Title: Brawn before bite in endemic Asian mammals after the end-Cretaceous extinction

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Summary: The first 10 million years (Myr) following the Cretaceous-Paleogene (K-Pg) mass extinction marked a period of global greenhouse conditions and dramatic rise of placental mammals. Because ~80% of known terrestrial sections capturing post-K-Pg mammal recovery come from North America, a substantial knowledge gap exists in the tempo and mode of recovery in Asia, where only 3% of global sites are located and most contain species found nowhere else. We show that isolated Paleocene placental assemblages from China (1) exhibited high mean tooth size and disparity early in the Paleocene, (2) shifted in their dental shape in parallel with regional and global environmental changes later in the Paleocene, and (3) achieved maximum dental shape-performance covariation near the end of the first 10 Myr post-K-Pg. This 'brawn before

28   bite' transformation, coupled with prolonged dental shape versus performance variability,

29   favors a scenario whereby many living orders of placental mammals were borne out of

30   phenotypically and functionally plastic ancestral assemblages, including those in tropical

31   south China, during the Paleocene.

Main Text:

The Cretaceous-Paleogene (K-Pg) mass extinctions accelerated the formation of modern-day global biota. In particular, the more than 6,000 species of living placental mammals trace their origins to the diversification of major orders around or after the K-Pg boundary [1–3]. Different hypotheses (for example: early rise, suppression, and late rise) about the timing of their adaptive radiation have been proposed [1,4,5]. Regardless of the diversification scenarios favored by the competing explanations, the Paleocene epoch (66-56 Myr ago) has been highlighted as among the most critical time interval for establishing the macroevolutionary parameters of the placental adaptive radiation [6,7] (but see [5]). However, substantial asymmetry exists in the quantity and quality of terrestrial fossil records that document the first 10 Myr of the 'Age of Mammals', with ~80% of known terrestrial K-Pg boundary sections worldwide occurring in North America [8]. Earliest Paleocene mammals are not known from Europe [9,10], rendering detailed reconstructions of post-K-Pg recovery in that continent difficult. The post-K-Pg fossil assemblages from Asia have hitherto not been considered in analyses of post-K-Pg recovery dynamics [11].

The North American fossil record suggests that post-K-Pg mammal taxonomic diversity recovery was relatively rapid; most occurred within the first ~0.3 to 1 Myr of the Paleocene epoch [12–17]. This initial placental mammal diversification was driven by archaic groups (i.e., stem placental/eutherian lineages and extinct placental subgroups), followed by the first appearance of many modern orders during two peak hyperthermal events in the past 66 Myr, at the end-Paleocene and early Eocene climatic maxima, respectively [18,19].

Distinct from these taxonomic recovery patterns, high selectivity of mammalian ecomorphological extinction across the K-Pg boundary indicates a primary productivity filter at the start of the Cenozoic Era (66 Myr ago to the present) [20]. Additionally, the first 10 Myr of mammalian brain evolution after the K-Pg was marked by niche partitioning along a size gradient; endocranial traits reflecting more complex sensory processing did not appear until the Eocene (56 Myr ago). This phenomenon has been termed the 'brawn before brains' hypothesis [21]. A similar pattern in initial size-driven diversification followed by expansion of ecomorphological disparity is also observed in mammal jaws, indicating

a general dynamic across phenotypic systems [22]. Whether this mode of evolution,

whereby size disparity increase precedes other ecomorphological traits, should be

understood as a global phenomenon during the post-K-Pg placental radiation remains

untested, in large part because no such analyses have centered on non-North American

continental fossil mammal records.

A major challenge with expanding analyses of post K-Pg recovery to Paleocene

mammal assemblages elsewhere in the world is the stratigraphically limited nature of

early Cenozoic sequences that produce fossil mammals. In Asia, Paleocene localities in

China represent the best studied to date [11]. From the earliest Paleocene, highly regional

and endemic faunas are known from a handful of sedimentary basins (Fig. S1A). Among

the recorded faunal elements, only the archaic placental clades Anagalida and

Pantodonta are consistently sampled across the major subdivisions of the Paleocene [11].

An additional complication with ecomorphological analysis of these early mammals is the

uncertainty in their dietary ecology, as they are beyond the reach of conventional

phylogenetic bracketing approaches to dietary reconstruction. Phenomic analysis of the

placental radiation supports insectivory as the ancestral diet of the hypothetical placental

ancestor, but uncertainty in the post K-Pg availability of insects and plants in some regions

leave some doubt as to the accuracy and scope of this ancestral state reconstruction [1].

Herein we treat the archaic Paleocene taxa in our analyses as having uncharacterized

diets rather than categorizing them as insectivores, herbivores, or carnivores.

We investigated dental topography-performance shifts and timing of

ecomorphological diversification by developing and leveraging the largest dataset to date

of Paleocene Asian mammal assemblages. Our analyses focused on placental mammals

from three of the most fossiliferous and biogeographically isolated Paleocene

sedimentary sequences in paleotropical Asia: The Nanxiong, Qianshan, and Chijiang

Basins in present-day south China [23–27] (Fig. S1). We generated a new phenotypic

dataset of 200 Asian Paleocene mammal teeth using high-resolution microcomputed

tomography and laser scanning, capturing 37 species endemic to low-latitude east Asia

and which are brought to bear on K-Pg recovery dynamics for the first time (Data S1-S2).

Teeth are among the most well-preserved parts of fossil mammals, and the fact that they

interface directly with the environment through mastication makes them suitable elements

for studying potential ecology-morphology linkages. We used dental topographical traits

~~dentitions~~ as ~~ecological~~ indicators of ecomorphological diversity [28] and examined

temporal shifts in tooth crown complexity, curvature, and height. We additionally assessed

the association of topographical traits with tooth crown mechanical performance in terms

of deformation resistance using topographic and simulation analyses. Our dataset spans

the Paleocene, the first 10 Myr of the Cenozoic, enabling us to test the hypothesis that

dental topography and tooth puncturing and shearing performance linkages showed

delayed niche expansion relative to mean body size and size disparity during this initial

period of post-K-Pg mammal recovery in Asia.

Dental traits paralleled Paleocene global and regional environmental conditions

We found that dental topographic trait variability in Paleocene mammals in south

China tracked global and regional climatic changes despite stasis in high-level taxonomic

composition over the course of the first 10 Myr after the K-Pg transition (Fig. 1). Dental

height and sharpness variability were low in the beginning and end of the time interval,

with a peak in the middle Paleocene. This pattern is observed both when dentitions are

considered as pooled samples through time, and particularly driven by the lower dentition

(Fig. S5; note that upper teeth display the opposite pattern). In contrast, elevated low-

level taxonomic turnover of genera and species within the Paleocene indicates

cladogenetic shifts, rather than anagenetic adaptation, underpin this dental topographic

evolution (Data S1)[11]. These findings suggest that in addition to its impact on crown group

mammals, the K-Pg extinctions and subsequent climatic fluctuations played a role in

filtering out archaic placental mammals with lower speciation rates in favor of rapidly

speciating taxa [29].

By contrast, there is no support for significant shifts in dental topographic trait

mean values from the early to the middle Paleocene for the majority of analytical iterations

of clade and dental data partitions (Figs 1A, S4; Table 1; Data S7). However, in most

analyses we observed a significant shift in at least one dental topographic metric from the

middle to the late Paleocene (Table 1; Data S7). The larger-bodied Chinese endemic

pantodont (an ungulate-like archaic placental group; "CEP") mammals tend to increase

dental complexity (OPCR, orientation patch count rotated) and curvature (DNE, Dirichlet

normal energy) whereas smaller, non-pantodonts (Chinese arctostylopids and anagalids;

rodent-like archaic placental groups) in the dataset exhibited no significant dental traits

shifts from the middle to late Paleocene (Fig. S4; Data S7). The transformation by CEPs

in complexity and curvature indices relates to the capacity of teeth to resist wear and

coincides with temperature and aridity increase towards the end-Paleocene thermal

maximum event (Figs. 1-2). Multiple geological and geochemical proxies suggest that

paleoclimate in and around the Nanxiong Basin K-Pg section in south China reflects a

latitudinally much broader global tropical zone during the Paleocene [30] relative to present-

day Earth, as well as rapid shifts between more versus less humid intervals during the

first 10 Myr of the Cenozoic [31]. Results from feldspar-quartz (F:Q) ratios, clay mineral

composition analysis, diffuse reflectance spectroscopy (DRS), stable carbon isotope

analysis, and total organic carbon (TOC) analysis all support this regional paleoclimate

profile [31,32]. Local climate reconstructions for the latest Cretaceous indicate relatively

warm and dry intervals, followed by warm and humid climates during the earlier

Paleocene, then a return to less humid but still warm conditions in the later Paleocene [32].

Chinese endemic pantodont dentitions tracked shifts in this paleoenvironmental

progression.

