synchrony of L6CT activity.
398

399   Taken together, this research suggests that L6CT can 'tune up' or 'tune down' signalling in
400   S1 and can independently shape cortical synchrony, whilst altering incoming information
401   from the thalamus via bidirectional control of thalamic firing mode.
402

Methods

Ethics Statement

The local governing body approved all of the following experimental procedures (Regierungspräsidium Karlsruhe, Germany, approval numbers: 35-9185.81/G-29/16, 35-9185.81/G-70/21, T-39-20, 35-9185.82/A-8/20) and procedures were performed to their ethical guidelines.

Animals

Mice (male and female, 7-16 weeks of age) were housed with food and water ad libitum on a 12 h light/dark cycle (housing conditions 20-22 °C, 45-65 % humidity).

Mouse line:

"Ntsr1-Cre-ChR2-EYFP"; crossbreed between "Ntsr1-cre" (B6.FVB(Cg)-Tg(Ntsr1-cre)GN220Gsat/Mmucd) x "Ai32" (B6;129S-Gt(ROSA)26Sortm32(CAG-COP4*H134R/EYFP)Hze/J)

Histology and immunohistochemistry

The mice were humanely euthanised (i.p. Ketamin 120 mg/kg KG; Xylazin 20 mg/Kg KG) followed by transcardial perfusion using a solution of 4 % paraformaldehyde (PFA) in phosphate-buffered saline (PBS). Brain tissue was sliced into 80 µm sections using a vibratome (Thermo Scientific Microm HM 650 V), and visualised using an epifluorescent microscope (Leica DM6000).

In vivo electrophysiology

Anaesthetised in vivo electrophysiology

Mice were anaesthetised using Urethane (1.4 g/kg, i.p.) and anaesthesia was maintained using an oxygen-isoflurane mixture (0.2 %). Mice were securely positioned with ear bars, and the skull was levelled. A craniotomy was performed above the well site, and a well, filled with isotonic Ringer's solution, was cemented in place using Paladur. Silicon probes with 64 sharpened channels (impedance ~50 kOhm) (Cambridge Neurotech) were inserted into the S1-HL cortex (ML = +1.67 mm, AP = -1.0 mm, DV = -1.4 mm) and/or VPL (ML = +1.8, AP = -1.3, DV = -4.5) using a micromanipulator (Luigs Neumann 3-axis Motor) at a rate of approximately 2 µm/s. The probes were connected to a RHD2164 headstage amplifier chip (Intan technologies) via a connector (ASSY-77) and an adaptor (A64-Om32x2 Samtec). Signals were amplified and digitised at a sampling rate of 30,030 Hz using an RDH2000 Intan evaluation board through a USB 2.0 interface. Data acquisition was performed using an Intan Talker module (Cambridge Electronic Devices, Cambridge, UK) with Spike2 (v9.06) software.

Spike Sorting

Voltage data underwent band-pass filtering upon initial acquisition (0.1-10,000 Hz or 500-5000 Hz). Subsequently, Spike2 data files (.smrx) were converted into binary files through a conversion process that comprised reading the electrophysiology channels within the .smrx

file, converting them back to uint16 values from the 16-bit depth analog-to-digital (ADC), and
then writing this information into the resulting .bin file. Spike sorting procedures were carried
out in a semi-automated manner using Matlab-based Kilosort 2.5, followed by the curation of
resulting clusters in Phy2 (available at https://github.com/cortex-lab/phy). single-units
meeting specific criteria—having less than 0.5 % refractory period violations (within 1 ms)
and a baseline spike rate greater than 0.1 Hz—were selected for further analysis. The spike-
sorting parameters for Kilosort 2.5 were as follows:

Parameter	Value	Parameter	Value
ops.fs	3.003003003003003e+04	ops.sigmaMask	30
ops.fshigh	600	ops.ThPre	8
ops.Th	[10 2]	ops.sig	20
ops.lam	10	ops.nblocks	0
ops.AUCsplit	0.7	ops.spkTh	-6
ops.minFR	1/50	ops.reorder	1
ops.momentum	[20 400]	ops.nskip	35
ops.GPU	1	ops.Nfilt	1024
ops.nfilt_factor	4	ops.ntbuff	64
ops.NT	64*1024+ ops.ntbuff	ops.whiteningRange	32
ops.nSkipCov	25	ops.scaleproc	200
ops.nPCs	6	ops.useRAM	0

Putative cell-type classification

Thalamic units:
VPL units were identified based on probe location within VPL through histological
examination. The recording process involved the identification of the dorso-lateral channels
containing units that exhibited significant responses to the continuous laser condition. All
units within this determined range were classified as VPL units.

