Excitatory cholecystokinin neurons in CA3 area regulate the navigation learning

and neuroplasticity

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Abstract

Hippocampus, a key hub of neural circuits for spatial learning and memory, has attracted tremendous

studies. Neuronal information processing in the hippocampus can be regulated by many types of

neuropeptides. Cholecystokinin (CCK), the most abundant neuropeptide in the central nervous system

which is involved in modulating neuronal functions, such as cognition, memory and neuroplasticity, is

widely expressed in the hippocampus. However, whether local excitatory CCK neurons modulates

hippocampal function is still unclear. In this study, we showed that CA1 pyramidal neurons receive

projections from excitatory CCK neurons in area CA3 (CA3^{CCK} neurons). Subsequently, activation of

the CA1-projecting CA3^{CCK} neurons triggers the release of CCK. Then, we found that activity of

CA3^{CCK}-CA1 neurons supports the hippocampal-dependent tasks. Furthermore, inhibition of

CA3^{CCK}-CA1 projections or knockdown of CA3^{CCK} gene expression markedly impaired the behavioral

tasks and neuroplasticity. Taken together, these results may add to a better understanding of how

neuromodulators regulate the neural functions in central nervous system.

Key words: hippocampus; cholecystokinin; long-term potentiation; neuropeptide.

Introduction

Neuropeptides are expressed and secreted throughout the mammalian brain where they play key roles

in modulating neuronal activities and behaviors (Swaab 1982; Merighi et al., 2011; De Wied 1997).

Long-term potentiation (LTP) and long-term depression (LTD) have been considered cellular

mechanisms correlated with learning and memory in the central nervous system (CNS) (Grasselli and

Hansel 2014). Hippocampus is a unique brain region that is critically involved in learning and memory

(Ranganath and Hsieh 2016), and how neuromodulations affect the function of the hippocampal

system has attracted lots of interest (Gedankien et al., 2023; Broussard et al., 2016; Li and Gao 2016;

Karayol et al., 2021).

During the past decades, many studies have identified the neuronal mechanisms that guide

neuropeptides across the hippocampal function and examined whether neuropeptides undergo specific

adaptations in response to external innervation. However, most investigations focus on the classical

monoamine neuromodulators, including acetylcholine (Ach), dopamine (DP), norepinephrine (NE),

serotonin (ST), etc (Gedankien et al., 2023; Broussard et al., 2016; Li and Gao 2016; Karayol et al.,

2021). Ach can regulate neuronal excitability and synaptic transmission in the mammalian brain

(Takács et al., 2018). Several studies have demonstrated that stress increases Ach release in a brain

region-specific manner (Picciotto et al., 2012; Mineur et al., 2013). For example, stress-induced

increase in Ach level in the rat hippocampus and cortical area. DP is a critical modulator in neuronal

circuitry, which has been shown to be involved in a variety of behavioral phenomena in the

hippocampus, such as episodic memory formation (Chowdhury et al., 2012), spatial learning

(Kempadoo et al., 2016), and synaptic plasticity (Hamilton et al., 2010). DP innervation from the

midbrain is mainly via the dopamine receptor (D1/D5) when it is released into the dorsal hippocampus

(Cai and Ford 2018). Additionally, the role of NE in memory retrieval requires signaling through the

β1-adrenergic receptor in the hippocampus (Chang et al., 2011). The hippocampus has one of the

denser inputs of adrenergic terminals (containing NE) in the CNS, indicating that the adrenergic system

plays a role in learning and memory (Goodman et al., 2021). Interestingly, high concentration of

serotoninergic fibers in the forebrain is in stratum lacunosum-moleculare (SLM) of hippocampal areas

CA1 and CA3, where the axons of layer III neurons in the entorhinal cortex form excitatory synapses

with the distal apical dendrites of pyramidal cells (Cai et al., 2013). This temporoammonic pathway is

required for some spatial recognition tasks and for long-term consolidation of spatial memory. All these

studies implied that neuropeptides play a critical role in the hippocampal system and influence

subsequent behaviors.

Cholecystokinin (CCK), one of the most abundant neuropeptides in the CNS (Ma and Giardino 2022),

has not received much attention and its function in the hippocampal system has been generally underestimated. Although several reports have shown that CCK enhances the excitatory synaptic transmission in the hippocampus and improves learning performance (Wei et al., 2013; Reisi et al., 2015). However, the exact mechanism by which CCK regulates the hippocampus plasticity and hippocampus-dependent behaviors has not yet been fully elucidated. To address this knowledge gap, we adopted the transgenic mice, optogenetics, GPCR-based sensor, extracellular recording, chemogenetics, RNA interference technique, calcium recording and behavioral task to investigate: 1) the distribution profile of CCK-positive neurons in the CA3 area of dorsal hippocampus (DHP), 2) activation of $CA3^{CCK}$ neurons secrets the CCK at hippocampal SC-CA1 synapses. 3) the causal relationship between the Ca^{2+} response of excitatory $CA3^{CCK}$ neurons and hippocampal functions. This study should bring conceptual advances to the foundation of neuropeptide modulation and the studies of hippocampus-dependent learning and memory.

Results

Distribution profile of CCK-positive neurons in the DHP

Although many studies have well documented the role of inhibitory CCK in the hippocampus (Klausberger et al., 2005; Ali 2007; Hefft and Jonas 2005), the function of excitatory CCK in the hippocampus is still unclear. To address this issue, we developed the transgenic CCK Cre::Ai14 (Tdtomato) reporter mice that express the red fluorescent protein tdTomato upon Cre-mediated recombination. This mouse line is suited in examining the distribution profile of CCK positive neurons in the dorsal hippocampus (DHP) (**Figure 1A-B**). Interestingly, we found that the proportion of CCK positive neurons in area CA1 and CA3 of CCK Cre/Ai14 mice was around 8.50 % and 20.34 %, respectively (**Figure 1C-D**). We further examined the properties of these CCK neurons in areas CA3, which may send dense CCK^+ projections to the area CA1. Interestingly, excitatory neuronal marker CamkIIα was intensively colocalized with Tdtomato expressing CCK neurons in the area CA3 (**Figure 1E**). Moreover. the CCK proteins also show high co-localization in excitatory neurons in CA3 area (**Figure 1F**).

