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# Aberrant cohesin function in *Saccharomyces cerevisiae* activates Mcd1 degradation to promote cell lethality

Running title: A cohesin surveillance mechanism

Gurvir Singh[1] and Robert V. Skibbens[1]*.

[1]Department of Biological Sciences, Lehigh University, 111 Research Drive, Bethlehem, Pennsylvania, 18015. United States of America.

* Corresponding author: Robert V. Skibbens, rvs3@lehigh.edu

# ABSTRACT

The cohesin complex is composed of core ring proteins (Smc1, Smc3 and Mcd1) and associated factors (Pds5, Scc3, and Rad61) that bind via Mcd1. Extrusion (looping from within a single DNA molecule) and cohesion (the tethering together of two different DNA molecules) underlie the many roles that cohesins play in chromosome segregation, gene transcription, DNA repair, chromosome condensation, replication fork progression, and genome organization. While cohesin functions flank the activities of critical cell checkpoints (including spindle assembly and DNA damage checkpoints), the extent to which checkpoints directly target cohesins, in response to aberrant cohesin function, remains unknown. Based on prior evidence that cells mutated for cohesin contain reduced Mcd1 protein, we tested whether loss of Mcd1 is based simply on cohesin instability or integrity. The results show that Mcd1 loss persists even in *rad61* cells, which contain elevated levels of stable chromosome-bound cohesins, and also in *scc2-4*, which do not affect cohesin complex integrity. In fact, re-elevating Mcd1 levels suppresses the temperature-sensitive growth defects of all cohesin alleles tested, revealing that Mcd1 loss is a fundamental mechanism through which cohesins are inactivated to promote cell lethality. Our findings further reveal that cells that exhibit aberrant cohesin function employ E3 ligases (such as San1) to target Mcd1 for degradation. This mechanism of degradation appears unique in that Mcd1 is reduced during S phase, when Mcd1 levels typically peak and despite a dramatic upregulation in *MCD1* transcription. We infer from these latter findings that cells contain a negative feedback mechanism used to maintain Mcd1 homeostasis.

# AUTHOR SUMMARY

Cohesins are central to almost all aspects of DNA regulation (chromosome segregation, gene transcription, DNA repair, chromosome condensation, replication fork progression, and genomic organization). Cohesin also play key roles in cell checkpoints: cohesin mutations activate the spindle assembly checkpoint while double strand DNA breaks can elicit a new round of cohesin establishment. In the current study, we provide evidence for a novel cohesin surveillance system that employs E3 ligases that directly target Mcd1, a core component of the cohesin ring structure, for degradation. We further describe a feedback mechanism through which cells dramatically induce *MCD1* transcription to maintain Mcd1 homeostasis. Finally, we provide evidence that requires the re-evaluation of phenotypes associated with other cohesin gene mutations.

# INTRODUCTION

The identification of checkpoints has produced significant clinical advances over the last half century (1,2). After the discovery that the tumor suppressor *TP53* gene is mutated in more than 50% of all cancers, advances from both basic science and clinical settings led to new strategies through which p53 can be re-activated, mutated p53 degraded, or synthetic lethal mechanisms to eliminate cancer cells (3–7). Similarly, highly proliferative cancer cells are disproportionally sensitive to spindle assembly checkpoint inhibitors, compared to non-tumorigenic cells (8–10). Often, factors that exhibit multiple functions, or reside at the nexus of critical pathways, are monitored by surveillance mechanisms and provide important avenues to improve human health.

Cohesins are ATPase protein complexes composed of a core ring (Smc1, Smc3 and Mcd1/Scc1, herein Mcd1) and associated factors (Rad61, Pds5 and Scc3/Irr1, herein Scc3) that bind via Mcd1 (11–17). Cohesins are central to almost all aspects of DNA regulation (chromosome segregation, gene transcription, DNA repair, chromosome condensation, replication fork progression, and genomic organization) (11,12,14,18–32). Underlying this complex output of roles are two very distinct cohesin functions: extrusion (looping from within a single DNA molecule) and cohesion (the tethering together of two different DNA molecules) (11,12,21,22,25–27). Mutations in cohesins that affect DNA looping can give rise to severe developmental abnormalities such as Cornelia De Lange Syndrome (CdLS) and Roberts Syndrome (RBS). These multifaceted maladies often include intellectual disabilities, hearing loss, microcephaly, phocomelia and abnormalities in the heart and gastrointestinal tract (33–39). Mutations that impact

tethering give rise to aneuploidy - a hallmark of cancer cells (40–42). Recent evidence

indeed suggests that cancer cells rely on elevated cohesin activity for survival (43–47).

It is well established that cohesin functions flank critical cell checkpoints. For

instance, cohesin mutations that abolish sister chromatid cohesion (tethering) activate

the spindle assembly checkpoint (48–50), consistent with classic micromanipulation and

laser ablation studies that cells monitor chromosome biorientation, and thus tension,

produced across the two mitotic half-spindles (51–56). In contrast, double strand DNA

breaks activate ATM/ATR and CHK1 kinases that elicit a *de novo* round of cohesin

deposition and cohesion establishment both at sites of damage and genome-wide

(18,24,57–63). The remarkable involvement of cohesins in cell cycle checkpoints, both

to maintain euploidy and promote error-free DNA damage repair, underscores their

central role in maintaining genome integrity.

In this study, we present evidence that cohesins are a direct target of a

surveillance system that may cull aneuploid or transcriptionally aberrant cells from a

normal population. The current study was motivated, in part, by prior observations that

cohesin mutations result in a significant reduction of Mcd1 protein (64–67). Importantly,

the significance of Mcd1 reduction, and mechanisms involved, remained undetermined.

Here we show that Mcd1 reduction in cohesin mutant cells is not a benign product of

cohesin mutations but significantly contributes to their lethality. Importantly, the loss of

Mcd1 occurs independent of defects in either cohesin complex stability or integrity.

Finally, we show that cohesin dysfunction activates a surveillance system that employs

E3 ligases and the proteasome to specifically target Mcd1 for degradation.

