Escape from antimicrobial CRISPR-Cas9 in *E. coli* ST131 depends on the genetic context
of the target gene.

Running title: *E. coli* ST131 survival of CRISPR-Cas9 antimicrobial.

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ABSTRACT

Escherichia coli (*E. coli*) is a common bacterium in the human gut and an important cause of
intestinal and extraintestinal infections. Some *E. coli* sequence types (ST) are associated with
high pathogenicity. The Extraintestinal Pathogenic *E. coli* (ExPEC) ST131 is a globally distributed
multidrug-resistant human pathogen associated with urinary tract and bloodstream infections.
Antibiotic-resistant infections often lead to antibiotic treatment failure, underscoring the need of
developing alternative treatments. The highly selective antimicrobial potential of CRISPR-Cas9
has been demonstrated in a range of model organisms. However, the effectiveness of CRISPR-
Cas9 in combating ST131-associated infections and the consequences of CRISPR-Cas9
treatment, such as the emergence of escapers, remains unclear.

Here, we investigated the antimicrobial activity of CRISPR-Cas9 against ST131 and assessed the
frequency and genetic basis of escape. We conjugatively delivered CRISPR-Cas9 to ST131
isolates which carried cefotaxime-resistance-encoding target gene $bla_{CTX-M-15}$ in the chromosome
and characterized escape subpopulations. Two main types of escapers emerged: $bla_{CTX-M-15}$-
positive escapers carried dysfunctional CRISPR-Cas9 systems and arose at a ~10^{-5} frequency.
Instead, $bla_{CTX-M-15}$-negative escapers presented chromosomal deletions involving $bla_{CTX-M-15}$ loss.
The frequency of $bla_{CTX-M-15}$ loss depended on the $bla_{CTX-M-15}$ genetic context. Specifically, $bla_{CTX-M-15}$-
$_{15}$-negative escapers emerged at low frequency (~10^{-5}) in isolates where $bla_{CTX-M-15}$ was located
downstream of insertion sequence (IS) IS*Ecp1*, while escapers emerged with high frequency (~10^{-3})
in isolates where $bla_{CTX-M-15}$ was flanked by IS*26*. This work emphasizes how the genetic context
of target genes can drive the outcome of CRISPR-Cas9 tools, where the presence of IS*26* may
drive increased frequencies of escape.

IMPORTANCE

In the past decade CRISPR-Cas9 has emerged as an efficient antimicrobial tool capable of selective elimination of targeted bacteria. Even though it has been well described that bacteria can evolve to escape targeting by CRISPR-Cas9, the mechanisms of bacterial escape and their consequences remain largely elusive. In this study, we demonstrate the antimicrobial efficacy of CRISPR-Cas9 against natural isolates of *Escherichia coli* ST131, a clinically relevant pathogen, and elucidate the mechanism of escape from antimicrobial activity. We identify two distinct mechanisms of escape, which involve either dysfunctional CRISPR-Cas9 activity, or loss of the target gene ($bla_{CTX-M-15}$), with the latter occurring at frequencies that depend on the genetic context of the target gene. These findings provide important insights into the frequency and mechanisms of bacterial escape from CRISPR-Cas9-based antimicrobials and offer a foundation for the development of more effective treatments.

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INTRODUCTION

Antimicrobial resistance (AMR) is a global health threat. By 2050, up to 8.22 million annual deaths are predicted to be associated with AMR (1). The misuse and overuse of antibiotics has drastically accelerated the emergence of AMR (2), which contributes to antibiotic treatment failure (3). In this context, *Escherichia coli* is one of the most important pathogens, accounting for the highest number of AMR-attributable deaths in 2019 (4). Therefore, it is recognized by the World Health Organization (WHO) as a priority pathogen for which urgent development of new antimicrobials is needed (5).

Extraintestinal Pathogenic *E. coli* (ExPEC) strains are among the most common Gram-negative pathogens in humans (6). They are associated with numerous types of infections, including urinary tract infections (UTIs), which can develop into bloodstream infections (7–9). Since 2000, Sequence Type (ST) ST131 is the most common pandemic lineage in the clinic (8). The ST131 lineage represents multidrug-resistant pathogens frequently associated with extended-spectrum beta-lactamases (ESBL), aminoglycoside and fluoroquinolone resistance, and several virulence factors (7,9–11). CTX-M enzymes are among the most prevalent type of ESBL (12,13), due to their global dissemination (12,14). The genes encoding these enzymes, bla_{CTX-M} genes, are found in both chromosomes and plasmids (14,15), often as part of highly mobile genetic structures, surrounded by insertion sequences (IS), transposons and integrons (14). These mobile genetic elements (MGEs) not only contribute to AMR mobilisation but can also act as promoter sequences, regulating expression levels of surrounding genes (14,16,17). Of these, IS are the smallest self-mobilizing units (0.7-2.5 kB) that typically code for a single transposase (Tnp), which allows their mobilisation (18,19). Several families of ISs, notably IS*Ecp1* and IS*26*, are widely distributed across *E. coli* ST131 genomes and are frequently located within or flanking CTX-M gene structures (20–22). While IS*Ecp1* is commonly found as a single copy upstream of the AMR gene (14), IS*26* is often found in multiple copies of the same orientation that flank the AMR gene, in what is known as a pseudo-compound transposon (PCT) (23,24).

ST131-associated infections are difficult to treat. In fact, higher antibiotic treatment failure rates have been reported compared to non-ST131 infections (25,26). In an urgent need to find alternative treatments, CRISPR-Cas9 can be used as a promising novel antimicrobial (27,28). In natural populations, CRISPR-Cas systems act as a prokaryotic immune system against MGE infections. Since its discovery CRISPR-Cas9 in particular has been developed as a highly sequence-specificity tool that can recognize a short (20 bp) specific DNA sequence and subsequently cleave it (29). The tool combines a single-guide RNA (*sgRNA*) with a Cas9 nuclease; the *sgRNA* recognises the target sequence while the Cas9 nuclease executes target cleavage by

introducing a blunt-ended double-strand DNA break (DSB) into the target genome (30). DSBs are

strong genotoxic lesions that lead to cell death (31). This highly specific antimicrobial effect of

CRISPR-Cas9 has been studied extensively over the past ten years (32–36). However, the

consequences of CRISPR-Cas9 treatment failure and the long-term effects of its use remain

largely unexplored.