The overall increase in dental complexity and curvature also coincided with an

increase in drought-tolerant flora in south China (Fig. 2) and specifically with

paleoenvironmental reconstructions in the Nanxiong Basin [33]. Palynological evidence

suggests that in addition to a predominance of broad-leaved, deciduous plants mixed with

a smaller percentage of conifers than in fossil localities further north [34], south China also

recorded drought resistant taxa such as the maidenhair fern *Pterisisporites* in the early

Paleocene Shanghu formation [35] and again in late Paleocene samples [36]. Expanded

comparisons across time and space suggest fossil pollen taxa that are indicators of

seasonal aridity increased from 20.3% of late Paleocene pollen samples to 34.3% of early

Eocene pollen samples [36]. These shifts appeared to have stabilized by the end of the

Eocene, when taxonomically modern floras became established in Asia [37]. In addition to

tracking Paleocene temperature trends (Fig. 1), Asian mammal dental topography (OPCR

and DNE in particular) also mimicked trends in the marine realm, where global planktonic

foraminifera records demonstrate a similar pattern in species richness curve, as well as

with global $\delta^{13}C$ [38,39] and south Pacific CO_2 levels [40]. These broader associations

underscore the inference that endemic Paleocene mammals in south China ~~composed~~

comprised a dynamic assemblage shifting their dental morphology in step with regional

and global environmental changes during the first 10 Myr after the K-Pg mass extinctions.

We detected a shift and expansion of mammal dental morphospace in the late

Paleocene (Fig. 2). An overall shift towards increased dental topographic trait magnitudes

in late Paleocene samples is driven mainly by CEPs (Table 1), despite the fact that they

constitute a minority of the overall data (41% of teeth) as well as late Paleocene partition

(21% of teeth). Additionally, dental metric disparity is significantly higher in the late

Paleocene partition than in the two preceding time bins (Table 1). This pattern is driven

both by increased dental curvature and complexity (DNE & OPCR; 78-100% support in

per-tooth analyses, Fig. S5) in larger-bodied CEPs and crown height (RFI) in smaller-

bodied non-pantodonts, in addition to differences in disparity among the clades (Data S7,

Fig. S5; bootstrapped variance for DNE, OPCR, and RFI are at least twice as large in

non-pantodonts compared to CEPs, and bootstrapped range lengths returned similar

patterns). This suggests that an expansion of dental disparity in the late Paleocene

occurred across the size spectrum of endemic Asian mammals. Over the same time

interval examined, body (tooth) size disparity and mean were higher in the early

Paleocene than in subsequent time intervals (Fig. S8, Table S3; also supported by

premolar 4 and upper molar partition analyses), indicating that substantial increases in

the disparity of dental complexity, curvature, and height lagged behind tooth size during

the Paleocene. Dog-sized CEPs such as *Bemalambda* reached sizes not seen in late

Cretaceous mammals from China such as *Zhangolestes* and *Kryptobaatar*, which are

shrew- to gopher-sized [41]. This suggests a 'brawn before bite' pattern in endemic Asian

mammals, partially mirroring the endocranial and jaw functional morphology patterns

identified in their North American and European counterparts [21,22]. These findings raise

the possibility that an initial size-driven post-K-Pg recovery followed by ecomorphological

radiation was a global phenomenon, even as regional tectonic events such as the initial

collision of the Indian subcontinent with Asia and Deccan Traps volcanism influenced local

mammal evolution [42,43].

Topography-performance covariation underlies mammal dental shifts

Within an overall pattern of increasing covariation between dental topographic traits and bite performance traits across the Paleocene time intervals (Fig. 3; Table S1), topographic versus performance trait variability shifted both from early to middle and from middle to late Paleocene time bins (Figs S3-S4). Early Paleocene to middle Paleocene DTA-FEA intra- and inter-correlations remained stable (Fig. 3B), but DTA-FEA inter-correlations strengthened while intra-DTA correlations weakened in the Middle to Late Paleocene transition (Fig. 3C). This transition pattern is in part due to the divergence of shape-performance linkages in CEP versus non-pantodont mammals, and is particularly driven by the upper and lower first molars (Figs. S9-S11). Dental topography variability tracked bite performance variability in non-pantodonts through time, peaking in the middle Paleocene (Fig S4D). By contrast, both intra- and inter-partition comparisons of topography and performance trends in CEPs showed low correlations through time (Fig. S4G). The coexistence of distinct topography-performance relationships in each time and taxon partition while overall covariation between the two trait groups increases between time bins is consistent with form-function decoupling [44]. Complex form-function linkages generally promote evolutionary redundancy and can enhance optimization of phenotypic traits when selective trade-offs are present [44–46]. The presence of functional redundancies underlies the high levels of dental topographic variability in Asian Paleocene mammals. Alternatively, varying degrees of independence between the two performance traits and dental topographic traits analyzed could allow the two aspects of the dentition to evolve in a decoupled manner (Fig. 3).

South Asia as a Paleogene 'Garden of Eden' for placental mammals?

Among the most consequential implications of accurately interpreting post-K-Pg mammalian recovery dynamics in Asia is the ability to reconstruct the evolutionary conditions during the biogeographic origination of modern placental orders. Current knowledge and an overwhelming majority of data about the first post-dinosaur mammal ecological communities center on North American localities [12,47], and secondarily from European records [48]. Yet, the fossil records of those continents pinpoint a high turnover of mammal faunas at the Paleocene-Eocene boundary, driven by the

Cretaceous/Paleocene origination of major modern mammal clades elsewhere and
subsequent dispersal of those lineages to the European and North American continents,
respectively. At least five living orders (Primates, Rodentia, Lagomorpha, Perissodactyla,
Eulipotyphla), representing over half of mammalian species richness today, trace their
evolutionary origins to Asia [18,49–51]. There is also mounting evidence that other organisms,
including fish and plant lineages, followed a similar biogeographic pattern [52].

The abrupt appearance of early representatives of modern mammal lineages in
North America has been formally operationalized by the 'East of Eden' model, wherein
Asia is the 'Garden of Eden' and a biodiversity pump of living orders of placental mammals
[53] and other organisms. Biogeographic modeling analysis of modern mammalian diversity
also strongly identifies tropical south Asia, including the geographic regions occupied
today by southern China, India, and southeast Asian countries, as the cradle of
mammalian diversification since the Paleocene [54]. As geographically proximate faunal
assemblages to the epicenter of the 'Garden of Eden' hypothesis, the endemic Paleocene
mammals of the Asian paleotropics analyzed in this study exhibited a high degree of
dental topographic variability while strengthening topography-performance covariation
during a period of biogeographic isolation and regional and global climate changes. Such
flexibility in dental form-function linkage permits 'mix and match' trait combinations rather
than evolutionary transformation as a single unit, potentially enhancing the evolvability of
feeding ecological traits as new environmental conditions arose [56]. This finding favors the
scenario whereby many living orders of placental mammals were borne out of
phenotypically and functionally plastic ancestral assemblages, including those that lived
in south China, during the Paleocene [55].

We further infer from these findings that episodes of fluctuating global warming
during the first 10 Myr of the Cenozoic promoted the evolution of 'all-purpose' mammalian
dentitions rather than those with specialized functions (Fig. 3). This prolonged dental
topographic variability tracks extended post-K-Pg floral recovery times [36,57], and suggests
a 'brawn before brains' mode of placental mammal evolution may in part represent a
series of correlated evolutionary shifts in sync with the steadily increasing complexity of
Paleocene primary producers [20,37]. That a global signal would be detected in the

splendidly isolated south Asian Paleocene assemblage is notable, and indicates that

effects of climate forcing on post-K-Pg mammal recovery may be ubiquitous, as has been

predicted for Earth's ongoing rapid environmental shifts [58]. In response, Paleocene

mammal clades in south China were relatively larger early on and increased covariation

between dental topography and bite performance later, all the while maintaining high

levels of variability in dental complexity and convexity (Fig. 1). These preconditions set

the stage for the subsequent taxonomic turnover between archaic and crown lineages

during the Paleogene to Neogene modernization of mammal communities [19,26]. As a

primary interface against shifting food resources and the environment, the placental

dentition was poised to play an outsized role in the explosive diversification following the

K-Pg extinctions, with the masticatory complex having already completed the

macroevolutionary transition to a mechanically stiff but phenotypically flexible jaw during

the Mesozoic Era [59,60]. The end-Cretaceous extinctions and the climatic volatility that

followed then set in motion the ecological release that enabled mammals to explore dental

form-function to a far fuller extent in 66 Myr of the Cenozoic Era than during the preceding

150 Myr under the reign of dinosaurs. This sequence of 'brawn before bite' in tooth size,

topographic, and performance evolution further refines the placental success story.

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Resource availability:

-    Requests for further information and resources should be directed to and will be
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-    Code associated with analyses is included with this article.
-    Raw data are available in the supplementary materials.
-    All original fossil specimens are accessioned in the Institute of Vertebrate
Paleontology and Paleoanthropology, Chinese Academy of Sciences.

