Title: Whole-genome analyses point to new candidate genes underlying aestivation in

amphibians

Running head: New candidate genes underlying aestivation in amphibians

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What is already known

Over 300 million years ago, the ancestors of amphibians were the first vertebrates to emerge onto

land, and they likely experienced intense physiological stresses. To this day, many amphibians

have retained the ability to survive water and heat stress through aestivation, a hypometabolic

state during which animals suspend their daily activities. How aestivation is induced and

maintained is of medical interest because these animals can live in suspended animation for

months or even years at a time, while retaining the ability to awaken without organ atrophy or

cancerous tissues. The genetics of aestivation are just beginning to be understood, but much

remains to be discovered.

What this study adds

This study uses newly available genomic resources for amphibians and new genome-wide

analysis tools to provide novel insight into the genes and processes underlying aestivation. The

genome-wide assessment and inclusion of species not previously studied from a genetic

perspective provides novel candidate processes involved in aestivation like angiogenesis and

protein and membrane trafficking. The diversity of amphibians that aestivate is much greater

than in other vertebrate groups and represents a relatively untapped resource that can inspire

future innovations in human medicine.

Acknowledgments

Thanks to Craig Franklin, Rebecca Cramp, and Nicholas Hudson for sharing raw results from

their 2024 publication.

Funding

Funding from UC Berkeley (BEARS) covered visiting scholar expenses. Funding was provided

by the Dirección General de Asuntos del Personal Académico (DGAPA, UNAM) through the

Support Program for the Improvement of Academic Personnel for sabbatical stays granted to

LMOO. We also thank CONAHCYT for the scholarship received within the framework of the

Complementary Support program for Sabbatical Stays Linked to the Consolidation of Research

Groups also granted to LMOO.

Keywords: dormancy, glycolysis, metabolism, oxidative phosphorylation, protein trafficking,

TRAPP complex.

Abstract (250)

When amphibians invaded the terrestrial realm, they likely needed a strategy such as aestivation

to survive water and oxygen stress in the novel environment. In fact, aestivation appears to be an

ancestral state in amphibians. We conducted a literature review about the genes previously linked

to aestivation and then we searched for additional candidate genes related to the aestivation

phenotype by comparing evolutionary rates of 13,578 genes in 31 amphibian species, including

10 aestivating species and 21 non-aestivating species. Based on the assumption that aestivation

has two main requirements – a) metabolic regulation needed to control transitions to/from the

hypometabolic state, and b) cell preservation strategies needed to sustain biological processes

over long-term dormancy – we expected to find distinct relative evolutionary rates (RER) in

genes related to those traits. We identified 323 genes with significant RERs; these genes were

enriched for some processes overlapping with our predictions and with literature findings, such

as shifts between glycolysis and oxidative phosphorylation. Other genes related to protein and

membrane trafficking (TRAPP, SNARE, and Arp2/3 complexes) are newly associated with

aestivation. RER patterns suggest that aestivation in amphibians relies on a set of highly

conserved core processes and other auxiliary processes that have diversified across the

phylogeny. Given the vast number and diversity of aestivating amphibian lineages, we predict

that more in-depth molecular studies of amphibian aestivation will offer novel insight into

hypometabolic processes that could inspire medical innovations to prevent organ atrophy,

address problems with angiogenesis, and combat processes underlying cancer.

Introduction

Amphibians are distributed widely on Earth, except in regions with exceptionally limited access

to liquid water such as the Sahara, Arabian, and Gobi deserts, or Antarctica (IUCN 2024, update

2023-1). These amazing vertebrates need humidity to survive, particularly because the vast

majority carry out some gas exchange through their moist skin. Nevertheless, they have invaded

and diversified in areas that might seem extreme, such as Central Australia or the Mojave and

Sonoran deserts (Mayhew 1968). Amphibians living in seasonally arid environments avoid

desiccation either by producing lipids that waterproof their skin (e.g., Amey and Grigg 1995;

Jørgensen 1997) or by avoiding the hottest and driest season by resorting to aestivation, a

physiological state in which they lower their metabolism and stay dormant for a lengthy period

of time (weeks, months or even years), after which, when conditions are suitable, they raise their

metabolism to continue daily activities (Navas and Carvalho 2010).

Aestivation is a preemptive state wherein animals prepare for water loss and diminished

metabolic fuel supply. To avoid water loss, most amphibians seek refuge by burrowing. This

does not mean that they resort to fossoriality because they are not active under the ground; rather

they enter a state of depressed metabolism. Some amphibians while buried also produce cocoons

made of multiple layers of shed skin, increasing the thickness of the cocoon according to the

dryness of the environment (Booth 2006; Cartledge et al. 2006). The waterproof properties of the

cocoon have been associated with the production of certain hydrophobic proteins such as

ceramides and cerebrosides (Sadowski-Fugitt et al. 2012). Water loss is also retarded by

colligative means, this implies elevating the osmolality of body fluids via the production of high

concentrations of solutes like urea (a waste product of protein catabolism), in species that do not

produce cocoons (Storey 2002; Luu et al. 2021). For example, spadefoot toads (*Scaphiopus*

couchii) do not produce cocoons, but urea can rise up to 300 mM in their blood after several

months of estivation (McClanahan 1972). Moreover, it has been shown that water level does not

change across tissues when aestivating compared to non-aestivating frogs (Bayomy et al. 2002).

Aestivation must be prefaced by the accumulation of large reserves of endogenous fuels

to deal with starvation during the dormant period (Storey 2002), followed by a reduction in

energy use during dormancy through a lower metabolic rate. A typical metabolic rate during

estivation is approximately 10–30% of the corresponding resting metabolic rate in active

individuals at the same temperature (Pinder et al. 1992). There are some extreme cases where,

for example, *S. couchii* may reduce their resting metabolic rate 50% (Seymour 1973) or

Neobatrachus kunapalari that can reduce it by up to 67% (Flanigan and Guppy 1997). It is also

important to emphasize that the greater the reduction in metabolic rate, the longer the time that a

fixed reserve of fuel can sustain basal metabolism, and the lower the amount of reactive oxygen

species produced. It might be the reason why some amphibians seek hypoxic environments to

accelerate entry into or deepen the hypometabolic state, for example *Rana amurensis* is capable

of overwintering in water bodies under anoxic conditions using anaerobic metabolism (Berman

et al. 2019). Food ingestion ceases and the digestive tract is substantially reduced in size (Akat et

al. 2014; Cramp et al. 2005,2009). Energy metabolism during aestivation is based primarily on

the anaerobic oxidation of lipids, although there seems to be some protein catabolism and a small

direct contribution from carbohydrates. For example, in *Spea multiplicata*, the contributions to

the total energy while aestivating were calculated as 62% from lipids, 32% from protein, and 5%

from carbohydrate (Jones 1980). Protein synthesis, one of the most energy-expensive functions

of cells, is strongly suppressed in estivating animals (Storey and Storey 2004,2010). For

example, in liver slices from aestivating frogs in Australia, *Neobatrachus sudellae*, protein

synthesis was reduced by 67% compared with the rate in slices from non-aestivating frogs (Fuery

et al. 1998). Furthermore, mechanisms of metabolic suppression in aestivators must be (a)

rapidly reversible, and (b) require very little *de novo* protein synthesis and reorganization of

metabolism, in order to both preserve energy and be prepared to enter into an active state as

quickly as possible (Storey 2002; Storey and Storey 2012).

Aestivation may be an ancestral state in amphibians that has subsequently been lost many

times in lineages where, presumably, aestivation is not necessary for survival (Ochoa-Ochoa et

al. 2025). Here we take advantage of newly available annotated reference genomes to compare

evolutionary rates of more than 13,000 genes between 10 aestivating species and 21 non-

aestivating species. In this study we aim to: 1) identify candidate genes and biological processes

that underlie estivation in amphibians, 2) evaluate whether these processes are highly conserved

or derived in aestivating amphibians, and 3) review whether the genes we identify have been

previously studied in amphibians or are newly identified. We employ an untargeted approach,

which has the advantage of discovering potentially novel genes and pathways involved in this

amazing adaptation.

Methods

Literature review

We followed a process similar to Ochoa-Ochoa et al. (2025) that consisted of performing a

systematic search using the advanced search tool of each of the following search engines:

Scopus, PubMed, and Web of Science, until December 2024. We used the words (aestivat* AND

amphibian*) and (estivat* AND amphibian*). Studies were selected according to the following

criteria: 1) empirical papers and reviews including theses; 2) empirical articles in which some

biological, ecological, physiological, or genetic aspect of the aestivation process were

mentioned; and 3) papers where the focus was not related to dormancy processes, but an

aestivating species is mentioned. Afterwards we selected all the papers that contained the word

"gene". Each of those published manuscripts was manually reviewed for a gene or protein

involved in the aestivation process. Scientific names of amphibian species follow AmphibiaWeb

(2024) nomenclature.

We recorded the tissue analyzed in each study (brain, heart, kidney, liver, muscle, lung,

gut, and skin) because the same gene, miRNA, or metabolite can have increased activity in one

tissue and decreased activity in another. In some manuscripts, skin and muscle were divided

(e.g., dorsal and ventral skin), but here we considered these data together, without intra divisions.

We also registered whether the activity was significantly increased or decreased in each tissue,

and in the case of a non-significant change it was recorded as maintained. Thus, a single gene

can be in both increased and decreased datasets. Due to the fact that some manuscripts did not

report gene symbols, we used either the name of the gene provided or its description to search for

gene symbols based on the *X. tropicalis* ensembl dataset (Dyer et al. 2025).

