1 **Title:** Reduced cerebrovascular reactivity in youth with complex congenital heart
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4 Short title: Cerebrovascular health in congenital heart defects
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Abstract

Aims: Congenital heart defects (CHD) are the most common neonatal malformations. Neonates
with complex CHD present with cerebrovascular dysfunction, including deficits in
cerebrovascular reactivity (CVR), a measure of vascular reserve. However, it is unknown
whether these deficits persist beyond the perioperative period.
Methods and Results: Here, we compared CVR between 53 youth with CHD and 54 age-
matched controls without CHD. CVR was derived using a novel approach based on resting state
blood oxygen-level dependent magnetic resonance imaging. We found that youth with CHD
present with relative CVR deficits in the whole gray matter and in the anterior cerebral artery
territory when compared to controls. Sex differences were identified in the middle cerebral artery
territory, with females having lower relative CVR than males in the CHD group. Greater CVR
deficits were observed in individuals with single-ventricle as compared to participants with a
two-ventricle physiology. Finally, in the CHD group, a lower CVR was found to be associated
with reduced performance on the Metacognitive Abilities Index of the BRIEF-A.
Conclusion: These results indicate that cerebrovascular deficits in CHD survivors persistent into
young adulthood and that CVR offers great promise as a biomarker of cerebrovascular health
which could be targeted in future interventions.

Word count: 5975

1. Introduction

Congenital heart defects (CHD) are the most common neonatal malformations, affecting 1% of births in Canada[1]. To survive, neonates with complex CHD undergo open-heart surgery using cardiopulmonary bypass during the first weeks of life. Improvements in clinical care have greatly increased survival, and more than 90% of neonates with CHD now survive well into adulthood, converting this primarily pediatric condition into an adult chronic condition[2].

Neonates with CHD present with cerebrovascular dysfunction, as evidenced by reduced cerebral blood flow (CBF) before surgery[3–5]. Previous work has shown that these deficits persist, with female youth with CHD having lower CBF compared to healthy peers[6]. While CBF reflects the amount of blood going to tissue at rest, it is not a measure of vascular reserve, or the ability of blood vessels to meet additional demand. Vascular reserve can be measured as cerebrovascular reactivity (CVR), which reflects vasodilation amplitude in response to CO_2 inhalation. This is analogous to a cardiac stress test and captures vasodilatory capacity. CVR deficits have been reported in young infants with CHD[7,8], but no study to date has investigated CVR beyond the first three years of life in this group. This may be partly due to the discomfort and anxiogenic effects of CO_2 inhalation. However, recent work established that CVR can also be extracted accurately from resting-state functional MRI data[9,10], making CVR measurements in CHD accessible for the first time.

During childhood, more than 60% of individuals with CHD present with developmental challenges[11–13] and more than 40% of adolescents and adults with CHD present with cognitive deficits[14–17]. Executive functions (EFs) refer to a group of higher-order cognitive processes such as working memory, cognitive flexibility and inhibition controls. Deficits in EF have been described as the hallmark cognitive challenge experienced by CHD survivors[15,18]. These deficits have been linked to lower academic achievement, quality of life and employment rates in this population[19–21]. While a range of EFs are affected in CHD, metacognitive abilities may be especially vulnerable, especially later in development[21]. Moreover, adults with CHD are at higher risk of cognitive decline as they age[14,22,23], with a 2.6 increased risk for early dementia[22,23]. Given ongoing work showing that CVR may be one of the earliest detectable biomarkers in the pathophysiological process of dementia[24–27], especially in populations with vascular risk, CVR may be a valuable biomarker of cognitive decline in CHD survivors.

To address these gaps in our understanding of cerebrovascular health in CHD, this study compared CVR between youth with CHD and healthy peers and assessed the presence of sex-specific effects given our previous findings in this group. We also aimed to explore the functional impact of CVR deficits by evaluating the associations between CVR and EF in individuals with CHD as well as examining their relationships with clinical risk factors.