Furthermore, to validate the projection of CA1-projecting $CA3^{CCK}$ neurons in the DHP, we injected the Cre-dependent retrograde axonal transport of AAV (Retro-AAV-EF1α-DIO-EYFP) into the area CA1 (**Figure 1G**). Unsurprisingly, CA3 neurons were widely labelled with green fluorescent protein (GFP;

Figure 1H). Moreover, high degree of colocalization between CamKIIα marker and GFP was observed

in the area CA3 (**Figure 1I-J**; 90.15± 15 %). These anatomy results suggest that excitatory CA3

neurons are densely expressed and may exerts neuronal functions in central nervous system.

Excitatory CA3 neurons can secret the neuropeptide CCK

Next, to investigate the neurotransmission property of the excitatory CCK neurons in CA3 area (CA3^{CCK}), we

utilized the Cre-dependent excitatory AAV (AAV9-DIO-CamKIIα-ChrimsonR-mCherry) to specifically target the

excitatory CA3^{CCK} neurons in CCK-Cre mice (**Figure 2A**). Four weeks after the AAV injection and expression in

vivo, robust expression of ChrimsonR-mCherry was observed in CA3^{CCK} neurons (**Figure 2B-C**). Subsequently,

we used the 636 nm wavelength light to activate and elicit the field excitatory post synaptic potentials (L-fEPSPs)

in ChrimsonR-mCherry expressing brain section (**Figure 2D**). Intriguingly, we noticed that the L- fEPSPs were

almost completely blocked by the AMPA receptor antagonist (CNQX; 20 μM) and NMDA receptor antagonist

(APV; 100 μM) in the area CA1, suggesting an excitatory nature of CA1-projecting CA3^{CCK} pathway (**Figure**

2E-F).

To determine whether the neuropeptide CCK can be secreted from the CA3 derived excitatory CA3-CA1

projections, we adopted the GPCR-based CCK-BR sensor to monitor the release of CCK from the CA3-CA1

terminals in CA1 area under the external light stimulation (**Figure 2G**). To achieve this goal,

AAV9-DIO-CamKIα-ChrimsonR-mCherry and AAV9-hSyn-CCK-GFP-2.3 (AAV9-hSyn-GFP as control) were

injected into the CA3 and CA1 area to target the CA3-CA1 projection and CA1 pyramidal neurons (CA1 PNs) in

CCK-Cre mice (**Figure 2H**). Subsequently, stimulation fiber and recording fiber were implanted upon the CA3

and CA1 area. Four weeks after the AAVs injection and expression (**Figure 2I-J**), high-frequency theta burst light

stimulation (L-TBS) was delivered to the simulation fiber (**Figure 2K**), which mimic the neural rhythm in

hippocampus in *vivo*. Interestingly, transient increase in CCK sensor was monitored by L-TBS of

ChrimsonR-expressing CA3^{CCK} neurons, while no significant amplification was found in control (**Figure 2L-N**;

Two samples T-Test, t = 3.79 df = 10, p = 0.004). These results indicates that neuropeptide CCK can be secreted

from the excitatory CA3^{CCK} neurons under the mimic physiological conditions.

CA3^{CCK} neurons fire actively during hippocampal-dependent tasks

We next wonder about the activity status of CA3^{CCK} neurons during hippocampal-dependent tasks

(**Figure 3A**). Thus, we injected the AAV9-CamkIIα-DIO-GCaMP6s into the area CA3 of CCK Cre

mice to monitor the calcium-response of CA3^{CCK} neurons during the behavioral task (**Figure 3B**). In

novel object location (NOL) task, mice spent comparable time on object exploration between object 1

(O1) and object 2 (O2) in the training phase, while mice spent significantly more time on interacting

with object in the novel place (O2") compared with the object in the familiar site (**Figure 3C**; two way

mixed ANOVA, Bonferroni adjustment; $F_{1,10} = 4.58$, $p = 0.05$; Training: O1 11.42 ± 1.56 s v.s. O2

10.62 ± 2.18 s, $p = 0.73$; Testing: O1 8.62 ± 0.99 s v.s. O2": 13.95 ± 1.60 s, $p = 0.02$). Concurrently, we

examined the Ca^{2+} responses of excitatory CA3^{CCK} neurons during object exploration. Interestingly, we

observed that object exploration elicited a significant increase in fluorescence intensity in both training

phase and testing phase (**Figure 3D-E**). Moreover, Ca^{2+}signal of CA3^{CCK} neurons elicited by novel

locations also show significant difference compared with familiar locations (**Figure 3F**; two way

mixed ANOVA, Bonferrori adjustment; $F_{1,10} = 1.88$, $p = 0.20$; Training ($\Delta F/F$): O1 0.27 ± 0.07 % v.s.

O2 0.31 ± 0.08 %, $p = 0.91$; Testing ($\Delta F/F$): O1 0.27 ± 0.09 % v.s. O2" 0.41 ± 0.12 %, $p = 0.04$), which

implies that excitatory CA3^{CCK} neurons are critical for specific spatial memory and learning.

Furthermore, we examined whether the excitatory CA3^{CCK} neurons are necessary for performing the

MWM paradigm (**Figure 3G**). Intriguingly, in the naive training (trial 1), a robust and persistent Ca^{2+}

responses were observed during the initial learning (**Figure 3H and Figure 3I**). Nevertheless, after the

completion of training, CA3^{CCK} neurons produced a relatively smaller Ca^{2+} signal on the training day 3

with an averaged escape latency of < 10 s to locate the hidden platform (**Figure 3H and Figure 3J**;

Figure 3K: Paired sample t-test, df = 5, t = 2.80; Trial 1: 1.32 ± 0.74 % v.s. Trial 9: 0.75 ± 0.35 %, $p =$

0.038), this result further supports the conclusion that excitatory CA3^{CCK} neurons plays a direct role in

the animals' accurate spatial learning and memory formation.