Here, we assessed the antimicrobial potential of CRISPR-Cas9 targeting $bla_{CTX-M-15}$, the most

reported ESBL gene among *E. coli* ST131 (13). We used a modified version of the broad-host range

conjugative plasmid pKJK5::csg (37) to deliver CRISPR-Cas9, and programmed CRISPR-Cas9 to

target four different *E. coli* ST131 isolates derived from human stool samples (38), all of them

carrying a chromosomal copy of $bla_{CTX-M-15}$. While strong antimicrobial activity was observed, we

found escapers of CRISPR-Cas9 targeting for all isolates. We observed two main types of

escapers, either presenting dysfunctional CRISPR-Cas9, or chromosomal rearrangements

leading to loss of the target gene. Interestingly, for the second type of escapers, we found a direct

impact of the $bla_{CTX-M-15}$ genetic context (either surrounded by IS*Ecp1* or IS*26*) on the escape

frequencies, emphasizing the importance of the genetic context of a target gene on escape from

CRISPR-Cas9.

RESULTS

CRISPR-Cas9 targeting of a chromosomally encoded *bla*$_{CTX-M-15}$ gene in human-associated *E. coli* ST131 isolates causes high levels of sequence-specific killing

We used the broad-host range conjugative plasmid pKJK5 as a delivery vector of a CRISPR-Cas9 cassette (csgc: <u>c</u>as9, sgRNA, gfp and <u>c</u>atB), either targeting *bla*$_{CTX-M-15}$ (pKJK5::csgc[*bla*$_{CTX-M-15}$]) or a non-targeting control (pKJK5::csgc[NT]). We first verified successful delivery of pKJK5::csgc into a recipient *E. coli* DH5α lacking *bla*$_{CTX-M-15}$, and found no significant differences (p = 0.68) between treatment and control (**Figure 1**), showing that the delivery efficiency of pKJK5::csgc is independent of the *sgRNA* target and that the system can be acquired in the absence of a CRISPR-Cas9 target.

Next, we assessed CRISPR-Cas9 targeting activity in four *E. coli* ST131 isolates (Ecp1-I, Ecp1-II, 26-I and 26-II); which all carry a single chromosomally encoded *bla*$_{CTX-M-15}$ copy. Completed genome sequences were generated for all four isolates to enable us to have an unambiguous assessment of *bla*$_{CTX-M-15}$ location and copy number. Across isolates, pKJK5::csgc[NT] achieved significantly higher conjugation efficiencies (ranging from 15 ± 8% to 58 ± 11%)) than pKJK5::csgc[*bla*$_{CTX-M-15}$] (ranging from 0.002 ± 0.0007% to 0.062 ± 0.03%; p<0.001) (**Figure 1**). This difference was attributed to the non-viability of transconjugants when CRISPR-Cas9 targets the chromosomally encoded *bla*$_{CTX-M-15}$.

Figure 1: Conjugation efficiencies for either pKJK5::csgc[NT] (purple) or pKJK5::csgc[*bla*$_{CTX-M-15}$] (white) across different recipients: either *E. coli* DH5α, lacking *bla*$_{CTX-M-15}$, or the respective *E. coli* ST131 isolates, all with a chromosomally encoded *bla*$_{CTX-M-15}$. Conjugation efficiencies were calculated dividing the number of transconjugants

by the number of recipients. The conjugation efficiencies from the five replicates are represented as circles and the colour represents the replicate number. The distinct colours assigned to each replicate in the pKJK5::csgc[$bla_{CTX-M-15}$] treatment facilitates tracking the replicate origin of the escapers throughout the manuscript. The means of the conjugation efficiencies are represented as triangles ± standard deviation (bars). p-values <0.001 (***), non-significant (ns).

While strong CRISPR-Cas9 antimicrobial activity was demonstrated, all isolates contained subpopulations of *E. coli* ST131 transconjugants (escapers) able to survive acquisition of pKJK5::csgc[$bla_{CTX-M-15}$].

Overall escape frequencies varied across isolates ((4.53 ± 5.30) x 10^{-5} to (4.23 ± 1.95) x 10^{-3}) (***Figure 2A***). To better understand the genetic basis of escape and their relative contribution to the overall escape frequencies, we determined cefotaxime resistance phenotypes for each of the escapers, since resistance to cefotaxime is known to be conferred by CTX-M enzymes (15,39). This showed that both cefotaxime-resistant and cefotaxime-sensitive escapers were found across isolates (***Supplementary figure 3***), revealing that *E. coli* ST131 could escape from CRISPR-Cas9 while either maintaining or losing the target gene.

Figure 2: Mean escape frequencies from the CRISPR-Cas9 treatment for the four *E. coli* ST131 isolates. **A)** Overall escape frequencies independent of the cefotaxime resistance phenotype. These frequencies were calculated as the relative conjugation efficiency between the conjugation efficiency of pKJK5::csgc[$bla_{CTX-M-15}$] for each replicate and the average conjugation efficiency of pKJK5::csgc[NT]. **B-C)** Cefotaxime-resistant (**B**) and -sensitive (**C**) escape frequencies. The escape frequencies for each phenotype were determined as the relative conjugation efficiency between the cefotaxime-resistant or cefotaxime-sensitive conjugation efficiencies of pKJK5::csgc[$bla_{CTX-M-15}$] for each replicate and the average conjugation efficiency of pKJK5::csgc[NT]. The escape frequencies from the five replicates are represented as circles and the colour represents the replicate number. The mean escape frequencies, which include replicates with no escapers for a specific phenotype, are represented as triangles ± standard deviation (bars). Replicate 4 from isolate Ecp1-II was excluded from cefotaxime-resistant and cefotaxime-sensitive escape frequencies as only one escaper could be directly recovered from the filter mating assay. p-values <0.001 (***).

Cefotaxime-resistant escapers have dysfunctional CRISPR-Cas9 systems.