# RESULTS

**Cohesin dysfunction activates a novel mechanism to reduce Mdc1**

The majority of cohesin-mutated cells tested to date (*smc1-259*, *smc3-42*, *pds5-1*, *pds5Δ elg1Δ*, and *eco1Δ rad61Δ*) contain significantly reduced levels of Mcd1 protein (64–67). Eco1/Ctf7 (herein Eco1) is an acetyltransferase that targets Smc3 to promote stable cohesin-DNA association (48,66,68–71). Rad61 dissociates cohesin from DNA such that *rad61Δ* cells retain elevated levels of stably-bound cohesins (15,72–79). Given the opposing activities of Eco1 (cohesin stabilization,(48,66)) and Rad61 (cohesin dissociation (15)), we hypothesized that *rad61Δ* cells should retain wildtype levels of Mcd1 and suppress the loss of Mcd1 in *eco1* mutated cells. To test these predictions, log phase cultures of wildtype, *rad61Δ*, *eco1-203*, and *eco1Δ rad61Δ* cells were arrested in early S phase (hydroxyurea, HU) prior to shifting to 37°C (Fig 1A). Surprisingly, Western blot quantifications of the resulting extracts revealed that Mcd1 levels are reduced not only in *eco1* temperature-sensitive (ts) strains, but also significantly reduced in *rad61Δ* cells (Figs 1B, 1C). Nor did the deletion of *RAD61* provide any benefit to *eco1Δ* cells with respect to Mcd1 levels (Figs 1B, 1C). These results suggest that Mcd1 is reduced by a mechanism that is independent of cohesin complex stability.

Given the results above, it became important to test whether the loss of Mcd1, in the absence of cohesin function, is unique or whether other cohesin complex components are similarly reduced? To address this, we examined Pds5 protein levels in *eco1Δ rad61Δ* cells - a factor that relies on Mcd1 for cohesin-association (13,14). As above, log phase cultures of wildtype and *eco1Δ rad61Δ* cells were arrested in early S

phase (HU) prior to shifting to 37°C. Extracts of the resulting cells were then assessed

by Western blot for Pds5 levels. The results indicate that, even though Mcd1 protein is

indeed reduced, there is no statistical significance between the level of Pds5 protein

across wildtype and *eco1Δ rad61Δ* cells (S1 Fig A,B,C). Thus, Mcd1 reduction appears

to be a specific feature of cells deficient for cohesin function.

The apparent unique reduction of Mcd1 in *eco1Δ rad61Δ*, *rad61Δ*, and *eco1-1*

cells, all of which retain structurally intact cohesin complexes, is remarkable. It thus

became important to further test the extent to which Mcd1 levels might be reduced in

cells deficient in Scc2 function. Scc2 is essential for cohesin loading but, as neither a

core nor auxiliary component, plays no role in cohesin complex assembly or integrity

(80–83). Log phase cultures of wild-type and *scc2-4* cells were arrested in S phase

(HU) for 2 hours at 23°C (permissive temperature) and then shifted to 37°C for 1 hour

(Fig 2A). Extracts of the resulting cultures were assessed by Western blot to quantify

Mcd1 protein levels. The results reveal that Mcd1 is significantly reduced in *scc2-4*

mutant cells, compared to wild-type cells (Fig 2B, 2C). We infer from these findings that

a novel surveillance system exists that monitors for aberrant cohesin function, rather

than cohesin structure, to regulate Mcd1 protein levels.

**A negative feedback loop regulates *MCD1* expression**

The near ubiquitous reduction of Mcd1 in cohesin-mutated cells prompted us to

uncover the underlying molecular mechanism. Mcd1 is unique among cohesin subunits

in that it is the only core subunit that is degraded at anaphase onset (to allow for sister

chromatid segregation), and then transcribed starting at the G1/S transition, each and

every cell cycle (11,66,84). Previous findings documented a complex transcriptional

network that regulates *MCD1* expression (65). It thus became important to test the

extent to which *MCD1* transcription is reduced in the *eco1Δ rad61Δ* cells. Log phase

wildtype and *eco1Δ rad61Δ* cells were arrested in early S phase (HU) (Fig 3A) - a point

in the cell cycle at which *MCD1* expression and Mcd1 protein levels both peak in

wildtype cells, but in which Mcd1 protein levels are significantly reduced in *eco1Δ*

*rad61Δ* cells (11,65). We confirmed that Mcd1 protein levels were significantly reduced

in the same *eco1Δ rad61Δ* cultures (Fig 3B, 3C) that we used to test for changes in

*MCD1* transcription. In contrast to the model in which *MCD1* transcription is decreased,

quantification of qRT-PCR revealed that *MCD1* transcript levels are instead significantly

increased (~5.5 fold) in *eco1Δ rad61Δ* cells compared to wildtype cells (Fig 3D). These

results indicate that the loss of Mcd1 protein in *eco1Δ rad61Δ* cells is not dependent on

reduced *MCD1* transcription. Moreover, our findings reveal a compensatory feedback

mechanism in which cells increase *MCD1* transcription in response to decreased Mcd1

protein levels.

**Identification of an E3 ligase mechanism that promotes Mcd1**

**degradation**

Having excluded a transcription-based mechanism, it became important to test

the extent to which the reduction in Mcd1 protein level occurs through degradation.

Esp1, a caspase-type protease, cleaves Mcd1 during anaphase onset (65,84–86). If

Esp1 cleaves Mcd1 in response to reduced cohesin function, then Mcd1 levels should

increase in cells that harbor *ESP1* mutations. To formally test this hypothesis, *eco1Δ*

*rad61Δ* cells and *esp1-1* cells were mated and the resulting diploids sporulated and

dissected. Log phase cultures of the resulting strains were then tested for changes in

temperature-sensitive growth defects. Surprisingly, reduced Esp1 activity failed to

suppress *eco1Δ rad61Δ* cells ts growth defects and in fact further exacerbated the

growth defects (S2 Fig A, B). We further note the 83 kDa and 48 KDa fragments

produced by Esp1-dependent degradation of Mcd1 (87,88) are largely absent in our

Western blots of cells that harbor cohesin defects (Figs 1B, 2B, 6B). These findings

largely negate a scenario in which Esp1 is targeting Mcd1 in response to deficits in

cohesin function and further support prior evidence that Esp1 activity is predominantly

limited to anaphase onset (84,86).