Supplemental information

Document S1. Figures S1–S11, Tables S1–S3, and supplemental references

Data S1

Data S2

Data S3

Data S4

Data S5

Data S6

Data S7

Data S8

Data S9

Data S10

Figure Legends

Fig. 1. Temperature and fossil mammal dental trait shifts during the first 10 Myr of the Cenozoic. (A) Dental topographic trait values (boxplots) and mean variance (red curves) during the first 10 Myr of the Cenozoic, signifying the time after the K-Pg mass extinctions and before the Paleocene-Eocene hyperthermal event. Global temperature curve based on Zachos et al. [61]. Dental traits measured include crown complexity (OPCR, orientation patch count rotated), curvature (DNE, Dirichlet normal energy), height (RFI, relief index), and slope. (B) Mammal tooth size distributions represented by log 10 square root tooth area, in units of log10 millimeters. (C) Variance of compressive bite performance based on tooth crown finite element simulations, in units of squared Joules. (D) Variance of shear bite performance based on tooth crown finite element simulations, in units of squared Joules. Examples of endemic Asian fossil specimens analyzed: (E) Lateral and ventral views of early Paleocene Chinese endemic pantodont (CEP) *Bemalambda nanhsiungensis* IVPP (Institute of Vertebrate Paleontology and Paleoanthropology, Chinese Academy of Sciences) V4116. (F) Lateral and ventral views of middle Paleocene CEP *Harpyodus decorus* IVPP 5035.1. (G) Lateral and occlusal views of late Paleocene CEP *Guichilambda zhaii* IVPP V12037.2 (dentary) and V12037.3 (maxillary fragment). Firey asteroid symbols indicate the end-Cretaceous asteroid impact in the Yucatán Peninsula; thermometer symbols indicate the Paleocene-Eocene hyperthermal event. Subdivisions of the Paleocene approximately correspond to the Shanghuan, Nongshanian, and Gashatan Asian Land Mammal Ages, respectively (see supplemental text for competing age boundary scenarios).

Fig. 2. Association of paleopalynological data from the Nanxiong Basin, south China, and late Paleocene niche expansion in endemic Asian fossil mammals. (A) Proportion of environmental humidity indicator taxa from early versus late Paleocene paleobotanical localities, respectively, in the Nanxiong Basin; data based on [35,36](Data S12). (B) Boxplots of dental complexity (OPCR, orientation patch count rotated) in the Chinese endemic pantodont (CEP) data partition across the three Paleocene time intervals examined. Note the concomitant increase in CEP tooth complexity (OPCR) and increased proportion of drought-tolerant plant species in the Nanxiong Basin during the late Paleocene. (C) Principal component morphospace of all tooth data analyzed; convex

631 hulls delineate overall morphospace occupation during each time interval. Eigenvectors

632 of the four dental topographic traits are indicated in blue. Late Paleocene shift and

633 expansion in dental topographic morphospace is statistically significant at the p = 0.05

634 level (Table 1). Pantodont silhouette by S. Traver from phylopic.org.

635 **Fig. 3. Correlation plots of dental topographic and bite performance traits in**

636 **endemic Asian Paleocene mammals.** (A) Hypothetical correlation scenarios used to

637 interpret stasis, directional, verses decoupled change through time in specimen data. (B)

638 Pairwise ranked correlation coefficient estimated using Kendall's τ between early and

639 middle Paleocene dental topographic and performance traits in the main dataset. (C)

640 Correlation between middle and late Paleocene traits in the main dataset. (D) Correlation

641 between early and middle Paleocene traits in the Chines endemic pantodont (CEP) data

642 partition. (E) Correlation between middle and late Paleocene traits in the CEP data

643 partition. Topography-performance correlations are marked in red boxes.

644 Decoupled/reversed trait correlations are marked in gray boxes.

All data	Early-Middle Paleocene	Middle-Late Paleocene
DNE	0.7753	**0.003**
OPCR	0.0902	**0.0001**
RFI	0.605	**0.0128**
Slope	0.643	0.287
PCA Disparity	0.4562	**0.0001**
Tooth size	**<0.0001**	**<0.0001**
Chinese Endemic Pantodonts		
DNE	0.9264	**0.0002**
OPCR	0.17	**<0.0001**
RFI	1	1
Slope	1	1
Chinese Arctostylopids and Anagalids		
DNE	0.847	0.135
OPCR	0.47	0.18
RFI	0.2486	**0.0056**
Slope	0.642	0.269

Table 1. Pairwise t test of dental topographic trait and disparity differences across adjacent time bins. Dental topographic trait differences are assessed across time intervals in all-data, Chinese endemic pantodont, and non-pantodont partitions. Dental trait disparity was estimated based on all principal component axes using the outputs of PCA. Tooth size variance differences were calculated from tooth area or square root of tooth area in all-data and no-outlier partitions to assess effect of outliers on statistical significance (see Data S6 for details). Bolded font indicates p values < 0.05.

METHOD DETAILS

We focused sampling on three clades: Chinese endemic Pantodonta, Chinese

Arctostylopida, and Anagalida. Additional data were collected on other clades (e.g.,

Chinese Tillodontia) opportunistically when well-preserved specimens were available

(Data S1). These three main clades together represent >50% of the species found in

Paleocene faunas across China [11]. They also individually represent the most diverse

clades across the three Asian Land Mammal Ages of the Paleocene: Shanghuan,

Nongshanian, and Gashatan. By contrast, these clades are reduced to <25% of species

diversity in Eocene assemblages in China, finally disappearing altogether in the late

Oligocene. Therefore, we take the three clades to be representative of mammal

assemblage dynamics during the Paleocene time interval in China.

Historically, Paleocene fossil mammal faunas in China have been defined based

on biostratigraphic criteria and supplemented by magnetostratigraphic correlations where

available. Here we follow the assessment of [62] in generally correlating the Shanghuan

Asian Land Mammal Age (ALMA) with the Puercan and Torrejonian North American Land

Mammal Ages (NALMAs), the Nongshanian ALMA with early to middle Tiffanian NALMAs,

and the Gashantan ALMA with late Tiffanian and Clarkforkian NALMAs, respectively (see

[11] for an alternative interpretation). Additionally, the Shanghuan and Nongshanian

boundary was interpreted by [25] to be at the top of Chron C27N, which is dated at 60.920

Myr ago in the Geomagnetic Polarity Time Scale [63] but indicated as closer to ~62.3 Myr

ago in [62]. Similarly, the Nongshanian-Gashatan boundary has been variably defined at

59.24 Myr ago [62] or the base of Chron C26N, which is 57.911 Myr ago [63]. In contrast, the

end of the Gashatan coincides with the Paleocene-Eocene boundary at 56 Myr ago and

has been consistently defined as such [62]. Given these existing uncertainties, we use the

terms 'early Paleocene', 'middle Paleocene', and 'late Paleocene' to refer generally to the

Shanghuan, Nongshanian, and Gashatan ALMAs, respectively, in this study.

We analyzed 200 individual teeth from 48 specimens, representing 37 species

(Data S1-S2). The teeth represent 2 upper first premolars, 4 upper second premolars, 15

upper third premolars, 19 upper fourth premolars, 22 upper first molars, 23 upper second

molars, 16 upper third molars, 3 lower first premolars, 5 lower second premolars, 13 lower

third premolars, 17 lower fourth premolars, 20 lower first molars, 22 lower second molars,

and 19 lower third molars. These tooth positions were selected from a broader
examination of ~300 individual teeth from 72 specimens. We vetted the specimens and
excluded 99 tooth positions (~33% of teeth initially chosen for possible inclusion) from our
analyses because they either (1) were partially or completely broken at the crown, (2)
were in an advanced stage of attritional wear where no cusps could be identified, or (3)
possessed a combination of the two aforementioned conditions. The assigned geologic
age of the studied specimens spans the entire Paleocene, binned into early (n = 91 teeth),
middle (n = 46 teeth), and late Paleocene (n = 63 teeth) data partitions. To maximize
sample size while minimizing disturbance to more delicate specimens, a combination of
original specimens and previously produced high-fidelity specimen casts were used in
our sampling of dental crown morphology.

Given the rarity of Paleocene fossil material from China, we combined data from
different tooth positions into three pooled samples, one for each of the time intervals
examined (early, middle, late Paleocene). We treated the pooled samples as
representative of the range of dental topographic features and bite performance traits
available to the mammal taxa under study. In this way, the variance estimates are
interpreted as measures of the morphological and performance heterogeneity present in
each time interval dataset. To further tease out the possibility of specific tooth positions
driving the overall trends observed in the pooled samples, we also performed the DTA,
FEA, DTA-FEA correlation, and tooth size through-time analyses using per-tooth data
partitions.

<u>Tooth shape estimates using Dental Topographic Analysis</u>

We digitized dental crown morphology via microCT either using a GE v|tome|x m
300/180 kV micro-computed-tomography system (GE Measurement & Control Solutions,
Wuntsdorf, Germany), housed at the Institute of Vertebrate Paleontology and
Paleoanthropology (IVPP), Chinese Academy of Sciences (CAS), or a GE Phoenix
Nanotom M in the Functional Anatomy and Vertebrate Evolution Laboratory, University of
California, Berkeley. Projection images (1,000 to 1,500 images depending on specimen
size) were acquired with an isotropic voxel size of 10 to 40 µm, at an energy range of
120-150 kV, current of 100-150 µA. Additionally, surface 3D scans (generated using

IVPP's Artec Space Spider 3D scanner, with a precision of 0.05 mm and resolution of 0.1

mm) were used for larger specimens to efficiently obtain surface morphology data. The

enamel caps (the crown portion of each tooth above the enamel-dentine junction) were

extracted from the specimen models using Geomagic Wrap v2021; all crown surface

holes (generated from scanning imperfections or digitally removed sediment) were

patched, the models were remeshed with triangles that have aspect ratios (base:height

or edge-edge) of <10 and decimated to ~10,000 triangles. Finally, we standardized the

spatial orientation of all tooth crowns before exporting them as .ply files for dental

topographic analysis (DTA). Individual tooth crown (enamel cap) models have the Z axis

normal to the occlusal plane, the X axis parallel to the mesial-distal axis, and the Y axis

parallel to the labial-lingual axis.