Chemogenetic inhibition of the excitatory CA3^{CCK}-CA1 pathway impairs behavioral tasks

Next, to further confirm the necessity of excitatory CA3^{CCK}-CA1 pathway for performance of the

hippocampal-dependent behaviors. We adopted the chemogenetic approach, which is a powerful

technique for specific disturbance of neuronal activity through virus-mediated DREADD expression in

combination with its agonist clozapine N-oxide (CNO) (Gomez et al., 2017), to specifically target the

excitatory CA3^{CCK} neurons. Firstly, we injected the Cre dependent AAV expressing the inhibitory

DREADD (hM4DGi) to infect the excitatory CA3^{CCK} neurons and AAV carrying mCherry as control

(**Figure 4A**) and implanted the drug cannular upon the CA1 area. Four weeks after the AAV injection

and expression (**Figure 4B**), we conducted the MWM task in the two groups of mice to assess the role

of CA1-projecting CA3^{CCK} neurons in hippocampus-dependent spatial learning (**Figure 4C**). Thus, we

delivered the CNO (300 nl; 10 μM) via the cannulas to inhibit CCK$^+$ CA3-CA1 projections upon the

area CA1 before conducting the MWM. Then, two groups of mice were subjected to the visible

platform task to evaluate their swimming capability. Both groups of mice displayed comparable

swimming speed and the speed time in finding the visible platform (**Figure 4D**; two sample t-test, df =

18, t = 0.22, HM4D(Gi): 24.3 ± 1.66 cm/s v.s. mCherry: 23.8 ± 1.52 cm/s, p = 0.83. **Figure 4E**; two

sample t-test, df = 18, t = 1.09, HM4D(Gi): 30.86 ± 2.587 s v.s. mCherry: 34.21 ± 2.12 s, p = 0.29).

During the hidden platform task, the mice only expressing mCherry gradually spent less time in

locating the platform compared to the mice infected with hM4D(Gi) (**Figure 4F**; two-way mixed

ANOVA, Bonferroni adjustment; $F_{4,15}$ = 1.24, p = 0.34; HM4D(Gi): 24.18 ± 4.36 s v.s. mCherry: 15.12

± 2.13 s on day 5, p = 0.003). Additionally, the control mice showed higher percentage on the target

quadrant on the memory retention day, while lower percentage of the occupancy in experimental mice

(**Figure 4G-H**; two-way mixed ANOVA, Bonferroni adjustment; $F_{3,16}$ = 1.19, p = 0.34; HM4D(Gi):

27.91 ± 2.75 % v.s. mCherry: 42.31 ± 3.82 % in quadrant 3, p = 0.02). These results demonstrated that

excitatory CA3^{CCK}-CA1 pathway is required for animals to perform adequately in spatial learning.

In the NOL task (**Figure 4I**), both groups of mice showed equal motivation to explore objects (**Figure**

4J; two sample t-test, df = 18, t = -0.31, hM4D(Gi): 81.18 ± 9.14 s v.s. mCherry: 85.35 ± 9.67 s, p =

0.76). Compared with the control mice, the experimental group was unable to distinguish the novel and

familiar object location in the test phase, and presented low discrimination ratio (**Figure 4K**; two-way

mixed ANOVA, Bonferroni adjustment; $F_{1,18}$ = 4.58, p = 0.04; hM4D(Gi): Familiar 40.83 ± 5.27 s v.s.

Novel: 43.46 ± 7.40 s, p = 0.66; mCherry 34.38 ± 3.58 s v.s. Novel: 55.35 ± 6.11 s, p = 0.003. **Figure**

4L; two sample t-test, df = 18, t = 0.77, hM4D(Gi): -0.003 ± 0.09 v.s. mCherry: 0.22 ± 0.06, p = 0.03),

indicating suppression of excitatory CA3^{CCK}-CA1 pathways impaired the spatial memory. Taken

together, we can conclude that excitatory CA3^{CCK} neuron is an essential and functional component in

the hippocampal system.

Chemogenetic inhibition of the excitatory CA3^{CCK}-CA1 pathway attenuates LTP formation

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formation

Since the LTP in the hippocampus is commonly considered a key cellular mechanism that underlies

spatial learning and memory (Ge et al., 2010). To confirm whether excitatory $CA3^{CCK}$-CA1 pathway

are directly involved in LTP formation, we further conducted the electrophysiological recording in *vitro*.

Four weeks after the AAV injection and expression (**Figure 5A-B**), hippocampal slices were subjected

to electrophysiological recording to test the effect of CNO on the neuroplasticity of excitatory

$CA3^{CCK}$-CA1 pathway (**Figure 5C**).

After the baseline recording, the slope of fEPSPs decreased gradually with the CNO treatment while no

significant changes were observed in controls (**Figure 5D-E**; two-way mixed ANOVA, Bonferroni

adjustment; $F_{1,13} = 78.32$, p < 0.001; hM4D(Gi): Pre_{ex} 100.42 ± 0.18 % v.s. $Post_{ex}$ 78.07 ± 1. 52 %, p <

0.001; mCherry: Pre_{ctrl} 100.15 ± 0.48 % v.s. $Post_{ctrl}$ 98.90 ± 2.90 %, p = 0.60, $Post_{ex}$ v.s. $Post_{ctrl}$, p <

0.001), indicating that neuronal activities of the excitatory CA1-projecting $CA3^{CCK}$ neurons were

remarkably suppressed. Then, we further employed the E-TBS protocol for the LTP induction under

this condition (**Figure 5F**). Intriguingly, the extent of LTP formation in the slices expressing hM4D(Gi)

was significantly smaller than those in the control group (**Figure 5G-H**; two way mixed ANOVA,

Bonferrori adjustment; $F_{1,13} = 9.46$, p = 0.01; HM4D(Gi): Pre_{ex} 99.65 ± 0.31 % v.s. $Post_{ex}$ 111.52 ±

2.40 %, p < 0.001, mCherry: Pre_{ctrl} 100.41 ± 0.29 % v.s. $Post_{ctrl}$ 125.17 ± 3.43 %, p = 0.001, $Post_{ex}$ v.s.

$Post_{ctrl}$, p = 0.005), hinting that $CA3^{CCK}$ neurons are involved in the maintenance of hippocampal

neuroplasticity.

