Interareal and interlaminar differences in sound envelope encoding in

core and parabelt auditory cortex

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Abstract

Amplitude-modulation (AM) plays an important role in the perception of complex

sounds, and transformations in AM encoding may underlie aspects of complex sound perception.

Previous studies have described a hierarchical progression across the auditory pathway,

characterized by a decrease in the temporal precision of AM encoding. In human and nonhuman

primates (NHP), the left hemisphere exhibits enhanced temporal encoding relative to the right

hemisphere. The NHP model provides an opportunity to understand what circuit mechanisms

generate these transformations in encoding by characterizing AM encoding in different

intracortical circuits, and across the cortical hierarchy. To address this, here we report the

encoding of AM signals as a function of cortical layer and hemisphere in NHP core and parabelt

auditory cortex (AC). We recorded electrophysiological activity using linear array

multielectrodes positioned across cortical layers while AM noise and click trains were presented

to awake NHPs. Core AC typically encoded all AM frequencies (1.6-200 Hz) with high ($>90\%$)

classification accuracy, while sites in the parabelt encoded a subset of lower (~1.6-25 Hz)

frequencies. Across both areas, the granular and infragranular layers displayed enhanced AM

encoding relative to the supragranular layers. Both areas displayed enhanced AM encoding in the

left hemisphere, restricted to the supragranular layers. These results represent the first analysis of

AM encoding in the parabelt, indicating that significant temporal encoding of AM is still present

in tertiary auditory cortex, and the layer-specific hemispheric differences suggest a potential

supragranular layer origin of previously documented left-hemisphere dominance in temporal

encoding.

Significance Statement (120 words)

The loudness of natural sounds fluctuate rapidly – a feature known as amplitude modulation

(AM). The rate of AM allows us to differentiate the pitch of different voices, discriminate speech

from music, and supports other foundational aspects of perception. This study investigated AM

encoding in specific intracortical circuits across levels of auditory cortex. We demonstrate here

that each level of the hierarchy displays enhanced encoding in the deep cortical layers relative to

the superficial layers. We also observed that the left hemisphere dominance in AM encoding,

evident in humans and nonhuman primates, was localized mainly to the superficial layers. This

provides new understanding of how the third level of the nonhuman primate cortical hierarchy,

the parabelt, encodes complex stimuli.

Introduction

Sound envelope fluctuations are a key sound feature that drives the perception of

complex stimuli such as species-specific vocalizations and music (Ding et al., 2017; Henry et al.,

2017; Rosen, 1992). Many previous studies have gained insight into how sound envelope

encoding differs across the auditory pathway by recording neuronal responses to click trains and

sinusoidally amplitude modulated (AM) tones and noise in nonhuman animals (Henry et al.,

2016; Joris and Yin, 1992; Joris et al., 2004; Langner and Schreiner, 1988; Mackey et al., 2024a;

Nelson and Carney, 2007; Rhode et al., 2010; Wang et al., 2008). These studies document a

hierarchical progression across the pathway from a spike-timing based code, where spikes are

aligned to individual cycles of the sound envelope (often measured using vector strength), to an

average rate-code which is more prevalent at and above the inferior colliculus. By using

measures of discrimination and classification performance derived from neuronal responses,

studies of sound envelope encoding have explicitly addressed hypotheses about how information

in different parts of the auditory pathway could contribute to sound envelope perception

(Downer et al., 2017, 2021; Henry et al., 2016; Johnson et al., 2012; Mackey et al., 2024a;

Nelson and Carney, 2007; Sayles et al., 2013; Yao and Sanes, 2021), with two of the critical

findings being that 1) small populations of neurons in the brainstem, midbrain, and cortex encode

information sufficient to account for detection and discrimination of amplitude modulation depth

and frequency (Downer et al., 2021; Johnson et al., 2012; Mackey et al., 2024a; Nelson and

Carney, 2007; Penikis and Sanes, 2023; Sayles et al., 2013; Shi et al., 2024), and 2) there are

notable differences in encoding schemes in primary vs. secondary auditory cortex (Downer et al.,

2017; Johnson et al., 2024). The use of small populations (empirical or simulated) has been a key

aspect of these studies. In regions such as A1, single-neuron measures have suggested temporal

information not to be present in sufficient quantities to account for the perception of AM based

on, for instance, the population's average vector strength alone (Johnson et al., 2012). However,

subsequent studies utilizing decoding methods, which are agnostic to the presence of a rate vs.

temporal code, have revealed that temporal information is preserved in the population (Downer

et al., 2021). These findings informed the present study's use of decoding methods on

population-level activity measures.