Cortical units:
Recording channels from silicon probes were aligned with histological layer demarcations to
attribute each unit a specific cortical depth and layer. Histological estimations of layer
borders within the S1-HL cortex were determined using characteristics such as fluorescent
soma sizes and densities, (refer to example in **Fig. 1b**). Units presumed to be L6CT were
singled out based on their response characteristics to laser light pulses, exhibiting low

latency and low jitter (see **Fig. 1d**). Waveform parameters were not used to separate units
by waveforms, in this analysis (Anastassiou et al., 2015; Barthó et al., 2004; Cardin et al.,
2009; Halassa et al., 2014; Madisen et al., 2012; Schmitt et al., 2017; Yu et al., 2019).
Electrophysiological analyses therefore encompassed putative FS and RS units.
473
474 Optotagged units were verified by plotting these units based on their depth along the cortical
475 axis, illustrating the expected positioning of optotagged L5 and L6CT neurons within layers 5
476 and 6, respectively (refer to **Fig. 1d**).

Spike train analysis

478 Following spike-sorting, spike times were aligned to stimulus onsets and segregated into
479 stimulation conditions utilising customised scripts in Matlab 2022a.

Spike train statistical analysis

All statistical analysis was done in Matlab 2023a, using built-in or custom-written functions.
For the modulation index analysis in **Figs. 1f and 2c**, the considered analysis windows were
the final 2 seconds for each 5 second pulse. Baseline activity was considered as the final 2
seconds before the onset of each 5 second stimulus. Modulation index (MI) was calculated
as MI= $(r_{stimulus}-r_{baseline})/(r_{stimulus}+r_{baseline})$ as in (Onodera and Kato, 2022). High L6CT
conditions were classified as laser intensity > 382 mW/mm², Low L6CT as laser intensity <=
382 mW/mm². Wilcoxon signed-rank tests were used for single-unit comparisons between
conditions, and Wilcoxon rank sum tests were used for comparisons between groups.

Population Synchrony Quantification

After identifying the units belonging to each subpopulation, triggered Peri-Stimulus-Time-
Histograms (PSTHs) were calculated for each condition across the 5 second condition
duration. This resulted in a unit-by-bin-by-trial matrix for each condition, with each time bin
containing a value of spike counts. The value in each bin denotes that for a given unit during
a given trial, the unit spiked n times within a given time period, with a value of 0 denoting that
the unit did not spike. By transforming the matrix such that n > 0 = 1, the bins in this
'Coactivity PSTH' now denote whether the unit participated at all for a given time bin in a
given trial. The sum of the bins across units constitutes a measure of coactivity for the
population at a given time period for a given trial. The coactivity measure for a given PSTH
was calculated as the mean coactivity across the bins where at least one unit during that
time period was active. The coactivity measure per condition is very sensitive to how many
bins each trial is partitioned into, and differs between optogenetic stimulation conditions. We
leveraged this difference by plotting Coactivity PSTHs for a range of bin sizes from 0.1 ms to
2.048 seconds. The coactivity measures for each condition changed as the bin sizes
increased, but the rate of change differed between conditions. This too is sensitive to the
choice of bin size, so the final step was to plot the change in coactivity divided by the change
in bin size to give a curve where the maximum value is a representation of the increase in
bin size necessary to capture the greatest increase in average coactivity. The higher the
score the closer a population's neurons tend to spike together.