Cefotaxime-resistant escape frequencies were generally low (~10^{-5}) but showed significant

variation across isolates (**Figure 2B**; $p<0.001$). Post-hoc testing revealed this was due to isolate

26-I having a significantly lower escape frequency than all others ($p<0.001$). Nevertheless, the

escape frequencies for isolates 26-I and 26-II should be interpreted cautiously, as only few

escapers were detected, and their presence was inconsistent across replicates (**Figure 2B** and

Supplementary figure 3). Therefore, in-depth analysis of cefotaxime-resistant escapers was

only performed for isolates Ecp1-I and Ecp1-II. First, we sequenced the $bla_{CTX-M-15}$ gene to

genetically confirm the cefotaxime-resistant phenotypes and found no mutations in the target

site or PAM. We then hypothesized that escapers likely survived CRISPR-Cas9 targeting through

acquiring mutations in *cas9* and/or *sgRNA* (csgc) on the pKJK5::csgc[$bla_{CTX-M-15}$]. To address this,

we assessed CRISPR-Cas9 integrity using PCR. All transconjugants from the pKJK5::csgc[NT]

control showed expected $bla_{CTX-M-15}$ and csgc amplicons, but deletions, duplications, and partial

or complete lack of amplification within *cas9* and/or *sgRNA* were found for 48% (Ecp1-I) and 64%

(Ecp1-II) of the escapers (**Supplementary figure 4A**). Furthermore, one Ecp1-II escaper exhibited

an IS150 transposition into *cas9*. While IS150 is present in both the donor (K-12 MG1655) and the

recipient (ST131 isolate Ecp1-II) genome, the presence of a single nucleotide polymorphism

unique for IS150 in the K-12 genome suggested that the transposition into pKJK5::csg[$bla_{CTX-M-15}$]

occurred in the donor prior to its delivery to Ecp1-II. The different mutations were distributed

across the *cas9* and *sgRNA* sequence (**Supplementary figure 4B-C**), with no obvious patterns

revealed except for a hotspot in the α-helical lobe found in escapers from isolate Ecp1-I

(**Supplementary figure 4B**) (40).

Those escapers that did not exhibit identifiable csgc mutations were subjected to a phenotypic

CRISPR-Cas9 functionality assay. We mated escapers with a donor bacterium bearing either

targeted plasmid pCTX15 (carrying the wildtype $bla_{CTX-M-15}$) or untargeted plasmid pCTRL

(**Supplementary figure 5A**) and calculated their relative conjugation efficiencies

(pCTRL/pCTX15). Cefotaxime-sensitive escapers lacking $bla_{CTX-M-15}$ were used as potential

positive CRISPR-Cas9 controls. For both Ecp1-I and Ecp1-II most cefotaxime-sensitive escapers

demonstrated protection against pCTX15 (**Supplementary figure 5B-C**). In contrast, no

protection was observed for cefotaxime-resistant escapers (**Supplementary figure 5B-C**),

indicating dysfunctional CRISPR-Cas9 activity. Only one cefotaxime-resistant escaper (5.10

Ecp1-II) showed a relative conjugation efficiency for all the replicates compatible with functional

CRISPR-Cas9 activity (**Supplementary figure 5C**), which could indicate coexistence of the

wildtype $bla_{CTX-M-15}$ and a functional pKJK5::csgc[$bla_{CTX-M-15}$]. This escaper showed no mutations in

$bla_{CTX-M-15}$, and short-read WGS did not reveal relevant mutations in pKJK5::csgc[$bla_{CTX-M-15}$] that

could interfere with CRISPR-Cas9 activity. Therefore, coexistence could be attributed to an
unknown mechanism.

To summarize, we found that cefotaxime-resistant escape frequencies were ~10^{-5} across isolates
and all the escapers carried $bla_{CTX-M-15}$ with no mutations in the protospacer or PAM sequence.
Using genotypic and phenotypic screening, we attributed cell survival to dysfunctional CRISPR-
Cas9 systems.

Cefotaxime-sensitive escapers lost $bla_{CTX-M-15}$ in a manner dependent on its genetic
context.

To understand the differential cefotaxime-sensitive escape frequencies found across isolates
(**Figure 2C**), we sought to understand the genetic context of $bla_{CTX-M-15}$. Long-read genome
analyses of the ancestral untreated isolates revealed three different genetic contexts. In isolates
Ecp1-I and Ecp1-II, $bla_{CTX-M-15}$ is found downstream of an insertion sequence (IS) IS*Ecp1*, which
disrupts *lacY* in the *lac* operon (**Figure 3A**). In contrast, isolates 26-I and 26-II carry $bla_{CTX-M-15}$
flanked by two IS*26* copies of the same orientation, in a pseudo-compound transposon (PCT)
(18,23). This $bla_{CTX-M-15}$ PCT is found surrounded by either two or three additional IS*26* copies
respectively, creating two slightly distinct overall IS*26*-contained sequences. These sequences
share the same genome location in both isolates, disrupting a gene of unknown function
(*EC958_2451*) (**Figure 3B-C**). Significant differences were found between cefotaxime-sensitive
escape frequencies of the three genetic contexts (p-values for each combination < 0.001).
Isolates with an IS*Ecp1* genetic context showed significantly lower cefotaxime-sensitive escape
frequencies than isolate 26-I (4-copy IS*26* context), which in turn had a significantly lower escape
frequency than isolate 26-II (5-copy IS*26* context). Overall, this indicates a significantly higher
escape frequency through loss of $bla_{CTX-M-15}$ in isolates with an IS*26* genetic context compared to
IS*Ecp1*. Consequently, different approaches were employed to characterize the escapers based
on their genetic context.

A) Isolates Ecp1-I and Ecp1-II

lacZ   *lacY*   IS*Ecp1* *bla*$_{CTX-M-15}$

B) Isolate 26-I

bla$_{CTX-M-15}$ PCT

IS*26*   *aac(6')-Ib bla*$_{OXA-1}$ *catB*   IS*26*   *bla*$_{CTX-M-15}$ Tn3   IS*26*   Tn3   IS*26*   *2451*

C) Isolate 26-II

bla$_{CTX-M-15}$ PCT

IS*26*   *aac(6')-Ib bla*$_{OXA-1}$ *catB*   IS*26*   *yokD tmrB*   ISKpn11   IS*26*   Tn3   IS*26*   Tn3   *bla*$_{CTX-M-15}$ IS*26*   *2451*   *2453* *2456*

Figure 3: Genetic context of *bla*$_{CTX-M-15}$ (orange arrow) for the four *E. coli* ST131 isolates, ORFs and gene distances not drawn to scale. **A)** Isolate Ecp1-I and Ecp1-II share the same ISEcp1 genetic context disrupting a *lacY* gene. The *lacZ* gene is found 1.2 kB upstream of the IS*Ecp1*. IS*Ecp1* is represented as a yellow arrow box. **B-C)** IS*26* genetic contexts for isolates 26-I (**B**) and 26-II (**C**), with a *bla*$_{CTX-M-15}$ pseudo-compound transposon (PCT). For both isolates, the respective overall IS*26*-contained sequences are found disrupting the gene with unknow function (*EC958_2451*), shown in the figure as 2451. The two Tn3, shown as pink boxes, represent a single Tn3 split into two truncated ORFs, likely due to IS*26* disruption that led to an inversion of one of the parts. IS*26* is represented as a green arrow box. *2453* and *2456* represent the genes *EC958_2453 and EC958_2456*.