Protein ubiquitination, through E3 ligases, play key roles in numerous cellular

activities that include degradation (89,90). Thus, we focused on E3 ligases as a

mechanism to reduce Mcd1 protein levels, a model supported evidence that Mcd1 is

ubiquitinated (91,92). The E3 ligases that target Mcd1, however, remain unidentified.

Thus, we generated *de novo* a candidate list based on genetic or physical interactions

reported in either BioGrid or SGD (*Saccharomyces* Genome Database) across the

various cohesins subunits (93–96). These efforts resulted in a list of six candidates

(Bre1, Bul2, Ldb19, Ubr1, Das1, and San1), all of which are encoded by non-essential

genes. These E3 ligases respond to various cell challenges such as oxidative stress

(Bre1), heat-shock responses (Bul2), starvation (Ldb19), N-end rule degradation (Ubr1),

cell cycle progression (Das1), and nuclear aggregates (San1) (SGD). We reasoned that

if any one of the E3 ligases are in part responsible for ubiquitinating Mcd1, then their

deletion should suppress *eco1Δ rad61Δ* cell ts growth defects. To test this model, each

of the E3 ligase genes (*BRE1*, *BUL2*, *LDB19*, *UBR1, DAS1* and *SAN1*) were individually

deleted from wildtype and *eco1Δ rad61Δ* cells. Log phase cultures of the resulting

transformants were serially diluted onto rich medium plates and incubated at either

30°C or 37°C, temperatures respectively permissive and non-permissive for *eco1Δ*

*rad61Δ* cell growth (Fig 4). Deletion of *BUL2* or *UBR1* had no impact on either wildtype

or *eco1Δ rad61Δ* cells at either temperature (Fig 4A, 4D). Deletion of *BRE1* exhibited an

adverse effect on both wildtype and *eco1Δ rad61Δ* cells (Fig 4B), consistent with a prior

report that *bre1Δ* cells exhibit genomic instability (97). Compared to the adverse but

non-specific impact of *BRE1* deletion, deletion of *LDB19* produced a severe negative

impact specific to *eco1Δ rad61Δ* cells (Fig 4C). In contrast to the results above, deletion

of *SAN1*, and to a lesser extent *DAS1*, suppressed the ts growth defects otherwise

exhibited by *eco1Δ rad61Δ* cells (Fig 4E, 4F).

The findings above suggest that the E3 ligase San1 plays a critical role in Mcd1

degradation. If correct, then *eco1Δ rad61Δ* cells further deleted of *SAN1* should retain

higher levels of Mcd1, compared to *eco1Δ rad61Δ* cells. To directly test this prediction,

log phase cultures of *eco1Δ rad61Δ* cells and *eco1Δ rad61Δ san1Δ* cells were arrested

in S phase (HU) and the resulting extracts assessed by Western blot (Fig 5A, 5B). The

results document that Mcd1 protein levels are significantly increased (~36%, based on 3

biological replicates) in *eco1Δ rad61Δ* cells that are further deleted of *SAN1* (Fig 5C). In

summary, our genetic findings that deletion of *SAN1* from *eco1Δ rad61Δ* cells partially

suppresses the ts phenotype, combined with biochemical studies that *SAN1* absence

leads to an increase of Mcd1 levels, provide strong support for the model that cohesin

dysfunction activates E3 ubiquitin ligase-mediated degradation of Mcd1. However,

because *SAN1* deletion only partially rescues the *eco1Δ rad61Δ* phenotype, additional

E3 ligases involved in Mcd1 turnover are likely involved.

**The proteasome degrades Mcd1 in *eco1 rad61* cells during mitosis**

The above findings are noteworthy both for documenting reduced Mcd1 levels

during S phase (when Mcd1 protein and *MCD1* expression levels peak during the cell

cycle) and for the identification of an E3 ligase pathway that targets Mcd1 for

degradation. Together, these results raise the possibility that cells respond to cohesin

dysfunction by promoting Mcd1 degradation via the proteosome, potentially in a cell

cycle manner. To test this prediction, *PDR5*, which encodes a drug efflux pump, was

deleted in *eco1Δ rad61Δ* cells to allow for the retention of MG-132 - a proteasome

inhibitor (98). We first tested whether proteosome inhibition might result in the retention

of Mcd1 during S phase. Log phase cultures of *eco1Δ rad61Δ pdr5Δ* triple mutant cells

were arrested in S phase for 2 hours, followed by treatment with MG-132 or DMSO

(control) in the presence of hydroxyurea (S3 Fig A). Remarkably, changes in Mcd1

protein levels remained similar across cells incubated in either DMSO or MG-132 (S3

Fig B,C), suggesting that Mcd1 degradation occurred elsewhere in the cell cycle. Since

Mcd1 is essential during M phase, and minimally produced in G1 (11), we assessed the

extent to which Mcd1 degradation occurs prior to anaphase onset.  Log phase cultures

of *eco1Δ rad61Δ pdr5Δ* triple mutant cells were incubated in media that contains

nocodazole (to synchronize cells preanaphase) along with either MG-132 (proteosome

inhibitor) or a DMSO control. The progression of cells from log-phase growth to a pre-

anaphase arrest was monitored by flow cytometry (Fig 6A). Extracts of the resulting

cultures were then assessed by Western blot (Fig 6B). Quantification of the resulting

blots reveal that Mcd1 levels are significantly elevated (nearly 3 fold) in cells incubated

in the presence of MG-132, compared to the DMSO control (Fig 6C). Together, these

results reveal that degradation occurs prior to anapahase onset, when Mcd1 is essential

for sister chromatid cohesion, through a post-ubiquitination process performed by the

proteosome. The extent to which Mcd1 is similarly degraded in G1 or G2 phases

remains to be determined in future studies.

