High-level Speech Processing During Mind-Wandering:

Evidence from Neural Alignment with Language Models

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Abstract

Speech processing involves a subjective sense of engagement with content. This

experience occurs alongside continuous prediction of upcoming contents, raising questions

regarding the potential relationship between these phenomena. Is the activation of predictive

mechanisms sufficient for subjective experience, or can it proceed when the mind wanders

away? Participants (N = 25) listened to audiobook content (>12,000 words) while providing self-

reports of mind-wandering. Such reports were associated with spectral changes in the EEG

signal and decreased early response to word onset, in line with current accounts of mind-

wandering. In contrast, markers of word-level contextual surprise (indexed by the contextual

surprise of Language Models) remained intact during mind-wandering, alongside significant

encoding of semantic contents. Last, we measured the neural encoding of predictive context,

measured by the correlation between EEG and the vectorial embeddings that Language Models

use to predict each word given prior context. This encoding also remained strong during mind-

wandering, albeit weaker compared to attentive periods. Our findings show that the brain

predicts and monitors relevant inputs even when we disengage from the external environment.

It suggests that the shared computational mechanisms between humans and Language Models

are insufficient for experiencing the meaning of speech contents, with implications for theoretical

accounts of mind-wandering and predictive processing accounts of consciousness.

Significance Statement

The human brain is suggested to process continuous speech using context based on

previous information. Considering that these predictions involve the information required for

extracting meaning, they might also be associated with the subjective experience of attentive

listening. Using EEG recordings of participants listening to audiobooks and LLM-based

representations of the text, we found that when people report mind-wandering, their brains

continue representing context-based predictions, prediction errors, and word-level semantics.

This indicates that sophisticated language predictions, that share computational mechanisms

with LLMs, are at least partially independent from subjective awareness, with potential

implications for debates about artificial intelligence and predictive processing theories of

consciousness.

Main Text

Introduction

Spoken language processing is a key aspect of daily experience, making its neural and cognitive underpinnings a primary topic of interest for psychologists and cognitive neuroscientists[1–3]. Importantly, speech processing involves not only the mechanical decoding of acoustic inputs, but also the conscious, subjective experience of understanding and engaging with meaning[4,5]. Despite the progress made in understanding the basis of speech processing, it remains unclear which sub-components of speech processing are associated with the subjective experience of engaged listening, and which can occur when consciousness is reduced. This question is especially relevant today, as the capabilities of deep learning models spark discussions regarding whether they can ultimately meet the criteria for consciousness[6–8].

Speech processing happens at multiple levels, including the physical properties of the stimulus[9], linguistic units, word meaning[10,11], and whole narratives[12]. An additional important component of language processing, on which we focus here, is the high-level use of contextual information (e.g., previous words) for predicting upcoming inputs and extracting word meaning[13]. As a narrow example, context provides a prior for deciphering the meaning of homophones (for example, when restoring missing speech sounds[14]). However, the importance of predictions in language comprehension is suggested to be much broader[15–18], with contextual information influencing hierarchically lower levels of prediction errors[19]. The theoretical and empirical importance of predictions raises a central question: Do high-level, context-based predictions co-occur with conscious experience? Or, alternatively, can we find instances where consciousness of speech content is attenuated, yet predictive and semantic processing remain strong?

Here, we utilize spontaneous fluctuations in attention to speech (i.e., mind-wandering[20]) as a naturalistic case of reduced consciousness of relevant and audible external contents. The reader will likely recognize the experience of listening to a lecture or podcast, only to realize at some point that they have no idea what was being said, having been absorbed in a stream of related or unrelated thoughts. Mind-wandering is usually measured as self-reported periods in which thought disengages from the current task[21], prompting descriptions of processing during Mind-wandering as "perceptual decoupling," in which perception becomes weaker, akin to ignored streams of information[22,23]. This description gains substantial support from studies

showing decreased neural responsivity and behavioral performance during mind-wandering[24–
27].

How deep is this perceptual decoupling? The literature on processing without
awareness suggests that there is some evidence for high-level processing of singular
objects[28–30], with integration being more difficult[31]. However, such accounts are usually based
on visual masking[28,32,33] or concurrent competition (e.g., dichotic listening[34,35]), limiting the
conclusions to rather weak signals. Mind-wandering reports, on the other hand, reflect reduced
awareness of contents that may be presented without any degradation. Further, the frequency
and robustness with which mind-wandering occurs during task performance[26,36] and daily
lives[37,38] make it unlikely that humans could behave adaptively if mind-wandering completely
obliterated high-level processing capacities[39,40] (e.g., representation of lexical and semantic
information). In the specific case of continuous speech, for example, partial monitoring of goal-
relevant content might allow for better allocation of resources during mind-wandering. It is
possible, therefore, that the brain may still track and predict the meaning of single words,
despite the reduction in subjective experience.