An IS*Ecp1* genetic context is associated with large-scale genomic deletions alongside *bla*$_{CTX-M-15}$ loss.

For cefotaxime-sensitive escapers from Ecp1-I (n=19) and Ecp1-II (n=19) (***Supplementary figure 3***), PCR and subsequent Sanger sequencing of the amplicons revealed complete loss of IS*Ecp1* + *bla*$_{CTX-M-15}$ for most of the escapers (n=36) and partial deletions including the protospacer sequence (the 20 bp targeted by pKJK5::csgc[*bla*$_{CTX-M-15}$]) for the remaining escapers (n=2) (***Supplementary figure 6A***).

To better characterize the dimensions of the IS*Ecp1* + *bla*$_{CTX-M-15}$ deletions, a β-galactosidase assay was performed, taking advantage of the proximal *lacZ* gene (***Figure 3A***). This enzymatic assay allows phenotypic screening of blue or white colonies based on presence or absence, respectively, of β-galactosidase, the enzyme encoded by *lacZ*. A negative phenotype (white) indicated deletions of IS*Ecp1* + *bla*$_{CTX-M-15}$ together with *lacZ* (***Supplementary figure 6B***). In contrast, a positive phenotype (blue) delimited the start of the deletion within 1.2 kB (somewhere in between *lacZ* and IS*Ecp1*) (***Supplementary figure 6C***). Both phenotypes were observed across escapers, with β-galactosidase-negative escapers being more abundant (n=30) (***Supplementary***

figure 6D). Short-read WGS was performed on a representative escaper from each phenotype
and isolate to confirm their genotypic basis.

Additionally, all cefotaxime-sensitive escapers from Ecp1-I and Ecp1-II were subjected to both
the csgc genotypic and phenotypic CRISPR-Cas9 functionality assay previously described. All
escapers showed csgc amplicons of expected lengths. In the phenotypic assay most showed
functional CRISPR-Cas9 systems (n=32). Nevertheless, a small subset showed dysfunctional
CRISPR-Cas9 activity (n=6), highlighting that both the loss of $bla_{CTX-M-15}$ and the presence of a
dysfunctional system can co-occur (**Supplementary figure 5B-C**).

An IS*26* genetic context is associated with small-scale genomic deletions of $bla_{CTX-M-15}$
facilitated by the presence of two flanking IS*26* copies.

For isolates 26-I and 26-II, both with an IS*26* genetic context, 26-II was chosen as a representative
for further study due to its higher IS*26* load (**Figure 3 B-C**). First, hybrid WGS was performed for a
subset of escapers (n=10) which revealed either the deletion of the $bla_{CTX-M-15}$ PCT (n=8) or larger
deletions including downstream chromosomal sequences (n=2). All the deletions led to a single
remaining IS*26* chromosomal copy from the two original ones.

We used these representative data to inform PCRs assaying the deletion of the $bla_{CTX-M-15}$ PCT
(**Supplementary figure 7A**) in all the cefotaxime-sensitive escapers (n=98). The ancestral isolate
and the cefotaxime-resistant escapers (used as positive controls) showed the expected 4.9 kB
amplicon covering the $bla_{CTX-M-15}$ PCT alongside a smaller amplicon, likely a PCR artefact resulting
from recombination between the multiple copies of IS*26*. Crucially, cefotaxime-sensitive
escapers (n=94) lacked the 4.9 kB amplicon and only displayed a 972 bp amplicon, which
corresponded to a single IS*26* copy in agreement with the deletion of the $bla_{CTX-M-15}$ PCT observed
in the WGS (**Supplementary figure 7B**). Additionally, 3 escapers, including the two with larger
deletions characterized by WGS, showed no amplicon, agreeing with the presence of larger
deletions thus avoiding primer binding (**Supplementary figure 7A and C-D**). Finally, one escaper
showed a <4.9 kb amplicon, likely explained by a partial deletion within $bla_{CTX-M-15}$, thereby
conferring loss of the resistant phenotype and escape of CRISPR-Cas9 targeting.

To summarize, the emergence of cefotaxime-sensitive escapers was significantly impacted by
the genetic context of $bla_{CTX-M-15}$. While all cefotaxime-sensitive escapers exhibited chromosomal
rearrangements involving the loss of $bla_{CTX-M-15}$, an IS*Ecp1* genetic context was associated with
lower escape frequencies and large-scale deletions. In contrast, an IS*26* genetic context revealed

higher escape frequencies, primarily driven by small-scale deletions ($bla_{CTX-M-15}$ PCT) occurring

between the two flanking IS*26* elements.

DISCUSSION

Here, we demonstrated the sequence-specific antimicrobial activity of CRISPR-Cas9 by targeting

the chromosomally encoded ESBL gene $bla_{CTX-M-15}$ in human-associated isolates of the *E. coli*

lineage ST131. The delivery of a $bla_{CTX-M-15}$ targeting CRISPR-Cas9 cassette using the broad host-

range conjugative plasmid pKJK5::csgc achieved a significant reduction (from 236-fold to 22.000-

fold, depending on the isolate) in conjugation efficiency compared to a non-targeting control,

explained by the non-viability of *E. coli* ST131 transconjugants. This demonstrates the

antimicrobial use of CRISPR-Cas9 against *E. coli* ST131 isolates, which can be found in

antimicrobial resistant UTIs, for which non-antibiotic treatments are urgently needed (41).

Promisingly, the use of pKJK5::csgc is compatible with probiotics, which are already prophylactic

and therapeutic treatment options for recurrent UTIs (42–44). However, we observed widespread

escape of CRISPR-Cas9 targeting across isolates and identified both escapers that retained the

$bla_{CTX-M-15}$ gene and carried dysfunctional CRISPR-Cas9, and escapers that lost $bla_{CTX-M-15}$ through

chromosomal rearrangements. Crucially, for the escapers with $bla_{CTX-M-15}$ deletions, we found

significantly different escape frequencies depending on the genetic context of the target gene,

with increased escape frequencies (up to 813-fold) when $bla_{CTX-M-15}$ is found surrounded by two

copies of IS*26*, as part of an IS*26* pseudo-compound transposon (PCT), compared to when it is

found downstream of an IS*Ecp1*.

