Hierarchical transformations in sound envelope encoding differ across cortical layers

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Abstract

Amplitude-modulation (AM) is critical for the perception of complex sounds, and transformations in AM encoding may underlie primate-specific aspects of complex sound perception. The nonhuman primate model provides an opportunity to understand what circuit mechanisms generate hierarchical and interhemispheric transformations of AM encoding in different circuits across the cortical hierarchy. To address this, here we report the encoding of AM signals as a function of cortical layer and hemisphere in primary area A1 and the tertiary parabelt (PB) area of five NHPs. We presented AM noise and click trains to awake NHPs while recording from linear array multielectrodes spanning cortical layers. A1 typically encoded all AM frequencies (1.6-200 Hz) with high (> 90%) classification accuracy, while the PB encoded lower (~1.6-25 Hz) frequencies. The laminar gradient observed in A1 (Granular > Infragranular > Supragranular was inverted in PB (Supragranular > Infragranular > Granular), consistent with

differences in thalamocortical input. Both areas displayed enhanced AM encoding in the left

hemisphere, restricted to the supragranular layers. These results represent the first analysis of

AM encoding in the PB, in which we identify circuits differing in their temporal sensitivity

across the hierarchy, and suggest local supragranular neuronal populations contribute to

hemispheric specialization.

Introduction

Sound envelope fluctuations are a key sound feature that drives the perception of

complex stimuli such as species-specific vocalizations and music (Ding et al., 2017; Henry et al.,

2017; Rosen, 1992). Many previous studies have gained insight into how sound envelope

encoding differs across the auditory pathway by recording neuronal responses to click trains and

sinusoidally amplitude modulated (AM) tones and noise in nonhuman animals (Henry et al.,

2016; Joris et al., 2004; Joris and Yin, 1992; Langner and Schreiner, 1988; Mackey et al., 2024a;

Nelson and Carney, 2007; Rhode et al., 2010; Wang et al., 2008). These studies document a

hierarchical progression across the pathway from a spike-timing based code, where spikes are

aligned to individual cycles of the sound envelope (often measured using vector strength), to an

average rate-code which is more prevalent at and above the inferior colliculus. By using

measures of discrimination and classification performance derived from neuronal responses,

studies of sound envelope encoding have explicitly addressed hypotheses about how information

in different parts of the auditory pathway could contribute to sound envelope perception

(Downer et al., 2017, 2021; Henry et al., 2016; Johnson et al., 2012; Mackey et al., 2024a;

Nelson and Carney, 2007; Sayles et al., 2013; Yao and Sanes, 2021), with two of the critical

findings being that 1) small populations of neurons in the brainstem, midbrain, and cortex encode

information sufficient to account for detection and discrimination of amplitude modulation depth

and frequency (Downer et al., 2021; Johnson et al., 2012; Mackey et al., 2024a; Nelson and

Carney, 2007; Penikis and Sanes, 2023; Sayles et al., 2013; Shi et al., 2024), and 2) there are

notable differences in encoding schemes in primary vs. secondary auditory cortex (Downer et al.,

2017; Johnson et al., 2024). The use of small populations (empirical or simulated) has been a key

aspect of these studies. In regions such as A1, single-neuron measures have suggested temporal

information not to be present in sufficient quantities to account for the perception of AM based

on, for instance, the population's average vector strength alone (Johnson et al., 2012). However,

subsequent studies utilizing decoding methods, which are agnostic to the presence of a rate vs.

temporal code, have revealed that temporal information is preserved in the population (Downer

et al., 2021). These findings informed the present study's use of decoding methods on

population-level activity measures.

In the study of AM encoding, the consideration of species is important for many reasons,

and we focus on three here: 1) Primates (human and nonhuman) display greater perceptual

sensitivity to AM than most animal models such as rodents and parakeets (Mackey et al., 2024a;

Moody, 1994), 2) nonhuman primates (NHP) display more temporally precise AM encoding than

rodents (Hoglen et al., 2018), and 3) primate auditory cortex exhibits a specific three-stage

hierarchical anatomical organization, core-belt-parabelt, that provides the closest approximation

of human auditory cortex available in animal models (Hackett et al., 2014, 2001). Previous

studies of human auditory cortex report hierarchical progressions in speech encoding (Hamilton

et al., 2021; Zion Golumbic et al., 2013) (e.g. Heschl's gyrus vs. Superior Temporal Gyrus) and

interhemispheric differences in the encoding of sound envelope (Boemio et al., 2005). Namely,

the left hemisphere preferentially encodes higher frequency envelope fluctuations, while the right

hemisphere preferentially encodes lower frequency envelope fluctuations; and Heschl's gyrus

encodes spectrotemporal speech features more precisely than the Superior Temporal Gyrus,

which encodes higher level (e.g. semantic) information. The NHP model provides an opportunity

to understand what circuits generate these transformations in encoding by characterizing AM

encoding in different cortical circuits within area, and across the cortical hierarchy. For instance,

it is possible that the hemispheric specialization reported previously is inherited from subcortical

regions.

Laminar multielectrode studies (simultaneous recording across cortical layers) in auditory

cortex have provided insight into the function of different intracortical and thalamocortical

circuits in encoding temporal sound patterns involved in streaming (Banno et al., 2023; Fishman

et al., 2004, 2001; Lakatos et al., 2013), intermodal audiovisual attention (Lakatos et al., 2009;

Mehta et al., 2000; Mehta et al., 2000; O'Connell et al., 2015, 2014), and pitch perception of

click trains (Steinschneider et al., 1998). Notably, previous studies in anesthetized cats have

documented a laminar gradient in the precision of AM encoding (Granular > Supragranular >

Infragranular), which provides a template to test in primary and higher order auditory cortex in

primates (e.g. Atencio et al., 2009; Atencio and Schreiner, 2010). This is in part due to the fact

that there is a dearth of NHP studies of AM encoding in the superior temporal gyrus, containing

the higher-order parabelt region of auditory cortex; moreover, there are no published studies of

AM encoding as a function of physiologically identified cortical layers in NHPs. Thus it is likely

that studies of AM encoding with laminar resolution across the cortical hierarchy could yield

insights into the thalamocortical and intracortical circuits utilized for AM encoding, and

temporal sound pattern encoding more generally, in humans. To address this, here we report the

encoding of AM signals as a function of cortical layer and hemisphere in the NHP primary and

parabelt auditory cortex.

Materials and Methods

All experimental procedures were approved by the Institutional Animal Care and Use Committee

of the Nathan Kline Institute (NKI).