Exported meshes were further vetted and prepared for DTA within the R

programming environment. We used functions implemented in the *molaR* R package [64]

for all of the steps described next. First, all .ply files are further cleaned using

'molarR_Clean' to remove floating points/vertices and any triangular faces with zero area.

Then, the batch function 'molarR_Batch' was used to calculate four dental topographic

metrics: DNE, OPCR, Slope, and RFI.

Dirichlet Normal Energy (DNE) is a measure of occlusal sharpness, using a

quantification of surface energy in tooth crowns relative to a gently curving or flat mesh

surface. Steep, high, and/or shearing cusps tend to produce higher DNE values in DTA,

whereas bulbous cusps tend to produce lower DNE values [65,66]. DNE has been used to

successfully distinguish folivores, omnivores, and frugivores in euarchontan (primates,

colugos, tree shrews) mammals [65,67].

Orientation Patch Count Rotated (OPCR) is a measure of tooth crown complexity,

using quantification of distinct patches on the crown face that possess unique orientations.

Teeth with larger number of cusps and crenulations tend to produce higher OPCR values,

whereas teeth with fewer cusps and simpler ridges tend to produce lower OPCR values.

This metric has been used effectively to distinguish convergently evolved carnivore

versus herbivore species across multiple mammalian orders [68]. DeMers and Hunter [69]

suggest that OPCR does not currently provide a reliable approximation for the degree of

adaptation to herbivory, and that any interpretation of ecomorphological differences

should be made within a taxon-specific context. Given the narrow set of clades targeted

in our dataset, we interpret increasing OPCR as an indication of increased functional

capability to process vegetation. A related measure, slope, quantifies the mean tilt of the

tooth surface relative to the occlusal plane. Teeth with lower and more gently curving

cusps tend to have lower slopes, whereas teeth with sharp and/or abruptly ridged crests

tend to have higher slopes.

Relief Index (RFI) is a measure of the relative height and complexity of the tooth

crown, using the values of the 3D surface and 2D 'footprint' areas of the tooth crown.

RFI has been shown to be effective in distinguishing frugivores from insect and leaf

specialists in euarchontan mammals [65]. Furthermore, RFI is also able to distinguish

carnivoran species at different trophic levels and dietary breadths [66].

Overall, we use these DTA traits as indicators of ecomorphological capacity, but

do not link them explicitly to dietary categories. The craniodental morphology of archaic

placental clades in general have not been demonstrated to share the same structure-

function linkages as crown mammals, so aforementioned linkages between DTA and

dietary ecology from studies of extant species only serve as evidence that DTA is a

potentially useful ecomorphological proxy. We do not directly apply those DTA-diet

relationships to the Paleocene fossil mammal dataset.

All tooth crown models are provided as ply files and deposited in FigShare

(10.6084/m9.figshare.28611854).

<u>Tooth performance estimates using Finite Element Analysis</u>

The common inference that dental morphology can reflect dietary adaptations

makes an underlying connection between the two traits through biomechanical

performance; the mechanical performance imbued by a particular morphological

configuration accomplishes one or more food acquisition and/or processing tasks. Such

mechanical performance links can be tested using experimental and simulation

approaches on both theoretical grounds and actual tooth shapes [70]. Here we applied a

simulation approach to estimate two general performance traits commonly interpreted for

mammalian tribosphenic teeth: puncturing/compressing and shearing/grinding [71]. The

ancestral therian tribosphenic stroke, or the chewing movement underlying mammals with

unfused mandibular symphysis and tribosphenic molars, has been reconstructed to
involve significant components of (1) long-axis rotation in each hemimandible and (2)
mortar-and-pestle grinding between upper and lower cusps [72]. These movements are
associated with the 'lock-and-key' occlusion of a stereotypical mammalian tribosphenic
dentition, which exhibit tall crest-like cusps in the trigonid and lower basin-like valleys in
the talonid of lower molars that articulate with their corresponding upper teeth (Fig. S3).

We used finite element analysis (FEA) to estimate the work efficiency of different
tooth crowns subjected to compressive/puncturing and shearing/grinding forces, the two
major masticatory actions of tribosphenic teeth [71,73,74]. Adequate compressive and
puncturing forces applied through individual or combined action of cusps are needed to
overcome the fracture strength of brittle and tough food such as seeds and invertebrate
exoskeletons in order for individuals to access soft and/or more nutritious tissues within.
Sufficient shearing and grinding forces applied through surfaces or cusp edges are
necessary to further break down food boli into smaller pieces to aid in the digestive
process. The combination of these two major functions of tribosphenic teeth is thought to
be a foundational adaptation that enabled the mammalian radiation [71,72,75]. We focused
on the dental enamel portion of the tooth crown in our biting simulations because it is the
most mineralized vertebrate tissue and typically the best-preserved component of fossil
teeth. The fracture mechanics of mammalian dental enamel is thought to be intimately
related to dietary function [76], including the evolution of different enamel thickness in
different feeding ecomorphologies [28]. It is important to note that because the dental data
available for this study included not only CT scans, but also surface scans and specimen
casts, enamel thickness was not quantifiable for most specimens in our dataset (see
below for a description of enamel thickness scaling procedure applied in the simulations).

The two masticatory scenarios tested, compressing/puncturing versus
shearing/grinding, have previously been shown to be broadly connected to tooth topology.
Taller cusps (approximated in our study by Relief Index and partially by Slope) and more
convex crowns (approximated in our study by DNE) tend to exhibit higher strain in finite
element models of hypothetical tooth shapes [77]. However, there is by no means a
consensus on the presence of a tight form-function linkage across all tooth types and/or
clades. Whereas a dental topography to food mechanical property linkage can be

detected in some extant carnivores [78,79], bats [80], and primate-like tooth models [81], a form-

function relationship between dental topography and tooth/food mechanical properties is

absent in other model systems [67,82]. Therefore, we incorporated biomechanical

simulations as an explicit test of the mechanical performance associations implied by the

DTA-flora correlations established in the dental topography and paleoenvironmental

portion of our analyses. The main question addressed by these simulations is not

necessarily whether a form-function linkage exists in the Paleocene mammal dataset, but

whether there is a consistent relationship between DTA and FEA traits across the three

time bins of the Paleocene. If such consistent linkages are observed, it would suggest a

stasis in dental topography and compressive/shearing performance through the first 10

million years of the Cenozoic in our dataset; alternatively, any significant changes in the

topography-performance relationship would indicate substantial evolutionary change

through the same time period.

We imported the same tooth crown models generated for DTA into the Strand7

finite element software (Strand7 Pty Ltd, Australia) to create finite element models of the

individual teeth. The tooth meshes are composed of two-dimensional, three-noded

triangular elements ranging between 9,000-10,000 elements, with assigned thickness

parameter (see below) so they behave similarly to single-layer 3-D elements. A

convergence test was done on two of the specimens (IVPP V5228, V5231) and indicated

that the <10,000 three-noded triangular plate elements (tri3) returned simulation output

values with less than 10% deviation from higher resolution meshes of the same models

(Fig. S3). Therefore, we deemed the default resolution of the tooth meshes used in DTA

to be sufficient for finite element simulations. Furthermore, because the dataset contained

digital models built from both CT and surface scan data, not all specimens have enamel

thickness information. Although increased enamel thickness has been associated with

durophagy in mammals, there appears to be taxon-specific patterns of enamel thickness

to tooth size and dietary ecology, in addition to extensive variation in intra-tooth enamel

thickness distributions [83–85]. In absence of information on enamel thickness differences

among the taxa studied, we standardized the thickness of the tooth crown models

isometrically to be 10% of the total surface area of a given tooth model. As such, the

simulation results should be treated as enamel cap shape-derived performance traits,

rather than those based on a fully parameterized enamel model incorporating localized

thickness and inter-specific allometric differences.

We scaled applied forces on the teeth, simulating compressive or shearing loads,

to be a value equivalent to total model surface area (but in units of Newtons instead of

mm^2). Compressive loads were represented on each tooth by a single nodal force vector

directed towards the base of the crown along the height axis of each tooth, on the tallest

cusp or cuspid (which is typically the protocone for upper molars and protoconid for lower

molars, or the main cusp in premolars). This configuration simulated a compressive load

directed into the tallest cusp (towards the root) on a given tooth from a food item (Fig S3),

as reconstructed for the mammalian tribosphenic bite during a crushing or puncturing

movement [71,72]. Shearing loads were represented on each tooth by two nodal force

vectors, one on each of the two tallest cusps or cuspids; the two nodal forces are of equal

magnitude but opposing directions, perpendicular to the long axis of the tooth and in the

occlusal plane. This configuration portrays a shearing motion along the short axis of the

tooth (Fig. S3), and simulates tooth-to-tooth and tooth-to-food contact during the 'mortar

and pestle' grinding rotation movement reconstructed for the ancestral mammal

tribosphenic bite [72]. This force magnitude standardization procedure effectively generates

identical force to area ratios across the models, ensuring that the magnitudes of

mechanical stress placed on the model are the same across models of different sizes;

furthermore, we adjusted the strain energy values collected for each tooth model

simulation using the volume and input force ratio-based correction equation provided in

[86]. Given our objective to assess the association between relative performance traits and

DTA metrics, with the latter being size-free variables, only the relative magnitudes of bite

performance, rather than absolute values, were collected from the performance analyses.

