Pitavastatin counteracts venetoclax resistance mechanisms in acute myeloid leukemia by depleting geranylgeranyl pyrophosphate

Running title: Pitavastatin overcomes venetoclax resistance in AML

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Competing Interests Statement

SMS is co-inventor of GGTI-2418 and GGTI-2417, and is Scientific Founder of Prescient Therapeutics. MK receives research support and serves a consultant and advisory board member for AbbVie. The other authors have no competing interests to disclose.

Abstract

The BCL2 inhibitor venetoclax has therapeutic activity in several hematological malignancies. In

acute myeloid leukemia (AML), venetoclax combined with hypomethylating agents is the

standard of care for patients unfit for intensive chemotherapy, but intrinsic and acquired

resistance are common. Loss of p53 function is strongly associated with venetoclax resistance,

and adding venetoclax to 5-azacitidine provides no overall survival benefit in *TP53*-mutant

AML. Other frequent mechanisms of venetoclax resistance in AML include *FLT3* mutations,

MCL-1 upregulation, and altered mitochondrial metabolism. Unfortunately, it has been

challenging to develop agents that target these mechanisms directly and combinatorially. Here

we report that pitavastatin, an inhibitor of HMG-CoA-reductase, promotes apoptosis and

overcomes several venetoclax resistance mechanisms in human AML cells. At clinically

achievable concentrations, pitavastatin treatment has potent cytotoxic activity in cells with

mutations in *TP53* or *FLT3*. The apoptotic mechanism involves p53-independent PUMA

upregulation and reduced MCL-1 expression. Pitavastatin also suppresses mitochondrial gene

expression and oxidative metabolism. The pro-apoptotic actions of pitavastatin depend on

depletion of geranylgeranyl pyrophosphate (GGPP) and can be recapitulated by inhibiting GGPP

synthase or geranylgeranyltransferase-1 enzymes. These results provide a mechanistic rationale

for adding pitavastatin to AML regimens to prevent or overcome venetoclax resistance.

Introduction

B cell lymphoma 2 (BCL2) is an oncoprotein and a member of a family of apoptosis-regulating proteins (1). The pro-survival family members (BCL2, BCL-xL, MCL-1, BCL-w, BFL-1) contain four domains with homology to BCL2 (BH1, BH2, BH3, BH4). Levels of these proteins are often elevated in blood cancer cells to escape stress-induced increases of pro-apoptotic proteins, including "BH3-only" proteins such as BIM and PUMA (1–3). BH3 mimetics are cell-permeable small molecules that block the BH3-binding pocket of BCL2 family members, inhibiting their pro-survival function and promoting apoptosis (1–3). Their clinical utility is validated by BCL2-selective venetoclax (ABT-199) regimens for front-line treatment of chronic lymphocytic leukemia (CLL) and acute myeloid leukemia (AML) (4,5). However, there remains a critical need to identify combinations that further broaden and deepen responses to venetoclax and prevent the emergence of resistance (6).

AML demonstrates diverse resistance mechanisms to BCL2 inhibition. Despite initial success in the treatment of *TP53*-mutant CLL (4), subsequent basic and clinical studies highlight that bi-allelic *TP53* loss is a frequent mechanism of resistance in AML (7–9). Indeed, while venetoclax combined with 5-azacitidine (AZA) extends survival in AML patients unfit for intensive chemotherapy (10), this combination provides no overall survival benefit compared to AZA alone in patients with *TP53* loss (11,12). Other factors associated with venetoclax resistance in AML include reduced mitochondrial priming through upregulation of other anti-apoptotic proteins such as MCL-1 (13), certain genetic drivers (e.g., *FLT3*-internal tandem duplication (ITD) and *RAS*; (8,14)), *BCL2* mutations (15), and altered mitochondrial dynamics including increased respiration (16–19).

The mevalonate pathway is a targetable cancer dependency that supports proliferation and

survival (20,21). Many oncogenes increase cellular demand for mevalonate pathway outputs,

while driving mevalonate flux by increasing expression of HMG-CoA-reductase (HMGCR) or

other pathway enzymes (21). This has spurred interest in repurposing statins (selective HMGCR

inhibitors) for oncology (21–24). We reported that statins promote apoptosis and sensitize to

venetoclax in blood cancer cell lines and primary cells (25,26), a finding independently validated

by others (27,28). Our retrospective analysis of venetoclax clinical trials revealed that statin use

is associated with significantly increased rates of complete response in CLL or multiple myeloma

(MM) (25,26). These findings provided rationale for a prospective phase 1 clinical trial

(clinicaltrials.gov identifier NCT04512105) testing pitavastatin in combination with venetoclax

in AML and CLL. This trial found that the addition of pitavastatin to venetoclax regimens is

tolerable (29).

Barriers that have limited the clinical translation of statins as oncology drugs include the

many mechanisms of action described in the literature and the underappreciated role of statin

pharmacology in design of clinical trials (21,30). We previously determined that the pro-

apoptotic effect of statins is due to depletion of cellular pools of geranylgeranyl pyrophosphate

(GGPP) (25,26), the isoprenoid substrate for protein geranylgeranylation, and this finding is

generalizable to several of the described mechanisms of statin's anti-cancer effects (21,31).