77   2. **Methods**
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2.1 Participants

This cross-sectional study included 53 youth with CHD (25 males & 28 females) and 54 age-matched controls (22 males & 32 females), aged between 16 and 24 years. Individuals with CHD all underwent open-heart surgery using cardiopulmonary bypass before two years of age. Participants were ineligible if they had contraindications for MRI or could not communicate in French or English. Additional exclusion criteria included a history of brain tumors, severe brain anomaly, congenital infections, multisystem dysmorphic conditions, traumatic brain injury, cerebral palsy, or an identified genetic disorder. Written informed consent was obtained from participants aged 18 and older. For those under 18, both the participant and their legal guardians provided written informed consent. This study was approved by the Pediatric Research Ethics Board of the McGill University Health Centre. Individual and clinical information were collected through medical chart review and questionnaires. The family's socio-economic status was determined using the Hollingshead index[28].

2.2 MRI Acquisition

Whole-brain MRI data were acquired on a 3T Philips Achieva X using a 32-channel head coil. Resting state blood oxygenation level-dependent (BOLD) fMRI data were acquired using a T2*-weighted gradient echo echo planar sequence (repetition time = 2.6 seconds, echo time = 30 ms, resolution = 3 mm isotropic, volumes = 200) for the relative CVR analysis. Additionally, a T1-weighted image was collected using a turbo field echo pulse sequence (repetition time = 8.1 ms, echo time = 2.7 ms, inversion time = 1010 ms, flip angle = 8°, resolution = 1 mm isotropic). The MRIs were reviewed for anomalies by a neuroradiologist, who was blinded to participants' medical histories.

2.3 Image Processing

All images underwent visual quality assessment and only images of sufficient quality underwent pre- and post-processing. The resting-state fMRI BOLD images were preprocessed using Statistical Parametric Mapping (SPM12), FMRIB's software library (FSL)[29] and nilearn (*https://nilearn.github.io/stable/index.htm*l). The BOLD images were corrected for motion artifacts using the *Realign* function in SPM12, followed by skull stripping with FSL's *Brain Extraction Tool*[30]. Slice timing correction was then performed using SPM12's *Slice Timing* function. Finally, spatial smoothing (FWHM = 6 mm) was performed using *smooth_img* from nilearn. Time domain filtering was omitted from the preprocessing pipeline, as the lower frequency components of the BOLD signal were essential for the relative CVR analysis.

2.4 Relative CVR Analysis

Relative CVR maps were measured from preprocessed resting-state BOLD fMRI data[9]. Initially, BOLD images were low-pass filtered (0-0.1164Hz) using *scipy.signal*[31] to extract a proxy for

natural cerebral CO_2 fluctuations[32]. The filtered BOLD signal was then used as a regressor in a
general linear model to compute CVR indices, which were subsequently normalized by the
whole-brain average CVR. The processing pipeline for relative CVR from preprocessed BOLD
data is displayed in *Figure 1*. Relative CVR maps were registered to MNI-152 space using
Advanced Normalization Tools (*https://github.com/ANTsX/ANTs*). A gray matter (GM) mask,
segmented from the MNI-152 template using FSL FAST[33], was applied to the registered relative
CVR maps. Because cerebrovascular properties are likely to be determined by vascular territory
rather than GM-based anatomical boundaries, a cerebral arterial territory atlas was employed to
extract regions of interest (ROIs) in the anterior cerebral artery (ACA), the middle cerebral artery
(MCA) and posterior cerebral artery (PCA) territories[34].
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128

129
130 **Figure 1.**
131 Relative CVR pipeline from preprocessed resting state fMRI BOLD data.
132