RNA interference of excitatory $CA3^{CCK}$ expression impairs hippocampal functions

Next, to verify whether neuropeptide CCK in excitatory $CA3^{CCK}$ neurons are also involved in spatial

learning and memory, RNA interference (RNAi) strategy was utilized to downregulate CCK expression

in $CA3^{CCK}$ neurons (**Figure 6A**). We thus injected AAV9-CamkIIα-DIO-mCherry-shRNA (CCK) into

area CA3 to knockdown target gene and AAV9-CamkIIα-DIO-mCherry-shRNA (Scramble) as control.

The experimental group was Cre on anti-CCK to knock down the expression of CCK in the area CA3

of CCK Cre mice, while the control group was Cre on anti-scramble. We confirmed the expression of

shRNAs in the hippocampus at 4 weeks after AAV injection (**Figure 6B**). Moreover, we further

verified that anti-CCK shRNAs faithfully downregulated CCK mRNA levels *in vivo* by using the qPCR

technique (**Figures 6C**; two sample t-test, df = 10, t = 4.95, anti-scramble 100 ± 7.03 % v.s. anti-CCK

58.73 ± 7.23 %, P = 0.001). Subsequently, we conducted the MWM task in the two groups of mice to

assess the role of CA1-projecting $CA3^{CCK}$ neurons in the hippocampus-dependent spatial learning

(**Figure 6D**).

The two groups of mice injected with anti-CCK and anti-scramble showed comparable swimming

speed (**Figure 6E**; two sample t-test, df = 18, t = -0.40, anti-CCK: 23.3 ± 2.75 cm/s v.s. anti-scramble:

24.1 ± 1.41cm/ s, p = 0.69) and swimming time in locating the visible platform above the water surface

of the swimming pool (**Figure 6F**; two sample t-test, df = 18, t = -0.51, anti-CCK: 33.4 ± 2.21 s v.s.

anti-scramble: 34.9 ± 1.93 s, p = 0.62). Then, mice infected with the anti-CCK showed deficits in

spatial learning during the 5 days training (**Figure 6G**; two way mixed ANOVA, Bonferrori adjustment;

$F_{4,15}$ = 0.30, p = 0.88; anti-CCK 25.48 ± 2.12 s v.s. anti-scramble 18.05 ± 3.10 s on day 5, p = 0.007),

and also exhibited deficiency in memory retention (**Figure 6H-I**; two way mixed ANOVA, Bonferrori

adjustment; $F_{3,16}$ = 1.20, p = 0.34; anti-CCK 28.38 ± 1.78 % v.s. anti-scramble 35.76 ± 3.68 % in

quadrant 3, p = 0.03). These results suggest that knockdown of CCK expression in area CA3 attenuates

spatial learning ability.

In another spatial learning protocol, the novel object location (NOL) task (**Figure 6J**), two groups of

mice exhibited equal motivation to explore objects (**Figure 6K**; two sample t-test, df = 18, t = 0.28,

anti-CCK: 83.82 ± 5.85 s v.s. anti-scramble: 81.22 ± 7.25 s, p = 0.78). Compared with the control mice

(anti-scramble), the mice infected with anti-CCK failed to distinguish between the novel and familiar

object location in the test phase, and displayed low discrimination ratio (**Figure 6L**; two-way mixed

ANOVA, Bonferrori adjustment; $F_{1,18}$ = 2.97, p = 0.10; anti-CCK: Familiar 42.63 ± 5.21 s v.s. Novel:

44.87 ± 6.33 s, p = 0.67; anti-scramble: Familiar 34.41 ± 5.30 s v.s. Novel: 49.22 ± 8.87 s, p = 0.01.

Figure 6M; two sample t-test, df = 18, t = -2.0, anti-CCK: 0.02 ± 0.06 v.s. anti-scramble: 0.19 ± 0.05, p

= 0.04), indicating that downregulation of CCK expression in area CA3 was sufficient to impair spatial

memory. Taken together, these results support our hypothesis that excitatory CCK acts as a functional

neuromodulator in the hippocampal system.

Additionally, for the electrophysiology recording, E-TBS adequately induced LTP at CA3-CA1

synapses in control slices containing the anti-scramble shRNAs, but the same protocol elicited

remarkably smaller LTP on slices with anti-CCK shRNA targeting the sequences of CCK gene

(**Figures 6N-O**; two way mixed ANOVA, Bonferrori adjustment; $F_{1,14}$ = 10.06, p = 0.007; Pre_{ctrl}:

100.93 ± 0.57 % v.s. $Post_{ctrl}$: 127.78 ± 2.47 %, p < 0.001; Pre_{ex}: 100.44 ± 0.34 % v.s. $Post_{ex}$: 115.76 ±

2.96 %, p < 0.001; Post$_{ctrl}$ v.s. Post$_{ex}$, p = 0.008). Moreover, we noticed that the amplitude of the

electrically evoked fEPSPs in anti-CCK group is similar to those of the control group (**Figures 6N**,

insert), suggesting normal neurotransmission in hippocampal slices from both groups. Therefore, the

impairment of LTP is likely due to the reduced expression of CCK in CA1-projecting CA3^{CCK}

terminals which impaired the neuromodulation.

Discussion

In the present study, we reported the distribution profile of CCK positive neurons in the dorsal

hippocampus, a key brain region associated with spatial memory formation and transformation

(Martin and Clark 2007). Although the role of inhibitory CCK neurons is well studied in the

hippocampus, the function of excitatory CCK neurons is still unclear. Optogenetic activation of

the CCK inhibitory populations produces a prominent IPSC and controls the input and output gain

of CA1 pyramidal neurons, and this kind of modulation is mediated by the presynaptic CB1

receptors (Hartzell et al., 2018). A recent study uncovered that systemic activation of CCK-GABA

neurons minimally affects emotion but significantly enhances cognition and memory (Whissell et

al., 2019). In our study, we combined the Ai14::CCK Cre mice and immunochemistry method to

show the distribution of CCK positive neurons in the hippocampus. Moreover, we adopted the

pharmacological approach to diminish the tendency for light-evoked fEPSPs of excitatory

CA1-projecting CA3^{CCK}neurons. Additionally, using the patch clamp technique to record the

EPSP of these neurons also can directly validate this conclusion. However, we are unable to

conduct whole cell recording due to the limitation of available techniques. To our knowledge, this

is the first study that demonstrated the function of excitatory CCK neurons in the hippocampus.