Here, we set out to examine the relationship between predictive and semantic
processing and mind-wandering in participants listening to audiobook chapters. We utilized
previously established measures of pre-word context-related activity and post-word contextual
surprise[19,41] during self-reported periods of mind-wandering (MW) and On-task (OT). To
quantify word-based contextual surprise, we utilized the probability of word occurrence
assigned to each word by a Large Language Model (LLM[42,43]). As a measure of non-contextual
semantic processing, we examine neural encoding of word2vec[44] embeddings, which reflect
semantic dimensions at the word level[45]. Last, for context-related activity, we assessed the
neural encoding of the representations that LLMs use to generate predictions[15]. To foreshadow
our results, we found that despite the decrease in response to word onset during MW, the
other markers - pre-onset contextual activity, post-onset semantic encoding and surprise
processing - remained highly significant and even unchanged during MW. Our findings are the
first to show high-level verbal information processing, including prediction generation, during
mind-wandering.

Figure 1. Experimental procedure and analysis approach. EEG was recorded from 25 subjects listening to six audiobook chapters, segmented into 136 parts varying in duration. The last 12 seconds of each segment were labeled according to a report in a post-segment probe. For each word played, we modeled three types of responses: change in response to surprising words (based on LLM), encoding of predictive context (based on LLM), and encoding of semantic embedding (based Word2Vec). Each model was fitted separately. For surprise response, we focused on time-resolved coefficients estimating responses (separating MW/OT periods), and for semantic & contextual encoding we tested left-out EEG data labeled as MW/OT (see Methods).

Results

118   Our participants listened to 130 minutes of Hebrew audiobooks, comprised of six chapters,

119   with random pauses in which they were asked to choose descriptions that best fits their

120   experience. Using validated methods for experience sampling[36], the choice "I was attentive to the

121   audiobook", was labeled as "on-task" (OT), while the rest of the options reflected different types

122   of mind-wandering (all labeled MW, see Table S1). The MW-associated options were chosen in

Figure 2. Mind-wandering classification. Top panel shows the results of single-epoch (12 seconds) classification based on the average power in the epochs. The classification was based on a 10-fold cross-validation, with the average power in each band across all 64 channels. Error bars denote 95% confidence interval. Bottom panel shows the average difference in power between OT and MW reports, split between different bands. White circles denote channels belong to a significant (corrected $p < .05$) cluster after spatial cluster-based testing. Grey circles show the maximum cluster (uncorrected $p < .05$) for non-significant tests.

28.1% of the time (SD = 10.0%) and were tightly associated with post-listening ratings of interest

at the audiobook level (Figure S1). We labeled the 12-second period prior to report as MW/OT

(for similar approaches see review by Kam et al.[27]). We begin by validating that MW reports reflect

different states, as evidenced by changes in EEG spectra. Then, we move to examine semantic

encoding, which is required for creating high-level predictions. The last two sections include an

analysis of evoked responses to LLM-based surprisal and the encoding of pre-word context-

related activity, both of which directly assess predictive processing during MW.

Mind-wandering is Associated with Spectral Changes

We first tested whether the EEG signal includes any information regarding MW reports,

to ensure their reliability. Therefore, we examined whether mind-wandering reports can be

predicted by the spectral properties of the EEG, as shown in previous studies[27,46,47]. We split

the Power Spectrum Density (PSD) in each data segment (MW/OT segment) to familiar EEG

bands (delta: 3-6Hz, theta: 6-8Hz, alpha: 8-12 Hz, low beta: 12-20Hz, and high beta: 20-30 Hz,

and the average broadband power between 3-30). A cluster-based spatial permutation

analysis of the power difference MW and OT (per band) revealed significant clusters of

stronger power during the OT condition in the theta and low beta regime (Figure 2, lower

panel). Beyond group differences, we were able to use the whole topography to classify MW in

all bands except for the delta and high beta band (all Bonferroni corrected $p < 0.01$, see Figure

2, upper panel, and Table S3). While discussing the specific role of periodic activity in MW is

outside the scope of this study, we note that both theta and beta bands are typically associated

with sustained attention and executive control[48,49].

Having validated that EEG activity is sensitive enough to predict subjective reports, we

now proceed to examine word-level markers of semantic processing and contextual predictions

during OT and MW periods.

Figure 3. Results of average encoding scores for word2vec semantic representations per time-point. Green and orange dots denote *p* < .05 after cluster-based temporal permutation test. The grey line in bottom panel reflects the difference between OT and MW accuracy per timepoint. Shaded areas denote 1 SE.