2.5 Cognitive Assessment (BRIEF-A)

The Behaviour Rating Inventory of Executive Function - Adult scale (BRIEF-A) is a self-report
questionnaire designed to assess EF as manifested in everyday life[35]. The BRIEF-A provides a
Global Executive Score, which is the sum of the Behavioural Regulation Index and the
Metacognition Index. The specific subscales included in the Behavioural Regulation Index are:
inhibition, task shifting, emotional control and self-monitoring. While the Metacognition Index
includes initiation, working memory, planning and organization, task monitoring and
organization of materials subscales. Scores are reported as T-scores, where higher values reflect
poorer executive function[36].
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2.6 Statistical Analysis

Normality of the data was assessed using the Shapiro-Wilk test, and relative CVR values were
log-transformed to achieve a normal distribution. Group differences in descriptive statistics were
evaluated using ANCOVA analyses to compare individuals with CHD and controls, controlling
for age and sex for all comparisons except for the age, which was only controlled for sex. These
analyses examined differences in education (ordinal), socioeconomic status (SES), and the
BRIEF-A summary scales. Sex distribution differences were assessed with a binomial
regression, controlling for age. Additionally, group differences in relative CVR were assessed
across the whole GM and cerebral arterial territories (ACA, MCA, PCA). Binomial regression

was conducted to examine group differences in the sex distribution. To investigate sex
differences, ANCOVA analyses were conducted in each group to assess the relationship between
sex and relative CVR across the whole GM and ROIs, controlling for age. To assess the effect of
severity, we performed ANCOVA analyses to examine the effects of the type of cardiac
physiology on relative CVR in individuals with CHD, controlling for age and sex. Additionally,
we used multiple linear regression analyses to assess the effects of the number of surgeries on
CVR, controlling for age and sex. Lastly, to evaluate the interaction between relative CVR and
group on executive function, multiple linear regression analyses were performed controlling for
age, sex and SES. Analyses were corrected for multiple comparisons using the false discovery
rate (FDR) Benjamini-Hochberg method.
162
3. Results
164
3.1 Participant's characteristics
166 Two subjects (one CHD & one control) were excluded due to poor data quality, resulting in a
167 final sample of 52 CHD and 53 controls. The sex specific distribution of cardiac physiology is
168 presented in **Table 1**.
169
Table 1:
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Cardiac physiology characteristics (n=52)		
Single-Ventricle Physiology (n = 7)		
	Females (n = 4)	Males (n = 3)
DILV, n (%)	1 (14)	1 (14)
Tricuspid Atresia, n (%)	1 (14)	1 (14)
PA-IVS, n (%)	1 (14)	0 (0)
HLHS, n (%)	1 (14)	1 (14)
Double-Ventricle Physiology (n = 45)		
	Females (n = 24)	Males (n = 21)
ToF, n (%)	6 (13)	7 (16)
d-TGA, n (%)	10 (22)	8 (18)
VSD, n (%)	2 (4)	3 (7)
Trunctus arteriosus type 1, n (%)	1 (2)	1 (2)
PA-IVS, n (%)	1 (2)	1 (2)
DORV, n (%)	2 (4)	0 (0)

TAPVC, n (%)	2 (4)	0 (0)
COA, n (%)	0 (0)	1 (2)

Number of Open Heart Surgeries (n = 52)		
	Females (n = 28)	Males (n = 24)
1, n (%)	17 (33)	12 (23)
2, n (%)	6 (12)	8 (15)
3, n (%)	4 (8)	2 (4)
4, n (%)	1 (2)	2 (4)

CHD = Congenital Heart Defect; ToF = Tetralogy of Fallot; DILV = Double Inlet Left

Ventricle; PA-IVS = Pulmonary Atresia with Intact Ventricular Septum; d-TGA = dextro-

Transposition of the great arteries; VSD = Ventricular septal defect; DORV = Double outlet

right ventricle; TAPVC = Total Anomalous Pulmonary Venous Connection; COA = Coarctation

of the Aorta

Group comparisons of the demographic information and BRIEF-A results are presented in **Table**

2. Three subjects (two participants with CHD and one control) had missing data on the BRIEF-A

and were excluded from the analyses. Overall, youth with CHD had significantly lower

educational attainment than controls at the time of the study ($p < 0.001$; $n^2p = 0.363$) and lower

socioeconomic status ($p < 0.001$; $n^2p = 0.192$), while all other variables, including all BRIEF-A

scores, did not differ between groups when taking into account age and sex.