**_MCD1_ overexpression rescues the inviabilty of cohesin mutated cells**

The reduction in Mcd1 protein levels is an attribute common to all cohesin

mutated cells tested to date (64–67, current study). This near ubiquitous reduction

prompted us to ask the following question: are ts growth defects due to the mutated

cohesin alleles (ie. Mcd1 loss is a downstream consequence of cohesin inactivation, but

otherwise unimportant) or due to the reduction in Mcd1? To differentiate between these

two possibilities, we tested the extent to which elevated expression of _MCD1_ could

suppress the lethality of cells that harbor ts mutations in other cohesin genes. Wildtype,

_eco1-1_, _scc3-6_, _smc3-42_, and _smc1-259_ cells were each transformed with either vector

alone or vector that drives elevated expression of _MCD1_. Log phase cultures of the

resulting transformants were then serially diluted onto selective media plates and

incubated across a range of temperatures. As expected, elevated _MCD1_ expression

had no effect on the growth of wildtype cells at the temperatures tested. In contrast,

overexpression of _MCD1_ suppressed the ts growth defects in all five cohesin ts alleles

(Fig 7) - in some cases up to 37°C. We further found that elevated _MCD1_ expression

suppressed the ts growth defect of *scc2-4* mutant cells, cells that contain wildtype

alleles of each cohesin gene subunit (Fig 7E). Importantly, the suppression of growth

defects is unique to *MCD1*: overexpression of *ECO1* failed to rescue the ts growth

defects of *smc3-42*, *smc1-259*, *mcd1-73*, and *pds5-3* cells (99) and overexpression of

*PDS5* failed to rescue the ts growth defects of *eco1Δ rad61Δ* (Fig S1D). Nor did the

deletion of *RAD61* (which is required for *eco1Δ* cell viability (70,73,100)), rescue the

viability of *smc3-42* or *mcd1-1* mutant strains (101). These results provide evidence that

the targeted loss of Mcd1 significantly contributes to the lethality of cohesin-mutated

cells, and also confound prior interpretations of the severity of phenotypes attributed

solely to those ts alleles.

**Loss of Mcd1 differentially impacts cohesion and condensation**

Above, we established loss of Mcd1 as a key driver of most, if not all, cohesin-

mutated strain lethalities. It next became important to test the extent to which Mcd1 loss

exacerbated cohesin functions. Wildtype and *smc1-259* strains were genetically

modified to contain either an rDNA condensation marker (Net1-GFP) or a cohesion

assay cassette (tetO and TetR-GFP) (11,12,14,76,78,102,103). The modified strains

were then transformed with a high-copy vector alone or vector that drives elevated

expression of *MCD1*. Log phase cultures of the resulting transformants were arrested in

G1 (alpha factor, αF) and then released into 34°C (non-permissive for *smc1-259* cells)

rich medium that contains nocodazole (NZ) to arrest cells in preanaphase. DNA content

(flow cytometry) and cell morphologies were monitored at various stages of both

experiments (Figs 8A, 9A).

Notably, *smc1-259* mutant cells have not been previously assessed for

condensation defects. Here, we exploited the well-established analysis of rDNA

chromatin architecture using Ne1-GFP (11,14,76,78,104).  In wildtype cells, rDNA

converts from a decondensed puff-like structure during G1 into tight loops (occasionally

observed as bars) during mitosis (105). As expected, wildtype cells arrested in

preanaphase exhibited well-defined rDNA loops, indicative of robust chromosome

condensation (Fig 8B, 8C). In contrast, only 21% of *smc1-259* cells contained tight

loops such that the majority of cells exhibited defects in rDNA condensation (Fig 8B,

8C). These results extend prior findings regarding the condensation defects exhibited by

other cohesin mutated strains (11,32,48,75,101,106,107). Elevated expression of *MCD1*

had no observable impact on rDNA structure in wildtype cells. Surprisingly, *MCD1*

expression produced only a modest increase (38%, compared to 21% in the vector

control) in the percent of *smc1-259* cells that contained condensed rDNA loops (Fig 8C).

Given prior evidence that suppression of condensation defects underlies the improved

viability of cohesin-mutated cells (108), we decided to further investigate the

condensation of rDNA structure. Mutated cells that did not contain tight and well-defined

rDNA loops were parsed into two categories: puff-like (fully decondensed) and in which

some structure was apparent within the rDNA mass (partial decondensation). Focusing

on the more severe of the two phenotypes, *smc1-259* cells that contained vector alone

were strongly biased toward the frequency of puffs (~65% puffs compared to 12%

partial condensed) (Fig 8D, S4 Fig). *smc1-259* cells in which *MCD1* was over

expressed, however, contained a significant decrease in the frequency of puffs (25%,

down from 65% for vector alone) (Fig 8D). In combination, these findings reveal that the

loss of Mcd1 significantly contributes to the condensation defects that might otherwise

be attributed to the *smc1-259* allele.

Next, we assessed the effect of reduced Mcd1 on sister chromatid cohesion. In

wildtype cells, the combination of GFP-tetR/tetO, which marks each sister chromatid,

document close tethering such that both loci appear as a single dot. In cohesin mutated

cells, separated sisters are readily detected as two dots (12).  Elevated expression of

*MCD1* in wildtype cells resulted in normal frequencies of tethered sister chromatids (1

dot/nucleus), similar to vector alone (Fig 9 B,C). *smc1-259* cells that contained vector

alone exhibited a high (60%) frequency of 2 dots/nucleus (Fig 9 B,C), consistent with

prior studies and the frequency of cohesion defects observed in other cohesin mutated

cells (11,48,80,101,106,108). Notably, elevated expression of *MCD1* significantly

restored sister chromatid tethering in *smc1-259* cells, with only 35% (compared to 60%

vector control) of cells exhibiting cohesion defects. In combination, the above findings

reveal a surprisingly biased role for Mcd1 in promoting cohesion with a more nuanced

role in promoting chromosome condensation.

## 319   DISCUSSION

The cohesin component Mcd1, which caps the ATPase domains of Smc1 and

Smc3 to form the core cohesin complex, is greatly reduced in cells that harbor

mutations in nearly every cohesin gene tested to date (64–67). A priori, a simple

explanation is that cohesin gene mutations destabilize the cohesin ring and, in some

unknown fashion, promote the loss of the non-mutated Mcd1 protein. The first revelation

of the current study is that Mcd1 levels are also significantly reduced in cells mutated for

regulatory mechanisms in which the cohesin complexes remain intact. For example,

cells that are fully absent of *ECO1* and *RAD61* cells exhibit reduced Mcd1. Strikingly,

Mcd1 is significantly reduced even in *rad61Δ* cells in which cohesins appear hyper-

stabilized, exhibit extended DNA-associations, and are fully functional to condense

chromosomes and extrude DNA loops (15,65,72,73,75–79,109,109). Mcd1 is also

reduced in *scc2-4* cells, which exhibit cohesin loading defects, but have no known

defect in either cohesin complex assembly or integrity (80–83). These findings, coupled

with the recognition that it is wildtype Mcd1 protein that is reduced, not a mutated

version, are inconsistent with a simple instability or integrity model.  Intriguingly,

reduction of RAD21, the human ortholog of Mcd1, appears to be a conserved feature of

cells that harbor cohesin gene mutations (110–114), but the significance of either Mcd1

or RAD21 reduction remained largely unrecognized. In summary, our current study

suggests that cohesin function is strongly regulated through Mcd1/RAD21 stability, and

that manipulating its levels may have significant implications in cohesinopatheis and

cancer research.