In the study of AM encoding, the consideration of species is important for many reasons,

and we focus on three here: 1) Primates (human and nonhuman) display greater perceptual

sensitivity to AM than rodents and parakeets (Mackey et al., 2024a; Moody, 1994), 2) nonhuman

primates (NHP) display more temporally precise AM encoding than rodents (Hoglen et al.,

2018), and 3) primate auditory cortex exhibits a specific three-stage hierarchical anatomical

organization, core-belt-parabelt, that provides the closest approximation of human auditory

cortex available in animal models (Hackett et al., 2001, 2014). Previous studies of human

auditory cortex report hierarchical progressions in speech encoding (Hamilton et al., 2021; Zion

Golumbic et al., 2013) (e.g. Heschl's gyrus vs. Superior Temporal Gyrus) and interhemispheric

differences in the encoding of sound envelope (Boemio et al., 2005). Namely, the left

hemisphere preferentially encodes higher frequency envelope fluctuations, while the right

hemisphere preferentially encodes lower frequency envelope fluctuations; and Heschl's gyrus

encodes spectrotemporal speech features more precisely than the Superior Temporal Gyrus,

which encodes higher level (e.g. semantic) information. The NHP model provides an opportunity

to understand what circuits generate these transformations in encoding by characterizing AM

encoding in different cortical circuits within area, and across the cortical hierarchy. For instance,

studies recording simultaneously across cortical layers in auditory cortex have provided insight

into the function of different cortical circuits in encoding temporal sound patterns involved in

streaming (Banno et al., 2023; Fishman et al., 2001, 2004; Lakatos et al., 2013), intermodal

(audiovisual) attention (Lakatos et al., 2009; O'Connell et al., 2014, 2015; Schroeder and

Lakatos, 2009), and pitch perception of click trains (Steinschneider et al., 1998). But there is a

dearth of NHP studies of AM encoding in the superior temporal gyrus, containing the higher-

order parabelt region of auditory cortex; moreover, there are no published studies of AM

encoding as a function of physiologically identified cortical layers in NHPs. Thus it is likely that

studies of AM encoding with laminar resolution across the cortical hierarchy could yield insights

into the circuits utilized for AM encoding, and temporal sound pattern encoding more generally,

in humans. To address this, here we report the encoding of AM signals as a function of cortical

layer and hemisphere in NHP core and parabelt auditory cortex.

Materials and Methods

All experimental procedures were approved by the Institutional Animal Care and Use Committee

of the Nathan Kline Institute (NKI).

Animals. Four nonhuman primates (*Macaca mulatta*, two female) H, Q, S, and W were

implanted with headposts and recording chambers. Recordings from core auditory cortex were

done in chambers positioned to aim penetrations perpendicular to the supra temporal plane (Male

W in the right hemisphere, female H in the left hemisphere, male S in the left hemisphere). NHPs

were also implanted with superior temporal gyrus chambers (female Q only on the left, female H

only on the left, male W bilaterally). Surgical details for accessing core and parabelt auditory

cortex have been described in our previous publications (Kajikawa et al. 2017; Kajikawa et al.

2015). Specifically, based on presurgical MRI, the chambers were positioned to aim penetrations

perpendicular to the lower bank of the lateral sulcus, in the case of core AC. For accessing the

parabelt, presurgical MRI was used to target the superior temporal gyrus (STG) via multiple

landmarks, which aided in positioning optimally over the STG: the superior temporal sulcus (~20

mm above the ear canal and ~10 mm above the zygomatic arch over AP 0-20 mm), the lateral

sulcus, and the zygomatic arch (described extensively in Kajikawa et al. 2015).

Stimulus parameters. While NHPs sat awake, AM noise or click trains were presented with

randomized modulation frequency (AM noise) or presentation rate (clicks). For brevity, the term

modulation frequency will be used throughout the manuscript to describe the presentation rate of

the click trains. Modulation frequencies utilized in this study were 1.6, 3.1, 6.3, 8.8, 12.5, 17.5,

25, 36, 50, 71, 100, and 200 Hz. Signals were 640 ms long, 70 dB PL, 100% modulated, and

were ramped on/off using a linear ramp that was 5 ms in duration.

Electrophysiological recordings. Data collection was conducted as described previously

(Kajikawa et al., 2017; Mackey et al., 2024b). U-probes (Plexon) with a linear array of 23

channels (0.3– 0.5 MΩ at 1.0 kHz) at intervals of 100 or 200 micrometers between channels

were used. Signals from all channels were amplified 10x, followed by 500x amplification before

multiplexing and filtering. Each channel recorded field potentials (FP) (0.1–500 Hz) and MUA

(500–5000 Hz using zero phase shift digital filtering, 48 dB/octave and rectified) simultaneously.

When compared directly, the envelope MUA utilized here provides similar responses, and

estimates of tuning, to thresholded multiunit spiking activity (Kayser, Petkov, Logothetis 2007;

Super and Roelfsema, 2005; Shaheen and Liberman 2018), which supports the idea that our

results are comparable to previous multiunit studies of click train and amplitude-modulation

encoding. Both MUA and FP were sampled at 2 kHz and stored for offline analyses.

Data Analysis

Current source density (CSD) was calculated as the second spatial, i.e. across-channel, derivative

of the FP for each time point of the laminar CSD profile (Freeman and Nicholson, 1975;

Kajikawa and Schroeder, 2011; Mitzdorf, 1985).