Obtaining sequence data

We initially attempted to use Orthofinder v2.5.5 (Emms and Kelly 2019) to identify orthologs

across amphibian genomes, but the pipeline using primary transcripts identified only 161 single-

copy orthologous genes. Reviewing the estimated gene duplications output from Orthofinder,

three species were estimated to have a large number of duplicated genes: *X. laevis* (19,899),

Pelobates cultripes (26,583) and *Staurois parvus* (66,924). Although it makes sense that *X.*

laevis has so many duplications, as it is a tetraploid (Hughes and Hughes 1993), the other two

species are thought to be diploid (Liedtke et al. 2022; Uno 2021). Both of these genomes were

annotated by third parties. Although *Hymenochirus boettgeri* was also annotated by a third party,

it did not show an inflated number of estimated gene duplications (8,452). After removing *X.*

laevis, *P. cultripes,* and *S. parvus* from the Orthofinder analysis, we were still only able to

identify 1,845 single-copy orthologs. Thus, we chose to develop a different pipeline based on

NCBI gene annotations (see Supplementary Material Appendix A1, available online). We note

that there are drawbacks to both of these methods, and neither is perfect. However, we believe

that using the pipeline described below is adequate for the purpose of this study.

To get orthologs for amphibian genomes, we first downloaded the full gene set from *X.*

tropicalis using the "datasets download gene taxon" command from the NCBI Datasets

command line tools (O'Leary et al. 2024). Then we used a custom script (see Appendix A1,

available online) to obtain and filter the metadata for gene names and symbols. The list of gene

symbols was used to download all orthologs in amphibians using the "datasets download gene

symbol" tool in NCBI Datasets. In some cases, multiple isoforms were available for an ortholog;

thus, we selected the longest isoform for downstream analyses using a custom script. Once we

had all ortholog sequences, we estimated sequence alignments for each gene using MAFFT

v7.526 (Katoh and Standley 2013) and gene trees using IQ-TREE v2.4.0 (Minh et al. 2020).

Because downstream RER analyses require that the trees have the same topology, we used a tree

from Portik et al. (2023) pruned to the species of interest to constrain the tree inference (option -

g in IQ-TREE). *Microcaecilia unicolor* was not present in that tree, so we added the tip manually

into the newick file. To adjust branching times for the caecilian clade including *M. unicolor*, we

integrated estimates from TimeTree.org (Kumar et al. 2022): age of *M. unicolor + G. seraphini* =

120.1 MY; age of *M. unicolor + G. seraphini + R. bivittatum* = 221.3 MY. Finally, we rerooted

the trees to standardize the topology using the root and reroot commands in ape v5.8-1 (Paradis

and Schliep 2019) and phytools v2.4-4 (Revell 2024). All of the commands to obtain, align, and

infer phylogenies of orthologs can be found in Appendix A1 (available online).

Estimation of evolutionary rates

We estimated the relative evolutionary rates of 13,578 genes based on *X. tropicali*s orthologs. In

order to do so we used the RERconverge (Chikina et al. 2025) in R v. 4.4.2 (R Core Team 2024)

to identify genes with consistent and significant departures from average rates of evolution

across a phylogeny. Here we compare evolutionary rate in aestivating species to rates in

putatively non-estivating species using binary trait data (species that aestivate = 1, species

without info = 0). Of the 31 amphibian species with annotated genomes, ten are recognized to

aestivate based on our literature review (Table 1, Figure 1).

197   We followed the developers' recommendations: no master tree provided and re-

estimation of branch lengths when reading the trees; and for the function getAllResiduals we

chose square root transformation, to use weighted regression for relative rates, and to scale

relative rates internally for each species subset (see Supplementary Material Appendix A2,

available online). We developed three hypotheses:

H0 There is no set of genes with significant evolutionary rates associated specifically

with aestivation.

H1 Only genes with significantly higher evolutionary rates (i.e., positive selection) are

associated with aestivation which would imply diversification of the aestivation

phenotype.

H2 Only genes with significantly lower evolutionary rates (i.e., purifying selection) are

associated with aestivation which would imply conservation of the aestivation phenotype.

H3 Both genes with higher evolutionary rates and genes with low evolutionary rates are

associated with aestivation, which would imply conservation of some aspects and

diversification of others.

Finally, we compared gene symbols between significant RER genes and genes previously

studied in our literature review to identify genes present in both datasets. We note that RER

provides information on coding sequence evolution while most prior studies of aestivation have

focused on gene expression, so we expected some overlap but note that the analyses provide

different types of data.

Gene ontology analysis (GO analyses)

We performed a GO analysis on the genes identified by RER to be evolving at significantly

different evolutionary rates in aestivating species. We used as background the set of genes that

were estimated to have a Rho value, i.e., any gene with a positive or negative evolutionary rate

regardless of the significance. In other words, we excluded the genes that have either a missing

(NA) or 0 value for Rho. Thus, we had a background dataset containing 13,183 genes, of which

323 had significantly accelerated (positive) or decelerated (negative) evolutionary rates; based on

those genes we performed two GO analyses, one for positive and one for negative genes. It is

important to specify that there is no overlap between the positive and negative datasets, since a

gene has either a positive or negative Rho value.

We used the package *topGo* v2.58.0 (Alexa and Rahnenführer 2024) in R v4.4.2 (R Core

Team 2024) to identify significantly enriched terms in our set of 165 / 158 (positive / negative)

genes obtained from the RER analysis. For the gene2GO list of gene names and GoTerm

identifiers, we used the annotated list for *X. tropicalis* (Bateman et al. 2025,

https://www.uniprot.org/; downloaded June 12, 2025) that contains 76,381 entries. We used a

nodeSize of 10 and for the significant genes and terms we set the topNodes at 50 (full script and

data can be found in the Supplementary Material Appendix A3, available online).

We used Revigo (Supek et al. 2011) to simplify the visualization of GO terms through

network inference and Cytoscape (Shannon et al. 2003) to visualize the networks. Finally, we

searched for the protein name and function of the significant genes (https://www.uniprot.org/;

accessed June 18, 2025) and significant terms (https://www.ebi.ac.uk/QuickGO/; accessed June

18, 2025).

STRING (Search Tool for the Retrieval of Interacting Genes/Proteins)

To further visualize results from RER, we input the significant positive and negative gene sets

into the Search Tool for the Retrieval of Interacting Genes/Proteins (STRING) webtool

(Szklarczyk et al. 2023). We estimated full networks (both functional and physical associations)

using either *Homo sapiens* or *X. tropicalis* annotation information. We set the maximum number

of 1st shell interactors to 10, the maximum number of 2nd shell interactors to 5, the confidence

to high (0.700), and hid disconnected nodes from the results. Shell interactors are proteins that

are not in the query dataset but are predicted to interact with query proteins; including some of

these in cluster inference assists the program in identifying protein complexes that may be

relevant to the query dataset. Then we inferred gene network clusters using MCL clustering and

default settings (with inflation parameter = 3). Results from *H. sapiens* were qualitatively similar

to those from *X. tropicalis* and included slightly more annotations, so we only present networks

from *H. sapiens.* However, when clusters were annotated using *X. tropicalis* data but not *H.*

sapiens, we include this information clearly marked in the network.

Results

Literature review

From the 291 manuscripts found by Ochoa-Ochoa et al. (2025), 251 manuscripts contained the

word gene, and of these, 39 contained information about a gene, metabolite, miRNA, or protein

analyzed during aestivation or water restriction, i.e., dehydration (Table S1, Supplementary

Material, available online). Some form of metabolic function or gene expression has been

analyzed in 20 species, with *X. laevis* being the most common species, used in 15 studies,

followed by *Cyclorana alboguttata* with 7 studies and *S. couchii* with 6. Studies have focused on

different measurements of metabolic depression such as protein quantification (e.g., Bayomy et

al. 2002; Luu et al. 2020,2018; Madelaire et al. 2020; Malik and Storey 2009; Malik et al. 2023;

Pakay et al. 2003; Wu et al. 2017; Zhang et al. 2018), enzyme or metabolite activity (e.g.,

Bayomy et al. 2002; Cartledge et al. 2006; Flanigan et al. 1990; Katzenback et al. 2014; Patnaik

and Sahoo 2021), miRNA abundance (e.g., Biggar et al. 2022; Luu and Storey 2015; Naranjo et

al. 2023), targeted RT-qPCR (e.g., Biggar et al. 2022; Luu and Storey 2015; Naranjo et al. 2023;

Wu et al. 2013) and untargeted RNAseq (Reilly et al. 2013; Hudson et al. 2024 both with *X.*

laevis).

1464 unique proteins, metabolites, and genes have been studied, as well as 74 miRNAs.

Because they are not directly comparable to our results, which focus on genes that code for

proteins, we exclude metabolites such as ATP, hormones or other compounds such as

vasopressin, and miRNAs from further consideration (see full list in Table S2, Supplementary

Material, available online). It is worth pointing out that of the >2500 genes showing significant

changes in expression by Hudson et al. (2024), 739 sequences were not annotated (no BLAST

results in their paper), and another 660 were not assigned a gene symbol through the search using

the accession numbers provided; therefore, 1399 of their genes could not be included in our

assessment. From the total 1434 genes/proteins with gene symbols, 707 had an increased activity

and 755 had a decreased activity in at least one tissue. Skeletal muscle was the tissue most

frequently analyzed followed by liver and kidney (Table S1).

Probably the most amazing aspect of aestivation that has been demonstrated is that

although there is an overall metabolic depression, usually measured by O_2 consumption, there is

a wide range in responses among tissues from no changes generally in the brain (e.g., Chauvet et

al. 1993; Flanigan et al. 1990; Flanigan and Guppy 1997; Borah et al. 2020; Luu and Storey

2015; Wu et al. 2017,2020; Cowan et al. 2000) to extreme changes in the skeletal muscle (33 of

the 39 articles mentioned found a significant change in muscle, Table S1). Of course, there are

exceptions, for example, in aestivating *S. couchii* toads the brain contained increased activities of

fructose-1,6-bisphosphatase (FBPase), phosphoenolpyruvate carboxykinase (PEPCK) and malic

enzyme (ME), which all have roles to play in gluconeogenesis; it also had an increased activity

of b-Hydroxybutyrate dehydrogenase (BDH) suggesting the possible enhanced use of ketone

bodies as an aerobic fuel for the brain (Cowan et al. 2000).