The second set of findings reported here impact all prior analyses of cohesin

mutated strains. Previously, phenotypes exhibited by mutated cohesin strains were

interpreted to reflect protein inactivation/misfolding of the particular gene under study.

Instead, we find substantial suppression of the ts growth defects that occur in cohesin

mutated cells (*smc1-259*, *smc3-42*, *scc3-6*, *scc2-4* and *eco1[ctf7-203]*) simply by re-

elevating Mcd1 levels. Moreover, our results differentiate Mcd1 roles in cohesion and

condensation: re-elevating Mcd1 levels produced an overtly increased suppression of

cohesin defects, compared to condensation defects, in *smc1-259* cells. We infer from

these findings two key principles. First, that Mcd1-dependent restoration of cohesion

(and to a lesser extent condensation) primarily accounts for the decrease in

temperature-sensitive growth of *smc1-259* cells. Second, that Smc1 appears to exert a

more significant role in condensation (and possibly less a significant role in cohesion)

than previously reported. In combination, these findings suggest that a re-evaluation of

phenotypic severity, previously attributed to cohesin gene mutations, is warranted. More

broadly, studies that characterize phenotypes for a mutated component within a

complex should be coupled with analyses regarding the persistence of the remaining

subunits.

The third set of findings that emerge from the current study is the identification of

the pathway through which Mcd1 is degraded. In unperturbed cells, Mcd1 is degraded

at anaphase onset by APC-dependent activation of Esp1 (86,115–117). Here, our

findings largely negate a role for an Esp1-dependent mechanism and instead document

for the first time that E3 ligases (San1 and Das1) and the proteosome perform

unanticipated roles in Mcd1 degradation. Importantly, Mcd1 levels are significantly

decreased in both S and M phases in response to cohesin dysfunction. While the

proteosome plays a key role in Mcd1 degradation during mitosis (preanaphase onset),

proteosome inhibition failed to restore Mcd1 levels during S phase despite roughly a 5-

fold increase in *MCD1* transcription. These findings expose a critical gap in knowledge

regarding the cell cycle phase of E3 ligase activation that is required to reduce Mcd1

during S phase. Moreover, the E3 ligase responsible for Mcd1/RAD21 degradation

remain largely unidentified. Future studies aimed at defining this regulatory layer will not

only advance our understanding of cohesin regulation but may also provide means to

modulate cohesin function through Mcd1 stability, offering new avenues for cohesin-

targeted cancer therapies.

Another revelation of the current study relates to the mechanism through which

yeast cells appear to achieve homeostatic levels of Mcd1. Subsequent to degradation at

anaphase onset, cells initiate a new round of *MCD1* transcription at the G1/S transition

that results in Mcd1 protein levels that peak during S phase (11). Our results suggest

that Mcd1 protein may negatively regulate its own expression such that E3-ligase

degradation of Mcd1 (in response to cohesin deficiency) results in a dramatic

upregulation in *MCD1* expression during S phase that is well above that of wildtype

cells. Given reduced Mcd1 levels exhibited by cohesin-defective cells, degradation

appears to be heavily favored over the increase (~5-fold) in transcription, suggesting

that the E3 ligases that promote Mcd1 degradation are likely significantly upregulated.

The identification of surveillance mechanisms that target Mcd1/RAD21 may

significantly impact studies of both human development and cancer progression.

However, a limitation of the current study is the lack of a molecular description of how

cells detect cohesin dysfunction and, in response, activate Mcd1 degradation. Of

several possibilities, cohesion defects that result in the retention of an activated spindle

assembly checkpoint may promote the targeting of Mcd1 in mitotic cells or cells that

progress into G1. Another possibility is that cohesin-dependent transcriptional defects

impact the expression of any number of genes, for example those that encode for Mcd1,

E3 ligase inhibitors, or factors that promote cohesin function. More recent evidence

suggests that cyclin-dependent kinases (CDKs) also play a critical role in Mcd1

regulation. For instance, deletion of  the G1 cyclin, *CLN2*, rescues both *eco1Δ rad61Δ*

cell ts growth defects and *pds5Δ elg1Δ* lethality - in both cases by increasing Mcd1

levels (64,77). These findings raise additional possibilities, including that 1) Cln2-CDK

modifies cohesin directly in order to recruit E3 ligases to Mcd1, 2) Cln2-CDK

targets/activates E3 ligases (such as San1) to degrade Mcd1, or 3) cohesin represses

in some manner the transcription of *CLN2* that otherwise acts to limit *MCD1*

transcription. Exploring the pathways through which signals, that arise from cohesin

defects, are relayed to E3 ligases constitutes the next important advancement in our

understanding of cohesin biology.

Finally, Mcd1 targeting appears to extend beyond cells that exhibit cohesin

defects. For instance, exposing cells to reactive oxygen species also triggers Mcd1

degradation via an apoptotic pathway (118–121). The conserved nature of a

surveillance mechanism that targets Mcd1 is supported by findings that RAD21

(homolog of Mcd1) is degraded by caspases 3 and 7 during apoptotic responses

(118,122,123). Together, these results suggest that inactivating cohesin functions

through Mcd1/RAD21 degradation (through E3 ligases or caspases) may represent an

evolutionarily conserved mechanism for promoting cell death. Conversely, cells that

evade this surveillance system may obtain proliferative benefits. In support of this

model, elevated expression of almost every cohesin subunit (RAD21, SMC1A, SMC3,

STAG1, PDS5, WAPL) and cohesin regulator (NIPBL, MAU2) appears to be oncogenic

and present in a wide range of cancer cells (44,45,47). In fact, 30 of 33 different cancer

types were recently shown to express elevated levels of ESCO2 (46). RAD21, however,

is the most frequently amplified cohesin in non-small-cell lung cancer, breast cancer

cells, cervical cancer, ovarian cancer, oral cancer and prostate cancer (40,124–131).