Phase-locking value. The phase locking value of CSD and MUA signals were computed at each

modulation frequency (1.6-200 Hz) using complex demodulation, equivalent to a Discrete

Fourier Transform coefficient at a given modulation frequency (Lu et al., 2022; Tallon-Baudry et

al., 1996). For each trial k, a signal (duration T), windowed by Hann function $w(t) =$

$\frac{1}{2}\left(1 - cos\left(\frac{2\pi t}{T}\right)\right)$, was convolved with a narrowband kernel, $f(t) = e^{-2\pi i f t}$ as $a_k =$

$\frac{1}{T}\int_{t=0}^{T} x_k(t) \cdot w(t) \cdot f(t)\, dt$. The resultant coefficient, a_k, is a complex, and its argument,

$\varphi_k = \arg(a_k)$, depends on the timing of MUA fluctuation relative to the cycle of the band. The

phase-locking value was derived from all N trials as $PLV = \left|\frac{1}{N}\sum_k^N e^{i\varphi_k}\right|$. PLV is mathematically

the same vector-length statistic used for vector strength of spike trains. In classical vector

strength, the phases are taken at each spike time relative to the stimulus cycle. When computing

PLV for continuous signals, the phase of the narrowband signal is calculated per trial by complex

demodulation at the modulation frequency, yielding phases across trials. Both measures quantify

the concentration of phase angles on the unit circle for each cycle of the stimulus from 0-1, with

1 being perfect synchrony, but PLV is better suited for our continuous CSD and MUA signals.

And because we aggregate many cycles within each trial before taking the across-trial resultant,

we avoid inflating sample size by counting cycles as independent; the degrees of freedom are the

trials. This makes our PLV comparable to spike-based vector strength while remaining

appropriate for continuous signals.

$$[\text{Equation 1}] \quad f(t) = e^{-2\pi i f t}$$

$$[\text{Equation 2}] \quad w(t) = \frac{1}{2}\left(1 - cos\left(\frac{2\pi t}{T}\right)\right)$$

$$[\text{Equation 3}] \quad a_k = \frac{1}{T}\int_{t=0}^{T} x_k(t) \cdot w(t) \cdot f(t)\, dt$$

$$[\text{Equation 4}] \; PLV = \left|\frac{1}{N}\sum_k^N e^{i\varphi_k}\right|$$

To statistically evaluate phase-locking, we constructed a random-phase null distribution ($n =$

1,000) that preserved the trial's demodulated amplitude. We computed the observed amplitude-

weighted vector, and estimated a *p-value* as the proportion of surrogates with a weighted vector

greater than our observed weighted vector. We corrected for multiple comparisons across

electrode channels using the Benjamini Hochberg method (Benjamini and Hochberg, 1995). For

reference, we also computed corrected *p*-values from the more conventional Rayleigh's test,

which provided qualitatively similar significance across the dataset.

Decoding Analysis and Mutual Information Estimation. To assess the decoding of stimulus

identity from multichannel neural activity, we employed a multivariate classification and mutual

information (MI) analysis pipeline based on linear discriminant analysis ("fitdiscr" in MATLAB;

Statistics and Machine Learning Toolbox). This method fits a multivariate Gaussian model to

each stimulus condition. The algorithm estimates the class-conditional means and a pooled

covariance matrix, and constructs linear discriminant functions for each class. Each trial is then

classified by assigning it to the class with the highest posterior probability, under the assumption

of normally distributed features with equal covariance structure. This approach is equivalent to

finding the linear projection that maximizes separation between class means while minimizing

within-class variance. The analysis was conducted separately for each recording session and

signal type (MUA or CSD) using custom-written MATLAB scripts. For each dataset,

electrophysiological recordings were imported and epoched from -50 to 700 ms relative to

stimulus onset and baseline corrected (-50 to 0 ms). Data used for decoding consisted of the

time-domain signal from each trial and channel. Only channels estimated to be within a cortical

layer compartment (Supragranular, Granular, or Infragranular) were included for decoding. For

each channel and decoding window, data were segmented into non-overlapping bins; unless

otherwise specified, the decoding window was the entire baseline corrected 700 ms window after

the stimulus onset, and we qualitatively inspected the pre-stimulus interval to ensure there was

no difference in chance-level decoding; additionally, chance performance in the pre-stimulus

interval is included in the figures. Linear discriminant analysis (LDA) classifiers were trained

using fourfold cross-validation to predict stimulus condition labels from trial-wise data. A

confusion matrix was computed for each fold, and the resulting matrices were averaged across

folds to yield a single empirical confusion matrix per electrode channel, time window, and

permutation. This calculation was repeated 1000 times to compute the 95% confidence intervals

(CI) around "True MI" depicted in the results section. To compute chance performance,

decoding analyses were repeated 1000 times with trial labels randomly shuffled. For each

permutation and each decoding window, mutual information was computed from the resulting

confusion matrix using the following formulation:

$$MI = \Sigma\{i,j\}\,P(i,j) \cdot log_2\,[P(i,j)\,/\,(P(i) \cdot P(j))]$$

where P(i,j) is the joint probability of predicted and true labels, and P(i), P(j) are the marginal

probabilities. MI was computed for both true and shuffled label conditions for all decoding time-

windows, channels, and permutations. The resulting distributions were used to compute mean MI

and 95% confidence intervals under both empirical and null conditions.