Additionally, to fully validate the involvement of excitatory CA1-projecting CA3^{CCK} neurons in

hippocampal processing involving learning and neuroplasticity, we used the chemogenetic

technique to examine the function of CA3^{CCK} neurons. Interestingly, we found that specific

inhibition of these neurons significantly impaired the CA1-CA3 LTP and attenuated spatial

learning. Many studies have also demonstrated that neurotransmitters facilitate the hippocampal

plasticity and further modulate the behavior performance (Birthelmer et al., 2003; Ohta et al.,

2003; Lopes et al., 2002), because deficiency of neurotransmitters in the CNS is known to be able

to decrease the extent of synaptic transmission in the CA3-CA1 pathway. For instance, Mlinar

reported that endogenous 5-hydroxytryptamine (5-HT) potentiates the LTP in the hippocampus

and its positive effects on cognitive performance (Mlinar et al., 2015). Also, another study used a

viral-mediated approach to delete brain-derived neurotrophic factor (BDNF) specifically in

CA3-CA1 pathway, and further demonstrated that presynaptic and postsynaptic BNDF are

essential for LTP induction and maintenance, as well as contextual memory impairments

(Mohajerani et al., 2007). In this study, we used RNA interference technique (RNAi) to fully

characterize the function of CA3^{CCK} neurons. Intriguingly, the knock down of CCK expression

significantly impaired LTP formation at excitatory CA1-projecting CA3^{CCK} synapses and further

affected the hippocampus dependent-spatial learning and memory. Therefore, we established the

essential role of CCK as an active neuromodulator that regulates hippocampal plasticity and its

dependent behaviors (**Figure 7**).

Establishing a causal link between neural plasticity and spatial learning is crucial for

understanding the status of CA3^{CCK} neurons in the hippocampus. Our investigation verified that

CA3^{CCK} neurons modulate hippocampal long-term plasticity in a homosynaptic manner, and their

activity underlies spatial learning and memory formation. We demonstrated that the CA3^{CCK}

projections to the CA1 region are required and sufficient to induce the LTP at CA3-CA1 synapses.

In the behavioral setup, we also observed that the calcium response of CA1-projecting CA3^{CCK}

neurons increased during the exploration. The Ca^{2+} activities were also raised throughout the

MWM task. Nevertheless, perturbation of the activities of CA3^{CCK} neurons impaired spatial

learning both in the NOL task and MWM task. It was likely that the CA3 input to the CA1

conveys spatial information. Thus, we can conclude that CA3^{CCK} neurons may specifically

regulate the hippocampal state and plasticity during behavioral performance.

Finally, further experimental works could be undertaken to investigate the function of CA3^{CCK}

neurons. For instance, the change of CCK concentration during the light high frequency

stimulation of CA1-projecting CA3^{CCK} neurons by using the GPCR-based CCK sensor. Several

high-sensitive GPCR-based sensors for detecting the neurotransmitters have been well developed,

including the dopamine, norepinephrine, serotonin and acetylcholine. Unfortunately, evidence for

CCK release in the hippocampus is not present in this study. Additionally, real time monitoring of

CCK release is also critical when animals are subjected to the spatial learning. However, solving

these questions still needs high-sensitive CCK sensor in the future.

Methods

Animals

Adult Cck-IRES-Cre (CCK Cre: RRID:IMSR_JAX:012706) mice and Ai14 mice

(RRID:IMSR_JAX:007914) were used in this study. In behavioral experiments, all male mice were

housed in a 12-h light/dark cycle and were provided food and water ad libitum. All experimental

procedures were approved by the Animal Subjects Ethics Sub-Committee of the City University of

Hong Kong.

Viruses

Adeno-associated virus (AAVs) were purchased from the BrainVTA, Wuhan, China:

AAV9-CaMKIIa-DIO-GCaMP6S-WPRE-hGH-pA (PT-0090); AAV9-CaMKIIa-DIO-(mCherry-bGH

pA-U6)-shRNA(CCK)-WPRE-hGH pA (PT-9086); AAV9-CaMKIIa-DIO-(mCherry-bGH

pA-U6)-shRNA(Scramble)-WPRE-hGH pA (PT-3088);

AAV9-CaMKIIa-DIO-hM4D(Gi)-mCherry-WPRE-hGH polyA (PT-1143);

AAV9-Syn-DIO-mCherry-WPRE-hGH polyA (PT-0115); AAV9-hSyn-CCK sensor 2.3-GFP;

AAV9-hSyn-GFP; Addgene, Cambridge, MA, USA: Retrograde AAV-EF1a-DIO-EYFP (27056).

Taitool BioScience, Shanghai, China: AAV9-mCaMKIIa-DIO-ChrimsonR-mCherry-ER2-WPRE-pA

(S0728-9).

AAV injection

Following deep anesthesia induced by an intraperitoneal injection of pentobarbital sodium (50

mg/kg; Ceva Sante Animale Co., France), each adult mouse was secured in a stereotaxic frame. A

midline skin incision exposed the skull, and the stereotaxic apparatus was adjusted to level the

skull using the bregma and lambda as landmarks. Using a nanoliter injector (Micro4 system) fitted

with a quartz glass micropipette, we delivered the AAV suspension. A small craniotomy was

drilled at the predetermined coordinates for the unilateral CA3 region (AP: -1.70 mm, ML: 2.35

mm, DV: -1.85 mm). The AAV was infused at a constant rate of 30 nL/min (volume and titer are

specified in the respective figure legends). To prevent backflow, the micropipette remained in

place for 5 minutes post-injection before careful removal. The incision was then sutured and

treated with erythromycin ointment to inhibit infection. Mice recovered on a heating pad and were

subsequently returned to their housing facility.