How cancer cells circumvent the transcriptional and degradational mechanisms that

normally regulate RAD21 levels remains unclear, but reducing RAD21 levels in cancer

cells appears effective in reducing cell proliferation and/or inducing apoptosis

(124,125,130,131). These findings, in combination with our current study, highlight the

potential for targeting Mcd1, the master regulator of cohesin function, as a novel

therapeutic strategy across multiple cancer types.

# MATERIALS AND METHODS

**Yeast strains, media, and growth conditions**: All strains (see S1 Table for

strain genotypes) were grown on YPD-rich media unless placed on selective medium to

facilitate plasmid transformation/retention or spore identification (132). Cells that

required inhibition of the proteasome, were treated with 75µM MG-132

(MedChemExpress Cat No. HY-13259) and a control of DMSO.

**Strain generation**: Primers used to delete genes (*BUL2*, *BRE1*, *LDB19*, *DAS1*

and *SAN1*) and verify proper integration are listed in S2 Table. GFP-tagging Net1, to

include either *kanMX6* or *TRP1* markers, are previously described (133). The cohesion

assay strains used in this study (YGS333, YGS334, YGS321, YGS323) were generated

by crossing *smc1-259* (YBS3168/ K6013) with wildtype cells that harbor the cohesion

assay cassette (*tetO:URA3 tetR-GFP:LEU2 Pds1-Myc:TRP1*) (YBS1042) (103,134).

The resulting diploid (YGS301) was sporulated and dissected to obtain *smc1-259*

*tetO:URA3 tetR-GFP:LEU2* cells and wildtype cassette strain *tetO:URA3 tetR-*

*GFP:LEU2*.

Wildtype and cohesin mutant cells overexpressing vector were transformed with

either pRS424 plasmid (2µ *TRP1*) or pRS425 (2µ *LEU*) and cells overexpressing *MCD1*

were transformed either with pRS425 (2µ *LEU*) or pGS35 (2µ *LEU2 MCD1*) (65). See

S1 Table for resulting strain names and genotypes.

**Western Blots**: Cell numbers for each log phase strain were normalized to 2

$OD_{600}$. Whole cell protein extracts were prepared as described in (135) with minor

modifications. Cells were mechanically lysed (Bead-beater, BioSpec) in 17% TCA with

regular intermittent cooling on ice. The beads were washed two times in 500µL of 5%

TCA and the two lysates combined and centrifuged at 15,000 rpm for 20 min at 4°C with

subsequent solubilization in 3% SDS and 0.25 M Tris-base buffer. Western blotting and

protein detection using the anti-Mcd1 and anti-Pds5 antibodies (generous gift from Dr.

Vincent Guacci and the lab of Doug Koshland), anti-PGK1 (Invitrogen), Goat anti-Mouse

HRP (BIO-RAD) or Goat anti-Rabbit HRP (BIO-RAD), were performed as previously

described (65). Protein band intensities (obtained by ChemiDoc MP) were quantified

using Image J. Significance was determined by a two-tailed test as described in

legends.

**RNA extraction and qRT-PCR:** Cell numbers from log phase cultures were

normalized to 2 $OD_{600}$ , pelleted by centrifugation and frozen in liquid nitrogen. Cells

were lysed mechanically using a bead-beater (BioSpec) for 8 min with intermittent

cooling on ice. RNA was extracted and purified using the RNeasy Mini Kit (Qiagen) per

manufacturer's instructions and quantified using a nanodrop (Thermo Scientific,

NanoDrop One[C]). Normalized RNAs were treated with Turbo DNase (Ambion) and then

reverse transcribed using SupercriptIII (Invitrogen). Quantitative Real -Time (qRT) PCR

was performed in triplicates using the Rotor-Gene SYBR Green PCR kit (Cat. No.

204074) and $C_T$ values measured using the Rotor Gene (Corbett). $C_T$ values of *MCD1*

and internal control ALG9 were averaged and the fold change in *MCD1* expression

determined using the $2^{-\Delta\Delta Ct}$ method (136).

**Condensation and Cohesion Assays**: Cohesion and condensation assays

were performed as previously described (102) with the following modifications. Log

phase cells were grown in selective media, followed by pre-synchronized in G1 (alpha

factor) for 3 hr at 23°C in YPD- rich media. The resulting cultures were harvested,

washed 2 times and then shifted to 37°C for 3 hr in fresh media supplemented with

nocodazole. Cell aliquots of the resulting preanaphase arrested cells were fixed at room

temperature in paraformaldehyde to a final concentration of 3.7%. Cells were assayed

using an E800 light microscope (Nikon) equipped with a cooled CD camera

(Coolsnapfx, Photometrics) and imaging software (IPLab, Scanalytics).

**Flow Cytometry and Cell Cycle Progression**: Log phase cultures were

normalized ($OD_{600}$) and synchronized at specific cell stages using the following

treatments: early S phase with 0.2 M Hydroxyurea (SIGMA, H8627), G1 phase with 3

µM alpha factor (ZYMO RESEARCH, Y1001), M phase with 20 µg/ml of nocodazole

(SIGMA, M1404). Log phase growth and proper cell cycle arrest were confirmed by flow

cytometry as previously described (102,109).

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**FIGURE LEGENDS**

**Fig 1. Mcd1 protein levels are reduced in *eco1-203* and *rad61Δ* mutated cells.** (A)

Flow cytometry data of DNA contents for wildtype (YPH499), *eco1-203* (YBS514), *rad61Δ*

(YMM808) and *eco1Δ rad61Δ* (YBS829) mutant cells. Log phase cultures were synchronized in

S phase at their respective permissive temperatures, 23°C for *eco1-203* and 30°C for wildtype

*eco1Δ rad61Δ* and *rad61Δ* mutant cells, then shifted to 37°C for 1 hr. (B) Representative

Western Blot of Mcd1 (top panel) and Pgk1 (lower panel) protein obtained from extracts of HU-

synchronized wildtype, *eco1-203*, *rad61Δ* and *eco1Δ rad61Δ* mutant cells indicated in (A). *

indicates non-specific band. (C) Quantification of Mcd1, normalized to Pgk1 loading controls.