Concerning statin pharmacology, we found that pitavastatin has approximately 3-fold greater

potency than other statins in cell-based assays, and acts at clinically achievable concentrations to

block geranylgeranylation and promote apoptosis in MM cells (26). Pitavastatin in our phase I

trial also demonstrated preliminary signs of efficacy with all subjects achieving complete

remission (29).

Here we assess statin efficacy against AML venetoclax resistance using cell line models and

primary cells. To focus on mevalonate pathway outputs relevant to survival signaling in AML

cells, we identify cellular responses that are induced by pitavastatin in a manner dependent on

GGPP depletion and are recapitulated by geranylgeranyltransferase-1 (GGTase-1) inhibitors.

These analyses revealed that pitavastatin sensitizes to venetoclax via p53-independent

upregulation of PUMA and by rewiring cellular transcription to disrupt mitochondrial gene

expression and metabolism. In a subset of AML cells, pitavastatin diminishes the expression of

MCL-1. Together, these results show that pitavastatin can overcome several common

mechanisms of venetoclax resistance in AML and should be assessed in combination with

venetoclax and AZA in future clinical trials.

Materials and Methods

Additional Methods provided in the supplement.

<u>Cell Culture</u>

AML cell lines (Table 1) were obtained from commercial repositories (ATCC or DSMZ) and

authenticated annually through STR profiling using the University of Arizona Genomics Core.

MOLM13 parental and venetoclax resistant were described previously (32). Cell lines were

tested for mycoplasma infection annually. Unless otherwise noted, cell lines used in the study

were maintained in RPMI-1640, 10% FBS, 1% penicillin-streptomycin-glutamine (Gibco) and

10 mM HEPES (Corning).

Human cells were provided by UCI biobank or U-Penn (Table 2) and cultured in IMDM

supplemented with 15% BIT 9500 with and 1 µM StemRegenin 1 (Stemcells Technologies), plus

human cytokines (Peprotech): SCF (100 ng/ml), Flt3-Ligand (50 ng/ml), IL-3 (20 ng/ml), G-CSF

(20 ng/ml), 1:100 penicillin-streptomycin-glutamine, and 50 µM beta mercaptoethanol.

Statistics and experimental design

Statistical analysis was performed in Prism10 using one-way or two-way ANOVA, as

appropriate for each experiment and indicated in the legends. Post-tests for multiple comparisons

were recommended by Prism and applied as noted in the Figure legends. All samples represent

biological replicates. Unless otherwise specified in figure legends, all center values shown in

graphs refer to the mean. The number of biological replicates (n) and details of the tests are

provided in the figure legends. $p < 0.05$ was considered statistically significant and was

annotated throughout as * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$ and **** $p < 0.001$.

Results

Pitavastatin induces p53-independent cytotoxicity at sub-micromolar concentrations in AML

cells

Previously we reported that simvastatin sensitizes AML cell lines to venetoclax cytotoxicity

(25), and that pitavastatin is cytotoxic in MM cell lines at lower concentrations than simvastatin

(26). Here we tested pitavastatin in a panel of AML cell lines with different genetic lesions

(Table 1). Cytotoxicity assays demonstrated that 48-hour treatment with pitavastatin

concentrations as low as 300 nM reduced viability in OCI-AML3 and HNT-34 cells and

enhanced the weak cytotoxic effect of venetoclax (Figure 1A). A similar effect was observed in

MV4-11 cells at 1 µM pitavastatin (Figure 1A). The mechanism by which pitavastatin enhanced

cytotoxicity was caspase-dependent, as it was blocked by the inhibitor Q-VD-OPh at 24hr (Fig.

S1A). In the MOLM13 and PL-21 cell lines, pitavastatin alone had minimal cytotoxic activity

but enhanced the effect of venetoclax (Figure 1A). Pitavastatin retained cytotoxicity in a

venetoclax-resistant subline of MOLM13 (32) (Fig. S1B). The combination of pitavastatin and

venetoclax was synergistic in OCI-AML3, HNT-34, MV4-11, and MOLM13 cells (Table S1; the

latter three cell lines have *FLT3*-ITD). We identified a pitavastatin-resistant AML cell line (OCI-

M1) (Figure 1A, Table S1) that we used as a comparator for mechanistic studies. Consistent with

prior publications (25), simvastatin enhanced venetoclax cytotoxicity but only at concentrations

above 1 µM in OCI-AML3 and MOLM13 cells (Fig. S1C).

We extended these studies to cultures of primary AML cells obtained from cryopreserved adult

AML mononuclear specimens (Table 2). Primary cultures were more pitavastatin sensitive than

established cell lines with notable cytotoxicity at 100 – 300 nM including three samples with

TP53 mutations and three out of five samples with *FLT3*-ITD (Figure 1B and S2A, B, C).

Venetoclax further enhanced the killing of several of the primary AML samples (Figure 1B). In

addition, pitavastatin increased cytotoxicity of the combination of venetoclax and AZA in several

primary AML samples (Fig. S2C). In most of these samples, the combination of venetoclax plus

pitavastatin was more effective than venetoclax plus AZA.

We showed previously that expression of a dominant-negative p53 did not affect simvastatin-

mediated cytotoxicity or venetoclax sensitization in OCI-AML3 cells (25). To investigate further

the relationship of p53 status to pitavastatin sensitivity, we used isogenic MOLM14 cell lines

with either wild-type or bi-allelic deletion of *TP53*, generated by CRISPR/Cas9 (33). In parental

MOLM14 cells, pitavastatin in the 1-3 µM concentration range was sufficient to promote cell

death (Fig. S3). The p53-deficient MOLM14 variants, which are resistant to cytarabine (33) and

less sensitive than control MOLM14 cells to venetoclax (Fig. S3), remained sensitive to

pitavastatin and to the synergistic or additive cytotoxicity of pitavastatin plus venetoclax (Fig.