Statistical analysis. In all cases, criterion for significance was 0.05, and results requiring

correction for multiple comparisons (e.g. the phase-locking values) were corrected via the

Benjamini-Hochberg correction (see section on phase locking value). Linear mixed-effects

model (LME) analysis was conducted using "fitlme" in MATLAB. Only channels where the

Mutual Information lower CI exceeded the shuffled (chance) Mutual Information upper CI were

retained for statistical analysis. To account for the nested structure of the data (multiple channels

per recording), we modeled MI as a function of three fixed effects: cortical layer (supragranular,

granular, infragranular), area (core vs. parabelt), and hemisphere (left vs. right), including their

two-way interactions. Individual channels were included as a random intercept term. Significant

effects of layer, area, and hemisphere indicate a significant difference from the reference groups,

which were the supragranular layers, core AC, and the left hemisphere. In many nonhuman

primate (NHP) neurophysiology experiments, including the present study, neural data are

collected from many electrode contacts distributed across cortical layers and penetrations, with

recordings repeated over numerous sessions in the same animal. In this context, the relevant

statistical question is whether a given factor—such as cortical layer, area, or hemisphere—has a

systematic effect on the measured neural variable (e.g., mutual information) across the

population of recorded channels. Accordingly, the degrees of freedom in our mixed-effects

model reflect the number of channels positioned in grey matter (confirmed using the CSD),

recorded across sessions, often totaling approximately 700-1000 channels across the dataset.

Treating individual channels as measuring different local neuronal ensembles is supported by our

electrode spacing (100-200 micrometers), and the observation that neighboring channels

displayed markedly different response characteristics and decoding accuracy.

Results

Field potential and multiunit spiking activity were measured using linear array "laminar"

multielectrode probes (see Methods) in response to amplitude-modulated noise, and click trains

of different frequencies (i.e. repetition rates) in core auditory cortex (AM noise, $n = 42$; Clicks, n

$= 63$) and parabelt auditory cortex (AM noise, $n = 88$; Clicks, $n = 84$) across four rhesus

macaques (*Macaca mulatta*). From the field potential, current source density was calculated as

the second derivative across electrode channels to mitigate volume conduction, and to estimate

local transmembrane currents in specific cortical layer compartments with specific spatial

resolution given by the interelectrode distance (100-200 micrometers). Using the frequently

encountered activation pattern, characterized by an early onset, sharp L4 sink (negative CSD) co-

localized with multiunit activity, followed by L2/3 and L5/6 CSD activation, individual electrode

channels were assigned to the supragranular (L2/3), granular (L4), and infragranular (L5/6)

compartments (Kajikawa et al., 2015; Lakatos et al., 2005; Steinschneider et al., 1992). Figure 1

shows trial-averaged CSD and MUA responses to AM noise of different modulation frequencies.

Figure 1A depicts the response to 3.1 Hz AM noise in a representative core auditory cortex site,

exhibiting an early (~15 ms), sharp CSD sink (negativity, red in the color map) characteristic of

the thalamorecipient granular layer. The multiunit activity derived from the same signal exhibits

an early peak around in the same electrodes. The supragranular and infragranular CSD signals

exhibited longer latencies, as expected, which resulted in relatively steady-state (as opposed to

phase-locked) responses to the higher modulation frequency signals (Figure 1B-D). Figure 1E

depicts an example parabelt site, where laminar response profiles displayed more diversity than

core AC, which we have previously described (Kajikawa et al., 2015; Mackey et al., 2024b). A

notable difference between the site in Figure 1G-H and Figure 1C-D is that the parabelt multiunit

activity displays less phase-locking, though there is noticeable rhythmicity to the late MUA

suppression that could contribute to discrimination between modulation frequencies (Figure 1G).

Summary phase-locking values (see Methods) are depicted in Figure 1I-L. Across layers, similar

phase-locking was observed, on average, in both the CSD and MUA signal. The parabelt differed

noticeably from core AC in that significant phase-locking was almost never observed above 10

Hz. We restricted calculation of the mean to frequencies at which more than 10 neuronal

ensembles (i.e. channels) displayed significant PLV (Figure 1I-L). While neuronal responses can

be summarized using average amplitude or phase-locking measures, the underlying waveform is

complex, particularly for continuous signals such as the CSD and envelope MUA depicted here.

This motivated our use of decoding methods to assess information present in the response

waveforms across our dataset.

284
Figure 1 – Representative laminar activity profiles in response to sinusoidally amplitude
modulated noise of different frequencies, and phase-locking values across the data set. The
left column (**A-D**) show trial-averaged responses (*n* = 50) from a representative recording site in
core auditory cortex to sinusoidally amplitude modulated (AM) noise of different frequencies.

The right column shows trial-averaged responses ($n = 50$) from a representative site in the

parabelt (**E-H**) to SAM of different modulation frequencies. We computed a phase-locking value

for all recordings, which are displayed for each layer and signal type (CSD and MUA) for core

AC (**I** and **J**; mean and 95% CIs) and the parabelt (**K** and **L**; mean and 95% CIs). PLV was

slightly jittered along the x-axis to enable visibility of the different data traces; in all cases, the

modulation frequencies were the same (see Methods).