Cefotaxime-resistant ($bla_{CTX-M-15}$-positive) escapers retained an intact version of $bla_{CTX-M-15}$,

suggesting that CRISPR-Cas9 escape through mutations in the protospacer (the 20 bp targeted

by pKJK5::csgc[$bla_{CTX-M-15}$]) or protospacer adjacent motif (PAM) sequence is rare in these isolates.

As mutations in the target site were previously reported for other genes (36,45–49), we

hypothesize that the absence of such escapers in our setup may be attributed to mutations in

$bla_{CTX-M-15}$ occurring below the detection limit of the assay ($\sim 10^{-6}$). Instead, all cefotaxime-resistant

escapers presented dysfunctional CRISPR-Cas9 systems. In line with previous literature

(35,45,46,48,50,51), we found deletions, duplications and insertions across *cas9* and *sgRNA*.

Interestingly, we only found one escaper with an IS transposition into *cas9*, even though this is a

commonly reported event (36,46,47,50,52). To minimize escapers with dysfunctional CRISPR-

Cas9 systems, several solutions have been proposed, including the use of multi-target arrays

(35,36,48), the manipulation of the CRISPR-Cas9 plasmid copy number (50), coupling CRISPR-

Cas antimicrobials with CRISPR-regulated toxin-antitoxin systems (ATTACK) (53) or the use of

non-DNA based delivery strategies such as nano-sized CRISPR complexes (54), especially as

plasmid-based delivery relies on often heterogenous transcriptional activity of recipients (55).

However, the optimal solution to this problem has yet to be established.

In contrast, cefotaxime-sensitive escapers revealed $bla_{CTX-M-15}$ deletions, and this loss of the target

gene occurred in significantly higher frequencies (up to 813-fold) for isolates with an IS26 genetic

context compared to an ISEcp1 genetic context. The observed $bla_{CTX-M-15}$ deletions were likely

driven by homologous recombination (HR), which could either occur in the pre-existing

population leading to positively selected genotypes upon CRISPR-Cas9 exposure, or as a DNA-

damage repair mechanism after CRISPR-Cas9 targeting and a subsequent triggering of the SOS

response (33,36,56). Escapers with successful DNA-damage repair could be associated with

weaker CRISPR-Cas9 activity (33), poor *sgRNA* folding (57) or expression (58) and/or variability in

the host DNA damage tolerance and responses (59). Deletions of $bla_{CTX-M-15}$ compatible with HR

were observed across isolates. For isolates Ecp1-I and Ecp1-II, sequencing of few cefotaxime-

sensitive escapers revealed deletions with border homology (9-11 bp), likely indicating HR. In

isolate 26-II, the most common deletion observed among cefotaxime-sensitive escapers (a

deletion of the $bla_{CTX-M-15}$ PCT leaving a single IS26 copy in the chromosome; **Supplementary**

figure 7B), is consistent with the product of HR between the two directly oriented IS26 copies

(60–62) (**Supplementary figure 8A-B**). Recombination before CRISPR-Cas9 cleavage would

release a circular molecule carrying $bla_{CTX-M-15}$, known as a translocatable unit (TU)

(18,24,60,63,64), (**Supplementary figure 8A**) which is expected to be readily lost in absence of

self-replicative features (60) or through active targeting by CRISPR-Cas9. CRISPR-Cas9 cleavage

of intermediate circular molecules from similar excised MGEs has been recently reported (65).

Overall, the higher escape frequencies observed in an IS26 genetic context could be attributed to

the homology found between the several IS26 copies (**Figure 3 B-C**), which may facilitate HR. In

fact, HR between homologous IS elements is a common driver of chromosomal rearrangements

in *E. coli* (66).

Alternatively, $bla_{CTX-M-15}$ loss could be driven by an incomplete IS-mediated $bla_{CTX-M-15}$ mobilization.

Evidence of this was found in escapers from isolate 26-II with larger $bla_{CTX-M-15}$ deletions lacking

homology in the borders (**Supplementary figure 7C-D**). These deletions likely resulted from a

Tnp26-dependent intramolecular copy-in mobilisation (24,67), in where the downstream genes

EC958_2453 and *EC958_2456* would have been used as respective intramolecular targets to

mediate chromosomal excision, releasing a TU carrying the enclosed sequence (60)

(**Supplementary figure 8CI-II**), which would be readily lost or targeted by CRISPR-Cas9. This

mobilization pattern could also contribute to escapers with a $bla_{CTX-M-15}$ PCT deletion, if using the

sequence found downstream of the PCT as an intramolecular target site (**Supplementary figure 8CIII**). In contrast, we could not find evidence of $bla_{CTX-M-15}$ deletions mediated by IS*Ecp1* mobilisation, as IS*Ecp1* mobilises together with downstream genes (18,68–71). Therefore, this would only be possible in *lacZ*-positive cefotaxime-sensitive escapers, which are a minority (**Supplementary figure 6D**). Sequencing of two *lacZ*-positive escapers revealed $bla_{CTX-M-15}$ deletions incompatible with IS*Ecp1* mobilisation, suggesting that this is not a common escape mechanism.

To summarize, even though our data does not allow us to discern the exact escape mechanism that leads to chromosomal rearrangements, deletions resulting from both HR and incomplete IS-mediated $bla_{CTX-M-15}$ mobilization are not mutually exclusive, and it is likely that the total escape population is a combinatorial result of these events, each occurring at different frequencies.

Escapers with chromosomal rearrangements involving the deletion of the target gene have been reported for other genes and bacterial species (33,36,49,57,72–74), including deletions with border homology, suggesting HR (33,75,76). Additionally, some studies have shown a reduction in escape frequencies when knocking out (33) or inhibiting (57) expression of RecA, an important protein involved in HR (77) and found in all the ST131 isolates used in this work. Interestingly, studies where the target gene is found within a chromosomally integrated MGE reported escapers in which the entire MGE was deleted, similar to the deletions of the $bla_{CTX-M-15}$ PCT reported here. This occurred when the target genes were in a genomic island flanked by two directly oriented IS1193 copies (73), in several pathogenicity islands (49,78) and in prophages (78).