S3, Table S2).

Overall, the findings that pitavastatin at submicromolar concentrations (clinically achievable

with FDA-approved doses, enhances the cytotoxic activity of venetoclax in a variety of AML cell

lines and primary cells, and that primary AML cells are more sensitive to pitavastatin at lower

concentrations than AML cell lines, suggest that addition of pitavastatin to venetoclax regimens

in AML may be a feasible approach for treatment, including in cases with p53 inactivation or

FLT3-ITD.

Pitavastatin alters expression of BCL2 family members PUMA and MCL-1

p53-independent upregulation of PUMA is a general mechanism by which statins sensitize

blood cancer cells to BH3 mimetics (25,26). Consistent with our previous findings, pitavastatin

treatment increased expression of PUMA protein in OCI-AML3, HNT-34 and MV4-11 cell lines

that are sensitive to statin cytotoxicity (Fig. S4A, B, C). Pitavastatin treatment caused lesser or

no PUMA upregulation in cell lines that are less sensitive (MOLM-13, PL-21) or resistant to

cytotoxicity (OCI-M1) (Fig. S4A, B, D). Additionally, in isogenic *TP53* deleted MOLM14 cells,

pitavastatin induced PUMA expression to a comparable degree in control (Fig. S5A).

CRISPR/Cas9-mediated knockout of the *BBC3* gene encoding PUMA (Fig. S5B, C) partially

reversed the cytotoxic effect of pitavastatin in OCI-AML3 and MOLM-14 cells but not in

MOLM-13 cells (Figure 2A).

Increased expression of and dependence on MCL-1 can confer venetoclax resistance in AML

cells (34,35). We assessed how venetoclax and pitavastatin co-treatment affects protein

expression of PUMA and MCL1. In OCI-AML3, MOLM13, and MOLM14 cells, pitavastatin

reduced expression of MCL-1 and/or prevented the increase in MCL-1 following venetoclax

treatment (Fig. S6A, B). In general, the combination of pitavastatin with venetoclax reduced

MCL-1 expression more than pitavastatin alone. We also noted that in some AML cell lines,

venetoclax reduced PUMA expression and suppressed PUMA upregulation by pitavastatin (Fig.

S6A, B). In the venetoclax-resistant MOLM13 subline, pitavastatin increased low basal PUMA

expression but did not reduce the high basal MCL-1 expression (Fig. S6C). In two of the three

TP53 mutant primary AML cells we tested, 24hr treatment with pitavastatin reduced MCL-1 or

increased PUMA expression (Fig. S6D). Thus, pitavastatin can alter the balance of pro- and anti-

apoptotic BCL2 family members via at least two mechanisms.

Pitavastatin increases cytotoxicity and PUMA expression via depletion of GGPP and inhibition

of geranylgeranylation

In most blood cancer cell models, statin cytotoxicity is linked to depletion of isoprenoid

intermediates downstream of mevalonate (36,37). Using an antibody that detects the

unprenylated form of RAP1A GTPase, we found that pitavastatin inhibited geranylgeranylation

at 1 µM or lower concentrations in all cell lines except OCI-M1 (Figure 2B, D and S4C, D). In

addition, supplementing culture medium with excess GGPP prevented pitavastatin-induced

PUMA expression (Figure 2B, C) and cytotoxicity (Fig. S7A) in statin-sensitive cells. This result

suggests that GGPP depletion is required for the pitavastatin mechanism of action. To determine

whether GGPP-depletion is sufficient, we used an inhibitor of GGPP synthase (THZ145; (38))

and found that it had cytotoxic activity in OCI-AML3 cells and increased PUMA expression to a

similar extent as pitavastatin (Fig. S7B, C).

We showed previously that a GGTase-I inhibitor, GGTI-298, increased PUMA in AML cells

(25). Unexpectedly, while GGTI-298 upregulated PUMA, had cytotoxic activity, and sensitized

to venetoclax, the structurally related GGTI-2133 had minimal effects on these functional

readouts (Figures 2D, E and S7B, C). GGTI-2133 had equivalent or greater ability to reduce

prenylation of RAP1A, a commonly used readout of GGTase-1 activity (Figure 2D and S7B).

Furthermore, both compounds reduced actin polymerization, which is driven by GTPases such as

RHO, RAC and CDC42 known to be substrates of GGTase-1 (21,31) (Fig. S8A). Thus, GGTI-

2133 and GGTI-298 both have observed activity on inhibition of GGTase-I substrates, yet GGTI-

298 more closely phenocopies the anti-cancer effects of pitavastatin on AML cell function. It is

unlikely that the effects of GGTI-298 are simply due to off-target activity on farnesyltransferases

or other enzymes, given that GGPP synthase inhibition had cytotoxic activity similar to statins.

Moreover, a more selective and potent GGTase-1 inhibitor (GGTI-2417; (39)) exerted cytotoxic

activity in AML cell lines and increased PUMA expression and unprenylated RAP1A (Fig. S8B-

D). Together these data support the conclusion that GGTase-1 is a key prenyltransferase

mediating pro-survival activities of GGPP in AML cell lines.