Estimation of evolutionary rates

We obtained orthologs for 31 species (Figure 1; Table 1), but for the analyses the maximum

number of species in a single gene tree was 25. From this set of species only 10 have been

recorded to aestivate according to the literature (*Bufo bufo, Bufotes viridis, Rana catesbeiana,*

Pyxicephalus adspersus, Pelobates cultripes, P. fuscus, Spea bombifrons, X. laevis, X. tropicalis,

and *Pleurodeles waltl*). RER results from 13,578 genes indicated 13,184 with Rho values, 394

without (when few sequences were available), 6,918 with an accelerated evolutionary rate (a

positive Rho) and 6,265 with a negative Rho, thus indicating a decelerated evolutionary rate. In

total, 165 had a significantly positive RER and 158 had a significantly negative RER, prior to

multiple testing correction. When using the Benjamini-Hochberg correction (i.e., an estimate of

the false discovery rate), no genes reached significance (full list can be found in Table S3 of the

Supplementary Material, available online). The top ten genes ranked by p-values from

accelerated (Table 2) and decelerated (Table 3) RERs showed diverse functions that in some

cases were represented by significant terms in the GO analyses or were present in protein

complexes inferred by STRING.

We found 1215 genes that overlapped between the 13,184 *X. tropicali*s orthologs and the

1434 genes identified in the literature review (Table S1). Of these 1215 genes, 30 also showed a

significant RER in our analyses: 11 accelerated, and 19 decelerated. Of these 30, 9 showed

increased activity in at least one tissue, and 18 showed decreased activity in at least one tissue;

lastly 3 showed increased activity in one tissue and decreased in another (GPI, JCHAIN, and

PKM) (Table 4).

Gene ontology analysis

We identified a total of 148 significantly enriched terms when comparing genes identified with a

distinct relative evolution rate (RER) to all genes in the background dataset ($p < 0.05$). 80 terms

were significantly enriched in the RER accelerated gene set compared to the background gene set

($p < 0.05$). For the RER decelerated gene set, 68 terms were significantly enriched (Table S4,

Supplementary Material, available online). Many genes were annotated with multiple terms (Fig.

S1, in appendix A4, of the Supplementary Material, available online). Specifically, the terms

correspond to 73 and 43 genes, respectively, for accelerated and decelerated evolutionary

datasets, annotated to different GO term categories: 23 Molecular Function (MF), 71 Biological

Process (BP) and 18 Cellular Compartment (CC) for accelerated and 26 MF, 18 BP and 17 CC

for decelerated, respectively. Revigo summarized topGo results by collapsing similar terms into

64 parental terms, 22 for accelerated RER and 42 for decelerated RER.

MF showed 21 parental terms, 9 accelerated and 13 decelerated (Fig. S2, in appendix A4,

available online). Accelerated terms, such as "protein-containing complex binding",

"phospholipid binding", "lipid binding" and "NADP binding" are involved in intracellular

trafficking, transport between the trans-Golgi network and endosomes. This is a pathway that

promotes the recycling or degradation of internalized transmembrane proteins, it may also

involve macropinocytosis which is a form of endocytosis that results in the internalization of

extracellular content (Kay 2021). There are also various terms, such as "phosphatidylinositol

phospholipase C activity" and "protein kinase inhibitor activity", that are involved in the

transcription machinery particularly as regulatory and inhibitory processes. The decelerated

terms, like "translation factor activity, "RNA binding", "[DNA-directed 5'-3'] RNA polymerase

activity", and "catalytic activity, acting on a tRNA / RNA" are involved in transcription,

ribosome and structural molecule activity related to protein synthesis and degradation of

different polypeptides by substrate binding (e.g., "structural constituent of ribosome" and

"structural molecule activity").

BP had the largest number of parental terms after collapsing, 22 accelerated and 13

decelerated (Fig. S3, in appendix A4, available online). It is probably the most complex of all

ontologies. Accelerated terms, such as "monosaccharide metabolic process", "transcription by

RNA polymerase III", "nucleoside phosphate metabolic process", "phosphorus metabolic

process", "glycosylation", "pyridine-containing compound metabolic process",

"macroautophagy", "carbohydrate derivative metabolic process", and "carbohydrate derivative

biosynthetic process", are involved in the pentose phosphate pathway (PPP) for carbohydrate

degradation, an alternative to glycolysis and a major source of reducing power and metabolic

intermediates for biosynthetic processes; others such as "vesicle-mediated transport",

"macromolecule localization", "intracellular transport", "Golgi vesicle transport" and "cellular

localization", are involved in intracellular and endosomal membrane trafficking and in transport

between endoplasmic reticulum and the trans-Golgi network (TGN). The term "cellular process"

was the most complex and presented the highest number of genes involved, 70 in total. The

decelerated terms, like "purine ribonucleotide metabolic process", "cyclic nucleotide metabolic

process", "cyclic nucleotide biosynthetic process", "ribose phosphate metabolic process",

"translation", "amino acid activation", and "tRNA aminoacylation for protein translation", are

involved in translation, in the formation of cAMP in response to calcium entry leading to cAMP

signaling activation, and in glycolysis.

CC had the smallest number of parental terms after collapsing, 3 accelerated and 3

decelerated (Fig. S4, in appendix A4, available online). The accelerated terms ("endoplasmic

reticulum protein-containing complex", "organelle subcompartment" and "perinuclear region of

cytoplasm") are involved in the retrograde transport from endosome to plasma membrane, a

trafficking pathway that promotes the recycling of internalized transmembrane proteins. It also

mediates delivery of transmembrane proteins into the lumen of the lysosome for degradation.

The decelerated terms, like "mitochondrial inner membrane", "mitochondrion", "ribosome" and

"perinuclear region of cytoplasm", are involved with the protein synthesis and the ribosome

which is a large ribonucleoprotein complex responsible for the synthesis of proteins in the cell.

STRING (Search Tool for the Retrieval of Interacting Genes/Proteins) Results

STRING MCL clustering inferred 17 clusters for the accelerated gene set and 20 clusters for the

decelerated gene set (full list can be found in appendix A5 of the Supplementary Material,

available online). The largest cluster in the accelerated gene set (Fig. 2) was the TRAPP complex

(10 genes, although 8 are inferred interactors), followed by an unnamed cluster with 6 genes (1

inferred interactor) possibly related to translation deduced by the involved genes (SRPRA,

RPL37, RPS26, GSPT1, BTF3, TMCO1), a cluster of 4 genes (1 inferred interactor) related to

glucose 6-phosphate metabolism, an unnamed cluster of 3 genes related to angiogenesis (CDIPT,

NOS3, PLCG1), a 3-gene cluster related to endosome trafficking (labeled PhoX homologous

domain), among others. In the decelerated gene set (Fig. 3), the largest cluster was oxidative

phosphorylation (25 genes, 9 of which are inferred interactors), followed by mitochondrial

translation (10 genes, 1 inferred interactor), regulation of renal output by angiotensin (4 genes),

an unnamed cluster (3 genes) related to purine metabolism (NPR2, PKM, RRM2), a 3-gene

cluster related to RNA polymerase (though labeled as "Abortive elongation of HIV-1 transcript

in the absence of Tat"), an unnamed 3-gene cluster related to ribosomes, among others.

Discussion

In order to respond quickly and appropriately to a stressful environment, organisms can change

gene expression, as it underlies virtually all cellular responses to external stress and readjusts the

protein content, and therefore the function of the cell. It has been suggested that a hypometabolic

state can occur with gene expression changes in only a subset of processes that are key for

survival (Storey 2000), such as conservation of energy via two pathways switching to lipid fuel

and protein metabolism. Yet, the number of genes that respond during hypometabolism is

impressive, as Hudson et al. (2024) observed more than 2500 significantly upregulated or

downregulated genes in the skeletal muscle of aestivating frogs (*Cyclorana alboguttata*). This

demonstrates that entry into a hypometabolic state requires responses by a huge variety of cell

functions (Storey and Storey 2007). Prior studies of aestivation in amphibians have focused on

changes in gene expression and resulting fluctuations in metabolites or enzyme activity, and

most studies have targeted specific processes or genes, such as protein phosphorylation,

glycolysis, and the activity of specific transcription factors. In contrast, we take an untargeted

approach and use signatures of selection (quantified by significantly altered evolutionary rates)

to identify genes putatively involved in aestivation in amphibians. By doing so, we are not only

able to add novel lines of evidence supporting the role of some processes already known to be

involved in aestivation, but we can also identify new genes and processes that might underlie

hypometabolic states. Here we first review the general themes apparent in our results. Then we

revisit our hypotheses and discuss what the different evolutionary signatures we observe can tell

us about the aestivation phenotype. Finally, we compare our results to what was previously

known to identify how our study contributes to the field.

Shifts in energy use and production

Two of the main pathways used to produce ATP in cells are anaerobic glycolysis and oxidative

phosphorylation. In aestivating amphibians, aerobic energy production via oxidative

phosphorylation is limited due the oxygen limitation in tissues. Therefore, metabolic ATP

production shifts from oxidative phosphorylation to anaerobic production of ATP, where

pyruvate is converted to lactate to regenerate the NAD+ needed to sustain glycolysis (Dawson et

al. 2018). Lactate dehydrogenase (LDH) is responsible for catalyzing the reversible reaction of

pyruvate and NADH to lactate and NAD+. Much of the prior research has focused on genes and

regulatory mechanisms that are involved in either of these pathways (more than 20 articles). For

example, Katzenback et al. (2014) found differences in kinetics, post-translational modifications,

and stabilities of LDH, they also found that its function is maintained at elevated urea levels (150

mM) under dehydration stress in *X. laevis.*

It is now known that reversible phosphorylation control of pyruvate kinase (PK) is one

way of regulating the aerobic versus anaerobic energetic pathways present in many species of

animals, from aestivating snails to hibernating small mammals (Storey & Storey 1990; Storey

1997; Brooks & Storey 1997; Cowan & Storey 1998). As an example, Dawson et al. (2018)

showed increased function of PK during severe dehydration in aestivating *X. laevis* compared to

control frogs. Regulation of PK activity occurs via multiple mechanisms, including tight

allosteric regulation and regulation via insulin-mediated cell signaling pathways (Wu et al.,

2017). According to our RER results, PKM, the gene for muscle PK in *X. tropicalis*, has a

significantly decelerated RER, implying that the gene has been conserved. As the action of PK

seems to be crucial to deal with dehydration, and we see little change in the coding sequence in

aestivating species, either the function of the protein is similar to the ancestral state or its

function has been modified through other routes such as phosphorylation and gene expression

changes (e.g., Luu et al. 2020; Madelaire et al. 2020; Malik and Storey 2009; Pakay et al. 2003;

Wu et al. 2017,2020; Zhang et al. 2018).