After each tooth crown model was defined using the criteria outlined above,

homogenous material properties were assigned. All models were defined as plate models

(with thickness scaled to surface area) with a Young's (elastic) modulus of 80 GPa [87] and

Poisson Ratio of 0.3. Next, each tooth was constrained from translation and rotation using

four nodal constraints distributed in the four corners of each tooth, respectively (Fig. S3).

All bite scenarios were solved using the linear static solver implemented in Strand7.

A total number of 400 analyses were performed (one compressive bite and one shearing

bite simulation for each of the 200 tooth specimens in the dataset). We extracted two

traits from the models: compressive bite strain energy and shear bite strain energy. Strain

energy is defined as the area under a stress-strain curve of an object under load;

operationally it measures the amount of work done by the load to deform the object

(analogous to experimental work-to-fracture measurements)[88]. An object that is more

resistant to deformation would have lower strain energy than an object that easily deforms

under load. The use of work-to-fracture measures to assess tooth performance is

consistent with the understanding of mammalian tooth enamel as a fracture-prone, yet

fracture-resistant, biological tissue [89]. In such a framework, fracture resistance is

expected to be directly related to the amount of force an individual is able to exert through

the tooth crown during mastication, and by extension the hardest or toughest food item

that can be processed without catastrophic damage to the tooth crown itself. Fracture

resistance (as approximated by strain energy in this study) is also likely to be a stronger

target of selection in mammals compared to those of other toothed vertebrates, given the

former's diphyodonty (having only two sets of tooth instead of continuous dental

replacement) and thus necessity to prolong usable tooth lifespan[83]. Therefore, we used

strain energy values under compressive and shear bite simulation scenarios as a proxy

for the effectiveness of each tooth crown model at resisting deformation from the

respective biting forces. A tooth that is more resistant (i.e., have lower strain energy

values) from compressive forces would be able to more effectively crush/puncture harder

food items, and a tooth more resistant from shear forces would be able to cut/grind

tougher food items. We modify Irschick et al.'s[90] definition of whole-organism performance

and define bite performance in the context of this study as the capacity of individual tooth

models to resist simulated compressive and shearing forces, which represent ecologically

relevant factors that influence masticatory efficacy.

All finite element models are provided as NASTRAN/text files and deposited in

FigShare (10.6084/m9.figshare.28611854).

<u>Sensitivity validation of original versus cast specimen models</u>

The tooth dataset developed in this study contains a mixture of CT scans of original

and casts of fossil specimens. In order to assess the potential discrepancies in DTA and

FEA trait values collected from cast versus original specimen derived models, either due

to phenotypic details not captured in cast specimens or deterioration of epoxy or resin-

based casts over time, we randomly sampled two specimens for which both original and

cast based models were analyzed. Results from DTA and FEA of the CT-derived models

of lower first molars from IVPP V5228 (*Altilambda pactus*) and V5231 (*A. tenuis*) were

compared to those conducted on digital models built from CT scans of casts of those

specimens.

We used the 'n-point' and 'global' alignment functions in Geomagic Wrap to first

align the models using arbitrary homologous landmarks visually identified on both tooth

models and then the automatic global alignment function to maximize overlap between

the two models in 3D coordinate space. The 'deviation' function in Geomagic Wrap was

then used to calculate summary statistics for linear deviations orthogonal to the surface

of the original model. The V5228 Lm1 model showed a maximum deviation of 0.58 mm

(5.8% of crown height) and average deviation of 0.04-0.09 mm (<1% of crown height),

with a standard deviation of 0.11 mm (1% of crown height). The V5231 Lm1 model

showed a maximum deviation of 0.38 mm (6% of crown height) and average of 0.03-0.04

mm (<1% of crown height), with a standard deviation of 0.06 mm (1% of crown height)(Fig.

S3).

<u>Quantification of uncertainty ranges for bootstrap sampling</u>

We then subjected the cast-based models to DTA and FEA. In both cases, the DTA

values between cast and specimen models are the most different for DNE (30-40%

difference), followed by OPCR (18-32% difference), then RFI (2-13% difference), and

finally Slope (1-5% difference) (Data S4). Also in both cases, adjusted compressive SE

values differed by 14-41% and adjusted shear values differed by 20% (Data S3). Based

on these specimen-cast validation tests, we set a conservative +/- 40% uncertainty range

for all DTA and FEA values obtained from all cast-based tooth models. DTA and FEA trait

mean and variance estimates used for downstream statistical analyses were then

calculated using a bootstrap sampling scheme where 1,000 replicates of the DTA and

FEA datasets were passed through the statistical tests (see Quantification and statistical

analysis section, below). Overall, the early and middle Paleocene time bins contain

935 around 50% cast data, whereas the late Paleocene time bin contains 66% cast data. If

936 data uncertainty from original versus specimen models present a major signal, we would

937 expect the late Paleocene time interval to always show higher variance given an

938 abundance of cast data in that time bin. We did not observe any consistent trends of high

939 variance in the late Paleocene in the 1,000 bootstrap samples, suggesting that the results

940 are not significantly biased by data quality differences between cast and original

941 specimen derived models (Fig. S4).

943 <u>Time bin duration correction and tooth position ratios</u>

944 According to Ni et al. [6], the Shanghuan Asian Land Mammal Age (ALMA) faunas

945 in Guangdong and Anhui range from 66-61.6 Ma (or 4.4 Myr in duration), the

946 Nongshanian ALMA faunas in Guangdong, Jiangxi, and Anhui range from 61.6-59.2 Ma

947 (or 2.4 Myr in duration), and the Gashatan ALMA faunas in Anhui range from 59.2 to 56

948 Ma (or 3.2 Myr in duration). Given the different durations represented in each of the three

949 time bins used in our analyses, we corrected all variance estimates by dividing the

950 variance calculated in each of the bootstrapped samples by the time duration of the

951 respective time bin. In this manner, the variance values reported in the study represent

952 per-million-year values.

953 We used pie chart analysis to verify that the proportions of tooth positions in each

954 time bin are not substantially different from each other (Fig. S1). However, we caution that

955 time bin comparisons of individual tooth positions are unlikely to be statistically robust

956 because of small sample sizes. We only use aggregates of dental positions (all teeth,

957 molars, or premolars) in our data partition analyses and interpretation.

959 **QUANTIFICATION AND STATISTICAL ANALYSIS**

960 We used the following features of the dental topography and performance data as

961 the basis for assessing faunal assemblage trait shifts through the Paleocene: trait mean,

962 variance, trait-to-trait correlation, and partition-to-partition correlation. We used ANOVA

963 (Analysis of Variance) and pairwise t test to compare trait means, F test to compare trait

964 variance, linear regression analysis and Kendall's τ to compare trait-to-trait correlation,

965 and two-block partial least squares (2B-PLS) analysis to compare partition-to-partition

correlation. There are a total of six traits forming two main data partitions: topographic

partition (DNE, OPCR, Slope, RFI) and performance partition (Compressive SE, and

Shear SE). To assess the sensitivity of the results to subsets of the data, all of the trait

comparisons were done iteratively using the total dataset, by time bin (early, middle, late

Paleocene), taxon (Chinese endemic pantodonts versus non-pantodonts), and/or by

dental position (all teeth, molar teeth, premolar teeth). All statistical tests were performed

on 1,000 bootstrap resampled datasets that were parameterized using results of

sensitivity tests on original versus cast specimen models, finite element mesh

convergence tests, and time bin duration comparisons (see previous section). In addition,

we quantified tooth size using two measures: total 2D surface area and square root of

surface area. The R script for all statistical analyses and plots are included in Data S9.

<u>Statistical resampling and tests</u>

We used bootstrap resampled variance of dental topographic metrics and dental

performance variables as a measure of tooth form-function disparity. 1,000 replicates of

the 200-specimen DTA-FEA trait datasets were generated by sampling each DTA and

FEA trait value from a uniform distribution defined using a +/-40% range of the original

model-derived values. The bootstrap resampling was done with replacement, so it was

possible for a given DTA/FEA trait value in a sampled tooth model to be repeated in a

different replicate, but very unlikely for entire datasets to share similar values with another

replicate. We used this resampling scheme to account for the uncertainty in trait estimates

introduced by the totality of modeling, specimen preservation, cast versus original, and

other potential sources of uncertainty in trait values. For each replicate, variance was

calculated for each metric, time interval, for Chinese endemic pantodont (CEP) versus

non-pantodont, and molar versus premolar data partitions. To assess shifts through time,

statistical differences between the variance of each dental topographic metric sample in

adjacent time intervals (A, early Paleocene; B, middle Paleocene; C, late Paleocene)

were evaluated using F tests. A null hypothesis of a variance ratio of 1 between pairwise

comparisons was tested using the var.test() function in R [91]. The outputs of the variance

tests on a given bootstrap sample were then compiled for all 1,000 replicates, and the

overall variance mean and variance test output used as the statistical basis for detecting

significant differences between time, taxon, and tooth data partitions. Unless indicated

otherwise, all tests described below were also done using the 1,000 bootstrap samples.