Pitavastatin and GGTI-298 elicit widespread transcriptome changes including enhanced

apoptotic gene signatures

A challenge to applying transcriptome studies to decipher statin cytotoxic mechanism is that

there are multiple outputs of the mevalonate pathway, of which only some play key roles in cell

survival regulation. We reasoned that differentially expressed genes (DEGs) shared in

pitavastatin and GGTI-298 treatment conditions but not after GGTI-2133 treatment are most

relevant to the cytotoxic mechanism. In accord, we treated OCI-AML3 and HNT-34 cells with

vehicle alone (0.1% DMSO) or pitavastatin (PIT; 1 µM) or GGTIs (10 µM) and harvested RNA

for bulk RNA sequencing. We chose a time point (16hr) before the onset of apoptosis but when

PUMA upregulation is observed at the protein level.

Pitavastatin and GGTI-298 each caused broad changes in gene expression (vs. vehicle

condition, Supplementary Excel File 1) with a high degree of overlap in both OCI-AML3

(Figure 3A) and HNT-34 (Fig. S9A). GGTI-2133 treatment altered expression of many fewer

genes in both cell lines (Figure 3A and S9A, Supplementary Excel File 1). Principal component

analysis (PCA) supported the similarity of cells treated with GGTI-2133 or vehicle alone (Fig.

S9B). In accord, unbiased transcriptome-wide analysis of rlog-based sample distances

demonstrated clustering of vehicle with GGTI-2133 and of PIT with GGTI-298 in both OCI-

AML3 and HNT-34 (Fig. S9C). Gene set enrichment analysis (GSEA) for Hallmark and

Reactome pathways in OCI-AML3 cells showed several shared responses to pitavastatin and

GGTI-298, including reduced expression of MYC and E2F targets and an increase in genes

associated with apoptosis (Figure 3B). Similar gene sets were identified in HNT-34 cells, which

also displayed a signature of cholesterol homeostasis and SREBP targets suggesting a stronger

feedback response (Figure 3B). The *SREBF2* mRNA was increased by both pitavastatin and

GGTI-298, but not GGTI-2133, with a greater effect in HNT-34 cells (Fig. S10). In agreement

with the increase PUMA protein expression, the *BBC3* gene encoding PUMA was significantly

upregulated by pitavastatin and GGTI-298, but not GGTI-2133, in both cell lines (Fig. S10).

Transcriptome analysis of pitavastatin effects dependent on GGPP depletion

As a second approach to defining statin cytotoxic mechanism, we determined which gene

expression changes induced by pitavastatin are dependent on GGPP depletion. We conducted

RNA sequencing on OCI-AML3 cells treated for 16hr with vehicle alone, pitavastatin, GGPP or

PIT + GGPP. Compared to vehicle-treated samples, there were ~1400 DEGs in the PIT-treated

condition but only 129 in the GGPP condition and 103 in the PIT+GGPP condition (Figure 4A).

Applying a $\log_2$ fold-change (LFC) cut-off of +/- 0.1, there were only three DEGs in the

PIT+GGPP vs. Vehicle condition and one in the GGPP vs. Vehicle (Supplementary Excel File 2).

PCA showed that PIT-treated samples clustered together and distant from the other three groups

(Fig. S11A). These data indicate that statin-mediated transcriptional reprogramming at 16hr is

almost entirely dependent on GGPP depletion. In MOLM13 cells, which are less pitavastatin

sensitive than OCI-AML3 cells (Figure 1), pitavastatin caused many fewer DEGs yet these were

still largely dependent on GGPP depletion (add S11B). Hallmark GSEA analysis of OCI-AML3

cells showed downregulation of MYC and E2F targets (Figure 4B), consistent with the first

transcriptome experiment.

An independent approach using Ingenuity Pathway Analysis software also identified

MYC/E2F downregulation and PUMA upregulation, along with c-JUN upregulation, as cellular

mechanisms induced by pitavastatin and GGTI-298 (Fig. S9D).

Functional validation of transcription factor targets

To investigate the mechanism of reduced MYC target gene expression, we measured changes

in MYC protein by western blot. Treatment of OCI-AML3 cells with pitavastatin reduced MYC

expression, an effect that was reversed by mevalonate or GGPP and phenocopied by GGTI-298

and GGTI-2417, but not GGTI-2133 (Figure 5A, B; S12). Pitavastatin also reduced MYC protein

amounts in primary AML samples UCI-01 and UCI-06 (Figure 5A). MYC protein

downregulation was not observed in PL-21 cells (resistant to pitavastatin single-agent

cytotoxicity) but was also minimal in HNT-34 cells (sensitive to pitavastatin cytotoxicity)

(Figure 5A, B).

Downregulation of MYC and E2F is commonly observed under conditions of cell cycle

arrest (40,41). Accordingly, we found that pitavastatin and GGTI-298, but not GGTI-2133,

increased the proportion of cells in G1 and decreased S phase in OCI-AML3 cells (Figure 5C).

Pitavastatin and GGTI-298 had minimal effects on cell cycle in HNT-34 cells (Figure 5C) in

alignment with the preservation of MYC protein expression (Figure 5A).