Table 2:

Demographic	CHD (n = 52)	Controls (n = 53)	p-values
Age (years)	19.96 ± 2.39	20.46 ± 2.30	0.263
Sex (Females/Males)	28 / 24	32 / 21	0.471
Participant's Education (n; 2/3/4/5)	**(12/10/22/7)**	**(2/0/13/38)**	**< 0.001**
Hollingshead SES Index	**40.19 ± 11.37**	**50.19 ± 10.32**	**< 0.001**
BRIEF-A scores			

Global Executive (T-score)	55.47 ± 11.36	49.42 ± 8.33	0.232
Metacognition Index (T-score)	55.90 ± 10.64	50.25 ± 8.85	0.195
Behavioural Regulation Index (T-score)	54.10 ± 11.98	48.73 ± 8.76	0.521

189 *CHD = Congenital Heart Defect; SES = Socioeconomic Status; Education is coded as 1 =*
190 *elementary school, 2 = high school, 3 = professional school, 4 = CEGEP/College, 5 =*
191 *University*
192
193 **3.2 Lower CVR in CHD**
194 Participants with CHD revealed significantly lower relative CVR compared to controls in the
195 whole GM ($p = 0.024$; $n^2p = 0.050$) and the ACA territory ($p = 0.030$; $n^2p = 0.046$) (**Figure 2**).
196 No other significant regional differences were found, and the complete results are presented in
197 **Table S1**.
198

199
200 **Figure 2. Relative CVR group differences between individuals with CHD and controls**
201 *Box plots from ANCOVA analyses showing the significant relative CVR group differences*
202 *between individuals with CHD and controls in the A) whole gray matter and B) ACA gray matter*
203 *territory.*
204
205 **3.3 Lower CVR in females with CHD**
206 In the CHD group, relative CVR was significantly lower in females with CHD compared to
207 males with CHD in the MCA territory ($p = 0.005$; $n^2p = 0.152$) (**Figure 3**). No significant sex
208 differences in relative CVR were found among controls. See **Table S2** for complete results.

Figure 3. Relative CVR group difference between males and females with CHD

Box plots from ANCOVA analyses presenting significantly higher relative CVR in males with

CHD compared to females with CHD in the MCA GM territory. The p-value shown was FDR

corrected.

3.4 Lower CVR in single ventricle physiology

Individuals with a single-ventricle cardiac physiology demonstrated significantly lower relative

CVR in the ACA territory (p = 0.006; n^2p = 0.145) (**Figure 4**) compared to individuals with two-

ventricle CHD. No other significant associations were found, and full results are presented in

Table S3). Additionally, no significant effects in the relationship between relative CVR and the

number of open-heart surgeries were observed (Table S4).

223
224 **Figure 4. Relative CVR subgroup difference between the type of cardiac physiology**
225 *Box plot from ANCOVA analyses showing the significant relative CVR group difference between*
226 *individuals with CHD with single ventricle cardiac physiology and with two-ventricle cardiac*
227 *physiology in the ACA gray matter territory.*
228
229

3.5 Association between CVR and cognition
The relationship between CVR and cognition was assessed using a linear model including both
the overall relationship between CVR and cognitive outcomes, as well as an interaction term to
assess slope differences between CHD and control. The overall model was found to be
significant for the relationship between whole GM relative CVR and Metacognition Index T-
score ($R^2 = 0.076$; p = 0.008), as well as a significant group by relative CVR ($R^2 = 0.065$; p =
0.007) (**Figure 5**). Furthermore, within this model, we identified a significant group difference in
Metacognition Index T-score, showing poorer performance in the CHD group (T-stat = -3.077; p
= 0.003). See **Table S5** for the detailed results. In post-hoc disaggregated group analyses, this
association between Metacognition Index and whole GM CVR was found to be specific to the
CHD group ($R^2 = 0.109$; p = 0.025), with no significant relationship in the control group. No
significant relationships were found for the Global and Behavioural Regulation indices.
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Figure 5. Effects of relative CVR on Metacognition Index