Classifying modulation frequency based on current source density and multiunit activity

To quantify how CSD and MUA encode information about AM stimuli, we utilized linear

discriminant analysis (LDA; see Methods), and calculated Mutual Information (MI). MI is useful

because it quantifies decoding accuracy across conditions, and the systematicity of decoding

errors, as opposed to averaging accuracy across conditions. Figure 2 depicts the representative

core and parabelt sites from Figure 1, with the LDA-derived confusion matrix for a channel in

the granular layer for both sites (Figure 2A; Figure 2E), with MI values (right-hand panel) for all

channels across layers (the y-axis). The color maps and MI plots were scaled the same across

conditions and to emphasize the differences between core AC and parabelt. Noticeably, core AC

exhibited nearly perfect decoding accuracy across conditions (Modulation frequencies). For

example, the color map in Figure 1A indicates that most conditions were predicted correctly

based on the granular channel CSD response in 100% of trials; and the granular channel MUA

decoding was similar (Figure 1B). Across channels, it can be seen that MI, which provides an

information theoretic metric for stimulus information across different stimulus conditions (i.e.

across the entire confusion matrix). 12 modulation frequencies were utilized, yielding a

maximum possible MI value of about 3.5 bits ($\log_2(12) = 3.5$), which further emphasizes how

accurate the site in Figure 2A-D is. Interestingly, though the overall decoding accuracy was

similar, the laminar profile of MI differed between AM noise and clicks: supragranular MUA,

for example, exhibited MI values not significantly different than the infragranular channels in

response to clicks, while AM noise did not elicit such a strong response in the supragranular

MUA.

Relative to core AC, the parabelt demonstrated reduced decoding accuracy and MI, likely

due to the parabelt's higher position in the processing hierarchy (Figure 2E-H). Though the

granular channels exhibited the highest MI for this example, MI distributions were broader, i.e.

there was more variability in parabelt dataset than in core AC; thus, though the extragranular
layers encoded very little information in this example, across the dataset they often displayed
significant, above chance MI.

Figure 2 – Representative example decoding results from AM noise and click-train evoked
responses from the recording sites in Figure 1. The left panels depict the confusion matrices
which quantify the decoding accuracy (0-1) in each condition. The predicted condition is
indicated on the x-axis, and the true condition indicated on the y-axis. The color axis represents
the proportion of classifications. The mutual information (MI) values are plotted on the x-axis of
each panel on the right. Observed, or "true" MI was computed as described in the Methods, and
Chance MI was calculated after shuffling the condition labels. For the example in core auditory
cortex, the confusion matrices for a channel in the granular layer (layer 4) are shown in response

to AM noise (**A** and **B**), and click trains (**C** and **D**), with corresponding mutual information

values of all channels, which were calculated on the confusion matrices. Panels **E-H** depict the

same analysis for the example in the parabelt.

In the MI plots, channels are labeled as being in the supragranular (Supra), granular (Gr), or

infragranular (Infra) layer compartments. Color maps are scaled 0-1, and mutual information

plots are scaled 0-3.5 bits (the maximum possible MI with 12 stimulus conditions). Error bars

represent 95% confidence intervals calculated across 1,000 permutations.

Interhemispheric, interareal, and interlaminar differences in AM noise encoding

Across the dataset, we observed differences in AM encoding in different hemispheres,

areas, and cortical layers. Figure 3 displays cumulative distributions of the MI values derived

from CSD and MUA signals in the different hemispheres, layers, and cortical areas. A mixed

effects model was used to quantify the effects of each factor (see Methods). MI was used as the

dependent variable, while layer, area, and hemisphere were used as the independent variables

(see Methods). Mixed-effects modeling revealed that mutual information (MI) calculated using

current source density (CSD) was modulated by significant two-way interactions, which

qualified the interpretation of main effects (Table 1). Consistent with previous work in human

and nonhuman primate auditory cortex (Boemio et al., 2005; O'Connell et al., 2015) (also see

Discussion section), the right hemisphere displayed reduced mutual information about AM

relative to the left hemisphere (Table 1). The positive statistical interaction, *Layer*Hemisphere*,

provides insight into how these hemispheric differences emerge: the granular and infragranular

layer CSD signals did not display left hemisphere dominance (Figure 3 A-B; Figure 3 C-D;

Table 1). This indicates that the enhanced encoding in the left hemisphere is localized mainly to

the supragranular layers, and is not inherited from the medial geniculate body (as would be

expected if the granular layer displayed left-hemisphere dominance); moreover, the difference is

visible in the distributions of supragranular MI, which is visibly shifted to the left in core AC in

Figure 3A vs. Figure 3B. MI derived from multiunit spiking activity (MUA) revealed a partially

overlapping pattern (Table 2). Consistent with the CSD results, there was an overall reduction in

MI in the right hemisphere, restricted to the supragranular layers (Figure 3 E-H). This was

quantified with granular and infragranular layers exhibiting significant positive interactions with

the right hemisphere (Table 2). Similar strength of the granular and infragraular interactions with

the right hemisphere were observed for the MI values calculated based on click train responses
(Tables 3-4). Together, these results highlight the importance of cortical layers when considering
interhemispheric effects, and may aid in interpreting previous human intracranial and
noninvasive studies, which will be further elaborated upon in the Discussion section.