The presence of escapers with chromosomal rearrangements after a CRISPR-Cas9 treatment might be especially relevant when occurring at high frequencies, as for isolate 26-II (~10^{-3}). The emergence of this type of escapers could likely be reduced by choosing target genes independent from chromosomally integrated MGEs and with genetic contexts that present limited or no homology. In this study, escapers with $bla_{CTX-M-15}$ loss were resensitized to cefotaxime, which could be a beneficial outcome for antibiotic therapy. However, this type of chromosomal rearrangements can also involve loss of other genes, leading to phenotypic changes (73,76). Furthermore, deletions resulting from successful DNA repair after CRISPR-Cas9 cleavage through HR could be accompanied by mobilisation of other HR-mediated MGEs (18). This might be especially relevant when using CRISPR-Cas9 against AMR-carrying bacteria, which often carry MGEs (18). While we did not directly observe this, such far-reaching genomic consequences of CRISPR-Cas9 targeting should also be kept in mind when using CRISPR-Cas9 as an editing tool, which often relies on HR (31,58).

Altogether, this study showed the antimicrobial activity of CRISPR-Cas9 against the
chromosomally encoded AMR gene $bla_{CTX-M-15}$ from human-associated *E. coli* ST131 isolates and
identified escapers resulting from either dysfunctional CRISPR-Cas9 or with chromosomal
rearrangements that led to the deletion of $bla_{CTX-M-15}$. Our work showed the impact that the genetic
context of the target gene has on escape frequencies, where an association with IS*26* led to a high
frequency of $bla_{CTX-M-15}$ loss. This research underlines the importance of understanding the genetic
environment to be able to predict the treatment outcome of CRISPR-Cas9 antimicrobials and
CRISPR-Cas9 gene editing. Finally, we also want to highlight the potential use of CRISPR-Cas9 as
a tool to better characterize IS mobilization patterns by studying escapers arising from the
targeting of the IS elements or cargo genes.

METHODS

Growth conditions, buffers and media

Lysogeny broth (LB), either liquid or mixed with agar, was used as growth media. Antibiotics were
used to ensure plasmid maintenance, select for chromosomal markers or perform phenotypic
assays at: chloramphenicol (Cm) 25 µg mL^{-1}, gentamicin (Gm), kanamycin (Km) and streptomycin
(Sm) all 50 µg mL^{-1}, and cefotaxime (CTX) 5 µg mL^{-1}. Glycerol stocks were prepared at 20% (w/v)
and frozen at -70 °C. Sterile 0.9 % (w/v) NaCl was used as a buffer as indicated. Unless otherwise
specified, all kits and reagents were used following manufacturer's instructions and incubations
were performed overnight (O/N) at 37 °C, 180 rpm.

Bacterial strains

We selected the *E. coli* ST131 isolates used in this study (Ecp1-I, Ecp1-II, 26-I and 26-II) based on
the genetic context of $bla_{CTX-M-15}$ (genomes deposited on Genbank under BioProject
PRJNA1281408). All the ST131 isolates belong to clade C, the most relevant in the clinic (79).
Isolates Ecp1-I, Ecp1-II and 26-I were chromosomally tagged with an *aacC1* gene, conferring Gm
resistance, through electroporation of a Tn5 transposon plasmid pBAMD1-6 (80), followed by PCR
screening to confirm absence of residual plasmid as well as competition experiments against the
respective ancestral isolates to verify that tagging did not incur a fitness cost. In brief, the
modified strains were grown together with their wildtype variants, and colony counts revealed
similar growth. Isolate 26-II was not genetically modified due to intrinsic Gm resistance. *E. coli* K-
12 MG1655::*mCherry* (81) was used as CRISPR-Cas9 donor strain because it represses
expression of *gfp* from pKJK5::csgc, which allows us to verify successful plasmid conjugation to

the ST131 isolates (presence of transconjugants) by checking *gfp* expression. Further bacteria and plasmid information can be found in **Supplementary table 1** and **2**, respectively.

Development of the CRISPR-Cas9 system

Two CRISPR-Cas9 cassettes were designed with distinct *sgRNA* targets. The [*bla*$_{CTX-M-15}$] *sgRNA* targets [CGCGTGATACCACTTCACCT]. This sequence is found within *bla*$_{CTX-M-15}$ and followed by a protospacer adjacent motif (PAM) in the *E. coli* ST131 reference genome (82) and the genome of the four *E. coli* ST131 isolates used in the study. Furthermore, the sequence showed low predicted CRISPR-off target activity in both CRISPOR (83) and Cas-OFFinder (84). The non-targeting [NT] control *sgRNA* targets random nucleotide sequence [GGTAAGACCATTAGAAGTAG], 20 bp which we confirmed to be absent from all *E. coli* ST131 isolates. These cassettes were generated and transferred into pKJK5, resulting in pKJK5::csgc[*bla*$_{CTX-M-15}$] or [NT], following protocols adopted from (37) (full details in **Supplementary method 1** and **Supplementary table 3**).

Filter mating conjugation *E. coli* K-12 MG1655 (donor) - *E. coli* ST131 (recipient)

Single colonies of donors (MG1655 pKJK5::csgc[*bla*$_{CTX-M-15}$] or [NT]) and recipients (*E. coli* DH5α::SmR or the respective *E. coli* ST131 isolates) were grown O/N in 5 mL LB. Donors were supplemented with Cm to avoid segregational loss of pKJK5. Cells were washed twice in 5 mL NaCl, followed by OD600 adjustment to 0.5 – 0.6. Recipients were diluted 100-fold in NaCl. Filter mating was performed in a Millipore 1225 Sampling Manifold using a sterile Whatman Cyclopore Clear 0.2 µm 25mm polycarbonate membrane on top of a sterile Whatman glass microfiber filter, binder free, grade GF/C, 25mm. The vacuum manifold was sterilised using ethanol and UV before and between batches. Filters were washed by pumping through 2 mL of NaCl. Straight after, 1 mL of NaCl, 1 mL of donor and 1 mL of a 100-fold diluted recipient were pumped through. Five biological replicates were performed for each donor-recipient combination. Additionally, controls with donor-only, recipient-only and NaCl-only were performed and yielded results consistent with expectations, supporting the validity of the experiment. The polycarbonate filters were placed onto 10 % LB-agar plates and incubated for 48 h at 37 °C in the absence of antibiotics. Filters were recovered in 3 mL NaCl and vortexed. From the cell suspension, cells were recovered in LB, after which differential selective plating on LB agar was used to quantify the proportion of 1) donors (no antibiotic selection; donors identified by assessing *mCherry* expression using a stereo fluorescent lamp (Nightsea), 2) recipients (Gm) and 3) transconjugants (Gm + Cm). Glycerol stocks were made with the remaining cell suspensions.