Surgical procedures. Five nonhuman primates (Macaca mulatta, two female) C, H, Q, S, and W

were implanted with headposts and recording chambers. Recordings from area A1 were done in

chambers positioned to aim penetrations perpendicular to the supra temporal plane (Male W in

the right hemisphere, female H in the left hemisphere, male S in the left hemisphere, Female C

bilaterally). Three NHPs were also implanted with superior temporal gyrus chambers (female Q

only on the left, female H only on the left, male W bilaterally). Surgical details for accessing

primary and parabelt auditory cortex have been described in our previous publications (Kajikawa

et al. 2017; Kajikawa et al. 2015). Specifically, based on presurgical MRI, the chambers were

positioned to aim penetrations (during weekly acute recordings) perpendicular to the lower bank

of the lateral sulcus, in the case of primary auditory cortex. For accessing the parabelt,

presurgical MRI was used to target the superior temporal gyrus (STG) via multiple landmarks,

which aided in positioning optimally over the STG: the superior temporal sulcus (~20 mm above

the ear canal and ~10 mm above the zygomatic arch over AP 0-20 mm), the lateral sulcus, and

the zygomatic arch (described extensively in Kajikawa et al. 2015).

Identification of recording locations

Utilizing the surgical approach described above, we were able to access the areas of the lateral

sulcus and superior temporal gyrus that corresponded to area A1 in primary auditory cortex, and

the parabelt region of the superior temporal gyrus. We approached primary auditory cortex at

angles nearly perpendicular to the layers. Recording sites were functionally defined as belonging

to A1, as opposed to R, RT, or Belt, based on the sharpness of tuning, tonotopic progression,

sensitivity to tones vs. noise, and granular layer onset latencies to BF tones (Lakatos et al.,

2005a; Merzenich and Brugge, 1973; Rauschecker, 1997).

We have previously published on the identification of the parabelt, its potential tonotopic

organization, and other aspects of its topography in the same NHPs reported in the present

dataset (Kajikawa et al., 2015; Mackey et al., 2024b). As described in these studies, and

described in *Surgical Procedures,* a combination of pre-surgical MRI and laterally angled

recording chambers permitted us to penetrate perpendicular to the cortical layers during acute

weekly recordings.

Stimulus parameters. While NHPs sat awake, AM noise or click trains were presented with

randomized modulation frequency (AM noise) or presentation rate (clicks). For brevity, the term

modulation frequency will be used throughout the manuscript to describe the presentation rate of

the click trains. Modulation frequencies utilized in this study were 1.6, 3.1, 6.3, 8.8, 12.5, 17.5,

25, 36, 50, 71, 100, and 200 Hz. Signals were 640 ms long, 70 dB SPL, 100% modulated, and

were ramped on/off using a linear ramp that was 5 ms in duration.

Electrophysiological signal processing. Data collection was conducted as described previously

(Kajikawa et al., 2017; Mackey et al., 2024b). U-probes (Plexon) with a linear array of 23

channels (0.3– 0.5 MΩ at 1.0 kHz) at intervals of 100 or 200 micrometers between channels

were used. Signals from all channels were amplified 10x, followed by 500x amplification before

multiplexing and filtering. Each channel recorded field potentials (FP) (0.1–500 Hz) and MUA

(500–5000 Hz using zero phase shift digital filtering, 48 dB/octave and rectified) simultaneously.

When compared directly, the envelope MUA utilized here provides similar responses, and

estimates of tuning, to thresholded multiunit spiking activity (Kayser, Petkov, Logothetis 2007;

Super and Roelfsema, 2005; Shaheen and Liberman 2018), which supports the idea that our

results are comparable to previous multiunit studies of click train and amplitude-modulation

encoding. Moreover, a previous study found that AM encoding properties of single- and multi-

unit clusters corresponded closely (Langner and Schreiner, 1988). Both envelope MUA and FP

were sampled at 2 kHz and stored for offline analyses.

Data Analysis

Current source density (CSD) was calculated as the second spatial, i.e. across-channel, derivative

of the FP for each time point of the laminar CSD profile (Freeman and Nicholson, 1975;

Kajikawa and Schroeder, 2011; Mitzdorf, 1985).

Phase-locking value. The phase locking value of CSD and MUA signals at individual channels

were computed at each modulation frequency (1.6-200 Hz) using complex demodulation,

equivalent to a Discrete Fourier Transform coefficient at a given modulation frequency (Lu et al.,

2022; Tallon-Baudry et al., 1996). For each trial k, a signal (duration T), windowed by Hann

function $w(t) = \frac{1}{2}\left(1 - cos\left(\frac{2\pi t}{T}\right)\right)$, was convolved with a narrowband kernel, $f(t) = e^{-2\pi i f t}$ as

$a_k = \frac{1}{T}\int_{t=0}^{T} x_k(t) \cdot w(t) \cdot f(t)\, dt$. The resultant coefficient, a_k, is a complex, and its argument,

$\varphi_k = \arg(a_k)$, depends on the timing of MUA fluctuation relative to the cycle of the band. The

phase-locking value was derived from all N trials as $PLV = \left|\frac{1}{N}\sum_{k}^{N} e^{i\varphi_k}\right|$. PLV is mathematically

the same vector-length statistic used for vector strength of spike trains. In classical vector

strength, the phases are taken at each spike time relative to the stimulus cycle. When computing

PLV for continuous signals, the phase of the narrowband signal is calculated per trial by complex

demodulation at the modulation frequency, yielding phases across trials. Both measures quantify

the concentration of phase angles on the unit circle for each cycle of the stimulus from 0-1, with

1 being perfect synchrony, but PLV is better suited for our continuous CSD and MUA signals.

And because we aggregate many cycles within each trial before taking the across-trial resultant,

we avoid inflating sample size by counting cycles as independent; the degrees of freedom are the

trials. This makes our PLV comparable to spike-based vector strength while remaining

appropriate for continuous signals.

$$[\text{Equation 1}] \quad f(t) = e^{-2\pi i f t}$$

$$[\text{Equation 2}] \quad w(t) = \frac{1}{2}\left(1 - cos\left(\frac{2\pi t}{T}\right)\right)$$

184   [Equation 3] $a_k = \frac{1}{T} \int_{t=0}^{T} x_k(t) \cdot w(t) \cdot f(t) \, dt$

186   [Equation 4] $PLV = \left| \frac{1}{N} \sum_{k}^{N} e^{i\varphi_k} \right|$

To statistically evaluate phase-locking, we constructed a random-phase null distribution ($n =$

1,000 permutations) that preserved the trial's demodulated amplitude. We computed the

observed amplitude-weighted vector, and estimated a *p-value* as the proportion of surrogates

with a weighted vector greater than our observed weighted vector. We corrected for multiple

comparisons across electrode channels using the Benjamini Hochberg method (Benjamini and

Hochberg, 1995). For reference, we also computed corrected *p*-values from the more

conventional Rayleigh's test, which provided qualitatively similar significance across the dataset.