These results also indicated hierarchical differences between core AC and parabelt. The
main effect of the parabelt was reduced MI, derived from the CSD and MUA, though this
differed across layers. Specifically, enhanced MI observed in the granular and infragranular
MUA across the dataset (Rightward shift in the orange and gold traces in Figure 3 E-F; Table 2),
was attenuated in the parabelt relative to core AC, quantified by a significant interaction of
granular layers with parabelt, and infragranular layers with parabelt in the AM noise and click
datasets (Table 2-4). As with the hemispheric differences, these results highlight how interareal
encoding differences vary across cortical layers, which will be considered in more depth in the
Discussion section.

A notable difference in the AM noise and click train conditions was that, in the
infragranular right hemisphere parabelt dataset, no channels exhibited above-chance mutual
information values. While this reinforces the finding that the left hemisphere and core auditory
cortex exhibit enhanced AM encoding, it suggests that click trains were not optimal for interareal
comparisons. For this reason, the remaining analyses focus on the responses to AM noise.

A necessary caveat to the hemispheric differences we report is that only monkey W
contributed to the right hemisphere dataset, while monkey W and the other 3 NHPs contributed
to the left hemisphere dataset (see Methods). Thus, as is often the case with nonhuman primate
studies often limited to 2-4 subjects, statistical generalization across animals is not warranted.
Instead, these studies make statistical inferences across a neuronal population (e.g. the
supragranular layers of primary auditory cortex). In this scenario, increasing the sample size
from one NHP to two, does not formally enhance statistical power, as has been noted in previous
work (Fries and Maris, 2022). Moreover, because we observe robust interhemispheric
differences, it seems unwarranted to combine data across hemispheres.
390

Current Source Density

Multiunit Activity

Figure 3 – Cumulative distributions of mutual information values extracted from responses

to AM noise across areas, layers, and hemispheres. Distributions of MI values derived from

the CSD signal are depicted for left and right hemispheres of core AC (**A-B**), and the parabelt

(**C-D**). Distributions of MI values derived from the MUA signal are depicted for left and right

hemispheres of core AC (**E-F**), and the parabelt (**G-H**). Only above-chance values are displayed

(see Methods). Dashed lines demarcate the median value of each distribution.

Table 1 – Significant terms in a mixed effects model analysis of mutual information values

extracted from CSD responses to AM noise (1.6-200 Hz)

Model: MI ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Right hemi	-3.3	853	0.0009
Parabelt	-10.2	853	$4*10^{23}$
Granular layer*Right Hemisphere	5.7	853	$1*10^{-8}$
Infragranular layer*Right Hemisphere	4.1	853	$5*10^{-5}$
Granular layer*Parabelt	-3.2	853	0.0016

402 Table 2 – Significant terms in a mixed effects model analysis of mutual information values

403 extracted from MUA responses to AM noise (1.6-200 Hz)

Model: MI ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Right hemi	-2.2	689	0.029
Granular layer	5.4	689	$1*10^{-7}$

Infragranular layer	3.8	689	0.0001
Right Hemisphere	-2.2	689	0.029
Granular layer*Right Hemi	3.4	689	$8*10^{-4}$
Infragranular*Right Hemi	2.8	689	0.005
Granular layer*Parabelt	-4.9	689	$1*10^{-6}$
Infragranular layer*Parabelt	-2.2	689	0.03
Right Hemi*Parabelt	-2.7	689	0.007

405   Table 3 – Significant terms in a mixed effects model analysis of mutual information values

406   extracted from CSD responses to click trains (1.6-200 Hz)

Model: MI ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Granular layer	3.96	1180	$8*10^{-5}$
Parabelt	-10.4	1180	$1.7*10^{-24}$
Granular layer*Right Hemisphere	4.1	1180	$4*10^{-5}$
Infragranular layer*Right Hemisphere	4.2	1180	$2.6*10^{-5}$
Granular layer*Parabelt	-2.7	1180	0.0066
Right Hemi*Parabelt	-2.5	1180	0.0137

408   Table 4 – Significant terms in a mixed effects model analysis of mutual information values

409   extracted from MUA responses to click trains (1.6-200 Hz)

Model: MI ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Granular layer	5.5	997	$3.7*10^{-8}$
Infragranular layer	5.1	997	$5*10^{-7}$
Parabelt	-6.8	997	$1*10^{-11}$
Infragranular layer*Right Hemisphere	4.0	997	$6*10^{-5}$
Granular layer*Right Hemisphere	3.45	997	0.0058
Granular layer*Parabelt	-2.7	997	0.0069
Infragranular*Parabelt	-2.4	997	0.0170
Right hemi*Parabelt	-2.7	997	0.0067

AM encoding over time

Having characterized how the encoding of AM differs across regions using the entire

response waveform, it was then of interest to investigate how encoding unfolds across time,

which can address questions, albeit indirectly, about spike-timing vs. spike rate codes in previous

studies. Analyzing decoding results over time also addresses what time-points in the stimulus

response may be driving the decoding accuracy in our previous results, which utilized the entire

response waveform (Figures 1-3). To address these issues, responses were binned into 10 or 50

ms bins and the decoding process was repeated for each bin (see Methods). Figure 4 shows

example decoding results over time, for the 6.3 Hz AM noise stimulus for core (Figure 4A-B)

and parabelt (Figure 4C-D). These results complement the mutual information analyses, by

showing that at a low modulation frequency (below ~25 Hz), sites in the parabelt often displayed

far above-chance decoding accuracy. They also suggest that the highest magnitude response, in