Semantic encoding persists during mind-wandering

A precondition for intact contextual processing is semantic processing of spoken words,

which is unclear whether MW abolishes completely. To examine whether such processing

occurs when participants report MW, we investigated whether a model based on semantic

properties of words can predict EEG responses left out of training. This was tested via an

encoding model of existing word2vec 100-dimensional embeddings, pre-trained on a Wikipedia

corpus[50]. We first extracted single-word epochs (from sections not labeled as MW/OT). Then,

for each channel and timepoint (separately), we fitted a linear model predicting the EEG

voltage with semantic embeddings as features. We then tested this model on epochs labeled

as MW or OT (outside of the training set), resulting in predicted voltage at each channel and

timepoint. The average correlation between predicted and actual EEG, across channels,

served as a marker for semantic encoding (Figure 3).

159   Similar to previous studies[15], encoding accuracy peaked around 400 ms, associated

with the time needed for lexical/semantic access[51]. Semantic encoding was significant during

both MW (significant clusters between 332-473 ms, permutation $p < 0.01$, mean encoding

between 300-500 ms, r = 0.0136, SD = 0.0118) and OT periods (significant clusters between

292-694 ms, permutation $p < 0.01$, mean encoding between 300-500 ms, r = 0.0083, SD =

0.009). Specifically, semantic encoding during MW was not reduced compared to OT periods

and, in fact, was numerically larger (temporal cluster-permutation, all $p > .7$; uncorrected

difference between 300-500 ms: $t(24) = 1.63$, $p = .11$). Bayes factor analysis provided

evidence against the hypothesis that OT encoding is stronger (BF10 = 0.089).

Mind-wandering reduced the response to word onset but not for surprise

169   So far, we have shown that semantic information is correlated with brain activity during

MW, making this information available for generating predictions about upcoming words. Such

predictions should, in turn, be reflected in the evoked response to the words. We focus on two

types of responses: first, the average response to a single word, capturing low-level processes

that occur for all words. Second, the change in response when a certain word is more

surprising. Both responses could be captured by the intercept and slope of a simple regression

model predicting EEG activity using surprise score (quantified by z-scoring the odds of a word

appearing, based on LLM). To obtain these estimates, we conducted a time-resolved

regression estimating regression-based ERP (rERP), following an established method[52]. This

method controls for overlapping activity evoked by different words, while also allowing to model

the activity each timepoint as a function of multiple variables. The regression included three

separate predictors for word onset (MW, OT, and, for periods not reflecting the twelve seconds

preceding probes - unlabeled) and three similar predictors for surprise, while also including

covariates for acoustic & lexical information and pre-word uncertainty. (see Methods for

additional details).

Figure 4. Effects of word onset and surprise level (a) Grand average of rERPs for word onset predictor (binary) at POz per attentional state. Shaded areas denote one SE of the mean. Time 0 reflects the onset of the word. (b) The effect of MW on onset response. The left panel (bar graph) shows the average estimated word onset response at the posterior cluster for the 100-300 ms time window for OT and MW words, with individual lines reflecting single-subject estimates and error bars reflecting 95% CI. The right panel shows the scalp topography at the same timepoints for each state and their differential activity. Red and blue dots mark channels belonging to a significant positive (OT>MW) and negative (OT<MW) clusters, respectively, during at least 20% of the time period (*p* < 0.05). (c) same as b, but for the 300-500 ms time window. Panels d-f are identical to a-c, but for the coefficients reflecting the surprise response (timepoint-specific slopes of a z-scored predictor), rather than the word onset response.

Cluster-based analysis of onset response. To test the rERP responses while avoiding

assumptions about time and location, the full waveforms in all channels were subjected to

spatial-temporal permutation testing. Notably, both MW and OT showed a significant response

to word onset (MW: between 0-1000 ms, permutation p < .001; OT: between 0-949 ms,

permutation p < .001), with a significant cluster for OT versus MW appearing during 51-379 ms

(permutation p = .007, Figure 4a). To gain further insight into the nature of differences, we

conducted an exploratory analysis using the average onset response at two different windows,

possibly separating earlier processes from later, post-perceptual (100-300 and 300-500 ms,

Figure 4b-c). We used the negative cluster found in the response to data unlabeled as MW and

OT (excluding the last 12 seconds of each audiobook section), to select Pz, POz, P1 and P2 as

the electrodes of interest for comparing the responses to the OT and MW conditions (see Figure

S2 for other possible clusters of channels, and Figure S3 for the topographies for unlabeled

data). At the early window, we found a stronger response for OT (mean OT-MW difference =

0.12 µV [0.04, 0.20], t(24) = 3.23, p = .004, BF10 = 11.49). The effect of MW on onset response

was weaker between 300-500 ms, with an inconclusive result (mean OT-MW difference = .049

µV [-0.11, 0.01], t(24) = 1.62, p = .117, BF10 = .67). This indicates that, in line with many

studies showing attenuation of perceptual responses[27], MW is associated with a decline in early

responses to spoken words.