To compute mean PLV across the dataset, only channels estimated to be within a layer-

compartment, with significant PLV were utilized. The maximum frequency of significant phase

locking was compared using a non parametric ANOVA.

Decoding Analysis and Mutual Information Estimation. To assess the decoding of stimulus

identity from multichannel neural activity, we employed a multivariate classification and mutual

information (MI) analysis pipeline based on linear discriminant analysis ("fitdiscr" in MATLAB;

Statistics and Machine Learning Toolbox). This method fits a multivariate Gaussian model to

each stimulus condition. The algorithm estimates the class-conditional means and a pooled

covariance matrix, and constructs linear discriminant functions for each class. Each trial is then

classified by assigning it to the class with the highest posterior probability, under the assumption

of normally distributed features with equal covariance structure. This approach is equivalent to

finding the linear projection that maximizes separation between class means while minimizing

within-class variance. The analysis was conducted separately for each penetration site (i.e.

recording session/experiment) and signal type (MUA or CSD) using custom-written MATLAB

scripts. For each dataset, electrophysiological recordings were imported and epoched from -50 to

700 ms relative to stimulus onset and baseline corrected (-50 to 0 ms). Data used for decoding

consisted of the time-domain signal from each trial and channel. For each layer (supragranular,

granular, infragranular), only channels estimated to be within that cortical layer compartment

were included for decoding. For each channel and decoding window, data were segmented into

non-overlapping bins; unless otherwise specified, the decoding window was the entire baseline

corrected 700 ms window after the stimulus onset, and we qualitatively inspected the pre-

stimulus interval to ensure there was no difference in chance-level decoding; additionally,

chance performance in the pre-stimulus interval is included in the figures. Linear discriminant

analysis (LDA) classifiers were trained using fourfold cross-validation to predict stimulus

condition labels from trial-wise data. A confusion matrix was computed for each fold, and the

resulting matrices were averaged across folds to yield a single empirical confusion matrix per

electrode channel, time window, and permutation. This calculation was repeated 1000 times to

compute the 95% confidence intervals (CI) around "True MI" depicted in the results section. To

compute chance performance, decoding analyses were repeated 1000 times with trial labels

randomly shuffled. For each permutation and each decoding window, mutual information was

computed from the resulting confusion matrix using the following formulation:

$$MI \ = \ \Sigma \{i, j\} \, P(i, j) \ \cdot \ log_2 \left[P(i, j) \, / \, (P(i) \ \cdot \ P(j)) \right]$$

where P(i,j) is the joint probability of predicted and true labels, and P(i), P(j) are the marginal

probabilities. MI was computed for both true and shuffled label conditions for all decoding time-

windows, channels, and permutations. The resulting distributions were used to compute mean MI

and 95% confidence intervals under both empirical and null conditions.

Statistical analysis. In all cases, criterion for significance was 0.05, and results requiring

correction for multiple comparisons (e.g. the phase-locking values) were corrected via the

Benjamini-Hochberg correction (see section on phase locking value). Linear mixed-effects

model (LME) analysis was conducted using "fitlme" in MATLAB. We report the t-statistic given

by fitlme, which is similar to the F-statistic often reported for multifactorial models. The t-

statistic quantifies the strength and direction of the effect as the ratio of the coefficient estimate

and its standard error. For mutual information analyses, only channels where the Mutual

Information lower CI exceeded the shuffled (chance) Mutual Information upper CI were retained

for statistical analysis. To account for the nested structure of the data (multiple channels per

recording), we modeled MI as a function of three fixed effects: cortical layer (supragranular,

granular, infragranular), area (A1 vs. parabelt), and hemisphere (left vs. right), including their

two-way interactions. Individual channels were included as a random intercept term. Significant

effects of layer, area, and hemisphere indicate a significant difference from the reference groups,

which were the granular layer, area A1, and the left hemisphere. For best modulation frequency

analysis, only channels where the bootstrapped lower CI exceeded the shuffled (chance) upper

CI were retained for analysis.

In many nonhuman primate (NHP) neurophysiology experiments, including the present

study, neural data are collected from many electrode contacts distributed across cortical layers

and penetrations, across experimental sessions. In this context, the relevant statistical question is

whether a given factor—such as cortical layer, area, or hemisphere—has a systematic effect on

the measured neural variable (e.g., mutual information) across the population of recorded

channels. This is distinct from testing whether different NHPs statistically differ from each other,

which is a question that NHP studies are usually not adequately powered to address (Fries and

Mars 2020). Accordingly, the degrees of freedom in our mixed-effects model reflect the number

of auditory-responsive channels (with significant decoding accuracy) positioned in grey matter

(confirmed using the CSD and MUA laminar response profile), recorded across sessions. For the

CSD signal, we considered that the spatial resolution of the derived signal only permitted the

isolation of a single sink (negativity), corresponding to a local synaptic current, in each layer

compartment (supragranular, granular, infragranular). Thus we retained a single CSD channel

per layer category for analysis, which corresponded to the largest sink (negativity) in that layer

compartment, per recording. This limited our statistical power when analyzing CSD, and thus we

mainly focus on multiunit activity when evaluating significance claims. For the multiunit activity

signal, all auditory-responsive channels, with significant decoding accuracy, were retained for

analysis, often totaling approximately 700-1000 channels across the dataset. For each analysis,

the sample size can be inferred from the degrees of freedom, reported in its statistical table. For

mixed effects models in MATLAB, the degrees of freedom correspond to the sample minus the

number of fixed effects. Treating individual channels' MUA as measuring different local

populations is supported by our electrode spacing (100-200 micrometers), and the observation

that neighboring channels displayed markedly different response characteristics (e.g. latency)

and decoding accuracy.