Brian slice recording

To prepare for brain slice recording, mice were deeply anesthetized with 1.4% gaseous isoflurane

(Wellona Pharma) and decapitated. The brain was rapidly extracted and submerged in ice-cold,

oxygenated (95% O_2 / 5% CO_2) artificial cerebrospinal fluid (aCSF) containing (in mM): 124

NaCl, 3 KCl, 1.25 KH_2PO_4, 1.25 $MgSO_4$, 2 $CaCl_2$, 26 $NaHCO_3$, and 10 glucose (pH ~7.4). This

same aCSF solution was used for all subsequent steps, including dissection, slicing, incubation,

and recording. Coronal sections (300 μm thick) containing the target region were prepared using a

vibrating microtome (Leica VT1000S) and were subsequently incubated in aCSF at 32°C for

recovery.

For electrophysiological recording, we used a system (Alpha MED Sciences) integrated with a

light generator (Inper) to record both electrically- and optically-evoked field excitatory

postsynaptic potentials (fEPSPs). Individual hippocampal slices were placed on a multi-electrode

array (MEA) probe. One electrode was designated as the stimulating electrode for electrical

stimulation. For optical stimulation, a 2-ms pulse of red light (635 nm) was delivered via an

optical fiber (200 μm diameter) targeted to the CA1 region. After identifying stable fEPSP

responses, input/output (I/O) curves were generated by plotting the fEPSP slope against varying

stimulus currents or light intensities. All fEPSP slopes were normalized and analyzed using the

MED Mobius software.

Real-time PCR

Tissues of the target brain region (area CA3) were dissected freshly from animals after AAV

expression for 4 weeks (AAV carrying anti-CCK or anti-Scramble shRNA). The mRNAs were

extracted from the bilateral area CA3 using TRIZOL reagent and reversed transcribed into cDNA

with Maxima Reverse Transcriptase (Thermo). CCK gene was then amplified specifically with

QuantStudio™ 3 Real-Time PCR System (ABI). The sequences of the primers: CCK-forward, 5' -

AGC GCG ATA CAT CCA GCA G - 3'; CCK-reverse, 5' - ACG ATG GGT ATT CGT AGT CCT

C - 3'. The relative expression level of the CCK gene was analyzed by using the comparative

threshold cycle (C_t) technique (2 -$\Delta\Delta C_t$ method). Three biological replicates were performed and a

reference gene (GAPDH) was used to normalize the data of gene expression in this study.

Morris water maze task

Morris water maze (MWM) task follows the Vorhee's protocol (Vorhees and Williams 2006).

Briefly, all mice were subjected to the visible platform to access their swimming ability in 122 cm

radius swimming pool. Then, during the 5 days training, mice were allowed to locate the hidden

platform within 60 seconds which was submerged into the water. The light intensity was set bright

enough for the video recording. On day 6, the platform was removed from the target site (quadrant

three) of the swimming pool, and all mice were trained to assess the spatial reference memory in

the memory retention task. Lastly, the swimming-tracking paths of each mice in different

quadrants were analyzed by using the MATLAB software.

Novel object location task

The novel object location (NOL) task was divided into three parts: 10 mins inhabitation for each

mouse in the apparatus on day 1, and 5 mins training setup followed by a 5 mins testing setup on

day 2. All mice were allowed to interact with two different objects during the training setup, after

which animals were transferred into home cage. After 1 hour, mice were subjected to the testing

setup in which they were put into the apparatus with the previous two objects, while one object

was replaced with a new site. The following formula was carried out to analyze the discrimination

index (Pérez-García et al., 2016):

$$\text{Time (NOL)-Time (FOL) / Time (NOL)+Time (FOL)}$$

Note: NOL: novel object location; FOL: familiar object location. A positive/negative value

indicates more/less time on exploring the object in a novel location, while zero suggests equal

time spent with both objects in familiar and novel locations.

Chemogenetic manipulation

To enable chemogenetic manipulation, CCK-Cre mice were bilaterally implanted with guide

cannulas targeting the CA1 hippocampal region (AP: -1.75 mm, ML: ±1.25 mm, DV: -1.20 mm)

following viral injection. The surgical protocol was identical to that described previously. Four

weeks post-injection, mice underwent hippocampal-dependent memory testing (MWM and NOL

tasks) and electrophysiological assessment. Prior to behavioral tasks, the experimental group

(expressing hM4D(Gi)) and the control group (expressing mCherry) received a bilateral

microinfusion of Clozapine N-oxide (CNO; 10 μM in 0.1% DMSO) into the CA1 region. Each

site received 300 nL at a rate of 50 nL/min via a programmable injector. For in vitro slice

recordings, 50 μM CNO was added to the perfusing artificial cerebrospinal fluid (aCSF) during

fEPSP recording sessions.

Fiber photometry recording

A fiber-photometry system (Doric Lenses) was employed to record neural activity. The system

utilized sinusoidally modulated LEDs (473 nm at 220 Hz and 405 nm at 330 Hz) to excite the

GCaMP sensor and capture an isosbestic autofluorescence control signal, respectively. These light

signals were conveyed through an implanted optical fiber, with the output intensity calibrated to

10 μW at the fiber tip. Emitted fluorescence was collected via the same fiber and was

subsequently focused onto two independent photoreceivers (Newport Corporation, 2151). The

entire process-LED control and autonomous demodulation of the 473 nm and 405 nm

fluorescence signals-was managed by an RZ5P acquisition system (Tucker-Davis Technologies;

TDT) with a built-in real-time processor.

For behavioral correlation, object exploration during the Novel Object Location (NOL) task was

defined as the mouse approaching, sniffing, or touching an object. Furthermore, a simplified

version of the Morris Water Maze (MWM) task was adapted for compatibility with photometric

recordings (Qin et al., 2018). All acquired photometry data were processed using the pMAT

open-source software suite (Bruno et al., 2021). The 473 nm signal, representing GCaMP activity,

was normalized by fitting the 405 nm isosbestic signal to generate a motion-artifact-corrected

ΔF/F trace according to the standard formula: ΔF/F = (473 nm signal - fitted 405 nm signal) /

fitted 405 nm signal.