Cluster-based analysis of surprise response. To examine the processing of word-

level surprisal during mind-wandering, we tested the coefficients of surprise in the regression

model outlined above. We found an occipital-central negativity associated with larger surprise

at the word level, reflecting the estimated change in rERPs when a certain word is more

surprising by one standard deviation. This effect was significant for both types of report (MW:

between 242-761 ms, permutation p < .001; OT: between 152-789 ms, permutation p < .001,

Figure 4d). The surprise response peaked somewhat earlier for MW than OT, based on the

maximum of individual waveforms (mean latency difference = 70 ms [20, 120], t(24) = 2.718, p

= .012, BF10 = 4.10). No significant difference was found between OT and MW response

amplitudes, suggesting no strong effect of MW on surprise processing (all p > .48). See Figure

S4 for the effects of lexical frequency in the same model.

It is possible that the lack of the MW effect on surprise was due to a conservative

correction for multiple comparisons. That is, we did not find a significant cluster in a corrected

procedure, but perhaps focusing on the activity in a specific timepoint can yield the effect. To

explore this possibility, we extracted the average difference at a posterior cluster of channels

during two different time frames (100-300, 300-500, posterior cluster which showed the

strongest activity in sections unlabeled as MW/OT, Figure 4e-f and Figure S5) and compared

MW and OT responses. At the early window, mirroring the later peak observed for OT

response, we found a stronger surprise response in MW (mean OT-MW difference between

100-300 ms = -0.084 μV [-0.15, -0.02], $t(24)$ = -2.65, p = .014, BF10 = 3.61). Notably, this

contrasts with the stronger onset response found for OT in the same time window. At the peak

of the surprise response, between 300-500 ms, no difference was found, with a null effect

more likely (mean OT-MW difference between 300-500 ms = -0.022 μV [-0.09, 0.05], $t(24)$ = -

0.67, p = .503, BF10 = 0.26). These results remained unchanged when keeping only content

words and when controlling for words with high uncertainty, quantified by the overall entropy of

prior probability for word occurrence (Table S5).

Taken together, we found robust surprise-evoked negativity during both MW and OT

periods, without strong influence of MW, despite the decline in response to word onset. To

statistically test whether the MW effect significantly differed between the onset and surprise

response, we conducted a permutation test comparing the difference waves (MW effect on

onset response minus MW effect on surprise response). This confirmed a larger difference

between MW and OT in response to word onset and the response to the surprise level during

the early time window (between 23-398 ms, permutation p = 0.007, Figure S6).

Analysis Using Variable Time Windows. One limitation of the results is that the 12-

second window defined as MW may be contaminated by momentary OT periods.

Contamination of this sort may create an apparent effect of surprise, even if there is none in

"pure" MW periods. It should be less pervasive in shorter window durations, as subjects are

more likely to accurately reflect on their experiences. If contamination is responsible for our

results, shortening the duration of the window should reduce the estimated effect of surprise

during MW and/or increase the effect during OT periods.

Figure 5. Effect of the labeling window size (a) We hypothesized that labeling a smaller temporal segment would result in less attentive periods contaminating MW periods. This means that MW-associated effects should grow smaller when the temporal window increases and larger when the window decreases. (b) Instead of the 12-second window used for the original analysis, we conducted the same analysis using windows of 8-20 seconds for labeling and extracted the mean amplitude of surprise and onset responses at the posterior cluster. (c) Analysis of MW effects per response and window. The y axis shows the mean estimated response, and error bars denote one SE.

We thus extracted the average amplitude of the surprise and onset responses (at 300-

500 ms after onset) of MW and OT episodes based on different time windows (8-20 seconds

pre-probe, in 2-second increments, response type: surprise/onset, report: MW/OT, Figure 5).

The effect of MW on word onset response was more substantial in the shorter time window,

consistent with it being less contaminated by OT data ($t(148) = 2.50$, $p = .013$ for 300-500ms),

as indicated by a mixed-effect model predicting the effect by time window. For the surprise

response, no such interaction was observed ($t(148) = 0.21$, $p = .84$). Further, we found a

robust surprise effect during MW regardless of the specific time window chosen (all within-

window $p < 0.01$, effect of time: $t(148) = 0.03$, $p = .976$). These results are inconsistent with a

contamination explanation, underscoring the robustness of our findings to the chosen time

window.

Overall, the analyses demonstrate that our design is sufficiently sensitive to capture the

(somewhat expected) influences of mind-wandering on spectral power and evoked responses

to speech. Despite this sensitivity, markers of word-level surprise persisted during MW,

regardless of the time frame defined as MW.