Pitavastatin strongly increased expression of the *TSC22D3* gene, with similar induction by

GGTI-298 but to a lesser extent by GGTI-2133 (Fig. S10). *TSC22D3* encodes the glucocorticoid-

induced leucine zipper (GILZ) protein that contributes to dexamethasone-induced apoptosis in

MM cells (42). In accord with mRNA changes, protein measurements by western blot showed

that pitavastatin increased GILZ expression in statin-sensitive cell lines but not in resistant PL-21

cells (Figure 5A, B). Addition of GGPP prevented the pitavastatin-induced GILZ expression

(Fig. S13A). However, CRISPR/Cas9-mediated deletion of GILZ in OCI-AML3 cells did not

affect cell viability changes in response to pitavastatin alone or the combination with venetoclax

(Fig. S13B, C). These data suggest that GILZ induction is not essential for statin cytotoxicity.

Pitavastatin suppresses oxidative metabolism and TCA cycle in OCI-AML3 cells

GSEA analysis showed that pitavastatin and/or GGTI-298 reduced gene sets associated with

mitochondrial protein import and oxidative phosphorylation (Figure 3C, 4B). Combined analysis

of data from OCI-AML3 cells in both transcriptome studies identified a set of 85 shared DEGs

representing nuclear-encoded mitochondrial genes, of which only 3 were significantly changed

by GGTI-2133 (Fig. 6A). A large majority of these were downregulated, including genes whose

products regulate the function of components of the electronic transport chain (*CYCS, DHODH*,

NDUFAF4, *TIMM21*, *TMEM126B*, *TMEM177*). The smaller subset of upregulated mitochondrial

genes included *BBC3* (Figure 6A).

The changes in expression of mRNAs encoding mitochondrial proteins prompted us to assess

mitochondrial mass and function. Pitavastatin reduced expression of the mitochondrial protein

TOM20 in OCI-AML3 cells but not MOLM13 cells (Fig. S14A); however, only one of two

alternate assays for mitochondrial mass gave similar results (Fig. S14B, C). Seahorse metabolic

flux analysis showed that pitavastatin reduced basal oxygen consumption rate (OCR) in OCI-

AML3 cells but not in MOLM13 cells (Figure 6B, C), consistent with the lack of downregulation

of mitochondrial genes in MOLM13. Addition of GGPP partially reversed the decreased basal

OCR in OCI-AML3 cells treated with pitavastatin (Figure 6D). The combination of pitavastatin

with venetoclax strongly and significantly reduced basal OCR and spare respiratory capacity in

OCI-AML3 and MOLM13 cells (Fig. S15A, B). However, after 16hr of treatment with

venetoclax +/- pitavastatin, a large percentage of cells had released cytochrome C (Fig. S15C,

D), effects that might indirectly suppress OCR. Cytochrome C release did not occur with

pitavastatin alone (Fig. S15C), a condition where basal OCR was reduced in OCI-AML3 cells

(Figure 6B, C). Concurrent with decreased OCR, pitavastatin also caused loss of mitochondrial

membrane potential in a significant percentage of OCI-AML3, as well as MOLM14 parental and

TP53 mutant lines (Fig. S16A, B).

To investigate whether mitochondrial dysfunction is associated with metabolic flux, we

conducted untargeted metabolomics combined with [U-^{13}C]-glucose tracing. The data revealed

that, in OCI-AML3 cells, 16-hour pitavastatin treatment significantly reduced the production of

TCA cycle intermediates from glucose (Figure 6E).

Discussion

The combination of venetoclax with 5-azacitidine (VEN-AZA) is a standard of care regimen for AML patients who are >75 years old or unfit for intensive chemotherapy. As first-line therapy in previously untreated AML patients, VEN-AZA increases the frequency of complete remission and provides an increase in median OS compared to AZA alone (10). However, it has become clear that VEN-AZA provides no survival advantage compared to AZA alone in the subgroup with *TP53* loss of function (11,12). In addition, venetoclax resistance is common in AML cases with *FLT3*-ITD and/or *RAS* mutations, enhanced mitochondrial metabolism, or MCL-1 overexpression (8,13,14,18). Currently there are no clinically used drugs that can address multiple venetoclax resistance mechanisms. Here we show that an FDA-approved HMGCR inhibitor, pitavastatin, potentiates venetoclax cytotoxicity in multiple AML cell models including established and primary AML cells with *TP53* mutations or *FLT3*-ITD. In most statin-sensitive cell lines, pitavastatin upregulates the pro-apoptotic protein PUMA. In some cell lines, pitavastatin decreases MCL-1, and can inhibit oxidative metabolism.

The selective cytotoxicity of statins in AML compared to normal hematopoietic progenitors was first reported more than 30 years ago (43). Why has this not yet led to application in clinical practice? Three reasons deserve prominent attention. First, the great majority of cell-based assays in the literature employ concentrations of statins (5-20 μM) that are 100 – 1000 times higher than clinical achievable by the statin drugs most prescribed (i.e., simvastatin, atorvastatin, rosuvastatin). Thus, the cytotoxic effects and mechanisms described *in vitro* have questionable relevance to the clinical scenario. Second, it is essential to choose hydrophobic statin drugs with good bioavailability (30). Some prospective AML clinical trials have used hydrophilic statins

(e.g., pravastatin; (44,45)) that, even at high doses, do not readily enter blood cells that lack

active transport mechanisms. Third, statin pharmacology is different in rodents (46), resulting in

inadequate inhibition of the mevalonate pathway and generally weak *in vivo* anti-cancer effects

in preclinical models (33,47), which can diminish enthusiasm for advancing to human trials.