Our results further support the importance of energy pathways in aestivating amphibians,

as the largest gene cluster identified by STRING in either data set was oxidative phosphorylation

(Fig. 3, decelerated). STRING also identified two unnamed clusters (3 genes, 2 genes) related to

purine metabolism (including GPI and PKM, critical to glycolysis), as well as two genes related

to fatty-acid metabolism ("malonyl-CoA metabolism") in the decelerated data set (Fig. 2). GPI

and PKM have been studied previously; as mentioned above, the latter was upregulated in

severely dehydrated *X. laevis* (Dawson et al., 2018). Both Reilly et al. (2013) and Hudson et al.

(2024) found downregulation for PKM and for GPI, whose product is Glucose-6-phosphate

isomerase, which is crucial in the inhibition of glycolysis. GPI was also shown to increase prior

to hibernation in the Chinese brown frog (*Rana dybowskii*) (Fan et al. 2022) and elevate during

hibernation in the brown bear (*U. arctos*) (Chazarin et al. 2019a). In the accelerated gene set,

STRING identified a cluster of genes related to glucose 6-phosphate metabolism (Fig. 3). GO

term analyses identified several enriched biological processes related to these pathways

including fatty acid and carbohydrate biosynthesis processes as well as and ribose phosphate,

cyclic nucleotide, and purine ribonucleotide metabolic processes in the decelerated data set and

carbohydrate derivative, nucleoside phosphate, pyridine-containing compound, and

monosaccharide metabolic processes enriched in the accelerated dataset. Pyridine-containing

compound metabolic processes includes NAD+ catabolism, resulting in NADH, and NAXE,

present in the decelerated dataset, helps keep NADH supplies in the correct format (Manor et al.

2022).

When sufficient NADH and oxygen are available, oxidative phosphorylation is carried

out in the mitochondria via five protein complexes, producing relatively large quantities of ATP.

Several members of each complex were represented in the decelerated gene set, and none were

present in the accelerated gene set, suggesting strong evolutionary conservation of this process:

TIMMDC1, NDUFB1, NDUFB2, NDUFB3 (a top-ten RER; Table 3), NDUFB7, NDUFB8,

NDUFC1, NDUFS4, NDUFV3 in Complex I; DMAC2 in Complex II; UQCR11 and UQCRQ in

Complex III; COA3, COX6C, and COX7A2 in Complex IV; ATP5F1A (another top-ten RER;

Table 3), ATP5PB, ATP23, and ATP5PD in complex V. In estivating *C. alboguttata*, the

expression of NDUFB3, NDUFS4, ATP5PB, and other related proteins are all downregulated, as

part of a global repression of ATP production concomitant with a lower need for energy in the

aestivating state (Hudson et al. 2024). The mitochondrion itself was an enriched cellular

component (Fig. S4). MICOS13, present in the decelerated gene set, is related to the formation

of cristae in the mitochondria, which is directly related to its oxidative phosphorylation capacity

(Khosravi and Harner 2020). This strong evolutionary conservation might be related to the brief

period of activity that most amphibians living in extreme environments have, therefore they have

to be absolutely efficient in renewing their energetic reserves.

Many of the genes that are involved in glycolysis change in expression during dormancy

(aestivation and hibernation) and hypoxia in other animals, not only in amphibians. The role of

G6PD, glucose-6-phosphate dehydrogenase, which hydrolyzes glucose-6-phosphate into a

glucose and phosphate group in the PPP, represents a route for the breakdown of carbohydrates

besides glycolysis. G6PD has increased activity in the brain and skeletal muscle (but decreased

activity in the liver) of aestivating *S. couchii* toads (Cowan et al., 2000), and reduced activity in

hibernating squirrels (*Spermophilus parryi*) (Smullin & Behrisch, 1981) and diapausing flesh fly

pupae (*Sarcophaga crassipalpis*) (Chen et al., 2020). ACACA, present in our decelerated gene

set, is involved in fatty acid synthesis and has been found to have reduced expression in

hibernating grizzly bears (*Ursus arctos horribilis*), black bears (*U. americanus*), Himalayan

marmots (*Marmota himalayana*), and tree shrews (*Tupaia belangeri*) (Jansen et al. 2019;

Fedorov et al. 2025; Bai et al. 2019; Hou et al. 2023). HK1, present in our accelerated gene set

and involved in glycolysis, has been shown to have increased expression in the skeletal muscle

during aestivation in *C. alboguttata* (Hudson et al. 2024), during anoxia in *Trachemys scripta*

turtles (KennethSparks et al. 2021) and *Rattus norvegicus* rats (Waskova-Arnostova et al. 2015),

as well as during dormancy in *Apostichopus japonicus* sea cucumbers, and in *Microcebus*

murinus mouse lemurs during torpor (Biggar et al. 2015; Yang et al. 2021). However, HK1

expression decreased in hibernating grizzly bears (*U. a. horribilis*) and in Horseshoe bats

(*Rhinolophus ferrumequinum*) during torpor (Jansen et al. 2019; Xiao et al. 2015), suggesting

some variation in the role of glycolysis among species during dormancy. In addition, during

dormancy, some organisms transcribe but do not translate certain genes, or pause the glycolysis

pathway at later steps, to build up reserves of intermediates that can be accessed immediately

upon awakening (Hudson et al. 2024).

Enhancement of antioxidant defenses is proving to be a universal feature of

hypometabolism across distant lineages of life (Storey and Storey 2007). In aestivating

amphibians, enzymatic and metabolite antioxidant defenses are adaptable systems that can be

easily modified in response to stressful conditions (i.e., hot and dry environments) in order to

enter a hypometabolic state as well as to exit it when conditions return to suitable conditions

(Grundy & Storey, 1998). One antioxidant gene was present in the gene set: ATOX1. In contrast,

NOS3, which produces nitrous oxide, a source of reactive oxygen species (ROS) (Canty, 2000),

was present in the accelerated gene set and was one of the most significant RER genes (Table 2).

NOS3 was previously found to be downregulated in skeletal muscle in *C. alboguttata* (Reilly et

al. 2013). Several genes involved in DNA repair, including FAM168A (decelerated), and SIRT7,

SSRP1 and YBX1 (accelerated) were present in our dataset (Fig. 4). Expression of YBX1 was

found to be downregulated in aestivating *C. alboguttata* (Hudson et al. 2024). In aestivating

amphibians, Glucose-6-phosphate dehydrogenase (G6PDH) promotes carbohydrate entry into

the PPP to produce sugar phosphates for biosynthesis producing reduced forms of glutathione

and thioredoxin by NADPH reducing power to drive antioxidant defense. This reducing power is

crucial to prevent and minimize oxidative damage to macromolecules during dormancy.

Although G6PDH was not present in our significant RER gene sets, G6PDH activity has been

shown to be increased during aestivation in *S. couchii* and *Rana pipiens* (Cowan et al. 2000;

Cowan and Storey 2002). Increased G6PDH activity during oxidative stress is also present in

other species such as land snails (*Otala lactea*), marine mollusks (*Littorina littorea*) and in wood

frogs (*R. sylvatica*) (Hermes-Lima et al. 2015; Giraud-Billoud et al. 2024). Complementarily,

inhibited and/or reduced G6PDH activity has been correlated with reduced antioxidant

capacities, even death (Navas and Carvalho 2010). Notably we didn't find NRF2 or any FOXO

genes in our RER significant datasets, which are canonical responses to ROS (Giraud-Billoud et

al. 2024; Malik and Storey 2009,2011).

Transcription and translation are central targets of selection in aestivating amphibians

Transcription and translation are energetically costly, and during dormancy, animals often reduce

these processes to both save energy and avoid generating products that are not in high demand

(Storey and Storey 2007; Hawkins and Storey 2020). One way that transcription can be reduced

is by increasing methylation or decreasing acetylation of histones; this reduces the accessibility

of DNA to transcription factors and ultimately reduces transcription rates (Jiang et al. 2023).

STRING identified two members of the Sin3 complex (SAP30 and SUDS3) involved in histone

deacetylation in the accelerated gene set, whose activity would make chromatin more tightly

packed and less accessible for transcription (Wang et al. 2023). Although histone modification

was not identified as an enriched process (e.g., the GO terms ubiquitin-modified histone reader

activity, histone binding, or histone deubiquitinase activity are not enriched in our datasets),

there were a number of additional genes related to histone binding and accessibility present in

the accelerated dataset including AUTS2, BRD9 (top ten RER; Table 2), EYA4, KDM5B,

SIRT7, SSRP1, and YEATS2 and in the decelerated dataset, including CTCF, NCOA3, NLK,

RYBP (Fig. 4). KDM5B, a histone demethylase, has been shown to have decreased expression in

wood frogs (*R. sylvatica*) during freezing (Taiwo et al. 2024). In addition, two of these genes

(AUTS2, RYBP) are members of the Polycomb group (PcG) proteins, which are a conserved

family of epigenetic regulators of gene expression. In the central bearded dragon (*Pogona*

vitticeps), PcG plays an important role during hibernation by repressing transcription (Capraro et

al. 2019). SAP30 and AUTS2 were both downregulated in aestivating *C. alboguttata* (Hudson et

al. 2024).