We evaluated differences in central tendency (mean value) of dental topographic

metrics and dental performance variables through time using analysis of variance

(ANOVA) and pairwise t tests. Each dental topographic metric was tested against the

three time intervals defined above, using the aov() function in R. For statistically

significant (at the $p < 0.05$ level) results, we additionally evaluated the pairwise intervals

that contribute significant differences in mean dental topographic values. We assessed

pairwise differences using pairwise t tests implemented with a Holm correction for multiple

comparisons. The t test was conducted using pairwise.t.test() in R.

We constructed a tooth morphospace using all four dental topographic metrics

analyzed by principal components (PC) analysis. The first and second PC axes were

chosen to visualize the two-dimensional morphospace. Additionally, we quantified the

degree of morphological disparity and statistical differences in disparity between adjacent

time interval data partitions. All PC scores generated from the PCA were included in the

disparity analysis. The prcomp() function in R was used for PCA, and the

morphol.disparity() function implemented in the Geomorph R package [92] was used for

morphological disparity significance tests. Input data for the PCA were from the original

dataset values, not from the bootstrap samples.

Linear regression analysis between individual dental topographic metric (DNE,

OPCR, Slope, RFI) and dental performance metric (compressive bite strain energy, shear

bite strain energy) was performed to quantify the correlation between dental form and

function. Adjusted R^2 and p values generated from the lm() function in R were used to

evaluate the goodness of fit and statistical significance of the form-function relationships.

The distribution of R^2 values and their corresponding p values are reported in Fig. S6.

In addition to pairwise form-function linear regression analyses, we also evaluated

the degree of correlation between the DTA and FEA data blocks as a means to measure

covariation between dental topography and deformation resistance. We used two-block

partial least squares analysis[93] coupled with bootstrap resampling to generate

distributions of correlation coefficients (r-pls) for each of the three time bins, and then

tested for significant differences in the magnitude of DTA-FEA correlation between

adjacent time bins using Welch's two-sample t tests on the correlation coefficient

distributions. DTA-FEA correlation differences at $p < 0.05$ are interpreted as a significant

shift in the degree of covariation of the two traits from one time bin to the next time bin.

All R scripts used in the analyses are included as supplementary files (Data S8,

S9).

1033

All data	Early-Middle Paleocene	Middle-Late Paleocene
DNE	0.7753	**0.003**
OPCR	0.0902	**0.0001**
RFI	0.605	**0.0128**
Slope	0.643	0.287
PCA Disparity	0.4562	**0.0001**
Tooth size variance	**<0.0001**	**<0.0001**
Chinese Endemic Pantodonts		
DNE	0.9264	**0.0002**
OPCR	0.17	**<0.0001**
RFI	1	1
Slope	1	1
Chinese Arctostylopids and Anagalids		
DNE	0.847	0.135
OPCR	0.47	0.18
RFI	0.2486	**0.0056**
Slope	0.642	0.269

Supplemental Document

<u>Field locality information</u>

The extensive development and conversion of outcrops in the Nanxiong Basin into agricultural
fields has created a 'race against time' to document and study this critical area to understand placental
evolution. Clyde et al. [1] estimated that 20 out of 54 early and middle Paleocene fossil sites in the Nanxiong
Basin have already been destroyed by infrastructural and housing developments since their original
discovery nearly three quarters of a century prior. Our re-survey of the key fossil sites during a trip there in
2023 suggests that the majority of the sites, except for the K-Pg boundary locality, which is protected by
municipal historic landmark designation, are now inaccessible or completely obliterated. This reality means
that the mammalian fossil samples analyzed in this study offer an ever more important and rare window
into earliest mammal life during the 'Age of Mammals.' Our analyses are based on the most complete earlier
Paleocene materials currently recovered from the Asian continent.

Isotope analyses show that average $\delta^{13}C$ values returned to pre K-Pg levels from a short-duration
2 ppm (part per mil) decrease about 1 Myr into the Paleocene in the Nanxiong Basin, congruent with global
patterns of rapid mammal taxonomic recovery [1]. Although the majority of Paleocene fossiliferous localities
are within the classic 'red beds' of south China, interbedding with limestone-like layers may indicate
localized cycles of dry and humid intervals [2]. Fossil pollen in the Nanxiong Basin indicates warm climates
throughout the Paleocene time interval; however, a notable shift in higher percentages of ferns and
gymnosperms and lower percentage of angiosperms is observed in the late Paleocene [3], indicating local
recovery of gymnosperms and ferns (Table S2).

The antipodal location of the Nanxiong Basin from the Yucatan Peninsula makes the geochemical
identification of a K-Pg boundary layer difficult. Arguments for the precise location of the K-Pg boundary
layer has been made on the basis of iridium enrichment in dinosaur egg shells [4] and with total mercury
content that correlates with known timing of Deccan Traps volcanism [5]. Regardless of the precise
stratigraphic level of the K-Pg boundary in the Nanxiong Basin, the specimens we included in our analyses
have all been collected in higher stratigraphic levels that are biostratigraphically unambiguous as Paleocene
sequences [3]. Planned fieldwork at and around the putative K-Pg boundary sections will sample
micromammal fossils towards the objective of constructing a refined biostratigraphic framework for high-
resolution analysis of the first million years of post-K-Pg mammal recovery. At this time, high-resolution data
are not available to test the precise rate at which Paleocene Asian mammal taxonomic disparity and size
disparity recovered/stabilized.

<u>Evolutionary preconditions for the post-K-Pg placental radiation</u>

Ting et al. [6] described an *in situ* faunal turnover event in southern China between the early and
middle Paleocene and a gentle decrease in endemic taxa. Such a faunal change did not amount to shifting
dental topographic mean values, although it is correlated with increased variability in all topographic traits
(Fig. 1). Similar inferences of ecological stasis were made by Clyde et al. [7]. The replacement of some
archaic taxa with more cosmopolitan ones is consistent with an evolutionary 'training ground' phenomenon
where taxa preadapted to local environmental conditions were at a selective advantage to disperse into
new geographic regions when global climate changed [8]. Hooker [9] makes the observation that late
Paleocene North American and European mammal assemblages responded to the late Paleocene thermal
maximum by increasing browsing herbivory and terrestrial taxa; this increase is at the expense of arboreal
taxa in the European samples analyzed in that study. The observed DTA shifts in our dataset are consistent
with this trend (Fig. 2). In the final Paleocene time bin, immediately prior to the faunal turnover that coincided
with the Holarctic mammalian dispersal event and the PETM (Paleocene-Eocene Thermal Maximum),
increased seasonal aridity in southern China (based on pollen composition) correlated with increased
disparity and maxima of dental topographic metrics (Fig. 2).

The majority of research into post-K-Pg mammal recovery come from North American sites, which
represent ~80% of known terrestrial K-Pg boundary sections [10]. By contrast, Asian K-Pg sites represent

only ~3% of K-Pg boundary sections. There are no Paleocene fossil mammal assemblages known from the
Indian subcontinent [11]. Europe is similarly problematic in terms of both the paucity of well-dated K-Pg
sites as well as correlated or thick stratigraphic sections that permit research into post-K-Pg dynamics [12].
Additionally, the oldest well-sampled Cenozoic mammal fauna in Europe is late early to middle Paleocene
in age. This absence of earliest Paleocene data renders the study of mammalian evolutionary dynamics
from the K-Pg to end-Paleocene hyperthermal event in Europe difficult [13]. Thus, no dental form-function
comparisons are possible between the Asian and European post-K-Pg mammal recovery patterns for the
entire Paleocene time interval.

Bayesian analyses of Paleogene mammal faunas suggest that a zoogeographic barrier between
the Arabian Peninsula and the rest of Asia was present and prevented faunal interchange until the end-
Oligocene [11]. Thus, the corridors of dispersal and interchange for the southern Chinese Paleocene
mammals at the end-Paleocene were constrained to the north, towards the Mongolian Plateau, or to the
south towards India. The absence of Paleocene Indian fossil mammals prevents a characterization of the
extent to which the ecomorphological patterns described in this study also applies more broadly to fossil
mammals further to the south. Based on the establishment of a land connection between Asia and India
during the Paleogene, similarity in ecomorphological patterns might be expected [14]. By contrast, the well-
known Paleocene-Eocene stratigraphic sequence and fossil record on the Mongolian Plateau clearly
document the northward expansion of early Paleocene southern Asian taxa and the increased faunal
similarity with other continents [15] during time intervals immediately following those analyzed in this study.

Absence of latitudinal gradients in Paleocene mammal taxonomic richness despite modern level
temperature gradients across western interior North America suggests potential ecological instability in that
region around the time of the mammalian dispersal to North America [16]. However, other analyses suggest
that arrival of Eurasian immigrants to North America at the Paleocene-Eocene Thermal Maximum did not
drastically alter functional diversity in the local communities [17]. Establishment of latitudinal floral gradients
and the high mammal endemism in east Asia during the same time period [18] may have allowed ecological
stability to establish earlier in Asia compared to North America. The stability of a large landmass in Asia for
much of the Mesozoic and Cenozoic likely also contributed to the development of climate-adapted faunas
in south Asia leading up to the PETM and expansion of suitable warm habitats for the southern taxa [19].
Data presented here suggest that adaptations of endemic east Asian mammals to global and regional
environmental changes in the critical 10 Myr period immediately following the K-Pg extinctions can be
understood as priming the evolutionary pump for the origin, radiation, and dispersal of modern mammal
orders.