CSD or MUA, often encountered at the onset of the stimulus (Figure 1), does not always

correspond to the peak decoding accuracy, which could be found throughout the duration of the

stimulus response. Consistent with this, decoding accuracy, when averaged across modulation

frequencies, was relatively stable throughout the analysis window (Figure 4E-F) for both the

CSD and MUA signals. These results also suggest that the time-varying aspect of the response to

AM contributes heavily to its discriminability, inconsistent with a rate-code, because, if an

average rate-code were at work, the peak decoding accuracy would be expected early in the

stimulus response (see Discussion). This observation likely depends on our use of population-

level activity, which has been found to exhibit robust temporal encoding in a previous single-unit

study (see Introduction and Discussion; Downer et al. 2021).

To quantify these observations, the peak decoding time, across all modulation

frequencies, was compared across layer, area, and hemisphere. A mixed effects model revealed

similar results for the CSD and MUA signals. Namely, the right hemisphere had significantly

earlier peak times (Tables 5-6), though this appeared to be restricted to core AC, indicated by the

significant interaction of parabelt with the right hemisphere (Figure 5; Tables 5-6). The parabelt

had significantly later peak times in both the CSD and MUA signals. Where CSD and MUA

differed in their AM encoding was in the infragranular layers of the parabelt, which had earlier

peak times than predicted by the infragranular layer term on its own (Tables 5-6). The same

mixed effects model analysis revealed similar size and direction of effects for the 50 ms binned

decoding results (Tables 7-8).

Figure 4 – Decoding AM noise over time. A) Representative example of decoding the CSD

signal over time, for the 6.3 Hz AM noise condition in left hemisphere core auditory cortex. The

decoding results for the same site's MUA are shown in (**B**). **C**) Representative example of

decoding the CSD signal over time, for the 6.3 Hz AM noise condition in the right hemisphere

parabelt auditory cortex. The decoding results for the same site's MUA are shown in (**D**). In all

heat maps, the bin size was 10 ms. **E**) Mean decoding accuracy across all AM noise modulation

frequencies, using the CSD signal from a granular layer channel (11) in panel A. **F**) Mean

(across conditions) decoding accuracy using the MUA signal from a granular layer channel (11)

in panel B. Data in panels **E** and **F** were decoded using either 10 or 50 ms time bins, indicated by

the black and blue traces, which are plotted at the bin center. Chance level decoding was

calculated using permutation analyses and is indicated by the red trace. Error bands represent

95% confidence intervals (1,000 permutations).

Figure 5 – Cumulative distributions of peak decoding onset in core and parabelt in response to amplitude modulated noise using a 10 ms bin size. Distributions of peak decoding accuracy values, derived from the CSD signal, are depicted for left and right hemispheres of core AC (**A-B**), and the parabelt (**C-D**). Distributions of peak values derived from the MUA signal are depicted for left and right hemispheres of core AC (**E-F**), and the parabelt (**G-H**). Only above-chance values are displayed (see Methods).

Table 5 – Mixed effects model of peak decoding onset time in the CSD signal, using a 10 ms bin (significant terms only)

Model: Peak Time ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Right hemi	-3.0	1716	0.002
Parabelt	2.3	1716	0.02
Right Hemisphere*Parabelt	3.1	1716	0.0019

Table 6 – Mixed effects model of peak decoding onset time in the MUA signal, using a 10 ms bin (significant terms only)

Model: Peak Time ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Right hemi	-2.3	1716	0.019
Parabelt	5.1	1716	$2*10^{-7}$
Infragranular	2.2	1716	0.03
Infragranular layer*Parabelt	-2.8	1716	0.0057
Right Hemi*Parabelt	2.9	1716	0.004

Table 7 – Mixed effects model of peak decoding onset time in the CSD signal, using a 50 ms bin (significant terms only)

Model: Peak Time ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value

| Right hemi | -2.7 | 1716 | 0.0078 |
| Parabelt | 2.4 | 1716 | 0.019 |

Table 8 – Mixed effects model of peak decoding onset time in the MUA signal, using a 50 ms bin (significant terms only)

Model: Peak Time ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)			
Term:	t-statistic	Degrees of freedom	P-value
Parabelt	4.7	1716	$3*10^{-6}$
Granular	2.1	1716	0.03
Infragranular	2.4	1716	0.01
Granular layer*Parabelt	-3.2	1716	0.002
Infragranular layer*Parabelt	-2.6	1716	0.007