Pitavastatin has gained recognition as an ideal molecule for applications in oncology (30).

This compound is ~3-times more potent on a molar basis in cell lines, achieves plasma

concentrations in the 200 – 500 nM range in humans at the FDA-approved dose, and has an

extended half-life and fewer drug interactions than other statins (21,26,48,49). Our phase 1

clinical trial found that daily 2 or 4 mg doses of pitavastatin were well tolerated in AML and

CLL patients receiving venetoclax as standard of care (29). In this study, we show that

pitavastatin is more potent than simvastatin in AML cell lines. While the concentration of

pitavastatin that has single-agent cytotoxicity varies among AML cell lines from 0.3 to 3 µM, we

observe activity in primary AML cells at concentrations in the 0.1 – 0.3 µM range. Importantly,

pitavastatin is active in *TP53*-mutant samples regardless of their sensitivity to VEN or AZA.

Pitavastatin enhanced venetoclax cytotoxicity in four AML cell lines with FLT3-ITD (MOLM13,

MOLM14, MV4.11, PL-21) and in three out of five primary samples tested (UCI-03, -07, -09).

Selective inhibition of BCL2 with venetoclax is most effective in cancers that depend on

BCL2 for survival. Basal or acquired dependence on MCL-1 is a common mechanism of

venetoclax resistance in AML (34,50,51). Unfortunately, direct targeting of MCL-1 with highly

selective compounds is associated with significant adverse events (52). Suppressing MCL-1

transcription via CDK9 inhibitors is another strategy under investigation (53). Pitavastatin is an

alternative option, based on our observation that the compound can overcome venetoclax-

induced increases in MCL-1 expression. In these experiments, we observed that in several AML

cell lines, treatment with venetoclax or the combination of pitavastatin with venetoclax reduced

PUMA protein amounts compared to basal. Thus, the mechanisms of cytotoxicity may differ in

response to pitavastatin alone versus the combination with venetoclax.

There has been considerable interest in targeting oxidative metabolism to treat AML.

Preclinical studies established that AML cell venetoclax resistance correlates with increased

mitochondrial metabolism (17,18,54). Furthermore, various OXPHOS inhibitors potentiate

cytotoxicity of venetoclax and prevent or overcome resistance (17,18,55,56). A selective

inhibitor of complex-1 of the electron transport chain entered clinical trials but a phase I study

reported significant toxicities and lack of efficacy at tolerated doses (57,58). Treatment with

pitavastatin might provide an alternative, well-tolerated route to achieve a reduction in

mitochondrial respiration. Our data show that at a time point before the onset of apoptosis,

pitavastatin reduces basal OCR while triggering MMP loss in a significant fraction of cells. In

OCI-AML3 cells, these mitochondrial defects were accompanied by decreased flux of glucose to

the TCA cycle. In a separate paper, we reported that pitavastatin and rosuvastatin reverse the

increase in OXPHOS observed in cytarabine-resistant *TP53*-mutant AML cells (33).

Mitochondrial dysfunction has the potential to reduce ATP production and cause activation of

AMPK, a pathway that can promote PUMA expression via the integrated stress response (ISR) in

AML cells (54). We previously showed that pitavastatin activates the ISR in MM cell lines;

however, under the same conditions a chemical inhibitor of the ISR did not affect statin

cytotoxicity or venetoclax sensitization in AML cell lines (26).

The effects of pitavastatin on AML cell survival, PUMA upregulation, and OXPHOS are

dependent on GGPP depletion as they are largely rescued by exogenous GGPP. Furthermore, two

inhibitors of GGTase-1 recapitulated many actions of pitavastatin, supporting the hypothesis that

GGTase-1 substrates have essential functions in AML cell survival. A key question is how the

mevalonate/GGPP axis programs AML transcription to promote proliferation and survival. To

address this, we determined which transcriptional changes induced by pitavastatin are

recapitulated by GGTI-298 and reversed by GGPP. Together these transcriptome analyses

revealed several novel findings. First, the mevalonate pathway via GGPP promotes expression of

cMYC and E2F target genes, correlating with cell cycle progression. Second, the mevalonate

pathway regulates expression of many nuclear-encoded mitochondrial genes, some which are

associated with OXPHOS. This connection might underly how treatment with pitavastatin

reduces basal OCR and spare respiratory capacity and disrupts MMP. Notably, the extent of

pitavastatin-induced transcriptional reprogramming is quite variable, with more prominent

effects in OCI-AML3 cells compared to other lines tested here (Supplementary Excel files with

volcano plots). This might be due in part to varying levels of positive feedback reactivation of

the mevalonate pathway (59); indeed, pitavastatin-treated HNT-34 cells had a strong cholesterol

pathway upregulation signature and overall fewer DEGs than OCI-AML3 cells. It is possible that

the kinetics of transcriptional reprogramming differs among cell lines such that a shared DEG

signature is difficult to define. Ongoing work aims to (i) identify transcription factors or

epigenetic changes underlying altered gene expression and (ii) determine which

geranylgeranylated protiens transmit key survival signals that are disrupted by statin treatment.

To broaden the utility of venetoclax in AML, it is essential to identify new combination

regimens that address common mechanisms of resistance. In this study we have presented

evidence that pitavastatin, an FDA-approved agent, has potent cytotoxic activity in human AML

cells including samples with *TP53* loss. Pitavastatin treatment achieves several cellular changes

that sensitize to venetoclax including PUMA upregulation, MCL-1 downregulation, and reduced

respiration. Together with other findings from various laboratories (27,28,30), these results

support further investigation of pitavastatin/venetoclax combinations in AML.