In reviewing the GO term and STRING results, we noted that many other genes and

processes integral to transcription and translation were identified to be enriched in the RER gene

sets (Fig. 4; Figs. S2–S4). For example, "transcription by RNA polymerase III" was a biological

process enriched in the accelerated gene set (Fig. S3). Two genes encoding RNA polymerases

present in the decelerated gene set were highlighted by STRING (POLR2B, POLR2K) along

with SUPT5H, which is implicated in transcription efficiency (Naguib et al. 2019). POLR2B is

downregulated in the skeletal muscle in aestivating *C. alboguttata* (Hudson et al. 2024). In

estivating snails (*Bostryx conspersus*), the expression of RNA polymerases was downregulated

(Pourazami 2024). Many transcription factors and proteins related to transcription factor function

were also present in the accelerated gene set, including CALCOCO1, CDK7, COMMD7,

DRGX, HEXIM1, MED12, SCX, SNAPC5, SSRP1, and ZNF131; two were also present in the

decelerated gene set (MED13 and RUNX3) (Fig. 4). At least two of these genes have been

implicated in responses to hypoxia and hibernation in animals previously: HEXIM1 is

upregulated in heart cells of lab mice (*Mus musculus*) following hypoxia, possibly helping to

prevent hypertrophy (Yoshikawa et al. 2012); MED12 is upregulated during torpor in Himalayan

marmots (*M. himalayana*) (Bai et al. 2019).

If produced, mRNA resulting from transcription can be temporarily hidden from

translation machinery, for example by being reversibly bound by CPEB1 (decelerated), which is

a mechanism that would allow the buildup of reserve mRNA that can later be translated when

protein products are needed (Chengand Schuh 2024), while avoiding unnecessary energy

expense during dormant periods. A few genes related to miRNA and RNA degradation were also

present in both datasets, including DBR1, YBX, TARBP2, and WTIP (Fig. 4).

In contrast to the mixed patterns of selection on genes related to transcription, genes

relating to translation were largely characterized by decelerated RERs. An impressive number of

the components of the ribosome and mitoribosome were present in the decelerated gene set

including MRPS2, MRPS5, MRPS9, MRPS25, MRPS28, MRPS35, RPL24, and RPL35A; two

additional genes, RPS26 and RPL37 were present in the accelerated gene set (Fig. 4). Three

genes involved in the assembly of the ribosome complex were also identified within the

decelerated gene set (FCF1, GAR1, and RSL24D1). Although one may generally expect that

translation machinery is under purifying selection, here we find that it is even more conserved in

aestivating compared to non-estivating species. A number of genes related to tRNA function, as

well as the initiation and termination of translation, were present, mostly in the decelerated gene

set (Fig. 4; including MTRF1L, one of the top ten significantly decelerated RERs; Table 3). One

of these genes, GUF1, helps promote mitochondrial protein synthesis under less-than-optimal

conditions (Bauerschmitt et al. 2008). The GO terms "translation" and "amino acid activation",

related to amino acids being attached to their respective tRNA, were enriched in the decelerated

gene set (Fig. S3), along with their corresponding cellular locations (ribosome, ribonucleoprotein

complex, and mitochondrion; Fig. S4), and associated molecular functions including "catalytic

activity, acting on RNA and tRNA", and "structural constituent of ribosome" (Fig. S3). One of

these decelerated genes, SARS1, had an increased expression in the skeletal muscle of *C.*

alboguttata (Reilly et al. 2013). Finally, STRING identified two clusters of genes involved in

translation in the decelerated gene set ("Mitochondrial translation," "initiation factor;" Fig. 3).

The expression of translation initiation factors has previously been shown to be altered during

periods of dormancy in animals. For example, EIF4H (accelerated) is upregulated during torpor

in tenrecs (*Tenrec ecaudatus*) (Khudyakov et al. 2021), EIF2S3 (decelerated) is downregulated

in aestivating snails (*Bostryx conspersus*) (Pourazami 2024), and EIF4ENIF1 (accelerated) is

upregulated in the skeletal muscle in aestivating *C. alboguttata* (Hudson et al. 2024).

Possible signature of selection on membrane and protein trafficking

Another major biological process that was significantly enriched in our results was the transport

of proteins and macromolecules (Fig. 4; Fig. S3). Metabolizing proteins and lipids from cell

membranes or from cells undergoing apoptosis can both provide nutrients and allow animals to

reconfigure their tissues for new environments (Hawkins and Storey 2020; Storey and Storey

2004). For example, removing aquaporins from cell membranes helps protect against desiccation

in a killifish (*Fundulus heteroclitus*) (Tingaud-Sequeira et al. 2013). In yeast experiencing

glucose starvation, cells halt membrane protein recycling, which results in an increased retention

of membrane proteins for metabolism and ATP production (Lang et al. 2014). The possible

importance of these processes in aestivating amphibians was made salient in STRING through

the identification of several protein complexes involved in membrane fusion and transport

processes. The TRAPP (TRAnsport Protein Particle) complex (represented by TRAPPC5 and

TRAPPC6B, under accelerated RERs), is involved in docking and/or tethering vesicles to a

target membrane (e.g., the ER or the Golgi apparatus) (Sacher et al. 2008). TRAPPC6B is the

second most significant accelerated RER gene (Table 2). The SNARE complex (tSNARE,

STXBP6, VPS18; all accelerated) helps fuse membranes together (Ungar and Hughson 2003).

Sorting nexins (SNX5, SNX8, SNX10, and SNX27; all accelerated), highlighted by STRING as

a "PhoX homologous domain" cluster, are involved in membrane trafficking and the endosome

pathway (Cullen 2008). The Arp2/3 complex (ARPC3, ARPC5; both decelerated) is involved in

forming branched actin, which is necessary to move endosomes (Rotty et al. 2013). Other

proteins involved in endocytosis were also present in our RER significant gene datasets, although

they were not highlighted by GO or STRING: HIP1, AP1AR, WDR81, UNC119, and COMMD7

(all accelerated); RUFY1 (decelerated). Members of the exocyst complex were also present:

RALGAPA2, SYNGR3, and VPS18 (all accelerated; VPS18 is a top-ten RER gene; Table 2);

EXOC1 (decelerated). In general, there were many genes involved in protein and membrane

trafficking in our significant gene sets, mostly under accelerated RERs (Fig. 4). A number of

genes related to trafficking to the Golgi apparatus (ARF4, decelerated; ARF3, ERGIC1, AP1AR,

all accelerated; Fig. 4), where proteins are subsequently modified and sent for degradation or to

the cell membrane, were also present in the significant gene sets.

Related to these functions are the targeting and breakdown of proteins for energy, and/or

as a response to ROS damage (see section above). The molecular function GO Terms

"metallopeptidase activity" and "metalloendopeptidase activity" were enriched in the decelerated

gene sets (Fig. S2). These are related to protein breakdown. Polyubiquitination involves tagging

a protein with multiple ubiquitin molecules, often then leading to its degradation (Voet et al.

2008). Several ubiquitin enzymes were present in our dataset, including ubiquitin-conjugating

enzymes (E2s) UBE2G1 and UBE2V2 (both decelerated), ubiquitin-protein ligases (E3s)

SH3RF2, RAB40C (both accelerated), and RNF212B (decelerated), as well as proteins that can

remove ubiquitin tags (YOD1, accelerated, and IDE, decelerated). STRING identified the two

E2s as a cluster (Fig. 3) and the RER of UBE2G1 was the second most significant in the

decelerated gene set (Table 3). Previously it was shown that UBE2G1 is upregulated during

aestivation in green striped burrowing frog *C. alboguttata* (Hudson et al. 2024) and, more

generally, that protein degradation was enriched during torpor in tenrecs (*T. ecaudatus*)

(Khudyakov et al. 2021).

Autophagy is another mechanism to recycle nutrients (and reduce damage from ROS) by

degrading internal organelles and molecules in endosomes. The biological process GO term

"macroautophagy" was enriched in our accelerated RER gene set (Fig. S3). In total, more than

10 genes involved in autophagy were present in our datasets. Some of these are specifically

related to lysosomes or peroxisomes (accelerated: ACBD5, YOD1; decelerated: PSAP), the

formation of autophagosomes (accelerated: ATG2A, TBC1D25), or ER-phagy (TEX264; one of

the most significant accelerated RER genes, Table 2). DDIT4, present in the decelerated gene

set, has been shown to be downregulated in response to dehydration in skeletal muscle in *C.*

alboguttata (Reilly et al. 2013), and to be related to autophagosomes (Ho et al. 2020). Membrane

fusion is involved in autophagy, and as discussed above, several protein complexes involved in

membrane fusion or trafficking were identified in our results.

At a larger scale, apoptosis can also contribute to nutrient metabolism, induced by

cellular damage (e.g., through action by ROS), or through other physiological processes. Three

genes with accelerated RERs are related to inhibition of apoptosis (BFAR, DAD1, MDM4;

DAD1 is a top-ten RER) while two with decelerated RERs are related to the promotion of

apoptosis (RUNX3, RYBP). In prior studies, BFAR was shown to be downregulated in the

skeletal muscle in aestivating *C. alboguttata* (Hudson et al. 2024), and DAD1 was shown to be

upregulated during torpor in *Myotis ricketti* bats (Huang et al. 2020) and in hibernating

Himalayan marmots (*M. himalayana*) (Bai et al. 2019). A number of other genes with various

roles related to homeostasis or indirect interactions with apoptosis genes were present in each

gene set (accelerated: CDK7, GRAMD4, HIP1, NAIF1; decelerated: ANP32B, DKK1,

DNAJA3, ERBB4, RPL35A). Previously, ANP32B was shown to be upregulated in the skeletal

muscle in aestivating *C. alboguttata* (Hudson et al. 2024). Apoptosis-inducing factor 2 (AIFM2)

was the gene with the highest increase in expression in the skeletal muscle in aestivating *C.*

alboguttata (Hudson et al. 2024). However, although AIFM2 presented a decelerated relative

evolutionary rate in our study, it was not significant.