Anatomy and histology

All animals were anesthetized with sodium pentobarbital and were perfused with

phosphate-buffered saline and fixed with paraformaldehyde solution. Then, mouse's brain was

detached and submerged into 4 % PFA solution for fixations at 4 °C in the refrigerator. The brains

were sectioned into 40 mm-thick slices via the vibratome machine. The prepared brain sections

were counter-stained with DAPI (1:10000) and mounted onto grass slides with 70% glycerol in

PBS to observe the AAV expression and fiber track. Finally, the coverslips were used to cover the

brain slices and sealed with adhesive glue.

For the histological procedure, brain slices were washed with 0.01 M PBS and blocked with

blocking solution (15 % goat serum mixed with 0.4 % Triton X-100) at room temperature for 2-3

h. Then, the primary antibody was prepared and incubated with brain slices in 24-well cell culture

plates overnight at 4 °C. Then, brain slices were washed by 0.01 M PBS and coupled to a

secondary antibody at room temperature for 2-3 h. Next, brain slices were washed with PBS and

were stained with DAPI. Finally, fluorescence image of brain slices was captured by using a

Nikon Eclipse fluorescence microscope and a Nikon A1HD25 confocal microscope.

Statistical analysis

All statistical analyses (including two sample t-test, and two-way mixed ANOVA) were done in

SPSS (IBM, USA). Statistical significance was set at $p < 0.05$.

Author contributions: Conceptualization: H.F; Methodology: H.F; Investigation: H.F and A.B;

Writing: H.F. and S.T.B.

Conflict of Interest: The authors declare that they have no competing interests.

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Figure Legends

Figure 1. The distribution profile of CCK-positive neurons in the dorsal hippocampus.

(A) Schematic flowchart of mating strategy to obtain CCK Cre/Ai14 mice.

(B) Fluorescence image of the dorsal hippocampus slice of CCK Cre/Ai14 stained with neuron marker (NeuN, rabbit anti-488). Scale bar: 1000 μm.

(C) CCK positive neurons were double labelled by tdTomato (Red) and rabbit anti-488 (GFP) in the area CA1 and CA3. Scale bar: 50 μm.

(D) Quantification of CCK+ neurons in the CA1 area and CA3 area.

(E) Example of co-immunofluorescent staining of CCK positive neurons with excitatory neuronal marker (CamKIIα) in CA3 area. Scale bar: 100 μm.

(F) Fluorescence image of the colocalization between CCK protein (Pro-CCK) and excitatory marker in CA3 area. Scale bar: 1000 μm.

(G) Schematic diagram of virus injection. AAV-EF1α-DIO-EYFP (6.5 E+12 vg/ml, 50 nl) was injected into CA1 area of CCK Cre mice.

(H) Representative image of AAV injection in the hippocampal CA1 (left, scale bar: 1000 μM). Detailed view of retrogradely labeled neurons in area CA3 (right, scale bar: 100 μm).

(I) Representative image showing the colocalization between the Cre-dependent retro AAV (gfp) and CamKIIα (rabbit anti-589: red). Scale bar: 100 μm.

(J) Co-immunofluorescent staining of GFP with the excitatory neuronal marker CamKIIα in area CA3 of CCK Cre mice.

Figure 2. Excitatory CA3 neurons secret the neuropeptide CCK.

(A)   Schematic diagram of virus injection. AAV9-DIO-CamKIIα-mCherry (5.0 E+12 vg/ml, 250 nl) was injected

into CA3 area of CCK Cre mice.

(B)   Viral expression in the hippocampal CA3 area and its projections in the CA1 area, scale bar: 1000 µm.

(C)   A magnified view of the square area (1; scale bar, 50 µm).

(D)   Schematic drawing of the brain slice recording in the hippocampus.

(E)   Representative L-fEPSP of CCK+ SC projections by light stimulation before and after application of

CNQX+ APV. Scale, 0.2 mV by 10 msec.

(F)   Quantitative analysis of the glutamatergic transmission in CA3^{CCK}-CA1 projections.

(G)   Schematic showing the neurological principle of the CCK sensor. CCK ligand binds the sensor and induces a

change in fluorescence of CCK sensor.

(H)   Schematic diagram showing injection of AAV9-hSyn-CCK sensor 2.3 (5.75E + 12 vg/ ml, 200 nl) and

AAV9-CamKIIα-DIO-ChrimsonR-mCherry (5.0 E + 12 vg/ ml, 250 nl) into the CA3 and CA1 area. followed by

laser stimulation and photometry recording.

(I)   CCK sensor expression in the CA1 area around fiber tip, scale bar: 1000 µm, and ChrimsonR expressing

CA3-CA1 projectionsof CCK-Cre mice.

(J)   Magnified images showing the stimulation site and recording site.

(K)   Hypothetical model depicting the neuronal activity-dependent CCK release from CA3 pre-synapse in the

CA1 area.

(L)   The heatmap results showing the Ca^{2+} response in each mice after the L-TBS in GFP group (upper; N= 3, n =

6 trials) and CCK-sensor group (lower; N= 3, n = 6 trials).

(M)   Averaged fluorescence increases in response to optogenetic stimulation (635 nm L-TBS; $N = 3$

animals, $n = 6$ trials for each group).

(N)   Quantification of averaged fluorescence in the CCK-Cre mice (averaged the Δ F/F after L-TBS within 3 s).

*$p < 0.05$, **$p < 0.01$, ***$p < 0.001$; ns not significant. Data are reported as mean ± SEM.

Figure 3. CA3^{CCK} neurons fire actively during hippocampal-dependent tasks

(A)   Schema of the novel location task and Morris water maze task.

(B)   Viral injection location in the CA3 area, and labeled CCK positive neurons in the CA3 of the CCK Cre mice.

AAV9-CaMKIIa-DIO-GCaMP6s (5.00E+12 vg/ ml, 300 nl); Scale bar: 1000 µm and 100 µm.

(C)   The mice displayed significantly more interactions with the novel placed object than with the familiar object.

(D)   Heatmap shows the Δ *F/F* average traces from a single subject in the training and testing phase. N= 6 mice.

(E)   The Δ *F/F* average traces from all subject animals (N = 6), aligned to the time of object interaction.