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Data Availability

RNA-seq datasets produced in this study will be available in the GEO databases (deposit is in

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    Figure Legends

Figure 1: Pitavastatin has potent cytotoxicity in venetoclax-resistant AML cell lines and in

patient-derived AML cells. (A) AML cell lines were treated for 48hr with various concentrations

of venetoclax alone or with pitavastatin (PIT) at 0.3, 1 and 3 μM. Data are expressed as mean +/-

SEM *p < 0.05, ****p < 0.0001. Two-way ANOVA with Tukey's post hoc test. (B) Patient-

derived AML myeloblasts cultured in media with supportive cytokines were treated for 48hr

with titrated concentrations of PIT and venetoclax as indicated. For both panels the percentage of

viable cells was determined by flow cytometry using Annexin V and PI staining. Identified

genetic lesions are shown in parentheses – note three *TP53* mutant samples on the bottom row

were all pitavastatin-sensitive.

Figure 2: PUMA upregulation contributes to pitavastatin cytotoxicity, is dependent on GGPP

depletion, and is recapitulated by inhibition of GGTase-1. (A) The cytotoxic effect of

pitavastatin +/- 30nM venetoclax was measured in two clones of OCI-AML3 cells with PUMA

knockout and one clone each of MOLM14 and MOLM13. Two-way ANOVA with Tukey's post

hoc test, n = 3. (B) PUMA expression in a statin-sensitive AML cell line (OCI-AML3) was

assessed by western blot. An antibody to vinculin was used as a loading control. Detection of

unprenylated RAP1A was used to determine which treatments suppress protein

geranylgeranylation. Note rescue of PIT effect on PUMA by mevalonate (MEV) and GGPP

more than by FPP. (C) Quantitation of four replicates of the experiment in panel B, with PUMA

expression in vehicle (Veh) treated cells set at 1. Data are expressed as mean +/- SEM ** p <

0.01, ***p < 0.001, one-way ANOVA with Tukey's post hoc test, n = 2-4. (D-E) Different

GGTase-1 inhibitors have distinct effects on PUMA expression and viability. (D) Representative

western blot of PUMA expression in OCI-AML3 and MOLM13 treated with pitavastatin (0.3 or

1 µM), GGTI298 (10 µM) or GGTI2133 (10 µM).

(E) Graphs showing percentage of viable OCI-AML3 and MOLM13 measured by Annexin V

and PI staining after 48h of treatment with pitavastatin (1 μM+, GGTI298 (10 μM) or GGTI2133

(10 μM) in combination with venetoclax. Data are expressed as mean +/- SEM ***p < 0.001,

****p < 0.0001. Two-way ANOVA with Tukey's post hoc test, n ≥ 3.

Figure 3: Transcriptome analysis identifies shared features of the response to PIT and GGTI-

298. (A) DEGs in OCI-AML3 cells treated with PIT, GGTI-298, or GGTI-2133, relative to

vehicle, were identified by DESeq2, and DEG overlaps were depicted by Venn diagrams and

summarized with UpSet plots. (B) GSEA for hallmark and reactome pathways shared by

treatment with PIT or GGTI-298. Red indicates GSEA pathways that are upregulated, blue

downregulated. Outlined dots are pathways shared in both OCI-AML3 and HNT-34, including

downregulation of MYC and E2F targets, and aerobic respiration. The diameter of each dot

corresponds to gene set size.

Figure 4: Transcriptome analysis identifies features of the response to PIT that depend on GGPP

depletion. (A) Venn diagram and intersection plot showing DEGs in OCI-AML3 cells treated

with PIT, GGPP, or PIT + GGPP, compared to vehicle. Note that in the presence of exogenous

GGPP, PIT treatment induced very few gene expression changes. (B) GSEA for hallmark (left,

including MYC and E2F targets) and reactome pathways (right, including mitochondrial protein

import). The p value decreases from blue to red colors, and the diameter of each dot corresponds

to gene set size.

Figure 5: Pitavastatin treatment downregulates cMYC expression and increases PUMA and

GILZ in AML cell lines and primary cells. (A) Two PIT-sensitive cell lines, one PIT-resistant

cell line, and two PIT-sensitive primary AML samples were treated for 16hr as indicated and

lysates subjected to western blotting with antibodies to cMYC, PUMA, GILZ, unprenylated

RAP1A, and total ERK. (B) Graph of quantitation of western blots from 3-4 experiments for cell

lines in panel A, or single experiment for two primary cell samples UCI-01 and UCI-06. Data are

expressed as mean +/- SEM *p < 0.05, ***p < 0.001, ****p < 0.0001 two-way ANOVA with

Dunnett's test. (C) PIT and GGTI-298 cause G1 arrest in OCI-AML3 cells that are statin

sensitive and show consistent MYC downregulation, but not in HNT-34 cells where MYC

downregulation is less prominent. Data are expressed as mean +/- SEM *p < 0.05, ** p < 0.01,

****p < 0.0001 two-way ANOVA with Tukey's test, n = 3.

Figure 6: Functional assays provide evidence that PIT causes mitochondrial dysfunction. (A)

Heat map of nuclear-encoded mitochondrial genes (MitoCarta database) across conditions in

both transcriptome experiments. (B-D) Oxygen consumption rate (OCR) was measured by

Seahorse metabolic flux analyzer. (B) Representative Seahorse assay traces in OCI-AML3 and

MOLM13 cells treated with vehicle or pitavastatin for 16hr. (C) Graphs depict results of

experiments as in panel B, shown as mean +/- SEM, **** p < 0.0001 two-way ANOVA with

Sidak's test, n = 8. (D) Effect of pitavastatin on basal OCR is significantly reversed by GGPP. *

p < 0.05, **** p < 0.0001 two-way ANOVA with Fisher's least squares difference test, n = 5.