Mind-wandering attenuates but not abolish contextual representations

Here, we focused on the contextual information used by the LLM to generate the

prediction, using a similar approach to the semantic encoding described above. If predictions

are being conducted prior to word occurrence, then the features of these predictions should

correlate with EEG activity to some degree. As contextual information, we used the last hidden

layer of Mistral[43,53] model activations for each word, which includes the information used to

predict the probabilities of upcoming words. These contextual embeddings will be identical for

two different words with identical preceding contexts. Similar to the procedure used for

semantic encoding, we used linear models trained on the embeddings of unlabeled EEG data

(see Methods) to predict the EEG around single words and calculated the Pearson correlation

between the estimated and actual EEG during MW and OT periods. This procedure was

repeated for each timepoint and each channel, with high correlation meaning stronger neural

encoding of embeddings, that is, stronger context-related activity.

Figure 6. Encoding of contextual embeddings. (a) Analysis procedure. We extracted contextual embeddings predicting each word based on the 128 words preceding it, so each embedding is a vector used by the model to extract the conditional probabilities of upcoming words. These vectors were trained on left-out data to predict the EEG in each channel and timepoint across all words labelled as OT or MW. (b) Results of average encoding scores per time-point. Green and orange dots denote *p* < .05 after cluster-based temporal permutation test. The grey line reflects the difference between OT and MW accuracy per timepoint, with black stars for significance. Shaded areas denote 1 SE. (b) shows the scalp topography of encoding scores in different timepoints for OT (bottom) and MW (top) periods, with white circles indicating *p* < .05 in spatial-temporal cluster-based permutation test.

This analysis yielded several insights. First, both MW and OT periods showed robust

encoding of context, peaking around word onset (OT: -634 to 836 ms, permutation p < .001;
MW: -372 to 71 and 131 to 574 ms, both with permutation p < .001, Figure 6). The mean
encoding during peak, between -100 to 100 ms around word onset, was r = 0.0244 (SD =
0.0135) for OT periods, and 0.0162 (SD = 0.0181) for MW data (uncorrected difference, t(24) =
-3.07, p = .005, BF10 = 8.4). Second, while most timepoints did not show differences between
OT and MW, our corrected cluster permutation test did reveal a significant improvement in
encoding for OT data around the peak activity (between 30-151 ms, permutation p = .023).
Thus, we find that context-based predictive activity is somewhat diminished at word onset
during mind-wandering, but still highly intact.

We also conducted a control analysis with acoustic information of previous stimuli instead of
contextual embeddings, as such information might be present in the contextual embeddings[54].
We found that acoustic features performed worse in predicting EEG activity, compared to
contextual embeddings, suggesting they could not account for all context-related activity (Figure
S8).

Discussion

Our results indicate that the brain processes and predicts continuous speech even
when the mind wanders, and therefore, such processing by itself does not indicate subjective
experience. First, mind-wandering was associated with changes in EEG spectrum and a
weaker early response to words, likely reflecting perceptual decoupling. One might expect
higher-level processing, involving word meaning, to become even weaker compared to early
responses, which are associated with low-level processes. In contrast, we found that surprise
response and semantic representation did not show a strong reduction, and even possible
markers of enhancement, during mind-wandering.

Not all processing markers we tested were completely unaffected by mind-wandering.
Pre-onset contextual encoding was only partially preserved when participants' minds
wandered, compared to on-task periods, despite it being highly significant and following a
similar topography. This difference was strongest at word onset, possibly reflecting
misalignment of context activity with onset or lower constraints of predictions (e.g., instead of
predicting the next word to be "Napoleon", predicting it would be a name of a person). This
result may seem at odds with the intact surprise response, which requires contextual
prediction. This apparent discrepancy could be settled by two accounts. First, it is possible that

the lack of a tight prior causes difficulty in lexical/semantic access for unpredicted words,

compared to highly predicted words. This difficulty may elicit more surprise-related activity

during mind-wandering, as a previous study suggested[40]. Second, it is possible that even

weaker, but existing, contextual activity, is enough to generate surprise responses. The exact

link between pre-word contextual activity and surprise response, and the types of information

each represent, is a topic for further investigation.

Perceptual Awareness and Processing Levels

The findings raise an important question about the depth of external processing during

mind-wandering. The topography and timing of the surprise response were highly similar to

studies of speech-related N400, associated with difficulty of semantic integration or lexical

access[11,51,55]. A parsimonious interpretation would suggest that during mind-wandering, the

brain nevertheless activates mechanisms relating to this lexical-semantic search, but it is not

certain that these reflect high-level processing per se, rather than the prediction of low-level

information[19]. Such processing, however, was supported by the encoding of pre-onset

contextual activity, as such context was based on the contents of multiple previous words.

Moreover, the persistent encoding of word2vec representations around 400 ms is highly

suggestive that word meaning is indeed processed.

Our result carries immediate implications for decoupling accounts of mind-wandering[24–

27], which currently do not suggest externally-oriented processes to be active during such

periods. We propose two possible hypotheses that explain how processing occurs during

mind-wandering. One account would suggest that while mind-wandering involves an internal

stream of thoughts, we also remain aware of the external stream of information. This would

imply that it is possible to simultaneously, or by rapidly alternating between contents, maintain

awareness of two streams of information[56]. Considering that we do not find a major effect of

MW on predictive signals, this postulated mechanism appears to come without any processing

cost. While split awareness in MW contradicts subjective intuition and participants' reports, it

warrants future scrutiny.