<u>Summary of levels of topography-performance associations</u>

(1) Correlation plot patterns (Fig. 3): We performed correlation plot comparisons on combined DTA
and FEA data, using Kendall's τ as a measure of the strength of correlation. Contingency tables show that
DNE/OPCR to compress SE/shear SE correlations increased from early to middle then late Paleocene (Fig.
3). Compared to the steady increase in integration shown by two-block PLS analyses (see below), this
suggests that dental complexity and convexity were disproportionately driving the overall integration
patterns, whereas cusp height and sharpness were very weakly associated to compressive and shear strain
energy values.

We additionally conducted correlation analyses across time using data partitions representing
individual tooth positions (Figs. S9-S11) to verify the overall trend observed using the total dataset (Fig. 3).
Upper M1 patterns generally reflect the trend recovered from analysis of the overall dataset, but M2 and
M3 results display inconsistent DTA-FEA correlations, possibly due to small sample sizes. Lower molar
patterns generally replicate those recovered in the overall analyses, but lower M1 and M2 signals appear
to be stronger than those for lower M3. Finally, low sample sizes make premolar-specific correlations
unstable, with general pattern showing EP-MP strengthening then MP-LP stasis or weakening.

(2) Per-time variance patterns (Figs. S3-S5): The overall dataset showed steady increase in DNE
and OPCR variance, differing from the middle Paleocene peak pattern seen in the RFI, Slope, Compressive
SE, and Shear SE variances. The non-pantodont partition shows a more consistent pattern between DTA
and FEA variances, with all traits showing a middle Paleocene spike. Lastly, the CEP data partition shows
even more mosaic patterns than the overall data partition. CEP DNE, OPCR, and Slope show variance

increases over time, whereas Slope and compressive SE exhibit a middle Paleocene spike. Shear SE
shows a steady decline in variance over time. The disaggregated molar and premolar data partitions do not
contain large sample sizes; thus, we caution against over-interpreting the finer patterns until a statistical
more robust sample can be analyzed. The per-tooth position analyses, although broadly supporting the
early-middle Paleocene trends for both DTA and FEA data (and for FEA data in general across all time
intervals), provide lower support for the middle-late Paleocene trends. Support is lowest when RFI and
Slope values are analyzed by tooth position. In addition to the smaller sample sizes included in these
partitioned analyses, this result may indicate that different tooth positions may record somewhat different,
sometimes opposing, DTA signals but consistent FEA signals. This suggests structure-performance
decoupling at the tooth position level. Similar differences between the pooled sample results and per-tooth
trends when using range length (maximum trait value – minimum trait value for a given data partition) are
recovered (Fig. S5B, 5D).

(3) Trait correlation patterns (Figs. S6-S7): To more precisely examine the relationships between
pairs of DTA and FEA traits, we performed pairwise linear regression analyses using bootstrapped sample
estimates of trait values. The outputs show that the 2B-PLS results below are mainly driven by association
between higher DNE and OPCR with lower compressive and shear SE (Fig. S5). This association is
particularly strong in premolar partitions and less strong in molar partitions (Fig. S6), and doesn't appear to
represent differences between CEPs and non-pantodonts overall. When examined through time, there does
seem to be a difference in how strongly DTA-FEA are associated; the spikes in Compressive and Shear SE
values in the middle Paleocene time bin is not mirrored in DNE and OPCR values in the same time interval,
suggesting a breakdown during middle Paleocene of the DTA-FEA relationship established in the early
Paleocene. This result specifically mirrors findings from the CEP all-teeth data partition and non-pantodont
molar partition 2B-PLS analysis (see below).

(4) Two-block partial least squares (2B-PLS) patterns (Table S1): The overall dataset exhibited a
steady increase in r coefficient values from the early to the late Paleocene, indicating strengthening DTA-
FEA integration over time. The CEP data partition showed a dip in integration in the middle Paleocene in
the all-teeth partition, a steady decrease for premolar partitions, but the opposite pattern (a spike) in the
molar partition. Non-pantodonts show a different pattern, where all-teeth and premolar partitions spike in
integration during the middle Paleocene, whereas the molar partition dips in the middle Paleocene.

Sensitivity analyses of tooth size trends

Tooth disparity was highest during the early Paleocene time interval of our dataset; this is consistent
both using variance and range length as the disparity measure (Fig. S8). The overall decrease in size
disparity and variance across the Paleocene based on the total dataset was additionally tested in individual
tooth position partitions (Table S3). The overall disparity trends are also observed in premolar and upper
molar data partitions, whereas overall tooth size trends are observed mainly in the lower premolar 4 data
partition. Decrease in mean tooth size is most consistently observed across multiple tooth partitions for the
early Paleocene to late Paleocene comparison (and less clearcut for early-middle and middle-late
Paleocene comparisons, respectively). Shifts during the middle Paleocene are variably supported
depending on tooth position analyzed. Given that the middle Paleocene is represented by the smallest
sample size, the uncertainty surrounding per-tooth position trends during this time period may be explained
by the low sample available for this study.

Sample and methodological limitations

The highly fragmentary nature of early Cenozoic mammal fossils in Asia means that even the best
preserved faunas studied herein contain substantial missing information. First, the absence of a high-
resolution chronological framework prevents the fossil data from being analyzed on a continuous time axis;
the binning of the samples into three main intervals within a 10-million-year period hinders additional
hypotheses about the environmental and climatic correlations of the dental structure-performance results
presented. Second, the uneven sampling of the available mammalian assemblage throughout the
Paleocene sites in China limits the breadth of ecomorphological categories included in the analyses; rarer
taxa representing potentially more specialized carnivore, insectivore, or herbivore forms were not included
in our sampling. Third, the spatial discontinuity of stratigraphically younger (Eocene) and older (Cretaceous)
mammal assemblages means that body size and ecomorphological shifts bracketing the Paleocene cannot

currently be analyzed across the sampled basins alongside the dataset presented. These limitations should
be considered when interpreting the findings reported in the study.

Figure S1. Maps of the fossil locality areas and proportions of teeth analyzed, related to Fig. 1. The Paleocene sedimentary basins sampled are indicated on a modern satellite image (**A**) and on a paleogeographic map of the K-Pg transition (**B**). Satellite map from NASA (www.nasa.gov) and paleogeographic map from C. Scotese (www.scotese.com)[20]. **C.** Proportions of tooth positions present in data partitions. M_1-M_3, lower first to third molars; M1_-M3_, upper first to third molars; P_2-P_4, lower second to fourth premolars; P2_-P4_, upper second to further premolars; E, early, M, middle, L, late. The middle Paleocene partition has no P_2 sampled, but otherwise the proportions of tooth positions present in each time interval are generally similar to each other.

Figure S2. Finite element modeling boundary conditions and convergence tests, related to Figs. 1 and 3. (**A**) Depiction of compressive/crushing force imposed on a lower molar cusp in a specimen of *Guichilambda zhaii* (IVPP V12037) in lateral view. (**B**) Depiction of shearing motion during hemimandible eversion/inversion cycle in coronal view. (**C**) Depiction of shearing motion in lateral view. (**D**) Boundary conditions of compressive bite simulations on the lower first molar of *Altilambda tenuis* (IVPP V5231). (**E**) Boundary conditions of shearing bite simulations on *A. tenuis*. Nodal constraints are indicated by stars, compressive force by circle, and shearing forces by black arrows. (**F**) Three-dimensional deviation map of the first lower molar of *Altilambda pactus* (IVPP V5228) comparing geometric difference between models built form original versus cast-derived image data; positive (red) and negative (blue) deviation maxima +/- 0.58 mm. (**G**) Three-dimensional deviation map of the first lower molar of *Altilambda tenuis* (IVPP V5231), positive (red) and negative (blue) deviation maxima +/- 0.39 mm. (**H**) Convergence test of adjusted strain energy values (in Joules) of compression and shear bite simulations in IVPP V5228 and V5231. Percentage values indicate differences between adjacent model quantities (measured in number of three-noded triangular [tri3] elements). All model values at the lowest quantity models are considered converged based on a <=10% threshold criterion.

Figure S3. Sample variance (in squared units of each metric) of dental topographic metrics and dental performance traits for the overall dataset, related to Fig. 1. Time bins refer to the early Paleocene (Bin A), middle Paleocene (Bin B), and late Paleocene (Bin C). Color bars represent different data partitions: all data (salmon), all molars (teal), all premolars (green), lower molars (blue), and upper molars (purple).

Figure S4. Boxplots of dental topographic and finite element simulated traits, related to Table 1. Dark lines in panels A, D, and G denote sample variance per million years, calculated from 1,000 bootstrap samples of trait values pulled from uniform distributions within their estimated uncertainty ranges. The variance magnitudes are scaled to the duration of the Asian land mammal ages representing each time interval. (**A**) DTA (DNE, OPCR, Slope, RFI) and FEA (compressive bite strain energy, shear bite strain energy) boxplots representing the all tooth positions in the all-taxon partition (**B**) DTA metrics for the molar partition of all taxa. (**C**) DTA metrics for the premolar partition of all taxa. (**D**) DTA and FEA boxplots of all tooth position in the non-pantodont data partition. (**E**) DTA metrics for molars in the non-pantodont data partition. (**F**) DTA metrics for premolars in the non-pantodont data partition. (**G**) DTA and FEA boxplots of all tooth positions in the Chinese endemic pantodont (CEP) partition. (**H**) DTA metrics for molars in the CEP partition. (**I**) DTA metrics for premolars in the CEP partition.