Results

Field potential and multiunit spiking activity were measured using linear array "laminar" multielectrode probes (see Methods) in response to amplitude-modulated noise, and click trains of different frequencies (i.e. repetition rates) in area A1 (AM noise, n = 42; Clicks, n = 63) and parabelt auditory cortex (AM noise, n = 88; Clicks, n = 84) across five rhesus macaques (*Macaca mulatta*); here, n refers to cortical sites, i.e. penetrations/experiments with the multielectrode probe. In all recordings, the electrode was angled to be nearly perpendicular to the cortical layers, and then spanned the layers during the recording (see Methods). From the field potential, current source density was calculated as the second derivative across electrode channels to mitigate volume conduction, and to estimate local transmembrane currents in specific cortical layer compartments with specific spatial resolution given by the interelectrode distance (100-200 micrometers). Using the frequently encountered activation pattern, characterized by an early onset, sharp L4 sink (negative CSD) co-localized with multiunit activity, followed by L2/3 and L5/6 CSD activation, individual electrode channels were assigned to the supragranular (L2/3), granular (L4), and infragranular (L5/6) compartments (Kajikawa et al., 2015; Lakatos et al., 2005b; Steinschneider et al., 1992). We have previously described how this layer-identification procedure can be used in the parabelt (see "The auditory spatiotemporal activation profile of the parabelt" in Mackey et al., 2024b; and see Kajikawa et al. 2015). We emphasize that application of this criterion to parabelt is subject to future anatomical validation due to different laminar patterns in primary sensory areas (e.g., thinner layer 4 in higher order areas such as the parabelt). However, the response properties observed provide some grounds for confidence in the layer assignment. Using this layer-identification procedure, we separated our data into local neuronal populations in the supragranular, granular, and infragranular layers for further analysis. This allowed us to utilize multiple channels of data per experiment, and to evaluate hypotheses about the function of neuronal populations in different cortical layer compartments. Figure 1 shows trial-averaged CSD and MUA responses to AM noise of different modulation frequencies. Figure 1A depicts the response to 3.1 Hz AM noise in a representative area A1 site, exhibiting an early (~15 ms), sharp CSD sink (negativity, red in the color map) characteristic of the thalamorecipient granular layer. The multiunit activity derived from the same signal exhibits an early peak around in the same electrodes. The supragranular and infragranular CSD signals exhibited longer latencies, as expected, which resulted in relatively

steady-state (as opposed to phase-locked) responses to the higher modulation frequency signals

(Figure 1B-D). Figure 1E depicts an example parabelt site, where laminar response profiles

displayed more diversity than area A1, which we have previously described (Kajikawa et al.,

2015; Mackey et al., 2024b). A notable difference between the site in Figure 1G-H and Figure

1C-D is that the parabelt multiunit activity displays less phase-locking, though there is noticeable

rhythmicity to the late MUA suppression that could contribute to discrimination between

modulation frequencies (Figure 1G). Summary phase-locking values (see Methods) are depicted

in Figure 1I-L. Across layers, similar phase-locking was observed, on average, in both the CSD

and MUA signal. The phase-locking magnitude was consistent with previous reports using CSD

and MUA in A1 (e.g. Steinschneider et al., 1998, 1993); and with single- and multi-unit

measures of phase locking (e.g. Johnson et al., 2012; Yin et al., 2011); though the U-shaped

trend in our analysis differed from previous studies. The parabelt differed noticeably from area

A1 in that significant phase-locking was almost never observed above 10 Hz. We restricted

calculation of the mean to frequencies at which more than 10 local neuronal populations (i.e.

channels) displayed significant PLV (Figure 1I-L). The highest frequency at which significant

phase-locking was observed was similar across layers, but differed between areas (Table 1). In

area A1 ($n = 42$ sites), MUA provided similar estimates of maximum frequency of PLV across

layers . In the parabelt ($n = 88$), the maximum frequency of significant phase-locking was

noticeably lower than A1 (Table 1). In A1, the differences between the layers in maximum

frequency phase-locking were significant for the MUA signal, but not for the CSD signal (Table

2). In the parabelt, the differences between the layers in maximum frequency phase-locking were

significant for the CSD signal, but not for the MUA signal Table 2). The effect of brain area was

significant after Benjamini-Hochberg correction for all layer categories for the CSD and MUA

signals (Friedman's test; $\chi^2 (1) > 9.0$, $p < 0.01$). While neuronal responses can be summarized

using average amplitude or phase-locking measures, the underlying waveform is complex,

particularly for continuous signals such as the CSD and envelope MUA depicted here. This

motivated our use of decoding methods to assess information present in the response waveforms

across our dataset.

Figure 1 – Representative laminar activity profiles in response to sinusoidally amplitude modulated noise of different frequencies, and phase-locking values across the data set. The

left column (**A-D**) show trial-averaged responses (*n* = 50 trials) from a representative recording
site in A1 to sinusoidally amplitude modulated (SAM) noise of different frequencies. The right
column shows trial-averaged responses (*n* = 50 trials) from a representative site in the parabelt
(**E-H**) to SAM of different modulation frequencies. These sites, and all of our recordings, were
approached such that the electrode contacts were perpendicular to the cortical layers. A phase-
locking value was computed for all recordings, which are displayed for each layer and signal
type (CSD and MUA) for area A1 (**I** and **J**; mean and 95% CIs; *n* = 42 penetrations/sites) and the
parabelt (**K** and **L**; mean and 95% CIs; *n* = 88 penetrations/sites). PLV was slightly jittered along
the x-axis to enable visibility of the different data traces; in all cases, the modulation frequencies
were the same (see Methods).

Table 1. Mean Maximum Phase-Locking Frequency by Area (A1, *n = 488* channels;
Parabelt (*n = 258 channels*), Signal Type, and Layer

A1	**CSD**	Supragranular	164.8	68.1
		Granular	172.5	59.8
		Infragranular	161.6	70.3
	MUA	Supragranular	152.7	69.9
		Granular	169.1	66.2
		Infragranular	170.3	68.4
Parabelt	**CSD**	Supragranular	50.5	54.0
		Granular	42.2	56.1
		Infragranular	50.3	54.9
	MUA	Supragranular	35.5	64.9
		Granular	22.9	38.5
		Infragranular	26.9	36.9

Values represent mean (across sites) maximum frequency at which significant phase-locking was observed. CSD = current source density; MUA = multiunit activity; SD = standard deviation.

Table 2. Statistical Tests for Layer and Area Effects on Maximum Phase-Locking Frequency (A1, *n = 488* channels**; Parabelt (***n = 258 channels***).**

A1	CSD	Layer differences	$\chi^2(2) = 2.6$	0.26 (n.s.)
A1	MUA	Layer differences	$\chi^2(2) = 10.4$	0.006 *
Parabelt	CSD	Layer differences	$\chi^2(2) = 11.4$	0.003 *
Parabelt	MUA	Layer differences	$\chi^2(2) = 1.1$	0.59 (n.s.)
All layers	CSD & MUA	Area effect (Friedman's test)	$\chi^2(1) > 9.0$	< 0.01 *

*All results are Benjamini-Hochberg corrected; n.s. = not significant; * = significant (p < 0.05).*

Classifying modulation frequency based on current source density and multiunit activity

To quantify how CSD and MUA encode information about AM stimuli, we utilized linear discriminant analysis (LDA; see Methods), and calculated Mutual Information (MI). MI is useful because it quantifies decoding accuracy across conditions, and the systematicity of decoding errors, as opposed to averaging accuracy across conditions. Figure 2 depicts the representative A1 and parabelt sites from Figure 1, with the LDA-derived confusion matrix for a channel in the granular layer for both sites, with MI values (right-hand panel) for all channels across layers (the y-axis). The color maps and MI plots were scaled the same across conditions and to emphasize the differences between area A1 and parabelt. Noticeably, area A1 exhibited nearly perfect decoding accuracy across conditions (Modulation frequencies). For example, the color map in Figure 2A indicates that most conditions were predicted correctly based on the granular channel CSD response in 100% of trials; and the granular channel MUA decoding was similar (Figure 2B). For each channel, MI was computed, which provides an information theoretic metric for stimulus information across different stimulus conditions (i.e. across the entire confusion matrix). 12 modulation frequencies were utilized, yielding a maximum possible MI value of about 3.5 bits ($\log_2(12) = 3.5$), which further emphasizes how accurate the site in Figure 2A-D is. Interestingly, though the overall decoding accuracy was similar, the laminar profile of MI differed between AM noise and clicks: supragranular MUA, for example, exhibited MI values not significantly different than the infragranular channels in response to clicks, while AM noise did not elicit such a strong response in the supragranular MUA.