Thalamic Burst Identification

Thalamic bursts were classified as 2 or more spikes that each do not exceed 6 ms inter-
spike-intervals, which are preceded by at least 50 ms prior silence, and do not exceed 100

ms total duration. These parameters were based on the ones used to study nociceptive
signalling in VPL by *Hains et al.* but with a stricter inter-spike interval (ISI) threshold and a
pre-burst silence to reflect prior hyperpolarisation necessary for T-Type Ca^{2+} channel
availability (Hains et al., 2006; Zhan et al., 1999).
517

Bibliography

Adesnik H. 2018. Layer-specific excitation/inhibition balances during neuronal synchronization in the visual cortex. *J Physiol* **596**:1639–1657. doi:10.1113/JP274986

Adesnik H, Naka A. 2018. Cracking the Function of Layers in the Sensory Cortex. *Neuron* **100**:1028–1043. doi:10.1016/j.neuron.2018.10.032

Anastassiou CA, Perin R, Buzsáki G, Markram H, Koch C. 2015. Cell type- and activity-dependent extracellular correlates of intracellular spiking. *J Neurophysiol* **114**:608–623. doi:10.1152/jn.00628.2014

Barthó P, Hirase H, Monconduit L, Zugaro M, Harris KD, Buzsáki G. 2004. Characterization of neocortical principal cells and interneurons by network interactions and extracellular features. *J Neurophysiol* **92**:600–608. doi:10.1152/jn.01170.2003

Bortone DS, Olsen SR, Scanziani M. 2014. Translaminar inhibitory cells recruited by layer 6 corticothalamic neurons suppress visual cortex. *Neuron* **82**:474–485. doi:10.1016/j.neuron.2014.02.021

Buzsáki G. 2010. Neural syntax: cell assemblies, synapsembles, and readers. *Neuron* **68**:362–385. doi:10.1016/j.neuron.2010.09.023

Buzsáki G, Vöröslakos M. 2023. Brain rhythms have come of age. *Neuron* **111**:922–926. doi:10.1016/j.neuron.2023.03.018

Cardin JA, Carlén M, Meletis K, Knoblich U, Zhang F, Deisseroth K, Tsai L-H, Moore CI. 2009. Driving fast-spiking cells induces gamma rhythm and controls sensory responses. *Nature* **459**:663–667. doi:10.1038/nature08002

Clascá F, Rubio-Garrido P, Jabaudon D. 2012. Unveiling the diversity of thalamocortical neuron subtypes. *Eur J Neurosci* **35**:1524–1532. doi:10.1111/j.1460-9568.2012.08033.x

Constantinople CM, Bruno RM. 2013. Deep cortical layers are activated directly by thalamus. *Science* **340**:1591–1594. doi:10.1126/science.1236425

Crandall SR, Cruikshank SJ, Connors BW. 2015. A corticothalamic switch: controlling the thalamus with dynamic synapses. *Neuron* **86**:768–782. doi:10.1016/j.neuron.2015.03.040

Douglas RJ, Martin KAC. 2004. Neuronal circuits of the neocortex. *Annu Rev Neurosci* **27**:419–451. doi:10.1146/annurev.neuro.27.070203.144152

Frandolig JE, Matney CJ, Lee K, Kim J, Chevée M, Kim S-J, Bickert AA, Brown SP. 2019. The Synaptic Organization of Layer 6 Circuits Reveals Inhibition as a Major Output of a Neocortical Sublamina. *Cell Rep* **28**:3131–3143.e5. doi:10.1016/j.celrep.2019.08.048

Gilbert CD, Wiesel TN. 1979. Morphology and intracortical projections of functionally characterised neurones in the cat visual cortex. *Nature* **280**:120–125. doi:10.1038/280120a0

Guo W, Clause AR, Barth-Maron A, Polley DB. 2017. A Corticothalamic Circuit for Dynamic Switching between Feature Detection and Discrimination. *Neuron* **95**:180–194.e5. doi:10.1016/j.neuron.2017.05.019

Hains BC, Saab CY, Waxman SG. 2006. Alterations in burst firing of thalamic VPL neurons and reversal by Na(v)1.3 antisense after spinal cord injury. *J Neurophysiol* **95**:3343–3352. doi:10.1152/jn.01009.2005