An alternative explanation would suggest processing remains intact, despite diminished

external awareness. This means high-level predictions are partially independent from

conscious awareness[57]. This interpretation may inform ongoing discussions about non-

conscious processing capacity, which is mostly limited to the visual modality of singular

events[58–61]. Further, it implies that theories describing high-level predictions as the basis for

consciousness should be refined and address which types of predictions do require

awareness[62,63]. While the reliance on mind-wandering reports limits the ability to mark specific

words as consciously or non-consciously perceived, it highlights the importance for future

studies to assess whether our results reflect purely non-conscious processing or partial

awareness.

The "reduced-awareness" explanation also makes our result particularly relevant to

current discussions about AI consciousness[6–8]. LLMs are highly successful at imitating human

language capabilities, promoting discussions regarding their ability to consciously experience

and "understand"[64]. The capacity of such models stems from autoregressive prediction - that

is, predicting the upcoming word given a broad context. Here, however, we show that in

humans, such prediction capacities persist when individuals report not having a subjective

experience of the text. This suggests that predictive capacities, on their own, cannot be used

as an argument for LLMs having subjective experience, at least not in the same way humans

do.

Limitations

Our study has several limitations that future research might investigate. First, we

address "prediction" as a single entity in this work, while it could be expanded to extract the

specific semantic and linguistic dimensions being predicted[19]. Because our focus is on *any*

type of contextual prediction, involving previous words by definition, we also did not separate

between different parts of speech.

Second, we coded mind-wandering as a binary choice, to test if processing markers are

diminished during *any* kind of mind-wandering. In practice, theoretical accounts often describe

mind-wandering on multi-dimensional and continuous scales[65,66]. This introduces uncertainty

regarding the depth of mind-wandering reports: in principle, some participants might wander

only during the last few seconds, yet we labeled the previous 12 seconds as MW. We dealt

with this limitation by showing that surprise processing remains strong even when using a

shorter window, arguably making the periods more closely aligned with the report. Seeing that

both time windows allowed for strong effects on the perceptual response, this contamination-

based explanation cannot fully account for the strong processing markers found during MW.

Future studies may also examine whether other measurement approaches of subjective state

(e.g., continuous or multidimensional) can reveal differences within mind-wandering states.

We also note, with respect to the surprise response, that there are examples of

reduction in N400-like response during inattentive periods in general[51] and during audiobooks

specifically[11,67]. Our study is distinguished from other works in two ways. First, we relied on

spontaneous fluctuations in attention, rather than tasks requiring the selective suppression of

"unattended" speech. Second, we conceptualized surprise as a continuous variable (avoiding

artificial dichotomization) and controlled for overlapping words, which may have resulted in a

more accurate estimation. However, we note that other variables may also affect the results,

such as the language used, engagement with content, and task duration.

Conclusion

Our study provides evidence that multiple measures of semantic and contextual word

processing remain robust during mind-wandering. As in many studies, reports of mind-

wandering were indicative of reduced early responses and spectral changes in the EEG.

Contextual prediction and semantic representation presumably reside higher in the processing

hierarchy. Yet, we found that they remained intact, and possibly stronger, during mind-

wandering. This suggests that while prediction is important for language processing, it is

insufficient for the experience of being engaged with contents.

Materials and Methods

Participants

25 participants took part in this experiment (17 females). All were native Hebrew

speakers and students in the Hebrew University of Jerusalem and received payment or course

credit for participation. The study was approved by the Social Science Ethics Committee at the

Hebrew University of Jerusalem, and all participants gave informed consent prior to

participation. We chose a sample size of 25, which is larger than previous similar studies using

audiobooks[11,19], as we planned to conduct within-subject comparisons, and used longer

audiobook recordings for the same reason (see below).

Stimuli and Procedure

Participants listened to six different audiobook chapters in the same order. The topics,

by order, were: Urban legends, Greek drama (1st chapter), Fiction writing, Product Economy,

Greek drama (2nd chapter) and Napoleon Bonaparte. All audiobooks were previously recorded

by different native Hebrew speakers. The full duration of the audiobooks was two hours and 12

minutes (see Table S2). They were instructed that they should do their best to stay attentive,

and that they will be asked comprehension questions at the end.

All words in each audiobook were given a time-stamp by WhisperX[68]. The timestamps

and spellings were later checked and corrected by a human annotator, who corrected spelling

mistakes and timing errors. For playing the sounds, we created stereo stimuli, one of which

was sent to the loudspeakers. We included the actual sound, and the second included impulse

responses for each starting point of words, which were recorded in the EEG file.