Statistical analysis was performed using a two-tailed *t*-test. Statistical differences (*) are based

on a *P* < 0.05 obtained across three experiments (n=3). Error bars indicate the standard

deviation.

**Fig 2. Mcd1 protein levels are reduced in *scc2-4* mutated cells.** (A) Flow cytometry

data of DNA content for log phase of wildtype (YBS2031/KT046) and *scc2-4* cells

(YBS2033/KT048) arrested in S phase at 23°C for 2 hours and shifted to 37°C for 1 hour. (B)

Western Blot of Mcd1 (top panel) and Pgk1 (lower panel) protein obtained from extracts of HU-

synchronized cells indicated in (A). * indicates non-specific band. (C) Quantification of Mcd1,

normalized to Pgk1 loading controls. Statistical analysis was performed using a two-tailed *t*-test.

Statistical differences (*) are based on a *P* < 0.05 obtained across three experiments (n=3).

Error bars indicate the standard deviation.

**Fig 3. *MCD1* mRNA expression is increased in *eco1Δ rad61Δ* double mutant cells.**

(A) Flow cytometry data of DNA content for log phase wildtype (YPH499) and *eco1Δ rad61Δ*

(YBS829) double mutant cells arrested in S phase at 30°C for 3 hrs. (B) Representative

Western Blot of Mcd1 (top panel) and Pgk1 (lower panel) protein obtained from extracts of HU-

synchronized wildtype and *eco1Δ rad61Δ* double mutant cells indicated in (A). (C) Quantification

of Mcd1, normalized to Pgk1 loading controls. Statistical analysis was performed using a two-

tailed *t*-test. Statistical differences (**) are based on a *P* < 0.01 obtained across four

experiments (n=4). Error bars indicate the standard deviation. (D) Quantification of *MCD1*

mRNA fold change normalized to the expression of the housekeeping gene *ALG9*. Statistical

analysis was performed using a two-tailed *t*-test. Statistical differences (*) are based on a *P* <

0.05 obtained across four experiments (n=4). Error bars indicate the standard deviation.

**Fig 4. Deletion of Ubiquitin E3 ligases *SAN1* and *DAS1* suppress the growth**

**defects of *eco1Δ rad61Δ* double mutant cells.** (A) Growth of 10-fold serial dilutions of

wildtype (YPH499), *bul2Δ* (YGS277), *eco1Δ rad61Δ* (YBS829) and two independent isolates of

*eco1Δ rad61Δ bul2Δ* triple mutant cells (YGS279, YGS280);  (B) wildtype (YPH499), *bre1Δ*

(YGS309), *eco1Δ rad61Δ* (YBS829) and two independent isolates of *eco1Δ rad61Δ bre1Δ* triple

mutant cells (YGS292, YGS293); (C) wildtype (YPH499), *ldb19Δ* (YGS281), *eco1Δ rad61Δ*

(YBS829) and two independent isolates of *eco1Δ rad61Δ ldb19Δ* triple mutant cells (YGS282,

YGS283); (D) wildtype (YPH499), *ubr1Δ* (YGS352), *eco1Δ rad61Δ* (YBS828) and two

independent isolates of *eco1Δ rad61Δ ubr1Δ* triple mutant cells (YGS354, YGS355). (E)

wildtype (YPH499), *san1Δ* (YGS284), *eco1Δ rad61Δ* (YBS829) and two independent isolates of

*eco1Δ rad61Δ san1Δ* triple mutant cells (YGS286, YGS287); and (F) wildtype (YPH499), *das1Δ*

(YGS288), *eco1Δ rad61Δ* (YBS829) and two independent isolates of *eco1Δ rad61Δ das1Δ* triple

mutant cells (YGS290, YGS291). Temperature and days of growth are indicated.

**Fig 5. San1 promotes Mcd1 degradation in the *eco1Δ rad61Δ* double mutant cells.**

(A) Flow cytometry data of DNA content for log phase of *eco1Δ rad61Δ* (YBS829) double mutant

cells and 3 biological replicates of *eco1Δ rad61Δ san1Δ* (YGS290) arrested in S phase at 30°C

for 3 hrs. (B) Western Blot of Mcd1 (top panel) and Pgk1 (lower panel) protein obtained from

extracts of HU-synchronized cells indicated in (A). * indicates non-specific band. (C)

Quantification of Mcd1, normalized to Pgk1 loading controls. Statistical analysis was performed

using a one sample t-test, two tailed. Statistical differences (**) are based on a $P \leq 0.01$

obtained across three biological replicates (n=3). Error bars indicate the standard deviation.

**Fig 6. Addition of MG-132 in M phase arrested cells elevates Mcd1 levels.** (A) Flow

cytometry data of DNA content for log phase of *eco1Δ rad61Δ pdr5Δ* cells (YGS377) arrested in

M phase with nocodazole at 30°C for 3 hours in the presence of DMSO (control) or MG-132

proteosome inhibitor. (B) Representative western Blot of Mcd1 (top panel) and Pgk1 (lower

panel) protein obtained from extracts of M phase synchronized cells indicated in (A). * indicates

non-specific band. (C) Quantification of Mcd1, normalized to Pgk1 loading controls. Statistical

analysis was performed using a two-tailed *t*-test. Statistical differences (\*) are based on a *P* <

0.05 obtained across four experiments (n=4). Error bars indicate the standard deviation.

**Fig 7. Increased Mcd1 levels partially rescue the growth defects of cohesin**

**mutated cells.** Growth of 10-fold serial dilutions of cells (strains indicated below) that contains

either 2μ vector (pRS424) or 2μ vector that contains *MCD1* (pBS1476). Two independent

isolates are shown of mutated strains that express elevated levels of *MCD1*. Cell strains are as

follows for (A-E): wildtype (YGS209, YBS4558, YGS216), *smc3-42* (YGS229), *smc1-259*

(YGS211), *scc3-6* (YBS4568), *eco1^ctf7^-203* (YGS329), and *scc2-4* (YGS218) all contain vector

alone.  Wildtype (YGS210, YBS4562, YGS217), *smc3-42* (YGS230, YGS231), *smc1-259*

(YGS212, YGS213), *scc3-6* (YBS4569), *eco1^ctf7^-203* (YGS330, YGS331), and *scc2-4* (YGS219,

YGS220) all contain elevated *MCD1* expression. Temperature and days of growth are indicated.