Halassa MM, Chen Z, Wimmer RD, Brunetti PM, Zhao S, Zikopoulos B, Wang F, Brown EN, Wilson MA. 2014. State-dependent architecture of thalamic reticular subnetworks. *Cell* **158**:808–821. doi:10.1016/j.cell.2014.06.025

Jurgens CWD, Bell KA, McQuiston AR, Guido W. 2012. Optogenetic stimulation of the corticothalamic pathway affects relay cells and GABAergic neurons differently in the mouse visual thalamus. *PLoS One* **7**:e45717. doi:10.1371/journal.pone.0045717

Kim J, Matney CJ, Blankenship A, Hestrin S, Brown SP. 2014. Layer 6 corticothalamic neurons activate a cortical output layer, layer 5a. *J Neurosci* **34**:9656–9664. doi:10.1523/JNEUROSCI.1325-14.2014

Kirchgessner MA, Franklin AD, Callaway EM. 2020. Context-dependent and dynamic functional influence of corticothalamic pathways to first- and higher-order visual

thalamus. *Proc Natl Acad Sci U S A* **117**:13066–13077. doi:10.1073/pnas.2002080117
Lam Y-W, Sherman SM. 2010. Functional organization of the somatosensory cortical layer 6
feedback to the thalamus. *Cereb Cortex* **20**:13–24. doi:10.1093/cercor/bhp077
Lee CC, Sherman SM. 2012. Intrinsic modulators of auditory thalamocortical transmission.
Hear Res **287**:43–50. doi:10.1016/j.heares.2012.04.001
Lee CC, Sherman SM. 2009. Glutamatergic inhibition in sensory neocortex. *Cereb Cortex*
19:2281–2289. doi:10.1093/cercor/bhn246
Lee CC, Sherman SM. 2008. Synaptic properties of thalamic and intracortical inputs to layer
4 of the first- and higher-order cortical areas in the auditory and somatosensory
systems. *J Neurophysiol* **100**:317–326. doi:10.1152/jn.90391.2008
Madisen L, Mao T, Koch H, Zhuo J-M, Berenyi A, Fujisawa S, Hsu Y-WA, Garcia AJ, Gu X,
Zanella S, Kidney J, Gu H, Mao Y, Hooks BM, Boyden ES, Buzsáki G, Ramirez JM,
Jones AR, Svoboda K, Han X, Turner EE, Zeng H. 2012. A toolbox of Cre-dependent
optogenetic transgenic mice for light-induced activation and silencing. *Nat Neurosci*
15:793–802. doi:10.1038/nn.3078
Mease RA, Krieger P, Groh A. 2014. Cortical control of adaptation and sensory relay mode
in the thalamus. *Proc Natl Acad Sci U S A* **111**:6798–6803.
doi:10.1073/pnas.1318665111
Olsen SR, Bortone DS, Adesnik H, Scanziani M. 2012. Gain control by layer six in cortical
circuits of vision. *Nature* **483**:47–52. doi:10.1038/nature10835
Onodera K, Kato HK. 2022. Translaminar recurrence from layer 5 suppresses superficial
cortical layers. *Nat Commun* **13**:1–16. doi:10.1038/s41467-022-30349-w
Schmitt LI, Wimmer RD, Nakajima M, Happ M, Mofakham S, Halassa MM. 2017. Thalamic
amplification of cortical connectivity sustains attentional control. *Nature* **545**:219–223.
doi:10.1038/nature22073
Sherman SM, Guillery RW. 2002. The role of the thalamus in the flow of information to the
cortex. *Philos Trans R Soc Lond B Biol Sci* **357**:1695–1708. doi:10.1098/rstb.2002.1161
Whilden CM, Chevée M, An SY, Brown SP. 2021. The synaptic inputs and thalamic
projections of two classes of layer 6 corticothalamic neurons in primary somatosensory
cortex of the mouse. *J Comp Neurol.* doi:10.1002/cne.25163
Yu J, Hu H, Agmon A, Svoboda K. 2019. Recruitment of GABAergic Interneurons in the
Barrel Cortex during Active Tactile Behavior. *Neuron.* doi:10.1016/j.neuron.2019.07.027
Zhan XJ, Cox CL, Rinzel J, Sherman SM. 1999. Current clamp and modeling studies of low-
threshold calcium spikes in cells of the cat's lateral geniculate nucleus. *J Neurophysiol*
81:2360–2373. doi:10.1152/jn.1999.81.5.2360
Ziegler K, Folkard R, Gonzalez AJ, Burghardt J, Antharvedi-Goda S, Martin-Cortecero J,
Isaías-Camacho E, Kaushalya S, Tan LL, Kuner T, Acuna C, Kuner R, Mease RA, Groh
A. 2023. Primary somatosensory cortex bidirectionally modulates sensory gain and
nociceptive behavior in a layer-specific manner. *Nat Commun* **14**:2999.
doi:10.1038/s41467-023-38798-7