Other emerging themes

A few unexpected groups of genes were identified. For example, an unnamed cluster of 3 genes

containing two related to angiogenesis (PLCG1, NOS3) was identified by STRING in the

accelerated dataset (Fig. 2); similarly, STRING identified a cluster of 4 genes in the decelerated

gene set related to the regulation of renal output by angiotensin (Fig. 3). One of these genes,

ACE, angiotensin-converting enzyme, was previously identified to be downregulated in response

to dehydration in skeletal muscle in *C. alboguttata* (Reilly et al. 2013; Hudson et al. 2024). ACE

inhibitors are commonly used in patients with high blood pressure to block the activation of

angiotensin and avoid the constriction of blood vessels and lower blood pressure; they can also

cause angioedemas (Cutrell et al. 2023). In Syrian hamsters, decreased ACE activity is

associated with maintenance of lung tissue during torpor (Talaei et al. 2012). In high-altitude

Himalayan marmots (*M. himalayana*), it's been proposed that changes in the angiogenesis

pathway can help avoid over proliferation of blood vessels in response to lower oxygen

availability (Bai et al. 2019). The VEGFA-VEGFR2 signaling pathway, involved in

angiogenesis, was overrepresented during torpor in tenrecs (*T. ecaudatus*) (Khudyakov et al.

2021). Aestivating amphibians need to respond to low oxygen availability by activating VEGF

(to stimulate angiogenesis), however it is counter-intuitive to enhance capillary growth since the

problem is not oxygen delivery but a lack of oxygen overall. Therefore, upregulation of pigment

epithelium-derived factor (PEDF) might counteract a VEGF-mediated angiogenic response in

hypoxic environments (Storey and Storey 2007).

Genes involved in post-translational modifications were also common in our significant

gene sets. Above we discuss ubiquitination, but glycosylation and phosphorylation were also

common themes (Fig. 4). Glycosylation is a ubiquitous co- or post-translational protein

modification process that affects protein solubility, stability, and function (Lauc and Wuhrer

2017). We identified several genes related to glycosylation in both our accelerated (B3GALT2,

MOGS, ST3GAL2, ST3GAL4, TMTC2) and decelerated (GALNT16, RAMP1) gene sets (Fig.

4). Prior studies have shown that TMTC2 is possibly related to adaptation to high elevation in

sheep and pika (Sjodin 2023; Yang et al. 2016). ST3GAL2 is downregulated in hibernating

Daurian Ground Squirrels (*Spermophilus dauricus*), and glycosylation in general was identified

as an important process in their ability to avoid muscle atrophy during hibernation (Dang et al.

2020). The GO terms "cellular process", "glycosylation", "carbohydrate derivative biosynthetic

process", and "carbohydrate derivative metabolic process" are related to these functions. Protein

phosphorylation is another prevalent theme in our significant genes (Fig. 4), as well as prior

studies of aestivation. We found a prevalence of kinases in our data set including several

representatives of the cyclin-dependent kinases (accelerated: CCND1, CDKN1B; decelerated:

CCNI2, CDK7). CDKN1B was found to be downregulated in response to dehydration in skeletal

muscle in *C. alboguttata* (Reilly et al. 2013). Also, present were GPRC5B (top ten accelerated

RER; Table 3), which modulates the NF-kB signaling pathway involved in responses to stress,

resulting in downstream phosphorylation activity, and MYDGF (decelerated), which results in

changes in phosphorylation through the MAPK signaling pathway (Luu et al. 2021).

Phosphatases, which remove phosphoryl groups from proteins, were not as prevalent in our data

(only EYA4 and PXYLP1 were present in the accelerated dataset).

Genes related to cell cycle progression were overrepresented in our results. Specifically,

in the accelerated gene set, several genes were related to the Wnt-signalling pathway, and the

molecular function GO term "beta-catenin binding" was enriched. In addition, two genes in the

decelerated gene set related to Wnt-signalling were identified by STRING in "RUNX3 regulates

WNT signaling". More than ten genes associated with cell cycle progression were present in our

significant gene sets (accelerated: CCNI2, CDKN1B, GSPT1, HOXD10, MDM4, SCRIB,

SIRT7; decelerated: ANP32B, CCND1, DDIT4, ERBB4, FANCD2, FGFR1OP, NCAPD2,

NPR2, RRM2, RUNX3, UBE2V2, WTIP). It was previously shown that CDKN1B is

upregulated in the testis of a cavefish (*Onychostoma macrolepis*) during torpor (Ma et al. 2024)

and in killifish (*Nothobranchius furzeri*) during diapause (Hu et al., 2020) and in zebrafish

(*Danio rerio*) under hypoxia (Jopling et al. 2012); its action is suggested to inhibit cell

proliferation and promote muscle preservation (Ma et al. 2024). CDK7 and CDKN1B (also

mentioned above) were identified as part of an unnamed gene cluster related to the cell cycle by

STRING (Fig. 2). The role of cell cycle progression in aestivating amphibians could be two-fold:

1) limit tissue growth during aestivation and 2) promote rapid tissue growth during the

awakening period prior to reproduction. More studies of genes involved in cell-cycle progression

could help provide insight into mechanisms promoting or preventing the development of cancers.

In general, cancer is a rare phenomenon in amphibians (Torres-Dimas et al. 2022; Compton et al.

2025).

Finally, we note several other groups of genes present in our data: homeobox genes,

important for development (DRGX, EVX2, HHEX, HOXB2, HOXB9, HOXD10, NKX2-5; all

accelerated); genes involved in cell-cell adhesion or junctions (accelerated: CLDN11, CLDN7,

DLG1, CLRN1, PARVA, TEX264; decelerated: ADAM23, CDHR3, DSCAM, GJB2, GJC1,

MICOS13, WTIP), and a few genes involved in sperm function (all decelerated: MMEL1,

SPACA6, SPATA6) or hormones (accelerated: GALP, GALR2, also highlighted by STRING in

Fig. 2, and SCT; decelerated: ACE, IDE, NPR2). SCT is involved in mechanisms of satiation

and homeostasis in regard to pH in the stomach (top 10; Table 2). In hibernating amphibians,

galanin (encoded by GALP, binding to receptor GALR2) may be linked to shifts in metabolic

fuel sources or decreased responses to stress (González Nicolini et al. 1998). A gap junction

protein (GJB1) was found to be upregulated in hibernating thirteen-lined ground squirrels

(*Ictidomys tridecemlineatus*) (Hampton et al. 2013) and muscle structure was a theme in gene

expression changes in the skeletal muscle in aestivating *C. alboguttata (Reilly et al. 2013).*

ADAM23 gene expression has been associated with cell-cell and cell-matrix interactions was

also found to be downregulated in response to dehydration in skeletal muscle in *C. alboguttata*

(Reilly et al. 2013; Hudson et al. 2024). Genes involved in sperm function and hormones may be

related to the unique life histories of aestivating amphibians, which require rapid periods of

reproduction following long aestivation periods (Shalan et al. 2004; Wilsterman et al. 2021).

Relative evolutionary rates of genes associated with aestivation; revisiting the hypotheses

We hypothesized that the selection patterns in our data would provide insight into both the

mechanisms permitting aestivation as well as the evolutionary signatures associated with its

origin and maintenance. Given that aestivation is thought to be an ancestral state of amphibians

(Ochoa-Ochoa et al. 2025), we hypothesized (H1 & H3) that some genes would be highly

conserved and show decelerated relative evolutionary rates (RERs). Yet, the ecological and

phylogenetic diversity of the amphibian species that aestivate may result in positive selection on

some genes, diversifying their functions in accordance to species-specific ecological and

physiological needs (H2 & H3). Parsing apart these patterns can provide insight into possible

core and auxiliary mechanisms of the aestivation phenotype.

Most of the processes represented by genes in our results show a mixture of accelerated

and decelerated rates, supporting H3 and demonstrating that the retained ancestral phenotype

relies both on a core set of highly conserved processes and a set of auxiliary processes that have

diversified with time. However, there are a few notable exceptions. First, the genes in our

datasets that are related to oxidative phosphorylation and translation are all under decelerated

RERs. The ubiquity of decelerated relative evolutionary rates in genes linked to these processes

suggests that there has been especially strong negative selection on translation and oxidative

phosphorylation in aestivating amphibians, and identifies them as a core conserved process in

relation to aestivation. Having well-functioning machinery for oxidative phosphorylation and

translation could be important both during aestivation, to preserve energy, and during active

periods, to quickly recover, reproduce, and renew the energetic reserves (Vieira de Andrade et al.

2016). Nevertheless, these patterns suggest that among aestivating amphibians, it is beneficial or

necessary for the genes underlying these processes to remain relatively unchanged from their

ancestral sequences. We note that other processes, such as phosphorylation and epigenetic

modifications could alter these processes without changing the coding sequences.

Two processes were predominantly under accelerated RERs: the homeobox genes and

most of the genes involved in protein and membrane trafficking (Fig. 4). These patterns suggest

that development and trafficking is diverse among aestivating amphibian lineages. We

hypothesize that many of the genes related to the metabolism of cell membrane lipids and

proteins are under accelerated evolution in aestivating amphibians because of the variety of

needs of and resources available to each species, necessitating different solutions to conserve

energy and limit the increase of ROS (Jiang et al. 2023).