For each replicate, conjugation efficiency was calculated by dividing transconjugant concentrations (CFU/mL) by recipient concentrations (CFU/mL) and means were determined for each isolate and treatment. For each replicate from the pKJK5::csgc[$bla_{CTX-M-15}$] treatment, escape frequencies were calculated as a relative conjugation efficiency by dividing the conjugation efficiency of pKJK5::csgc[$bla_{CTX-M-15}$] for each replicate with the average conjugation efficiency of pKJK5::csgc[NT]. The mean escape frequency per isolate was calculated as the average of all five biological replicates.

Recovery of *E. coli* ST131 escapers (*E. coli* ST131 pKJK5::csgc[$bla_{CTX-M-15}$]) and phenotypic analysis

E. coli ST131 pKJK5::csgc[$bla_{CTX-M-15}$] escapers were recovered on Gm + Cm plates and the presence of $bla_{CTX-M-15}$ was verified based on their cefotaxime resistance profile. To do so, individual clones were replated onto LB agar containing (i) Cm + CTX and (ii) Cm to assess the cefotaxime phenotype while ensuring CRISPR-Cas9 plasmid maintenance. Additionally, we generated glycerol stocks of escapers after O/N incubation in LB + Cm. The same procedure was used to select for 100 transconjugants from the non-targeting treatment (*E. coli* ST131 pKJK5::csgc[NT]). A visual representation of the filter mating assay and the phenotypic screen of the escapers can be found in ***Supplementary figure 1.***

To calculate cefotaxime-resistant and cefotaxime-sensitive escape frequencies, the cefotaxime resistance profiles were assessed for all escapers recovered directly from the filter mating assay for isolates Ecp1-I, Ecp1-II and 26-I. For isolate 26-II, due to the larger size of the escape population recovered, ten escapers per replicate were randomly selected for resistance profiling. The proportions of each cefotaxime phenotype were then used to estimate cefotaxime-resistant and cefotaxime-sensitive transconjugants concentrations (CFU/mL) per replicate. Conjugation efficiencies for both phenotypes were calculated by dividing the respective transconjugant concentrations by the recipient concentrations for each replicate of the pKJK5::csgc[$bla_{CTX-M-15}$] treatment. Finally, cefotaxime-resistant and cefotaxime-sensitive escape frequencies were calculated as a relative conjugation efficiency by dividing the cefotaxime-resistant or cefotaxime-sensitive conjugation efficiencies of pKJK5::csgc[$bla_{CTX-M-15}$] for each replicate with the average conjugation efficiency of pKJK5::csgc[NT]. The mean escape frequency per phenotype and isolate was calculated as the average of all biological replicates, including those where no cefotaxime-resistant or cefotaxime-sensitive escapers were found. Replicate 4 from isolate Ecp1-II was excluded from the calculations of cefotaxime-resistant and cefotaxime-sensitive escape frequencies as only one escaper was directly recovered from the filter mating assay.

Genotypic analysis of *bla*CTX-M-15

PCR was used to study *bla*CTX-M-15 presence in escapers. To obtain enough DNA template material, frozen glycerol stocks of escapers were scraped with a pipette tip and the tip was swirled in 10 μL water to suspend attached material. The suspension was then heated to 95 °C for 15 minutes and centrifugated at 3.500 rpm for 5 minutes. For cefotaxime-resistant and cefotaxime-sensitive escapers from isolates Ecp1-I and Ecp1-II, *bla*CTX-M-15 presence was studied using primers with binding sites within the gene (***Supplementary figure 2A***). Furthermore, for the cefotaxime-sensitive escapers primers designed to amplify IS*Ecp1* + *bla*CTX-M-15 were also used (***Supplementary figure 2A***). Phusion High-Fidelity polymerase (Thermo Scientific) was used in both PCRs. Additionally, ExoSAP-cleaned (NEB) PCR amplicons were Sanger sequenced. In cefotaxime-sensitive escapers from isolate 26-II, the deletion of the *bla*CTX-M-15 PCT was studied using primers annealing outside the PCT (***Supplementary figure 2B***) with 1x VeriFi Hot Start Polymerase (PCR Biosystems). Across PCRs, when no amplicon was found, 16S PCR or amplification of other genes were used to verify template presence. Moreover, the respective ancestral isolates and transconjugants from the non-targeting control (pKJK5::csgc[NT]) were used as positive controls for the presence of *bla*CTX-M-15. All primers can be found in ***Supplementary table 3***.

Genotypic analysis of CRISPR-Cas9 integrity

Cefotaxime-resistant and cefotaxime-sensitive escapers from isolates Ecp1-I and Ecp1-II were subjected to a genotypic CRISPR-Cas9 integrity assay to understand whether mutations had occurred in *cas9* and/or the *sgRNA*. Four sets of primers generating overlapping amplicons were used, including a region upstream of *cas9* and downstream of the *sgRNA*. Additionally, a fifth set was used to specifically amplify the *sgRNA* (***Supplementary figure 2C***). PCRs were performed using 2x PCRBIO Taq Mix Red (PCR Biosystems). Amplicons with unexpected lengths (i.e. amplicons with an estimated length >60 bp different from the expected amplicon length) were purified from the agarose gel using the Monarch® DNA Gel Extraction Kit Protocol (NEB) and Sanger sequenced. Transconjugants from the pKJK5::csgc[NT] control and the ancestral isolates were used as controls, and 16S amplification was used to verify template presence. DNA template for the PCRs was obtained as described above. All primers can be found in ***Supplementary table 3***.