Multiple linear regression exhibiting a significant group interaction between relative CVR and

Metacognition Index T-score in individuals with CHD and controls within the whole gray matter.

It is important to note that higher T-score reflects poorer cognitive performance.

4. Discussion

The current study identified CVR deficits in youth with CHD, still present more than a decade
after their first open-heart surgery. Importantly, our results demonstrate that while CVR deficits
were present in all CHD when compared to controls, females with CHD were found to have
lower relative CVR than males in the MCA territory. Furthermore, deficits in the ACA were
more pronounced in individuals with a single-ventricle physiology. Finally, lower CVR across
the whole GM was found to be associated with poorer executive function in participants with
CHD only.

4.1 CVR deficits in individuals with CHD

The observed deficit in relative CVR in youth with CHD as compared to controls is well aligned
with the previous reports of reduced CVR in neonates and infants with CHD[7]. Although the lack
of longitudinal data covering infancy and early adulthood prevents us from concluding on the
presence of a direct relationship, our data suggest that some of the cerebrovascular health issues
reported in infants persist into adolescence and young adulthood.

Because CVR quantifies vasodilatory capacity, low CVR indicates an impaired ability to
increase blood flow to meet additional demand. This increases the likelihood of transient hypoxia
during episodes of high metabolic demand. Furthermore, since vasodilation in response to CO_2 is
predominantly caused by endothelial release of nitric oxide[37], this poor CVR may therefore
reflect reduced endothelial health. This is consistent with the extant literature on endothelial
function in CHD showing poorer endothelial health across a wide range of different CHD
physiologies and types of surgeries[38].
271
The relative CVR deficits identified in this study were global, but strongest in the ACA territory.
Existing studies in childhood have only documented CVR in MCA[7], likely because it is more
easily accessible than other arteries through the transtemporal acoustic window for ultrasound
measurement. Few studies have investigated the ACA[39,40], and none using a reactivity
measurement. However, our observation of differences predominantly in the ACA may be
attributable to the ACA's smaller diameter, as well as the fact that it has more anatomical
variations than other major cerebral arteries [41]. Furthermore, while few studies have investigated
the ACA in CHD, one study showed ACA pulsatility to be more related to neurodevelopmental
outcomes than MCA pulsatility[39]. In other populations, lower CVR has important functional
significance, as exemplified by generalized CVR deficits observed in vascular diseases including
coronary artery disease[42], carotid occlusion[43] and in both Alzheimer's disease and mild cognitive
impairment[24–26]. Our results demonstrate that adolescents and young adults with CHD show
cerebrovascular deficit more commonly observed in diseases of aging and could indicate that
CHD may be associated with an accelerated vascular aging phenotype[44,45]. Longitudinal studies
are needed to assess whether these CVR deficits are static or increase with age.
287
4.2 Lower CVR in females with CHD
The observed CVR deficits detected in the overall CHD sample were found to be greater in
females, who showed lower relative CVR than males with CHD in the MCA territory. The
greater CVR deficit in females observed in our CHD cohort mirrors our previous observation of
a female-specific CBF deficit in this same cohort, [6]. In this previous work, we showed that
female youth with CHD do not show the higher CBF typically observed in females after puberty
as compared to males. Combined with our current observation of low CVR more pronounced in
females, these results indicate that female youth with CHD may be at higher risk of transient
ischemic episodes. This is in line with data showing that while the overall ischemic stroke
incidence in CHD is lower in females than males as in the general population, the incidence rate
ratio is higher in females than males with CHD (IRR = 12.12 vs 9.37, respectively)[46].
299
The lower CVR in females observed here is especially concerning, given recent work showing
that CVR is typically higher in females, at least in older adults[47,48]. However, it is worth noting
that there were no significant sex differences in relative CVR in our controls, highlighting the
need for more research investigating sex differences in cerebrovascular health. Furthermore,