Strain genotypes are provided in S1 Table.

**Fig 8. Increased Mcd1 protein levels suppress *smc1-259* cell condensation**

**defects.** (A) Flow cytometry data of DNA content for log phase cells pre-synchronized in G1

phase at 23°C, then shifted to 34°C (the non-permissive temperature of *smc1-259*) in

nocodazole. Genotypes of wildtype (YGS335, YGS337) and *smc1-259* mutated (YGS338,

YGS341) cells that contain either 2μ vector (pRS424) or 2μ vector that contains *MCD1* are

provided in S1 Table. (B) Representative micrographs of rDNA detected by Net1-GFP. DNA is

detected by DAPI staining. (C) The percentage of cells with condensed rDNA is plotted. At least

120 nuclei were scored per genotype. Statistical analysis was performed using a two-tailed *t*-

test. Statistical differences (\*\*) are based on a *P* < 0.01 obtained across two experiments (n=2).

ns indicates not significantly different. Error bars indicate the standard deviation. (D) The

uncondensed rDNA structures for all strains were further classified as either fully decondensed

"puffs" or partially decondensed "partial". Statistical analysis was performed using a two-tailed *t*-

test. Statistical differences (**) are based on a *P* < 0.01, and (***) are based on a *P* < 0.001

obtained across two experiments (n=2). Error bars indicate the standard deviation.

**Fig 9. Increased Mcd1 levels significantly suppress sister chromatid cohesion**

**defects in *smc1-259* cells.** (A) Flow cytometry data of DNA content as described in Fig 8. (B)

Representative micrographs of GFP dots (markers of sister chromatid cohesion) in cell

treatments as described in Fig 8. Genotypes of wildtype (YGS333, YGS334) and *smc1-259*

mutated (YGS321, YGS323) cells modified to contain both cohesion cassettes and either 2μ

vector (pRS424) or 2μ vector that contains *MCD1* are provided in S1 Table. (C) The percentage

of cells in which sisters are separated (two GFP spots indicated of a sister chromatid cohesion

defect) is plotted. At least 120 cells were scored for each genotype. Statistical analysis was

performed using a two-tailed *t*-test. Statistical differences (ns) are based on a P > 0.05, (*) are

based on a *P* < 0.05 and (**) are based on a *P* < 0.01 obtained across two experiments (n=2).

Error bars indicate the standard deviation.

**S1 Fig. Pds5 protein levels are maintained in the *eco1Δ rad61Δ* mutated cells, and**

***PDS5* overexpression does not rescue *eco1Δ rad61Δ* cells growth defects.** (A) Flow

cytometry data of DNA content for log phase wildtype (YPH499) and *eco1Δ rad61Δ* (YBS828)

double mutant cells arrested in S phase at 30°C for 3 hrs. (B) Representative Western Blot of

Mcd1 (top panel) and Pds5 (middle) and Pgk1 (lower panel) protein obtained from extracts of

HU-synchronized wildtype and *eco1Δ rad61Δ* mutant cells indicated in (A). * indicates non-

specific band. (C) Quantification of Pds5, normalized to Pgk1 loading controls. Statistical

analysis was performed using a two-tailed *t*-test. Statistical differences (ns) are based on a *P* >

0.05 obtained across three experiments (n=3). Error bars indicate the standard deviation. (D)

Growth of 10-fold serial dilutions of wildtype cells overexpressing vector alone (YBS4067) or

overexpressing *PDS5* (YBS4071) and *eco1Δ rad61Δ* overexpressing vector alone (YBS4069) or

overexpressing *PDS5* (YBS4075, YBS4076).

**S2 Fig. Mutation of *ESP1* fails to suppress the growth defects of *eco1Δ rad61Δ***

**double mutant cells.** (A) Schematic key for the following strains: wildtype (YPH499), *eco1Δ*

*rad61Δ esp1-1* (YBS4864*), esp1-1* (YBS4862, YBS4863)*, eco1Δ rad61Δ* (YBS4860, YBS4861).

(B) Streak assay for the strains indicated in (A) at 23°C and 34°C.

**S3 Fig. Addition of MG-132 in S phase arrested cells fails to increase Mcd1 levels.**

(A) Flow cytometry data of DNA content for log phase of *eco1Δ rad61Δ pdr5Δ* arrested in S

phase at 30°C for 2 hours, then treated with DMSO (control) or MG-132 in the continued

presence of HU for an additional 2 hours. (B) Western Blot of Mcd1 (top panel) and Pgk1 (lower

panel) protein obtained from extracts of HU-synchronized cells in DMSO or MG132 indicated in

(A). "A" and "B" indicate independent biological replicates (YGS375, YGS377). * indicates non-

specific band. (C) Quantification of Mcd1, normalized to Pgk1 loading controls. Statistical

analysis was performed using a two-tailed *t*-test. Statistical differences (ns) are based on a *P* >

0.05 obtained across two biological replicates (n=2). Error bars indicate the standard deviation.

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**S4 Fig. Zoomed-in micrographs showing the effects of *MCD1* overexpression on**

**sister chromatid cohesion and rDNA condensation in *smc1-259* cells.** (A) Enlarged

micrographs from Fig 8B. Top panel: wildtype cells overexpressing vector alone. Middle panel:

*smc1-259* cells overexpressing vector alone. Bottom panel: *smc1-259* cells overexpressing

*MCD1*. Yellow arrow indicates a condensed, puff, or partially condensed rDNA (see Fig 8

legend). (B) Enlarged micrographs from Fig 9B. Top panel: wildtype cells overexpressing vector

alone. Middle panel: *smc1-259* cells overexpressing vector alone. Bottom panel: *smc1-259* cells

overexpressing *MCD1* (see Fig 9 legend).

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1016    **S1 Table. Strains used in this study.**

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1018    **S2 Table. Oligonucleotides used in this study.**

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