Phenotypic CRISPR-Cas9 functionality assay

To check for the presence of dysfunctional CRISPR-Cas9 activity in cefotaxime-resistant escapers from isolates Ecp1-I and Ecp1-II that showed expected lengths of csgc amplicons and, in cefotaxime-sensitive escapers, a phenotypic CRISPR-Cas9 functionality assay was performed. For this assay, liquid conjugations were performed with donors harbouring either plasmid pCTX15, which we engineered to carry $bla_{CTX-M-15}$, or plasmid pCTRL, which we engineered to carry a mutated version of $bla_{CTX-M-15}$ that allows escape from CRISPR-Cas9 targeting through a silent point mutation of the PAM sequence. Specific details about plasmid engineering and the assay can be found in **Supplementary method 1** and **2**.

Phenotypic β-galactosidase assay

To characterize the IS*Ecp1 bla*$_{CTX-M-15}$ deletions found in cefotaxime-sensitive escapers from isolates Ecp1-I and Ecp1-II, a phenotypic β-galactosidase assay was performed that makes use of the proximity of $bla_{CTX-M-15}$ to the *lacZ* gene. Individual escapers were plated onto 0.2 µg mL^{-1} X-Gal LB-agar plates. Colonies were screened for white (β-galactosidase / *lacZ*-negative) or blue (β-galactosidase / *lacZ*-positive) phenotypes. Cefotaxime-resistant escapers, transconjugants from the pKJK5::csgc[NT] control and the ancestral isolates were used as positive controls.

Sequencing

Whole genome sequencing was performed to obtain genomic details of the four *E. coli* ST131 ancestral isolates and several escapers from the CRISPR-Cas9 treatment. For the ancestral isolates, DNA extraction was performed from LB + CTX 3 µg mL^{-1} ON cultures using FastDNA Spin Kit (MP Biomedicals). The extracted DNA was treated with 2 µL of RNAseA 20 mg mL^{-1} for 10 minutes at 37 °C and purified with SPRISelect beads (Beckman Coulter). Sequencing libraries were prepared with 2 µg of purified DNA using the ONT Ligation Sequencing Kit (Oxford Nanopore Technologies) and long-read sequencing was performed using PromethION (Exeter Sequencing Facility). Genomes were assembled using *Unicycler* (version 0.5.0) (85), with automated annotations generated using *RASTtk* (86). Short-read WGS (MicrobesNG, Birmingham) was performed for one β-galactosidase-positive and one β-galactosidase-negative cefotaxime-sensitive escaper from isolates Ecp1-I and Ecp1-II. Similarly, short-read WGS (MicrobesNG, Birmingham) was also performed for escaper 5.10 from isolate Ecp1-II. Hybrid WGS (MicrobesNG, Birmingham) was performed for ten cefotaxime-sensitive escapers from isolate 26-II (two per each filter mating replicate) and for one transconjugant from the pKJK5::csgc[NT] control. Genome assembly was performed using *Flye* (87) and the respective deletions were characterized. Benchling was used for DNA visualization (88).

Data analysis

Data processing, visualisation and statistical analyses were performed in R version 4.4.2 using R studio 2023.9.1.494 (89). Statistical modelling was performed using package *lme4* (version 1.1-37) (90). Data processing and plotting were performed using packages *tidyverse* (version 2.0.0) (91), *ggplot2* (version 3.5.1) (92), *gggenes* (version 0.5.1) (93), *patchwork* (version 1.3.1) (94) and *grid* (version 4.4.2) (89). Significance of fixed effects was determined through comparing nested models using chi-squared tests (α = 0.05), beginning with a global model that included all biologically relevant fixed effects and interaction terms. Interaction term statistical significance was always tested first, and where interactions were statistically significant, all constituent fixed effects were retained in the model. R package *DHARMa* (version 0.4.6) (95) was used to diagnose potential model issues. Specifically, we checked if residuals deviation were deviated from the expected distribution, if the model had over or under dispersion, if the datasets contained highly influential outliers, and if the residuals were heteroscedastic. Post-hoc analyses were performed using *emmeans* (version 1.10.2) (96).

Conjugation efficiency of CRISPR-Cas9 and the cefotaxime-resistant and cefotaxime-sensitive escape frequencies were modelled using binomial family generalised linear models (GLMs) and a logit link function, with the number of colonies counted for the recipients and transconjugants as the weights value. Due to large differences in the count of transconjugants between treatments, concentrations of recipients and transconjugants (CFU/mL) were logarithmically transformed (when the dataset included zeros, log10+1 was used).

Our global model of conjugation efficiency of CRISPR-Cas9 included the predictor variables plasmid identity, isolate identity, and an interaction between plasmid and isolate identity. For the cefotaxime-resistant and cefotaxime-sensitive escape frequency analyses we modelled the predictor variable genetic context of the $bla_{CTX-M-15}$. For the cefotaxime-sensitive escape frequencies, model validation revealed slight deviation in the first quartile of the residuals *vs* predicted plot. However, this model remained the most appropriate, and the large observed effect sizes mean it is improbable we are drawing erroneous conclusions from this analysis. For the cefotaxime-resistant escape frequencies, model validation revealed some influential outliers (replicates with no escapers) and model optimization was performed removing outliers.

For the phenotypic CRISPR-Cas9 functionality assay in *E. coli* K-12 MG1655 carrying either pKJK5::csgc[NT] or pKJK5::csgc[$bla_{CTX-M-15}$] the relative conjugation efficiencies (pCTRL/pCTX15) were modelled using a Gamma family GLM with a log link function and with CRISPR-Cas9 plasmid as a predictor variable. For the phenotypic CRISPR-Cas9 functionality assay with

escapers, the data were individually modelled for each isolate. For both datasets, pCTRL/pCTX15

was modelled using a Gamma family GLM with a log link function, with cefotaxime phenotype as

a predictor variable. Model validation revealed some heteroscedasticity for isolate Ecp1-II, which

could be attributed to the presence of few cefotaxime-sensitive escapers with dysfunctional

CRISPR-Cas9 systems. Nevertheless, the Gamma GLM remained the most appropriate for our

data.

DATA AVAILABILITY

The genome of the *E. coli* ST131 isolates (Ecp1-I, Ecp1-II, 26-I, 26-II) were deposited in

PRJNA1281408. The assembled genomes of escapers with $bla_{CTX-M-15}$ deletions from isolates

Ecp1-I, Ecp1-II and 26-II and for escaper 5.10 Ecp1-II can be found in __*to be determined*__. The

data analysis can be found in GitHub __*to be determined*__. All the raw data and the full escape

characterization can be found in the raw data excel file in __*to be determined*__. Additional

experimental details, methods, tables and figures can be found in the online version of this

article.

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