(E) ^{13}C-glucose tracing identifies reduced TCA cycle intermediates in OCI-AML3 cells treated

with pitavastatin for 16hr (13C-glucose added for last 2hr). Left graph shows quantitation of

glycolytic metabolites, lactate, and acetyl-CoA, containing all ^{13}C atoms traced. Right graph

shows TCA cycle metabolites with ^{13}C in M+2 position. Each dot plot depicts results of 3

biological replicates, each representing 4-6 technical replicates, normalized as a ratio of the

pitavastatin-treated sample to the vehicle-treated group. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$

two-way ANOVA with Fisher's least squares difference test (^ $p = 0.051$ for lactate as marked).

A

B

primary UCI-01
(trisomy 19)

primary UCI-07
(FLT3-ITD, *NPM1*mut)

primary VA-03
(Trysomy 8-duplication of 8q22)

primary UCI-11
(*TP53* mutant)

primary UPENN-01
(*TP53* mutant)

primary UPENN-02
(*TP53* mutant)

Figure 1

Figure 2

A

B

Figure 3

Figure 4

Figure 5

Figure 6

Table 1

Characteristics of different AML cell lines

AML Cell Line	Molecular Subtype	Origin	DNMT3A	FLT3	TP53	NPM1	Key Fusions	Notes
OCI-AML3	M4		R882		WT	mutation type A		Established from the peripheral blood of a 57-year-old man with M4.
HNT34	M4				unkown		BCR-ABL1	Established from the peripheral blood of a 47-year-old woman where the AML was transformed from MDS.
MOLM13	M5a, relapse	sAML		FLT3-ITD	WT		KMT2A-MLLT3	Established from the peripheral blood of a 20-year-old man with M5a at the time of relapse after an initial myelodysplastic syndrome
MOLM14	M5a, relapse	sAML		FLT3-ITD	WT		KMT2A-MLLT3	Established from the peripheral blood of a 20-year-old man with M5a at the time of relapse after an initial myelodysplastic syndrome
MV4.11	M5			FLT3-ITD	WT		KMT2A-AFF1	Established from the blast cells of a 10-year-old male with biphenotypic B myelomonocytic leukemia. Carries t(4;11) (q21;q23), typically observed in biphenotypic congenital leukemias.
OCI-M1					unkown			
PL21	M3	de novo			WT/P36fs			

Table S2

Characteristics of primary AML samples

Sample	Age	Sex	Disease	Disease Status	Molecular Cytogenetics	Treatment History
UCI-01	78	M	AML	New Diagnosis	46,XY,+19(3)/46,XY,add(19)(P13)(3)/46,XY(14)	No prior treatment
UCI-02	76	M	AML arising from MDS	New Diagnosis	44-45, XY, add (1) (p36.3), add (3) (P13), -4, add(5)(q11.2),-7 (q22),+8del(9) (q32),-10, add(17) (P11.2),-20,+2-3mar(cp16)	Azacitidine followed by Decitabine for MDS prior to AML diagnosis
UCI-03	59	F	AML	Relapse	FLT3 ITD, NPM1 XX	Idarubicin/Cytarabine.
UCI-04	61	F	AML M5	New Diagnosis	NPM1 mutant XX	No prior treatment
UCI-06	26	F	AML	New Diagnosis	CEBPA mutant (p.Asp301_Leu315dup) KIT mutant (p.Asp816His;) XX	No prior treatment
UCI-07	74	M	AML	New Diagnosis	NPM1 mutant (p.Trp288CysfsTer12) FLT3-ITD (ITD Size: 107bp) XY	No prior treatment
UCI-08	50	F	AML	New Diagnosis	NPM1 (p.Trp288CysfsTer12) DNMT3A mutant (p.Arg882His) XX	No prior treatment
UCI-09	83	F	AML	New Diagnosis	NPM1 (p.Trp288CysfsTer12) FLT3-ITD (ITD Size: 26pb) XX	No prior treatment
UCI-10	41	F	AML	New Diagnosis	FLT3-ITD	No prior treatment
UCI-11	77	M	MDS/MPN transformed to AML	Transformation to AML	complex karyotype, monosomy 7, deletion of 12p,and isochromosome 17q	Hydrea for MDS/MPN prior to AML diagnosis
UCI-12	43	F	AML	Relapse	FLT3-ITD	Idarubicin/Cytarabine
LBV-03	80	M	AML	New Diagnosis	Trysomy 8 / duplication of 8q22 and gain of KMT2A	No prior treatment
UPENN-01			AML	New Diagnosis	TP53 frameshift mutation with der(17)t(11;17)	
TUPENN-02			AML	New Diagnosis	TP53 missense Y220C, CBL, NPM1, TET2 mutations, VUS EGR2	