(F)   Mean GCaMP6s signals of the CA3^{CCK} neurons during bouts of object exploration in training and testing

trials (average 1.5 seconds data after the indicated events).

(G)   Schematic drawing depicts the experimental setup for Ca^{2+} recording during the MWM task.

(H)   Plot of the Δ *F/F* (black) and their corresponding escape latencies (red) during the MWM task.

(I)   Heatmap and mean GCaMP6s signals of the original-trained state (trial 1).

(J)   Heatmap and mean GCaMP6s signals of the well-trained state (trial 9).

(K)   Summary of the Δ *F/F* between the trial 1 and trial 9 (average 10 seconds data after the indicated events).

*$p < 0.05$, **$p < 0.01$, ***$p < 0.001$; ns, not significant. Data are reported as mean ± SEM.

Figure 4. Chemogenetic inhibition of the excitatory CA3^{CCK}-CA1 pathway impairs behavioral tasks.

(A) Schematic of Cre-dependent color-switch labeling in the CA3-CA1 projections of CCK-Cre mice (AAV9-CaMKIIa-DIO-hM4D(Gi)-mCherry, 6.50 + 12 vg/mL, 300 nl; AAV9-hSyn-DIO-mCherry, 6.50 + 12 vg/mL; 300 nl).

(B) Viral expression with the cannula track in the area CA1, scale bar, 1,000 μm. Magnified image is shown on the right (scale bar, 100 μm).

(C) Schematic of the Morris water maze (MWM) task. The hidden platform is placed in the southwestern (SW) site (quadrant 3).

(D) Quantitative data analysis for swimming speed of two groups of mice in locating the platform during the visible platform task.

(E) Quantitative data analysis for swimming time of two groups of mice in locating the platform during the visible platform task.

(F) Escape latency of two groups of mice during training phases of the MWM.

(G) Swimming traces of two groups of mice in the spatial probe trial of memory retention test.

(H) The proportion of total time of two groups of mice spent in each quadrant.

(I) Protocol of the novel object location task. Details is depicted in the method section.

(J) The total exploration time for either object shows no significant statistical difference.

(K) Controls (Only expressing mCherry) exhibited obviously more interactions with the novel placed object than with the familiar object compared to the HM4D(Gi) group.

(L) HM4D(Gi) group scored significantly less on the discrimination ratio compared to controls.

$^*p < 0.05$, $^{**}p < 0.01$, $^{***}p < 0.001$; ns, not significant. Data are reported as mean ± SEM.

Figure 5. Chemogenetic inhibition of the excitatory CA3^{CCK}-CA1 pathway impairs LTP formation.

(A) Schematic diagram of virus injection. AAV9-CaMKIIa-DIO-hM4D(Gi)-mCherry (5.0 E+12 vg/ml, 300 nl) or AAV9-Syn-DIO-mCherry (5.0 E+12 vg/ml, 300 nl) was injected into CA3 area of CCK Cre mice.

(B) Left: viral expression in the hippocampal CA3 area and its projections in the CA1 area, scale bar: 1000 μM; Right: a magnified view of the square area (1; scale bar, 100 μm).

(C) Schematic drawing of the brain slice recording in the hippocampus.

(D) CNO infusion caused a remarkable decline in E-fEPSP from the HM4D(Gi) expressing slices compared to controls (mCherry). Scale, 0.2 mV by 10 msec.

(E) Quantitative data analysis for the E-fEPSP between the experimental group and controls.

(F) The protocol of electrical theta burst stimulation (E-TBS) for eliciting LTP.

(G) LTP was attenuated in the HM4D(Gi) expressing slices compared to controls (mCherry). Scale, 0.2 mV by 10 ms.

(H) Quantitative data analysis for the E-fEPSP between the experimental group and controls.

$^*p < 0.05$, $^{**}p < 0.01$, $^{***}p < 0.001$; ns, not significant. Data are reported as mean ± SEM.

Figure 6. RNA interference of excitatory CA3^{CCK} expression attenuates hippocampal functions.

(A) Schematic diagram of virus injection. AAV9-CaMKIIa-DIO-(mCherry-bGH pA-U6)-shRNA-CCK (5.0 E+12 vg/ml, 300 nl) or AAV9-CaMKIIa-DIO-(mCherry-bGH pA-U6)-shRNA-Scramble (5.0 E+12 vg/ml, 300 nl) was injected into area CA3 of CCK Cre mice.

(B) Left: viral expression in the hippocampal CA3 and its projections in the CA1 area, scale bar: 1000 μM; Right: a magnified view of the square area (1; scale bar, 100 μm).

(C) Quantification of the CCK mRNA expression in the CA3 of CCK Cre mice that infected with anti-CCK or anti-scramble shRNA.

(D)   Schematic drawing of the brain slice recording in the hippocampus.

(E)   Quantitative data analysis for swimming speed of two groups of mice in locating the platform during the
visible platform task.

(F)   Quantitative data analysis for swimming time of two groups of mice in locating the platform during the
visible platform task.

(G)   Escape latency of two groups of mice during training phases of the MWM.

(H)   Swimming traces of two groups of mice in the spatial probe trial of memory retention test.

(I)   The proportion of total time of two groups of mice spent in each quadrant.

(J)   Protocol of the novel object location task. Details is depicted in the method section.

(K)   The total exploration time for either object shows no significant statistical difference.

(L)   Controls (anti-scramble) exhibited obviously more interactions with the novel placed object than with the
familiar object compared to the anti-CCK group.

(M)   Anti-CCK group scored significantly less on the discrimination ratio compared to the anti-scramble group.

(N)   LTP was attenuated in the anti-CCK expressing slices compared to controls (anti-scramble). Scale, 0.2 mV
by 10 ms.

(O)   Quantitative data analysis for the E-fEPSP between the experimental group and controls.

$^{*}p < 0.05$, $^{**}p < 0.01$, $^{***}p < 0.001$; ns, not significant. Data are reported as mean $\pm$ SEM.

Figure 7. Summary of the neural effects

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

Neuroplasticity

APMA

Glu

CA3^CCK terminal

CCK

CCK-Rs

CA1 neuron

Modulates

CA3^CCK neuron

Regulates

Behavioral tasks

Figure 7