We note one additional intriguing pattern. The RERs of the three genes related to

inhibition of apoptosis were all accelerated while that of the two genes related to the promotion

of apoptosis were decelerated. If this pattern reflects the evolutionary history of selection on

apoptosis, it suggests that in aestivating amphibians, the mechanisms promoting apoptosis are

highly conserved while those inhibiting it are diverse. Finally, we want to note that a lack of

significance after multiple testing correction as occurred here is fairly common in studies that use

RER (Chikina et al. 2016), including in the tutorial outlined in the R package documentation

(Kowalczyk et al. 2019; Meyer 2018). It is likely related to the large number of genes involved

in these studies.

Comparison between our results and prior studies

In our literature review, we found a predominance of targeted studies (Table S1), which focus on

a subset of genes, proteins, or metabolites putatively involved in the hypometabolic state, rather

than untargeted studies, which review all available genetic or physiological information to

subsequently identify which genes, proteins, metabolites, or functions change the most between

states (but see Reilly et al. 2013; Hudson et al. 2024). Many articles employed biological assays

to measure enzyme activity or content, as an approximation of the metabolic scope of a tissue, as

metabolic depression can be observed by reduced enzyme levels or function (Flanigan et al.

1990).

Our untargeted approach led to the identification of TRAPP, SNARE, and Arp2/3

complexes, which is novel and highlights the possible importance of membrane remodeling

and/or membrane metabolism in the aestivation phenotype. The identification of many genes

involved in angiotensin regulation is also novel, although studies of hibernation and hypoxia in

other organisms have pointed to its possible involvement (Khudyakov et al. 2021; Bai et al.

2019; Talaei et al. 2012). The role of cell-cycle progression is also of interest and has not been

expansively investigated previously, although it was indicated as important in *C. alboguttata*

(Reilly et al. 2013). Both cell proliferation and angiogenesis are in part regulated by the NFKB1

transcription factor, which has been shown to be involved in the aestivation response in

amphibians (Maistrovski 2011). Similarly, the two other untargeted studies on aestivation in

amphibians that we are aware of (Reilly et al. 2013; Hudson et al. 2024), also provided insight

into novel mechanisms, such as the involvement of antiapoptotic mechanisms and the possibility

that tissues retain pools of mRNA and glycolysis intermediates poised for rapid translation and

catabolism when the animal awakes.

Conclusion

There has been great scientific curiosity as well as medical interest in understanding how animals

survive and recover from periods of dormancy while maintaining functional organ systems. For

example, induced suspended animation is a hypometabolic state of medical interest because it

can preserve organ function during life-threatening conditions (Hartmann et al. 2017).

Nonetheless, this procedure causes other physiological problems. However, if some of the

physiological processes that aestivators or hibernators perform in order to survive a

hypometabolic state could be induced in human medicine, the procedure could be improved

(Hartmann et al. 2017). In our review of the literature outside of amphibians, many genes that we

highlight have been investigated in terms of cancer tumor states of dormancy versus proliferation

(Ho et al. 2020), atrial fibrosis (Yoshikawa et al. 2012), and organ atrophy (Chazarin et al.

2019b). However, most studies of any type of dormancy have been focused on hibernation in

mammals. Here we identified some processes overlapping with prior targeted studies on energy

shifts in amphibians and other species, but we also highlight possible new processes such as

protein and membrane trafficking (TRAPP, SNARE, and Arp2/3 complexes). Thus, studying the

aestivation phenotype in amphibians presents novel insight into processes that permit such a

fascinating adaptation, which could hold promise for human medicine.

Data and code accessibility statement

All data and code are available as supplementary materials

Supplementary Material

Appendix A1. Script for gene trees based on NCBI gene annotations.

Appendix A2. R script for RER convergence analyses.

Appendix A3. R script for gene ontology analyses.

Appendix A4. Additional results and figures.

Appendix A5. List of clusters and associated annotation files from the Search Tool for the

Retrieval of Interacting Genes/Proteins database STRING (Szklarczyk et al., 2023).

Table S1. List of genes, metabolites, miRNAs, or proteins analyzed during aestivation or water

restriction found in the literature review (see Methods section).

Table S2. List of genes and proteins used for the comparison between literature and RER results.

Table S3. Full results of the RER analyses.

Table S4. List of genes and terms that were significantly enriched in the GO analyses based on

the RER significant gene set compared to the background gene set.

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  Tables

  Table 1. List of amphibian species used in the analyses. All species have Gene Protein-coding,

  most species have chromosome level annotation and all BUSCO annotations correspond with

  lineage tetrapoda odb10 (NCIBI accessed May 25, 2025).

	Species	Number of Chromosomes	BUSCO Complete	BUSCO Single Copy	Count Gene Total	Count Gene Protein-coding	Aestivation Bir Literature
Gymnophiona							
Dermophiidae	*Geotrypetes seraphini*	19	96.20%	93.88%	24,027	19,223	0
Siphonopidae	*Microcaecilia unicolor*	14	96.78%	91.75%	26,246	20,868	0
Rhinatrematidae	*Rhinatrema bivittatum*	19	96.59%	93.01%	28,114	21,554	0
Caudata							
Ambystomatidae	*Ambystoma mexicanum*	21	95.86%	93.73%	135,668	24,121	0
Salamandridae	*Pleurodeles waltl*	16	95.01%	92.69%	59,059	22,339	1
Anura							
Ascaphidae	*Ascaphus truei*	22			31,412	31,412	0
Bombinatoridae	*Bombina bombina*	12	93.77%	89.06%	31,613	22,468	0

	Species	Number of Chromosomes	BUSCO Complete	BUSCO Single Copy	Count Gene Total	Count Gene Protein-coding	Aestivation Bin Literature
Bufonidae	*Bufo bufo*	11	95.25%	93.18%	32,600	21,517	1
	Bufo gargarizans	11	91.77%	86.76%	27,589	22,033	0
	Bufotes viridis	11			31,608	31,608	1
Craugastoridae	*Pristimantis euphronides*				4,790	4,779	0
Dendrobatidae	*Dendrobates tinctorius*		94.76%	92.58%	55,177	24,289	0
	Phyllobates terribilis		94.76%	92.84%	126,719	25,510	0
	Ranitomeya imitator	10	95.31%	93.05%	44,421	23,747	0
Dicroglossidae	*Nanorana parkeri*		92.99%	91.51%	21,416	18,958	0
Eleutherodactylidae	*Eleutherodactylus coqui*	13	94.63%	92.62%	61,928	21,776	0
Hylidae	*Dendropsophus ebraccatus*	15	95.80%	93.26%	37,424	22,271	0
	Hyla sarda	13	94.56%	92.84%	102,483	22,847	0
Hyperoliidae	*Hyperolius riggenbachi*	12	93.80%	90.98%	68,148	24,147	0
Leptodactylidae	*Engystomops pustulosus*	11	94.61%	92.73%	71,488	21,963	0
Myobatrachidae	*Pseudophryne corroboree*	12	95.33%	93.60%	186,827	24,591	0
Pipidae	*Hymenochirus boettgeri*	9			20,654	20,654	0

	Species	Number of Chromosomes	BUSCO Complete	BUSCO Single Copy	Count Gene Total	Count Gene Protein-coding	Aestivation Bir Literature
	Xenopus laevis	18	97.49%	45.46%	44,456	34,476	1
	Xenopus tropicalis	10	96.57%	95.03%	28,858	21,826	1
Pelobatidae	*Pelobates cultripes*	14			231,783	32,684	1
	Pelobates fuscus	13	96.55%	94.39%	49,915	20,977	1
Ranidae	*Pyxicephalus adspersus*	14	91.49%	89.96%	24,071	19,416	1
	Rana catesbeiana	13	94.54%	92.03%	47,255	24,438	1
	Rana temporaria	13	95.24%	92.84%	38,300	23,707	0
	Staurois parvus				78,191	78,191	0
Scaphiopodidae	*Spea bombifrons*	13	96.25%	94.92%	42,958	19,086	1

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Table 2. The top 10 genes identified by RER as under relatively accelerated evolutionary rates (p

≤ 0.05). Note: none of these genes were significant after multiple testing corrections. Protein

names and functions were copied from the UniProt database on 6/9/25 for entries of *Xenopus*

laevis or *Xenopus tropicalis* unless otherwise noted (Dyer et al. 2025). *Denotes genes that were

also associated with significantly enriched GO terms. ^Denotes genes that were present in a

STRING gene cluster.

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Gene and Protein Names	Protein Function	Rho	N	p-value
GPRC5B G-protein coupled receptor family C group 5 member B	G-protein coupled receptor involved in the regulation of cell volume (annotation from *Homo sapiens*).	0.617	32	0.00017
TRAPPC6B*^ Trafficking protein particle complex subunit 6B	Component of a transport protein particle complex that may function in specific stages of inter-organelle traffic. Specifically involved in the early development of neural circuitry, likely by controlling the frequency and amplitude of intracellular calcium transients implicated in the regulation of neuron differentiation and survival. (annotation from *Homo sapiens*)	0.564	32	0.00076
FLNB* Filamin B	Connects cell membrane constituents to the actin cytoskeleton. May promote orthogonal branching of actin filaments and links actin filaments to membrane glycoproteins. Anchors various transmembrane proteins to the actin cytoskeleton. Interaction with FLNA may allow neuroblast migration from the ventricular zone into the cortical plate. Various interactions and localizations of isoforms affect myotube morphology and myogenesis. (annotation from *Homo sapiens*)	0.478	45	0.00090
PARVA* Parvin alpha	Plays a role in sarcomere organization and in smooth muscle cell contraction. Required for normal development of the embryonic cardiovascular system, and for normal septation of the heart outflow tract. Plays a role in sprouting angiogenesis and is required for normal adhesion of vascular smooth muscle cells to endothelial cells during blood vessel development. Plays a role in the reorganization of the actin cytoskeleton, formation of lamellipodia and ciliogenesis. Plays a role in the establishment of cell polarity, cell adhesion, cell spreading, and directed cell migration. Within the IPP (ILK-PINCH-PARVIN) complex, binds to F-actin, promoting F-actin bundling, a process required to generate force for actin cytoskeleton reorganization and subsequent dynamic cell adhesion events such as cell spreading and migration (annotation from *Homo sapiens*).	0.553	32	0.00102
TEX264 Testis-expressed protein 264 homolog	Major reticulophagy (also called ER-phagy) receptor that acts independently of other candidate reticulophagy receptors to remodel subdomains of the endoplasmic reticulum into autophagosomes upon nutrient stress, which then fuse with lysosomes for endoplasmic reticulum turnover (annotation from *Homo sapiens*).	0.476	40	0.00189
VPS18* Vacuolar protein sorting-associated protein 18 homolog	Plays a role in vesicle-mediated protein trafficking to lysosomal compartments including the endocytic membrane transport and autophagic pathways. Required for fusion of endosomes and autophagosomes with lysosomes. (annotation from *Homo sapiens*)	0.460	43	0.00190