Once every 40-120 seconds, with the exact distance sampled from an exponential

distribution, the audiobook was stopped, and participants were asked regarding the contents of

their experience in the moment prior to the pause. There were seven possible options,

following an established measurement method[69]. The first option was "I was attentive to the

audiobook" and the next six allowed different options, like "day-to-day things" and "current

concerns". The participants got a detailed description, read identically by the experimenter to

ensure they understood that they should choose the first option whenever they felt attentive to

the audiobook (See Table S1 for further details). Here, we label all options other than the first

one as "mind-wandering" (MW), and first option as "On-task" (OT). The data was labeled in the

12-second window preceding the probe. Time periods outside of the labeled window are

heretofore described as "unlabeled data". After the listening was over, participants answered

23 comprehension questions, with an average accuracy of 82.4% (SD = 7.4%), indicating

overall good understanding.

Preprocessing and Analysis

EEG analysis was done using MNE-python version 1.4.0[70]. To pre-process the data,

we first rejected noisy or completely silent channels by visual inspection. We then applied a

bandpass 1-49 Hz filter, interpolated rejected channels and applied an average reference. To

clean activity associated with eye movements and blinks, we trained an Independent

Component Analysis (ICA[71]) on the raw data, and zeroed out the weights of components with

topography and time course that reflect blinks or eye movement activity. The solution was

applied to an unfiltered copy of the data. The clean data was then filtered between 0.5-8 Hz

(see Table S4 for 0.5-20 Hz), the frequencies that were found to track speech responses [72,73],

which were also used in a recent prominent study[19] that examined contextual processing.

Language Model Representations

For semantic encoding, we relied on word2vec embeddings, which capture context-

independent semantic representations. For Hebrew word2vec embeddings, we used 100d

vectors previously trained on co-occurrences in a Wikipedia corpus[50]. To reduce the

dimensionality for encoding, we used PCA to extract the top 40 components.

Contextual embeddings (4096 dimensions) were extracted from representations of a

Hebrew version of Mistral 7B [43,53] running on each audiobook with a window of 128 words in

steps of one word (meaning that for word n, the model was provided with words n-128 to n-1,

and the last hidden layer was saved as the information used for the prediction of word n). The

same model was used to extract model-assigned probabilities of word occurrence, which were

then transformed to negative log odds-ratio ($-log \frac{p}{1-p}$) to create a contextual surprise score. It

was used with a window of 25 words, which was found to saturate correlation with human

performance in a next-word prediction task[15]. The use of log odds-ratios means that the

distance between probabilities of 0.01 and 0.1 is similar to the distance between 0.9 and 0.99,

but larger than the distance between 0.45 and 0.54, which are qualitatively more similar

("somewhat certain"). To validate the surprise score, we conducted the same procedure on a

HeBERT Model. We found strong correlations in surprise scores given by the two models (all

r>0.58 across audiobooks, Table S2). Word frequency was extracted using a corpus of

frequencies in Wikipedia and movie subtitles, and was log-transformed[74].

Spectrum-based Classification of Mind-wandering

To test for evidence that subjective mind-wandering reports were indeed associated

with distinct patterns of brain activity, as previously demonstrated, we conducted a

classification analysis within each subject based on the power spectrum density in different

channels. For each subject and probe, we extracted data segments between 12 to 0 seconds

before probe and assigned it a label based on the report. We then computed, per channel, the

multitaper-based Power Spectrum Density (PSD), and extracted the power in different

frequencies and channels. We used, each time, all channels with different frequency bands,

with the mean extracted power in all frequencies within a given range. Our classification

pipeline included a 10-fold cross-validation with 64 features and 136 samples per subject. We

used a Linear Discriminant Analysis (LDA) with Ledoit-Wolf lemma for shrinkage to train the

classifier on 9 folds, and tested it on the left-out set, across all ten folds. LDA is especially

suitable for our data because it uses prior probabilities for classes based on the training set

proportions, accounting for imbalance. Our metric of success was based on the area under

curve of the receiver operation characteristic (ROC-AUC), which is a metric of classification

robust to unbalanced dataset.

Null-hypothesis Significance Testing

The main statistical procedure in the paper was based on Maris & Oostenvald[75] cluster-

based spatial-temporal permutation test when comparing the activity in two conditions within

subject (hence, we analyzed difference waves). The procedure first locates and scores the

sum of t-scores within a cluster, defined by point adjacent in time and/or space crossing the

threshold of p = 0.05 in a two-tailed t-test. Then, the test performs a permutation-based

procedure that randomly sign-flips the difference waves of individual subjects (effectively

creating an expected difference of 0) and calculates the maximum cluster score. For each of

the original clusters, the corrected p-value represents the chances to get such a cluster by

chance. Whenever conducting single t-tests in follow-up tests, Bayes Factor is calculated

based on a standard medium prior of 0.707[76].