Relative to area A1, the parabelt demonstrated reduced decoding accuracy and MI, likely

due to the parabelt's higher position in the processing hierarchy (Figure 2E-H). Though the

granular channels exhibited the highest MI for this example, in the next figure we will show that

the MI distributions were broader, i.e. there was more variability in the parabelt dataset than in

area A1; thus, though the extragranular layers encoded very little information in this example,

across the dataset they often displayed significant, above chance MI.

Figure 2 – Representative example decoding results from AM noise and click-train evoked

responses from the recording sites in Figure 1. The left panels depict the confusion matrices

which quantify the decoding accuracy (0-1) in each condition for a channel in the granular layer.

The predicted condition is indicated on the x-axis, and the true condition indicated on the y-axis.

The color axis represents the proportion of classifications across 50 trials. The mutual

information (MI) values for all channels are plotted on the x-axis of each panel on the right.

Observed, or "true" MI was computed as described in the Methods, and Chance MI was

calculated after shuffling the condition labels. For the example in area A1, the confusion

matrices for a channel in the granular layer (layer 4) are shown in response to AM noise (**A** and

B), and click trains (**C** and **D**), with corresponding mutual information values of all channels,

which were calculated on the confusion matrices. Panels **E-H** depict the same analysis for the

example in the parabelt.

In the MI plots (right panels), channels are labeled as being in the supragranular (Supra),

granular (Gr), or infragranular (Infra) layer compartments. Color maps are scaled 0-1, and

mutual information plots are scaled 0-3.5 bits (the maximum possible MI with 12 stimulus

conditions). Error bars represent 95% confidence intervals calculated across 1,000 permutations.

Interhemispheric, interareal, and interlaminar differences in AM noise encoding

Across the dataset, we observed differences in AM encoding in different hemispheres,

areas, and cortical layers. Figure 3 displays cumulative distributions of the MI values derived

from CSD and MUA signals in the different hemispheres, layers, and cortical areas. Throughout

the analyses presented here, the CSD and MUA will be shown, but statistical testing was

reserved for the MUA results for reasons described in the Methods section.

A mixed effects model was used to quantify the effects of each factor, and accounted for

between-channel, and thus between-NHP variability (see Methods). MI was used as the

dependent variable, while layer, area, and hemisphere were used as the independent variables

(see Methods). Mixed-effects modeling revealed that mutual information (MI) was modulated by

significant two-way interactions, which qualified the interpretation of main effects (Table 3). The

reference groups for area, layer, and hemisphere were area A1, the granular layer, and the left

hemisphere, respectively; i.e. all effects are quantified relative to these reference groups.

The first novel finding was that the hierarchical differences between area A1 and parabelt

differed across layers. The main effect of area was reduced MI in the parabelt, though this

differed across layers (Table 3). Specifically, decreased MI in the extragranular layers (relative

to the granular layer) was observed in A1 (rightward shift in the orange traces in Figure 3 E-F;

Table 3). However, this effect was attenuated in the parabelt (Fig. 3 G and H). This was

quantified by a significant positive interaction of the extragranular layers with the parabelt, in the

AM noise and click datasets (Table 3). The sign change in the *t-statistics* indicate that the

laminar gradient observed in A1 (Granular > Infragranular > Supragranular) is inverted in the

parabelt (Supragranular > Infragranular > Granular). We rotated the reference group from

Granular to Supragranular, to Infragranular to confirm the inversion. Specifically, the granular

reference group analysis is shown in Table 2 (*Supragranular*Parabelt, t = 4.9, p = 8*10^{-7};*

Infragranular*Parabelt, t = 3.3, p = 0.0008), the Supragranular reference group analysis

indicated the same effect (*Granular*Parabelt, t = -4.9, p = 8*10^{-7}, Infragranular*Parabelt, t = -*

2.27, p = 0.02), and so did the Infragranular reference group analysis (*Supragranular*Parabelt, t*

= 2.27, p = 0.02, Granular*Parabelt, t = -3.4, p = 0.0008). Thus, hierarchical transformations

in AM encoding are not uniform across the auditory pathway, and may reflect differences in the

information carried by thalamocortical and intracortical circuits. These findings will be

considered in more depth in the Discussion section.

Previous work in human and nonhuman primate auditory cortex has indicated enhanced

temporal processing in the left hemisphere (Boemio et al., 2005; O'Connell et al., 2015).. The

present results suggest the supragranular layers play an important role in this asymmetry. The

negative statistical interaction, *Supragranular Layers*Hemisphere*, confirms this quantiatively

by indicating that the supragranular, but not infragranular layers, display left hemisphere

enhancement (Figure 3 E-F; Figure 3 G-H; Table 3). The difference is indicated by the

distributions of supragranular MI, which are visibly shifted to the right in area A1 in Figure 3E

(supragranular median 1.1 bits) vs. Figure 3F (supragranular median 0.8 bits). Similar strength of

the granular and infragraular interactions with the right hemisphere were observed for the MI

values calculated based on click train responses (Table 3). These results highlight the importance

of cortical layers when considering interhemispheric effects, and may aid in determining the

circuit origin of hemispheric asymmetries, which will be further elaborated upon in the

Discussion section.

A notable difference in the AM noise and click train conditions was that, in the

infragranular right hemisphere parabelt dataset, less than twenty channels exhibited above-

chance mutual information values. While this reinforces the finding that the left hemisphere and

area A1 exhibit enhanced AM encoding, it suggests that click trains were not optimal for

interareal comparisons. For this reason, the remaining analyses focus on the responses to AM

noise.