Gene and Protein Names	Protein Function	*Rho*	N	p-value
BRD9* Bromodomain-containing protein 9	Plays a role in chromatin remodeling and regulation of transcription. Acts as a chromatin reader that recognizes and binds acylated histones: binds histones that are acetylated and/or butyrylated.	0.463	42	0.00203
DAD1*^ Dolichyl-diphosphooligosaccharide--protein glycosyltransferase subunit dad1	Subunit of the oligosaccharyl transferase complex that catalyzes the initial transfer of a defined glycan (Glc3Man9GlcNAc2 in eukaryotes) from the lipid carrier dolichol-pyrophosphate to an asparagine residue within an Asn-X-Ser/Thr consensus motif in nascent polypeptide chains, the first step in protein N-glycosylation. N-glycosylation occurs cotranslationally and the complex associates with the Sec61 complex at the channel-forming translocon complex that mediates protein translocation across the endoplasmic reticulum.	0.578	25	0.00247
SCT Secretin	Hormone involved in different processes, such as regulation of the pH of the duodenal content, food intake and water homeostasis. Acts as a key gastrointestinal hormone by regulating the pH of the duodenal content. Secreted by S cells of the duodenum in the crypts of Lieberkuehn and regulates the pH of the duodenum by 1 inhibiting the secretion of gastric acid from the parietal cells of the stomach and 2 stimulating the production of bicarbonate (NaHCO3) from the ductal cells of the pancreas. Production of bicarbonate is essential to neutralize the pH and ensure no damage is done to the small intestine by the gastric acid. Plays a central role in diet induced thermogenesis: acts as a non-sympathetic brown fat activator mediating prandial thermogenesis, which consequently induces satiation. Mechanistically, secretin released by the gut after a meal binds to secretin receptor in brown adipocytes, activating brown fat thermogenesis by stimulating lipolysis, which is sensed in the brain and promotes satiation. Also able to stimulate lipolysis in white adipocytes. Also plays an important role in cellular osmoregulation: released into the systemic circulation in response to hyperosmolality and acts at different levels in the hypothalamus, pituitary and kidney to regulate water homeostasis. Also plays a role in the central nervous system, possibly by acting as a neuropeptide hormone: required for hippocampal synaptic function and neural progenitor cell maintenance. (annotation from *Homo sapiens*)	0.435	45	0.00282
NOS3^ Nitric oxide synthase	Produces nitric oxide (NO) which is a messenger molecule with diverse functions	0.453	40	0.00335

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Table 3. The top 10 genes identified by RER as under relatively decelerated evolutionary rates

($p \leq 0.05$). Note: none of these genes were significant in RER after multiple testing corrections.

Protein names and functions were copied from the UniProt database on 6/9/25 for entries of

Xenopus laevis or *Xenopus tropicalis* unless otherwise noted (Dyer et al. 2025). *Denotes genes

that were also associated with significantly enriched GO terms. ^Denotes genes that were present

in a STRING gene cluster.

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Gene and Protein Names	Protein Function	*Rho*	N	p-value
RRM2^ Ribonucleoside-diphosphate reductase subunit M2	S-adenosyl-L-methionine-dependent 2'-O-ribose methyltransferase that catalyzes the formation of 2'-O-methyluridine at position 1369 in the 16S mitochondrial large subunit ribosomal RNA (mtLSU rRNA), a universally conserved modification in the peptidyl transferase domain of the mtLSU rRNA. This activity may require prior 2'-O-methylguanosine modification at position 1370 (Gm1370) by MRM3. Essential for late-stage assembly of mtLSU required for efficient translation of mitochondrial DNA encoded proteins; methyltransferase activity is not required for this function. Essential for mitochondrial respiratory function (annotation from *Homo sapiens*).	-0.614	33	0.00015
UBE2G1^ Ubiquitin-conjugating enzyme E2 G1	Accepts ubiquitin from the E1 complex and catalyzes its covalent attachment to other proteins. In vitro catalyzes 'Lys-48'-, as well as 'Lys-63'-linked polyubiquitination. May be involved in degradation of muscle-specific proteins. (annotation from *Homo sapiens*)	-0.911	10	0.00024
SPACA6^ Sperm acrosome membrane-associated protein 6	Sperm protein required for fusion of sperm with the egg membrane during fertilization. (annotation from *Homo sapiens*)	-0.746	17	0.00059
NDUFB3^ NADH dehydrogenase [ubiquinone] 1 beta subcomplex subunit 3	Accessory subunit of the mitochondrial membrane respiratory chain NADH dehydrogenase (Complex I), that is believed not to be involved in catalysis. Complex I functions in the transfer of electrons from NADH to the respiratory chain. The immediate electron acceptor for the enzyme is believed to be ubiquinone.	-0.509	39	0.00093
RP1 Oxygen-regulated protein 1	Microtubule-associated protein regulating the stability and length of the microtubule-based axoneme of photoreceptors. Required for the differentiation of photoreceptor cells, it plays a role in the organization of the outer segment of rod and cone photoreceptors ensuring the correct orientation and higher-order stacking of outer segment disks along the photoreceptor axoneme. (annotation from *Homo sapiens*)	-0.504	39	0.00108
HEXB Beta-hexosaminidase	Hydrolyzes the non-reducing end N-acetyl-D-hexosamine and/or sulfated N-acetyl-D-hexosamine of glycoconjugates, such as the oligosaccharide moieties from proteins and neutral glycolipids, or from certain mucopolysaccharides. The isozyme B does not hydrolyze each of these substrates, however hydrolyzes efficiently neutral oligosaccharide.	-0.485	41	0.00130
PMF1 Polyamine-modulated factor 1	Part of the MIS12 complex which is required for normal chromosome alignment and segregation and kinetochore formation during mitosis (annotation from *Homo sapiens*)	-0.464	39	0.00295
NPR2*^ Atrial natriuretic peptide receptor 2	Receptor for the C-type natriuretic peptide NPPC/CNP hormone. Has guanylate cyclase activity upon binding of its ligand. May play a role in the regulation of skeletal growth.	-0.435	42	0.00397

Gene and Protein Names	Protein Function	Rho	N	p-value
MTRF1L*^ Mitochondrial translational release factor 1-like	Mitochondrial peptide chain release factor that directs the termination of translation in response to the peptide chain termination codons UAA and UAG (annotation from *Homo sapiens*)	-0.444	40	0.00409
ATP5F1A*^ ATP synthase F(1) complex subunit alpha, mitochondrial	Subunit alpha, of the mitochondrial membrane ATP synthase complex (F1F0 ATP synthase or Complex V) that produces ATP from ADP in the presence of a proton gradient across the membrane which is generated by electron transport complexes of the respiratory chain.	-0.444	38	0.00528

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1270 **Table 4.** Genes with significant relative evolutionary rates (RERs) that were also the focus of

1271 prior studies identified in our literature review.

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	Activity	
RER	*Increased*	*Decreased*
Accelerated	DLG1, EIF4ENIF1, GSPT1, and HK1	AUTS2, BFAR, CDKN1B, HHEX, NOS3, SAP30, and YBX1
Decelerated	ANP32B, GPI, JCHAIN, PKM, POLR2B, SARS1, UBE2G1, and UBE2V2	ABRACL, ACE, ADAM23, ANXA4, ATP5PB, DDIT4, GPI, JCHAIN, KIAA1191, MLYCD, MMEL1, NDUFB3, and NDUFS4

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1276 **Figure legends**

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Figure 1. Phylogenetic tree of the amphibian species included in the analyses subsetted from

Portik et al. (2023) and aggregated *Microcaecilia unicolor* (see methods). Black circles indicate

that according to the literature review the species perform aestivation. White circles represent

either lack of information or that in the literature has been reported that the species does not

aestivate (i.e., *Ascaphus truei*).

Figure 2. Gene network clusters inferred from significantly accelerated RER genes, using *Homo*

sapiens for annotation. Grey annotations refer to annotations of similar clusters obtained using

Xenopus tropicalis annotation information. Nodes with no black outline are interactors inferred

by STRING that were not present in our original query set. Permalink to the *H. sapiens* STRING

network: https://version-12-0.string-db.org/cgi/network?networkId=boDXLAbScr1l. Permalink

to the *X. tropicalis* STRING network: https://version-12-0.string-

db.org/cgi/network?networkId=bLnhqSov6Wyj

Figure 3. Gene network clusters inferred from significantly decelerated RER genes, using *Homo*

sapiens for annotation. Grey annotations refer to annotations of similar clusters obtained using

Xenopus tropicalis annotation information. Nodes with no black outline are interactors inferred

by STRING that were not present in our original query set. Permalink to the *H. sapiens* STRING

network: https://version-12-0.string-db.org/cgi/network?networkId=boDXLAbScr1l. Permalink

to the *X. tropicalis* STRING network: https://version-12-0.string-

db.org/cgi/network?networkId=b7OklVW1IPb1

Figure 4. Genes related to the central dogma that we identified to have significantly accelerated

(+) or decelerated (–) relative evolutionary rates in aestivating amphibians.

Figures

Figure 1

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Figure 2

1308   **Figure 3**

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Figure 4

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