611   **Acknowledgments**

We thank Katharina Ziegler for helpful comments on the manuscript. Funding was provided
by the Deutsches Forschungsgemeinschaft: Collaborative Research Center 1158 (project
B10). We acknowledge the data storage service SDS@hd and high-performance computing
initiative bwHPC, supported by the Ministry of Science, Research and the Arts Baden-
Württemberg (SDS@hd and bwHPC) and the German Research Foundation (DFG) through
grants INST 35/1597-1 FUGG (bwHPC) and INST 35/1503-1 FUGG (SDS@hd). For the
publication fee we acknowledge financial support by Heidelberg University. The funders had
no role in study design, data collection and analysis, decision to publish, or preparation of
the manuscript.

Author contributions

Conceptualization: RF, EIC, AG
Methodology: RF, EIC
Investigation: RF
Data analysis and analysis tools: RF, EIC
Visualization: RF
Funding acquisition: AG
Project administration: AG
Supervision: AG
Writing – review & editing: RF, AG
632

Competing interests

634 The authors declare that they have no competing interests.

Supplementary Materials

Supplementary Figure 1: Effect of different optogenetic stimulation conditions on Synchrony-Activity Ratios, and
effect of L4 on L5.

(a) Activity vs synchrony plot for the different stimulation conditions and light intensities (High Power > 382mW/mm², Low
Power <= 382mW/mm²) for tagged L6CT populations from n = 5 mice (Data points are identical as for **Fig. 4d**).
(b) Effect of L4 activity (top) and synchrony (bottom) on activity (left) and synchrony (right) in L5 (red). L4 activity predicts >35%
of L5 activity variability. n = 5 mice.