Current Source Density

Multiunit Activity

Figure 3 – Cumulative distributions of mutual information values extracted from responses
to AM noise across areas, layers, and hemispheres. Distributions of MI values derived from
the CSD signal are depicted for left (n = 27, 28, 23 supragranular, granular, and infragranular
channels, respectively) and right (n = 23, 24, 23 supragranular, granular, and infragranular
channels, respectively) hemispheres of area A1 (**A-B**), and the left (n = 12, 10, 9 supragranular,
granular, and infragranular channels, respectively) and right (n = 17, 20, 17 supragranular,
granular, and infragranular channels, respectively) hemispheres of the parabelt (**C-D**).
Distributions of MI values derived from the MUA signal are depicted for left (n = 131, 97, 154
supragranular, granular, and infragranular channels, respectively) and right (n = 86, 67, 99
supragranular, granular, and infragranular channels, respectively) hemispheres of area A1 (**E-F**),
and the left (n = 21, 24, 25 supragranular, granular, and infragranular channels, respectively) and
right (n = 21, 39, 38 supragranular, granular, and infragranular channels, respectively)
hemispheres of the parabelt (**G-H**). Only above-chance values are displayed (see Methods).
Dashed lines demarcate the median value of each distribution.
466
467
468 Table 3 – Terms in a mixed effects model analysis of mutual information values extracted from
469 MUA responses to AM noise (1.6-200 Hz) and click trains (1.6-200 Hz). The reference groups
470 were A1, the granular layer, and the left hemisphere.

Model: MI ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)				
Term:	AM noise (*df* = 779, n = 776 channels)		Click train (*df* = 997, *n = 994* channels)	
	t-statistic	P-value	t-statistic	P-value
Right hemi (vs. L)	2.2	0.025	2.96	0.003
Supra. layer (vs. Gran.)	-5.2	3.0×10^{-7}	-5.5	3.7×10^{-8}
Infrag. layer (vs. Gran.)	-1.8	0.079	-1.2	0.21
Supra. layer*Right Hemi.	-3.2	0.001	-3.45	0.0003
Infra. layer*Right Hemi.	-1.6	0.09	0.2	0.81
Supra. layer*Parabelt	4.9	8.0×10^{-7}	2.7	0.0069

Infra. layer*Parabelt	3.3	8.0×10^{-4}	0.37	0.7
Right Hemi.*Parabelt	-2.4	0.01	-2.5	0.012
Parabelt	-7.0	3.0×10^{-12}	-11.8	2.0×10^{-30}

Topography of AM encoding in the parabelt

We next considered the hypothesis that caudal parabelt (CPB) exhibits enhanced AM
encoding relative to rostral parabelt (RPB), based on CPB and RPB's putative positions in the
dorsal and ventral streams (Camalier et al., 2012; Hackett et al., 1998; Romanski et al., 1999).
We quantified how mutual information about AM varied across the two-dimensional map of
each NHP's parabelt area using linear regression, which took the form "*Mutual Information ~ X*
coordinate + Y coordinate." Mutual information did not significantly vary as a function of X ($t =$
0.6, p = 0.5) or Y coordinate ($t = -1.8, p = 0.07$). Moreover, neither X nor Y position
significantly correlated with mutual information value (Spearman's $R < 0.2; p > 0.05$). This can
be seen in the representative example maps in Figure 4, which indicate that AM encoding did not
systematically differ across the parabelt region in our dataset. Enhanced AM encoding would
have greater temporal precision, i.e., preference for higher modulation frequency. We similarly
tested whether best decoded modulation frequency displayed any topography in the parabelt,
however, best modulation frequency did not significantly vary as a function of X ($t = 1.4, p =$
0.17) or Y coordinate ($t = 1.34, p = 0.21$). Moreover, neither X nor Y position significantly
correlated with mutual information value (Spearman's $R < 0.4; p > 0.05$). Finally, we similarly
mapped the highest modulation frequency at which there was significant phase-locking, but
found it did not significantly differ as a function of X ($t = 0.5, p = 0.62$) or Y coordinate ($t = -$
0.837, p = 0.41).

Figure 4 – Topographic analysis of AM encoding in parabelt auditory cortex. Color maps

depict mutual information values derived from MUA responses to amplitude-modulation, as a

function of the two-dimensional maps across parabelt auditory cortex in three representative

cases. As a result of the parabelt recording chamber placement over the superior temporal gyrus,

the X and Y coordinates are arbitrary positions in a two dimensional grid with 1 mm resolution.

The X coordinates refer to anterior-posterior (A/P) dimension, and the Y coordinates refer to the

dorsal-ventral (D/V) dimension. The border between the rostral parabelt (RPB) and caudal

parabelt (CPB) was determined using tonotopic progression.

Preferred modulation frequency

Having characterized how mutual information differs across layers of A1 and PB, we next

sought to understand how their preferred modulation frequency differed. Among MUA channels

that significantly encoded at least one modulation frequency, we observed that the parabelt

encoded lower modulation frequencies than A1 in a mixed effects model (*Best MF ~ Hemisphere*

+ Area + Layer (1|Channel); Effect of Parabelt, $t = -4.4, df = 1536, p = 9*10^{-6}$). Best

modulation frequency appeared to be higher in the infragranular layers of area A1 (Figure 5A),

but this trend was not present in the parabelt dataset (Table 4; Interaction of Infragranular layers

with Parabelt). Regarding hemispheric differences, the right hemisphere trended towards

encoding lower modulation frequencies, and there was a significant positive interaction of right

hemisphere with infragranular layers, which indicates that the infragranular layers encoded

higher modulation frequencies than would be expected based on the right hemisphere main effect

(Table 4).

Figure 5 – Best decoded modulation frequency in area A1 and the Parabelt. A) Box-plots display the noise modulation frequency that elicited the highest decoding accuracy for multiunit activity in the left hemisphere, Area A1, in different cortical layers (Supragranular, $n = 171$ channels; Granular, $n = 86$ channels; Infragranular, $n = 156$ channels). B) Best decoded modulation frequency in the right hemisphere, Area A1 (Supragranular, $n = 134$ channels; Granular, $n = 47$ channels; Infragranular, $n = 74$ channels). C) Best decoded modulation frequency in left hemisphere Parabelt (Supragranular, $n = 114$; Granular, $n = 46$; Infragranular, $n = 81$). D) Best decoded modulation frequency in the right hemisphere Parabelt (Supragranular, $n = 268$; Granular, $n = 124$; Infragranular, $n = 240$).

Table 4 – Mixed effects model of best decoded modulation frequency. *Degrees of freedom =* *1534, n = 1531* channels.