Figure S5. Trends through time for DTA and FEA traits by tooth position, related to Fig. 1. (**A**) Categories of major trends observed through the three Paleocene time intervals. (**B**) Proportion of individual tooth position analyses that support the trend observed in the overall dataset. The first set of values are per-tooth variance; the second set of values are from pooled sample and per-tooth range lengths. (**C**) Individual tooth position variance trends in DTA and FEA trait values. (**D**) Individual tooth position range length trends in DTA and FEA trait values.

Figure S6. Linear regression analysis of dental topographic and bite performance datasets different data partitions, related to Fig. 3. (**A**) All-taxon all-teeth data partition; data points are colored according to early (red), middle (green), and late (blue) Paleocene age of the specimens. (**B**) All-taxon all-teeth data partition without time bine groups. (**C**) Chinese endemic pantodont (CEP) all-teeth data partition. (**D**) CPE molar data partition. (**E**) CEP premolar data partition. (**F**) Non-pantodont all-teeth partition. (**G**) Non-pantodont molar partition. (**H**) Non-pantodont premolar partition. Performance variables estimated using finite element analysis includes tooth crown strain energy under compressive (left column within each panel) and shear bite (right column within each panel) simulations, respectively. Rows within panels represent different DTA metrics (from top to bottom: DNE, OPCR, Slope, RFI). Fitted regression lines are shown in blue; 95% confidence envelopes are shown in gray shades.

Figure S7. Tooth size boxplots and adjusted r^2 distributions from DTA-FEA linear regression analyses, related to Fig. 3. **(A)** Boxplots of tooth size by 2D area (left column within panel) or square root of 2D area (right column within panel). The top row within panel A includes sampling outliers (very large Chinese endemic pantodonts, CEPs), bottom row excludes outliers. The larger CEPs are removed in the bottom plots in order to more fully display the distribution of tooth sizes across the bimodal distribution of sizes in the main data cluster in each time bin, respectively.(B) Distribution of adjusted r^2 values from linear regression analysis of dental topographic and dental performance datasets for all-taxon all-teeth data partition. (C) CEP all-teeth partition. (D) CEP molar partition. (E) CEP premolar partition. (F) Non-pantodont all-teeth partition. (G) Non-pantodont molar partition. (H) Non-pantodont premolar partition. Adjusted r^2 values were generated from 1,000 bootstrap samples of DNE and FEA traits values for each specimen from uniform distributions that incorporate uncertainty in DNE and FEA values estimates. *P*-values calculated from *t* test of bootstrap sample *p* values against a hypothesis of *p* >= 0.05.

Figure S8. Tooth size disparity bar plots, related to Fig. 1. (**A**) Variance of tooth size (sqrt of 2D tooth area) in different data partitions. (**B**) Range length of tooth size (sqrt of 2D tooth area) in different partitions.

Figure S9. Correlation through time plots for upper molar DTA and FEA data, related to Fig. 3. The upper diagonal in the left column shows early Paleocene (EP) correlations, the lower diagonal in the left column shows middle Paleocene (MP) correlations. The upper diagonal in the right column shows middle Paleocene correlations, and the lower diagonal in the right column shows late Paleocene (LP) correlations. Red boxes indicate correlations between DTA and FEA traits. Dark gray boxes indicate decoupling of correlation directions across time intervals. Upper M1 patterns general reflect the trend recovered from analysis of the overall dataset, but M2 and M3 results display inconsistent DTA-FEA correlations, possibly due to small sample sizes.

Figure S10. Correlation through time plots for lower molar DTA and FEA data, related to Fig. 3. The upper diagonal in the left column shows early Paleocene (EP) correlations, the lower diagonal in the left column shows middle Paleocene (MP) correlations. The upper diagonal in the right column shows middle Paleocene correlations, and the lower diagonal in the right column shows late Paleocene (LP) correlations. Red boxes indicate correlations between DTA and FEA traits. Dark gray boxes indicate decoupling of correlation directions across time intervals. Lower molar patterns generally replicate those recovered in the overall analyses, but lower M1 and M2 signals appear to be stronger than those for lower M3.

Figure S11. Correlation through time plots for premolar DTA and FEA data, related to Fig. 3. The upper diagonal in the left column shows early Paleocene (EP) correlations, the lower diagonal in the left column shows middle Paleocene (MP) correlations. The upper diagonal in the right column shows middle Paleocene correlations, and the lower diagonal in the right column shows late Paleocene (LP) correlations. Red boxes indicate correlations between DTA and FEA traits. Dark gray boxes indicate decoupling of correlation directions across time intervals. Low sample sizes make premolar correlations unstable, with general pattern showing EP-MP strengthening then MP-LP stasis or weakening

Taxon	Dentition	early Paleocene	middle Paleocene	late Paleocene
1. All Taxa	All	0.40	0.48	0.52
2. Chinese endemic pantodonts	All	0.30	0.29	0.43
	Premolar	0.69	0.57	0.51
	Molar	0.15	0.70	0.65
3. Non-pantodont mammals	All	0.48	0.53	0.49
	Premolar	0.50	0.52	0.55
	Molar	0.45	0.40	0.46

Table S1. Two-block partial least squares (PLS) *r* coefficients from bootstrapped analyses, related to Fig. 3. 1,000 bootstrap samples of DTA and FEA values were taken from uniform distributions of trait uncertainty ranges, and two-block partial east squared analysis conducted on each sample for early-middle Paleocene and middle-late Paleocene data partition pairings, respectively. All *r* values are statistically significantly different ($p < 0.05$) between adjacent time intervals, based on a one-sample t test of the distribution of 1,000 p values from the bootstrap two-block PLS samples against $p < 0.05$.

	E. Paleocene	M. Paleocene	L. Paleocene
Angiosperms	75-88%	84%	50-65%
Ferns	11-20%	11%	25-33%
Gymnosperms	1-5%	5%	10-18%

Table S2. Relative percentages of fossil pollen found in the Nanxiong Basin, Related to Fig. 2 and based on [3].

Measure	Trait	Statistic	All	m/1	m/2	m/3	M1/	M2/	M3/	p/4	P3/	P4/
Sample Size		Early Paleocene (EP)	79	10	11	8	10	10	8	7	8	7
		Middle Paleocene (MP)	42	5	6	6	4	5	4	4	4	4
		Late Paleocene (LP)	52	5	5	5	8	8	4	6	3	8
Mean Disparity	Area	var.test EP-MP	0.00	0.44	0.81	0.78	0.02	0.97	0.01	0.00	0.54	0.04
		var.test MP-LP	0.03	0.00	0.06	0.92	0.14	0.00	0.04	0.08	0.05	0.24
	Sqrt Area	var.test EP-MP	0.06	0.59	0.56	0.59	0.13	0.74	0.14	0.04	0.44	0.12
		var.test MP-LP	0.16	0.02	0.11	0.72	0.28	0.06	0.07	0.30	0.17	0.72
Mean Size	Area	t.test EP-MP	0.00	0.18	1.00	1.00	0.75	0.91	0.06	0.04	0.86	0.53
		t.test MP-LP	0.01	0.18	1.00	1.00	0.75	0.18	0.40	0.04	0.34	0.03
		t.test EP-LP	0.00	0.01	1.00	1.00	0.75	0.02	0.01	0.00	0.34	0.00
	Sqrt Area	t.test EP-MP	0.00	0.18	1.00	1.00	0.75	0.91	0.06	0.04	0.86	0.53
		t.test MP-LP	0.01	0.18	1.00	1.00	0.75	0.18	0.40	0.04	0.34	0.03
		t.test EP-LP	0.00	0.01	1.00	1.00	0.75	0.02	0.01	0.00	0.34	0.00

Table S3. Sample size, disparity, and mean tooth size by tooth position. Related to Fig. 1. Mean disparity difference is measured by pair-wise variance tests (var.test); mean tooth size difference is measured by pairwise *t* tests (t.test). *p* values <=0.05 are shaded. Overall disparity trends are also observed in premolar and upper molar data partitions, whereas overall tooth size trends are observed mainly in the lower premolar 4 data partition. Decrease in mean tooth size is most consistently observe across multiple tooth partitions for the early Paleocene to late Paleocene comparison.

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70   60   50   Million years ago

Cret. | Paleocene | Eo.

Temperature (°C)

12°

8°

4°

OPCR

300
200
100
0

orientation

convex

concave

DNE

1500
1000
500
0

RFI

0.8

0.6

0.4

tall

flat

Slope

50 60 70 80 90

steep

gentle

A   tooth traits

Log10 (sqrt area)

1.2

0.9

0.6

0.3

B   E.Paleo   M. Paleo   L.Paleo

Compressive bite variance

1.5e-08

1.0e-08

0.5e-08

C   E.Paleo   M. Paleo   L.Paleo

Shear bite variance

5e-07

4e-07

3e-07

2e-07

1e-07

D   E.Paleo   M. Paleo   L.Paleo

Upper molars   All premolars
Lower molars   All molars
All teeth

variance

3000
2000
1000
0

variance

30000
20000
10000
0

variance

0.0100
0.0075
0.0050

variance

30
25
20
15

IVPP V4116

IVPP V12037.2

E

F

G

A — Fossil pollen: broad-leaved, drought-tolerant, thermophillic

B — Pantodonta

C