1d	Low L6CT Spontaneous vs	$p = 7.1 \times 10^{-38}$		Wilcoxon signed-rank

	Evoked		
	High L6CT Spontaneous vs Evoked	$p = 1.07 \times 10^{-38}$	Wilcoxon signed-rank
	Low L6CT vs High L6CT Modulation Indices	$p = 2.13 \times 10^{-76}$	Wilcoxon signed-rank
	L4 vs L5 Basal Firing rates	Low L6CT; $p = 2.8 \times 10^{-15}$ High L6CT; $p = 2.6 \times 10^{-22}$	Wilcoxon rank sum
	L4 vs L6CT Basal Firing rates	Low L6CT; $p = 1.7 \times 10^{-4}$ High L6CT; $p = 0.0025$	Wilcoxon rank sum
	L5 vs L6CT Basal Firing rates	Low L6CT; $p = 4.0 \times 10^{-29}$ High L6CT; $p = 8.7 \times 10^{-34}$	Wilcoxon rank sum
2c	Low L6CT Spontaneous vs Evoked	L4; $p = 8.4 \times 10^{-5}$ L5; $p = 9.6 \times 10^{-35}$	Wilcoxon signed-rank
	High L6CT Spontaneous vs Evoked	L4; $p = 1.2 \times 10^{-24}$ L5; $p = 1.8 \times 10^{-4}$	Wilcoxon signed-rank
	Low L6CT vs High L6CT Modulation Indices	L4; $p = 2.0 \times 10^{-22}$ L5; $p = 1.5 \times 10^{-28}$	Wilcoxon signed-rank
	L4 vs L5 Modulation indices	Low L6CT; $p = 5.2 \times 10^{-16}$ High L6CT; $p = 1.2 \times 10^{-29}$	Wilcoxon rank sum
3d	L4 Counts Facilitation	1 Hz 1st vs 3rd pulse; $p = 5.16 \times 10^{-4}$ 10 Hz 1st vs 3rd pulse; $p = 1.6 \times 10^{-19}$ 1 Hz 3rd vs 10 Hz 1st pulse; $p = 0.75$ 1st pulse 1 Hz vs 10 Hz; $p = 5.07 \times 10^{-4}$ 3rd pulse 1 Hz vs 10 Hz; $p = 1.49 \times 10^{-18}$	Wilcoxon signed-rank
	L5 Counts Facilitation	1 Hz 1st vs 3rd pulse; $p = 0.0353$ 10 Hz 1st vs 3rd pulse; $p = 2.98 \times 10^{-21}$ 1 Hz 3rd vs 10 Hz 1st pulse; $p = 0.14$ 1st pulse 1 Hz vs 10 Hz; $p = 0.59$ 3rd pulse 1 Hz vs 10 Hz; $p = 9.09 \times 10^{-19}$	Wilcoxon signed-rank

3e	L4 Modulation Indices	1 Hz vs 10 Hz; $p = 0.0466$ 1 Hz vs continuous; $p = 9.5 \times 10^{-5}$ 10 Hz vs continuous; $p = 2.7 \times 10^{-8}$	Wilcoxon signed-rank
	L5 Modulation Indices	1 Hz vs 10 Hz; $p = 0.0177$ 1 Hz vs continuous; $p = 1.56 \times 10^{-7}$ 10 Hz vs continuous; $p = 8.9 \times 10^{-11}$	Wilcoxon signed-rank
5d	VPL Counts Facilitation	1 Hz 1st vs 3rd pulse; $p = 0.0757$ 10 Hz 1st vs 3rd pulse; $p = 0.007$ 1 Hz 3rd vs 10 Hz 1st pulse; $p = 0.50$ 1st pulse 1 Hz vs 10 Hz; $p = 0.24$ 3rd pulse 1 Hz vs 10 Hz; $p = 0.019$	Wilcoxon signed-rank
5f	VPL Burst Event Ratios	Baseline vs 1 Hz; $p = 5.2 \times 10^{-15}$ Baseline vs 10 Hz; $p = 4.7 \times 10^{-16}$ Baseline vs continuous; $p = 4.3 \times 10^{-17}$ 1 Hz vs 10 Hz; $p = 0.00025$ 1 Hz vs continuous; $p = 1.54 \times 10^{-34}$ 10 Hz vs continuous; $p = 1.56 \times 10^{-49}$	Wilcoxon signed-rank
5g	VPL Total Bursts	Baseline vs 1 Hz; $p = 2.9 \times 10^{-39}$ Baseline vs 10 Hz; $p = 1.1 \times 10^{-40}$ Baseline vs continuous; $p = 5.4 \times 10^{-11}$ 1 Hz vs 10 Hz; $p = 6.9 \times 10^{-15}$ 1 Hz vs continuous; $p = 0.62$ 10 Hz vs continuous; $p = 8.1 \times 10^{-13}$	Wilcoxon signed-rank
5h	VPL Median Firing Rates	Baseline vs 1 Hz; $p = 8.4 \times 10^{-17}$ Baseline vs 10 Hz; $p = 2.5 \times 10^{-38}$ Baseline vs continuous; $p = 1.5 \times 10^{-53}$ 1 Hz vs 10 Hz; $p = 3.8 \times 10^{-31}$ 1 Hz vs continuous; $p = 8.7 \times 10^{-18}$ 10 Hz vs continuous; $p = 7.5 \times 10^{-41}$	Wilcoxon signed-rank

646 **Supplementary Table 1.** Description of statistical parameters and *p*-values by figure.

650