Model: Best MF ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel), Degrees of freedom = 1532.		
Term:	t-statistic	P-value
Right hemi (vs. L)	-1.5	0.12
Parabelt (vs. A1)	0.15	0.87
Supra (vs. Gran)	1.8	0.06
Infra (vs. Gran)	2.2	0.02
Infra*Right Hemi	-0.41	0.68
Infra*Parabelt	-3.5	0.0004

AM encoding over time

Having characterized how the encoding of AM differs across regions using the entire response waveform, it was then of interest to investigate how encoding unfolds across time, which can address questions, albeit indirectly, about spike-timing vs. spike rate codes in previous studies (e.g. Hoglen et al., 2018; Yin et al., 2011; see Introduction). Analyzing decoding results over time also addresses what time-points in the stimulus response may be driving the decoding accuracy in our previous results, which utilized the entire response waveform (e.g. Figures 1-3). To address these issues, responses were binned into 10 or 50 ms bins and the decoding process was repeated for each bin (see Methods). Figure 6 shows example decoding results over time, for the 6.3 Hz AM noise stimulus for A1 (Figure 6A-B) and parabelt (Figure 6C-D). These results complement the mutual information analyses, by showing that at a low modulation frequency (below ~25 Hz), sites in the parabelt often displayed far above-chance decoding accuracy. They also suggest that the highest magnitude response, in CSD or MUA, often encountered at the onset of the stimulus (Figure 1), does not always correspond to the peak decoding accuracy, which could be found throughout the duration of the stimulus response; i.e. maximum decoding occurred sparsely over time. Consistent with this, decoding accuracy of different modulation frequencies exhibited peaks throughout the response, from onset latency through 700 ms (Figure 6E-H) for both the CSD and MUA signals. These results also suggest that the time-varying aspect of the response to AM contributes heavily to its discriminability in both A1 and the

parabelt (see Discussion). This observation likely depends on our use of population-level

activity, which has been found to exhibit robust temporal encoding in a previous single-unit

study (see Introduction and Discussion; Downer et al. 2021).

To quantify these observations, the peak decoding time, across all modulation

frequencies, was compared across layer, area, and hemisphere. The right hemisphere had

significantly earlier peak times (Table 5), though this appeared to be restricted to area A1,

indicated by the significant interaction of parabelt with the right hemisphere (Figure 5; Table 5).

The parabelt had significantly later peak times in both the CSD and MUA signals. In the

infragranular layers of the parabelt, MUA peak decoding times were earlier than predicted by the

infragranular layer term on its own (Table 5). The same mixed effects model analysis revealed

similar size and direction of effects for the 50 ms binned decoding results (Tables 6).

Figure 6 – Decoding AM noise over time. A) Representative example of decoding the CSD signal over time, for the 6.3 Hz AM noise condition in left hemisphere area A1 (n = 50 trials). The decoding results for the same site's MUA are shown in (**B**). **C**) Representative example of decoding the CSD signal over time, for the 6.3 Hz AM noise condition in the right hemisphere parabelt auditory cortex (n = 50 trials). The decoding results for the same site's MUA are shown in (**D**). In all heat maps, the bin size was 10 ms. **E**) Decoding accuracy using the CSD signal from a granular layer channel (11) in panel (A) across a subset of modulation frequencies used in the study. **F**) Decoding accuracy using the MUA signal from A1 granular layer channel (11) in panel B across a subset of modulation frequencies used in the study. **G**) Decoding accuracy using the CSD signal from an infragranular layer channel (9) in panel (**C**) across a subset of modulation frequencies used in the study (1.6-200 Hz). **H**) Decoding accuracy using the MUA signal from an infragranular layer channel (11) in panel B across a subset of modulation frequencies used in the study. Chance level decoding was calculated after shuffling the decoding labels and is indicated by the red trace. Error bands represent 95% confidence intervals (1,000 permutations).

Current Source Density

Multiunit Activity

Figure 7 – Cumulative distributions of peak decoding onset in A1 and parabelt in response
to amplitude modulated noise using a 10 ms bin size. Distributions of peak decoding accuracy
values, derived from the CSD signal, are depicted for left and right hemispheres of area A1 (**A-**
B), and the parabelt (**C-D**). Distributions of peak values derived from the MUA signal are
depicted for left and right hemispheres of area A1 (**E-F**), and the parabelt (**G-H**). Only above-
chance values are displayed (see Methods).
592
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594 **Table 5 – Mixed effects model of peak decoding onset time in the MUA signal, using a 10**
595 **ms bin.** *Degrees of freedom = 1716 , n = 1713* channels.

Model: Peak Time ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)		
Term:	t-statistic	P-value
Right hemi (vs. L)	-2.6	0.008
Parabelt (vs. A1)	2.48	0.013
Infragranular (vs. Gran)	0.99	0.3
Infragranular layer*Parabelt	-1.11	0.26
Right Hemi*Parabelt	2.9	0.004

596
597
598 **Table 6 – Mixed effects model of peak decoding onset time in the MUA signal, using a 50**
599 **ms bin.** *Degrees of freedom = 1716, n = 1713* channels.

Model: Peak Time ~ Area + Layer + Hemisphere + Area*Layer + Layer*Hemisphere + Hemisphere*Area + (1|Channel)		
Term:	t-statistic	P-value
Parabelt (vs. A1)	-0.08	0.5

Supra (vs. Gran)	-2.1	0.03
Infragranular (vs. Gran)	-0.1	0.91
Supra layer*Parabelt	3.2	0.002
Infragranular layer*Parabelt	0.8	0.39
Right Hemi (vs. L)	-1.3	0.2

Discussion

Parallel processing across auditory cortex. The present report builds on previous work

on how sounds are encoded across the auditory cortical hierarchy, which exhibits serial (A1-

Belt-Parabelt) and parallel organization (the medial geniculate body, MGB, projects to multiple

subdivisions in parallel). Rather than a uniform degradation of temporal precision, the laminar

gradient in encoding observed in A1 (Granular > Infragranular > Supragranular) was inverted in

the parabelt (Supragranular > Infragranular > Granular; see Table 3). This difference is noted

with some caution, given the general lack of significant AM encoding in the PB. The laminar

gradient inversion may result from differences in nonlemniscal thalamic projections across

auditory cortex. Specifically, extragranular A1, Belt, and Parabelt receive projections from the

magnocellular MGB, and the dorsal MGB projects to layers 3b and 5 of Belt and Parabelt;

avoiding A1 (Burton and Jones, 1976; Hackett, 2011; Huang and Winer, 2000). In the parabelt,

feedforward cortical input to layer 4 from belt (Hackett, 2011; Hackett et al., 2014), in

combination with parallel dorsal MGB input to L3b/5 could, theoretically, lead to the enhanced

extragranular encoding we observe in the PB, relative to granular PB (Table 1). This idea is

consistent with findings of rapid onset latencies, rivaling A1, observed in caudal belt, and robust

temporal encoding in anterior dorsal MGB (Bartlett and Wang, 2011; Kajikawa et al., 2011).