while no study to date has systematically investigated sex differences in cerebral artery
morphology in the CHD population, studies in the general population have identified sex
differences in MCA hemodynamics, with females typically showing higher velocity and CVR
with ultrasound[47].
308
4.3 Lower CVR in single ventricle physiology
Our results indicate that the CVR deficit observed in CHD is more important in individuals with
single-ventricle physiology as compared to individuals with a two-ventricle physiology. While
our sample of single-ventricle participants was small, these results are consistent with greater
dysfunction in individuals requiring more complex surgeries. We did not observe an effect of the
number of surgeries, perhaps due to our limited power at higher numbers of surgeries. Future
studies should investigate the effects of surgical and neonatal courses on brain health to better
understand the possible relationships with vascular reserve.
317
4.4 Relationship between CVR and cognition
Poorer executive functions are frequently observed in adolescents and young adults with CHD[14–
17]. In the current study, these deficits were apparent as seen by a significantly worse T-score on
the Metacognition Index in the CHD group when compared to controls when assessed by
multiple linear modelling. Interestingly, the Metacognition Index includes only the BRIEF-A
subscales that pertain to the executive functions that are purely related to cognitive processing
and excludes the ones dependent on emotional processes[49]. This is in line with the literature in
older children with CHD showing greater deficits in metacognitive abilities than behavioural
regulation[21]. Furthermore, we observed a negative relationship between relative CVR and the
Metacognition Index in the CHD group only. This suggests that in youth with CHD, CVR may
be a sensitive biomarker of the brain's ability to use resources to maintain cognition, though
future studies are needed to confirm this relationship using hypercapnic-based CVR and more
specific measures of cognitive abilities. This hypothesis is consistent with the accumulating
literature showing that higher CVR is associated with better cognitive performance, and
especially executive functions, in people with dementia and other heart diseases[26,50,51]. Therefore,
our results highlight the promise of CVR as a biomarker of impaired cerebrovascular function in
CHD. Future studies should seek to determine whether interventions that improve CVR can also
improve EF in youth with CHD.
336

5. Conclusion
This study uncovered that youth with CHD experience persistent cerebrovascular deficits, as
shown by lower relative CVR when compared to healthy peers. These deficits are more profound
in females and in those with a single-ventricle physiology. Future large-scale longitudinal studies
that include prospective data collection of clinical variables are needed to understand the
evolution of the heart-brain interconnection across the lifespan. Nevertheless, this study provides

foundational evidence that CVR may be a promising biomarker of brain health and function in
the youth with CHD warrant of further investigation.
345
346 **Data availability**
347 The data that support the findings of this study are available from the corresponding author upon
348 reasonable request and approval from our institutional research ethics board.
349

350 **Acknowledgements**
351 The authors thank the participants and their families for their involvement in this study, as well
352 as the research staff and the MRI technologists at the ABCD Research Laboratory and the
353 clinicians at the McGill University Health Centre for their contributions.
354

Funding
This study was supported by funding from McGill University and the Research Institute of the
McGill University Health Centre, the Canadian Natural Sciences and Engineering Research
Council (RGPIN-2024-06455) and the Canadian Institutes of Health Research (542413). C.J.
Gauthier is supported by the Michal and Renata Hornstein Chair in Cardiovascular Imaging, Z.
Potvin-Jutras by the Heart and Stroke Foundation of Canada and Brain Canada. Dr Brossard-
Racine is supported by a Canada Research Chair in Brain and Child Development.
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