Discussion

The present report builds on previous work on how sounds are encoded across the auditory cortical hierarchy. Previous NHP studies have described hierarchical progressions in stimulus selectivity that coincide with the hierarchical increase in response latency in primary, secondary, and tertiary (parabelt) auditory cortex (Camalier et al., 2012). For instance, NHP studies of AM encoding have discovered notable differences in encoding between primary and secondary auditory cortex. Namely, while primary AC encodes AM depth with an increasing rate code, secondary belt auditory cortex exhibits an opponent (increasing/decreasing) code for AM (Downer et al., 2017; Johnson et al., 2024). Consistent with this, fMRI studies have noted some degree of selectivity for longer temporal intervals in nonprimary auditory cortices, particularly in the parabelt (Dheerendra et al., 2021). An extended temporal integration window, and broad frequency tuning likely contributes to the parabelt's enhanced response, relative to core AC, to harmonic sounds with varying degrees of temporal coherence across frequency channels, termed

"auditory figure ground" stimuli (Schneider et al., 2018). Across studies of the cortical hierarchy,

there appears to be a notable reduction in response to simple stimuli, such as tones, and an

increase in selectivity for complex stimuli with characteristics, e.g. low modulation frequencies.

This likely underpins enhanced selectivity for species-specific communication sounds, and

associated visual stimuli, as one ascends the hierarchy (Mackey et al., 2024b).

Previous laminar studies. The results presented here provide the first study of AM encoding

utilizing laminar resolution at multiple levels across the NHP auditory cortical hierarchy.

Previous laminar studies in cat primary auditory cortex found that the extragranular layers

preferentially encode slower AM relative to the thalamorecipient granular layer (Atencio and

Schreiner, 2010), and that spectrotemporal feature selectivity is hierarchical i.e. Granular >

Supragranular > Infragranular (Atencio et al., 2009). This is consistent with what we observed

for MUA in core primary AC, though interestingly the parabelt appeared to differ in this respect

(Figure 3 E-H). Nonetheless, these studies, in combination with the present report, describe some

of the only data on the intracortical circuits underlying temporal sound envelope encoding in

auditory cortex. The present report now builds on this knowledge by quantifying laminar-

resolved AM encoding in the parabelt.

Task engagement. Though the NHPs in the present report were not engaged in a task, previous

studies have suggested that stimulus complexity and task relevance exert substantial influence on

stimulus responses, particularly in nonprimary cortical areas. In secondary and tertiary auditory

cortices of ferrets (the dorsal posterior ectosylvian gyrus, and rostral ventral posterior auditory

field, respectively), hierarchical differences, such as the progressive influence of task-related

factors, have been well-studied (Atiani et al., 2014; Elgueda et al., 2019). A similar hierarchical

progression is present between primary and secondary auditory cortex of NHPs discriminating

AM noise, namely, single-unit discriminability via rate and temporal codes are enhanced by task-

engagement (Niwa et al., 2015). Entrainment to rhythmic stimuli also appears to be behaviorally-

gated in this same sense (O'Connell et al., 2015). Thus, if our NHPs had been engaged in a task,

particularly one that indexed AM feature discrimination, we would expect enhanced encoding

relative to what we report here.

Decoding studies. Previous decoding studies offer insight into the present results (Downer et al.,

2021; Tovar et al., 2020). Tovar et al. (2020) leveraged multivariate pattern analysis across

channels to reveal that orientation information is relatively well preserved across all layer

compartments in V1, and eye-of-origin information decreases in the infragranular layers. Tovar

et al. also noted that repetition suppression, which is greatest in the extragranular layers,

contributed to their enhanced decoding of stimulus repetition. This may be analogized to our

results, where the granular layer often exhibited the greatest mutual information about different

modulation frequencies, likely due to its rapid response characteristics. Though this pattern was

most notable in core AC, and the parabelt displayed a less clear granular > supragranular >

infragranular gradient in AM encoding. Another study that utilized decoding analysis that is

relevant to the present results is Downer et al. (2021). Downer et al. utilized decoding analyses

on simulated and empirical neuronal populations from single-unit recordings, and revealed

robust population-level synchronization to a wide range of modulation frequencies, 4-512 Hz

(Downer et al., 2021). This is consistent with our MUA measures in core AC. Both studies

suggest code-agnostic measures (e.g. linear discriminant analysis in the present report) on local

population responses can reveal information not readily apparent in single-unit measures using

phase-locking or an average rate code. Such code-agnostic measures also avoid commonly noted

problems with vector strength and the Rayleigh test for synchrony, namely potential for false

discoveries (Yin et al., 2011), and parametric statistical assumptions (Kajikawa and Hackett,

2005).

Interhemispheric differences. Our analysis demonstrates similar hierarchical and inter-

hemispheric differences to human subjects in Boemio et al. (2005), and our results resemble

previous NHP studies demonstrating sound-driven hemispheric asymmetries in the lateral sulcus,

and the extent of the superior temporal gyrus, including the temporal pole (Poremba et al., 2003,

2004). Our results suggest the suprgranular layers are the main contributing layer to the left-

hemisphere dominance in encoding rapid temporal fluctuations, which aligns with other that has

defined supragranular sources underlying noninvasive measures in fMRI and MEG studies (e.g.

(Kajikawa et al., 2024; Leszczyński et al., 2020)). Additionally, a previous NHP study has

similarly demonstrated enhanced entrainment of low frequency (~1.6 Hz) oscillations in left

supragranular A1, which corroborates our results (O'Connell et al., 2015).

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