Unlike dorsal MGB, magnocellular MGB does not avoid A1 (Burton and Jones, 1976; Hackett,

2011; Huang and Winer, 2000). However, its dense projections to layer 1 are thought to position

it as a modulatory influence (Jones, 2001; Lakatos et al., 2020), and our data do not indicate that

it is integrated with layer 4 thalamic input to provide enhanced extragranular encoding of AM.

Serial processing across auditory cortex. Previous NHP studies have described

hierarchical progressions in stimulus selectivity that coincide with the hierarchical increase in

response latency in primary, secondary, and tertiary (parabelt) auditory cortex (Camalier et al.,

2012). For instance, NHP studies of AM encoding have discovered notable differences in

encoding between primary and secondary auditory cortex. Namely, while primary AC encodes

AM depth with an increasing rate code, secondary belt auditory cortex exhibits an opponent

(increasing/decreasing) code for AM (Downer et al., 2017; Johnson et al., 2024). Consistent with

this, fMRI studies have noted some degree of selectivity for longer temporal intervals in

nonprimary auditory cortices, particularly in the parabelt (Dheerendra et al., 2021). An extended

temporal integration window, and broad frequency tuning likely contributes to the parabelt's

enhanced response, relative to area A1, to harmonic sounds with varying degrees of temporal

coherence across frequency channels, termed "auditory figure ground" stimuli (Schneider et al.,

2018). Across studies of the cortical hierarchy, there appears to be a notable reduction in

response to simple stimuli, such as tones, and an increase in selectivity for complex stimuli with

characteristics, e.g. low modulation frequencies. This likely underpins enhanced selectivity for

species-specific communication sounds, and associated visual stimuli, as one ascends the

hierarchy (Mackey et al., 2024b).

Previous laminar studies. The results presented here provide the first study of AM encoding

utilizing laminar resolution at multiple levels across the NHP auditory cortical hierarchy.

Previous laminar studies in cat primary auditory cortex found that the extragranular layers

preferentially encode slower AM relative to the thalamorecipient granular layer (Atencio and

Schreiner, 2010), and that spectrotemporal feature selectivity is hierarchical i.e. Granular >

Supragranular > Infragranular (Atencio et al., 2009). This is consistent with what we observed

for granular MUA in A1, though interestingly this trend was much less apparent in the parabelt

(Figure 3 E-H); and Infragranular encoding was on par with Granular layer encoding in both A1

and Parabelt (Figure 3E-H). This may indicate a role of top-down infragranular outputs in the

awake state. Murine studies have suggested robust differences in spectrotemporal tuning between

layer 5 and layer 6 neurons, with L5 being sluggish relative to L6, and exhibiting much broader,

less sparse tuning to spectral and temporal sound features (Williamson and Polley, 2019). Our

measures of local population activity (CSD and MUA) are likely integrating across these

populations, so, perhaps unsurprisingly, we did not see a bimodal distribution (reflecting L5 and

L6 units) in infragranular AM encoding measures. However, the robust AM encoding in

infragranular populations (e.g. Infragranular encoding being on par with Granular layer encoding

in Figure 3E-F) is consistent with these previous data on L6 units. These studies, in combination

with the present report, describe some of the only data on the intracortical circuits underlying

temporal sound envelope encoding in auditory cortex. The present report now builds on this

knowledge by quantifying laminar-resolved AM encoding in the NHP parabelt.

Task engagement. Though the NHPs in the present report were not engaged in a task, previous

studies have suggested that stimulus complexity and task relevance exert substantial influence on

stimulus responses, particularly in nonprimary cortical areas. In secondary and tertiary auditory

cortices of ferrets (the dorsal posterior ectosylvian gyrus, and rostral ventral posterior auditory

field, respectively), hierarchical differences, such as the progressive influence of task-related

factors, have been well-studied (Atiani et al., 2014; Elgueda et al., 2019). A similar hierarchical

progression is present between primary and secondary auditory cortex of NHPs discriminating

AM noise, namely, single-unit discriminability via rate and temporal codes are enhanced by task-

engagement (Niwa et al., 2015). Entrainment to rhythmic stimuli also appears to be behaviorally-

gated in this same sense (O'Connell et al., 2015). Thus, if our NHPs had been engaged in a task,

particularly one that indexed AM feature discrimination, we would expect enhanced encoding

relative to what we report here.

Decoding studies. Previous decoding studies offer insight into the present results (Downer et al.,

2021; Tovar et al., 2020). Tovar et al. (2020) leveraged multivariate pattern analysis across

channels to reveal that visual gradient orientation information is relatively well preserved across

all layer compartments in V1, and eye-of-origin information decreases in the infragranular

layers. Tovar et al. also noted that repetition suppression, which is greatest in the extragranular

layers, contributed to their enhanced decoding of stimulus repetition. This may be analogized to

our results, where the granular layer often exhibited the greatest mutual information about

different modulation frequencies, likely due to its rapid response characteristics. Though this

pattern was most notable in area A1, and the parabelt displayed a less clear granular >

supragranular > infragranular gradient in AM encoding. Another study that utilized decoding

analysis that is relevant to the present results is Downer et al. (2021). Downer et al. utilized

decoding analyses on simulated and empirical neuronal populations from single-unit recordings,

and revealed robust population-level synchronization to a wide range of modulation frequencies,

4-512 Hz (Downer et al., 2021). This is consistent with our MUA measures in area A1. Both

studies suggest code-agnostic measures (e.g. linear discriminant analysis in the present report) on

local population responses can reveal information not readily apparent in single-unit measures

using phase-locking or an average rate code. Such code-agnostic measures also avoid commonly

noted problems with vector strength and the Rayleigh test for synchrony, namely potential for

false discoveries (Yin et al., 2011), and parametric statistical assumptions (Kajikawa and

Hackett, 2005).

Interhemispheric differences. Our analysis demonstrates similar hierarchical and inter-

hemispheric differences to human subjects in Boemio et al. (2005), and our results resemble

previous NHP studies demonstrating sound-driven hemispheric asymmetries (left hemisphere

enhancement) in the lateral sulcus, and the extent of the superior temporal gyrus, including the

temporal pole (Poremba et al., 2004, 2003). Our results suggest the supragranular layers are the

main contributing layer to the left-hemisphere dominance in encoding a wide range of temporal

fluctuations (1.6-200 Hz), which aligns with other studies which defined supragranular sources

potentially underlying noninvasive measures in fMRI, EEG, and MEG studies (e.g. (Kajikawa et

al., 2024; Lakatos et al., 2020; Leszczyński et al., 2020; Peterson et al., 1995)). Additionally, a

previous NHP study has similarly demonstrated enhanced entrainment of low frequency (~1.6

Hz) oscillations in left, relative to right, supragranular A1, which corroborates our results

(O'Connell et al., 2015).

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