Encoding Analyses

Both the contextual and semantic encoding analyses were based on epoching of the

data between -1400 to 1400 milliseconds relative to word onset (rejecting epochs with over

120 µV peak-to-peak differences) and fitting linear regressions for every timepoint and channel

separately. Our cross-validation procedure used data from the beginning of each audiobook

section (excluding the last 12 seconds), which was unlabeled as MW/OT, to train a model

predicting the EEG of the test data (labeled as MW/OT) from embeddings. Specifically, we

trained the regression model on the dimensionality-reduced representations (the first 40 PCA

components) of the word embeddings in the data unlabeled as MW/OT . Then, we used the

trained PCA weights to extract components representing the words in the test data (for MW

and OT separately). The model based on data unlabeled as MW/OT was then used to predict

the EEG activity of the labeled data, and Pearson correlation between the predicted EEG was

calculated as a metric of encoding success (at each timepoint and channel, across words).

Importantly, the fact that the same model was used to predict MW and OT activity allows us to
avoid potential confounds related to training set sizes.

To account for the possible effect of autocorrelation in the EEG and the embeddings,
we conducted a control analysis in which we shifted the words by a lag of 100 (so word n
received the embedding of word n+100, Figure S7). This effectively breaks the relationship
between the words and the embeddings. For the main statistical analysis, since we had no
prior hypothesis regarding the locus of the effect, we averaged the Pearson correlations across
all channels as a representation of encoding accuracy, resulting in a singular time series of
correlations per subject. A similar procedure was conducted using word2vec embeddings,
which capture context-independent semantic representations. For Hebrew word2vec
embeddings, we used 100d vectors previously trained on co-occurrences in a Wikipedia
corpus[50]. To reduce the dimensionality for encoding, we used PCA to extract the top 40
components. Like the contextual embeddings, PCA was trained only on the unlabeled
(training) data to avoid leakage.

For additional analysis of acoustic embeddings, we extracted the spectrogram of the
previous word, which could evoke an activity that might be conflated with actual predictive
context activity. Specifically, we used a Mel-spectrogram with 64 bands to extract acoustic
embeddings. All spectrograms, for all words used, were reduced to 40 components using PCA.
Then, a 6-fold cross-validation (each time leaving out one audiobook, fitting the PCA and linear
model on the training data, and predicting the test data) procedure was applied to test the
ability to predict the EEG activity from the embedding of the preceding word.

The main statistical procedure was a temporal cluster permutation test on the average
time series, achieved by aggregating all channels within-subject. To gain further insight into the
effects, we also calculated, for each subject, the average encoding score at 100 ms before and
after the peak, that is, -100 to 100 for contextual and 300-500 for word2vec embeddings.

Regression-based Analysis of Surprise response

To extract the response for contextual surprise, we conducted a multiple regression
that accounts for overlap between words[52]. It predicted the whole EEG time course with a
design matrix that includes all word onsets as variables (coded as 1 in the design matrix) with
contextual surprise as the primary variable of interest, with the log of lexical frequency also
added to the model to control for its shared variance (~10%) with surprisal. Additional

covariates used were the time until the next word, average pitch (F0, using an autocorrelation-
based algorithm, estimating pitch every 333 ms in steps of 111 ms [77]), and two metrics of
speech intensity. The speech intensity measures were mean intensity and sudden changes in
intensity (via standard deviation of acoustic envelope), both of which were shown to explain the
EEG signal [78]. Pitch and both intensity metrics were calculated twice per word, once for the
500 ms preceding word onset, and once for the 500 ms after word onset, which resulted in 6
different predictors in the regressions. The regression modelled responses (and accounted for
overlapping responses) from -500 to 1000 ms after word onset in each channel separately,
rejecting segments with a peak-to-peak difference exceeding 120 μV.

Mind-wandering and OT periods were labeled based on a 12-second period before the
probe, using the answer to label the data that preceded it. Data that fell outside these 12
seconds were unlabeled and utilized for training encoding models. For word onset, word
frequency, and contextual surprise, three separate variables were used in the regression: one
to model the response of unlabeled data, and one to model the reaction of MW/OT data. To
allow differential effects, each variable was assigned a value of 0 when the occurring word
belonged to one of the other labels. Frequency and contextual surprise were standardized (z-
scored) before being split into three variables. Due to the limited capacity of regressions to a
high number of features, other covariates that were not of interest were not divided and used
as one variable. The same splitting procedure was used to label data for the contextual
embeddings and surprisal analyses. To ensure MW effects were not due to differences in the
impact of preparation and prediction occurring before word appearance, we calculated the
mean activity between -100 and 0 ms in a posterior cluster of channels based on the unlabeled
data topography (POz, P1, P2, and Pz, combined across channels). We used this average to
compare the mean baseline response during MW and OT periods, with no meaningful
difference found.

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